

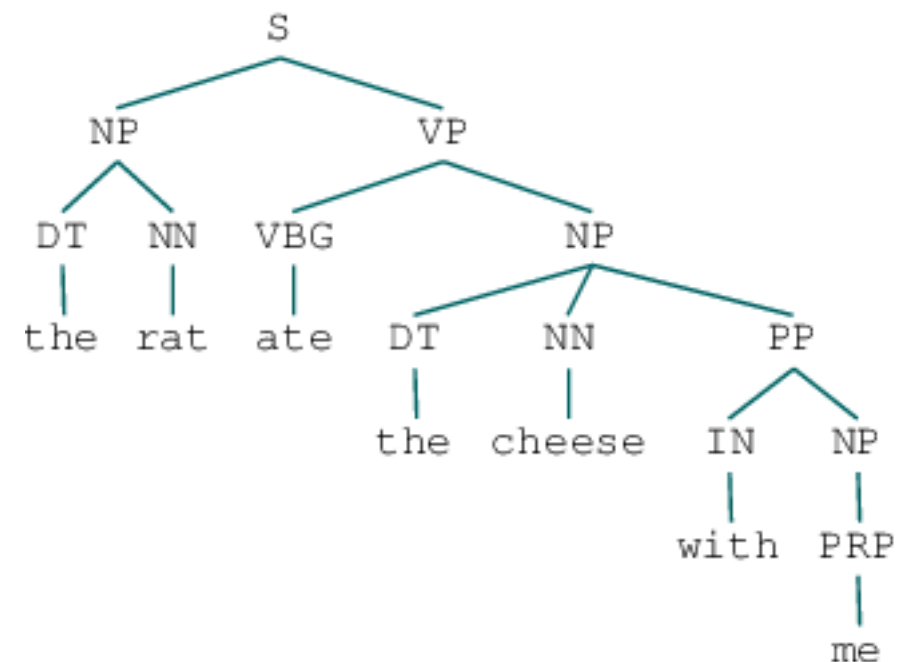
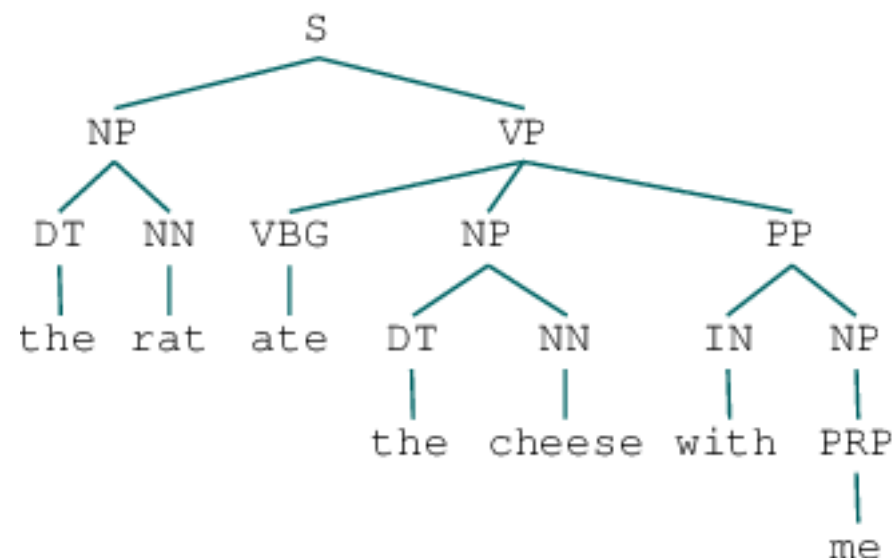
COMP90042 LECTURE 6

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# PROBABILISTIC PARSING

# AMBIGUITY IN PARSING

- ▶ Context-free grammars assign hierarchical structure to language
  - ▶ Linguistic notion of a '*syntactic constituent*'
  - ▶ Formulated as generating all strings in the language; or
  - ▶ Predicting the structure(s) for a given string
- ▶ Raises problem of ambiguity, e.g., which is better?



