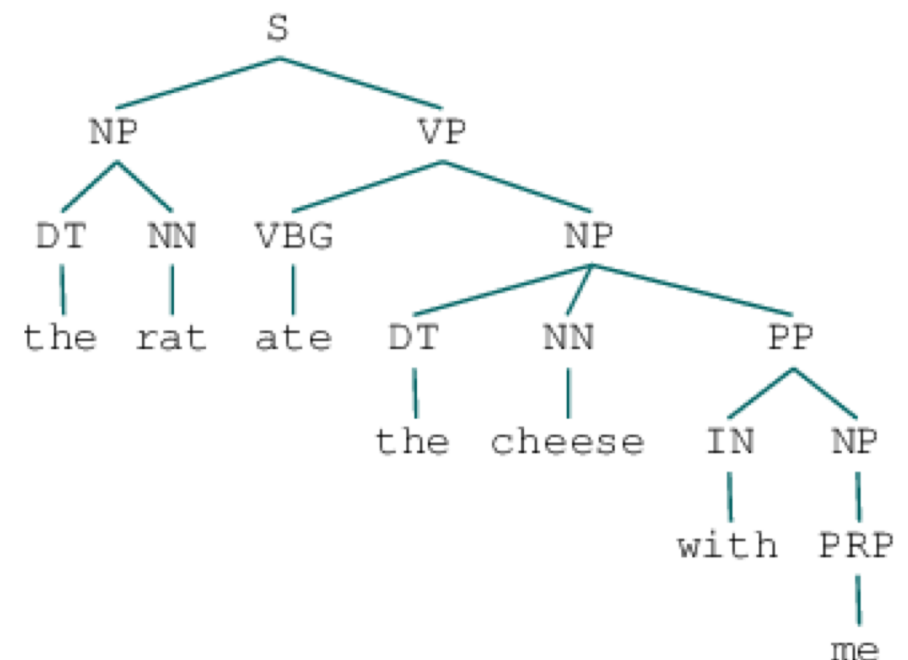
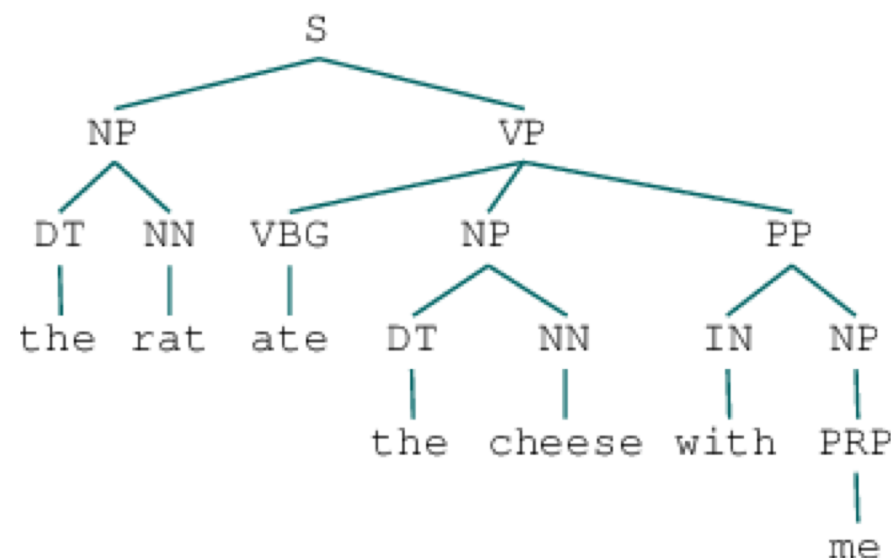


COMP90042 LECTURE 9

PROBABILISTIC PARSING

AMBIGUITY IN PARSING

- ▶ Context-free grammars assign hierarchical structure to language
 - ▶ Linguistic notion of a '*syntactic constituent*'
 - ▶ Formulated as generating all strings in the language; or
 - ▶ Predicting the structure(s) for a given string
- ▶ Raises problem of ambiguity, e.g., which is better?



OUTLINE

- ▶ Probabilistic context-free grammars (PCFGs)
- ▶ Parsing using dynamic programming
- ▶ Limitations of ‘context-free’ assumption and some solutions:
 - ▶ parent annotation
 - ▶ head lexicalisation

BASICS OF PROBABILISTIC CFGS

- ▶ As for CFGs, same symbol set:
 - ▶ Terminals: words such as *book*
 - ▶ Non-terminal: syntactic labels such as NP or NN
- ▶ Same productions (rules)
 - ▶ LHS non-terminal \rightarrow ordered list of RHS symbols
- ▶ In addition, store a **probability** with each production
 - ▶ $\text{NP} \rightarrow \text{DT NN}$ [p = 0.45]
 - ▶ $\text{NN} \rightarrow \text{cat}$ [p = 0.02]
 - ▶ $\text{NN} \rightarrow \text{leprechaun}$ [p = 0.000001]
 - ▶ ...

