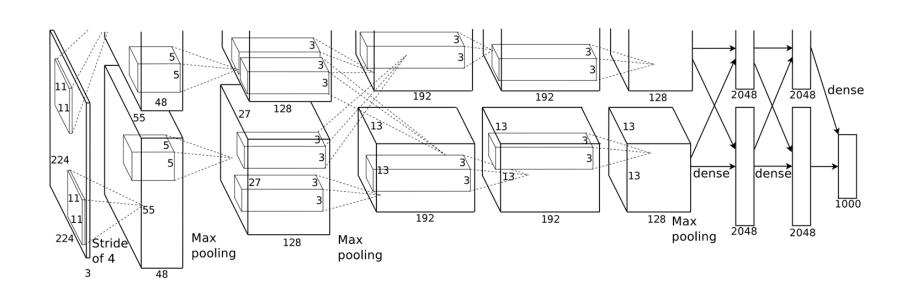
About Deep NN



2018 Turing Award

— by ACM @ 2019/3/27





AWARDS & RECOGNITION

ACM has named Yoshua Bengio of the University of Montreal, Geoffrey Hinton of Google, and Yann LeCun of New York University recipients of the 2018 ACM A.M. Turing Award for conceptual and engineering breakthroughs that have made deep neural networks a critical component of computing. Working independently and together, Hinton, LeCun and Bengio developed conceptual foundations for the field, identified surprising phenomena through experiments, and contributed engineering advances that demonstrated the practical advantages of deep neural networks.

Outline

- Convolution, Padding & Stride
- Pooling
- Convolutional Neural Network (LeNet)
- Deep Neural Networks
- Deep Learning Frameworks

2-D Cross Correlation

0

6

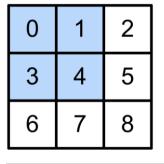
Input Kernel Output

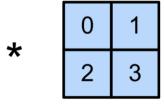
1 2 0 1 19 25

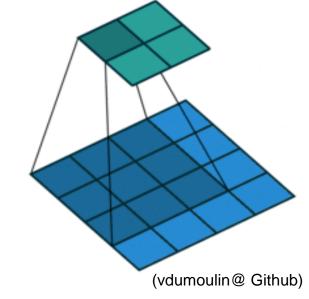
$$0 \times 0 + 1 \times 1 + 3 \times 2 + 4 \times 3 = 19,$$

 $1 \times 0 + 2 \times 1 + 4 \times 2 + 5 \times 3 = 25,$
 $3 \times 0 + 4 \times 1 + 6 \times 2 + 7 \times 3 = 37,$
 $4 \times 0 + 5 \times 1 + 7 \times 2 + 8 \times 3 = 43.$

2-D Convolution Layer







$$\mathbf{X}: n_h \times n_w$$

 $\mathbf{W}: k_h \times k_w$

 $Y: (n_h - k_h + 1) \times (n_w - k_w + 1)$

input matrix

kernel matrix

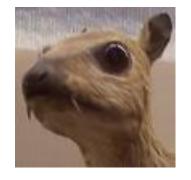
output matrix feature map

b: scalar bias

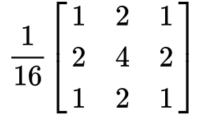
$$\mathbf{Y} = \mathbf{X} \star \mathbf{W} + b$$

W and *b* are learnable parameters.

Examples



$$\left[egin{array}{ccc} 0 & -1 & 0 \ -1 & 5 & -1 \ 0 & -1 & 0 \ \end{array}
ight]$$





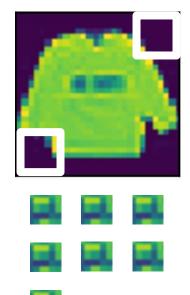
Sharpen



Gaussian Blur

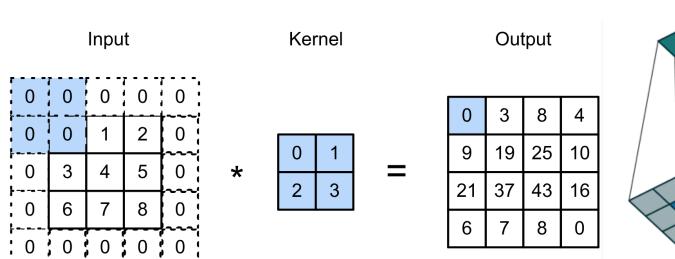
- Given a 32 x 32 input image
- Apply convolutional layer with 5 x 5 kernel
 - 28 x 28 output with 1 layer
 - 4 x 4 output with 7 layers
- Shape decreases faster with larger kernels
 - Shape reduces from $n_h \times n_w$ to

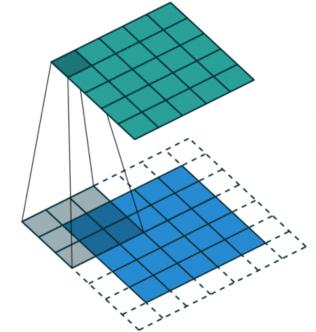
$$(n_h - k_h + 1) \times (n_w - k_w + 1)$$



Padding

Padding adds rows/columns around input





$$0 \times 0 + 0 \times 1 + 0 \times 2 + 0 \times 3 = 0$$

Padding

*Padding p_h rows and p_w columns, output shape will be

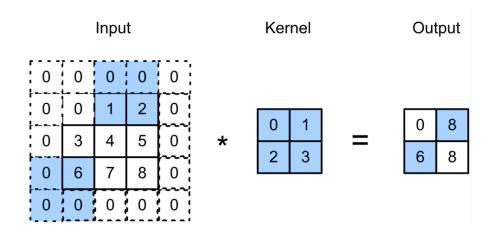
$$(n_h - k_h + p_h + 1) \times (n_w - k_w + p_w + 1)$$

- A common choice is $p_h = k_h 1$ and $p_w = k_w 1$
 - ♦ Odd k_h : pad $p_h/2$ on both sides
 - Even k_h : pad $\lceil p_h/2 \rceil$ on top, $\lceil p_h/2 \rceil$ on bottom

Stride

Stride is the #rows/#columns per slide

Strides of 3 and 2 for height and width



$$0 \times 0 + 0 \times 1 + 1 \times 2 + 2 \times 3 = 8$$

 $0 \times 0 + 6 \times 1 + 0 \times 2 + 0 \times 3 = 6$

Stride

•• Given stride s_h for the height and stride s_w for the width, the output shape is

$$[(n_h - k_h + p_h + s_h)/s_h] \times [(n_w - k_w + p_w + s_w)/s_w]$$

- *With $p_h = k_h 1$ and $p_w = k_w 1$: $[(n_h + s_h 1)/s_h] \times [(n_w + s_w 1)/s_w]$
- If input height/width are divisible by strides:

$$(n_h/s_h) \times (n_w/s_w)$$

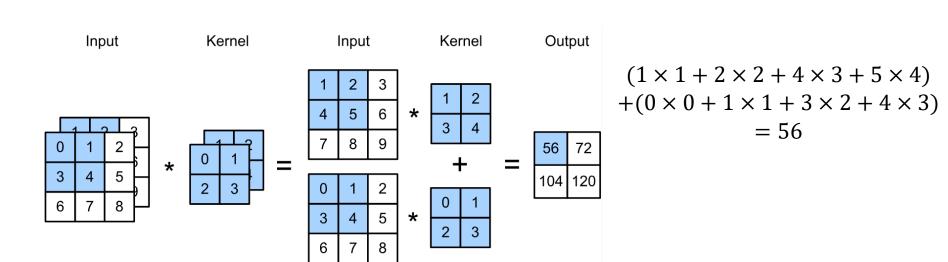
Color image may have three RGB channels



- Color image may have three RGB channels
- Converting to grayscale loses information



Have a kernel for each channel, and then sum results over channels



- \star X: $c_i \times n_h \times n_w$ input
- W: $c_i \times k_h \times k_w$ kernel
- Y: $m_h \times m_w$ output

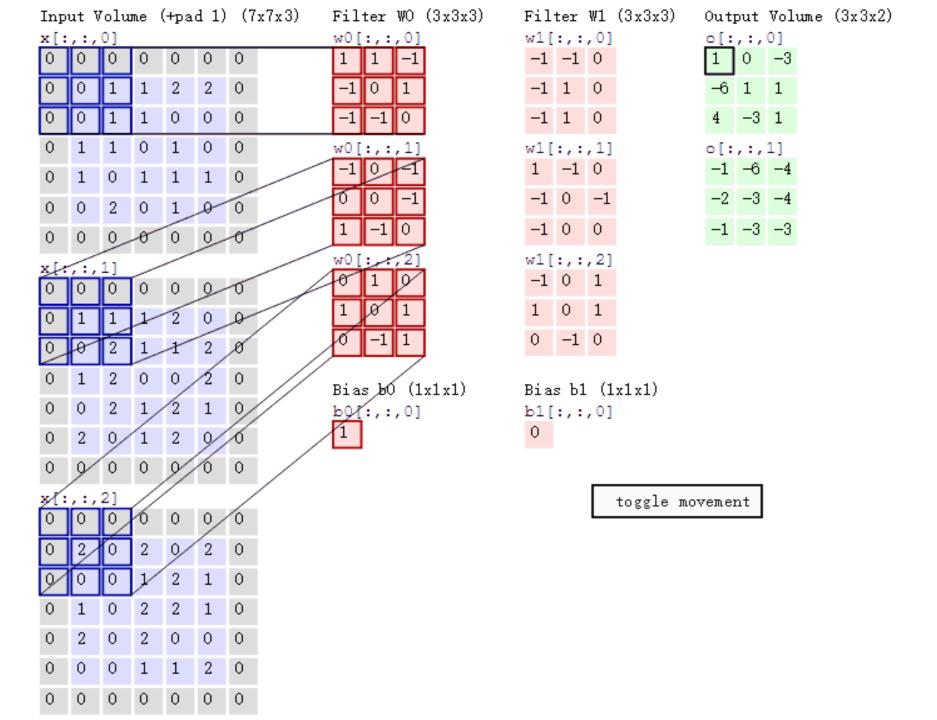
$$\mathbf{Y} = \sum_{i=0}^{c_i} \mathbf{X}_{i,:,:} \star \mathbf{W}_{i,:,:}$$

Multiple Output Channels

- No matter how many inputs channels, so far we always get single output channel.
- We can have multiple 3-D kernels, each one generates a output channel.

