Executive Branch Personnel
Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

Noyes, Julieta V

Assistant Secretary, Department of State

Report Year: 2024

Other Federal Government Positions Held During the Preceding 12 Months:
None

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Noyes, Julieta V [electronically signed on 01/20/2024 by Noyes, Julieta V in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).
/s/ Owusu, Theresa, Certifying Official [electronically signed on 04/01/2024 by Owusu, Theresa in Integrity.gov]

Other review conducted by
/s/ Owusu, Theresa, Ethics Official [electronically signed on 04/01/2024 by Owusu, Theresa in Integrity.gov]

U.S. Office of Government Ethics Certification

/s/ Granahan, Megan, Certifying Official [electronically signed on 05/03/2024 by Granahan, Megan in Integrity.gov]

Data Revised 03/28/2024

Data Revised 03/18/2024

1. Filer's Positions Held Outside United States Government

#	ORGANIZATION NAME	CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
1	Trustee for Family Revocable Trust 1	Vienna, Virginia	Trust	Trustee	2/2008	Present
2	Trustee for Family Revocable Trust 2	Vienna, Virginia	Trust	Trustee	2/2008	Present

2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	IRA	No			
1.1	Synaptics Inc (SYNA)	N/A	$15,001 - $50,000		None (or less than $201)
1.2	USSPX - USAA S&P 500 Index Fund	Yes	$1,001 - $15,000		None (or less than $201)
1.3	FCNTX - Fidelity Contrafund	Yes	$15,001 - $50,000		None (or less than $201)
1.4	PRDGX - T Rowe Price Dividend Mutual fund	Yes	$1,001 - $15,000		None (or less than $201)
1.5	V - Visa Inc	No	$1,001 - $15,000	Dividends	None (or less than $201)

3. Filer's Employment Agreements and Arrangements

None

4. Filer's Sources of Compensation Exceeding $5,000 in a Year

(N/A) - Not required for this type of report

5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	IRA	No			
1.1	U.S. brokerage Money Market account (cash)	N/A	$15,001 - $50,000		None (or less than $201)
1.2	AAPL - Apple	N/A	$50,001 - $100,000		None (or less than $201)
1.3	ANET - Arista Networks	N/A	$50,001 - $100,000		None (or less than $201)
1.4	MA - Mastercard Inc	N/A	$15,001 - $50,000		None (or less than $201)
1.5	NVDA - NVIDIA Corp	N/A	$1,001 - $15,000		None (or less than $201)
1.6	PYPL - Paypal Hldgs Inc	N/A	$1,001 - $15,000		None (or less than $201)
1.7	SQ - Square Block Inc CL	N/A	$1,001 - $15,000		None (or less than $201)
1.8	SLCA - U.S. Silica	N/A	$15,001 - $50,000		None (or less than $201)
1.9	ANWPX - American New Perspective Class A	Yes	$15,001 - $50,000		$201 - $1,000
1.10	USSPX - USAA S&P 500 Index Fund	Yes	$1,001 - $15,000		None (or less than $201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.11	RSPFX - Victory Partners Fund	Yes	$15,001 - $50,000		None (or less than $201)
1.12	SNPS - Synopsis Inc Com	N/A	$15,001 - $50,000		None (or less than $201)
1.13	ADSK - Autodesk, Inc.	N/A	$15,001 - $50,000		None (or less than $201)
1.14	DOCN - Digital Ocean	No	$15,001 - $50,000		None (or less than $201)
1.15	DXCM = Dexcom	No	$1,001 - $15,000		None (or less than $201)
1.16	HON - Honeywell International, Inc.	No	$1,001 - $15,000		None (or less than $201)
1.17	LNG - Cheniere Energy	No	$1,001 - $15,000		None (or less than $201)
1.18	MDB - Mongodb Inc	No	$1,001 - $15,000		None (or less than $201)
1.19	OXY - Occidental Petroleum Corp.	No	$1,001 - $15,000		None (or less than $201)
1.20	PAYC - Paycom	No	$1,001 - $15,000	Dividends	$201 - $1,000
1.21	QCOM - Qualcomm	No	$15,001 - $50,000		None (or less than $201)
1.22	ZBRA - Zebra technologies	No	$1,001 - $15,000		None (or less than $201)
1.23	COF/PRI - Capital One Preferred	No	$15,001 - $50,000	Dividends	$201 - $1,000
1.24	BNS - Bank Nova Scotia F	No	$1,001 - $15,000		None (or less than $201)
1.25	BRK/B Berkshire Hathaway Class B	No	$1,001 - $15,000		None (or less than $201)

