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A Deep Transfer Learning Framework for Pneumonia Detection from Chest X-ray Images

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Keywords: Pneumonia Detection using X-ray Images, Deep Learning, Transfer Learning, Feature Extraction, Artificial Neural Networks.

Abstract: Pneumonia occurs when the lungs are infected by a bacterial, viral, or fungal infection. Globally, it is the largest solo infectious disease causing child mortality. Early diagnosis and treatment of this disease are critical to avoid death, especially in infants. Traditionally, pneumonia diagnosis was performed by expert radiologists and/or doctors by analysing X-ray images of the chest. Automated diagnostic methods have been developed in recent years as an alternative to expert diagnosis. Deep learning-based image processing has been shown to be effective in automated diagnosis of pneumonia. However, deep learning typically requires a large number of labelled samples for training, which is time consuming and expensive to obtain in medical applications as it requires the input of human experts. Transfer learning, where a model pretrained for a task on an existing labelled database is adapted to be reused for a different but related task, is a common workaround to this issue. Here, we explore the use of deep transfer learning to diagnose pneumonia using X-ray images of the chest. We demonstrate that using two individual pretrained models as feature extractors and training an artificial neural network on these features is an effective way to diagnose pneumonia. We also show through experiments that the proposed method outperforms similar existing methods with respect to accuracy and time.

1 INTRODUCTION

Pneumonia is a serious lung infection, caused by viruses, bacteria, or fungi (Banu, 2019). Nearly half a billion people are affected by pneumonia globally per year, resulting in approximately four million deaths (Lodha et al., 2013). However, it is a treatable disease, if diagnosed and treated early. According to the World Health Organization, chest X-ray imaging is currently the best available approach for pneumonia diagnosis (Organization, 2001; Chen et al., 2019). Chest X-rays are typically examined by trained medical practitioners (Wang and Xia, 2018). This not only requires expert knowledge but is also time intensive and expensive (Siddiqi, 2019). Moreover, due to the complex nature of chest X-ray images, it remains a challenging task for an expert to interpret the images. Automated frameworks for pneumonia detection using chest X-rays have been introduced as effective al-

ternatives to expert-based diagnosis.

Machine learning, which has been successfully applied in many fields of medical image processing (Qin et al., 2018), is a viable solution for pneumonia diagnosis using chest X-rays. Traditionally, machine learning methods required the generation of hand-crafted image features as their input. In contrast, deep learning techniques can be taught to learn the ideal features for a given task as part of the training process. In recent years, deep learning frameworks have achieved remarkable success in numerous image processing applications (Razzak et al., 2017; Fourcade and Khonsari, 2019). Most of these models were originally trained and tested on a well-known large scale natural image database called ImageNet (Deng et al., 2009). This database contains millions of labeled images from thousands of categories, and offers a reliable opportunity for researchers to evaluate the performance of their deep learning models.

However, despite the high accuracy levels achieved by deep learning models, a large depository of labelled images is required to train them. Obtaining labelled medical images is expensive and time con-

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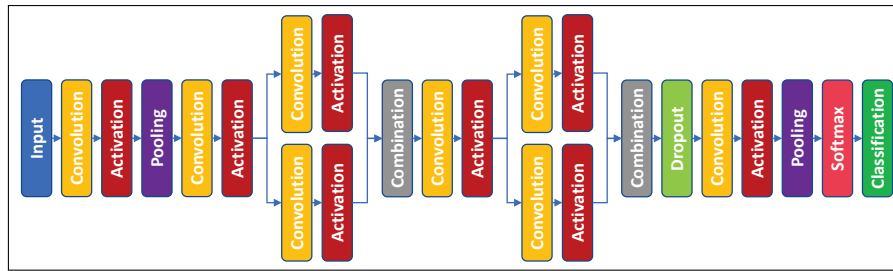


Figure 1: An example of a pretrained model used for image classification (adopted from Iandola et al. (Iandola et al., 2016)).

