

Report Type: Termination Report

Year (Annual Report only):

Date of Appointment: 01/2021

Date of Termination: 01/2025

Appointment Type: PAS

Executive Branch Personnel Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

Petsonk, Carol

Assistant Secretary, Office of Aviation and International Affairs, Department of Transportation

Date of Termination: 01/20/2025

Other Federal Government Positions Held During the Preceding 12 Months:

None

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Petsonk, Carol [electronically signed on 01/17/2025 by Petsonk, Carol in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Kaleta, Judith, Certifying Official [electronically signed on 04/17/2025 by Kaleta, Judith in Integrity.gov]

Other review conducted by
/s/ Constantine, Peter, Ethics Official [electronically signed on 02/02/2025 by Constantine, Peter in Integrity.gov]

U.S. Office of Government Ethics Certification
/s/ Granahan, Megan, Certifying Official [electronically signed on 05/08/2025 by Granahan, Megan in Integrity.gov]

Data Revised 01/30/2025

Data Revised 01/17/2025

Comments of Reviewing Officials (public annotations):

PART	#	REFERENCE	COMMENT
N/A	N/A	General	(01/17/2025, Petsonk, Carol): The filer agreed to update the reported information if it changes before or on the indicated termination date.

1. Filer's Positions Held Outside United States Government

#	ORGANIZATION NAME	CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
1	2814 Pleasant Valley Properties, LLC	Altoona, Pennsylvania	Corporation	Managing Partner	11/2010	Present
2	Family Trust #2	Altoona, Pennsylvania	Trust	Co-Trustee	12/1996	Present
3	Family Trust #3	Altoona, Pennsylvania	Trust	Co-Trustee	6/2011	Present

2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	IRA#1	No			
1.1	Vanguard Total Stock Market Index Fund Admiral Shares (VTSAX)	Yes	\$250,001 - \$500,000		None (or less than \$201)
1.2	BlackRock U.S. Government Bond Portfolio Investor A Shares (CIGAX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
2	IRA#2	No			
2.1	Vanguard Total Stock Market Index Fund Admiral Shares (VTSAX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
3	IRA#3	No			
3.1	Cash (U.S. Bank)	N/A	\$15,001 - \$50,000		None (or less than \$201)
4	Environmental Defense Fund, Inc. 403(b) plan	No			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
4.1	Lord Abbett Short Duration Income Fund Class R6 (LDLVX)	Yes	\$1,000,001 - \$5,000,000		None (or less than \$201)
4.2	Fidelity 500 Index Fund (FXAIX)	Yes	\$250,001 - \$500,000		None (or less than \$201)
4.3	Fidelity Mid Cap Index Fund (FSMDX)	Yes	\$250,001 - \$500,000		None (or less than \$201)
4.4	Fidelity Small Cap Index Fund (FSSNX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
5	Inherited IRA	No		Cash distributions.	\$1,098
5.1	AB Income Fund Advisor Class Shares (ACGYX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
5.2	Lord Abbett Growth Leaders Fund Class A Shares (LGLAX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
5.3	Putnam Master Intermediate Income Trust (PIM)	Yes	\$1,001 - \$15,000		None (or less than \$201)

3. Filer's Employment Agreements and Arrangements

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
1	Environmental Defense Fund, Inc.	New York, New York	I have continued to participate in this defined contribution plan, but the plan sponsor no longer makes contributions.	3/1995