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.26	A - Agilent Technologies, Inc.		No	$15,001 - $50,000		None (or less than $201)
1.27	KNSL - Kinsale Capital Group, Inc.		No	$15,001 - $50,000		None (or less than $201)
1.28	SKT - Tanger Factory Outlet Centers, Inc. (SKT)		No	$15,001 - $50,000	Dividends	$201 - $1,000
1.29	MFST - Microsoft Corp.		No	$1,001 - $15,000		None (or less than $201)
1.30	VRTX - Vertex Pharmaceuticals, Inc.		No	$15,001 - $50,000		None (or less than $201)
1.31	GILD - Gilead Sciences, Inc.		No	$1,001 - $15,000	Dividends	$201 - $1,000
1.32	THO - Thor Industries, Inc.		No	$1,001 - $15,000		None (or less than $201)
1.33	Hercules Capital Inc. Common Stock		No	$15,001 - $50,000		None (or less than $201)
1.34	NVO - Novo Nordisk A/S Common Stock (NVO)	See Endnote	No	$15,001 - $50,000		None (or less than $201)
1.35	MCK - McKesson Corp. (MCK)		No	$15,001 - $50,000		None (or less than $201)
1.36	QQQ - Invesco QQQ Trust, Series 1 (QQQ)		Yes	$15,001 - $50,000		None (or less than $201)
1.37	SPY - SPDR S&P 500 ETF Trust (SPY)		Yes	$15,001 - $50,000		None (or less than $201)
1.38	PRGSX - T Rowe PriceGlobal Stock Fund (PRGSX)		Yes	$15,001 - $50,000		None (or less than $201)
1.39	PGSYX - Pioneer Global Equity Fund Class Y Shares (PGSYX)		Yes	$15,001 - $50,000		$201 - $1,000
1.40	LCCAX - Columbia Contrarian Core Fund Class A Shares (LCCAX)		Yes	$15,001 - $50,000		None (or less than $201)

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.41	XLE - Energy Select Sector SPDR Fund (XLE)	See Endnote	N/A	$1,001 - $15,000		None (or less than $201)
2	Northwest Mutual Whole Life Insurance Policy		N/A	$50,001 - $100,000		None (or less than $201)
3	Virginia 529 Account		No			
3.1	Invest 529 2030 portfolio		Yes	$15,001 - $50,000		None (or less than $201)
4	U.S. bank account #2 (cash)			$1,001 - $15,000		None (or less than $201)

6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	TGH -Textainer Group Holdings	N/A	$1,001 - $15,000		None (or less than $201)
2	IDXX Laboratories, Inc. (IDXX)	N/A	$1,001 - $15,000		None (or less than $201)
3	INFU - Infusystems	N/A	$15,001 - $50,000		None (or less than $201)
4	ALLE - Allegion Public Limited Co	N/A	$1,001 - $15,000		None (or less than $201)
5	CGNX - COGNEX Corp	N/A	$1,001 - $15,000		None (or less than $201)
6	ROL - Rollins Inc Com	N/A	$15,001 - $50,000		None (or less than $201)
7	VFIAX - Vanguard 500 Index Fund	Yes	$15,001 - $50,000		$201 - $1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
8	EXPD - Expeditors International	N/A	$15,001 - $50,000	Dividends	None (or less than $201)
9	PEP - Pepsico Inc	N/A	$1,001 - $15,000	Dividends	None (or less than $201)
10	U.S. bank #1 (cash)	N/A	$15,001 - $50,000		None (or less than $201)
11	IR - Ingersoll Rand Public	N/A	$1,001 - $15,000		None (or less than $201)
12	TEX - Terex Corp	N/A	$15,001 - $50,000		None (or less than $201)
13	Protective Whole Life Insurance Policy	N/A	$15,001 - $50,000		None (or less than $201)
14	SHOP - Shopify Inc	N/A	$1,001 - $15,000		None (or less than $201)
15	TT - Trane Technologies	N/A	$50,001 - $100,000	Dividends	$1,001 - $2,500
16	U.S. Bank account #3 (cash)	No	None (or less than $1,001)		None (or less than $201)
17	GLW - Corning	No	$15,001 - $50,000		$201 - $1,000
18	BX - Blackstone Inc	No	$15,001 - $50,000		None (or less than $201)
19	ADBE - Adobe Inc	No	$15,001 - $50,000		None (or less than $201)
20	ADSK - Autodesk Inc	No	$1,001 - $15,000		None (or less than $201)
21	WSO - Watsco, Inc.	No	$50,001 - $100,000	Capital Gains Dividends	$1,001 - $2,500