PROBABILISTIC CFGS

- ▶ Probability values denote *conditional*
 - ▶ $\Pr(\text{RHS} \mid \text{LHS})$
- ▶ Consequently they:
 - ▶ must be positive values, between 0 and 1
 - ▶ must sum to one for given LHS
- ▶ E.g.,
 - ▶ $\text{NN} \rightarrow \text{aadvark} \quad [p = 0.0003]$
 - ▶ $\text{NN} \rightarrow \text{leprechaun} \quad [p = 0.0001]$
 - ▶ $\text{NN} \rightarrow \text{Zanzibar} \quad [p = 0.0025]$
 - ▶ $\sum_x \Pr(\text{NN} \rightarrow x \mid \text{NN}) = 1$

A PROBABILISTIC GRAMMAR

Grammar		Lexicon
$S \rightarrow NP VP$	[.80]	$Det \rightarrow that [.10] \mid a [.30] \mid the [.60]$
$S \rightarrow Aux NP VP$	[.15]	$Noun \rightarrow book [.10] \mid \text{flight} [.30]$
$S \rightarrow VP$	[.05]	$\mid meal [.015] \mid money [.05]$
$NP \rightarrow Pronoun$	[.35]	$\mid flight [.40] \mid dinner [.10]$
$NP \rightarrow Proper-Noun$	[.30]	$Verb \rightarrow book [.30] \mid include [.30]$
$NP \rightarrow Det Nominal$	[.20]	$\mid prefer [.40]$
$NP \rightarrow Nominal$	[.15]	$Pronoun \rightarrow I [.40] \mid she [.05]$
$Nominal \rightarrow Noun$	[.75]	$\mid me [.15] \mid you [.40]$
$Nominal \rightarrow Nominal Noun$	[.20]	$Proper-Noun \rightarrow Houston [.60]$
$Nominal \rightarrow Nominal PP$	[.05]	$\mid NWA [.40]$
$VP \rightarrow Verb$	[.35]	$Aux \rightarrow does [.60] \mid can [.40]$
$VP \rightarrow Verb NP$	[.20]	$Preposition \rightarrow from [.30] \mid to [.30]$
$VP \rightarrow Verb NP PP$	[.10]	$\mid on [.20] \mid near [.15]$
$VP \rightarrow Verb PP$	[.15]	$\mid through [.05]$
$VP \rightarrow Verb NP NP$	[.05]	
$VP \rightarrow VP PP$	[.15]	
$PP \rightarrow Preposition NP$	[1.0]	

Source JM3, Fig 13.1

STOCHASTIC GENERATION WITH PCFGS

Déjà vu, it's almost the same as for CFG, with one twist:

1. Start with S, the sentence symbol
2. Choose a rule with S as the LHS
 - ▶ **Randomly select a RHS** according to $\text{Pr}(\text{RHS} \mid \text{LHS})$
e.g., $S \rightarrow VP$
 - ▶ Apply this rule, e.g., substitute VP for S
3. Repeat step 2 for each non-terminal in the string
(here, VP)
4. Stop when no non-terminals remain