 $\mathbf{Y}_{i...} = \mathbf{X} \star \mathbf{W}_{i...}$

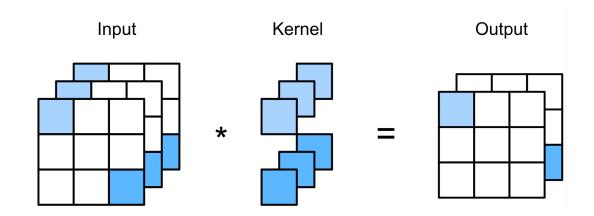
- Input X: $c_i \times n_h \times n_w$
- Kernel W: $c_o \times c_i \times k_h \times k_w$ for $i = 1, ..., c_o$
- Output **Y**: $c_o \times m_h \times m_w$



1 x 1 Convolutional Layer

 $k_h = k_w = 1$ is a popular choice.

It doesn't recognize spatial patterns, but fuse channels.



Equal to a dense layer with $n_h n_w \times c_i$ input and $c_o \times c_i$ weight.

Outline

- Convolution, Padding & Stride
- Pooling
- Convolutional Neural Networks (LeNet)
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Pooling

- Why pooling:
 - We want to reduce the dimensionality when processing data
 - Alleviate the excessive sensitivity of the convolutional layer to location
 - Lighting, object positions, scales, appearance vary among images
 - Convolution is sensitive to position
 - e.g. Detect vertical edges

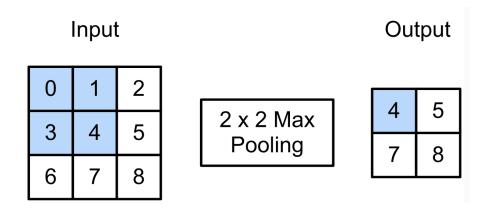
```
[[1. 1. 0. 0. 0. [[ 0. 1. 0. 0. [1. 1. 0. 0. ]]]]]
X [1. 1. 0. 0. 0. Y [ 0. 1. 0. 0. [1. 1. 0. 0. ]]]]
[[ 1. 1. 0. 0. 0. [ 0. 1. 0. 0. ]]]]
```

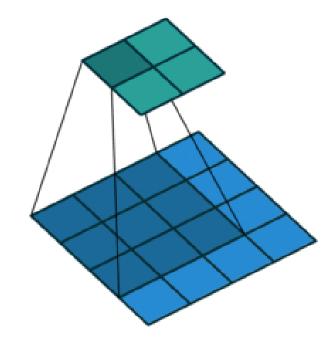
Pooling

- Commonly used
 - Max Pooling
 - Average Pooling

2-D Max Pooling

Returns the maximal value in the sliding window





$$max(0,1,3,4) = 4$$

Average Pooling

- Max pooling: the strongest pattern signal in a window
- Average pooling: replace max with mean in max pooling
 - The average signal strength in a window



Max pooling

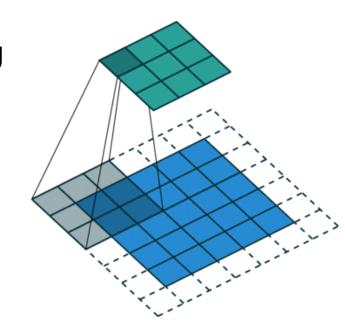


Average pooling

Padding, Stride, and Multiple Channels

- Pooling layers have similar padding and stride as convolutional layers
- No learnable parameters
- Apply pooling for each input channel to obtain the corresponding output channel

#output channels = #input channels



Summary

Convolutional layer

- Reduced model capacity compared to dense layer
- Efficient at detecting spatial patterns
- High computation complexity
- Control output shape via padding, strides and channels

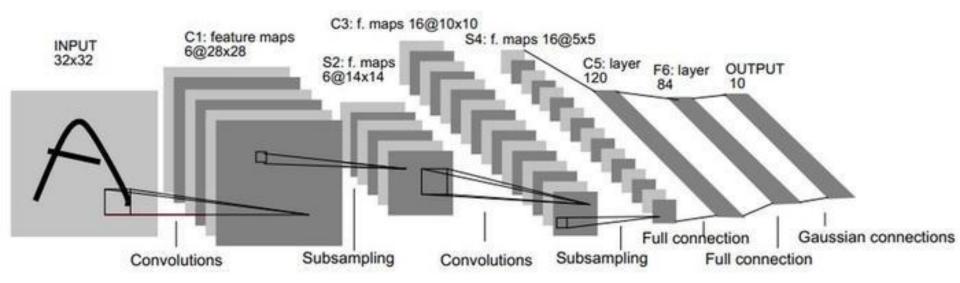
Max/Average Pooling layer

- Reduce the dimension
- Provides some degree of invariance

Outline

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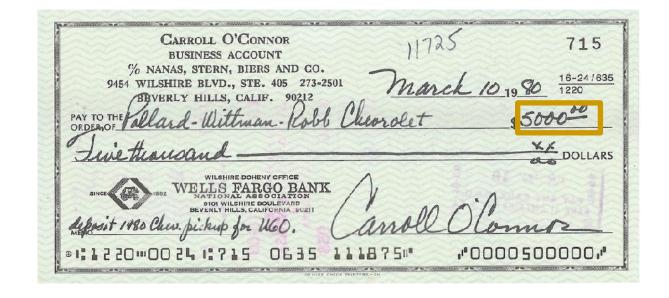
LeNet



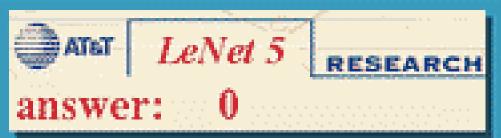
LeCun, Y., Bottou, L., **Bengio**, Y., & Haffner, P. (1998). Gradient-based learning applied to document recognition. Proceedings of the IEEE, 86(11), 2278-2324.

Handwritten Digit Recognition

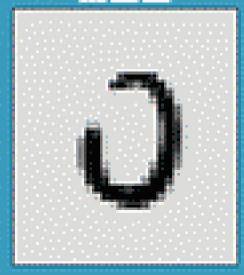






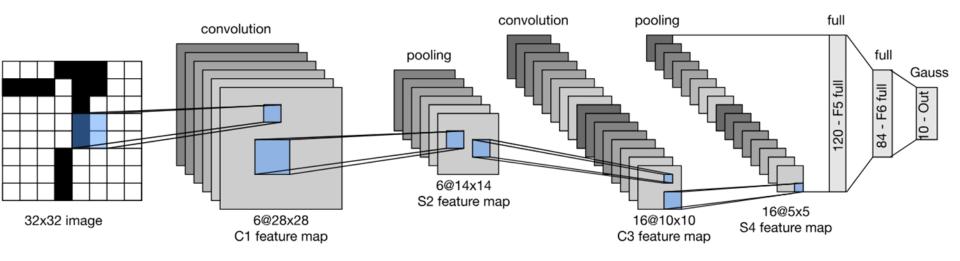


 $1\overline{0}\overline{3}$

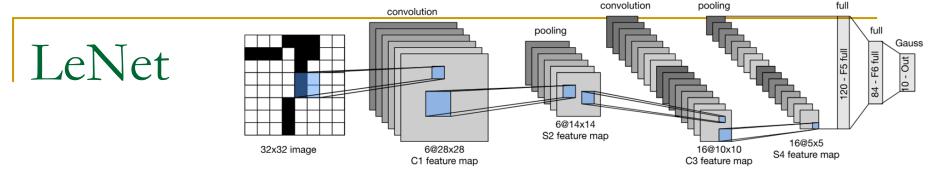


Y. LeCun, L.
Bottou, Y. Bengio,
P. Haffner, 1998
Gradient-based
learning applied to
document
recognition

LeNet Architecture



- convolutional layers labeled Cx,
- subsampling layers labeled Sx
- fully connected layers labeled Fx



convolutional block

- convolutional layer
 - used to recognize the spatial patterns in the image, such as lines and the parts of objects
 - each convolutional layer uses a 5*5 window and a sigmoid activation function for the output
- pooling layer
 - average pooling layer is used to reduce the dimensionality
 - the window shape is 2 x 2 and the stride is 2