# OUTLINE

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- ▶ Probabilistic context-free grammars (PCFGs)
- ▶ Parsing using dynamic programming
- ▶ Limitations of ‘context-free’ assumption and some solutions:
  - ▶ parent annotation
  - ▶ head lexicalisation

# BASICS OF PROBABILISTIC CFGS

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- ▶ As for CFGs, same symbol set:
  - ▶ Terminals: words such as *book*
  - ▶ Non-terminal: syntactic labels such as NP or NN
- ▶ Same productions (rules)
  - ▶ LHS non-terminal  $\rightarrow$  ordered list of RHS symbols
- ▶ In addition, store a **probability** with each production
  - ▶  $\text{NP} \rightarrow \text{DT NN}$  [p = 0.45]
  - ▶  $\text{NN} \rightarrow \text{cat}$  [p = 0.02]
  - ▶  $\text{NN} \rightarrow \text{leprechaun}$  [p = 0.000001]
  - ▶ ...

# PROBABILISTIC CFGS

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- ▶ Probability values denote
  - ▶  $\Pr(\text{RHS} \mid \text{LHS})$
- ▶ Consequently they:
  - ▶ must be positive values, between 0 and 1
  - ▶ must sum to one for a given LHS
- ▶ E.g.,
  - ▶  $\text{NN} \rightarrow \text{aadvark} \quad [p = 0.0003]$
  - ▶  $\text{NN} \rightarrow \text{leprechaun} \quad [p = 0.0001]$
  - ▶  $\text{NN} \rightarrow \text{Zanzibar} \quad [p = 0.0025]$
  - ▶  $\sum_x \Pr(\text{NN} \rightarrow x \mid \text{NN}) = 1$

# A PROBABILISTIC GRAMMAR

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$S \rightarrow NP VP$ [0.8]	Verb $\rightarrow$ <i>book</i> [0.3]
$S \rightarrow VP$ [0.05]	Verb $\rightarrow$ <i>include</i> [0.3]
$S \rightarrow Aux NP VP$ [0.15]	Verb $\rightarrow$ <i>prefer</i> [0.4]
$NP \rightarrow Pronoun$ [0.35]	Noun $\rightarrow$ <i>book</i> [0.1]
...	Noun $\rightarrow$ <i>dinner</i> [0.1]
$NP \rightarrow Nominal$ [0.15]	Noun $\rightarrow$ <i>flight</i> [0.3]
$Nominal \rightarrow Noun$ [0.75]	Noun $\rightarrow$ <i>meal</i> [0.15]
$Nominal \rightarrow Nomial Noun$ [0.20]	Noun $\rightarrow$ <i>money</i> [0.05]
$Nominal \rightarrow Nominal PP$ [0.05]	Noun $\rightarrow$ <i>flights</i> [0.40]
$VP \rightarrow Verb$ [0.35]	...
...	

Extract from JM2 Fig. 14.1

# GENERATING SENTENCES WITH PCFGS

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Déjà vu, it's almost the same as for CFG, with one twist:

1. Start with S, the sentence symbol
2. Choose a rule with S as the LHS
  - ▶ **Randomly select a RHS** according to  $\text{Pr}(\text{RHS} \mid \text{LHS})$   
e.g.,  $S \rightarrow VP$
  - ▶ Apply this rule, e.g., substitute VP for S
3. Repeat step 2 for each non-terminal in the string  
(here, VP)
4. Stop when no non-terminals remain