Gives us a tree, as before, with a sentence as the yield

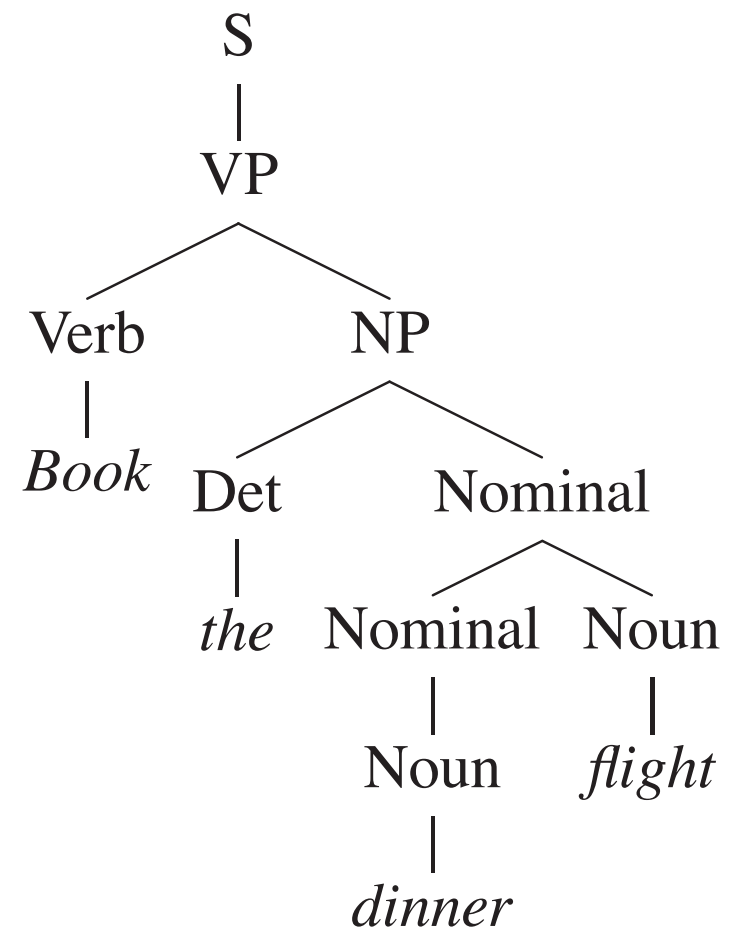
HOW LIKELY IS A TREE?

- ▶ Given a tree, we can compute its probability
 - ▶ Decomposes into probability of each production

$$P(T|S) = \prod_{i=1}^n P(RHS_i | LHS_i)$$

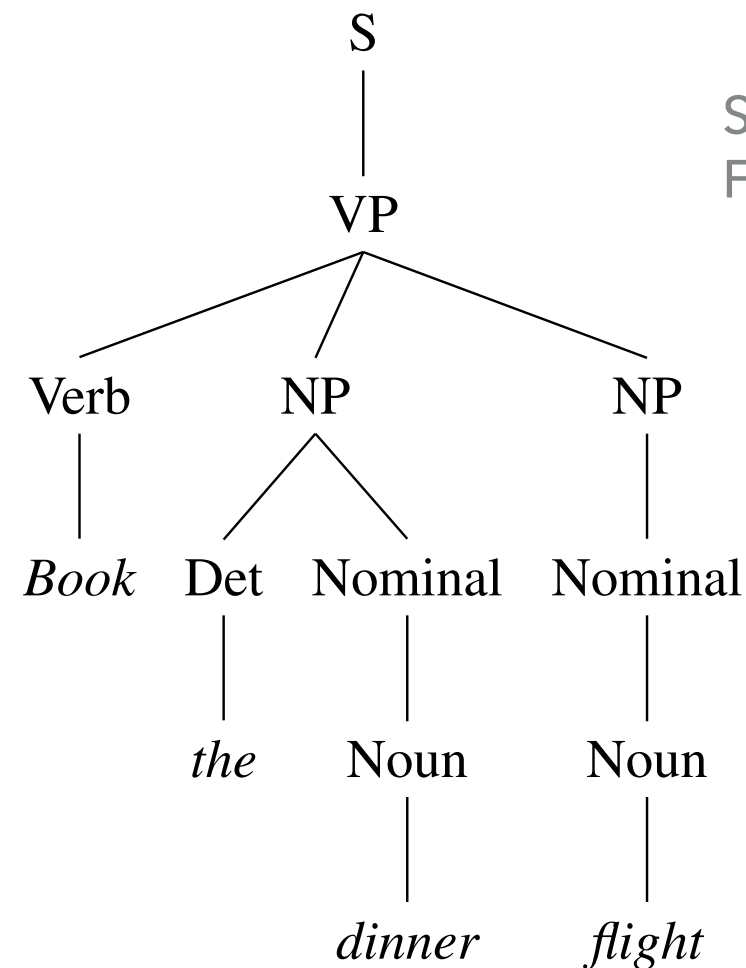
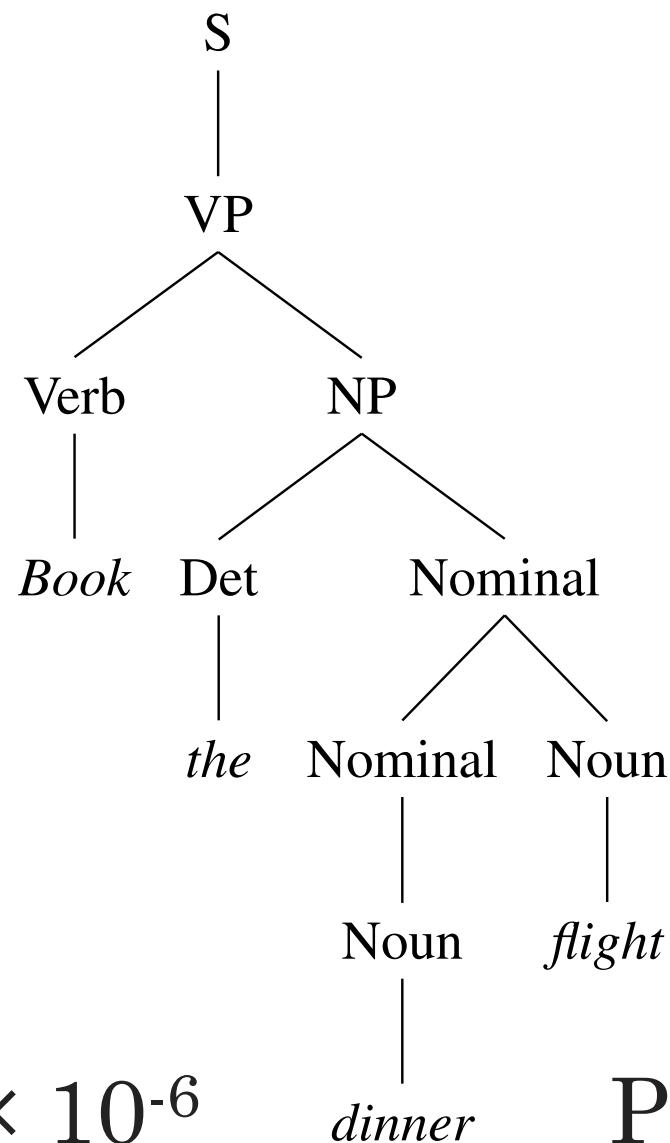
- ▶ E.g., for tree on right,

