# Lecture 6. Perceptron

COMP90051 Statistical Machine Learning

Semester 2, 2018 Lecturer: Ben Rubinstein



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# This lecture

- Perceptron
  - Introduction to Artificial Neural Networks
  - \* The perceptron model
  - \* Stochastic gradient descent

# The Perceptron Model

A building block for artificial neural networks, yet another linear classifier

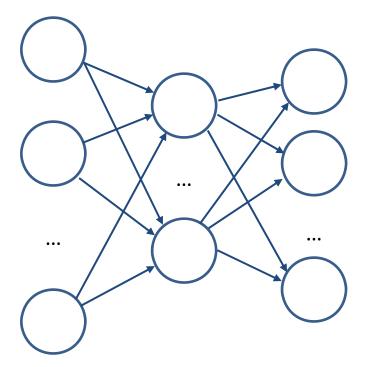
# **Biological inspiration**

- Humans perform well at many tasks that matter
- Originally neural networks were an attempt to mimic the human brain



### Artificial neural network

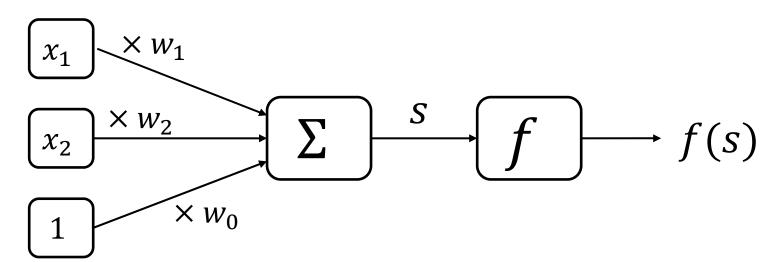
- As a crude approximation, the human brain can be thought as a mesh of interconnected processing nodes (neurons) that relay electrical signals
- Artificial neural network is a network of processing elements
- Each element converts inputs to output
- The output is a function (called activation function) of a weighted sum of inputs



### **Outline**

- In order to use an ANN we need (a) to design network topology and (b) adjust weights to given data
  - \* In this subject, we will exclusively focus on task (b) for a particular class of networks called feed forward networks
- Training an ANN means adjusting weights for training data given a pre-defined network topology
- We will come back to ANNs and discuss ANN training in the next lecture
- Right now we will turn our attention to an individual network element because it is an interesting model in itself

### Perceptron model



Compare this model to logistic regression

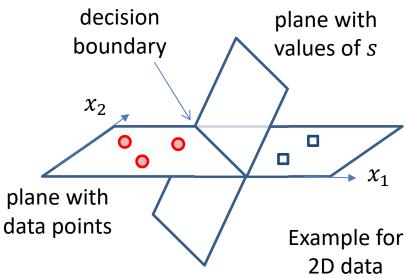
- $x_1$ ,  $x_2$  inputs
- $w_1$ ,  $w_2$  synaptic weights
- $w_0$  bias weight
- f activation function

## Perceptron is a linear binary classifier

Perceptron is a binary classifier:

Predict class A if  $s \ge 0$ Predict class B if s < 0where  $s = \sum_{i=0}^{m} x_i w_i$ 

Perceptron is a <u>linear classifier</u>: *s* is a linear function of inputs, and the decision boundary is linear



Exercise: find weights of a perceptron capable of perfect classification of the following dataset

$x_1$	$x_2$	у
0	0	Class B
0	1	Class B
1	0	Class B
1	1	Class A

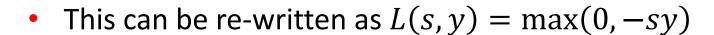


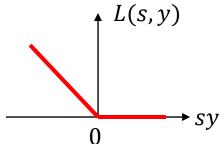
# Loss function for perceptron

- "Training": finds weights to minimise some loss. Which?
- Our task is binary classification. Let's arbitrarily encode one class as +1 and the other as -1. So each training example is now  $\{x, y\}$ , where y is either +1 or -1
- Recall that, in a perceptron,  $s = \sum_{i=0}^{m} x_i w_i$ , and the sign of s determines the predicted class: +1 if s > 0, and -1 if s < 0
- Consider a single training example. If y and s have same sign then the example is classified correctly. If y and s have different signs, the example is misclassified

# Loss function for perceptron

- Consider a single training example. If y and s have the same sign then the example is classified correctly. If y and s have different signs, the example is misclassified
- The perceptron uses a loss function in which there is no penalty for correctly classified examples, while the penalty (loss) is equal to s for misclassified examples\*
- Formally:
  - \* L(s, y) = 0 if both s, y have the same sign
  - \* L(s, y) = |s| if both s, y have different signs