Outline

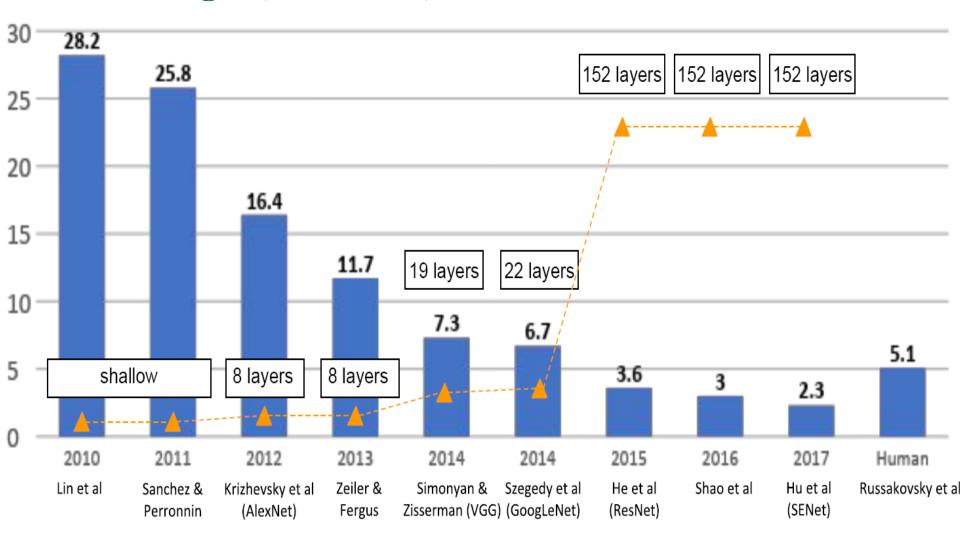
- Convolution, Padding & Stride
- Pooling
- Convolutional Neural Network (LeNet)
- Deep Neural Networks
- Deep Learning Frameworks

Progress

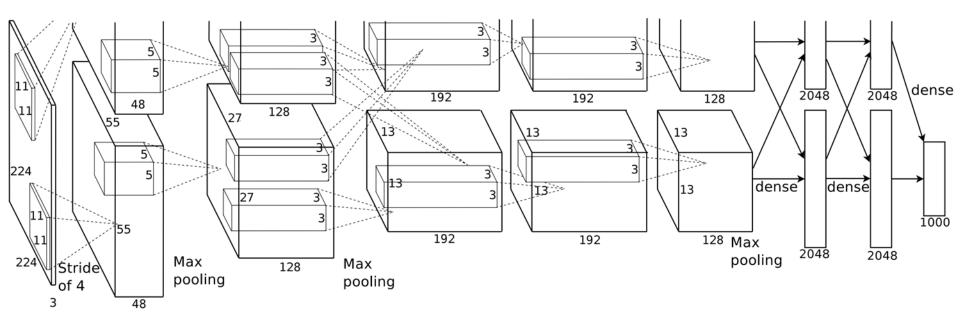
- LeNet
 - 2 convolution + pooling layers
 - 2 hidden dense hidden layers
- ❖ AlexNet(2012)
 - Bigger and deeper LeNet
 - ReLu, Dropout, preprocessing
- ❖ NiN (2013)
 - ◆ 1x1 convolutions + global pooling instead of dense
- GoogLeNet(2014)
 - Incetption
- ❖ ResNet (2015)
 - Residual blocks

0 0 0 0 0

ImageNet Large Scale Visual Recognition Challenge (ILSVRC) winners



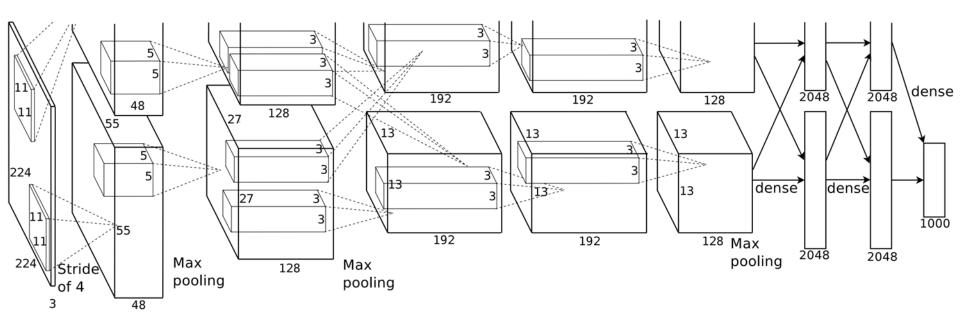
AlexNet



Krizhevsky, A., Sutskever, I., & **Hinton**, G. E. (2012). Imagenet classification with deep convolutional neural networks. In Advances in neural information processing systems (pp. 1097-1105).

AlexNet

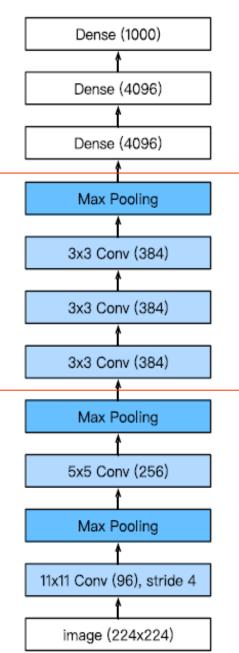
- A key step from shallow to deep networks!
- AlexNet won ImageNet competition in 2012
- Deeper and bigger LeNet
- Key modifications
 - Dropout (regularization)
 - ReLu (training)
 - Data augmentation Max Pooling

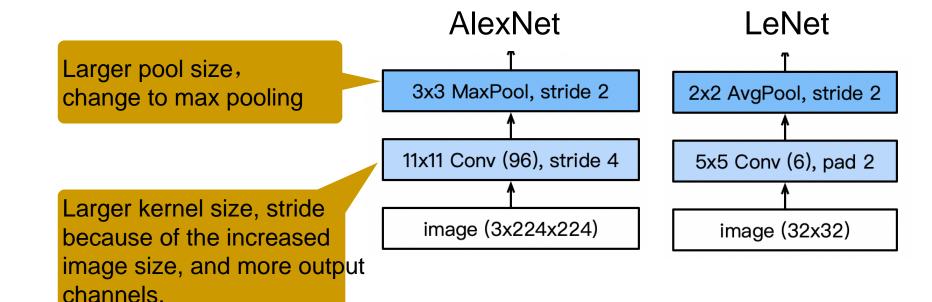


LeNet Gauss (10) Dense (84) Dense (120) Average Pooling 5x5 Conv (16) Average Pooling 5x5 Conv (6)

image (28x28)

AlexNet

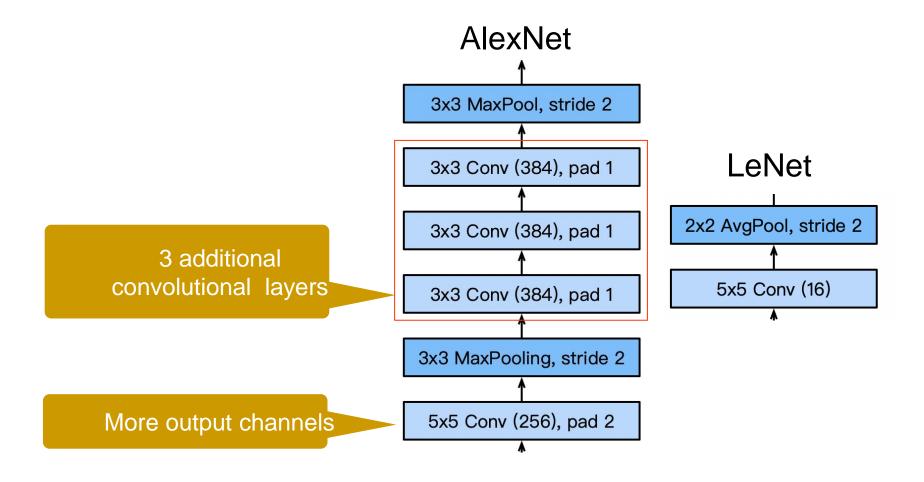


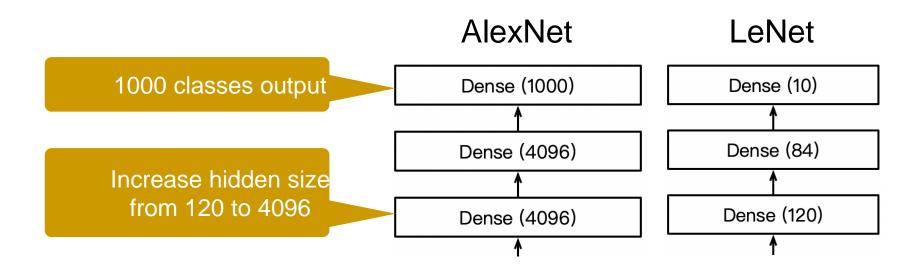


ImageNet (2010)



| Images | Color images with | Gray image for hand- |
|------------|-------------------|----------------------|
| | nature objects | written digits |
| Size | 469 x 387 | 28 x 28 |
| # examples | 1.2 M | 60 K |
| # classes | 1,000 | 10 |





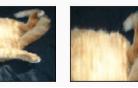
More Tricks

- Change activation function from sigmoid to ReLu (no more vanishing gradient)
- Add a dropout layer after two hidden dense layers (better) robustness / regularization)
- Data augmentation





















