Executive Branch Personnel

Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

Phillips, John R

Deputy White House Counsel, Legal Adviser to the National Security Council, Deputy Assistant to the President, White House - Biden-Harris Administration

Report Year: 2023

Other Federal Government Positions Held During the Preceding 12 Months:
None

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Phillips, John R [electronically signed on 04/23/2023 by Phillips, John R in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).
/s/ Phillips, Anjali, Certifying Official [electronically signed on 06/13/2023 by Phillips, Anjali in Integrity.gov]

Other review conducted by
/s/ Phillips, Anjali, Ethics Official [electronically signed on 06/13/2023 by Phillips, Anjali in Integrity.gov]

U.S. Office of Government Ethics Certification

/s/ Granahan, Megan, Certifying Official [electronically signed on 07/06/2023 by Granahan, Megan in Integrity.gov]

Data Revised 06/07/2023

1. Filer's Positions Held Outside United States Government

#	ORGANIZATION NAME	CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
1	The Boeing Company	Arlington, Virginia	Corporation	Vice President and Assistant General Counsel	4/2016	2/2022

2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	The Boeing Company, vested restricted stock units	See Endnote	N/A	None (or less than $1,001)	Income amount reflects value of restricted stock units distributed to filer upon separation from Boeing.	$1,285,327
2	The Boeing Company		N/A		Salary	$48,555
3	The Boeing Company, 401(k) Plan		No			
3.1	Balanced Index Fund		Yes	$15,001 - $50,000		None (or less than $201)
3.2	S&P 500 Index Fund		Yes	$500,001 - $1,000,000		None (or less than $201)
3.3	International Index Fund		Yes	$100,001 - $250,000		None (or less than $201)
3.4	Russell 2000 Index Fund		Yes	$250,001 - $500,000		None (or less than $201)
3.5	International Companies Fund		Yes	$1,001 - $15,000		None (or less than $201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
4	Boeing Executive Supplemental Savings Plan	N/A	None (or less than $1,001)	Income amount reflects payout of plan balance upon separation from Boeing.	$609,904
5	The Boeing Company	N/A		Payout of unused PTO at time of separation.	$93,230
6	The Boeing Company	N/A		Bonus payment for 2021.	$499,701
7	Roth IRA	No			
7.1	SPDR Dow Jones Industrial Average ETF Trust (DIA)	Yes	$1,001 - $15,000		None (or less than $201)
7.2	Fidelity ZERO Large Cap Index Fund (FNILX)	Yes	$1,001 - $15,000		None (or less than $201)
7.3	Schwab S&P 500 Index Fund (SWPPX)	Yes	$15,001 - $50,000		None (or less than $201)
8	The Boeing Company	N/A		Distribution of vested stock units	$393,915

3. Filer's Employment Agreements and Arrangements

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
1	The Boeing Company	Chicago, Illinois	I will continue to participate in this defined contribution plan; the plan sponsor no longer makes any contributions.	2/2009