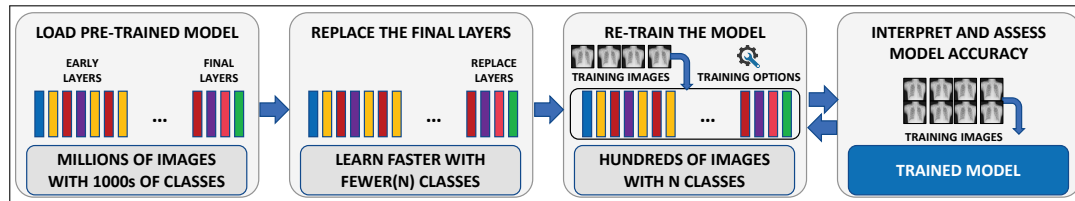


Figure 2: Overview of the deep transfer learning approach. Typically this involves changing the final layers of the pretrained model and re-training the network to suit the task under consideration.

suming. Also, there are privacy concerns that have to be considered when using/sharing patient data. In addition, training of deep learning models from scratch requires high computational processing power and is highly time-consuming. Deep transfer learning, where a model that has been previously trained to perform one specific task is adapted and reused for another similar task, is an alternative solution that overcomes these issues. This approach has proven its effectiveness where only a limited amount of data is available and computational power is of primary concern (Yosinski et al., 2014). Typically, in deep transfer learning, pretrained models can either be retrained for a particular task using a limited number of domain-specific images, or used as feature extractors in conjunction with another classifier. An example of a pretrained deep neural network is shown in Figure 1.

In this paper, we use the concepts of deep transfer learning to develop a framework for the diagnosis of pneumonia. To this end, we explore the performance of different deep neural network architectures as feature extractors when used in tandem with traditional classification methods. We show through experimental results that the proposed method outperforms similar existing methods. An overview of the deep transfer learning approach is shown in Figure 2.

2 RELATED WORK

Medical imaging plays a vital role in disease diagnosis and the clinical decision-making process. Many computer applications now assist medical profession-

als to provide fast and accurate diagnoses. Deep learning models and deep transfer learning frameworks are proven state-of-the-art techniques in the field of medical image processing (Litjens et al., 2017; Shie et al., 2015; Abidin et al., 2018). These achievements have influenced the use of deep transfer learning for pneumonia detection using chest X-ray images (Kermany et al., 2018; Rajpurkar et al., 2017; Liang and Zheng, 2019).

For example, Wang and Xia (Wang and Xia, 2018) proposed a deep transfer learning model for diagnosing multiple thorax diseases, including pneumonia, using chest radiography by using a modified ResNet architecture (He et al., 2016). They named their transfer learning model ChestNet and compared their findings with three other deep learning models. They measured their performance using the area under the curve (AUC). They achieved an average of $AUC = 0.7810$ per-class. However, they used a high-powered computer configuration (NVIDIA® TITAN Xp GPU×4, 128 gigabyte physical memory, 120 gigabyte solid state drive, Intel® Xeon® E5-2678V3×2) with 20 hours of training in the Caffe (Jia et al., 2014) deep learning framework to achieve this performance.

Inspired by the original deep learning model of DenseNet (Huang et al., 2017), a 121-layer deep transfer learning model called CheXNet was proposed by Rajpurkar et al. (Rajpurkar et al., 2017) for pneumonia diagnosis from chest X-ray images. Apart from pneumonia classification, they also demonstrated that their model can determine the most symptomatic areas of pneumonia in a chest X-ray. They

compared their performance with that of radiologists and demonstrated that the model can perform better than an average radiologist's performance for pneumonia diagnosis ($F1 = 0.435$) (Huang et al., 2017).

A transfer learning approach for pneumonia diagnosis was introduced by Kermany et al. (Kermany et al., 2018) from chest X-ray images by modifying the architecture of the original Inception-v3 model (Szegedy et al., 2016). They achieved more than 90% classification accuracy on a publicly available database. In addition to pneumonia classification, they also demonstrated that the model can successfully perform tasks such as diabetic retinopathy diagnosis from optical coherence tomography images.

Antin et al. (Antin et al., 2017) proposed a machine learning model for pneumonia diagnosis from chest X-ray images. First they used a logistic regression model instead of a classifier as they theorised it would be less memory-intensive than a classification model which treats each pixel of an image a feature. From their initial findings, they concluded that a logistic regression model does not work well on their database of chest X-ray images ($AUC = 0.604$). For this reason they moved to deep transfer learning as a classification model which was inspired by CheXNet (Rajpurkar et al., 2017) as well as the original DenseNet (Huang et al., 2017). They employed Google[®] Cloud service, SciKit-Learn (Pedregosa et al., 2011), and PyTorch (Paszke et al., 2017) to implement their model. However, their classification results were not much improved ($AUC = 0.609$) compared to that of the logistic regression model.

