

# Sum Product Theorems and Applications (Spring 2022, Weikun He)

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**Theorem 0.1** (Erdős-Szemerédi Theorem)

There exists an absolute constant  $c > 0$ , such that for every finite set  $A \subseteq \mathbb{R}$ ,

$$\max \{\#(A + A), \#AA\} \geq c(\#A)^{1+c}.$$

**§1 Basic additive combinatorics**

$(E, +)$  abelian group.  $A, B \subseteq E$ .

**Notation 1.1.**  $A + B := \{a + b : a \in A, b \in B\}$ .

**Question 1.2** (Freiman). If  $\#(A + A) \leq K\#A$ , for some parameter  $K$ , what can we say about  $A$ ?

**Observation 1.3.** If  $A$  is a **arithmetic progression**, then  $\#(A + A) \leq 2\#A$ . If  $A$  is a **generalized A.P.** of **rank**  $r$ , i.e.

$$A = \{a_0 + t_1 d_1 + \cdots + t_r d_r : \forall i, 1 \leq t_i \leq N_i\},$$

then  $\#(A + A) \leq 2^r \#A$ .

**Freiman Type Theorem** If  $\#(A + A) \leq K\#A$ , then exists

- (i)  $P \subseteq E$  is a generalized arithmetic progression of rank  $O_K(1)$ ,  $\#P = O_K(\#A)$ .
- (ii)  $X \subseteq E$  finite,  $\#X = O_K(1)$ .

Such that  $A \subseteq P + X$ .

**Theorem 1.4** (Szemerédi)

$A \subseteq \mathbb{N}$  with positive upper density, then  $A$  contains arbitrarily long A.P.

**Lemma 1.5** (Ruzsa Triangle Inequality)

$A, B, C \subseteq (E, +)$  finite, then

$$\#(A - C)\#B \leq \#(A - B)\#(B - C).$$

*Proof.* Construct a map  $(A - C) \times B \rightarrow (A - B) \times (B - C)$ ,  $(x, b) \mapsto (a_x - b, b - c_x)$ , where  $x = a_x - b_x$  is a typical decomposition, this map is an injective.  $\square$

**Definition 1.6.** Define the **Ruzsa distance** between  $A, B$  by

$$d(A, B) = \log \frac{\#(A - B)}{(\#A)^{\frac{1}{2}}(\#B)^{\frac{1}{2}}}.$$

**Lemma 1.7** (Ruzsa Covering Lemma)

$A, B \subseteq (E, +)$  finite,  $K \geq 1$ . If  $\#(A + B) \leq K\#A$ , then  $\exists X \subseteq E, \#X \leq K$ , such that  $B \subset A - A + X$ .

*Proof.* Let  $X \subseteq B$  be the maximal set such that  $(x + A)_{x \in X}$  is pointwise disjoint.  $\square$

**Notation 1.8.**  $\mathbb{O}(K)$  denotes some subset of cardinality  $\leq K$ .

**Remark 1.9** — Ruzsa Covering Lemma  $\iff B \subseteq A - A + \mathbb{O}\left(\frac{\#(A+B)}{\#A}\right)$ .

**Proposition 1.10** (Plünnecke-Ruzsa Inequality)

$A, B \subseteq E$  finite,  $K \geq 1$ . If  $\#(A + B) \leq K\#A$ , then  $\forall k, l \geq 0$ , we have

$$\#\left(\sum_k B - \sum_l B\right) \leq K^{k+l}\#A,$$

where  $\sum_k B := \underbrace{B + B + \dots + B}_{k \text{ times}}$ .

**Lemma 1.11** (Petridis)

If  $\#(A + B) \leq K\#A$ , then  $\exists A_0 \subseteq A$ , such that for every  $C \subset E$  finite,

$$\#(C + A_0 + B) \leq K\#(C + A_0).$$

*Proof.* Let  $K_0 := \inf_{A' \subseteq A} \frac{\#(A' + B)}{\#A'} \leq K$  and  $A_0 \subseteq A$  such that  $K_0 = \frac{\#(A_0 + B)}{\#A_0}$ . Applying induction to  $\#C$ , consider  $C' = C \cup \{c\}$ , where  $c \notin C$ . WLOG, assume  $c = 0$ . Then

$$\#(C' + A_0 + B) = \#(C + A_0 + B) + \#(A_0 + B) - \#((C + A_0 + B) \cap (A_0 + B)).$$

Observe that  $((C + A_0) \cap A_0) + B \subseteq (C + A_0 + B) \cap (A_0 + B)$ . By assumption,

$$(C + A_0) \cap A_0 \subseteq A \implies \#((C + A_0) \cap A_0) + B \geq K_0\#((C + A_0) \cap A_0).$$

Hence by inductive assumption,

$$\#(C' + A_0 + B) \leq K_0(\#(C + A_0) + \#A_0 - \#((C + A_0) \cap A_0)) = K_0\#(C' + A_0).$$

$\square$

*Proof of Plünnecke-Ruzsa Inequality 1.10.* Applying lemma, we have

$$\#(B + A_0) \leq K\#A_0, \quad \#(B + B + A_0) \leq K\#(B + A_0) \leq K^2\#A_0, \quad \dots$$

Hence,  $\#(\sum_k B + A_0) \leq K^k\#A_0$ . Finally, applying Ruzsa triangle inequality, we have

$$\#\left(\sum_k B - \sum_l B\right) \leq \frac{\#(\sum_k B + A_0) \#(\sum_l B + A_0)}{\#A_0} \leq K^{k+l}\#A_0 \leq K^{k+l}\#A.$$

$\square$

**Question 1.12.** If  $E$  is not an abelian group, does the arguments still hold?

**Answer** Ruzsa triangle inequality, Ruzsa covering lemma, Petridis lemma still hold, but Plünnecke-Ruzsa inequality **fails**. See the following examples.

**Example 1.13**

$G$  non abelian group. Take  $A = H \cup \{a\}$ , where  $H$  is a subgroup of  $G$  and  $a \notin H$ . Then  $AA = H \cup aH \cup Ha \cup \{a\}$ . Assume  $\#H = N$ , then  $\#(AA) \leq 3N + 1 \leq \#A$ . Consider  $AAA \supseteq HaH$ , if  $aHa^{-1} \cap H = \{1\}$ , then  $\#(HaH) = N^2$ . Explicitly, we can choose  $G = S_{N+1}$ ,  $H = \langle (123 \cdots N) \rangle$  and  $a = (N \ (N+1))$ . Hence for any  $N > 0$ , there exists  $A$  such that  $\#(AA) \leq 3\#A$  but  $\#(AAA) \geq N\#A$ .

## §2 Sum-product theorems

Let  $(E, 0, 1, +, \cdot)$  be a ring,  $A \subseteq E$  finite set,  $K \geq 1$  parameter.

Let  $E^\times = \{\text{invertible elements in } E\}$ .

**Definition 2.1.** Let  $R(A, K) := \{x \in E : \#(A + xA) \leq K\#A\}$ .

The following lemma shows that  $R(A, K)$  has an “almost” ring structure.

**Lemma 2.2**

1. If  $x \in R(A, K) \cap E^\times$ , then  $x^{-1} \in R(A, K)$ .
2. If  $1, x, y \in R(A, K)$ , then  $x + y, x - y, xy \in R(A, K^{O(1)})$ , where  $O(1) = 8$  is enough.

*Proof.* 1. Trivial.

2. If  $x, y \in R(A, K)$ , by Ruzsa covering lemma, we have

$$xA \subseteq A - A + \mathbb{O}(K), \quad yA \subseteq A - A + \mathbb{O}(K).$$

then  $A + (x + y)A \subseteq \sum_3 A - \sum_2 A + \mathbb{O}(K^2)$ . Because  $1 \in R(A, K)$ , hence by P-R, we have  $\#(\sum_3 A - \sum_2 A) \leq K^5\#A$ . Then  $\#(A + (x + y)A) \leq K^7\#A$ . Similarly, we can prove  $\#(A + xyA) \leq K^8\#A$ .

□

**Notation 2.3.** For  $s \in \mathbb{N}$ , let  $\sum_{\leq s} A = \bigcup_{1 \leq k \leq s} \sum_k A$ , let  $\prod_{\leq s} A = \bigcup_{1 \leq k \leq s} \prod_k A$ . Let

$$\langle A \rangle_s = \sum_{\leq s} \prod_{\leq s} A - \sum_{\leq s} \prod_{\leq s} A.$$

**Notation 2.4.**  $O_s(1)$  denotes a constant which just depend on  $s$ .

**Lemma 2.5 (Ring Version of P-R)**

Assume  $\#(A + AA) \leq K\#A$ , then  $\#\langle A \rangle_s \leq K^{O_s(1)}\#A$ .

**Remark 2.6** —  $\#(A + A) \leq K\#A$  and  $\#(AA) \leq K\#A$  do not imply  $\#(A + AA) \leq K^{O(1)}\#A$ . For a counter example, we consider  $A = \sqrt{-1}\mathbb{F}_p \subseteq \mathbb{F}_p[\sqrt{-1}]$  for some  $p = 4k + 3$  and  $K = 1$ , then  $\#(A + AA) = p^2 = p\#A$ .

*Proof.* By R-covering, we have  $AA \subseteq A - A + \mathcal{O}(K)$ . Let  $X = \mathcal{O}(K)$ , note that  $X$  could be chose in  $AA$ . Because  $A \subseteq R(A, K)$  and  $1 \in R(A, K^2)$  for  $\#A \geq 2$ , then  $AA \subseteq R(A, K^{O(1)})$ . Then

$$AAA \subseteq AA - AA + \bigcup_{x \in X} xA \subseteq \sum_2 A - \sum_2 A + \mathcal{O}(K^2) + \bigcup_{x \in X} (A - A + \mathcal{O}(K^{O(1)})),$$

hence  $AAA \subseteq \sum_3 A - \sum_3 A + \mathcal{O}(K^{O(1)})$ . By induction, we can prove the theorem.  $\square$

As the consequence of this lemma, we have  $\langle A \rangle_s \subseteq R(A, K^{O_s(1)})$  if  $A \subseteq R(A, K)$ .

From now on, let  $E$  be a field,  $A \subseteq E$  finite,  $K \geq 1$ .

**Notation 2.7.** Denote  $f \ll g$  if there is an absolute constant  $C > 0$  such that  $f \leq Cg$ .

**Theorem 2.8 (Sum-Product Theorem in Fields)**

Assume  $\#(A + AA) \leq K\#A$ , then

- (1) either  $\#A \ll K^{10000}$ .
- (2) or  $\exists$  finite subfield  $F$ , such that  $A \subseteq F$  and  $\#F \ll K^{10000}\#A$ .

**Remark 2.9** — If  $E = \mathbb{R}$ , then for every  $A \subseteq \mathbb{R}$ ,  $\#(A + AA) \geq (\#A)^{1 + \frac{1}{10000}}$ .

**Lemma 2.10**

For any  $x \in E$ , if  $\#(A + xA) < (\#A)^2$ , then  $x \in \frac{A-A}{(A-A) \setminus \{0\}}$ .

*Proof of Theorem 2.8.* Let  $F = \frac{A-A}{(A-A) \setminus \{0\}}$ . Consider  $K = (\#A)^{\frac{1}{10000}}$ , the lemma shows that  $R(A, K^{9999}) \subseteq F$ . By assumption,  $A \subseteq R(A, K)$ , hence  $A \subseteq R(A, K^2)$  by P-R if  $\#A \geq 2$ . By “almost” ring structure, we have  $A - A \subseteq R(A, K^{20})$  and  $((A - A) \setminus \{0\})^{-1} \subseteq R(A, K^{20})$ , hence  $F \subseteq R(A, K^{200})$ . Furthermore,  $F + F, FF \subseteq R(A, K^{2000}) \subseteq F$ . Hence  $F$  is a finite field.

Now, we estimate  $\#F$ . There are two methods. One way is to consider a map

$$F \times (A \setminus \{0\}) \rightarrow (AA - AA) \times (AA - AA), \quad (x, a) \mapsto (au_x, bv_x),$$

where  $u_x, v_x \in A - A$  are typical of writing  $x = \frac{u_x}{v_x}$ . The map is injective, hence  $(\#F)(\#A - 1) \leq (\#(AA - AA))^2 \leq K^4(\#A)^2$  by P-R.

Another way is to use energy argument, see definition 3.1. Consider

$$(\#A)^4 = \sum_{x \in F} \#\{a, b, a', b' \in A : ax + b = a'x + b'\} \geq \sum_{x \in F} \frac{(\#A)^4}{\#(A + xA)} \geq \#F \frac{(\#A)^3}{K^{200}}.$$

Hence  $\#F \leq K^{200}\#A$ .  $\square$

**Corollary 2.11**

If  $\#(AA) \leq K\#A$ ,  $\#(A+A) \leq K\#A$ , then

- (1) either  $\#A \ll K^{O(1)}$ .
- (2) or  $\exists$  finite subfield  $F$ ,  $\exists a \in E$ , such that  $\#(A \cap aF) \gg \frac{\#A}{K^{O(1)}}$  and  $\#F \ll K^{O(1)}\#A$ .