4. Filer's Sources of Compensation Exceeding $5,000 in a Year

(N/A) - Not required for this type of report

5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Hostetter Strent LLC (law firm)	N/A		Partnership share	
2	401(k) Account	No			
2.1	New World Fund, Inc Class R6 Shares (RNWGX)	Yes	$15,001 - $50,000		None (or less than $201)
2.2	Vanguard International Growth Fund Admiral Shares (VWILX)	Yes	$100,001 - $250,000		None (or less than $201)
2.3	Nationwide Geneva Small Cap Growth Fund Institutional Service Class Shares (NWKDX)	Yes	$50,001 - $100,000		None (or less than $201)
2.4	Victory Sycamore Established Value Fund Class I Shares (VEVIX)	Yes	$15,001 - $50,000		None (or less than $201)
2.5	JPMorgan Equity Income Fund Class R5 Shares (OIERX)	Yes	$50,001 - $100,000		None (or less than $201)
2.6	Putnam Growth Opportunities Fund Class Y Shares (PGOYX)	Yes	$100,001 - $250,000		None (or less than $201)
2.7	Baird Core Plus Bond Fund Institutional Class Shares (BCOIX)	Yes	$1,001 - $15,000		None (or less than $201)
3	Hostetter Strent, LLC Capital Account	N/A	$100,001 - $250,000		None (or less than $201)
4	Spouse IRA Account	No			
4.1	Consumer Staples Select Sector SPDR Fund (XLP)	Yes	$15,001 - $50,000		None (or less than $201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
4.2	SPDR S&P Dividend ETF (SDY)	Yes	$15,001 - $50,000		None (or less than $201)
4.3	Vanguard Financials Index Fund ETF Shares (VFH)	Yes	$15,001 - $50,000		None (or less than $201)
4.4	Industrial Select Sector SPDR Fund (XLI)	Yes	$15,001 - $50,000		None (or less than $201)
4.5	Health Care Select Sector SPDR Fund (XLV)	Yes	$15,001 - $50,000		None (or less than $201)
4.6	Invesco QQQ Trust, Series 1 (QQQ)	Yes	$15,001 - $50,000		None (or less than $201)
4.7	Consumer Discretionary Select Sector SPDR Fund (XLY)	Yes	$15,001 - $50,000		None (or less than $201)
4.8	Technology Select Sector SPDR Fund (XLK)	Yes	$15,001 - $50,000		None (or less than $201)
4.9	iShares Core S&P Mid-Cap ETF (IJH)	Yes	$15,001 - $50,000		None (or less than $201)
4.10	SPDR S&P Retail ETF (XRT)	Yes	$15,001 - $50,000		None (or less than $201)
4.11	Vanguard Developed Markets Index Fund ETF Shares (VEA)	Yes	$1,001 - $15,000		None (or less than $201)