Recently, a deep residual network based transfer learning method for pneumonia diagnosis from chest X-rays has been introduced by Liang and Zheng (Liang and Zheng, 2019). Initially, their network of 2 dense layers and 49 convolutional layers was trained on the publicly available ChestXray14 dataset (Wang et al., 2017). Then, this trained network was used as a deep transfer model and retrained on the database provided by Kermany et al. (Kermany et al., 2018). They compared the performance of their method with four other deep learning architectures, VGG-16 (Simonyan and Zisserman, 2014), DenseNet-201 (Huang et al., 2017), Xception (Chollet, 2017), and Inception-v3 (Szegedy et al., 2016). They achieved an accuracy of 90.50% with their method and 74.20%, 81.90%, 85.30%, 87.80% with VGG-16, DenseNet-201, Xception, and Inception-v3 architectures respectively.

With the introduction of new deep learning architectures, the choice of models on which to build transfer learning methods for complex classification tasks

has increased. In this paper, we explore their use as deep transfer learning models for the task of pneumonia diagnosis using chest X-rays.

3 OVERVIEW OF THE METHOD

Typically, deep learning models used in classification, although they have their own complex network architectures, share a common trait. These networks detect higher-level features towards the deeper layers and lower-level features in the initial layers. Therefore, once a deep neural network has been trained to perform a classification task, it can also be used to extract high-level features learned for that task from its deeper layers. These feature extractors can then be used in conjunction with classification techniques to perform the classification.

In this paper, we first retrained and compared the performance of deep learning models to identify which is most appropriate for the task of pneumonia diagnosis from chest X-ray images. Then, we selected the two best networks with respect to the performance metrics of accuracy and sensitivity to use as feature extractors. Next, we concatenated the two sets of features and used them as input to traditional classifiers to determine the one best suited for pneumonia diagnosis. In doing so, we developed a system that combines the advantages of both deep learning and traditional classification methods. Figure 3 shows an overview of this method.

The database we used for the training and testing of the proposed method was originally provided by Kermany et al. (Kermany et al., 2018) and is publicly available for research purposes. The database contains 2D grayscale images of chest X-rays where the average image dimensions are 1000×3500 pixels. Each image is classified into one of two classes: normal or pneumonia. The database is divided into training and testing sets with the training set containing 1349 normal and 3883 pneumonia samples and the testing set containing 234 normal and 390 pneumonia samples. The pneumonia class in both training and testing sets is subdivided into the classes of bacteria or virus. Note that only the first level of classification (normal or pneumonia) is used in our method.

To obtain an unbiased and complete view of classification results, we used classification accuracy, sensitivity, specificity, and precision as performance metrics (Powers, 2011). For the development, training, and testing of methods, we used the MATLAB[®] academic framework, including the Deep Learning Toolbox[™]. A HP[®] Z6 G4 Workstation model computer powered by Intel[®] Xeon[®] Silver 4108 CPU

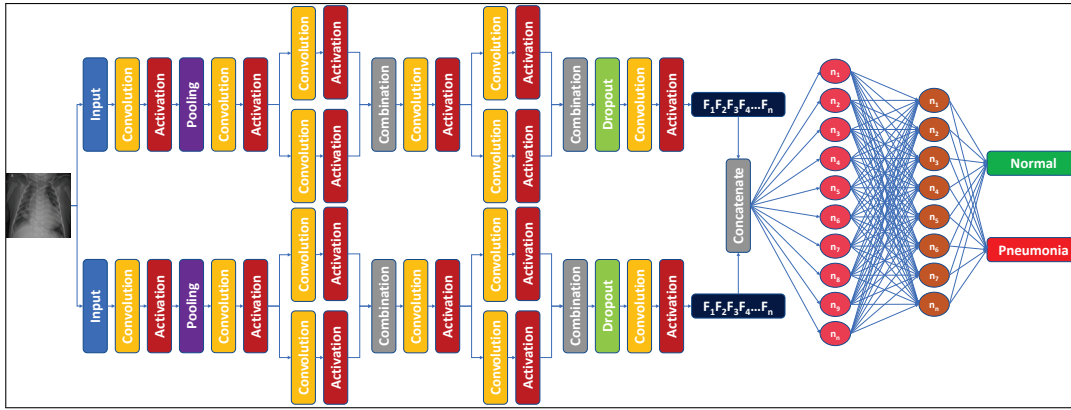


Figure 3: Overview of the proposed method for pneumonia detection from chest X-ray images. The image is input to two different networks for feature extraction. Features extracted from those two networks are then concatenated and used as the input to an artificial neural network for classification as either normal or pneumonia.