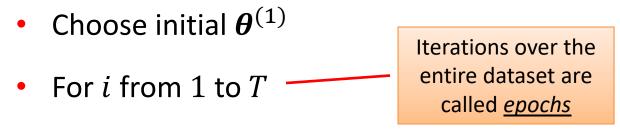




<sup>\*</sup> This is similar, but not identical to another widely used loss function called *hinge loss* 

# Stochastic gradient descent

Split all training examples in B batches



- For *j* from 1 to *B*
- Do gradient descent update <u>using data from batch j</u>

 Advantage of such an approach: computational feasibility for large datasets

# Perceptron training algorithm

Choose initial guess  $\mathbf{w}^{(0)}$ , k=0

For i from 1 to T (epochs)

For j from 1 to N (training examples)

Consider example  $\{x_j, y_j\}$ 

$$\underline{\mathsf{Update}}^*: \boldsymbol{w}^{(k++)} = \boldsymbol{w}^{(k)} - \eta \nabla L(\boldsymbol{w}^{(k)})$$

$$L(\mathbf{w}) = \max(0, -sy)$$

$$s = \sum_{i=0}^{m} x_i w_i$$

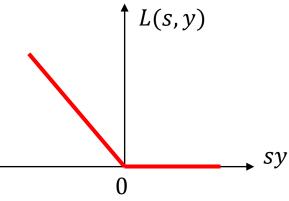
$$\eta \text{ is learning rate}$$

\*There is no derivative when s=0, but this case is handled explicitly in the algorithm, see next slides

# Perceptron training rule

- We have  $\frac{\partial L}{\partial w_i} = 0$  when sy > 0
  - \* We don't need to do update when an example is correctly classified
- We have  $\frac{\partial L}{\partial w_i} = -x_i$  when y = 1 and s < 0
- We have  $\frac{\partial L}{\partial w_i} = x_i$  when y = -1 and s > 0

•  $s = \sum_{i=0}^{m} x_i w_i$ 



### Perceptron training algorithm

When classified correctly, weights are unchanged

When misclassified: 
$$\mathbf{w}^{(k+1)} = -\eta(\pm \mathbf{x})$$
  
( $\eta > 0$  is called *learning rate*)

$$\begin{array}{ll} \underline{\text{If } y = 1, \, \text{but } s < 0} & \underline{\text{If } y = -1, \, \text{but } s \geq 0} \\ w_i \leftarrow w_i + \eta x_i & w_i \leftarrow w_i - \eta x_i \\ w_0 \leftarrow w_0 + \eta & w_0 \leftarrow w_0 - \eta \end{array}$$

Convergence Theorem: if the training data is linearly separable, the algorithm is guaranteed to converge to a solution. That is, there exist a finite K such that  $L(\mathbf{w}^K) = 0$ 

# Perceptron convergence theorem

#### Assumptions

- \* Linear separability: There exists  $\mathbf{w}^*$  so that  $y_i(\mathbf{w}^*)'\mathbf{x}_i \geq \gamma$  for all training data  $i=1,\ldots,N$  and some positive  $\gamma$ .
- \* Bounded data:  $||x_i|| \le R$  for i = 1, ..., N and some finite R.

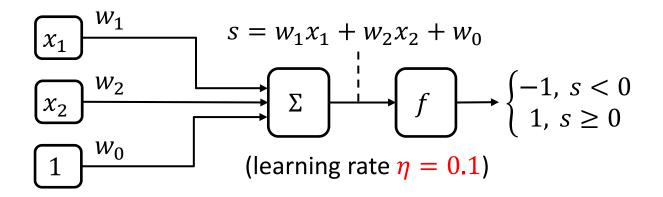
#### Proof sketch

- \* Establish that  $(\mathbf{w}^*)'\mathbf{w}^{(k)} \ge (\mathbf{w}^*)'\mathbf{w}^{(k-1)} + \gamma$
- \* It then follows that  $(w^*)'w^{(k)} \ge k\gamma$
- \* Establish that  $\|\boldsymbol{w}^{(k)}\|^2 \leq kR^2$
- \* Note that  $\cos(w^*, w^{(k)}) = \frac{(w^*)'w^{(k)}}{\|w^*\|\|w^{(k)}\|}$
- \* Use the fact that  $cos(...) \le 1$
- \* Arrive at  $k \leq \frac{R^2 ||w^*||^2}{\gamma}$