- ▶ $P(T | S) = P(S \rightarrow VP) \times$
 $P(VP \rightarrow \text{Verb NP}) \times$
 $P(\text{Verb} \rightarrow \textit{Book}) \times$
 $P(\text{NP} \rightarrow \text{Det Nominal}) \times$
 $P(\text{Det} \rightarrow \textit{the}) \times$
 $P(\text{Nominal} \rightarrow \text{Nominal Noun}) \times$
 $P(\text{Noun} \rightarrow \textit{dinner}) \times$
 $P(\text{Noun} \rightarrow \textit{flight}) = 2.2 \times 10^{-6}$



RESOLVING PARSE AMBIGUITY

- ▶ Can select between different trees based on $P(T)$



Source: JM3
Fig 13.2

- ▶ $P = 2.16 \times 10^{-6}$ $P = 3.04 \times 10^{-7}$

Mistakes in
textbook

- ▶ Note that some structures are the same
($S \rightarrow VP$, $\text{Verb} \rightarrow \textit{Book} \dots$)

PARSING PCFGS

- ▶ Instead of selecting between two trees, can we select a tree from the set of all possible trees?
- ▶ Before we looked at
 - ▶ CYK and Early
 - ▶ for unweighted grammars (CFGs)
 - ▶ finds **all possible trees**
- ▶ But there are often 1000s, many completely nonsensical
- ▶ Can we solve for the **most probable tree**?

$$T = \operatorname{argmax}_T \text{ s.t. } \text{yield}(T)=\mathbf{w} \ P(T|S)$$

CYK FOR PCFGS

- ▶ CYK finds *all trees* for a sentence; we want **best** tree
- ▶ Prob. CYK follows similar process to standard CYK
- ▶ Convert grammar to Chomsky Normal Form (CNF)
 - ▶ E.g., $VP \rightarrow \text{Verb NP NP}$ **[0.05]**
becomes $VP \rightarrow \text{Verb X}$ **[??]**
 $X \rightarrow \text{NP NP}$ **[??]**
where X is a new symbol.
 - ▶ But what happens to the probability?
- ▶ Issues with unary productions (*see ipython notebook*)

function CKY-PARSE(*words*, *grammar*) **returns** *table*

for $j \leftarrow$ **from** 1 **to** LENGTH(*words*) **do**

for all $\{A \mid A \rightarrow \text{words}[j] \in \text{grammar}\}$

$\text{table}[j-1, j] \leftarrow \text{table}[j-1, j] \cup A$

for $i \leftarrow$ **from** $j-2$ **downto** 0 **do**

for $k \leftarrow i+1$ **to** $j-1$ **do**

for all $\{A \mid A \rightarrow BC \in \text{grammar} \text{ and } B \in \text{table}[i, k] \text{ and } C \in \text{table}[k, j]\}$