Table 1: Performance of the deep learning models retrained on the chest X-ray image database in identifying pneumonia (Phase-1). The best results per metric are highlighted in bold.

Model Name	Training Time	Accuracy	Sensitivity	Specificity	Precision
AlexNet	03:47:37	0.9311	0.8547	0.9769	0.9569
VGG-16	04:39:21	0.8814	0.6966	0.9923	0.9819
VGG-19	05:06:10	0.9359	0.8462	0.9897	0.9802
SqueezeNet	03:40:20	0.9471	0.9188	0.9641	0.9389
GoogLeNet	03:56:54	0.9327	0.8632	0.9744	0.9528
Inception-v3	04:09:09	0.9776	0.9615	0.9872	0.9783
DenseNet-201	23:47:54	0.9631	0.9359	0.9795	0.9648
ResNet-18	03:59:46	0.9022	0.7436	0.9974	0.9943
ResNet-50	04:29:44	0.9599	0.9017	0.9949	0.9906
ResNet-101	09:19:02	0.9359	0.8333	0.9974	0.9949
Inception-ResNet-v2	31:36:25	0.8958	0.7479	0.9846	0.9669

(1.80 GHZ) with 16 GB of physical memory and 5 GB of graphics memory (NVIDIA® QuADro® P2000 GPU) was used. The operating system used was 64-bit Microsoft® Windows® 10 Education.

4 SELECTION OF A FEATURE EXTRACTOR

For the first step of our implementation, we used 11 pretrained models, trained on the ImageNet database (Deng et al., 2009). The models considered were: AlexNet (Krizhevsky et al., 2012), VGG-16 (Simonyan and Zisserman, 2014), VGG-19 (Simonyan and Zisserman, 2014), SqueezeNet (Iandola et al., 2016), GoogLeNet (Szegedy et al., 2015), Inception-v3 (Szegedy et al., 2016), DenseNet-201 (Huang et al., 2017), ResNet-18 (He et al., 2016), ResNet-50 (He et al., 2016), ResNet-101 (He et al., 2016),

and Inception-ResNet-v2 (Szegedy et al., 2017).

We retrained all the pretrained models on the chest X-ray image database (Kermany et al., 2018) using the same training configurations (stochastic gradient descent (Robbins and Monro, 1951) as the training optimizer with an initial learning rate of 0.0003, maximum epochs of five, and maximum iterations of 5230). To avoid memory crashes, we used a five batch minimum and to avoid network over-fitting, we used a validation frequency of five iterations. We call this Phase-1 of our network selection process. Table 1 shows the pretrained model selection results with respect to normal and pneumonia classification.

We selected the highest performing models in Phase-1 to be used in the next phase (Phase-2). For example, we selected Inception-v3, DenseNet-201 and ResNet-50 as the three best models with respect to accuracy. Likewise, we selected ResNet-18, ResNet-50, and ResNet-101 as the three best models with regard to precision. We further retrained

Table 2: Performance of the selected models after retraining with modified training parameters: initial learning rate and maximum number of epochs (phase-2). The best results per metric are given in bold.

Model Name	Training Time	Accuracy	Sensitivity	Specificity	Precision
SqueezeNet	08:03:11	0.9519	0.9145	0.9744	0.9554
Inception-v3	17:08:26	0.9744	0.9402	0.9949	0.9910
DenseNet-201	52:16:37	0.8446	0.5897	0.9974	0.9928
ResNet-18	08:36:19	0.9071	0.7564	0.9974	0.9944
ResNet-50	10:48:07	0.8798	0.6795	1.0000	1.0000
ResNet-101	29:29:54	0.8462	0.5897	1.0000	1.0000

them aiming to improve the performance of the selected models. We decreased our initial learning rate of 0.0003 to 0.0001 as Goodfellow et al. (Goodfellow et al., 2016) stated that when the learning rate is high, rather than decreasing the training error gradient, it may possibly increase. Furthermore, we also increased the maximum number of epochs (five to ten) to train the selected models with more iterations (maximum 10460). The results of this phase of training are shown in Table 2.