6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	U.S. bank #1 (cash)	N/A	$15,001 - $50,000		None (or less than $201)
2	SPDR Dow Jones Industrial Average ETF Trust (DIA)	Yes	$50,001 - $100,000		$201 - $1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3	Fidelity Total Market Index Fund (FSKAX)	Yes	$250,001 - $500,000		$2,501 - $5,000
4	IBN (ICICI Bank Limited)	N/A	None (or less than $1,001)	Capital Gains	$5,001 - $15,000
5	Schwab US Broad Market ETF (SCHB)	Yes	$50,001 - $100,000		$1,001 - $2,500
6	Schwab US Dividend Equity ETF (SCHD)	Yes	$100,001 - $250,000		$2,501 - $5,000
7	Schwab US Large-Cap ETF (SCHX)	Yes	$1,001 - $15,000		None (or less than $201)
8	SPDR S&P 500 ETF Trust (SPY)	Yes	$100,001 - $250,000		$2,501 - $5,000
9	Fidelity Contrafund (FCNTX)	Yes	$100,001 - $250,000		$5,001 - $15,000
10	Fidelity 500 Index Fund (FXAIX)	Yes	$15,001 - $50,000		$201 - $1,000
11	New Hampshire 529 Plan # 1	No			
11.1	New Hampshire Portfolio 2027	Yes	$100,001 - $250,000		None (or less than $201)
12	New Hampshire 529 Plan # 2	No			
12.1	New Hampshire Portfolio 2030	Yes	$100,001 - $250,000		None (or less than $201)
13	New Hampshire 529 Plan # 3	No			
13.1	New Hampshire Portfolio 2033	Yes	$50,001 - $100,000		None (or less than $201)
14	New Hampshire 529 Plan # 4	No			
14.1	New Hampshire Portfolio 2036	Yes	$50,001 - $100,000		None (or less than $201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
15	Fidelity Total Market Index Fund (FSKAX)	Yes	$1,001 - $15,000		None (or less than $201)
16	Inherited IRA Account	No			
16.1	iShares Russell 2000 Growth ETF (IWO)	Yes	$15,001 - $50,000		None (or less than $201)
17	AbbVie, Inc. (ABBV)	N/A	None (or less than $1,001)	Capital Gains Dividends	$5,001 - $15,000
18	Alphabet, Inc. (GOOGL)	N/A	None (or less than $1,001)	Capital Gains	$15,001 - $50,000
19	Amazon.com, Inc. (AMZN)	N/A	None (or less than $1,001)	Capital Gains	$15,001 - $50,000
20	Amgen Inc. (AMGN)	N/A	None (or less than $1,001)	Capital Gains Dividends	$5,001 - $15,000
21	Analog Devices, Inc. (ADI)	N/A	None (or less than $1,001)	Capital Gains Dividends	$15,001 - $50,000
22	Apple, Inc. (AAPL)	N/A	None (or less than $1,001)	Capital Gains Dividends	$50,001 - $100,000
23	Applied Materials, Inc. (AMAT)	N/A	None (or less than $1,001)	Capital Gains Dividends	$15,001 - $50,000
24	Best Buy Co., Inc. (BBY)	N/A	None (or less than $1,001)	Capital Gains Dividends	$15,001 - $50,000
25	Bank of Montreal (BMO)	N/A	None (or less than $1,001)	Capital Gains Dividends	$5,001 - $15,000
26	Booz Allen Hamilton Holding Corp. (BAH)	N/A	None (or less than $1,001)	Capital Gains Dividends	$15,001 - $50,000
27	Astrazeneca Plc (AZN)	N/A	None (or less than $1,001)	Capital Gains Dividends	$5,001 - $15,000
28	Automatic Data Processing, Inc. (ADP)	N/A	None (or less than $1,001)	Capital Gains Dividends	$15,001 - $50,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
29	Capital One Financial Corp. (COF)	N/A	None (or less than $1,001)	Capital Gains Dividends	$5,001 - $15,000
30	Caterpillar, Inc. (CAT)	N/A	None (or less than $1,001)	Capital Gains Dividends	$5,001 - $15,000
31	Cisco Systems, Inc. (CSCO)	N/A	None (or less than $1,001)	Capital Gains Dividends	$5,001 - $15,000
32	Citizens Financial Group, Inc. (Rhode Island) (CFG)	N/A	None (or less than $1,001)	Capital Gains Dividends	$5,001 - $15,000
33	Cummins, Inc. (CMI)	N/A	None (or less than $1,001)	Capital Gains Dividends	$5,001 - $15,000
34	CVS Health Corp. (CVS)	N/A	None (or less than $1,001)	Capital Gains Dividends	$5,001 - $15,000
35	Deere & Co. (DE)	N/A	None (or less than $1,001)	Capital Gains Dividends	$15,001 - $50,000
36	Emerson Electric Co. (EMR)	N/A	None (or less than $1,001)	Capital Gains Dividends	$5,001 - $15,000
37	Energizer Holdings, Inc. (ENR)	N/A	None (or less than $1,001)	Capital Gains Dividends	$201 - $1,000
38	Exxon Mobil Corp. (XOM)	N/A	None (or less than $1,001)	Capital Gains Dividends	$2,501 - $5,000
39	Genuine Parts Co. (GPC)	N/A	None (or less than $1,001)	Capital Gains Dividends	$5,001 - $15,000
40	Hasbro, Inc. (HAS)	N/A	None (or less than $1,001)	Capital Gains Dividends	$1,001 - $2,500
41	Hercules Capital Inc (HTGC)	N/A	None (or less than $1,001)	Capital Gains Dividends	$5,001 - $15,000
42	The Home Depot, Inc. (HD)	N/A	None (or less than $1,001)	Capital Gains Dividends	$15,001 - $50,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
43	Huntington Bancshares, Inc. (HBAN)	N/A	None (or less than $1,001)	Capital Gains Dividends	$1,001 - $2,500
44	Johnson & Johnson (JNJ)	N/A	None (or less than $1,001)	Capital Gains Dividends	$5,001 - $15,000
45	JPMorgan Chase & Co. (JPM)	N/A	None (or less than $1,001)	Capital Gains Dividends	$5,001 - $15,000