$\text{table}[i, j] \leftarrow \text{table}[i, j] \cup A$

Figure 12.5 The CKY algorithm.

function PROBABILISTIC-CKY(*words*, *grammar*) **returns** most probable parse
and its probability

for $j \leftarrow$ **from** 1 **to** LENGTH(*words*) **do**

for all $\{A \mid A \rightarrow \text{words}[j] \in \text{grammar}\}$

$\text{table}[j-1, j, A] \leftarrow P(A \rightarrow \text{words}[j])$

for $i \leftarrow$ **from** $j-2$ **downto** 0 **do**

for $k \leftarrow i+1$ **to** $j-1$ **do**

for all $\{A \mid A \rightarrow BC \in \text{grammar},$

and $\text{table}[i, k, B] > 0 \text{ and } \text{table}[k, j, C] > 0 \}$

if $(\text{table}[i, j, A] < P(A \rightarrow BC) \times \text{table}[i, k, B] \times \text{table}[k, j, C])$ **then**

$\text{table}[i, j, A] \leftarrow P(A \rightarrow BC) \times \text{table}[i, k, B] \times \text{table}[k, j, C]$

$\text{back}[i, j, A] \leftarrow \{k, B, C\}$

return BUILD_TREE($\text{back}[1, \text{LENGTH}(\text{words}), S]$), $\text{table}[1, \text{LENGTH}(\text{words}), S]$

Source: JM3
Ch 12,13

Figure 13.3 The probabilistic CKY algorithm for finding the maximum probability parse

ILLUSTRATION

- ▶ Insert preterminal productions of type $POS \rightarrow word$

<i>Book</i>	<i>the</i>	<i>dinner</i>	<i>flight</i>
Verb [0.3] Noun [0.1] VP [0.105] Nominal [0.075] NP [0.01125] S [0.00525] [0,1]			
	Det [0.6] [1,2]		
		Noun [0.1] Nominal [0.075] NP [0.075] [2,3]	
			Noun [0.3] Nominal [0.225] NP [0.03375] [3,4]

Verb $\rightarrow book$ [0.3]
Noun $\rightarrow book$ [0.1]
Noun $\rightarrow dinner$ [0.1]
Noun $\rightarrow flight$ [0.3]

VP \rightarrow Verb [0.35]
S \rightarrow VP [0.05]
Nominal \rightarrow Noun [0.75]
NP \rightarrow Nominal [0.15]

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ILLUSTRATION

NP → Det Nominal [0.20]
score = 0.6 x 0.075 x 0.2
= 0.09

<i>Book</i>	<i>the</i>	<i>dinner</i>	<i>flight</i>
Verb [0.3] Noun [0.1] VP [0.105] Nominal [0.075] NP [0.01125] S [0.00525] [0,1]	[0,2]	[0,3]	[0,4]
	Det [0.6] [1,2]	NP [0.09] [1,3]	[1,4]
		Noun [0.1] Nominal [0.075] NP [0.01125] [2,3]	[2,4]
			Noun [0.3] Nominal [0.225] NP [0.03375] [3,4]

ILLUSTRATION

Nominal → Nominal Noun [0.20]
score = 0.075 x 0.3 x 0.2
= 0.0045

<i>Book</i>	<i>the</i>	<i>dinner</i>	<i>flight</i>
Verb [0.3] Noun [0.1] VP [0.105] Nominal [0.075] NP [0.01125] S [0.00525] [0,1]			
	Det [0.6] [1,2]	NP [0.09] [1,3]	
		Noun [0.1] Nominal [0.075] NP [0.01125] [2,3]	Nominal [0.0045] [2,4]
			Noun [0.3] Nominal [0.225] NP [0.03375] [3,4]

ILLUSTRATION

NP → Det Nominal [0.20]
score = 0.6 x 0.0045 x 0.2
= 0.00054

<i>Book</i>	<i>the</i>	<i>dinner</i>	<i>flight</i>
Verb [0.3] Noun [0.1] VP [0.105] Nominal [0.075] NP [0.01125] S [0.00525] [0,1]	[0,2]	[0,3]	[0,4]
	Det [0.6] [1,2]	NP [0.09] [1,3]	NP [0.00054] [1,4]
		Noun [0.1] Nominal [0.075] NP [0.01125] [2,3]	Nominal [0.0045] [2,4]
			Noun [0.3] Nominal [0.225] NP [0.03375] [3,4]