**Lemma 2.12 (Katz-Tao Lemma)**

Assume  $\#(A+A) \leq K\#A$ ,  $\#(AA) \leq K\#A$ . Then  $\exists A' \subseteq A$  such that

$$\#A' \gg \frac{1}{K^{O(1)}}\#A \quad \text{and} \quad \#(A'A' - A'A') \ll K^{O(1)}\#A'.$$

*Proof of Corollary 2.11 assuming Lemma 2.12.* Take such  $A'$  in lemma, we choose  $a \in A' \setminus \{0\}$ , let  $B = a^{-1}A'$ . Then  $1 \in B$  and  $B - BB \subseteq BB - BB$ , hence  $\#(B - BB) \leq K^{O(1)}\#B$ . Then  $\#(B + BB) \leq K^{O(1)}\#B$  by P-R and R-covering. Applying Theorem 2.8 to  $B$ , the corollary follows.  $\square$

**Notation 2.13.** Denote  $f \lesssim g$  if  $f \ll K^{O(1)}g$ , denote  $f \sim g$  if  $f \lesssim g$  and  $g \lesssim f$ .

*Proof of Katz-Tao Lemma 2.12.* Consider the function  $\varphi = \sum_{a \in A} \mathbb{1}_{aA}$  defined on  $AA$ . Endowing  $AA$  with counting measure, then

$$(\#A)^4 = \|\varphi\|_1^2 \leq \|\varphi\|_2^2 \|1\|_2^2 = \#(AA) \left\| \sum_{a,b \in A} \mathbb{1}_{aA \cap bA} \right\|_1 \leq K\#A \sum_{a,b \in A} \#(aA \cap bA).$$

Therefore,  $\exists b \in A$  such that  $\frac{1}{\#A} \sum_{a \in A} \#(aA \cap bA) \geq \frac{\#A}{K}$ . Consider

$$A' := \left\{ a \in A : \#(aA \cap bA) \geq \frac{\#A}{2K} \right\},$$

then  $\#A' \geq \frac{\#A}{2K}$ . Hence for every  $a \in A'$ , by R-triangle,

$$\#(aA + bA) \leq \frac{\#(aA + aA \cap bA) \#(bA - aA \cap bA)}{\#(aA \cap bA)} \lesssim \frac{\#(A+A) \#(A-A)}{\#A} \lesssim \#A.$$

By R-covering,  $aA \subseteq bA - bA + \mathcal{O}(K^{O(1)})$ . Then for every  $a_1, a_2, a_3, a_4 \in A$ ,

$$(a_1a_2 - a_3a_4)A \subseteq b^2 \left( \sum_4 A - \sum_4 A \right) + \mathcal{O}(K^{O(1)}).$$

Let  $d = a_1a_2 - a_3a_4$ , then  $dA \subseteq \bigcup_{x \in X} (b^2 (\sum_4 A - \sum_4 A) + x)$  where  $\#X \lesssim 1$ . Then  $\exists x$  such that  $\#(dA \cap (b^2 (\sum_4 A - \sum_4 A) + x)) \gtrsim \#A$ . Hence

$$\# \left\{ u \in A - A : du \in b^2 \left( \sum_8 A - \sum_8 A \right) \right\} \gtrsim \#A.$$

Consider  $F = b^2 \frac{\sum_8 A - \sum_8 B}{(A-A) \setminus \{0\}}$ , then  $\#F \leq \#(A-A) \#(\sum_8 A - \sum_8 A) \lesssim (\#A)^2$ . On the other hand,  $\#F \gtrsim \#A \#(A'A' - A'A')$  by the former deduction. Hence  $\#(A'A' - A'A') \lesssim \#A$ .  $\square$

### §3 More additive combinatorics

$(E, +)$  abelian group.

**Definition 3.1.** For  $A, B \subseteq (E, +)$ , define the **additive energy** between  $A, B$

$$\mathcal{E}_+(A, B) := \# \{ (a, b, a', b') \in A \times B \times A \times B : a + b = a' + b' \}.$$

The trivial bound of energy is

$$\#A\#B \leq \mathcal{E}_+(A, B) \leq (\#A)^{\frac{3}{2}}(\#B)^{\frac{3}{2}}.$$

Let  $r = \mathbb{1}_A * \mathbb{1}_B$ , then  $r(y) = \# \{ (a, b) \in A \times B : a + b = y \}$ . Endowing  $E$  with the counting measure, then

$$\mathcal{E}_+(A, B) = \sum_{y \in A+B} r(y)^2 = \|\mathbb{1}_A * \mathbb{1}_B\|_2^2.$$

Note that  $\|\mathbb{1}_A * \mathbb{1}_B\|_1 = \|\mathbb{1}_A\|_1 \|\mathbb{1}_B\|_1 = \#A\#B$ . By Cauchy-Schwarz,

$$\mathcal{E}_+(A, B) = \|\mathbb{1}_A * \mathbb{1}_B\|_2^2 \geq \frac{\|\mathbb{1}_A * \mathbb{1}_B\|_1^2}{\# \text{supp } \mathbb{1}_A * \mathbb{1}_B} = \frac{(\#A)^2(\#B)^2}{\#(A+B)}.$$

This inequality shows that if  $A$  and  $B$  have a small sum set, then the additive energy between  $A, B$  is big.

**Remark 3.2** — The converse is **not** true. See the following example.

#### Example 3.3

Let  $A = \{0, 1, 2, \dots, N-1\} \cup \{N, 2N, \dots, N^2\}$ , then  $\#A = 2N$ . We have  $\#(A+A) \asymp N^2$  and  $\mathcal{E}_+(A, A) \geq \mathcal{E}_+(\{0, \dots, N-1\}, \{0, \dots, N-1\}) \geq \frac{N^2}{2N} \gg N^3$ . They both attain the trivial upper bound up to a constant.

#### Theorem 3.4 (Balog-Szemerédi-Gowers)

The following are equivalent, the parameter  $K_i > 0$  differs from each other by at most a polynomial dependence:

- (i)  $\mathcal{E}_+(A, B) \geq \frac{1}{K_1}(\#A)^{\frac{3}{2}}(\#B)^{\frac{3}{2}}$ .
- (ii)  $\exists A' \subseteq A, B' \subseteq B$  with  $\#A' \geq \frac{\#A}{K_2}, \#B' \geq \frac{\#B}{K_2}$ , such that  $\#(A'+B') \leq K_2(\#A)^{\frac{1}{2}}(\#B)^{\frac{1}{2}}$ .
- (iii)  $\exists G \subseteq A \times B$  with  $\#G \geq \frac{1}{K_3}\#A\#B$  such that  $\#(A+B)^G \leq K_3(\#A)^{\frac{1}{2}}(\#B)^{\frac{1}{2}}$ , where  $A+B^G := \{a+b : (a, b) \in G\}$ .

*Proof.* (ii)  $\implies$  (i): Trivial.

(i)  $\implies$  (iii): Let  $Y = \left\{ y : r(y) \geq \frac{(\#A)^{\frac{1}{2}}(\#B)^{\frac{1}{2}}}{2K_1} \right\}$ ,  $G = \{(a, b) \in A \times B : a + b \in Y\}$ , then  $A+B^G = Y$ . The bound of energy  $\mathcal{E}_+(A, B) \geq \frac{1}{K_1}(\#A)^{\frac{3}{2}}(\#B)^{\frac{3}{2}}$  immediately gives that  $\#G \geq \frac{1}{2K_1}\#A\#B$ . Besides,

$$\#Y \frac{\#A\#B}{4K_1^2} \leq \sum_{y \in Y} r(y)^2 \leq (\#A)^{\frac{3}{2}}(\#B)^{\frac{3}{2}},$$

hence  $\#Y \ll K_1^2(\#A)^{\frac{1}{2}}(\#B)^{\frac{1}{2}}$ .

For proving (iii)  $\implies$  (ii), we need some more preparations.  $\square$

**Theorem 3.5 (Multiplicative Balog-Szemerédi-Gowers)**

For every group  $(H, \cdot)$ ,  $A, B \subseteq H$  finite sets. The following are equivalent, the parameter  $K_i > 0$  differs from each other by at most a polynomial dependence:

- (i)  $\mathcal{E}_+(A, B) \geq \frac{1}{K_1}(\#A)^{\frac{3}{2}}(\#B)^{\frac{3}{2}}$ .
- (ii)  $\exists A' \subseteq A, B' \subseteq B$  with  $\#A' \geq \frac{\#A}{K_2}, \#B' \geq \frac{\#B}{K_2}$ , such that  $\#(A'B') \leq K_2(\#A)^{\frac{1}{2}}(\#B)^{\frac{1}{2}}$ .
- (iii)  $\exists G \subseteq A \times B$  with  $\#G \geq \frac{1}{K_3}\#A\#B$  such that  $\#(A \overset{G}{\cdot} B) \leq K_3(\#A)^{\frac{1}{2}}(\#B)^{\frac{1}{2}}$ , where  $A \overset{G}{\cdot} B := \{ab : (a, b) \in G\}$ .

**Theorem 3.6 (Graph-Theoretic B-S-G)**

Let  $A, B$  be finite sets,  $G \subseteq A \times B$ . Assume  $\#G \geq \frac{1}{K}\#A\#B$ . Then exists  $A' \subseteq A, B' \subseteq B$ ,  $\#A' \gtrsim \#A, \#B' \gtrsim \#B$ . And for every  $a' \in A', b' \in B'$ ,

$$\#\{(a, b) \in A \times B : (a', b), (a, b'), (a, b') \in G\} \gtrsim \#A\#B.$$

*Proof of BSG assuming graph BSG.* Let  $A', B'$  be given by graph B-S-G, for every  $x \in A' \cdot B'$ ,

$$r_3(x) = \#\{(y_1, y_2, y_3) \in (A \overset{G}{\cdot} B)^3 : x = y_1 y_2^{-1} y_3\} \gtrsim \#A\#B.$$

Then

$$\#(A' \cdot B') \leq \frac{\#(A \overset{G}{\cdot} B)^3}{\#A\#B} \lesssim (\#A)^{\frac{1}{2}}(\#B)^{\frac{1}{2}}.$$

$\square$

**Notation 3.7.** For  $a \in A$ , let  $B(a) := \{b \in B : (a, b) \in G\}$ .

*Proof of graph BSG.* Let  $A_1 := \# \left\{ a \in A : \#B(a) \geq \frac{\#B}{2K} \right\}$ , then  $\#A \geq \frac{\#A}{2K}$ . Then

$$\sum_{a, a' \in A_1} \#B(a) \cap B(a') = \sum_{b \in B} \left( \sum_{a \in A_1} \mathbb{1}_{B(a)}(b) \right)^2 \geq \frac{(\sum_{a \in A_1} \#B(a))^2}{\#B} \geq \frac{1}{4K^2}(\#A)^2 \#B.$$

Set  $\varepsilon = \frac{1}{32K}$ , let

$$U = \left\{ (a, a') \in A_1 \times A_1 : \#B(a) \cap B(a') \leq \frac{\varepsilon}{4K^2} \#B \right\}.$$

Idea: we want  $A' \subseteq A, B' \subseteq B$  such that:

- (i)  $\#A' \gtrsim \#A, \#B' \gtrsim \#B$ ,
- (ii)  $\forall a \in A', \#A_1^U(a) := \#\{a' \in A_1 : (a, a') \in U\} \leq \frac{\#A_1}{8K}$ .
- (iii)  $\forall b \in B', \#A_1(b) \geq \frac{\#A_1}{4K}$ .



This is enough, but condition (ii) is too much. Instead, we want  $A' \subseteq A_2 \subseteq A_1, B' \subseteq B$  such that

- (i)  $\#A' \gtrsim \#A, \#B' \gtrsim \#B$ ,
- (ii)  $\forall a \in A', \#A_2^U(a) \leq \frac{\#A_2}{8K}$ .
- (iii)  $\forall b \in B', \#A_2(b) \geq \frac{\#A_2}{4K}$ .