Gives us a tree, as before, with a sentence as the yield

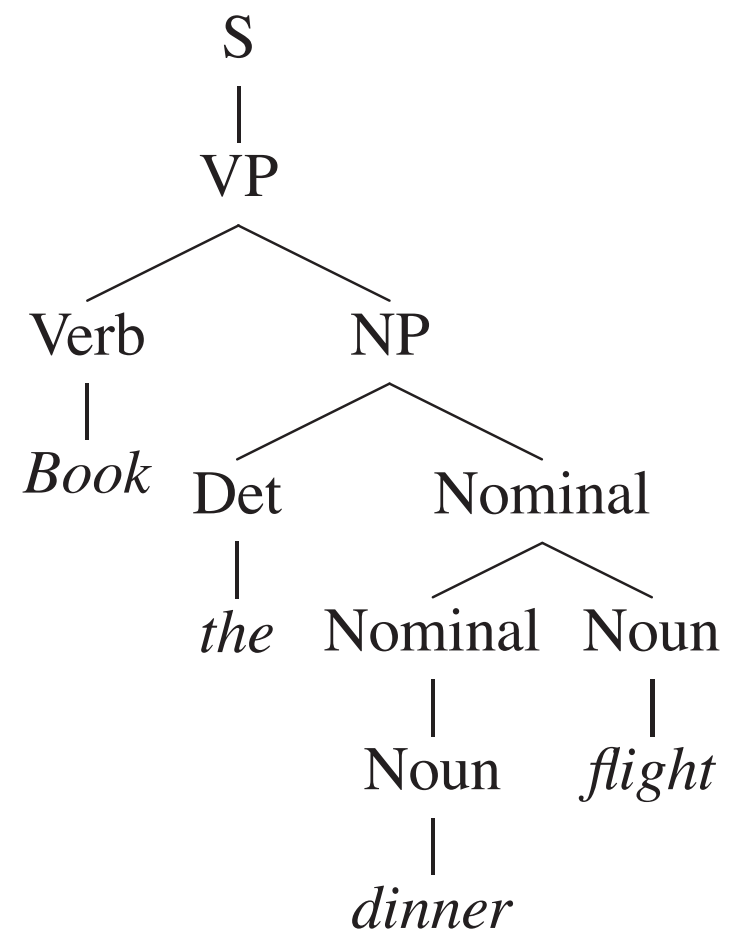
# HOW LIKELY IS A TREE?

- ▶ Given a tree, we can compute its probability
  - ▶ Decomposes into probability of each production

$$P(T) = \prod_{i=1}^n P(\text{RHS}_i | \text{LHS}_i)$$

- ▶ E.g., for tree on right,

- ▶  $P(T) = P(S \rightarrow VP) \times$   
 $P(VP \rightarrow \text{Verb NP}) \times$   
 $P(\text{Verb} \rightarrow \textit{Book}) \times$   
 $P(\text{NP} \rightarrow \text{Det Nominal}) \times$   
 $P(\text{Det} \rightarrow \textit{the}) \times$   
 $P(\text{Nominal} \rightarrow \text{Nominal Noun}) \times$   
 $P(\text{Noun} \rightarrow \textit{dinner}) \times$   
 $P(\text{Noun} \rightarrow \textit{flight}) = 2.2 \times 10^{-6}$