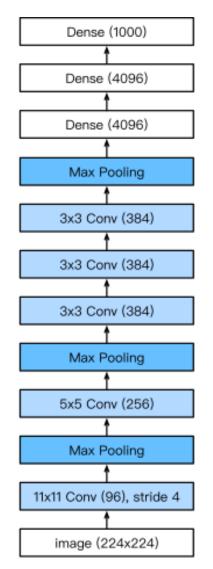






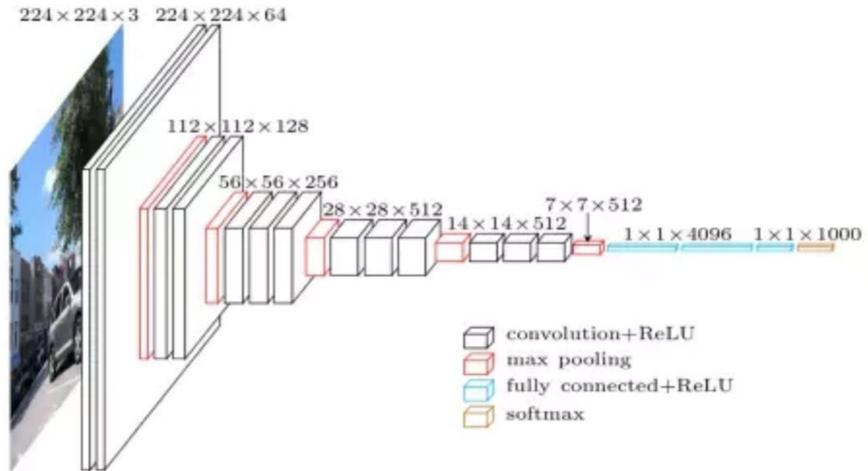
Complexity

| | #paran | neters | FLOP | | | |
|----------|------------------|---------------|---------|-------|--|--|
| | AlexNet | LeNet | AlexNet | LeNet | | |
| Conv1 | 35K | 150 | 101M | 1.2M | | |
| Conv2 | 614K | 14K 2.4K 415N | | 2.4M | | |
| Conv3-5 | 3M | | 445M | | | |
| Dense1 | 26M | 0.48M | 26M | 0.48M | | |
| Dense2 | 16M | 0.1M | 16M | 0.1M | | |
| Total | Total 46M | | 1G | 4M | | |
| Increase | 11x | 1x | 250x | 1x | | |



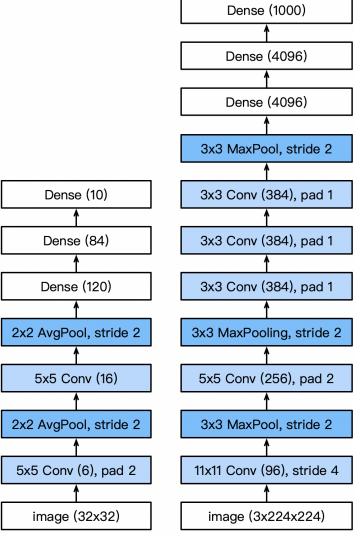
VGG

Simonyan, K., & Zisserman, A. (2014). Very deep convolutional networks for large-scale image recognition. arXiv preprint arXiv:1409.1556.



How to design new networks

- AlexNet is deeper and bigger than LeNet to get performance
- Go even bigger & deeper?
- Options
 - More dense layers (too expensive)
 - More convolutions
 - Group into blocks

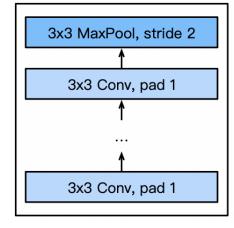


Dense Designing a network Dense from building blocks Dense Max Pooling ReLu Convolution ReLu Convolution Convolution unit ReLu ReLu Convolution Convolution

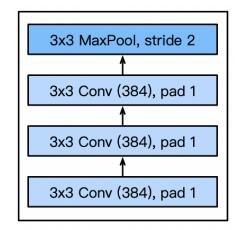
VGG Blocks

- Deeper vs. wider?
 - 5x5 convolutions
 - 3x3 convolutions (more)
 - deep & narrow better
- VGG block
 - 3x3 convolutions (pad 1)
 (n layers, m channels)
 - 2x2 max-pooling (stride 2)

VGG block

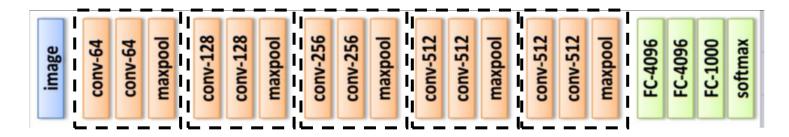


Part of AlexNet



VGG Architecture

Multiple VGG blocks followed by dense layers



❖ Vary the repeating number to get different architectures, such as VGG-16, VGG-19, ...

VGG

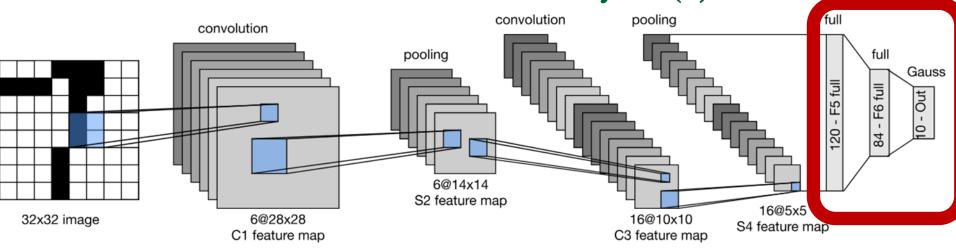
Simonyan, K., & Zisserman, A. (2014). Very deep convolutional networks for large-scale image recognition. arXiv preprint arXiv:1409.155 6.

| ConvNat Configuration | | | | | | | | |
|-------------------------------------|-----------|-----------|-----------|-----------|-----------|--|--|--|
| ConvNet Configuration | | | | | | | | |
| A | A-LRN | В | С | D | Е | | | |
| 11 weight | 11 weight | 13 weight | 16 weight | 16 weight | 19 weight | | | |
| layers | layers | layers | layers | layers | layers | | | |
| input (224×224 RGB image) | | | | | | | | |
| conv3-64 | conv3-64 | conv3-64 | conv3-64 | conv3-64 | conv3-64 | | | |
| | LRN | conv3-64 | conv3-64 | conv3-64 | conv3-64 | | | |
| | | max | pool | | | | | |
| conv3-128 | conv3-128 | conv3-128 | conv3-128 | conv3-128 | conv3-128 | | | |
| | | conv3-128 | conv3-128 | conv3-128 | conv3-128 | | | |
| | | | pool | | | | | |
| conv3-256 | conv3-256 | conv3-256 | conv3-256 | conv3-256 | conv3-256 | | | |
| conv3-256 | conv3-256 | conv3-256 | conv3-256 | conv3-256 | conv3-256 | | | |
| | | | conv1-256 | conv3-256 | conv3-256 | | | |
| | | | | | conv3-256 | | | |
| | | max | pool | | | | | |
| conv3-512 | conv3-512 | conv3-512 | conv3-512 | conv3-512 | conv3-512 | | | |
| conv3-512 | conv3-512 | conv3-512 | conv3-512 | conv3-512 | conv3-512 | | | |
| | | | conv1-512 | conv3-512 | conv3-512 | | | |
| | | | | | conv3-512 | | | |
| | | max | pool | | | | | |
| conv3-512 | conv3-512 | conv3-512 | conv3-512 | conv3-512 | conv3-512 | | | |
| conv3-512 | conv3-512 | conv3-512 | conv3-512 | conv3-512 | conv3-512 | | | |
| | | | conv1-512 | conv3-512 | conv3-512 | | | |
| | | | | | conv3-512 | | | |
| maxpool | | | | | | | | |
| | | FC- | 4096 | | | | | |
| FC-4096 | | | | | | | | |
| FC-1000 | | | | | | | | |
| | soft-max | | | | | | | |

Design pattern

- The design pattern of LeNet, AlexNet, and VGG:
 - extract the spatial features through a sequence of convolutions and pooling layers
 - post-process the representations via fully connected layers

The Curse of the Last Layer(s)



- **\stackrel{\bullet}{\mathbf{c}}** Convolution layers need relatively few parameters $c_i \times c_o \times k^2$
- * Last layer needs many parameters for n classes $c \times m_w \times m_h \times n$
- ❖ LeNet: 16x5x5x120 = 48k
- ❖ VGG: 512x7x7x4096 = 102M

Design pattern

- An alternative design pattern: to use fully connected layers much earlier in the process
 - A careless use of a dense layer would destroy the spatial structure of the data entirely

The inputs and outputs of convolutional layers are usually four-dimensional arrays (example, channel, height, width)

Not Match!

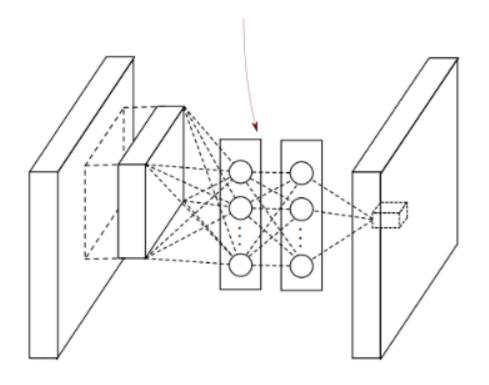
Once we process data by a fully connected layer, it's virtually impossible to recover the spatial structure of the representation.

