(Reference Translation)

Identification Code Specifications for Futures and Options Transactions

(Amended in April and November 2008; March 2010; October 2011; November 2013; March and November 2014; November 2015; March 2016; March and May 2017; February, June and September 2018; August 2019; December 2020; September 2021; and February 2022)

I Composition (excluding Flexible Contracts)

(1)	(2)	(3)	(4)	(5)
Special transaction	Put/call, spot/futures distinction	Contract month	Exercise price, etc.	Underlying index, etc.
1	□	□□□	□□	□□

II Allocation method (excluding Flexible Contracts)

(1)(2): Transaction type

(1): "1" is set to indicate special transactions.

(2): Futures/options classification and, in the case of options, put/call and spot/futures distinction shall be as follows.

	(Code)
Put options transaction (futures)	1 and 5
Call options transaction (futures)	2 and 7
Put options transaction (spot)	3 and 8
Call options transaction (spot)	4 and 9
Futures transaction	6

(Note1) Codes "5", "7", "8", and "9" will be used as reserved codes if an issue (exercise price) is set additionally in index options trading, government bond futures options trading, etc. and the same code for "Exercise price, etc." has already been set within the same contract month.

(Note2) Gold options use code for put/call options transaction (spot)

(3): Contract month

1st digit: A single-digit number representing a 10-year cycle is used to indicate the year of the contract month.

(e.g.)	(Code)		(Code)		(Code)
2021	6	2025	0	2029	4
2022	7	2026	1	2030	5
2023	8	2027	2	2031	6
2024	9	2028	3	2032	7

2nd and 3rd digits: Indicates the contract month, using the number to represent the month.

(e.g.)	(Code)
Mar.	03
Dec.	12

(Note 1) For futures inter-month spread trading, the contract month of a contract with the earlier expiration date is indicated.

(Note 2) For index options trading, government bond futures options trading, etc., the following codes will be used in the 2nd and 3rd digits of the "Contract month" with priority given to lower numbers if an issue (exercise price) is set additionally and the same codes for "Put/call, spot/futures distinction" and "Exercise price, etc. " have already been set within the same contract month. In such cases, codes "1" and "2" will be used for put/call options trading (futures), and codes "3" and "4" will be used for put/call options trading (spot).

(e.g.)	Month code				
Jan.	13	25	37	49	61
Feb.	14	26	38	50	62
Mar.	15	27	39	51	63
.					
.					
.					
Dec.	24	36	48	60	72

(Note 3) Code for Nikkei 225 Weekly Options: "40" will be used for the weekly contract whose last trading day is the day preceding the first Friday of each year (in the 1st week of January), and the number will increase by 1 for subsequent new weekly contracts (excluding existing monthly contracts).

(e.g.)		Month code	
1W	Jan.	40	
2W	Jan.	―	(Existing monthly contract will be set.)
3W	Jan.	41	

(Note 4) For Rolling Spot, "999" is set as the fixed value.

(4): Exercise price, etc.

a. Options trading

The exercise price will be coded as a 2-digit number as shown below.

However, "Long-term JGB standard" will be coded using the lower 2 digits of the quotient obtained by dividing the exercise price by 0.25.

(e.g.)		(Code)
Long-term JGB standard	JPY 120	80 (Intervals between exercise prices: 0.25)
Tokyo Stock Price Index (TOPIX)	1,575	57 (Intervals between exercise prices: 25 points)
Nikkei Stock Average (Nikkei 225)	JPY 8,250	82 (Intervals between exercise prices: JPY 250)
JPX-Nikkei Index 400	14,000	40 (Intervals between exercise prices: 500 points or 250 points)
Gold	JPY 4,600	84 (Intervals between exercise prices: JPY 50)

b. Futures trading

"00" will be used.

However, for futures inter-month spread trading, the contract with the later expiration date will be shown, using "01" to "04" in sequence. For example, "01" will be allocated to one whose contract month is the closest to that of the contract with the nearest expiration date.

(5): Underlying index, etc. (certificates or rights to be traded) will be indicated as the Appendix.

(e.g.)

・TOPIX Futures inter-month spread trading

Contract with the earlier expiration date: Contract month of Dec. 2010

Other contracts: Contract month of Mar. 2011

1 6 512 01 05

・Long-term JGB standard futures transaction: Contract month of Sep. 2004

1 6 909 00 01

・Tokyo Stock Price Index (TOPIX) (spot) Call options transaction: 1,225 points

Contract month of Mar. 2005

1 4 003 22 05

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III Composition for Flexible Contracts

(1)	(2)	(3)	(4)
Flexible Contracts	Put/Call, Final Settlement	Contract months/ Exercise prices	Underlying Index, etc.
□	□	□□□□□	□□

IV Allocation Method for Flexible Options

(1): "7" will be used for "Flexible Contracts". However, in cases the codes derived from the combination of (2), (3), and (4) will be duplicate, "8" and "9" will be used in ascending order.

(2): The codes for "Put/Call, Final Settlement" will be as follows.

a. Options trading

	(Code)
SQ settlement-type put options trading	1
SQ settlement-type call options trading	2
Closing price settlement-type put options trading	3
Closing price settlement-type call options trading	4

b. Futures trading

	(Code)
SQ settlement-type	1 and 2
Closing price settlement-type	3 and 4

Note: "2" and "4" will be given priority to use in "Flexible Contracts" before "8" and "9" in case the codes derived from the combinations of (1), (3), and (4) are duplicates of existing issues.

(3): For "Contract Months / Exercise Prices", "00001" to "99999" will be allocated in ascending order.

(4): "Underlying Index, etc." will be set forth in the Appendix.