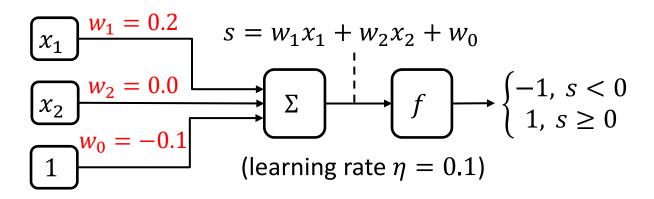
# Pros and cons of perceptron learning

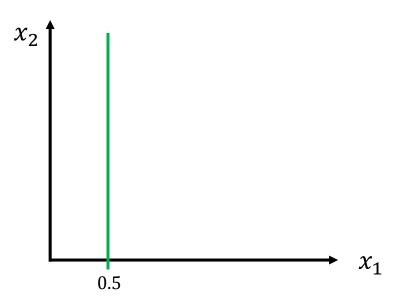
- If the data is linearly separable, the perceptron training algorithm will converge to a correct solution
  - \* There is a formal proof ← good!
  - ★ It will converge to some solution (separating boundary), one of infinitely many possible ← bad!
- However, if the data is not linearly separable, the training will fail completely rather than give some approximate solution
  - \* Ugly ⊗

#### Basic setup

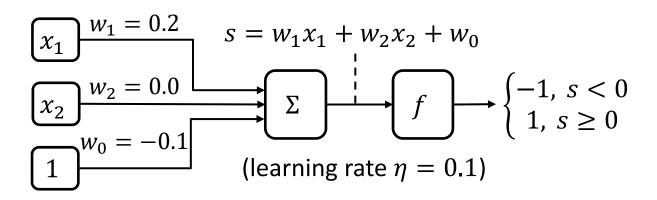


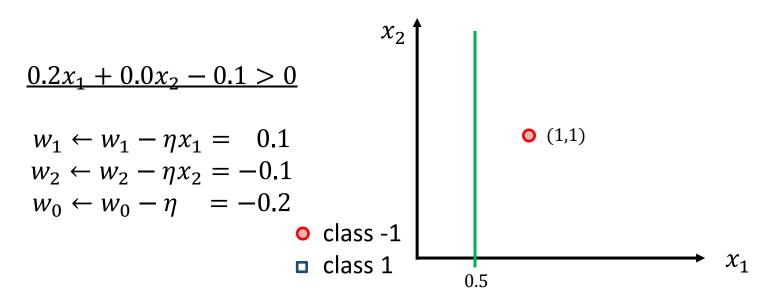
#### Start with random weights



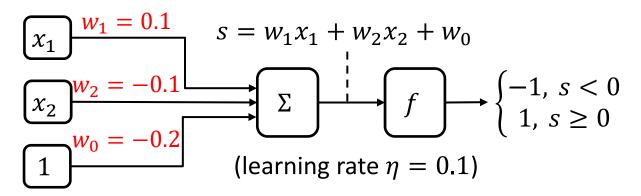


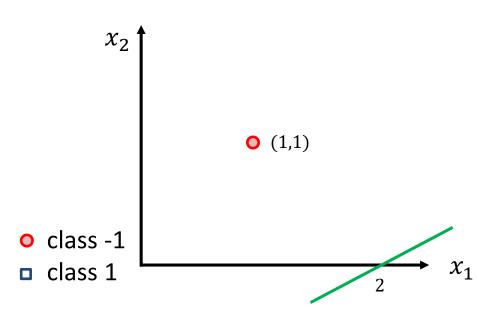