ILLUSTRATION

VP → Verb NP [0.20]
score = 0.3 x 0.00054 x 0.2
= 0.000032

<i>Book</i>	<i>the</i>	<i>dinner</i>	<i>flight</i>
<div>Verb [0.3] Noun [0.1] VP [0.105] Nominal [0.075] NP [0.01125] S [0.00525] [0,1]</div>			<div>VP [0.000032] [0,4]</div>
	<div>Det [0.6] [1,2]</div>	<div>NP [0.09] [1,3]</div>	<div>NP [0.00054] [1,4]</div>
		<div>Noun [0.1] Nominal [0.075] NP [0.01125] [2,3]</div>	<div>Nominal [0.0045] [2,4]</div>
			<div>Noun [0.3] Nominal [0.225] NP [0.03375] [3,4]</div>

ILLUSTRATION: COMPETING ANALYSIS

► Length j = 4

X → NP NP [1]
VP → Verb X [0.05]

<i>Book</i>	<i>the</i>	<i>dinner</i>	<i>flight</i>
Verb [0.3] Noun [0.1] VP [0.105] Nominal [0.075] NP [0.01125] S [0.00525] [0,1]			VP [0.00015]
	Det [0.6] [1,2]	NP [0.09] [1,3] Noun [0.1] Nominal [0.075] NP [0.01125] [2,3]	NP [0.00054] X [0.003] [1,4] Nominal [0.0045] [2,4] Noun [0.3] Nominal [0.225] NP [0.03375] [3,4]

outscores
existing analysis
for [0,4; VP]

PROB CYK: RETRIEVING THE PARSES

- ▶ S in the top-right corner of parse table indicates success
- ▶ Retain back-pointer to best analysis
 - ▶ for each chart cell, store the split point and the non-terminal for the left and right children
- ▶ To get parse(s), follow pointers back for each match
- ▶ Convert back from CNF by removing new non-terminals

COMPLEXITY OF CYK

- ▶ What's the space and time complexity of this algorithm?
 - ▶ in terms of n the length of the input sentence