Additional rules:

1 The amended rules with "Note 2" added to (3) "Contract month" (month code) were implemented on April 1, 2008.

2 The amended rules with underlying index, etc. added (mini long-term JGB standard) were implemented on November 5, 2008.

3 The amended rules with underlying index, etc. added (TOPIX Dividend Index, etc.) were implemented on March 23, 2010.

4 The amended rules with underlying index, etc. added (Dow Jones Industrial Average) were implemented on October 3, 2011.

5 The amended rules with underlying index, etc. added (Nikkei Stock Average Volatility Index) were implemented on October 25, 2011.

6 The amended rules with underlying index, etc. added (CNX Nifty) were implemented on November 25, 2013.

7 The amended rules with underlying index, etc. added (JPX-Nikkei Index 400)were implemented on March 25, 2014.

8 The amended rules with "Note3" added to (3) "Contract month" (month code) and underlying

index, etc. added (Nikkei 225 Options) were implemented on November 10 ,2014.

9 The amended rules with underlying index, etc. added (Tokyo Stock Exchange Mothers Index etc.) and changed Index name (Nifty 50) were implemented on November 27, 2015.

10 The amended rules with "Note2" added to II Allocation method (2) and "Note4"added to (3)"Contract month", underlying index, etc. added (Gold Standard etc.) were implemented on March 25, 2016.

11 The amended rules with underlying index, etc. added (Platinum Rolling Spot) were implemented on March 17, 2017.

12 The amended rules with underlying index, etc. added (Platts Cash-settled Lorry Gas Oil, etc.) were implemented on May 8, 2017.

13 The amended provisions related to "Put/Call Distinction", etc. shall be effective from February 13, 2018. The amended provisions related to Flexible Contract Months in III and IV shall be effective from June 25, 2018.

14 The amended rules with underlying index, etc. added (Rubber (TSR20)) were implemented on September 3, 2018.

15 The amended rules with underlying index, etc. added (West Area Base Load, etc.) were implemented on August 23, 2019.

16 The amended rules with underlying index, etc. added (CME Group Petroleum Index) were implemented on December 14, 2020.

17 The amended rules related to "Note 2" in (3) Contract month (month code), changes in the indexing method of exercise prices, etc. for options trading (Long-term JGB standard), and addition of futures trading to Flexible Contracts (including addition of underlying index, etc.) will be implemented on September 21, 2021. Moreover, the changes in the indexing method of exercise price, etc. for options trading (Long-term JGB standard) will not be applied retroactively to issues set before September 20, 2021 but applied in order to those issues set on and after September 21, 2021.

18 The amended rules with underlying index, etc. added (LNG (Platts JKM)) were implemented on February 8, 2022.

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Appendix

Underlying index, etc.	Code
Long-term JGB standard	01
Super long-term JGB standard	02
Medium-term JGB standard	04
Tokyo Stock Price Index (TOPIX)	05
mini Tokyo Stock Price Index (TOPIX)	06
mini long-term JGB standard	07
TOPIX Dividend Index	08
Tokyo Stock Exchange Mothers Index	11
Nikkei Stock Average Volatility Index(Nikkei 225 VI)	15
Nikkei Stock Index 300 (Nikkei 300)	16
Nikkei Stock Average Dividend Point Index	17
Nikkei Stock Average (Nikkei 225)	18
Nikkei Stock Average (mini Nikkei 225)	19
Nikkei 225 Weekly Options	20
JPX-Nikkei Index 400	22
Option 25 Stock Index (Option 25)	25
TOPIX Banks Index	32
Tokyo Stock Price Index (TOPIX) (Flexible Futures)	40
Nikkei Stock Average (Nikkei 225) (Flexible Futures)	41
JPX-Nikkei Index 400 (Flexible Futures)	42
TOPIX Banks Index (Flexible Futures)	43
TSE REIT Index (Flexible Futures)	44
Nikkei 225 Total Return Index Futures (Flexible Futures)	45
Tokyo Stock Price Index (TOPIX) (Flexible Options)	50
Nikkei Stock Average (Nikkei 225) (Flexible Options)	51
JPX-Nikkei Index 400 (Flexible Options)	52
TOPIX Banks Index (Flexible Options)	53
TSE REIT Index (Flexible Options)	54
TOPIX Core30 Index	63
TOPIX Core30 Dividend Index	64
TSE REIT Index	69
Dow Jones Industrial Average	73
Nifty50	74
MSCI Japan Index	75
Russell/Nomura Prime Index	76
TWSE Capitalization Weighted Stock Index	78
FTSE China 50 Index	79
Gold Standard	A0
Gold mini	A1
Gold contract day trading	A2
Silver	A3
Platinum Standard	A4
Platinum mini	A5
Palladium	A6

Crude Oil	A7
Gasoline	A8
Kerosene	A9
Gas Oil	AA
Chukyo Gasoline	AB
Chukyo Kerosene	AC
Corn	AG
Soybean	AH
Azuki (Red bean)	AJ
Rubber (RSS3)	AK
Platinum Rolling Spot	AL
Rubber (TSR20)	AM
Platts Cash-settled Lorry Gas Oil	AN
Cash-settled Barge Gasoline	AS
Platts Cash-settled Barge Kerosene	AT
Platts Cash-settled Barge Gas Oil	AV
Cash-settled Lorry Gasoline	AW
Platts Cash-settled Lorry Kerosene	AX
CME Group Petroleum Index	AZ
LNG (Platts JKM)	B0
West Area Base Load	B1
West Area Peak Load	B2
East Area Base Load	B3
East Area Peak Load	B4