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
22	ZBRA - Zebra Technologies Corp. (ZBRA)		No	$1,001 - $15,000		None (or less than $201)
23	NOC - Northrop Grumman Corp. (NOC)		No	$15,001 - $50,000		None (or less than $201)
24	TMSIX - Thrivent Mid Cap Stock Fund Class S Shares (TMSIX)		Yes	$15,001 - $50,000		$201 - $1,000
25	USATX - USAA Tax-Exempt Intermediate-Term Fund Fund Class Shares (USATX)		Yes	$1,001 - $15,000		$201 - $1,000
26	PJT Partners, Inc. (PJT)	See Endnote	N/A	$1,001 - $15,000	Dividends	$201 - $1,000

7. Transactions

#	DESCRIPTION	TYPE	DATE	AMOUNT
1	SVB Financial Group (SIVB)	Purchase	03/29/2023	$1,001 - $15,000
2	V	Purchase	03/28/2023	$1,001 - $15,000
3	PRDSX - T Rowe Price Dividend Growth Fund, Inc (PRDGX)	Purchase	03/28/2023	$1,001 - $15,000
4	BlackRock Commodity Strategies Fund Investor A Shares (BCSAX)	Sale	02/02/2023	$1,001 - $15,000
5	Watsco, Inc. (WSO)	Sale	02/02/2023	$1,001 - $15,000
6	INFU InfuSystem Holdings, Inc. (INFU)	Sale	02/02/2023	$1,001 - $15,000
7	SVB Financial Group (SIVB)	Sale	02/02/2023	$1,001 - $15,000
8	Activision Blizzard, Inc. (ATVI)	Sale	02/02/2023	$1,001 - $15,000
9	Alteryx, Inc. (AYX)	Sale	02/02/2023	$1,001 - $15,000
10	Kinder Morgan, Inc. (KMI)	Purchase	02/15/2023	$1,001 - $15,000