Candidate  $A_2 = A_1(b)$  for some  $b \in B$ . Notice that

$$\sum_{b \in B} \#(A_1(b) \times A_1(b)) = \sum_{a, a' \in A_1} \#(B(a) \cap B(a')) \geq \frac{(\#A_1)^2 \#B}{4K^2},$$

$$\sum_{b \in B} \#(A_1(b) \times A_1(b) \cap U) = \sum_{(a, a') \in U} \#(B(a) \cap B(a')) \leq \frac{\varepsilon(\#A_1)^2 \#B}{4K^2}.$$

Hence  $\exists b \in B$ , write  $A_2 = A_1(b)$  such that

$$\#(A_2 \times A_2) - \frac{1}{2\varepsilon} \#(A_2 \times A_2 \cap U) \geq \frac{(\#A_1)^2}{8K^2}.$$

Then  $\#A_2 \geq \frac{\#A_1}{2\sqrt{2K}}$  and  $\#(U \cap (A_2 \times A_2)) \leq 2\varepsilon(\#A_2)^2$ . Let  $A' = \{a \in A' : \#A_2^U(a) \leq \frac{\#A_2}{8K}\}$ , by

$$\sum_{a \in A_2} \#A_2^U(a) = \#(U \cap (A_2 \times A_2)) \leq \frac{(\#A_2)^2}{16K},$$

it shows  $\#A' \gtrsim \#A$ . Let  $B' = \{b \in B' : \#A_2(b) \geq \frac{\#A_2}{4K}\}$ , then

$$\sum_{b \in B} \#A_2(b) = \sum_{a \in A_2 \subseteq A_1} \#B(a) \geq \frac{\#A_2 \#A}{2K},$$

hence  $\#B' \geq \frac{\#B}{4K}$ . □

## §4 A product theorem

Let  $(G, \cdot)$  be a group,  $A \subseteq G$  finite subset.

**Notation 4.1.** Let  $\prod_k A = \underbrace{AA \cdots A}_{k \text{ times}}, A^{-1} = \{a^{-1} : a \in A\}$ .

**Lemma 4.2** 1. If  $\#(AAA) \leq K\#A$ , then  $\#\prod_3(A \cup \{1\} \cup A^{-1}) \ll K^3\#A$ .

2. If  $\#\prod_3(A \cup \{1\} \cup A^{-1}) \leq K\#A$ , then for every  $k \geq 3$ ,

$$\#\prod_k(A \cup \{1\} \cup A^{-1}) \leq K^{k-2}\#A.$$

*Proof.*

1. By Ruzsa-triangle,

$$\#(AAA^{-1}) \leq \frac{\#(AAA)\#(A^{-1}A^{-1})}{\#A^{-1}} \leq K^2\#A,$$

$$\#(AA^{-1}A) \leq \frac{\#(AA^{-1}A^{-1})\#(AA)}{\#A} \leq K^3\#A,$$

The result follow.

2. Assume  $1 \in A = A^{-1}$ , the statement follows by Ruzsa-triangle. □

**Definition 4.3.** Finite set  $A \subseteq G$  is called a  $K$ -approximate subgroup, if

- (i)  $1 \in A, A^{-1} = A$ ,
- (ii)  $\exists X \subseteq G, \#X \leq K$ , such that  $AA \subseteq XA$ .

**Lemma 4.4** (Reformulation of lemma 4.2)

If  $\#(AAA) \leq \#A$ , then  $B = \prod_2(A \cup \{1\} \cup A^{-1})$  is a  $O(K^{O(1)})$ -approximate subgroup.

**Problem 4A.** Does  $\#(AAA) \leq K\#(AA)$  implies  $\#\prod_k A \leq K^{O_k(1)}\#A$ .

**Theorem 4.5** (Helfgott)

$\forall \delta > 0, \exists \varepsilon > 0$ , let  $G = \text{SL}(2, \mathbb{F}_p)$ ,  $p$  is a prime number. Let  $A \subseteq G, \langle A \rangle = G$ , then either

- (1)  $\#(AAA) \geq c(\#A)^{1+\varepsilon}$ ,
- (2) or  $\#A \geq p^{3-\delta}$ .

**Theorem 4.6** (Equivalent formulation of Helfgott's Theorem)

If  $A \subseteq G = \text{SL}(2, \mathbb{F}_p)$  is a  $K$ -approximate subgroup, then either

- (i)  $\langle A \rangle \neq G$ .
- (ii) or  $\#A \lesssim 1$ .
- (iii) or  $\#A \gtrsim \#G$ .

**Exercise 4.7.** Prove two statements above are equivalent.

**Remark 4.8** —  $\text{PSL}(2, \mathbb{F}_p)$  is a simple group for  $p > 5$ .

**Remark 4.9** — Such result does not hold for abelian group.

**Lemma 4.10** (Orbit-Stabalizer Formula)

$A \curvearrowright X$ , then for every  $x \in X$ , we have

$$\sharp A \leq \sharp(A.x) \sharp(\text{Stab}(x) \cap A^{-1}A).$$

**Remark 4.11** — If  $A$  is a subgroup, then identity holds.

**Definition 4.12.**  $T \subseteq \text{SL}(2, \overline{\mathbb{F}}_p)$  is called a torus if  $T = g \begin{bmatrix} * & 0 \\ 0 & * \end{bmatrix} g^{-1}$  for some  $g \in \text{SL}(2, \overline{\mathbb{F}}_p)$ .

**Lemma 4.13**

Assume  $A$  is  $K$ -approximate subgroup,  $\exists T \subseteq \text{SL}(2, \overline{\mathbb{F}}_p)$  a torus such that

$$\sharp(T \cap AA) \gtrsim \sharp \text{tr}(A) - 2,$$

where  $\text{tr}(A) = \{\text{tr}(a) : a \in A\}$ .

*Proof.* Consider  $B \subseteq A$  with  $\sharp B = \sharp \text{tr}(A) - 2$ ,  $\pm 2 \notin \text{tr}(B)$  and  $\text{tr}(b), b \in B$  are pairwise distinct. Consider the conjugation, we have

$$\sharp B \sharp A = \sum_{b \in B} \sharp \{aba^{-1} : a \in A\} \sharp(C_G(b) \cap AA) \leq \sharp(AAA) \max_{b \in B} \sharp(C_G(b) \cap AA),$$

hence there are some  $b \in B$  such that  $\sharp(C_G(b) \cap AA) \geq \frac{\sharp B}{K}$ . □

**Definition 4.14.** An affine variety over  $\overline{\mathbb{F}}_p$  of complexity  $\leq M$  is  $V \subseteq \overline{\mathbb{F}}_p^n$ ,

$$V = \{\underline{x} \in \overline{\mathbb{F}}_p^n : f_1(\underline{x}) = \dots = f_s(\underline{x}) = 0\},$$

where  $f_1, \dots, f_s \in \overline{\mathbb{F}}_p[x_1, x_2, \dots, x_n]$  and  $s, n, \deg f_1, \dots, \deg f_s \leq M$ .

**Proposition 4.15** (Escape from Subvarieties)

$\forall M > 0, \exists p_0 = p_0(M)$ , such that for every  $p > p_0$  prime,  $G = \text{SL}(2, \overline{\mathbb{F}}_p)$ ,  $V \subseteq G$  a proper subvariety of complexity  $\leq M$ .  $A \subseteq \text{SL}(2, \overline{\mathbb{F}}_p)$ , assume  $\langle A \rangle = \text{SL}(2, \overline{\mathbb{F}}_p)$ , then  $\exists g \in \prod_m (\{1\} \cup A)$ , such that  $g \notin V$ , where  $m$  depends only on  $M$ .

**Remark 4.16** —  $\text{SL}(2, \overline{\mathbb{F}}_p)$  is not Zariski dense in  $G$ , i.e.,  $\exists$  proper subvariety  $V$  such that  $\text{SL}(2, \overline{\mathbb{F}}_p) \subseteq V$ , hence we need an additional condition on complexity.

**Definition 4.17.** An affine subvariety  $V$  is **irreducible** if  $V$  can not be written as  $V = V_1 \cup V_2$  where  $V_1, V_2$  are both subvarieties and  $V_1, V_2 \neq V$ .

**Definition 4.18.** **Krull dimension** of a subvariety  $V$  is defined as

$$\dim V := \max \{k : \exists V_1 \subsetneq V_2 \subsetneq \cdots \subsetneq V_k \subseteq V, V_1, \dots, V_k \text{ irreducible}\}.$$

*Proof.*  $G = \{(x_{11}, x_{12}, x_{21}, x_{22}) \in \overline{\mathbb{F}}_p^4 : x_{11}x_{22} - x_{12}x_{21} = 1\}$  is of complexity 4. Let

$$\overline{\mathbb{F}}_p[G] := \overline{\mathbb{F}}_p[x_{11}, \dots, x_{22}] / (\det \begin{bmatrix} x_{11} & x_{12} \\ x_{21} & x_{22} \end{bmatrix} - 1).$$

For every  $V \subseteq G$  subvariety, with complexity  $\leq M$ , let

$$I_V := \{f \in \overline{\mathbb{F}}_p[G] : \forall x \in V, f(x) = 0\},$$

which is an ideal. There exists  $d = d(M)$  such that  $I = I_V \cap \overline{\mathbb{F}}_p[G]_{\deg \leq d} = I_V$ . Consider  $G \curvearrowright \overline{\mathbb{F}}_p[G]$  given by  $(g.f)(\cdot) = f(g^{-1} \cdot)$ . Hence  $G \curvearrowright \overline{\mathbb{F}}_p[G]_{\deg \leq d}$ , let  $m = \dim \overline{\mathbb{F}}_p[G]_{\deg \leq d}$ . Assume for a contradiction,  $\prod_m (A \cup \{1\}) \subseteq V$ . Then there exists  $g_1, \dots, g_s \in \prod_m (A \cup \{1\})$  such that

$$J = I + g_1^{-1}I + \cdots + g_s^{-1}I$$

is  $\langle A \rangle$ -invariant. Let  $H = \{g \in G : g.I = I\}$ , then

1.  $H$  is a subgroup,  $A \subseteq H$ .
2.  $H \subseteq V$ . Indeed,  $\forall h \in H, f \in I, h^{-1}.f \in J$ . Hence  $\exists f_0, f_1, \dots, f_s \in I$ , such that

$$h^{-1}f = f_0 + g_1^{-1}f_1 + \cdots + g_s^{-1}f_s.$$

Take  $x = 1_G$ , we have  $h \in V$ .

3. Complexity of  $H$  is  $O_M(1)$ .

By a Schwarz-Zippel (Lang-Weil) theorem, we have

$$\sharp(H \cap \mathrm{SL}_2(\mathbb{F}_p)) \ll_M p^{\dim H} \ll_M p^{\dim V}.$$

But  $\sharp \langle A \rangle \asymp p^3$ , if  $V$  is proper, then  $\dim V < \dim G = 3$ . A contradiction.  $\square$

*Proof of Theorem 4.6.* We separate the proof into following four steps.

- I.  $\exists T \subseteq G$  torus such that  $\sharp(T \cap AA) \gtrsim \sharp \mathrm{tr}(A) - 2$ .
- II. There exists some integers of  $O(1)$  such that  $\sharp \mathrm{tr}(\prod_{O(1)} A) \gg (\sharp A)^{\frac{1}{3}}$ .
- III.  $T$  torus, finite  $V \subseteq T$ , then  $\exists g \in \prod_{O(1)} A$  such that one of the following holds:
  - (1)  $\sharp VVV \geq K' \sharp V$ .
  - (2)  $\sharp \mathrm{tr}(\prod_{20} Vg \prod_{20} Vg^{-1}) \geq K' \sharp V$ .
  - (3)  $\sharp V \lesssim 1$ .
  - (4)  $\sharp V \gtrsim p$ .
- IV.  $T$  torus, finite  $V \subseteq T$ , then  $\exists g \in \prod_{O(1)} A$  such that  $\sharp(VgVg^{-1}V) \gg (\sharp V)^3$ .