PROBLEMS WITH (P)CFGs

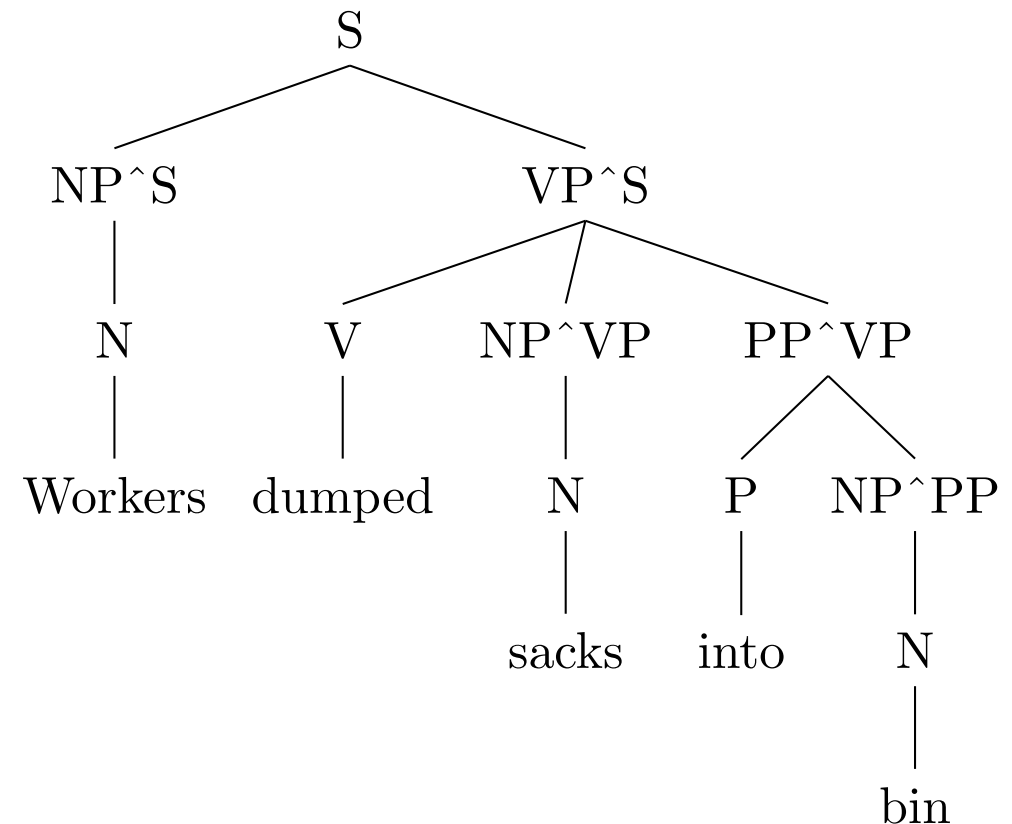
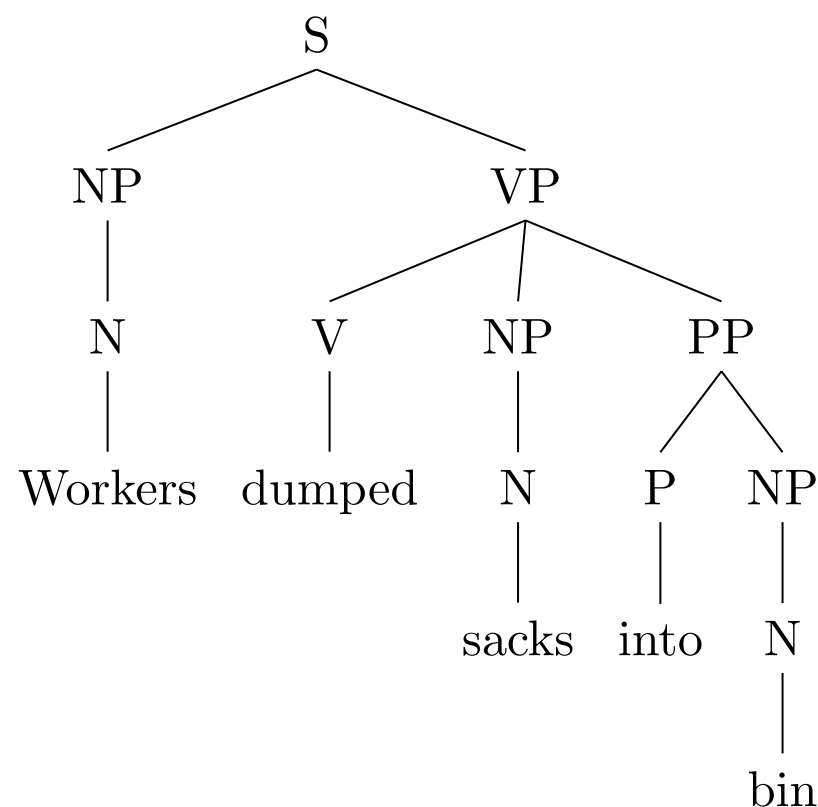
- ▶ **poor independence assumptions:** rewrite decisions made independently, whereas inter-dependence is often needed to capture global structure.
 - ▶ E.g., NP → PRP used often as subject (first NP), much less often as object (second NP)
- ▶ **lack of lexical conditioning:** non-terminals representation behaviour of the actual words, but are much too coarse. Problems with
 - ▶ preposition attachment ambiguity;
 - ▶ subcategorisation (*[forgot NP]* vs *[forgot S]*);
 - ▶ coordinate structure ambiguities (*dogs in houses and cats*)

PP ATTACHMENT

- ▶ Consider sentences (PP shown bracketed)
 - (1) *Workers dumped sacks [into bin].*
 - (2) *Fishermen caught tons [of herring].*
- ▶ Both have same POS tag sequence, but different structure
 - ▶ PP attaches either high (to the verb) or low (to the noun)
 - ▶ how to make this attachment decision? Difference between the two analyses minor:
 - ▶ $VP \rightarrow \text{Verb NP PP}$ vs. $VP \rightarrow \text{Verb NP}; NP \rightarrow NP PP$
- ▶ The probabilities of these three rules drive attachment, *irrespective of the verb, preposition and noun*