Then, we selected the models that performed best with respect to accuracy and sensitivity (SqueezeNet and Inception-v3) to be used as feature extractors. We used the intermediate layers of the two networks (“*fire9-expand3x3*” and “*conv2d_9*” layers for SqueezeNet and Inception-v3 respectively) to extract features.

5 SELECTION OF A CLASSIFIER

In order to select an appropriate classifier, we compared the performance of a few traditional classification methods using the features extracted from the selected deep neural networks as inputs. The classifiers used were: support vector machines (SVM), k-nearest neighbors (KNN), stacked auto-encoders (SAE), and artificial neural networks (ANN) (Altman, 1992). To train the SVM we used a linear kernel function with the auto kernel scale parameter and box constraint values set to 1. The KNN we used was a Fine KNN model with Euclidean distance as the distance function and the number of neighbors set to 1. For the SAE training, we used two encoders and the number of neurons of the hidden layers of the first and second encoders were 100 and 50 respectively. We also used L2 regularizations of 0.004 and 0.002 for the two encoders in that order. They were trained with a maximum of 100 epochs. The ANN had 200 neurons in one hidden layer and it was trained using scaled conjugate gradient back-propagation (Møller, 1993).

We tested the performance of these classifiers with

the features of the two selected networks individually, and also with concatenated features from both networks. We also explored the behaviour of each of the classifiers when used in conjunction with feature extractors of different levels of retraining: no retraining (original), retrained on chest X-ray images (Phase-1), and retrained on chest X-ray images with adjusted training parameters (Phase-2). For the training and testing of the methods, we used four-fold cross validation using the combined images of training and testing datasets of the chest X-ray image database of (Kermany et al., 2018). We calculated the average performance of the four folds for each classifier for the different combinations of features and retrain levels. Table 3 shows the results of this comparison.

We observed that using the retrained (phase-2) SqueezeNet and Inception-v3 models as feature extractors and concatenating the resulting features to be used as input to an ANN classifier was the most effective way of achieving the highest levels of accuracy and sensitivity. As such, we selected this combination of feature extractor and classifier as our preferred method of pneumonia diagnosis.

6 PERFORMANCE RESULTS

We compared the performance of our method (using the combined features obtained from retrained SqueezeNet and Inception-v3 networks and training an ANN on these) with other similar existing methods that have been introduced for pneumonia diagnosis using chest X-rays (as discussed in Section 2). In order to make unbiased comparisons, we re-implemented the existing methods in our system and trained and tested them on the same database. The results of the comparisons are shown in Table 4.

From the results, we can conclude that the proposed method outperforms other similar existing methods in every comparison metric except specificity where Wang and Xia (Wang and Xia, 2018) is slightly better. The training time of the proposed

Table 3: Performance of classification methods using pretrained deep learning models as input. The results compare the performance with respect to features extracted from the original models as well as the retrained models resulting from Phase-1 and Phase-2. These are the average performance results obtained from four-fold cross validation. The best results per metric are highlighted in bold.