Apply a fully connected layer at a pixel level

The inputs and outputs of fully connected layers are usually two dimensional arrays (example, feature)

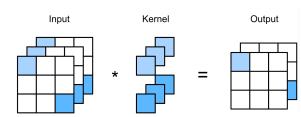
Network in Network

Non linear mapping introduced by mlpconv layer consisting of multiple fully connected layers with non linear activation function.



Breaking the Curse of the Last Layer

- Key Idea
 - Get rid of the fully connected layer(s)
 - Convolutions and pooling reduce resolution (e.g. stride of 2 reduces resolution 4x)
- Implementation details
 - Reduce resolution progressively
 - Increase number of channels
 - Use 1x1 convolutions (they only act per pixel)
- Global average pooling in the end



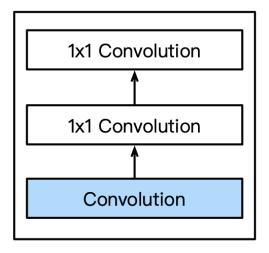
NiN Block

A convolutional layer

 kernel size, stride, and padding are hyper-parameters

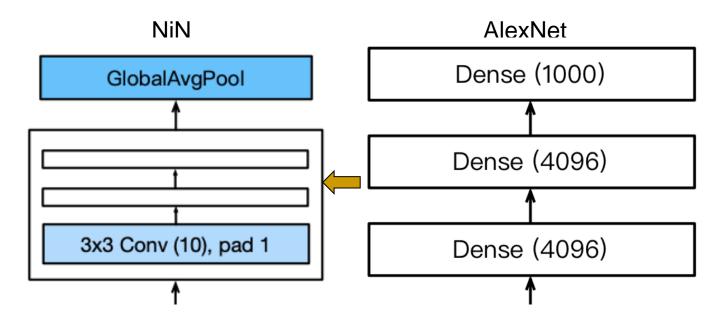
Followed by two 1x1 convolutions

- ◆ 1 stride and no padding, share the same output channels as the first layer
- Act as dense layers

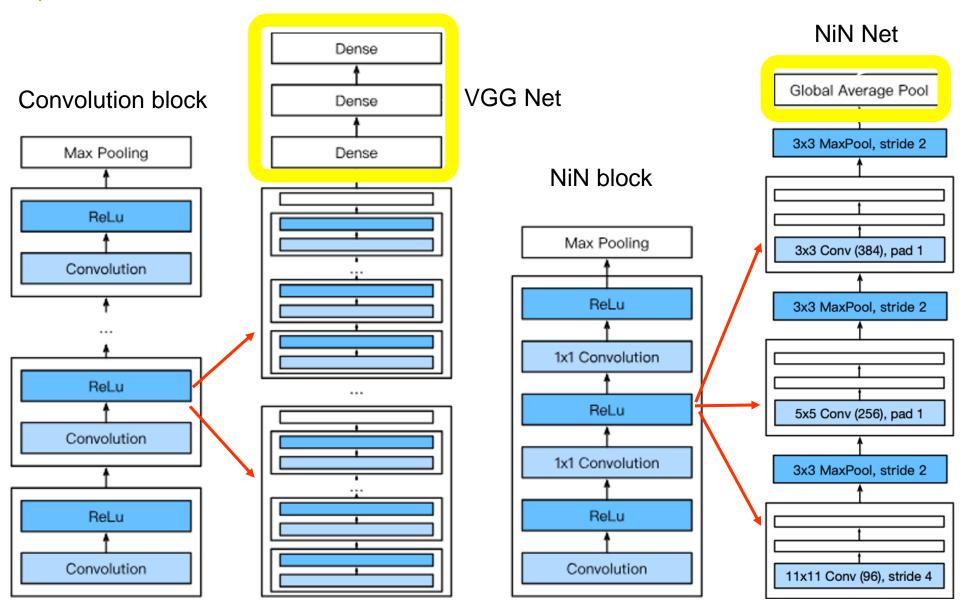


NiN Last Layers

- Replace AlexNet's dense layers with a NiN block
- Global average pooling layer to combine outputs



NiN Networks

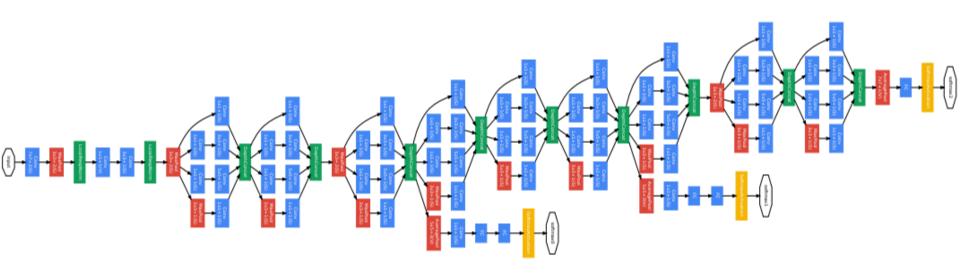


NiN Networks Summary

- Reduce image resolution progressively
- Increase number of channels
- Global average pooling for given numbers of classes

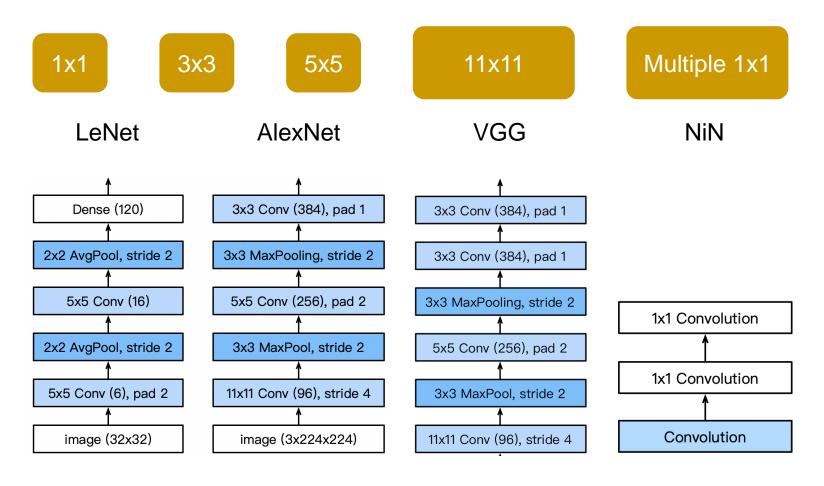
GoogLeNet(2014)

Networks with Parallel Concatenations



Szegedy, C., Liu, W., Jia, Y., Sermanet, P., Reed, S., & Anguelov, D. & Rabinovich, A. (2015). Going deeper with convolutions. In Proceedings of the IEEE conference on computer vision and pattern recognition (pp. 1-9).

Picking the best convolution ...



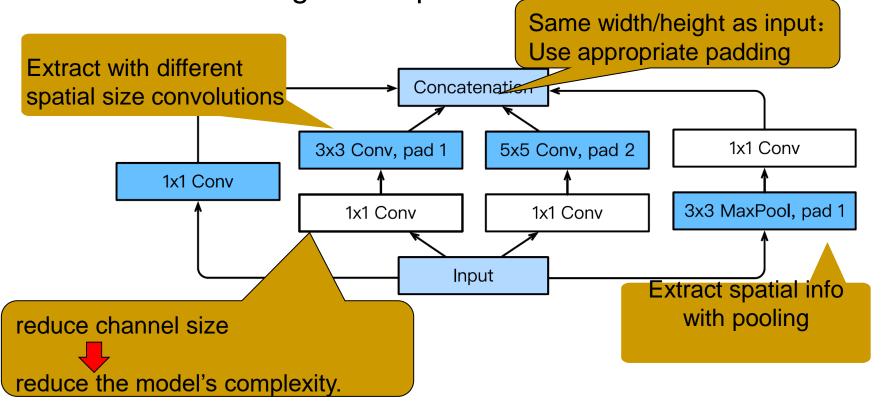
Why choose? Just pick them all!

Inception Blocks

✓ The basic convolutional block in GoogLeNet.