After those four steps, we can prove the theorem. Applying II, we have  $\sharp \mathrm{tr} \prod_{O(1)} A \gg (\sharp A)^{\frac{1}{3}}$ . By I, there is  $T$  torus, let  $V = T \cap \prod_{O(1)} A$ , such that  $\sharp V \gtrsim (\sharp A)^{\frac{1}{3}}$ . For every  $g \in \prod_{O(1)} A$ , we have  $\sharp \mathrm{tr}(\prod_{O(1)} A) \geq \sharp \mathrm{tr}(\prod_{20} Vg \prod_{20} Vg^{-1})$ . By I, there is some  $V' = T' \cap \prod_{O(1)} A$  such that

$$\sharp V' \gtrsim \max \left\{ \sharp \mathrm{tr}(\prod_{20} Vg \prod_{20} Vg^{-1}), \sharp VVV \right\}.$$

By IV, there exists  $h \in \prod_{O(1)} A$ , such that

$$\sharp A \gtrsim \sharp \prod_{O(1)} A \gg \sharp(V'hV'h^{-1}V') \gg (\sharp V')^3.$$

Hence,  $\max \{ \sharp \operatorname{tr}(\prod_{20} Vg \prod_{20} Vg^{-1}), \sharp VVV \} \lesssim (\sharp A)^{\frac{1}{3}}$ . By III, take a suitable  $K' = O(K^{O(1)})$ , then there exists  $g \in \prod_{O(1)} A$  such that  $\sharp V \lesssim 1$  or  $\sharp V \gtrsim p$ . Which shows that  $\sharp A \lesssim 1$  or  $\sharp A \gtrsim p^3$ .  $\square$

*Proof of II.* For every  $g, h \in G$ , consider

$$\Phi_{g,h} : G \rightarrow (\overline{F}_p)^3, \quad x \mapsto (\operatorname{tr}(x), \operatorname{tr}(gx), \operatorname{tr}(hx)).$$

Then

$$\begin{aligned} & \{(g, h) \in G \times G : \Phi_{g,h} \text{ has fiber of positive dimension}\} \\ &= \{(g, h) \in G \times G : \Phi_{g,h} \text{ has fiber of } \sharp > 2\} \end{aligned}$$

is a proper subvariety of  $G \times G$  of complexity  $O(1)$ . By “escape”(4.15), there exists  $g, h \in \prod_{O(1)} (A \cup \{1\})$  such that each fiber of  $\Phi_{g,h}$  has  $\sharp \leq 2$ , hence  $\sharp A \ll (\sharp \operatorname{tr}(\prod_{O(1)} A))^3$ .  $\square$

*Proof of IV.* For every  $g \in G$ , consider

$$\phi_g : T^3 \rightarrow G, \quad (x, y, z) \mapsto xgyg^{-1}z.$$

Then

$$\{g \in G : \phi_g \text{ has fiber of positive dimension}\}$$

is a proper subvariety of  $G$  of complexity  $O(1)$ . By “escape”(4.15), there exists  $g \in \prod_{O(1)} (A \cup \{1\})$  such that each fiber of  $\phi_g$  is of 0-dimensional. Because the complexity is of  $O(1)$ , hence each fiber of  $\phi_g$  is of  $\sharp \leq O(1)$ . Therefore,  $\sharp \phi_g(V^3) \gg (\sharp V)^3$ .  $\square$

*Proof of III.* Assume  $V \subseteq T = \left\{ \begin{bmatrix} * & 0 \\ 0 & * \end{bmatrix} \right\}$ ,  $g = \begin{bmatrix} a & b \\ c & d \end{bmatrix}$ , then

$$\operatorname{tr} \left( \begin{bmatrix} x & 0 \\ 0 & x^{-1} \end{bmatrix} \begin{bmatrix} a & b \\ c & d \end{bmatrix} \begin{bmatrix} y & 0 \\ 0 & y^{-1} \end{bmatrix} \begin{bmatrix} a & b \\ c & d \end{bmatrix}^{-1} \right) = ad \cdot w(xy) - bc \cdot w(xy^{-1}),$$

where  $w(x) = x + x^{-1}$ . Then the statement is equivalent to the following proposition.  $\square$

#### Proposition 4.19

$\widehat{V} \subseteq \overline{\mathbb{F}}_p^\times$ ,  $a_1, a_2 \in \overline{\mathbb{F}}_p^\times$ , assume  $\widehat{V}$  is  $K$ -approximate subgroup of  $\overline{\mathbb{F}}_p$  and

$$\left\{ a_1 w(xy) + a_2 w(xy^{-1}) : x, y \in \prod_{20} \widehat{V} \right\} \leq K \sharp \widehat{V},$$

then either  $\sharp \widehat{V} \lesssim 1$  or  $\sharp \widehat{V} \gtrsim p$ .

*Proof.* We just prove a special case of  $a_1 = a_2 = 1$ . Let  $E = \{(w(xy), w(xy^{-1})) : x, y \in \widehat{V}\}$ , by assumption,  $\sharp(w(\prod_2 \widehat{V}) + w(\prod_2 \widehat{V})) \lesssim \sharp \widehat{V}$ . At the same time,  $\sharp E \gg (\sharp \widehat{V})^2$ , hence by B-S-G(3.4) and P-R, there exists  $V' \subseteq \prod_2 \widehat{V}$ ,  $\sharp V' \gtrsim \sharp \widehat{V}$  such that

$$\sharp(w(V') + w(V')) \lesssim \sharp \widehat{V}.$$

Notice that  $w(x)w(y) = w(xy) + w(xy^{-1})$ , then  $w(V')w(V') \leq K \sharp \widehat{V}$ . By sum-product, either  $\sharp w(V') \lesssim 1$  or  $\sharp w(V') \gtrsim p$ .  $\square$

**Exercise 4.20.** Prove the general cases.

**Remark 4.21** — Another view of this proposition is given by Eleke-Ronyai problem. Which shows that there exists  $\varepsilon > 0$ , such that for every  $f \in \mathbb{R}[x, y]$  or  $f \in \mathbb{R}(x, y)$ , then

- (1) either  $\forall A \subseteq \mathbb{R}$  finite,  $\sharp A = N$ , we have  $\sharp f(A \times A) \gg N^{1+\varepsilon}$ ,
- (2) or  $\exists g, h, \phi : \mathbb{R} \rightarrow \mathbb{R}$  analytic such that  $f(x, y) = \phi(g(x) + h(y))$ .

## §5 Expansion in $\mathrm{SL}(2, \mathbb{F}_p)$

Let  $S \subseteq \mathrm{SL}(2, \mathbb{Z})$  be a finite subset,  $S = S^{-1}$ . Let  $G_p = \mathrm{SL}(2, \mathbb{F}_p) = \mathrm{SL}(2, \mathbb{Z}) / \ker \pi_p$ , where

$$\pi_p : \mathrm{SL}(2, \mathbb{Z}) \rightarrow \mathrm{SL}(2, \mathbb{F}_p)$$

is the projection by mod  $p$ . Let  $\Gamma = \mathrm{SL}(2, \mathbb{Z})$ , then there is a natural action  $\Gamma \curvearrowright G_p$ . Consider **Koopman representation**  $\Gamma \curvearrowright L^2(G_p)$  given by

$$\gamma \mapsto T_p(\gamma) \in U(L^2(G_p)), \quad T_p(\gamma)f(\cdot) = f(\gamma^{-1} \cdot).$$

Let  $\chi_S = \frac{1}{\sharp S} \mathbb{1}_S$ , define

$$T_p(\chi_S)f(\cdot) = \frac{1}{\sharp S} \sum_{\gamma \in S} f(\gamma^{-1} \cdot) = \chi_S * f,$$

then  $T_p(\chi_S) \in \mathrm{End}(L^2(G_p))$ .

**Remark 5.1** — If  $S = S^{-1}$ , then  $T_p(\chi_S)$  is self-adjoint.

Consider the spectrum of  $T_p(\chi_S)$ . Note that  $\|T_p(\chi_S)\| \leq 1$  and  $1 \in \mathrm{Spec}(T_p(\chi_S))$ . Let

$$L_0^2(G_p) := \mathbb{1}_G^\perp = \left\{ f \in L^2(G_p) : \int f = 0 \right\},$$

then  $T_{p,0}(\chi_S) : L_0^2(G_p) \rightarrow L_0^2(G_p)$ .

**Theorem 5.2** (Uniform Expansion in  $\mathrm{SL}(2, \mathbb{F}_p)$ , Bourgain-Gamburd)

Assume  $\langle S \rangle \subseteq \mathrm{SL}(2, \mathbb{Z})$  is not virtually solvable, then  $\{T_{p,0}(\chi_S)\}_p$  has a **uniform spectral gap**, i.e., there exists  $c > 0$ , such that for every  $p$  prime,

$$\mathrm{Spec}(T_{p,0}(\chi_S)) \cap [1 - c, 1] = \emptyset.$$

**Exercise 5.3.** Prove that the conclusion is equivalent to  $\exists \varepsilon > 0$ , such that  $\forall p$  prime, for every  $f \in L_0^2(G_p)$ , there exists  $s \in S$ ,

$$\|f - T_p(s)f\| \geq \varepsilon \|f\|.$$

(We say  $\bigoplus_p L_0^2(G_p)$  has no almost invariant vector).

**Remark 5.4** — As a consequence of the exercise, let  $S' \subseteq \langle S \rangle$  be a finite symmetric set, if  $\{T_p(\chi_{S'})\}_p$  has a uniform spectral gap, then  $\{T_p(\chi_S)\}_p$  has a uniform spectral gap.

**Proposition 5.5** (Tits Alternative for  $SL(2, \mathbb{Z})$ )

$\Gamma' \subseteq SL(2, \mathbb{Z})$  subgroup, then

- (1) either  $\Gamma'$  contains non-abelian free subgroup,
- (2) or  $\Gamma'$  is virtually solvable.

*Proof.* Consider  $\Gamma(3) = \ker \pi_3 = \{g \in SL(2, \mathbb{Z}) : g \equiv 1 \pmod{3}\}$ , then  $[\Gamma : \Gamma(3)] < \infty$ . Note that  $\Gamma(3) = \pi_1(\mathbb{H}/\Gamma(3))$  which is a free group. By Nielson-Schreien's argument,  $\Gamma' \cap \Gamma(3) \subseteq \Gamma(3)$  is of finite index and hence is also a free group. Then,  $\Gamma' \cap \Gamma(3) = 1, \mathbb{Z}$ , or a non-abelian free group.  $\square$

**Remark 5.6** — Finite index subgroup of finite generated group is also finite generated.

**Remark 5.7** — This proposition allows us to reduce the statement of Theorem 5.2 to the case that  $S$  freely generates a non-abelian free group.

**Theorem 5.8** (B-S-G weighted version)

Let  $\mu, \nu$  be two probability measures on  $G$ ,  $K \geq 2$ , if

$$\|\mu * \nu\| \geq K^{-1} \|\mu\|^{\frac{1}{2}} \|\nu\|^{\frac{1}{2}},$$

then there exists an  $O(K^{O(1)})$ -approximate subgroup  $H$ ,  $a, b \in G$ , such that

$$\#H \sim \|\mu\|^{-2} \sim \|\nu\|^{-2}, \quad \mu(aH) \gtrsim 1, \nu(aH) \gtrsim 1.$$

**Remark 5.9** — If  $\mu = \frac{1}{\#A} \mathbb{1}_A$ , then  $\|\mu\|^2 = \frac{1}{\#A}$ . This shows that the exponent  $-2$  is reasonable.

**Remark 5.10** —  $\|\mu\|^2 \leq \|\mu\|_\infty \|\mu\|_1 \leq 1$ , and  $\|\mu\| = 1$  iff  $\mu$  is Dirac.  $\|\mu\|^2 \geq \frac{1}{\#G}$ , the equality holds iff  $\mu = \chi_G$ .

**Remark 5.11** —  $\|\mu * \nu\| \leq \|\mu\|_1 \|\nu\| = \|\nu\|$ , hence if  $\|\mu\|^{\frac{1}{2}} \|\nu\|^{\frac{1}{2}} \lesssim \|\mu * \nu\|$ , then  $\|\mu\| \lesssim \|\nu\|$ . Therefore,  $\|\mu\| \sim \|\nu\|$ .

*Proof.* Let  $m = \frac{1}{16K^4}$ ,  $M = 4K^4$ , let

$$A_0 = \{x \in G : m \|\mu\|^2 \leq \mu(x) \leq M \|\mu\|^2\},$$

$$A_- = \{x \in G : \mu(x) < m \|\mu\|^2\}, \quad A_+ = \{x \in G : \mu(x) > M \|\mu\|^2\}.$$

Consider  $\mu_0 = \mu \mathbb{1}_{A_0}$ ,  $\mu_- = \mu \mathbb{1}_{A_-}$ ,  $\mu_+ = \mu \mathbb{1}_{A_+}$ , then  $\mu = \mu_0 + \mu_- + \mu_+$ . Similarly, write  $\nu = \nu_0 + \nu_- + \nu_+$ . We have

$$\|\mu_- * \nu\| \leq \|\mu_-\| \leq m \|\mu\| \leq mK \|\mu\|^{\frac{1}{2}} \|\nu\|^{\frac{1}{2}},$$

$$\|\mu_+ * \nu\| \leq \|\mu_+\|_1 \|\nu\| \leq \frac{1}{M} \|\nu\| = \frac{K}{M} \|\mu\|^{\frac{1}{2}} \|\nu\|^{\frac{1}{2}}.$$

Hence

$$\|\mu_0 * \nu_0\| \geq \frac{1}{2K} \|\mu\|^{\frac{1}{2}} \|\nu\|^{\frac{1}{2}}.$$

On the other hand,

$$\mu_0 * \nu_0 \sim \|\mu\|^2 \|\nu\|^2 \mathbb{1}_{A_0} * \mathbb{1}_{B_0}, \quad \text{pointwise.}$$

Notice that  $\sharp A_0 \sim \|\mu\|^{-2}$ , recall the additive energy, it shows that

$$\mathcal{E}_+(A_0, B_0) = \|\mathbb{1}_{A_0} * \mathbb{1}_{B_0}\|^2 \gtrsim \|\mu\|^{-3} \|\nu\|^{-3} \gtrsim (\sharp A_0)^{\frac{3}{2}} (\sharp B_0)^{\frac{3}{2}}.$$

By B-S-G,  $\exists A \subseteq A_0, B \subseteq B_0$ ,  $\sharp A \gtrsim \sharp A_0$ ,  $\sharp B \gtrsim \sharp B_0$  such that  $\sharp(AB) \lesssim (\sharp A_0)^{\frac{1}{2}} (\sharp B_0)^{\frac{1}{2}}$ . We have  $\mu(A) = \mu_0(A) \gtrsim 1$ ,  $\nu(B) \gtrsim 1$ , it suffices to show the following lemma.  $\square$

#### Lemma 5.12

Assume  $\sharp AB \leq K(\sharp A)^{\frac{1}{2}}(\sharp B)^{\frac{1}{2}}$ , then there exists  $K^{O(1)}$ -approximate subgroup  $H$ ,  $\exists a, b \in G$  such that

$$\sharp(A \cap aH) \gtrsim \sharp A, \quad \sharp(B \cap Hb) \gtrsim \sharp B.$$

**Exercise 5.13.** Assume  $\sharp A \cdot A^{-1} \leq K \sharp A$ . Then  $\exists S \subseteq G$  symmetric such that

$$\sharp S \geq \frac{\sharp A}{2K} \quad \text{and} \quad \sharp \left( A \left( \prod_n S \right) A^{-1} \right) \leq 2^n K^{2n+1} \sharp A, \quad \forall n \geq 0.$$

Show this statement by the following steps.