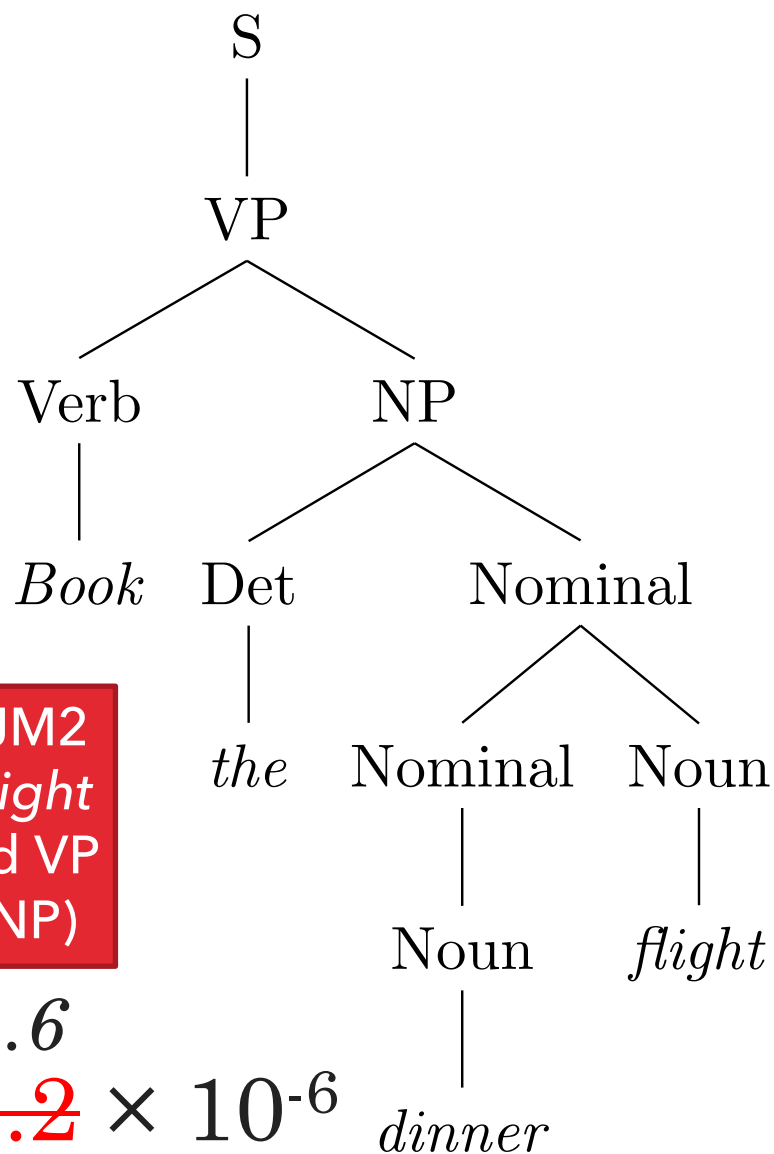




# RESOLVING PARSE AMBIGUITY

- ▶ Can select between different trees based on  $P(T)$

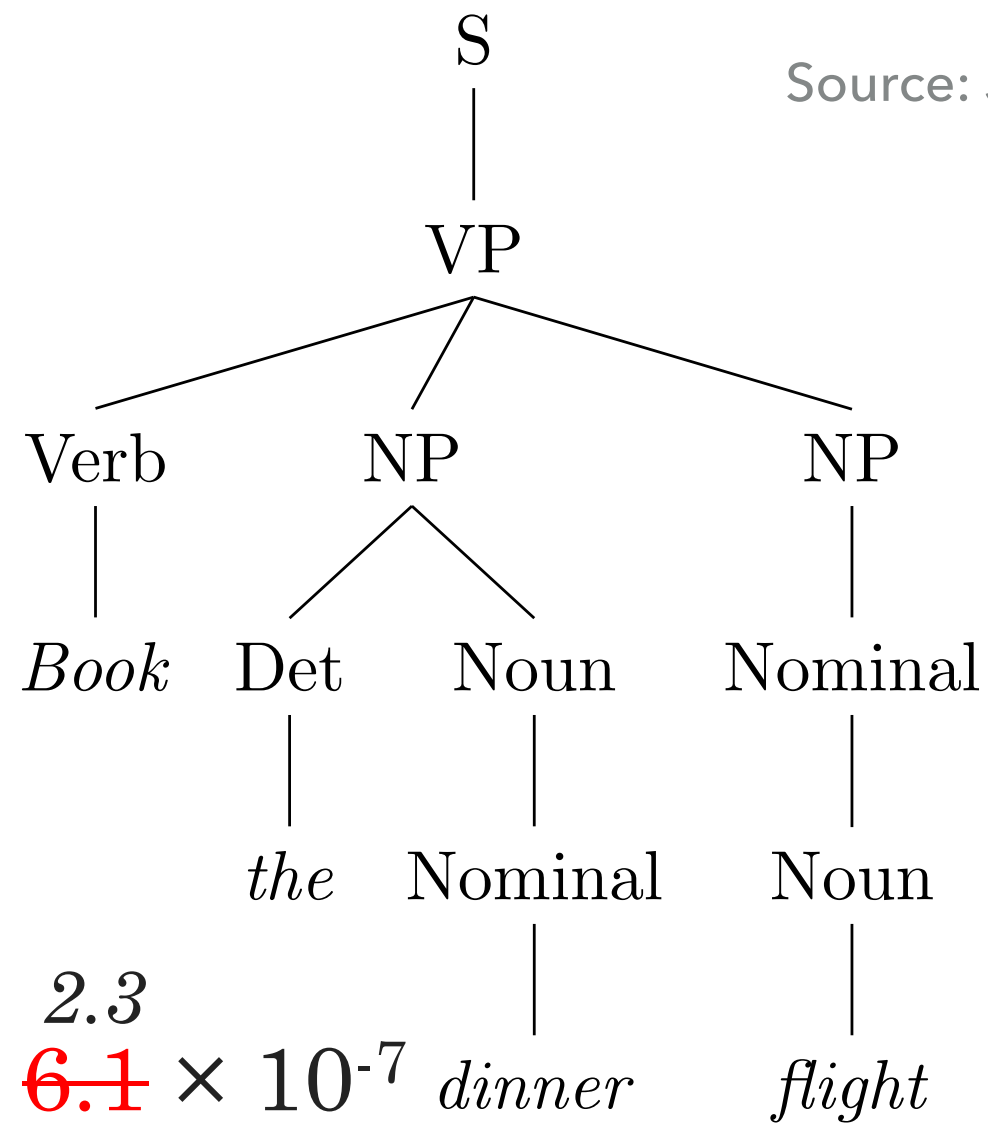
Source: JM2 Ch 14



Mistakes in JM2  
(regarding *flight*  
vs *flights*, and VP  
→ Verb NP NP)

1.6

▶  $P = \frac{1.6}{2.2} \times 10^{-6}$



2.3

$P = \frac{2.3}{6.1} \times 10^{-7}$

- ▶ Note that some structures are the same ( $S \rightarrow VP$ ,  $\text{Verb} \rightarrow \text{Book}...$ )

# PARSING PCFGS

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- ▶ Instead of selecting between two trees, can we select a tree from the set of all possible trees?
- ▶ Before we looked at
  - ▶ CYK and Early
  - ▶ for unweighted grammars (CFGs)
  - ▶ finds **all possible trees**
- ▶ But there are often 1000s, many completely nonsensical
- ▶ Can we solve for the **most probable tree**?

$$T^* = \arg \max_T \text{ s.t. } \text{yield}(T)=S \ P(T)$$

# CYK FOR PCFGS

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- ▶ Similar process to standard CYK
- ▶ Convert grammar to Chomsky Normal Form (CNF)
  - ▶ E.g.,  $VP \rightarrow \text{Verb NP NP}$  [0.05]  
  
becomes  $VP \rightarrow \text{Verb X}$  [??]  
 $X \rightarrow \text{NP NP}$  [??]  
  
where X is a new symbol.
- ▶ But what happens to the probability?
- ▶ Issues with unary productions (*see ipython notebook*)