ONE SOLUTION: PARENT CONDITIONING

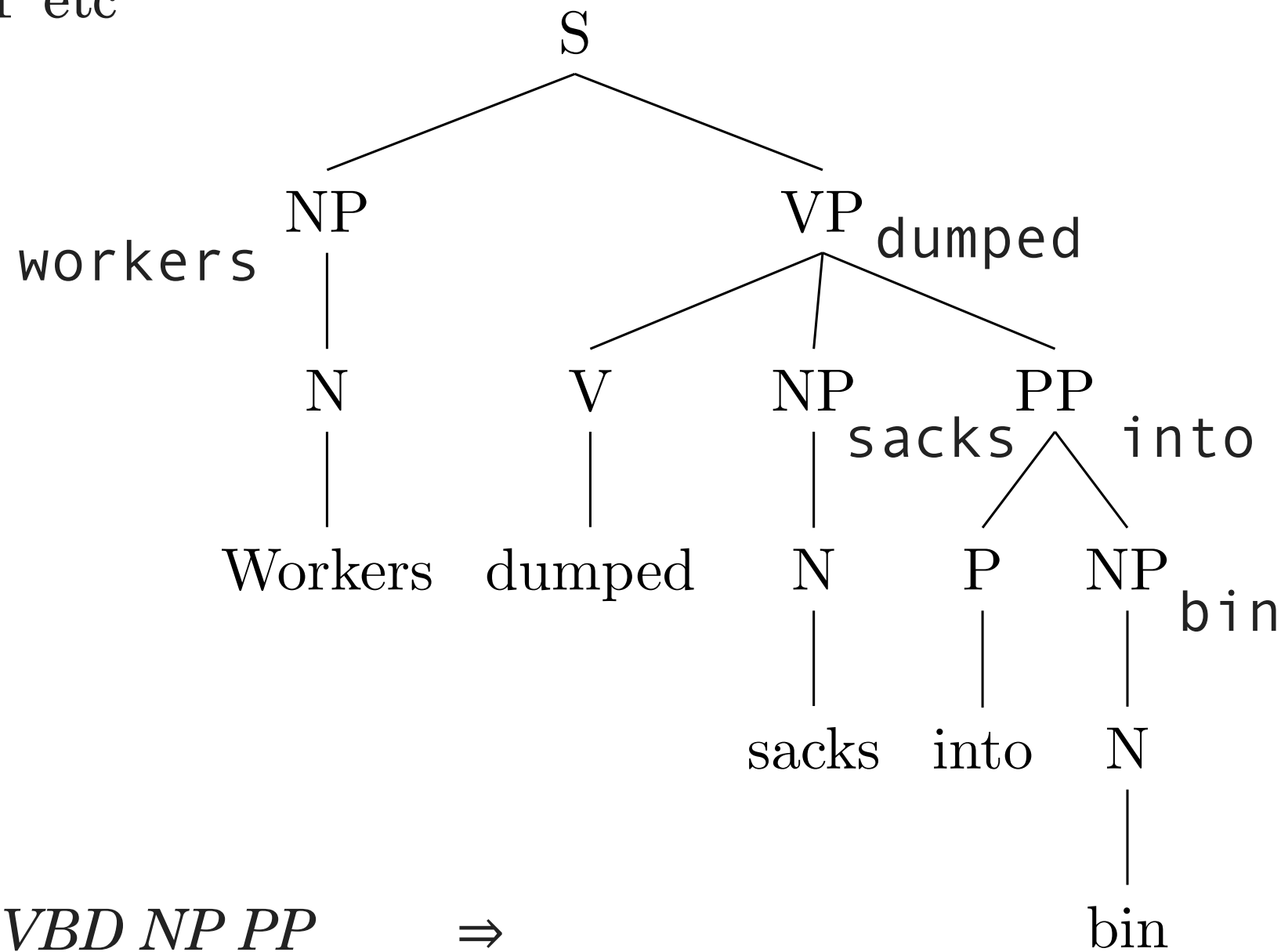
- ▶ Make non-terminals more explicit by incorporating parent symbol into each symbol



- ▶ NP^S represents subject position (left); NP^VP denotes object position (right)
- ▶ Helps to make general tags more specific, used for a number of different purposes, e.g., *He said **that** I saw ...*

ANOTHER SOLUTION: HEAD LEXICALISATION

- ▶ Record **head word** with parent symbols
- ▶ the most salient child of a constituent, usually the noun in a NP, verb in a VP etc



- ▶ $VP \rightarrow VBD\ NP\ PP \Rightarrow VP(dumped) \rightarrow VBD(dumped)\ NP(sacks)\ PP(into)$

HEAD LEXICALISATION

- ▶ Incorporate head words into productions, such that the most important links between words is captured
 - ▶ rule captures correlations between head tokens of phrases
- ▶ Grammar symbol inventory expands massively!
 - ▶ Many of the productions much too specific, seen very rarely
 - ▶ Learning more involved to avoid sparsity problems (e.g., zero probabilities)

A FINAL WORD

- ▶ PCFGs widely used, and some of the best performing parsers available. E.g.,
 - ▶ Collins parser, Berkeley parser, Stanford parser
 - ▶ all use some form of lexicalisation or change to non-terminal set with CFGs

REQUIRED READING

- ▶ J&M3 Ch. 13 – 13.6