46	L3Harris Technologies, Inc. (LHX)	N/A	None (or less than $1,001)	Capital Gains Dividends	$15,001 - $50,000
47	LyondellBasell Industries NV (LYB)	N/A	None (or less than $1,001)	Capital Gains Dividends	$2,501 - $5,000
48	Marriott International, Inc. (MAR)	N/A	None (or less than $1,001)	Capital Gains	$5,001 - $15,000
49	McCormick & Co., Inc. (MKC)	N/A	None (or less than $1,001)	Capital Gains Dividends	$5,001 - $15,000
50	Medtronic Plc (MDT)	N/A	None (or less than $1,001)	Capital Gains Dividends	$5,001 - $15,000
51	Merck & Co., Inc. (MRK)	N/A	None (or less than $1,001)	Capital Gains Dividends	$2,501 - $5,000
52	Microchip Technology, Inc. (MCHP)	N/A	None (or less than $1,001)	Capital Gains Dividends	$15,001 - $50,000
53	Microsoft Corp. (MSFT)	N/A	None (or less than $1,001)	Capital Gains Dividends	$15,001 - $50,000
54	Moderna Inc (MRNA)	N/A	None (or less than $1,001)	Capital Gains	$2,501 - $5,000
55	NextEra Energy, Inc. (NEE)	N/A	None (or less than $1,001)	Capital Gains Dividends	$15,001 - $50,000
56	Palo Alto Networks, Inc. (PANW)	N/A	None (or less than $1,001)	Capital Gains	$5,001 - $15,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
57	Pfizer Inc. (PFE)	N/A	None (or less than $1,001)	Capital Gains	$201 - $1,000
58	Prudential Financial, Inc. (PRU)	N/A	None (or less than $1,001)	Capital Gains Dividends	$5,001 - $15,000
59	QUALCOMM, Inc. (QCOM)	N/A	None (or less than $1,001)	Capital Gains Dividends	$15,001 - $50,000
60	Restaurant Brands (QSR)	N/A	None (or less than $1,001)	Capital Gains	$201 - $1,000
61	salesforce.com, inc. (CRM)	N/A	None (or less than $1,001)	Capital Gains	$5,001 - $15,000
62	Target Corp. (TGT)	N/A	None (or less than $1,001)	Capital Gains Dividends	$15,001 - $50,000
63	Tesla, Inc. (TSLA)	N/A	None (or less than $1,001)	Capital Gains	$5,001 - $15,000
64	Texas Instruments Incorporated (TXN)	N/A	None (or less than $1,001)	Capital Gains Dividends	$15,001 - $50,000
65	Truist Financial Corp (TFC)	N/A	None (or less than $1,001)	Capital Gains Dividends	$5,001 - $15,000
66	Union Pacific Corp. (UNP)	N/A	None (or less than $1,001)	Capital Gains Dividends	$15,001 - $50,000
67	U.S. Bancorp (USB)	N/A	None (or less than $1,001)	Capital Gains Dividends	$5,001 - $15,000
68	Zoominfo Technologies Inc (ZI)	N/A	None (or less than $1,001)	Capital Gains	$1,001 - $2,500
69	The Walt Disney Co. (DIS)	N/A	None (or less than $1,001)	Capital Gains	$5,001 - $15,000
70	Maryland College Savings Plan #1	No			None (or less than $201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
70.1	Portfolio 2033	Yes	$15,001 - $50,000		None (or less than $201)
71	General Electric Co. (GE)	N/A	None (or less than $1,001)		None (or less than $201)
72	Voya Multi-ManagerEmerging Markets Equity Fund Class I Shares (IEMGX)	Yes	$15,001 - $50,000		$1,001 - $2,500
73	SPDR S&P 500 ETF Trust (SPY)	Yes	$100,001 - $250,000		$1,001 - $2,500
74	Vanguard Total Stock Market Index Fund ETF Class Shares (VTI)	Yes	$15,001 - $50,000		$201 - $1,000
75	Reveler's Hour Restaurant	N/A	$1,001 - $15,000		None (or less than $201)
76	Fidelity Government Money Market Fund (SPAXX)	Yes	$250,001 - $500,000		None (or less than $201)
77	SPDR Gold Shares (GLD)	Yes	None (or less than $1,001)		$2,501 - $5,000
78	Maryland College Savings Plan #2	No			
78.1	Portfolio 2033	Yes	$15,001 - $50,000		None (or less than $201)
79	Maryland College Savings Plan #3	No			
79.1	Portfolio 2033	Yes	$15,001 - $50,000		None (or less than $201)
80	Maryland College Savings Plan #4	No			
80.1	Portfolio 2036	Yes	$15,001 - $50,000		None (or less than $201)
81	Invesco QQQ Trust, Series 1 (QQQ)	Yes	$100,001 - $250,000		$201 - $1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
82	Invesco QQQ Trust, Series 1 (QQQ)	Yes	$100,001 - $250,000		$1,001 - $2,500
83	India Fund, Inc (IFN)	Yes	$15,001 - $50,000		$5,001 - $15,000
84	iShares Core S&P 500 ETF (IVV)	Yes	$100,001 - $250,000		$2,501 - $5,000
85	iShares Core S&P Mid-Cap ETF (IJH)	Yes	$100,001 - $250,000		$2,501 - $5,000
86	iShares Core S&P Small-Cap ETF (IJR)	Yes	$100,001 - $250,000		$1,001 - $2,500
87	iShares TIPS Bond ETF (TIP)	Yes	$15,001 - $50,000		$1,001 - $2,500
88	Consumer Staples Select Sector SPDR Fund (XLP)	Yes	$100,001 - $250,000		$1,001 - $2,500
89	Consumer Discretionary Select Sector SPDR Fund (XLY)	Yes	$100,001 - $250,000		$1,001 - $2,500
90	Technology Select Sector SPDR Fund (XLK)	Yes	$250,001 - $500,000		$2,501 - $5,000
91	Financial Select Sector SPDR Fund (XLF)	Yes	$100,001 - $250,000		$2,501 - $5,000
92	SPDR S&P Regional Banking ETF (KRE)	Yes	$100,001 - $250,000		$2,501 - $5,000
93	Vanguard Developed Markets Index Fund ETF Shares (VEA)	Yes	$50,001 - $100,000		$2,501 - $5,000