- I.  $\mathcal{E}(A, A^{-1}) = \mathcal{E}(A^{-1}, A)$ .
- II. Let  $S = \{x \in G : r_{A^{-1} \cdot A}(x) \geq \frac{1}{2K} \sharp A\}$ , show that  $\sharp S \geq \frac{1}{2K} \sharp A$ .
- III.  $\forall a, b \in A$ ,  $\forall x_1, \dots, x_n \in S$ , bounded from below the number of ways to write  $ax_1x_2 \cdots x_nb^{-1}$  as  $y_1y_2 \cdots y_{n+1}$ , where  $y_j \in AA^{-1}$ .
- IV. Conclude

*Proof of Lemma assuming Exercise.* By R-triangle, we have  $\sharp AA^{-1} \lesssim \sharp A$ . Take  $S$  as in the exercise, let  $H = SS$ . Then  $\sharp(SSS) \lesssim \sharp A \lesssim \sharp S$ , hence  $H$  is a  $O(K^{O(1)})$ -approximate subgroup. Besides  $\sharp(AH) \lesssim \sharp H$ , by R-covering, there holds  $A \subseteq XHH \subseteq X'H$ , where  $\sharp X \lesssim 1$ ,  $\sharp X' \lesssim 1$ . Then there is some  $x \in X'$  such that  $\sharp(A \cap xH) \gtrsim \sharp A$ .  $\square$



**Proposition 5.14** (Bourgain-Gamburd expansion machine)

$\Gamma$  group,  $S \subseteq \Gamma$  finite,  $S = S^{-1}$ . Assume  $G$  is a finite quotient of  $\Gamma$  and  $\pi : \Gamma \rightarrow G$  is the natural projection. Let  $\chi_S = \frac{1}{\#S} \mathbb{1}_S$  and  $\mu = \pi_* \chi_S$ . Assume that

- (quasi-randomness) minimal degree of non-trivial irreducible linear representation of  $G$  over  $\mathbb{C}$  is at least  $(\#G)^\kappa$ .
- (non-concentration in approximate subgroup)  $\exists n_0 \leq C \log \#G$ , such that  $\forall K$ -approximate subgroup  $H \subseteq G$ ,

$$\text{either } \#H \geq \frac{1}{CK^C} \#G, \quad \text{or } \mu^{*2n_0}(H) \leq CK^C (\#G)^{-\kappa}.$$

Then  $\mathrm{Spec}(T_0(\chi_S)) \cap [1 - c, 1] = \emptyset$  for some  $c = c(\kappa, C) > 0$ .

**Lemma 5.15** ( $L^2$ -flattening)

Same assumption as above,  $\forall \delta > 0, \exists \varepsilon = \varepsilon(\delta, \kappa) > 0$ , let  $\nu = \mu^{*n}$  where  $n \geq n_0$ . Assume  $\|\nu\|^2 \geq (\#G)^{-1+\delta}$ , then  $\|\nu * \nu\| \leq (\#G)^{-\varepsilon} \|\nu\|$ .

*Proof.* Assume for a contradiction. Let  $K = (\#G)^\varepsilon$ , by B-S-G, there exists  $H \subseteq G$  an  $O(K^{O(1)})$ -approximate subgroup such that  $\#H \sim \|\nu\|^{-2} \leq (\#G)^{1-\delta}$  and  $\nu(aH) \gtrsim 1$  for some  $a \in G$ . For every  $x \in G$ , we have

$$\mu^{*n_0}(xH)^2 = \mu^{*n_0}(Hx^{-1})\mu^{*n_0}(xH) \leq \mu^{*2n_0}(HH).$$

Because  $HH$  is also an  $O(K^{O(1)})$ -approximate subgroup, by the assumption, at least one of the followings holds:

- (1)  $(\#G)^{1-\delta} \gtrsim \#(HH) \gtrsim \#G$ .
- (2)  $\mu^{*2n_0}(HH) \lesssim (\#G)^{-\kappa}$ , then  $1 \lesssim \nu(aH) \lesssim (\#G)^{-\frac{\kappa}{2}}$ .

Take  $\varepsilon = \varepsilon(\delta, \kappa)$  sufficiently small, both cases lead to a contradiction.  $\square$

*Proof of Proposition 5.14.* Consequently,  $\exists C_0 = C_0(\delta, \kappa)$  such that  $\|\mu^{*C_0 n_0}\| \leq (\#G)^{-1+\delta}$ . Let  $n_1 = C_0 n_0$ , let  $\lambda$  be an eigenvalue of  $T_0(\chi_S)$ , let  $m_\lambda$  be the multiplicity of  $\lambda$ . Consider  $L^2(G)$  as the regular representation of  $G$ , then

$$L^2(G) = \bigoplus_{\rho \in \widehat{G}} (\deg \rho) \rho.$$

Because  $T(\chi_S) \in \mathbb{C}[\widehat{G}]$ , hence it preserves each  $\rho$ , then  $m_\lambda \geq \deg \rho \geq (\#G)^\kappa$ .

On the other hand,

$$\mathrm{tr}(T(\chi_S)^{2n_1}) = \sum_{g \in G} \langle T(\chi_S)^{2n_1} \delta_g, \delta_g \rangle = \sum_{g \in G} \|T(\chi_S)^{n_1} \delta_g\|^2 = \#G \|\mu^{*n_1}\|^2 \leq (\#G)^\delta.$$

Hence  $m_\lambda \lambda^{2n_1} \leq (\#G)^\delta$ , take  $\delta = \frac{\kappa}{2}$ , then  $\lambda^{2n_1} \leq (\#G)^{-\frac{\kappa}{2}}$ . Therefore,

$$\log \lambda \leq -\frac{\kappa \log(\#G)}{4 C_0 n_0} \leq -\frac{\kappa}{4 C C_0} \implies \lambda \leq 1 - c.$$

$\square$

## Quasi-randomness

**Remark 5.16** — Gowers shows that if finite group  $G$  is  $\kappa$ -quasi-randomness, then Cayley graph of  $G$  for some generator sets is quasi-randomness graph.

### Theorem 5.17 (Frobenius)

Let  $G = \mathrm{SL}(2, \mathbb{F}_p)$ , let  $\rho$  be a non-trivial irreducible linear representation of  $G$ , then  $\deg \rho \geq \frac{p-1}{2}$ .

*Proof.* Let  $(\rho, \mathcal{H})$  be a non-trivial linear representation of  $G$ . Consider  $U = \left\{ \begin{bmatrix} 1 & * \\ & 1 \end{bmatrix} \right\} \subseteq G$ , then  $U \cong \mathbb{F}_p$  is abelian. For  $a \in \mathbb{F}_p$ , let  $\chi_a : \mathbb{F}_p \rightarrow \mathbb{C}, x \mapsto e(\frac{xa}{p})$ . Then we have a decomposition

$$\mathcal{H} = \sum_{a \in \mathbb{F}_p} \mathcal{H}_a, \quad \mathcal{H}_a = \{ \xi \in \mathcal{H} : \forall u \in U : \rho(u)\xi = \chi_a(u)\xi \}.$$

For  $a_t = \begin{bmatrix} t & \\ & t^{-1} \end{bmatrix}, u \in U$ , we have  $a_t^{-1}ua_t = u^{-t^2}$ . Then  $\forall \xi \in \mathcal{H}_a, u \in U$ ,

$$\rho(u)\rho(a_t)\xi = \rho(a_t)\rho(a_t^{-1}ua_t)\xi = \rho(a_t)\chi_a(u)^{t^{-2}}\xi = \chi_{t^{-2}a}\rho(a_t)\xi.$$

Given  $a \in \mathbb{F}_p$ , the orbit  $\{t^{-2}a : t \in \mathbb{F}_p^\times\}$  is either  $\{0\}$  or have  $\frac{p-1}{2}$  elements. Then  $\dim \mathcal{H} \geq \frac{p-1}{2}$ , otherwise  $\mathcal{H} = \mathcal{H}_0$ . In the second case,  $U \in \ker \rho$ , but  $\ker \rho$  is a normal subgroup of  $G$ , hence  $\rho$  is trivial.  $\square$

## Non-concentration in approximate subgroup

### Proposition 5.18

Let  $S \subseteq \mathrm{SL}(2, \mathbb{Z})$  be a finite set,  $S = S^{-1}$ , freely generates a non-abelian free group. Then  $\exists \kappa > 0, \exists C > 0$ , such that for every prime  $p$ , there is some  $n_0 \leq C \log p$ , such that for every  $K$ -approximate subgroup  $H \subseteq G_p$ ,

$$\text{either } \#H \gtrsim \#G_p \asymp p^3, \quad \text{or } \mu^{*2n_0}(H) \leq p^{-\kappa}.$$

### Lemma 5.19 (Kesten)

Assume  $\#S = 2k$ , then  $\exists c > 0$ ,

$$\max_{g \in \mathrm{SL}(2, \mathbb{Z})} \chi_S^{*2n}(g) = \chi_S^{*2n}(1) \leq \left( \frac{\sqrt{2k-1}}{k} \right)^n \leq e^{-cn}.$$

**Exercise 5.20.** Find a recursive relation and use generating function to prove the lemma.

**Remark 5.21** — Let  $B_n := \prod_n(\{1\} \cup S)$  be the ball of word metric. Then there is some  $c > 0$ , such that for every prime  $p$  and every  $n \leq c \log p$ ,  $\pi_p : B_n \mapsto G_p$  is injective. This is because the norms of elements in  $B_n$  are with at most exponential

growth.

*Proof of Proposition 5.18.* Let  $H$  be a  $K$ -approximate subgroup of  $G_p$ , by Helfgott's Theorem (4.6), there are three cases:

- (1)  $\#H \lesssim 1$ , then  $\mu^{*n}(H) \leq e^{-cn} \#H \lesssim e^{-cn}$ .
- (2)  $\#H \gtrsim \#G_p$ .
- (3)  $\langle H \rangle \neq G_p$ , we need a more technical theorem to deal with this case.

**Theorem 5.22 (Dickson)**

Let prime  $p \geq 5$ , assume  $H \subseteq G_p$  and  $\langle H \rangle \neq G_p$ , then  $\langle H \rangle$  is one of the followings:

- (1) dihedral group  $D_{2^{\frac{p+1}{2}}}$  or its subgroup.
- (2) Borel subgroup  $\left\{ \begin{bmatrix} * & * \\ & * \end{bmatrix} \right\} \subseteq G_p$ .
- (3)  $A_4, A_5, S_4$ .

**Remark 5.23** — The third case in this theorem is similar with the case  $\#H \lesssim 1$ . For other two cases, we should notice that  $\langle H \rangle$  is always a meta-abelian group, i.e.,

$$[[\langle H \rangle, \langle H \rangle], [\langle H \rangle, \langle H \rangle]] = \{1\}.$$

*Continued Proof of Proposition 5.18.* Take  $n = \frac{c}{16} \log p$ , we have

$$\mu^{*n}(H) \leq e^{-cn} \#(B_n \cap \pi_p^{-1}(H)).$$

Let  $X = B_n \cap \pi_p^{-1}(H)$ , we claim that  $\#X \ll n^2$ . Note that  $[[X, X], [X, X]] \subseteq B_{16n}$ , hence  $\pi_p$  is injective on it, which shows  $[[X, X], [X, X]] = \{1\}$ .