4 paths extract information from different aspects, then

concatenate along the output channel

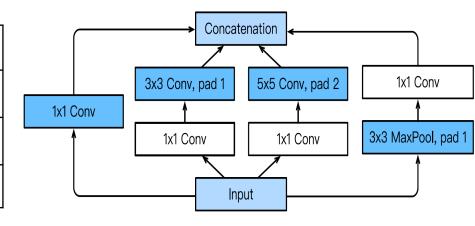


Inception Blocks

Inception blocks have fewer parameters and less computation complexity than a single 3x3 or 5x5 convolutional layer

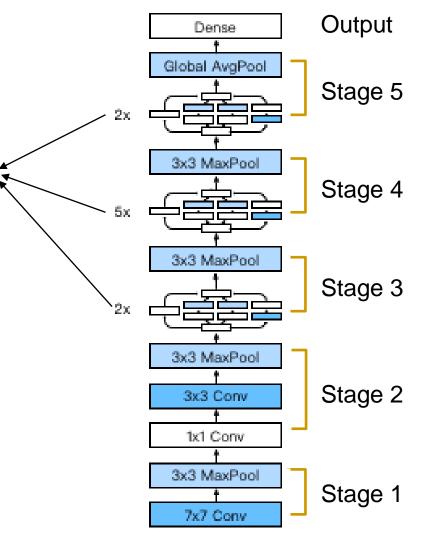
- Mix of different functions (powerful function class)
- Memory and compute efficiency (good generalization)

| | #parameters | FLOPS |
|-----------|-------------|-------|
| Inception | 0.16 M | 128 M |
| 3x3 Conv | 0.44 M | 346 M |
| 5x5 Conv | 1.22 M | 963 M |



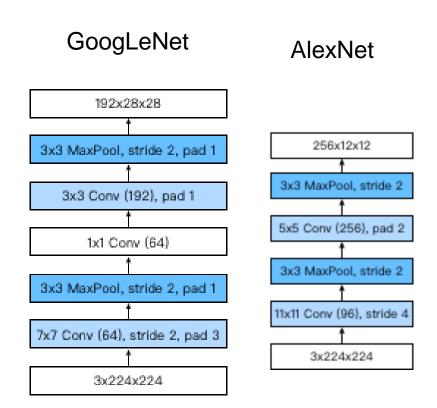
GoogLeNet

5 stageswith 9inceptionblocks

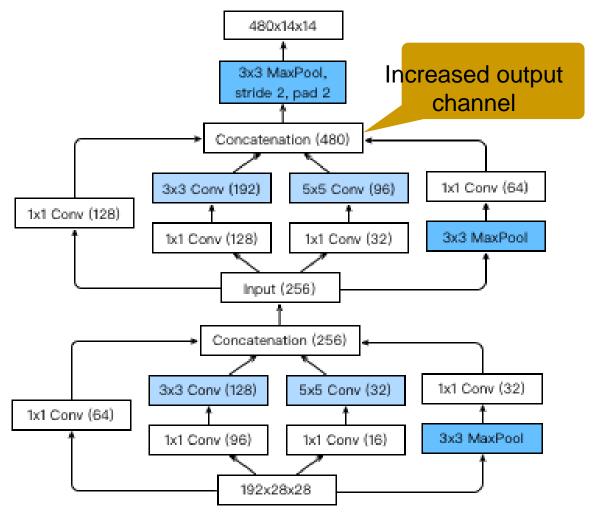


Stage 1 & 2

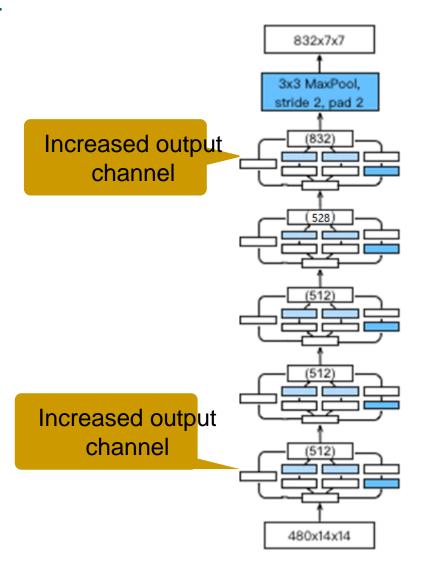
Smaller kernel size and output channels due to more layers



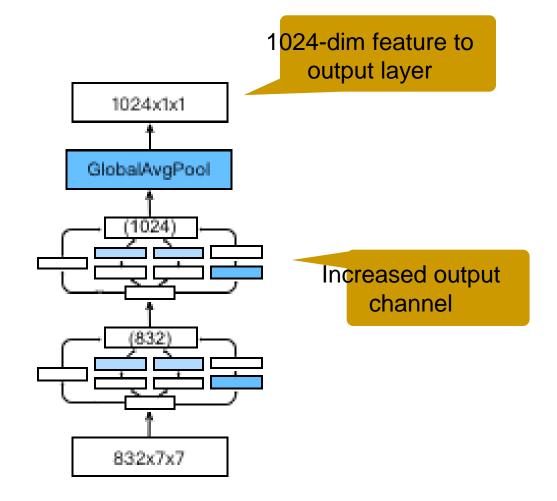
Stage 3



Stage 4



Stage 5



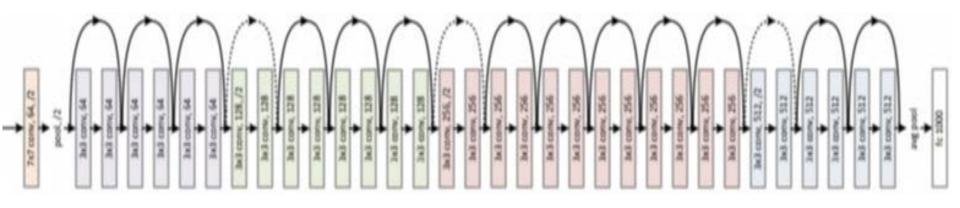
GoogLeNet Incarnation of the Inception Architecture

| type | patch size/ stride | output size | depth | #1×1 | #3×3 reduce | #3×3 | #5×5 reduce | #5×5 | pool proj | params | ops |
|----------------|-----------------------|---------------------------|-------|------|----------------|------|----------------|------|--------------|--------|------|
| convolution | 7×7/2 | 112×112×64 | 1 | | | | | | | 2.7K | 34M |
| max pool | $3 \times 3/2$ | $56 \times 56 \times 64$ | 0 | | | | | | | | |
| convolution | 3×3/1 | $56 \times 56 \times 192$ | 2 | | 64 | 192 | | | | 112K | 360M |
| max pool | $3\times3/2$ | $28 \times 28 \times 192$ | 0 | | | | | | | | |
| inception (3a) | | $28 \times 28 \times 256$ | 2 | 64 | 96 | 128 | 16 | 32 | 32 | 159K | 128M |
| inception (3b) | | $28 \times 28 \times 480$ | 2 | 128 | 128 | 192 | 32 | 96 | 64 | 380K | 304M |
| max pool | $3\times3/2$ | $14 \times 14 \times 480$ | 0 | | | | | | | | |
| inception (4a) | | $14 \times 14 \times 512$ | 2 | 192 | 96 | 208 | 16 | 48 | 64 | 364K | 73M |
| inception (4b) | | $14 \times 14 \times 512$ | 2 | 160 | 112 | 224 | 24 | 64 | 64 | 437K | 88M |
| inception (4c) | | $14 \times 14 \times 512$ | 2 | 128 | 128 | 256 | 24 | 64 | 64 | 463K | 100M |
| inception (4d) | | $14 \times 14 \times 528$ | 2 | 112 | 144 | 288 | 32 | 64 | 64 | 580K | 119M |
| inception (4e) | | $14 \times 14 \times 832$ | 2 | 256 | 160 | 320 | 32 | 128 | 128 | 840K | 170M |
| max pool | $3 \times 3/2$ | $7 \times 7 \times 832$ | 0 | | | | | | | | |
| inception (5a) | | $7 \times 7 \times 832$ | 2 | 256 | 160 | 320 | 32 | 128 | 128 | 1072K | 54M |
| inception (5b) | | $7 \times 7 \times 1024$ | 2 | 384 | 192 | 384 | 48 | 128 | 128 | 1388K | 71M |
| avg pool | $7 \times 7/1$ | $1\times1\times1024$ | 0 | | | | | | | | |
| dropout (40%) | | $1\times1\times1024$ | 0 | | | | | | | | |
| linear | | $1 \times 1 \times 1000$ | 1 | | | | | | | 1000K | 1M |
| softmax | | $1\times1\times1000$ | 0 | | | | | | | | |

The many flavors of Inception Networks

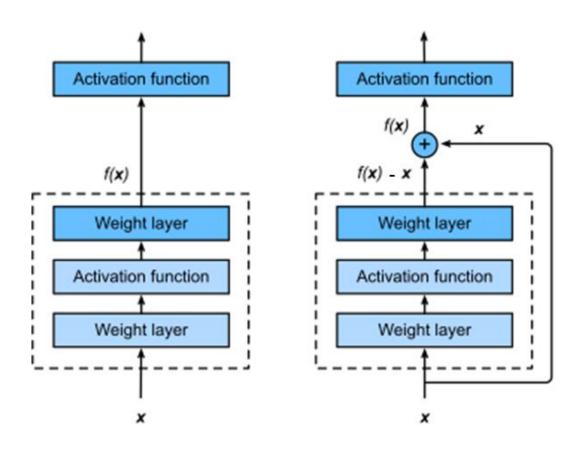
- Inception-BN (v2) Add batch normalization
- Inception-V3 Modified the inception block
 - Replace 5x5 by multiple 3x3 convolutions
 - Replace 5x5 by 1x7 and 7x1 convolutions
 - Replace 3x3 by 1x3 and 3x1 convolutions
 - Generally deeper stack
- Inception-V4 Add residual connections (more later)

Residual Networks (ResNet)

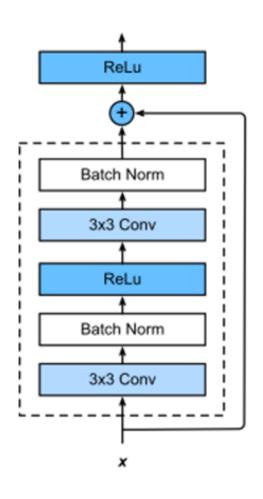


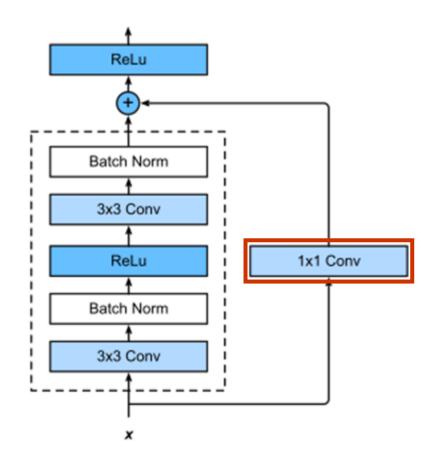
He, K., Zhang, X., Ren, S., & Sun, J. (2016). Deep residual learning for image recognition. CVPR (pp. 770-778).