7. Transactions

#	DESCRIPTION	TYPE	DATE	AMOUNT
1	Financial Select Sector SPDR Fund (XLF)	Purchase	08/02/2022	$1,001 - $15,000
2	Consumer Staples Select Sector SPDR Fund (XLP)	Purchase	08/02/2022	$15,001 - $50,000
3	iShares Core S&P Small-Cap ETF (IJR)	Purchase	08/02/2022	$15,001 - $50,000
4	Consumer Discretionary Select Sector SPDR Fund (XLY)	Purchase	05/25/2022	$50,001 - $100,000
5	SPDR S&P Regional Banking ETF (KRE)	Purchase	05/25/2022	$50,001 - $100,000
6	Consumer Discretionary Select Sector SPDR Fund (XLY)	Purchase	05/17/2022	$15,001 - $50,000
7	Financial Select Sector SPDR Fund (XLF)	Purchase	05/17/2022	$15,001 - $50,000
8	iShares Core S&P 500 ETF (IVV)	Purchase	05/17/2022	$15,001 - $50,000
9	iShares Core S&P Mid-Cap ETF (IJH)	Purchase	05/17/2022	$15,001 - $50,000
10	Financial Select Sector SPDR Fund (XLF)	Purchase	04/21/2022	$50,001 - $100,000
11	SPDR S&P Regional Banking ETF (KRE)	Purchase	04/21/2022	$15,001 - $50,000
12	Financial Select Sector SPDR Fund (XLF)	Purchase	04/21/2022	$15,001 - $50,000
13	Financial Select Sector SPDR Fund (XLF)	Purchase	04/18/2022	$15,001 - $50,000
14	SPDR S&P Regional Banking ETF (KRE)	Purchase	04/18/2022	$15,001 - $50,000
15	Vanguard FTSE Developed Markets ETF (VEA)	Purchase	04/18/2022	$15,001 - $50,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
16	iShares TIPS Bond ETF (TIP)	Purchase	04/18/2022	$15,001 - $50,000
17	iShares Core S&P Small-Cap ETF (IJR)	Purchase	04/18/2022	$15,001 - $50,000
18	iShares Core S&P 500 ETF (IVV)	Purchase	03/23/2022	$100,001 - $250,000
19	iShares Core S&P Mid-Cap ETF (IJH)	Purchase	03/23/2022	$100,001 - $250,000
20	iShares Core S&P Small-Cap ETF (IJR)	Purchase	03/23/2022	$100,001 - $250,000
21	Financial Select Sector SPDR Fund (XLF)	Purchase	03/23/2022	$100,001 - $250,000
22	Vanguard FTSE Developed Markets ETF (VEA)	Purchase	03/23/2022	$100,001 - $250,000
23	SPDR S&P Regional Banking ETF (KRE)	Purchase	03/23/2022	$100,001 - $250,000
24	Financial Select Sector SPDR Fund (XLF)	Purchase	03/23/2022	$100,001 - $250,000
25	Consumer Discretionary Select Sector SPDR Fund (XLY)	Purchase	03/23/2022	$100,001 - $250,000
26	Consumer Staples Select Sector SPDR Fund (XLP)	Purchase	03/23/2022	$50,001 - $100,000
27	General Motors Company	Sale	03/22/2022	$15,001 - $50,000
28	Amazon.Com Inc	Sale	03/21/2022	$15,001 - $50,000
29	Astrazeneca Plc	Sale	03/21/2022	$15,001 - $50,000
30	Abbvie Inc	Sale	03/21/2022	$15,001 - $50,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
31	Alphabet Inc	Sale	03/21/2022	$15,001 - $50,000
32	Aptiv Plc	Sale	03/21/2022	$15,001 - $50,000
33	Airbnb Inc	Sale	03/21/2022	$50,001 - $100,000
34	Amgen Inc	Sale	03/21/2022	$15,001 - $50,000
35	Analog Devices Inc	Sale	03/21/2022	$15,001 - $50,000
36	Applied Materials Inc	Sale	03/21/2022	$15,001 - $50,000
37	Automatic Data Processing Inc	Sale	03/21/2022	$15,001 - $50,000
38	Bank Of Montreal	Sale	03/21/2022	$15,001 - $50,000
39	Best Buy Company Inc	Sale	03/21/2022	$15,001 - $50,000
40	Cvs Health Corporation	Sale	03/21/2022	$15,001 - $50,000
41	Citizens Financial Group Inc	Sale	03/21/2022	$15,001 - $50,000
42	Crowdstrike Holdings Inc	Sale	03/21/2022	$15,001 - $50,000
43	Capital One Financial Corp	Sale	03/21/2022	$15,001 - $50,000
44	Caterpillar Inc	Sale	03/21/2022	$15,001 - $50,000
45	Cisco Systems Inc	Sale	03/21/2022	$15,001 - $50,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
46	Cummins Inc	Sale	03/21/2022	$15,001 - $50,000
47	Draftkings Inc	Sale	03/21/2022	$1,001 - $15,000
48	Deere & Co	Sale	03/21/2022	$50,001 - $100,000
49	Walt Disney Co	Sale	03/21/2022	$15,001 - $50,000
50	Exxon Mobil Corp	Sale	03/21/2022	$15,001 - $50,000
51	Emerson Electric Co	Sale	03/21/2022	$15,001 - $50,000