Let  $z \in [X, X] \setminus \{1\}$ , we have  $[X, X] \in C(z)$ . But  $S$  freely generates a non-abelian free group, we can show that

$$\#[X, X] \leq \#(C(z) \cap B_{4n}) \ll n.$$

Then there is  $y \in X, b \in [X, X]$  such that

$$\#\{x \in X : [x, y] = b\} \gg \frac{\#X}{n}.$$

Take some  $x$ , then

$$\frac{\#X}{n} \ll \#(B_n \cap xC(y)) \ll n \implies \#X \ll n^2.$$

□

Combining above discussions, given  $S \in \mathrm{SL}(2, \mathbb{Z})$ , we can show that  $(G_p, (\pi_p)_* \chi_S)$  satisfies the quasi-randomness condition and the non-concentration condition with parameters  $C, \kappa$  independent with  $p$ . By B-G expansion machine (5.14),  $T_{p,0}(\chi_S)$  has a uniform spectral gap. This concludes the uniform expansion in  $\mathrm{SL}(2, \mathbb{F}_p)$  (5.2). □

## §6 Discretized sum-product theorems

The discretized settings:  $A \subseteq \mathbb{R}$  bounded,  $\delta > 0$ .

**Definition 6.1.** The  $\delta$ -covering number (metric entropy) of  $A$  is defined as

$$\mathcal{N}_\delta(A) := \min \left\{ k \in \mathbb{N} : \exists x_1, x_2, \dots, x_k, A \subseteq \bigcup_{i=1}^k B(x_i, \delta) \right\}.$$

**Notation 6.2.**  $|A|$  denotes the Lebesgue measure of  $A$ .  $A^{(\delta)} = A + B(0, \delta)$  be the  $\delta$ -neighborhood of  $A$ .

**Definition 6.3.**  $A$  is called  $\delta$ -separate if  $\forall a \neq a' \in A, d(a, a') > \delta$ .

We can also consider

$$\frac{|A^{(\delta)}|}{|B(0, \delta)|}, \quad \# \tilde{A} \text{ with } \tilde{A} \text{ maximal } \delta\text{-separated subset},$$

$$\# \{k \in \mathbb{Z} : k\delta \in A^{(\delta)}\}, \quad \# \{k \in \mathbb{Z} : [k\delta, (k+1)\delta] \cap A = \emptyset\}.$$

**Exercise 6.4.** Show that all the quantities are big  $O$  of each other.

**Remark 6.5 —** How to understand  $\mathcal{N}_\delta(A)$ ? We will always view  $\delta$  as the size of a pixel or the resolution. Then think of  $\mathcal{N}_\delta(A)$  as the number of pixels  $A$  needed at this resolution.

Some similar results hold:

1. (Ruzsa triangle)  $\mathcal{N}_\delta(A - C)\mathcal{N}_\delta(B) \ll \mathcal{N}_\delta(A - B)\mathcal{N}_\delta(B - C)$ .
2. (Ruzsa covering) If  $\mathcal{N}_\delta(A + B) \leq K\mathcal{N}_\delta(A)$ , then  $B \subseteq A - A + \mathcal{O}(K) + B(0, \delta)$ .
3. (Plünnecke-Ruzsa) If  $\mathcal{N}_\delta(A + B) \leq K\mathcal{N}_\delta(A)$ , then

$$\mathcal{N}_\delta \left( \sum_k B - \sum_l B \right) \ll_{k,l} K^{k+l} \mathcal{N}_\delta(A), \quad \forall k, l \in \mathbb{N}.$$

**Definition 6.6.** Let  $\varphi : A \rightarrow \mathbb{R}$ , the  $\varphi$ -energy of  $A$  at scale  $\delta$  is

$$\mathcal{E}_\delta(\varphi, A) = \mathcal{N}_\delta \left( (a, a') \in A \times A : |\varphi(a) - \varphi(a')| \leq \delta \right).$$

**Remark 6.7 —** We fix a norm on  $\mathbb{R}^2$  to talk about  $\mathcal{N}_\delta(B)$  with  $B \subseteq \mathbb{R}^2$ .

In particular, the additive energy between  $A, B \subseteq \mathbb{R}$  at scale  $\delta$  is

$$\mathcal{E}_\delta(+, A \times B), \quad \text{where } + : \mathbb{R} \times \mathbb{R} \rightarrow \mathbb{R}.$$

**Theorem 6.8 (B-S-G)**

The following are equivalent, the parameter  $K_i > 0$  differs from each other by at most a polynomial dependence:

$$(i) \quad \mathcal{E}_\delta(+, A \times B) \geq \frac{1}{K_1} \mathcal{N}_\delta(A)^{\frac{3}{2}} \mathcal{N}_\delta(B)^{\frac{3}{2}}.$$

(ii)  $\exists G \subseteq A \times B$  such that

$$\mathcal{N}_\delta(G) \geq \frac{1}{K_2} \mathcal{N}_\delta(A) \mathcal{N}_\delta(B) \quad \text{and} \quad \mathcal{N}_\delta(A \overset{G}{+} B) \leq K_2 \mathcal{N}_\delta(A)^{\frac{1}{2}} \mathcal{N}_\delta(B)^{\frac{1}{2}}.$$

(iii)  $\exists A' \subseteq A, B' \subseteq B$  such that  $\mathcal{N}_\delta(A') \geq \frac{1}{K_3} \mathcal{N}_\delta(A), \mathcal{N}_\delta(B') \geq \frac{1}{K_3} \mathcal{N}_\delta(B)$  and

$$\mathcal{N}_\delta(A' + B') \leq K_3 \mathcal{N}_\delta(A)^{\frac{1}{2}} \mathcal{N}_\delta(B)^{\frac{1}{2}}.$$

**Lemma 6.9**

$\varphi : A \rightarrow \mathbb{R}$ , then

$$\mathcal{E}_\delta(\varphi, A) \mathcal{N}_\delta(\varphi(A)) \gg \mathcal{N}_\delta(A)^2.$$

**Sum-product estimate**

**Notation 6.10.**  $R_\delta(A, K) = \{x \in \mathbb{R} : \mathcal{N}_\delta(A + xA) \leq K \mathcal{N}_\delta(A)\}.$

Assume  $A \subseteq B(0, 1) \subseteq \mathbb{R}$ , let  $K, L \geq 1$ , there are some properties:

1.  $R_\delta(A, K)^{(K\delta)} \subseteq R_\delta(A, O(K^2)).$
2.  $\forall s \geq 1, \langle R_\delta(A, K) \rangle_s \subseteq R_\delta(A, O_s(K^{O_s(1)})).$
3. If  $x \in R_\delta(A, K) \setminus B(0, L^{-1})$ , then  $x^{-1} \in R_\delta(A, KL).$
4. If  $\mathcal{N}_\delta(A + A) \leq K \mathcal{N}_\delta(A)$  and  $\mathcal{N}_\delta(A + AA) \leq K \mathcal{N}_\delta(A)$ , then

$$\mathcal{N}_\delta(\langle A \rangle_s) \ll_s K^{O_s(1)} \mathcal{N}_\delta(A), \quad \forall s \geq 1.$$

**Remark 6.11** —  $\mathcal{N}_\delta(AA)$  can be **smaller** than  $\mathcal{N}_\delta(A)$ . For example, let  $A = B(0, \delta^{\frac{1}{2}})$ , then  $\mathcal{N}_\delta(A) \approx \delta^{-\frac{1}{2}}$  and  $\mathcal{N}_\delta(AA) = 1$ . That is, at scale  $\delta$ , some points are somehow nilpotent.

**Definition 6.12.** The **Minkowski lower/upper dimension** are defined as

$$\underline{d}_M(A) = \liminf_{\delta \rightarrow 0^+} -\frac{\log \mathcal{N}_\delta(A)}{\log \delta}, \quad \bar{d}_M(A) = \limsup_{\delta \rightarrow 0^+} -\frac{\log \mathcal{N}_\delta(A)}{\log \delta}.$$

**Theorem 6.13** (Bourgain Sum-Product Theorem)

$\forall \sigma \in (0, 1), \exists \varepsilon = \varepsilon(\sigma) > 0$  such that for every  $A \subseteq B(0, 1) \subseteq \mathbb{R}, \delta > 0$  sufficiently small, assume that

- $\mathcal{N}_\delta(A) \leq \delta^{-\sigma-\varepsilon}$ .
- (Frostman type non-concentration)

$$\forall \rho \geq \delta, \quad \max_{x \in \mathbb{R}} \mathcal{N}_\delta(A \cap B(x, \rho)) \leq \delta^{-\varepsilon} \rho^\sigma \mathcal{N}_\delta(A).$$

Then  $\mathcal{N}_\delta(A + AA) \geq \delta^{-\varepsilon} \mathcal{N}_\delta(A)$ .

**Remark 6.14** — The conclusion does not hold without the non-concentration condition, for example,  $A = B(0, \delta^{\frac{1}{2}})$ .

**Remark 6.15** — By a variant of Katz-Tao lemma (2.12), the conclusion can be replaced by  $\max \{\mathcal{N}_\delta(A + A), \mathcal{N}_\delta(AA)\} \geq \delta^{-\varepsilon} \mathcal{N}_\delta(A)$ .

**Observation 6.16.** For  $A \subseteq \mathbb{R}, \delta < \delta'$ , we have  $\mathcal{N}_{\delta'}(A) \leq \mathcal{N}_\delta(A) \ll \frac{\delta'}{\delta} \mathcal{N}_{\delta'}(A)$ .

**Observation 6.17.** For  $A, B \subseteq \mathbb{R}, B \subseteq B(0, \rho)$ , we have  $\mathcal{N}_\delta(A + B) \geq \mathcal{N}_\rho(A) \mathcal{N}_\delta(B)$ .

*Proof.* Let  $\gamma = \gamma(\delta) > 0$  to be determined, let

$$F = \frac{A - A}{(A - A) \setminus B(0, \delta^\gamma)}.$$

Assume for a contradiction that

$$\mathcal{N}_\delta(A + AA) \leq \delta^{-\varepsilon} \mathcal{N}_\delta(A).$$

Let  $\rho = \delta^{\frac{\varepsilon}{\sigma}}$ , then  $A \setminus B(0, \delta^{\frac{\varepsilon}{\sigma}}) \neq \emptyset$  by the non-concentration condition. Then

$$\mathcal{N}_\delta(AA) \geq \delta^{O(\frac{\varepsilon}{\sigma})} \mathcal{N}_\delta(A),$$

By the assumption and P-R, we have

$$\mathcal{N}_\delta(A + A) \leq \delta^{-O(\varepsilon + \frac{\varepsilon}{\sigma})} \mathcal{N}_\delta(A).$$

This shows that  $\langle A \rangle_s \subseteq R_\delta(A, O_s(\delta^{O_s(\varepsilon)}))$  for every  $s \geq 0$ .

**Claim** Let  $\delta_1 = \delta^{1-2\gamma}$ , then either  $F^{(2\delta_1)} \supseteq [0, 1]$  or  $\exists x \in F, \frac{x+1}{2} \notin F^{(\delta_1)}$  or  $\frac{x}{2} \notin F^{(\delta_1)}$ .

*Proof of Claim.* Assume  $\forall x \in F, \frac{x+1}{2}, \frac{x}{2} \in F^{(\delta_1)}$ . Then for every  $x \in F^{(2\delta_1)}$ , we have  $\frac{x+1}{2}, \frac{x}{2} \in F^{(2\delta_1)}$ . Because  $0, 1 \in F \subseteq F^{(2\delta_1)}$ , then  $[0, 1] \subseteq F^{(2\delta_1)}$ .

**Dense case:**  $F^{(2\delta_1)} \supseteq [0, 1]$ .

Then  $\mathcal{N}_{\delta_1}(F) \gg \delta_1^{-1}$ . Let  $\tilde{F} \subseteq F, \tilde{A} \subseteq A \setminus B(0, \delta^\gamma)$  be maximal  $\delta_1$ -separated sets. Consider

$$\tilde{A} \times \tilde{F} \rightarrow (AA - AA) \times (AA - AA), \quad (a, x) \mapsto (au_x, av_x), x = \frac{u_x}{v_x}.$$

We show that this map is injective and the image is  $\frac{\delta}{C}$ -separated. Assume  $a'u_{x'} = au_x + O(\frac{\delta}{C})$ ,  $a'v_{x'} = av_x + O(\frac{\delta}{C})$ , then

$$|a|, |v_x| \geq \delta^\gamma \implies x' = \frac{au_{x'}}{av_{x'}} = \frac{au_x + O(\frac{\delta}{C})}{av_x + O(\frac{\delta}{C})} = \frac{u_x}{v_x} + O\left(\frac{\delta_1}{C}\right).$$

Choose  $C$  large enough, it implies that  $|x - x'| \leq \delta_1$  and hence  $x' = x$ . By  $\tilde{A}$  is  $\delta_1$ -separated, we have  $a' = a$ . Hence, by P-R,

$$\sharp \tilde{A} \sharp \tilde{F} \ll \mathcal{N}_\delta(AA - AA)^2 \leq \delta^{-O(\varepsilon)} \mathcal{N}_\delta(A)^2.$$

Because  $\sharp \tilde{F} \asymp \mathcal{N}_{\delta_1}(F) \asymp \delta_1^{-1} = \delta^{-1+2\gamma}$ , and

$$\sharp \tilde{A} \asymp \mathcal{N}_{\delta_1}(A \setminus B(0, \delta^\gamma)) \gg \delta^{-2\gamma} \mathcal{N}_\delta(A \setminus B(0, \delta^\gamma)) \gg \delta^{-2\gamma} (\mathcal{N}_\delta(A) - \delta^{-\varepsilon} \delta^{\gamma\sigma} \mathcal{N}_\delta(A)).$$

Choose  $\gamma$  small such that  $\delta^{\gamma\sigma-\varepsilon} \leq \frac{1}{2}$ , then

$$\mathcal{N}_\delta(A) \gg \delta^{-1+O(\gamma)+O(\varepsilon)}$$

contradict with  $\mathcal{N}_\delta(A) \leq \delta^{-\varepsilon-\sigma}$  when  $\gamma, \varepsilon$  small enough.