#### Consider training example 1



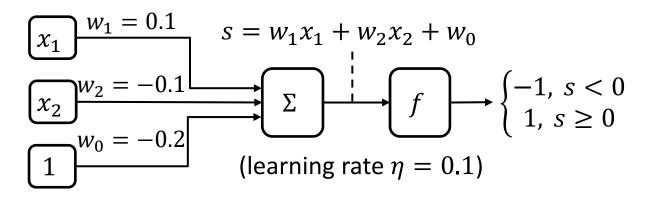


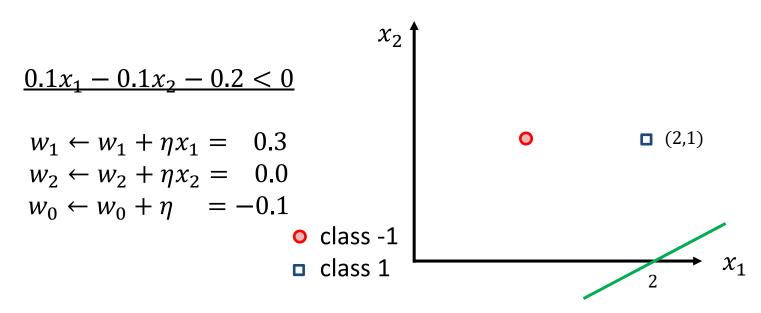
#### **Update weights**



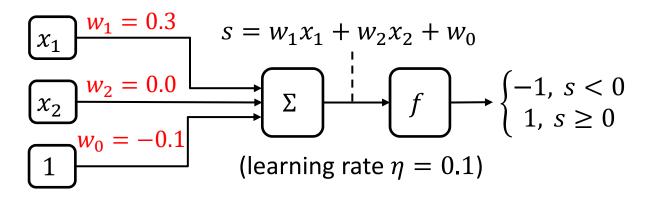


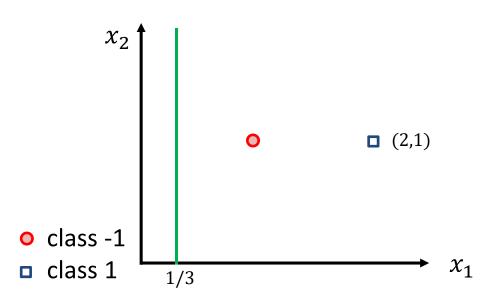
#### Consider training example 2



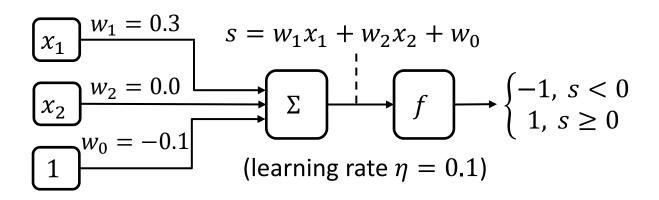


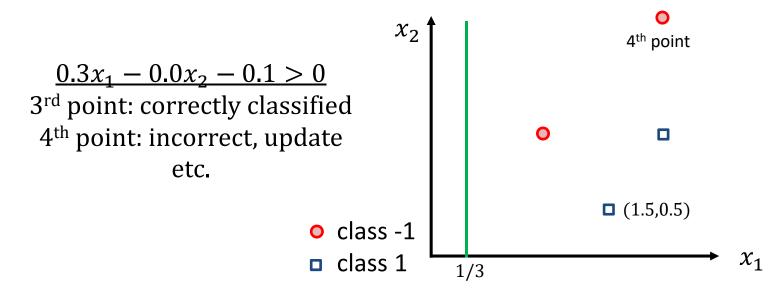
#### **Update weights**



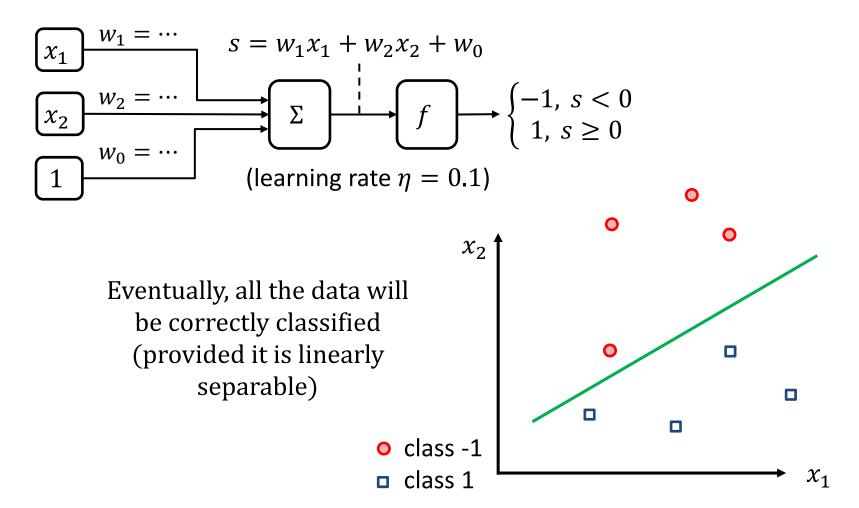


#### **Further examples**





#### **Further examples**



# Summary

- Perceptron
  - Introduction to Artificial Neural Networks
  - \* The perceptron model
  - Training algorithm

- Workshop Week #4: Exploring the perceptron
- Next lecture: Multiple layers, Backprop training