52	Genuine Parts Co	Sale	03/21/2022	$15,001 - $50,000
53	Gilead Sciences Inc	Sale	03/21/2022	$15,001 - $50,000
54	Hercules Capital Inc	Sale	03/21/2022	$15,001 - $50,000
55	Hasbro Inc	Sale	03/21/2022	$1,001 - $15,000
56	Home Depot Inc	Sale	03/21/2022	$15,001 - $50,000
57	Huntington Bancshares Inc	Sale	03/21/2022	$15,001 - $50,000
58	Intel Corp	Sale	03/21/2022	$15,001 - $50,000
59	International Business Machines	Sale	03/21/2022	$15,001 - $50,000
60	Jpmorgan Chase & Co	Sale	03/21/2022	$15,001 - $50,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
61	Johnson & Johnson	Sale	03/21/2022	$15,001 - $50,000
62	Lyondellbasell Industries	Sale	03/21/2022	$1,001 - $15,000
63	L3harris Technologies Inc	Sale	03/21/2022	$15,001 - $50,000
64	Marriott International	Sale	03/21/2022	$15,001 - $50,000
65	Medtronic Plc	Sale	03/21/2022	$15,001 - $50,000
66	Moderna Inc	Sale	03/21/2022	$15,001 - $50,000
67	McCormick & Co Inc Non-Voting	Sale	03/21/2022	$15,001 - $50,000
68	Microchip Technology Inc	Sale	03/21/2022	$15,001 - $50,000
69	Microsoft Corp	Sale	03/21/2022	$50,001 - $100,000
70	Netease Inc	Sale	03/21/2022	$15,001 - $50,000
71	Nextera Energy Inc	Sale	03/21/2022	$15,001 - $50,000
72	Prudential Financial Inc	Sale	03/21/2022	$15,001 - $50,000
73	Palo Alto Networks Inc	Sale	03/21/2022	$50,001 - $100,000
74	Paypal Holdings Inc	Sale	03/21/2022	$1,001 - $15,000
75	Peloton Interactive Inc	Sale	03/21/2022	$1,001 - $15,000
76	Pfizer Inc	Sale	03/21/2022	$15,001 - $50,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
77	Qualcomm Inc	Sale	03/21/2022	$50,001 - $100,000
78	Restaurant Brands	Sale	03/21/2022	$15,001 - $50,000
79	Salesforce.Com Inc	Sale	03/21/2022	$15,001 - $50,000
80	Block Inc	Sale	03/21/2022	$15,001 - $50,000
81	Target Corp	Sale	03/21/2022	$50,001 - $100,000
82	Tesla Inc	Sale	03/21/2022	$15,001 - $50,000
83	Truist Financial Corporation	Sale	03/21/2022	$15,001 - $50,000
84	Texas Instruments Incorporated	Sale	03/21/2022	$15,001 - $50,000
85	Us Bancorp Del	Sale	03/21/2022	$15,001 - $50,000
86	Uber Technologies Inc	Sale	03/21/2022	$50,001 - $100,000
87	Union Pacific Corp	Sale	03/21/2022	$15,001 - $50,000
88	Zoominfo Technologies Inc	Sale	03/21/2022	$15,001 - $50,000
89	Ark Etf Trust	Sale	03/21/2022	$15,001 - $50,000
90	Apple Inc	Sale	03/21/2022	$50,001 - $100,000
91	Merck & Co Inc	Sale	03/21/2022	$15,001 - $50,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
92	Walgreen Boots Alliance Inc	Sale	03/21/2022	$50,001 - $100,000
93	Ark Etf Trust	Sale	02/28/2022	$1,001 - $15,000
94	Jpmorgan Chase & Co	Sale	02/28/2022	$1,001 - $15,000
95	Norfolk Southern Corp	Sale	02/28/2022	$1,001 - $15,000
96	Pepsico Inc	Sale	02/28/2022	$1,001 - $15,000
97	Target Corp	Sale	02/28/2022	$1,001 - $15,000
98	Walmart Inc	Sale	02/28/2022	$1,001 - $15,000
99	Booz Allen Hamilton Holding	Sale	03/22/2022	$15,001 - $50,000
100	Pepsico Inc	Sale	02/28/2022	$1,001 - $15,000
101	Boeing (BA)	Sale	03/18/2022	$250,001 - $500,000
102	Boeing (BA)	Sale	03/09/2022	$100,001 - $250,000
103	ICICI Bank Ltd (IBN)	Sale	03/28/2022	$15,001 - $50,000
104	Invesco QQQ Trust, Series 1 (QQQ)	Purchase	03/28/2022	$50,001 - $100,000
105	SPDR S&P 500 ETF (SPY)	Purchase	03/28/2022	$100,001 - $250,000
106	Invesco QQQ Trust, Series 1 (QQQ)	Purchase	03/21/2022	$100,001 - $250,000
107	SPDR S&P 500 ETF (SPY)	Purchase	03/21/2022	$100,001 - $250,000
108	Invesco QQQ Trust, Series 1 (QQQ)	Purchase	03/18/2022	$100,001 - $250,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
109	SPDR S&P 500 ETF (SPY)	Purchase	03/18/2022	$50,001 - $100,000
110	Dropbox (DBX)	Sale	02/28/2022	$1,001 - $15,000
111	Netgear (NTGR)	Sale	02/28/2022	$1,001 - $15,000
112	Shopify (SHOP)	Sale	02/28/2022	$1,001 - $15,000