**Gap case:**  $\exists x \in F$ , such that  $\frac{x+1}{2} \notin F^{(\delta_1)}$  or  $\frac{x}{2} \notin F^{(\delta_1)}$ .

Write  $\frac{x+1}{2}$  or  $\frac{x}{2}$  as  $\frac{u}{v}$ , then  $u, v \in A - A + A - A$  and  $|v| \geq \delta^\gamma$ . We know  $u, v \in R_\delta(A, O(\delta^{-O(\varepsilon)}))$ , by R-covering and P-R, we have  $\mathcal{N}_\delta(A + uA + vA) \ll \delta^{-O(\varepsilon)} \mathcal{N}_\delta(A)$ . We want to prove a lower bound on  $\mathcal{N}_\delta(uA + vA)$ . Consider

$$\varphi : A \times A \rightarrow \mathbb{R}, \quad (a, b) \mapsto ua + vb,$$

it suffices to give an upper bound for  $\mathcal{E}_\delta(\varphi, A \times A)$ . For  $a, b, c, d \in A$ , if  $|u(a-c) + v(b-d)| \leq \delta$ , then

$$\left| \frac{u}{v} - \frac{d-b}{a-c} \right| \leq \frac{\delta}{|v||a-c|}.$$

Because  $\frac{u}{v} \notin F^{(\delta_1)}$ ,  $|v| \geq \delta^\gamma$ , then  $|a-c| \leq \delta^\gamma$ . Now we estimate the choices of  $(a, b, c, d)$ :

- Choice for  $a$ :  $\mathcal{N}_\delta(A)$  choices, choice for  $b$ :  $\mathcal{N}_\delta(A)$  choices.
- Fix  $a$ , choice for  $c$ :  $\mathcal{N}_\delta(A \cap B(a, \delta^\gamma)) \leq \delta^{-\varepsilon+\gamma\sigma} \mathcal{N}_\delta(A)$ .
- Fix  $a, b, c$ , choice for  $d$ :  $\mathcal{N}_\delta(A \cap B(-, \frac{\delta}{|v|})) \leq \delta^{-\varepsilon} (\frac{\delta}{|v|})^\sigma \mathcal{N}_\delta(A)$ .

Then

$$\mathcal{E}_\delta(\varphi, A \times A) \leq \delta^{-O(\varepsilon)+\gamma\sigma+\sigma} |v|^{-\sigma} \mathcal{N}_\delta(A)^4 \implies \mathcal{N}_\delta(uA + vA) \geq |v|^\sigma \delta^{O(\varepsilon)-\gamma\sigma-\sigma}.$$

Because

$$\mathcal{N}_\delta(A) \leq \mathcal{N}_{2|v|}(A) \max_x \mathcal{N}_\delta(A \cap B(x, 2|v|)) \ll \delta^{-\varepsilon} |v|^\sigma \mathcal{N}_\delta(A),$$

and notice that  $uA + vA \subseteq B(0, 2|v|)$ , then

$$\mathcal{N}_\delta(A + uA + vA) \gg \mathcal{N}_{2|v|}(A) \mathcal{N}_\delta(uA + vA) \gg |v|^{-\sigma} |v|^\sigma \delta^{O(\varepsilon)-\gamma\sigma-\sigma}.$$

Then  $\delta^{-\sigma-\varepsilon} \geq \mathcal{N}_\delta(A) \geq \delta^{-\sigma-\gamma\sigma-O(\varepsilon)}$ , choose  $\gamma, \varepsilon$  small enough, a contradiction.  $\square$

**Remark 6.18** — The idea of this proof is like the original sum-product theorem.

- I. We first show that  $F$  is not much bigger than  $A$ , in the dense case. Where if we choose  $\gamma, \varepsilon$  small enough, we can get  $\# \widetilde{F}$  is not much bigger than  $\# \widetilde{A}$ .
- II. In the gap case, if there are some  $x \notin F^{(\delta)}$ , we can conclude that  $\mathcal{N}_\delta(A + xA)$  is big. This is similar to the fact in the original sum-product theorem: if  $\#(A + xA) \leq (\#A)^2$ , then  $x \in \frac{A-A}{A-A}$ . If we can show  $F \subseteq R_\delta(A, \delta^{-O(\varepsilon)})$  and some “ring structure” of  $F$ , the conclusion will follow.

**Theorem 6.19** (Bourgain Sum-Product Theorem, another version)

$\forall \sigma \in (0, 1), \kappa > 0, \exists \varepsilon = \varepsilon(\sigma, \kappa) > 0$  such that for every  $A \subseteq B(0, 1) \subseteq \mathbb{R}$  and  $\delta > 0$  sufficiently small, assume that

- $\mathcal{N}_\delta(A) \leq \delta^{-\sigma-\varepsilon}$ .
- $\forall \rho \geq \delta, \mathcal{N}_\rho(A) \geq \delta^\varepsilon \rho^{-\kappa}$ .

Then  $\mathcal{N}_\delta(A + AA) \geq \delta^{-\varepsilon} \mathcal{N}_\delta(A)$ .

*Proof.* We prove a special case of  $\kappa = \sigma$ . Assume  $\mathcal{N}_\delta(A + AA) \leq \delta^{-\varepsilon} \mathcal{N}_\delta(A)$ , consider  $\rho = \delta^{\frac{\varepsilon}{\sigma}}$ , we can also have  $A \setminus B(0, \rho) \neq \emptyset$ . A same argument, we have  $\mathcal{N}_\delta(A + A + AA) \leq \delta^{-O(\varepsilon)} \mathcal{N}_\delta(A)$ . Hence

$$\delta^{-O(\varepsilon)} \mathcal{N}_\delta(A) \geq \mathcal{N}_\delta(A + A + AA) \geq \mathcal{N}_\delta(A + A) \geq \mathcal{N}_\rho(A) \max_{x \in \mathbb{R}} \mathcal{N}_\delta(A \cap B(x, \rho)),$$

then  $\max_{x \in \mathbb{R}} \mathcal{N}_\delta(A \cap B(x, \rho)) \leq \delta^{-O(\varepsilon)} \rho^\sigma \mathcal{N}_\delta(A)$ . Gives the condition in last version.  $\square$

## §7 Projection theorem

Let  $\mathbb{S}^1 = \{\theta \in \mathbb{R}^2 : \|\theta\| = 1\}$  be the unit circle in  $\mathbb{R}^2$ , for every  $\theta \in \mathbb{S}^1$ , let

$$\text{proj}_\theta : \mathbb{R}^2 \rightarrow \mathbb{R} \cdot \theta$$

be the orthogonal projection.

**Theorem 7.1** (Bourgain's Projection Theorem)

For every  $\alpha \in (0, 1), \kappa > 0$ , there exists  $\varepsilon = \varepsilon(\alpha, \kappa) > 0$ , the following holds for  $\delta > 0$  sufficiently small. Let  $A \subseteq B_{\mathbb{R}^2}(0, 1)$ , assume

- $\mathcal{N}_\delta(A) \leq \delta^{-2\alpha}$ .
- $\forall \rho \geq \delta, \forall x \in \mathbb{R}^2, \mathcal{N}_\delta(A \cap B(x, \rho)) \leq \delta^{-\varepsilon} \rho^{2\alpha} \mathcal{N}_\delta(A)$ .

Write

$$\mathcal{E} = \{\theta \in \mathbb{S}^1 : \mathcal{N}_\delta(\text{proj}_\theta A) \leq \delta^{-\alpha-\varepsilon}\},$$

then  $\mathcal{E}$  does not support a probability measure  $\mu$  satisfying

$$\mu(B_{\mathbb{S}^1}(\theta, \rho)) \leq \delta^{-\varepsilon} \rho^\kappa, \quad \forall \rho \geq \delta, \theta \in \mathbb{S}^1.$$



**Remark 7.2** —  $\mathcal{E}$  refers to an exception.

**Example 7.3**

Let  $A = B(0, \delta^{\frac{1}{2}})$ , then  $\mathcal{N}_\delta(A) \asymp \delta^{-1}$ . Notice that  $\mathcal{N}_\delta(\text{proj}_\theta A) \asymp \delta^{-\frac{1}{2}}$ , hence  $\mathcal{E} = \mathbb{S}^1$ . This is a contradiction. The reason is that  $A$  does not satisfy the second condition (non concentration condition).

**Example 7.4**

$A = C \times C$ , where  $C$  is a Cantor set. We can choose  $C$  let  $\mathcal{N}_\delta(C - C) \asymp \mathcal{N}_\delta(C)$ , then when  $\theta$  near  $0, \frac{\pi}{2}, \frac{\pi}{4}$ ,  $\mathcal{N}_\delta(\text{proj}_\theta A)$  is small. For more other  $\theta$ 's,  $\mathcal{N}_\delta(\text{proj}_\theta A)$  is large.

**Idea** Write  $\theta = \theta_t = \frac{(1, t)}{\sqrt{1+t^2}}$ , where  $t \in [\frac{1}{2}, 2]$ . Then

$$\text{proj}_\theta(x, y) = \frac{\langle \theta, (x, y) \rangle}{\langle \theta, \theta \rangle} \theta = (x + ty) \frac{\theta}{\sqrt{1+t^2}}.$$

Consider a special case for  $A = A_0 \times A_0$ , then

$$\mathcal{N}_\delta(\text{proj}_\theta A) \asymp \mathcal{N}_\delta(A_0 + tA_0).$$

Then  $\mathcal{E}$  is almost the set  $R_\delta(A_0, \delta^{-\varepsilon})$ .

**Theorem 7.5** (Bourgain Sum-Product Theorem, another version)

$\forall \alpha \in (0, 1), \forall \kappa > 0, \exists \varepsilon = \varepsilon(\alpha, \kappa) > 0$ , such that for every  $A_0 \subseteq B(0, 1) \subseteq \mathbb{R}$  and  $\delta > 0$  sufficiently small, assume that

- $\mathcal{N}_\delta(A_0) \leq \delta^{-\alpha-\varepsilon}$ .
- $\forall \rho \geq \delta, x \in \mathbb{R}, \mathcal{N}_\delta(A_0 \cap B(x, \rho)) \leq \delta^{-\varepsilon} \rho^\kappa \mathcal{N}_\delta(A_0)$ .

Then for every  $B_0 \subseteq \mathbb{R}$  such that  $\forall \rho \geq \delta, \mathcal{N}_\rho(B_0) \geq \delta^\varepsilon \rho^{-\kappa}$ , there exists  $t \in B_0$ , such that  $\mathcal{N}_\delta(A_0 + tA_0) \geq \delta^{-\varepsilon} \mathcal{N}_\delta(A_0)$ .

**Remark 7.6** — The condition  $\forall \rho \geq \delta, \mathcal{N}_\rho(B_0) \geq \delta^\varepsilon \rho^{-\kappa}$  is strictly weaker than the non concentration condition for  $B_0$ .