# CYK FOR PCFGS

Source: JM2 Ch 14

**function parse-CYK( $w$ ,  $G$ ):**

- ▶ **for  $j$  in  $1 \dots |w|$** 
  - ▶ **for all  $A \rightarrow w_j$  in grammar**
    - ▶ **set  $\text{chart}[j-1, j, A] = P(A \rightarrow w_j)$**
  - ▶ **for  $i$  in  $j-1 \dots 0$  (descending)**
    - ▶ **for  $k$  in  $i+1 \dots j-1$** 
      - ▶ **for all  $A \rightarrow B C$  in grammar**  
**such that  $\text{chart}[i, k, B] > 0$  and  $\text{chart}[k, j, C] > 0$** 
        - ▶ **prob =  $P(A \rightarrow B C) \text{chart}[i, k, B] \text{chart}[k, j, C]$**
        - ▶ **if prob >  $\text{chart}[i, j, A]$  then**
          - ▶  **$\text{chart}[i, j, A] = \text{prob}$**
          - ▶  **$\text{back}[i, j, a] = (k, B, C)$**
- ▶ **return build-tree(back,  $|w|$ ,  $S$ )**

Initialise the table with  
preterminal expansions

$i = \text{left}, k = \text{middle}, j = \text{right}$

Find maximum scoring  
decomposition of span  $[i, j]$   
split into  $i < k < j$

Build tree by tracing  
backpointers

# ILLUSTRATION

- Insert preterminal productions of type  $POS \rightarrow word$

<i>Book</i>	<i>the</i>	<i>dinner</i>	<i>flight</i>
Verb [0.3] Noun [0.1] VP [0.105] Nominal [0.075] NP [0.01125] S [0.00525] [0,1]			
	Det [0.6] [1,2]		
		Noun [0.1] Nominal [0.075] NP [0.075] [2,3]	
			Noun [0.3] Nominal [0.225] NP [0.03375] [3,4]