8. Liabilities

#	CREDITOR NAME	TYPE	AMOUNT	YEAR INCURRED	RATE	TERM
1	Fidelity VISA	Credit Card	$10,001 - $15,000	2023	13.99	revolving
2	EdFinancial Services	Student Loan	$15,001 - $50,000	2003	0.75%	30 years
3	Nelnet	Student Loan	$15,001 - $50,000	2005	1.5%	30 years

9. Gifts and Travel Reimbursements

None

Endnotes

PART	#	ENDNOTE
2.	1	Mr. Phillips divested the stock distributed to him (value between $500,000 and $1,000,000 after taxes and at the time of filing) in March 2022.

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than $200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than $1,000 or (2) from which more than $200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is $0 - $200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding $5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than $5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than $1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than $200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than $1,000 or (2) from which more than $200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is $0 - $200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than $1,000 or (2) from which more than $200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of $5,000 or less (unless more than $200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is $0 - $200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of $1,000 made on behalf of the filer, the filer's spouse or dependent child during the reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over $10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed $10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than $415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than $415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of $166 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

Public Burden Information

This collection of information is estimated to take an average of ten hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE), Suite 500, 1201 New York Avenue, N.W., Washington, DC 20005-3917.

Pursuant to the Paperwork Reduction Act, as amended, an agency may not conduct or sponsor, and no person is required to respond to, a collection of information unless it displays a currently valid OMB control number (that number, 3209-0001, is displayed here and at the top of the first page of this OGE Form 278e).