Retrain Level	Feature Extractor	Feature Extraction Time	Classifier	Training Time	Accuracy	Sensitivity	Specificity	Precision
Original	SqueezeNet	00:00:53	SVM	00:06:53	0.8461	0.6345	0.9539	0.9368
			KNN	00:06:32	0.8726	0.6383	0.9651	0.9673
			SAE	00:02:25	0.9164	0.6582	0.9358	0.9100
			ANN	00:05:59	0.8542	0.6197	0.9949	0.9864
	Inception-v3	00:02:19	SVM	00:04:43	0.8632	0.6528	0.9528	0.9374
			KNN	00:04:10	0.8480	0.6253	0.9543	0.9365
			SAE	00:02:05	0.9070	0.6274	0.9284	0.9005
			ANN	00:01:21	0.8542	0.6197	0.9949	0.9864
	SqueezeNet + Inception-v3	00:03:12	SVM	00:51:35	0.8835	0.9662	0.9617	0.9587
			KNN	00:55:08	0.9053	0.6592	0.9544	0.9082
			SAE	00:18:07	0.9551	0.9184	0.6832	0.9203
			ANN	00:04:12	0.9602	0.9671	0.9705	0.9657
Phase-1	SqueezeNet	00:00:53	SVM	00:05:53	0.8594	0.6583	0.9541	0.9470
			KNN	00:06:24	0.8763	0.6479	0.9666	0.9732
			SAE	00:02:20	0.9137	0.6724	0.9405	0.9137
			ANN	00:09:48	0.8622	0.6325	1.0000	1.0000
	Inception-v3	00:02:17	SVM	00:04:45	0.8652	0.6533	0.9540	0.9382
			KNN	00:04:12	0.8483	0.6258	0.9548	0.9378
			SAE	00:02:10	0.9105	0.6270	0.9290	0.9071
			ANN	00:01:30	0.9215	0.7906	1.0000	1.0000
	SqueezeNet + Inception-v3	00:03:10	SVM	00:52:41	0.9154	0.9607	0.9714	0.9571
			KNN	00:54:51	0.9135	0.6829	0.9621	0.8874
			SAE	00:18:45	0.9782	0.9748	0.9824	0.9835
			ANN	00:06:41	0.9847	0.9857	0.9834	0.9871
Phase-2	SqueezeNet	00:02:05	SVM	00:05:50	0.8891	0.6784	0.9645	0.9570
			KNN	00:06:20	0.8793	0.6682	0.9695	0.9820
			SAE	00:02:18	0.9317	0.6784	0.9490	0.9363
			ANN	00:10:42	0.8830	0.6923	0.9974	0.9939
	Inception-v3	00:02:11	SVM	00:04:40	0.8852	0.6596	0.9734	0.9593
			KNN	00:04:10	0.8687	0.6754	0.9641	0.9577
			SAE	00:02:11	0.9255	0.6380	0.9392	0.9281
			ANN	00:01:01	0.9247	0.0753	1.0000	1.0000
	SqueezeNet + Inception-v3	00:04:16	SVM	00:52:18	0.9768	0.9798	0.9739	0.9740
			KNN	00:57:25	0.9773	0.9773	0.9773	0.9773
			SAE	00:19:33	0.9815	0.9815	0.9832	0.9831
			ANN	00:06:49	0.9899	0.9880	0.9918	0.9918

method is much lower than that of the other methods. This indicates that using a pretrained deep learning model as a feature extractor in tandem with a traditional classifier is effective in pneumonia detection from X-ray images. As such, we were successful in combining the advantages of both deep and traditional learning. Furthermore, by concatenating the features of the deep neural networks that showed the best ac-

curacy and sensitivity, we were able to improve the classification performance. Figure 4 shows some examples of classification using this method, along with the corresponding confidence levels.

Table 4: Performance comparison with other similar methods. The best performance results are shown in bold.

Methods	Training Time	Accuracy	Sensitivity	Specificity	Precision
(Liang and Zheng, 2019)	04:35:18	0.9050	0.9670	0.9549	0.8910
(Wang and Xia, 2018)	04:29:44	0.9599	0.9017	0.9949	0.9906
(Kermany et al., 2018)	04:09:09	0.9776	0.9615	0.9872	0.9783
(Rajpurkar et al., 2017)	23:47:54	0.9631	0.9359	0.9795	0.9648
Proposed	00:06:49	0.9899	0.9880	0.9918	0.9918

7 CONCLUSIONS

In this paper, we investigated the use of pretrained deep neural networks as feature extractors along with traditional classification methods to perform pneumonia classification from X-ray images. We retrained the pretrained networks on chest X-ray images, and selected the two networks that provided the best levels of accuracy and sensitivity to use as feature extractors. We showed that by using the concatenated features of these networks as inputs, the performance of traditional classification methods can be improved. Finally, we showed that this method outperformed similar existing methods of pneumonia diagnosis. In future work, we will test this method on other databases and also compare its performance with that of human experts.

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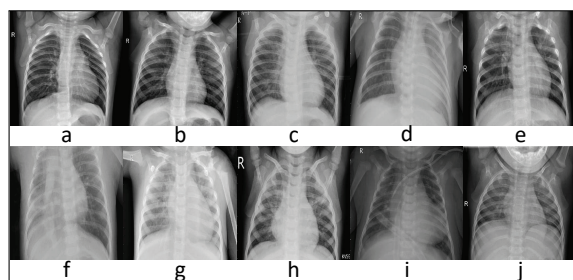


Figure 4: Examples of chest X-ray images classified using our method with corresponding confidence levels: (a) Normal, 100%, (b) Normal, 100%, (c) Pneumonia, 100%, (d) Pneumonia, 100%, (e) Pneumonia, 91.10%, (f) Pneumonia, 99.90%, (g) Pneumonia, 100%, (h) Pneumonia, 100%, (i) Pneumonia, 100%, and (j) Normal, 99.00%.

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