Residual Networks (ResNet)

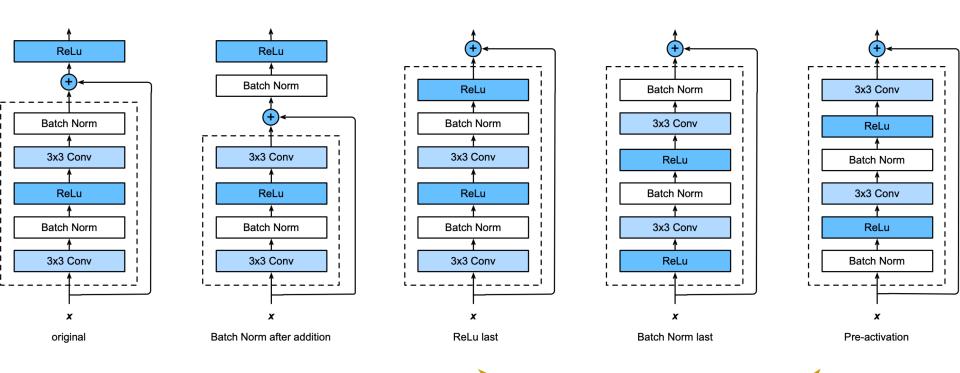


ResNet Block in detail





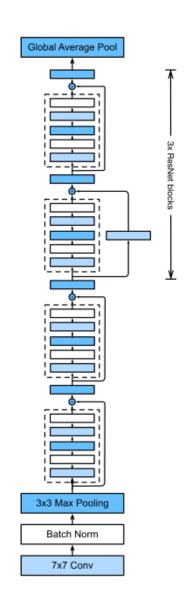
The many flavors of ResNet blocks



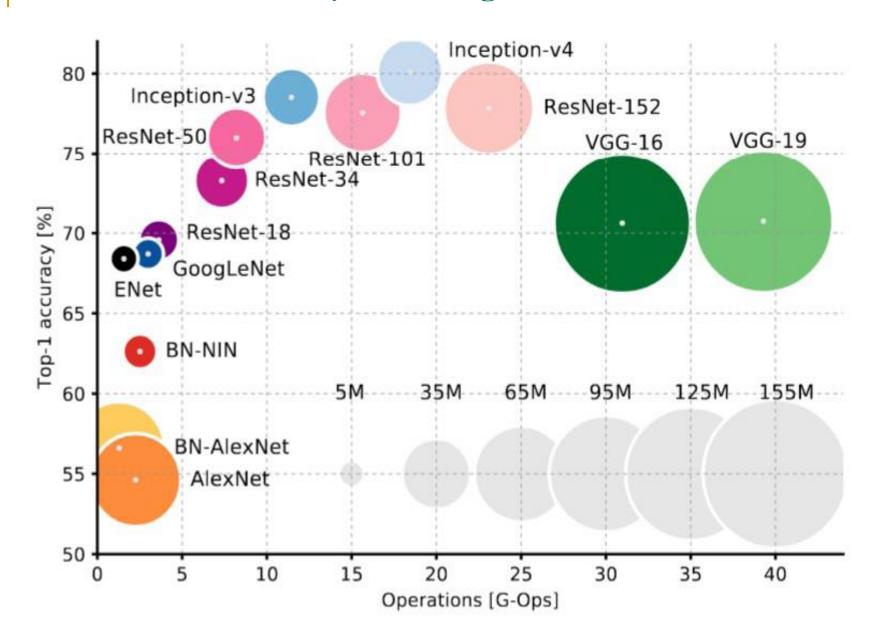
Try every permutation

ResNet

- Same block structure as e.g. VGG or GoogleNet
- Residual connection to add to the expressiveness
- Pooling/stride for dimensionality reduction
 - Down sample per module (stride=2)
- Batch Normalization for capacity control



GOPS vs. Accuracy on ImageNet vs. #Parameters



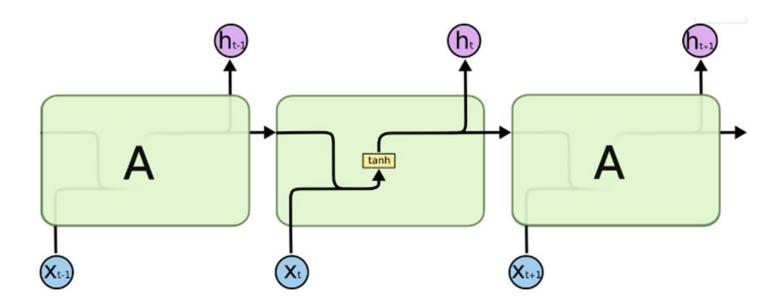
Another Scenario

- Data is not always generated i.i.d., all drawn from some distribution, but follows sequential order e.g.
 - the words in a paragraph are written in sequence
 - image frames in a video
 - the audio signal in a conversation
 - the browsing behavior on a website
- Not only receive a sequence as an input, but rather might be expected to continue the sequence.

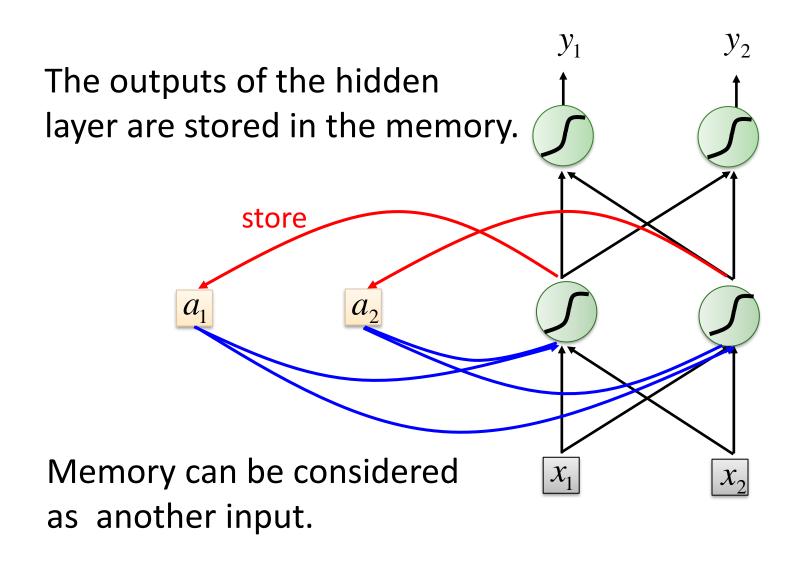
When the order of data matters.....

Sequence Models!

Recurrent Neural Networks

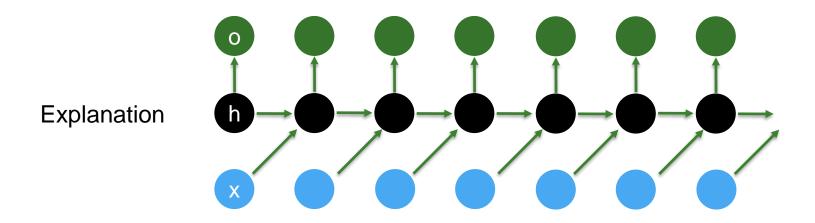


Recurrent Neural Network (RNN)



Recurrent Neural Networks

(with hidden state)



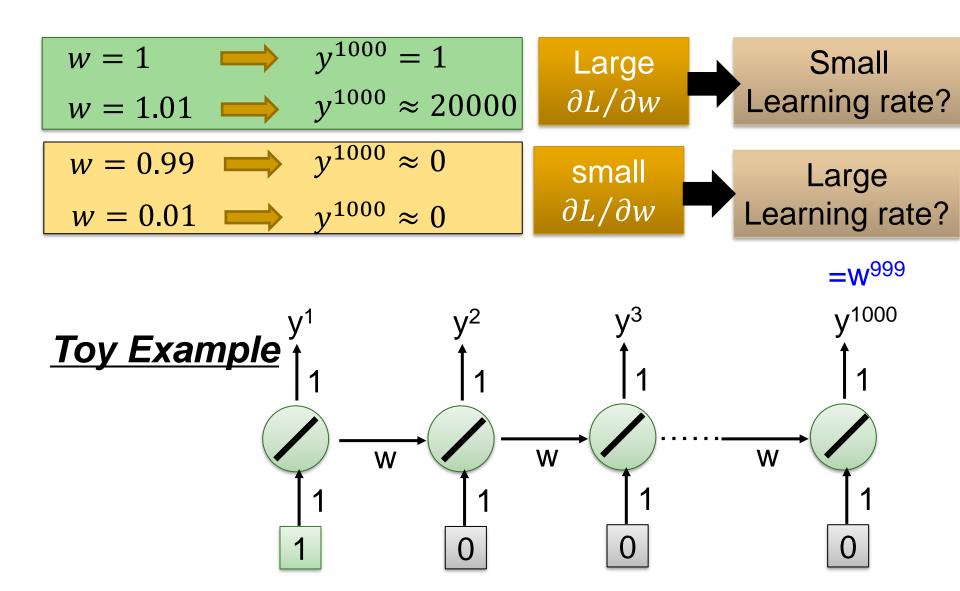
• Hidden State update

$$\mathbf{h}_t = \phi(\mathbf{W}_{hh}\mathbf{h}_{t-1} + \mathbf{W}_{hx}\mathbf{x}_t + \mathbf{b}_h)$$