#	DESCRIPTION		TYPE	DATE	AMOUNT
11	Corning, Inc. (GLW)		Sale	02/01/2023	$1,001 - $15,000
12	Invesco Corporate Bond Fund Cl A Shs (ACCBX)		Sale	02/02/2023	$1,001 - $15,000
13	Honeywell International, Inc. (HON)		Purchase	01/24/2022	$1,001 - $15,000
14	Watsco, Inc. (WSO)		Sale	04/20/2023	$1,001 - $15,000
15	Blackstone Inc. Common Stock (BX)		Sale	04/28/2023	$1,001 - $15,000
16	Kinsale Capital Group, Inc. (KNSL)	See Endnote	Purchase	04/05/2023	$15,001 - $50,000
17	SPY		Purchase	04/05/2023	$15,001 - $50,000
18	Vertex Pharmaceuticals, Inc. (VRTX)		Purchase	04/05/2023	$1,001 - $15,000
19	Thor Industries, Inc. (THO)		Purchase	04/05/2023	$1,001 - $15,000
20	Gilead Sciences, Inc. (GILD)		Purchase	04/05/2023	$1,001 - $15,000
21	SKT - Tanger Factory Outlet Centers, Inc. (SKT)	See Endnote	Purchase	04/12/2023	$1,001 - $15,000
22	Illumina, Inc. (ILMN)		Sale	04/12/2023	$15,001 - $50,000
23	Agilent Technologies, Inc. (A)		Purchase	04/12/2023	$15,001 - $50,000
24	QUALCOMM, Inc. (QCOM)		Purchase	04/12/2023	$15,001 - $50,000
25	Pioneer Global Equity Fund Class Y Shares (PGSYX)		Purchase	04/12/2023	$15,001 - $50,000
26	Zebra Technologies Corp. (ZBRA)		Purchase	04/19/2023	$1,001 - $15,000
27	DigitalOcean Holdings Inc. Common Stock (DOCN)		Purchase	04/19/2023	$1,001 - $15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
28	T Rowe PriceGlobal Stock Fund (PRGSX)	Purchase	04/24/2023	$15,001 - $50,000
29	Zebra Technologies Corp. (ZBRA)	Purchase	05/02/2023	$1,001 - $15,000
30	Energy Select Sector SPDR Fund (XLE)	Sale	05/22/2023	$1,001 - $15,000
31	Novo Nordisk A/S Common Stock (NVO)	Purchase	05/01/2023	$15,001 - $50,000
32	Autodesk, Inc. (ADSK)	Purchase	05/02/2023	$1,001 - $15,000
33	Arista Networks, Inc. (ANET)	Purchase	05/03/2023	$1,001 - $15,000
34	COF/PRI Capital One Preferred	Purchase	05/19/2023	$15,001 - $50,000
35	Amazon.com, Inc. (AMZN)	Sale	05/23/2023	$1,001 - $15,000
36	NVIDIA Corp. (NVDA)	Sale	05/26/2023	$1,001 - $15,000
37	Watsco, Inc. (WSO)	Sale	06/13/2023	$1,001 - $15,000
38	Northrop Grumman Corp. (NOC)	Purchase	06/23/2023	$15,001 - $50,000
39	Watsco, Inc. (WSO)	Sale	06/27/2023	$1,001 - $15,000
40	Vanguard Balanced Index Fund Admiral Shares (VBIAX)	Sale	06/27/2023	$1,001 - $15,000
41	Mid-America Apartment Communities, Inc. (MAA)	Sale	06/13/2023	$1,001 - $15,000
42	Vertex Pharmaceuticals, Inc. (VRTX)	Purchase	06/15/2023	$1,001 - $15,000
43	DCP Midstream LP Common Units (DCP)	Purchase	06/15/2023	$15,001 - $50,000
44	Activision Blizzard, Inc. (ATVI)	Sale	07/11/2023	$1,001 - $15,000
45	Invesco QQQ Trust, Series 1 (QQQ)	Purchase	07/05/2023	$15,001 - $50,000

#	DESCRIPTION		TYPE	DATE	AMOUNT
46	Invesco QQQ Trust, Series 1 (QQQ)		Purchase	07/06/2023	$1,001 - $15,000
47	Berkshire Hathaway Inc. (BRKB)		Purchase	07/06/2023	$1,001 - $15,000
48	Arista Networks, Inc. (ANET)		Sale	08/01/2023	$1,001 - $15,000
49	Kinder Morgan, Inc. (KMI)		Sale	08/28/2023	$1,001 - $15,000
50	USAA Tax-Exempt Intermediate-Term Fund Fund Class Shares (USATX)		Purchase	08/28/2023	$1,001 - $15,000
51	Arista Networks, Inc. (ANET)		Sale	08/01/2023	$1,001 - $15,000
52	DigitalOcean Holdings Inc. Common Stock (DOCN)		Purchase	08/07/2023	$1,001 - $15,000
53	DigitalOcean Holdings Inc. Common Stock (DOCN)		Purchase	08/25/2023	$1,001 - $15,000
54	Activision Blizzard, Inc. (ATVI)	See Endnote	Sale	10/13/2023	$50,001 - $100,000
55	DCP Midstream LP Common Units (DCP)	See Endnote	Sale	10/16/2023	$15,001 - $50,000
56	DigitalOcean Holdings Inc. Common Stock (DOCN)		Purchase	10/17/2023	$1,001 - $15,000
57	Paycom Software, Inc. (PAYC)		Sale	11/01/2023	$1,001 - $15,000
58	IDEXX Laboratories, Inc. (IDXX)		Purchase	11/01/2023	$1,001 - $15,000
59	Thrivent Mid Cap Stock Fund Class S Shares (TMSIX)		Purchase	11/01/2023	$15,001 - $50,000
60	DexCom, Inc. (DXCM)		Purchase	11/01/2023	$1,001 - $15,000
61	Kinsale Capital Group, Inc. (KNSL)		Purchase	11/02/2023	$1,001 - $15,000
62	Microsoft Corp. (MSFT)		Purchase	11/21/2023	$1,001 - $15,000
63	Arista Networks, Inc. (ANET)		Sale	11/27/2023	$1,001 - $15,000