Verb  $\rightarrow book$  [0.3]  
Noun  $\rightarrow book$  [0.1]  
Noun  $\rightarrow dinner$  [0.1]  
Noun  $\rightarrow flight$  [0.3]  
  
VP  $\rightarrow Verb$  [0.35]  
S  $\rightarrow VP$  [0.05]  
Nominal  $\rightarrow Noun$  [0.75]  
NP  $\rightarrow Nominal$  [0.15]

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# ILLUSTRATION

NP → Det Nominal [0.20]  
score = 0.6 x 0.075 x 0.2  
= 0.09

<i>Book</i>	<i>the</i>	<i>dinner</i>	<i>flight</i>
Verb [0.3] Noun [0.1] VP [0.105] Nominal [0.075] NP [0.01125] S [0.00525] [0,1]	[0,2]	[0,3]	[0,4]
	<b>Det [0.6]</b> [1,2]	<b>NP [0.09]</b> [1,3]	[1,4]
		Noun [0.1] <b>Nominal [0.075]</b> NP [0.01125] [2,3]	[2,4]
			Noun [0.3] Nominal [0.225] NP [0.03375] [3,4]

# ILLUSTRATION

Nominal → Nominal Noun [0.20]  
score = 0.075 x 0.3 x 0.2  
= 0.0045

<i>Book</i>	<i>the</i>	<i>dinner</i>	<i>flight</i>
Verb [0.3] Noun [0.1] VP [0.105] Nominal [0.075] NP [0.01125] S [0.00525] [0,1]			
	Det [0.6] [1,2]	NP [0.09] [1,3]	
		Noun [0.1] <b>Nominal [0.075]</b> NP [0.01125] [2,3]	<b>Nominal [0.0045]</b> [2,4]
			<b>Noun [0.3]</b> Nominal [0.225] NP [0.03375] [3,4]

# ILLUSTRATION

NP → Det Nominal [0.20]  
score = 0.6 x 0.0045 x 0.2  
= 0.00054

<i>Book</i>	<i>the</i>	<i>dinner</i>	<i>flight</i>
Verb [0.3] Noun [0.1] VP [0.105] Nominal [0.075] NP [0.01125] S [0.00525] [0,1]	[0,2]	[0,3]	[0,4]
	<b>Det [0.6]</b> [1,2]	NP [0.09] [1,3]	<b>NP [0.00054]</b> [1,4]
		Noun [0.1] Nominal [0.075] NP [0.01125] [2,3]	<b>Nominal [0.0045]</b> [2,4]
			Noun [0.3] Nominal [0.225] NP [0.03375] [3,4]



# ILLUSTRATION

VP → Verb NP [0.20]  
score = 0.3 x 0.00054 x 0.2  
= 0.000032

<i>Book</i>	<i>the</i>	<i>dinner</i>	<i>flight</i>
<div>Verb [0.3] Noun [0.1] VP [0.105] Nominal [0.075] NP [0.01125] S [0.00525] [0,1]</div>			<div>VP [0.000032] [0,4]</div>
	<div>Det [0.6] [1,2]</div>	<div>NP [0.09] [1,3]</div>	<div>NP [0.00054] [1,4]</div>
		<div>Noun [0.1] Nominal [0.075] NP [0.01125] [2,3]</div>	<div>Nominal [0.0045] [2,4]</div>
			<div>Noun [0.3] Nominal [0.225] NP [0.03375] [3,4]</div>

# ILLUSTRATION: COMPETING ANALYSIS

► Length  $j = 4$

$X \rightarrow \text{NP NP [1]}$   
 $\text{VP} \rightarrow \text{Verb X [0.05]}$

<i>Book</i>	<i>the</i>	<i>dinner</i>	<i>flight</i>
Verb [0.3] Noun [0.1] VP [0.105] Nominal [0.075] NP [0.01125] S [0.00525] [0,1]			<b>VP</b> [0.00015]
	Det [0.6] [1,2]	<b>NP</b> [0.09] [1,3] Noun [0.1] Nominal [0.075] NP [0.01125] [2,3]	NP [0.00054] <b>X</b> [0.003] [1,4] Nominal [0.0045] [2,4] Noun [0.3] Nominal [0.225] <b>NP</b> [0.03375] [3,4]

outscores  
existing analysis  
for [0,4; VP]