**Lemma 7.7**

$\kappa, \varepsilon > 0$ , let  $\mu$  be a probability measure on  $\mathbb{R}$ ,  $\text{supp } \mu \subseteq B(0, 1)$ , satisfying

$$\mu(B(x, \rho)) \leq \delta^{-\varepsilon} \rho^\kappa, \quad \forall \rho \geq \delta, x \in \mathbb{R}.$$

Then  $\exists B_0 \subseteq \text{supp } \mu$  satisfying

$$\mathcal{N}_\delta(B_0 \cap B(x, \rho)) \leq \delta^{-O(\varepsilon)} \rho^\kappa \mathcal{N}_\delta(B_0), \quad \forall \rho \geq \delta, x \in \mathbb{R}.$$

*Proof.* Let

$$\mathcal{Q} := \{[k\delta, (k+1)\delta[ : k \in \mathbb{Z}\},$$

for every  $i \in \mathbb{N}$ , define

$$\mathcal{Q}_i = \{Q \in \mathcal{Q} : 2^{-i-1} < \mu(Q) \leq 2^{-i}\}.$$

Observe that

$$\mu\left(\bigcup_{i \geq 2|\log \delta|} \mathcal{Q}_i\right) \ll \delta^{-1} 2^{-2|\log \delta|} \leq \delta < \frac{1}{2}.$$

Then  $\exists i \in [0, 2|\log \delta|] \cap \mathbb{N}$ , such that (for  $\delta$  sufficiently small)

$$\mu\left(\bigcup_{Q \in \mathcal{Q}_i} Q\right) \geq \frac{1}{4|\log \delta|} \geq \delta^\varepsilon.$$

Fix this  $i$ , let  $B_1 = \bigcup_{Q \in \mathcal{Q}_i} Q$  and  $B_0 = B_1 \cap \text{supp } \mu$ . Then for every  $\rho \geq \delta, x \in \mathbb{R}$ ,

$$\begin{aligned} \mathcal{N}_\delta(B_0 \cap B(x, \rho)) &\asymp \#\{Q \in \mathcal{Q}_i : Q \cap B(x, \rho) \neq \emptyset\} \\ &\ll \frac{\mu(B_0 \cap B(x, 2\rho))}{\min_{Q \in \mathcal{Q}_i} \mu(Q)} \ll \frac{\delta^{-\varepsilon} \rho^\kappa}{\min_{Q \in \mathcal{Q}_i} \mu(Q)} \leq \delta^{-2\varepsilon} \rho^\kappa \#\mathcal{Q}_i \asymp \delta^{-2\varepsilon} \rho^\kappa \mathcal{N}_\delta(B_0). \end{aligned}$$

□

Lemma 7.7 + Theorem 7.5  $\implies$  the special case  $A = A_0 \times A_0$  of Theorem 7.10.

*Proof of General Case of Theorem 7.10.* Assume for a contradiction that  $\mathcal{E}$  supports such a probability measure  $\mu$ . In particular, there exists  $\theta_1, \theta_2 \in \mathcal{E}$  with  $d(\theta_1, \theta_2) \geq \delta_{\kappa}^{\frac{\varepsilon}{\kappa}} = \delta^{O(\varepsilon)}$ . After a rotation and affine transformation of norm at most  $\delta^{O(\varepsilon)}$ , we can assume that  $x$ -axis and  $y$ -axis are both in  $\mathcal{E}$ . Let  $B, C$  be the projection of  $A$  to the  $x$ -axis and  $y$ -axis, respectively. For a  $\theta_t = \frac{(1, t)}{\sqrt{1+t^2}} \in \mathcal{E}$ , we have

$$\mathcal{N}_\delta(B \overset{A}{+} tC) \leq \delta^{-\alpha-\varepsilon},$$

here we abuse a notation  $\overset{A}{+}$  to refer to  $a = (b, c) \in A, b \in B, c \in C$ . We have

$$\delta^{-2\alpha+O(\varepsilon)} \leq \mathcal{N}_\delta(A) \ll \mathcal{N}_\delta(B) \mathcal{N}_\delta(C),$$

hence  $\mathcal{N}_\delta(B), \mathcal{N}_\delta(C) \geq \delta^{-\alpha+O(\varepsilon)}$ . By B-S-G (6.8), there exists  $B_t \subseteq B, C_t \subseteq C$  such that

$$\mathcal{N}_\delta(B_t) \geq \delta^{-\alpha+O(\varepsilon)}, \quad \mathcal{N}_\delta(C_t) \geq \delta^{-\alpha+O(\varepsilon)}, \quad \mathcal{N}_\delta(B_t + tC_t) \leq \delta^{-\alpha-O(\varepsilon)}.$$

If  $B_t, C_t$  are independent of  $t$ , then done. We need a following lemma.

**Lemma 7.8 (popularity argument)**

$(X, \lambda)$  is a finite measure space,  $(T, \nu)$  is a probability space,  $K \geq 2$ . If  $\forall t \in T, X_t \subseteq X$  with  $\lambda(X_t) \geq \frac{1}{K} \lambda(X)$ . Then  $\exists t_\star \in T$ , such that

$$\nu\left\{t \in T : \lambda(X_{t_\star} \cap X_t) \geq \frac{1}{2K^2} \lambda(X)\right\} \geq \frac{1}{2K^2}.$$

**Exercise 7.9.** Prove the lemma. Hint: applying C-S to  $x \mapsto \int \mathbb{1}_{X_t}(x) d\nu(t)$ .

*Continued Proof of Theorem 7.10.* Use lemma for  $X = B^{(\delta)} \times C^{(\delta)}$ ,  $\lambda = \text{Leb}$ . Let  $X_t = B_t^{(\delta)} \times C_t^{(\delta)}$ , let  $\nu$  be the push forward of  $\mu$  under  $\theta_t \mapsto t$ . Then  $\exists t_\star \in \mathbb{R}$ ,  $D \subseteq \mathbb{R}$  with  $\nu(D) \geq \delta^{O(\varepsilon)}$  such that for every  $t \in D$ ,

$$\mathcal{N}_\delta(B_t \cap B_\star) \geq \delta^{-\alpha+O(\varepsilon)}, \quad \mathcal{N}_\delta(C_t \cap C_\star) \geq \delta^{-\alpha+O(\varepsilon)},$$

where  $B_\star = B_{t_\star}$  and  $C_\star = C_{t_\star}$ .

We use notation  $E \approx F$  to refer to  $\mathcal{N}_\delta(E - F) \ll \delta^{-O(\varepsilon)} \mathcal{N}_\delta(E)^{\frac{1}{2}} \mathcal{N}_\delta(F)^{\frac{1}{2}}$ . Now, we do some Ruzsa calculus. We know  $B_t \approx -tC_t$ , hence  $B_t \approx B_{t_\star}$ , and then  $B_t \approx B_t \cap B_\star$ . Moreover, for every  $t \in D$ , we have  $B_\star \approx B_t \cap B_\star \approx B_t$  and  $C_\star \approx C_t \cap C_\star \approx C_t$ . Because  $B_\star \approx -t_\star C_\star$ , we have

$$B_\star \approx B_t \approx -tC_t \approx -tC_\star \approx \frac{t}{t_\star} B_\star, \quad \forall t \in D \subseteq [\frac{1}{2}, 2].$$

This will contradict with the Sum-Product Theorem (7.5) when  $\varepsilon$  is small.  $\square$

**Theorem 7.10** (Bourgain's Projection Theorem, adapted version)

For every  $\alpha \in (0, 1)$ ,  $\kappa > 0$ , there exists  $\varepsilon = \varepsilon(\alpha, \kappa) > 0$ , the following holds for  $\delta > 0$  sufficiently small. Let  $A \subseteq B_{\mathbb{R}^2}(0, 1)$ , assume

- $\mathcal{N}_\delta(A) \leq \delta^{-2\alpha}$ .
- $\forall \rho \geq \delta, \forall x \in \mathbb{R}^2, \mathcal{N}_\delta(A \cap B(x, \rho)) \leq \delta^{-\varepsilon} \rho^{2\alpha} \mathcal{N}_\delta(A)$ .

Write

$$\mathcal{E} = \{ \theta \in \mathbb{S}^1 : \exists A' \subseteq A, \mathcal{N}_\delta(A') \geq \delta^\varepsilon \mathcal{N}_\delta(A) \text{ and } \mathcal{N}_\delta(\text{proj}_\theta A') \leq \delta^{-\alpha-\varepsilon} \},$$

then  $\mathcal{E}$  does not support a probability measure  $\mu$  satisfying

$$\mu(B_{\mathbb{S}^1}(\theta, \rho)) \leq \delta^{-\varepsilon} \rho^\kappa, \quad \forall \rho \geq \delta, \theta \in \mathbb{S}^1.$$

**Corollary 7.11**

$\forall \alpha \in (0, 1)$ ,  $\exists \varepsilon = \varepsilon(\alpha) > 0$ , let  $A \subseteq \mathbb{R}^2$  be a Borel subset. If  $\dim_H A = 2\alpha$ , then

$$\dim_H (\{ \theta \in \mathbb{S}^1 : \dim_H \text{proj}_\theta A \leq \alpha + \varepsilon \}) = 0.$$

**Remark 7.12** — To compare with Marstrand's Theorem: if  $\alpha < \frac{1}{2}$ , then

$$\text{Leb} \{ \theta \in \mathbb{S}^1 : \dim_H \text{proj}_\theta A < 2\alpha \} = 0.$$

Recall Hausdorff dimension of  $A$ ,  $\dim_H A \leq \alpha$  if and only if  $\forall \varepsilon > 0$ ,  $\exists x_i \in \mathbb{R}^2, 0 < r_i < \varepsilon, i \in \mathbb{N}$ , such that

$$A \subseteq \bigcup_{i \in \mathbb{N}} B(x_i, r_i), \quad \sum_{i=0}^{\infty} r_i^{\alpha+\varepsilon} < \varepsilon.$$

In other word,  $\dim_H A = \inf \{ \text{such } \alpha \}$ .

**Lemma 7.13** (Frostman Lemma)

If  $A \subseteq \mathbb{R}^2$ ,  $\dim_H A > \alpha$ , then  $\exists$  a finite nonzero Borel measure  $\mu$  on  $\mathbb{R}^2$ ,  $\text{supp } \mu \subseteq A$ , and for every  $\rho > 0$ ,  $x \in \mathbb{R}^2$ ,  $\mu(B(x, \rho)) < \rho^\alpha$ .

**Remark 7.14** — Such a measure is said to be  $\alpha$ -Frostman (or  $\alpha$ -Hölder?).

*Proof of Corollary 7.11.* Assume for a contradiction, let

$$\mathcal{E} = \{\theta \in \mathbb{S}^1 : \dim_H \text{proj}_\theta A \leq \alpha + \varepsilon\},$$

assume  $\dim_H \mathcal{E} > \kappa > 0$ . By Frostman lemma, there exists  $\mu$  on  $\mathcal{E}$  which is  $\kappa$ -Frostman. There exists  $\nu$  on  $A$  is  $(2\alpha - \varepsilon)$ -Frostman. For every  $\theta \in \mathcal{E}$ , we can cover  $\text{proj}_\theta A$  by  $\bigcup_{i \in \mathbb{N}} B(x_i, r_i)$  with  $r_i \leq \delta = 2^{k_0}$  and  $\sum r_i^{\alpha+2\varepsilon} \leq \varepsilon$ . WLOG, we can assume that  $r_i = 2^{-k_i}$  where  $k_i \in \mathbb{N}$ , then

$$\text{proj}_\theta A \subseteq \bigcup_{k \geq k_0} B_{\theta,k}, \quad \text{where } B_{\theta,k} := \bigcup_{x \in X_{\theta,k}} B(x, 2^{-k}).$$

We also have an estimate for every  $k \geq k_0$ ,  $\#X_{\theta,k} \leq 2^{k(\alpha+2\varepsilon)}$ . Then

$$\nu(A)\mu(\mathcal{E}) = \int \nu\left(\bigcup_{k \geq k_0} \text{proj}_\theta^{-1} B_{\theta,k}\right) d\mu(\theta) \leq \int \sum_{k \geq k_0} \nu(\text{proj}_\theta^{-1} B_{\theta,k}) d\mu(\theta).$$

Let  $A_{\theta,k} = \text{proj}_\theta^{-1} B_{\theta,k}$ , then  $\exists k \geq k_0$ , such that

$$\frac{1}{\nu(A)\mu(\mathcal{E})} \int \nu(A_{\theta,k}) d\mu(\theta) \geq \frac{6}{\pi^2} \frac{1}{k} \gg |\log \delta|^{-2} \geq \delta^{-\varepsilon}.$$

Choose  $\delta_0 = 2^{-k_0}$  sufficiently small, fix a such  $k$ , let  $\delta = 2^{-k} \leq \delta_0$ . We have  $A_{\theta,k} \subseteq A$  and

$$\mathcal{N}_\delta(\text{proj}_\theta A_{\theta,k}) \leq \#X_{\theta,k} \leq \delta^{-\alpha-2\varepsilon}.$$

Then  $\exists D \subseteq \mathcal{E} \subseteq \mathbb{S}^1$  such that  $\mu(D) \geq \delta^\varepsilon \mu(\mathcal{E})$  and  $\forall \theta \in D$ ,  $\nu(A_{\theta,k}) \geq \delta^\varepsilon \nu(A)$ . We want to find  $B \subseteq A$  such that

$$\mathcal{N}_\delta(A_{\theta,k} \cap B) \geq \delta^\varepsilon \mathcal{N}_\delta(B), \quad \mathcal{N}_\delta(B \cap B(x, \rho)) \leq \delta^{-O(\varepsilon)} \rho^{2\alpha} \mathcal{N}_\delta(B).$$

A similar argument in the proof of Lemma 7.7, we can find such a  $B$  and some  $D' \subseteq D$ , which contradicts with the Sum-Product theorem.  $\square$