#	DESCRIPTION		TYPE	DATE	AMOUNT
64	Bank Nova Scotia Halifax Pfd 3 Ordinary Shares (BNS)		Purchase	11/27/2023	$1,001 - $15,000
65	New Perspective Fund Class A Shares (ANWPX)	See Endnote	Purchase	12/15/2023	$1,001 - $15,000
66	Columbia Contrarian Core Fund Class A Shares (LCCAX)		Purchase	12/22/2023	$15,001 - $50,000
67	Hercules Capital Inc. Common Stock (HTGC)		Purchase	12/27/2023	$15,001 - $50,000
68	McKesson Corp. (MCK)		Purchase	12/27/2023	$15,001 - $50,000
69	Templeton World Fund Class A Shares (TEMWX)	See Endnote	Sale	01/26/2022	$1,001 - $15,000

8. Liabilities

#	CREDITOR NAME		TYPE	AMOUNT	YEAR INCURRED	RATE	TERM
1	Truist	See Endnote	Mortgage on Personal Residence	$100,001 - $250,000	2020	2.8750%	15 years
2	SDFCU - State Department Federal Credit Union		Exercised Line of Credit	$15,001 - $50,000	2021	4% - variable home equity line	10 years

9. Gifts and Travel Reimbursements

None

Endnotes

PART	#	ENDNOTE
5.	1.34	This asset was sold on February 16.
5.	1.41	incorrectly listed as joint asset in 2022 report; held in spouse's IRA
6.	26	Listed as part of Spouse IRA in 2022 report in error; joint holding
7.	16	many purchases on 4/05/23 following partial rollover of Spouse's TSP into IRA
7.	21	Numerous purchases on 4/12 for same reason - spouse's rollover of TSP to separate IRA
7.	54	Proceeds from company acquisition. Sale occurred in two brokerage accounts.
7.	55	Preferred Stock called back by issuer
7.	65	Automatic reinvestment of capital gains
7.	69	Sold mutual fund in 2022, inadvertently failed to file report or catch omission in 2023 annual filing. Correcting the file.
8.	1	Suntrust sold our mortgage to Truist

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than $200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than $1,000 or (2) from which more than $200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is $0 - $200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding $5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than $5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than $1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than $200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than $1,000 or (2) from which more than $200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is $0 - $200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than $1,000 or (2) from which more than $200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of $5,000 or less (unless more than $200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is $0 - $200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of $1,000 made on behalf of the filer, the filer's spouse or dependent child during the reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over $10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed $10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than $480 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than $480 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of $192 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. § 13101 et seq., as amended by the Representative Louise McIntosh Slaughter Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13989 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

Public Burden Information

This collection of information is estimated to take an average of ten hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE) Suite 500, 1201 New York Avenue, N.W., Washington, DC 20005-3917.

Pursuant to the Paperwork Reduction Act, as amended, an agency may not conduct or sponsor, and no person is required to respond to, a collection of information unless it displays a currently valid OMB control number (that number, 3209-0001, is displayed here and at the top of the first page of this OGE Form 278e).