# PROB CYK: RETRIEVING THE PARSES

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- ▶ S in the top-right corner of parse table indicates success
- ▶ Retain back-pointer to best analysis
  - ▶ for each chart cell, store the split point and the non-terminal for the left and right children
- ▶ To get parse(s), follow pointers back for each match
- ▶ Convert back from CNF by removing new non-terminals

# COMPLEXITY OF CYK

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- ▶ What's the space and time complexity of this algorithm?
  - ▶ in terms of  $n$  the length of the input sentence

# PROBLEMS WITH (P)CFGs

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- ▶ **poor independence assumptions:** rewrite decisions made independently, whereas inter-dependence is often needed to capture global structure.
  - ▶ E.g., NP → PRP used often as subject (first NP), much less often as object (second NP)
- ▶ **lack of lexical conditioning:** non-terminals representation behaviour of the actual words, but are much too coarse. Problems with
  - ▶ preposition attachment ambiguity;
  - ▶ subcategorisation (*[forgot NP]* vs *[forgot S]*);
  - ▶ coordinate structure ambiguities (*dogs in houses and cats*)

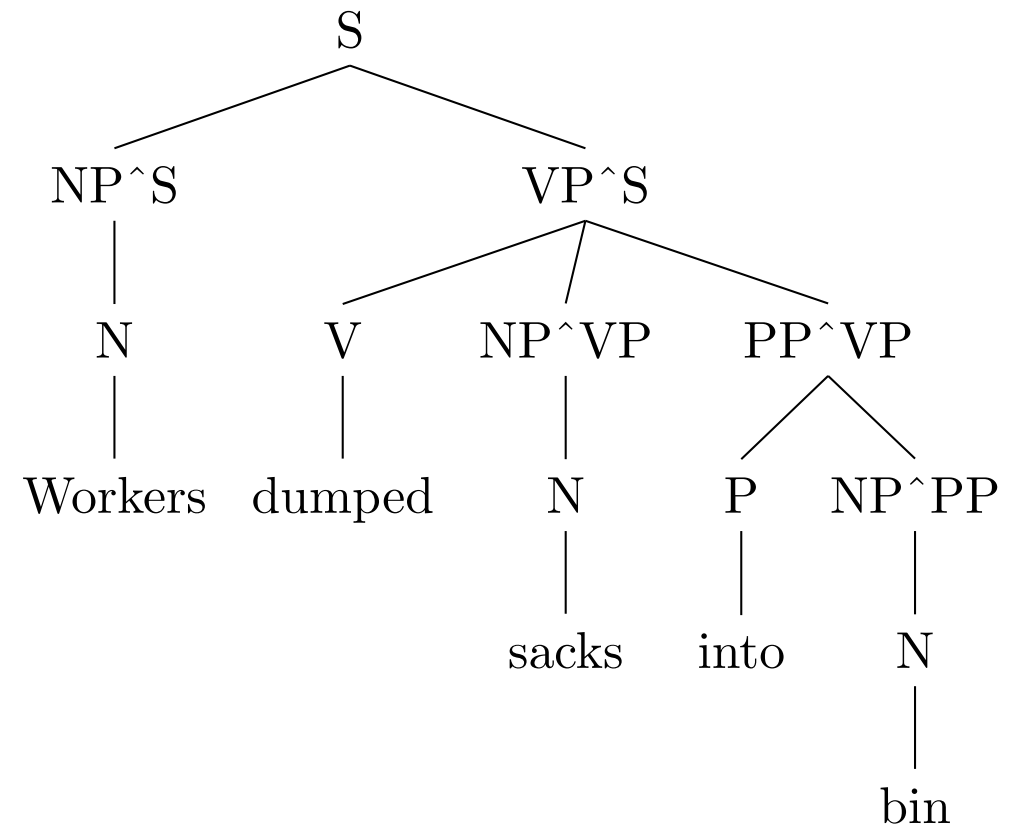
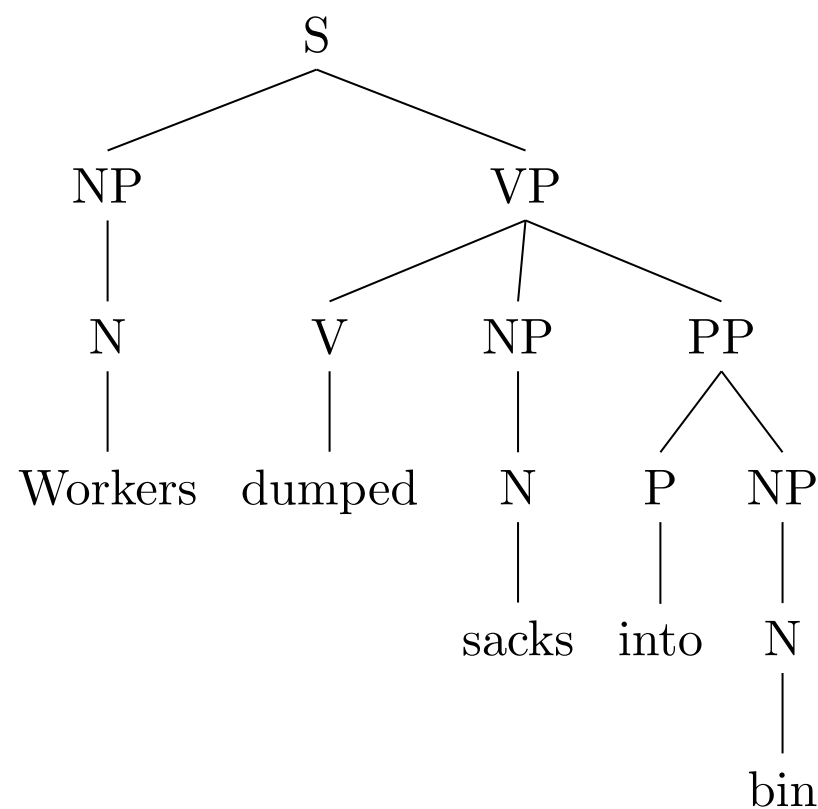
# PP ATTACHMENT