Observation update

$$\mathbf{o}_t = \phi(\mathbf{W}_{ho}\mathbf{h}_t + \mathbf{b}_o)$$

Gradient Explode/ Vanishing



Recurrent Neural Networks(RNN)

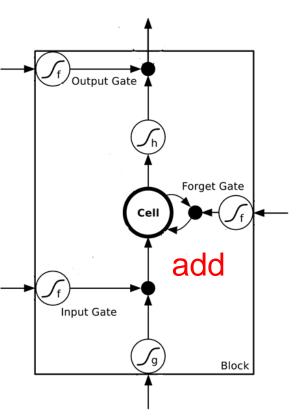
- Suitable for processing sequences, often applied to the processing of text.
- Has a problem about gradient vanishing
- Can not store long-term memory

Helpful Techniques

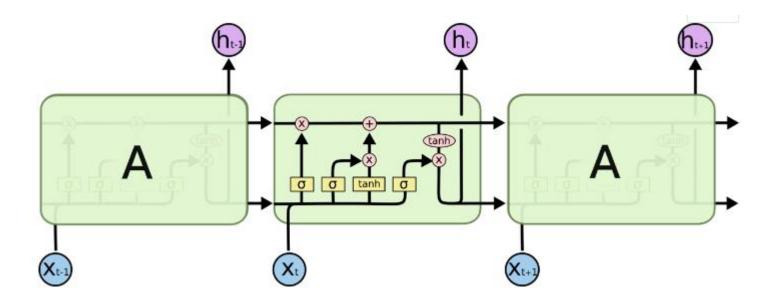
Long Short-term Memory (LSTM)

- Can deal with gradient vanishing (not gradient explode)
 - ➤ Memory and input are <u>added</u>
 - ➤ The influence never disappears unless the forget gate is closed
 - No Gradient vanishing

 (If the forget gate is opened.)
- Gated Recurrent Unit(GRU): simpler than LSTM



Long Short Term Memory



<u>Hochreiter & Schmidhuber</u> Long Short-term Memory[J]. Neural Computation, 1997,9(8):1735-1780.

Long Short Term Memory

Forget gate

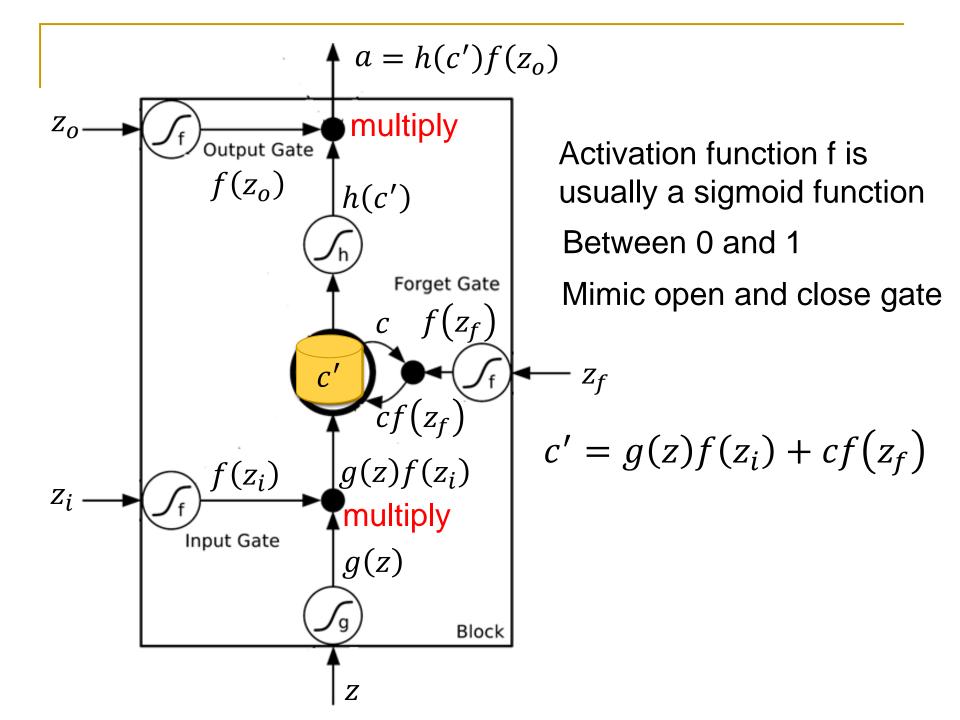
Shrink values towards zero

Input gate

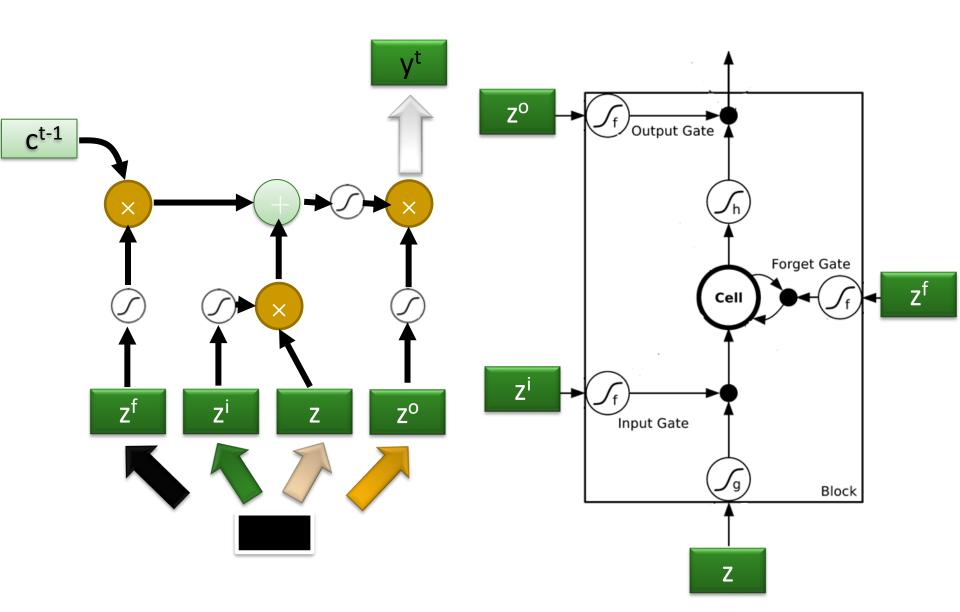
Decide whether we should ignore the input data

Output gate

- Decide whether the hidden state is used for the output generated by the LSTM
- Hidden state and Memory cell

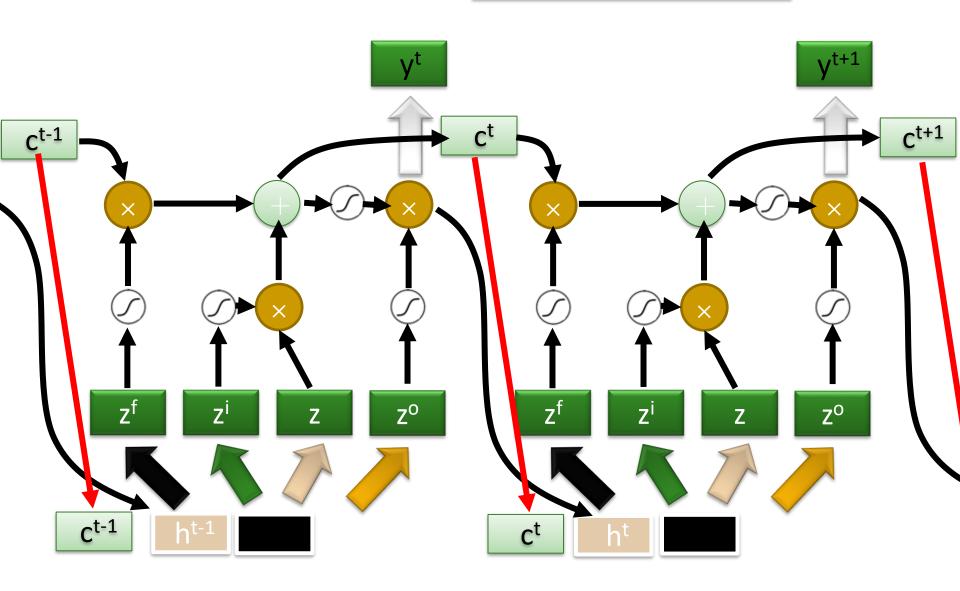


LSTM



LSTM

Extension: "peephole"



Outline

- Convolution, Padding & Stride
- Pooling
- Convolutional Neural Network (LeNet)
- Deep Neural Networks
- Deep Learning Frameworks

Deep Learning Frameworks





















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