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- ▶ Consider sentences (PP shown bracketed)
  - (1) *Workers dumped sacks [into bin].*
  - (2) *Fishermen caught tons [of herring].*
- ▶ Both have same POS tag sequence, but different structure
  - ▶ PP attaches either high (to the verb) or low (to the noun)
  - ▶ how to make this attachment decision? Difference between the two analyses minor:
    - ▶  $VP \rightarrow \text{Verb NP PP}$  vs.  $VP \rightarrow \text{Verb NP}; NP \rightarrow NP PP$
- ▶ The probabilities of these three rules drive attachment, *irrespective of the verb, preposition and noun*

# ONE SOLUTION: PARENT CONDITIONING

- ▶ Make non-terminals more explicit by incorporating parent symbol into each symbol



- ▶ NP^S represents subject position; while NP^VP denoting object position
- ▶ Helps to specify general tags, used for a number of very different purposes, e.g., *He said **that** I saw ...*

# ANOTHER SOLUTION: LEXICALISATION

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- ▶ Uses notion of **head word**
  - ▶ the most salient child of a constituent, usually the noun in a NP, verb in a VP etc
- ▶ Incorporate head words into productions, such that the most important links between words is captured
  - ▶ E.g.,  $VP \rightarrow VBD\ NP\ PP \Rightarrow$   
 $VP(dumped) \rightarrow VBD(dumped)\ NP(sacks)\ PP(into)$
  - ▶ rule captures correlations between head tokens of phrases
- ▶ Learning probabilities somewhat more involved, to avoid sparsity problems (e.g., zero probabilities)



# A FINAL WORD

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- ▶ PCFGs widely used, and some of the best performing parsers available. E.g.,
  - ▶ Collins parser, Berkeley parser, Stanford parser
  - ▶ all use some form of lexicalisation or change to non-terminal set with CFGs

# REQUIRED READING

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- ▶ J&M2 Ch. 14,  $\leq 14.6$  (skip 14.1.2)