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

(N/A) - Not required for this type of report

5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Spouse IRA #1	No			
1.1	ASML Holding N.V. New York Registry Shares (ASML)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.2	IHS Holding Limited Ordinary Shares (IHS)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.3	Inhibrx Inc. Common Stock (INBX)	N/A	\$15,001 - \$50,000		None (or less than \$201)
1.4	Sea Limited American Depositary Shares each representing one Class A Ordinary Share (SE)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.5	Viridian Therapeutics Inc. Common Stock (VRDN)	N/A	\$50,001 - \$100,000		None (or less than \$201)
1.6	First Solar, Inc. (FSLR)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.7	Marvell Technology Group Ltd. (MRVL)	N/A	\$1,001 - \$15,000		None (or less than \$201)
1.8	Micron Technology, Inc. (MU)	N/A	\$15,001 - \$50,000		None (or less than \$201)
2	Spouse IRA #2	No			
2.1	PRIMECAP Odyssey Growth Fund (POGRX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
2.2	Xenon Pharmaceuticals Inc. (XENE)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.3	First Solar, Inc. (FSLR)	N/A	\$50,001 - \$100,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
2.4	Inhibrx Inc. Common Stock (INBX)	N/A	\$15,001 - \$50,000		None (or less than \$201)
2.5	Viridian Therapeutics Inc. Common Stock (VRDN)	N/A	\$50,001 - \$100,000		None (or less than \$201)
3	Spouse IRA #3	No		Cash distributions.	\$17,723
3.1	Vanguard Capital Opportunity Fund Investor Shares (VHCOX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
3.2	Vanguard PRIMECAP Fund Investor Shares (VPMCX)	See Endnote	Yes	\$15,001 - \$50,000	None (or less than \$201)
3.3	ASML Holding N.V. New York Registry Shares (ASML)	N/A	\$250,001 - \$500,000		None (or less than \$201)
3.4	Baozun Inc. (BZUN)	N/A	\$1,001 - \$15,000		None (or less than \$201)
3.5	JD.com Inc. American Depositary Shares (JD)	N/A	\$15,001 - \$50,000		None (or less than \$201)
3.6	Inhibrx Inc. (INBX)	N/A	\$15,001 - \$50,000		None (or less than \$201)
3.7	Vanguard Health Care Fund Admiral Shares (VGHAX)	Yes	\$50,001 - \$100,000		None (or less than \$201)
3.8	AstraZeneca PLC American Depositary Shares (AZN)	N/A	\$15,001 - \$50,000		None (or less than \$201)
3.9	PagSeguro Digital Ltd. Class A Common Shares (PAGS)	N/A	\$50,001 - \$100,000		None (or less than \$201)
3.10	First Solar, Inc. (FSLR)	N/A	\$15,001 - \$50,000		None (or less than \$201)
3.11	Marvell Technology Group Ltd. (MRVL)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3.12	Viridian Therapeutics Inc. Common Stock (VRDN)	N/A	\$1,001 - \$15,000		None (or less than \$201)
4	Spouse IRA #4	No		Cash distributions.	\$38,537
4.1	AstraZeneca PLC American Depositary Shares (AZN)	N/A	\$15,001 - \$50,000		None (or less than \$201)
4.2	Fidelity Software and IT Services Portfolio (FSCSX)	Yes	\$50,001 - \$100,000		None (or less than \$201)
4.3	Fidelity Health Care Portfolio (FSPHX)	Yes	\$50,001 - \$100,000		None (or less than \$201)
4.4	Inhibrx Inc. (INBX)	N/A	\$100,001 - \$250,000		None (or less than \$201)
4.5	First Solar, Inc. (FSLR)	N/A	\$100,001 - \$250,000		None (or less than \$201)
4.6	IHS Holding Limited Ordinary Shares (IHS)	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.7	Sea Limited American Depositary Shares each representing one Class A Ordinary Share (SE)	N/A	\$15,001 - \$50,000		None (or less than \$201)
4.8	ASML Holding N.V. New York Registry Shares (ASML)	N/A	\$15,001 - \$50,000		None (or less than \$201)
4.9	Bristol-Myers Squibb (BMY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.10	Marvell Technology Group Ltd. (MRVL)	N/A	\$100,001 - \$250,000		None (or less than \$201)
4.11	Micron Technology, Inc. (MU)	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.12	PagSeguro Digital Ltd. Class A Common Shares (PAGS)	N/A	\$50,001 - \$100,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
4.13	Advanced Micro Devices, Inc. (AMD)	N/A	\$50,001 - \$100,000		None (or less than \$201)

6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	U.S. Bank #2 (cash) (credit union account)	N/A	\$15,001 - \$50,000		None (or less than \$201)
2	Office Depot, Inc. (ODP)	N/A	\$1,001 - \$15,000	Dividends	None (or less than \$201)
3	Janus Henderson Overseas Fund Class D Shares (JNOSX)	Yes	\$100,001 - \$250,000		\$1,001 - \$2,500
4	Brokerage Account #1	No			
4.1	Inhibrx (INBX)	N/A	\$500,001 - \$1,000,000	Capital Gains	\$100,001 - \$1,000,000
4.2	HDFC Bank (HDB)	N/A	\$100,001 - \$250,000	Capital Gains Dividends	\$15,001 - \$50,000
4.3	JD.com (JD)	N/A	\$15,001 - \$50,000		None (or less than \$201)
4.4	Bristol-Myers Squibb (BMY)	N/A	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
4.5	Amgen Inc. (AMGN)	N/A	\$100,001 - \$250,000	Capital Gains Dividends	\$2,501 - \$5,000
4.6	Charter Communications, Inc. (CHTR)	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.7	Cigna Corp. (CI)	N/A	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
4.8	Corteva, Inc. (CTVA)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
4.9	Advanced Micro Devices, Inc. (AMD)	N/A	\$100,001 - \$250,000		None (or less than \$201)
4.10	Donnelley Financial Solutions, Inc. (DFIN)	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.11	Duke Energy Corp. (DUK)	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.12	The Home Depot, Inc. (HD)	N/A	\$15,001 - \$50,000	Capital Gains Dividends	\$15,001 - \$50,000
4.13	International Business Machines Corp. (IBM)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
4.14	IDACORP, Inc. (IDA)	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.15	Intel Corp. (INTC)	N/A	\$50,001 - \$100,000		None (or less than \$201)
4.16	JPMorgan Chase & Co. (JPM)	N/A	\$50,001 - \$100,000	Dividends	\$201 - \$1,000
4.17	Kadant, Inc. (KAI)	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.18	International Paper Co. (IP)	N/A	\$1,001 - \$15,000	Capital Gains Dividends	\$201 - \$1,000
4.19	Microsoft Corp. (MSFT)	N/A	Over \$1,000,000	Dividends	\$5,001 - \$15,000
4.20	Nutrien (NTR)	N/A	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
4.21	Oracle Corp. (ORCL)	N/A	\$50,001 - \$100,000	Dividends	\$201 - \$1,000
4.22	Pagseguro Digital LTD (PAGS)	N/A	\$100,001 - \$250,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
4.23	Koninklijke Philips NV (PHG)	N/A	\$15,001 - \$50,000		None (or less than \$201)
4.24	VanEck Vectors Rare Earth/Strategic Metals ETF (REMX)	Yes	\$50,001 - \$100,000		None (or less than \$201)
4.25	GE Vernova (GEV)	See Endnote	\$1,001 - \$15,000		None (or less than \$201)
4.26	Stone Co. LTD CL A (STNE)	N/A	\$250,001 - \$500,000		None (or less than \$201)
4.27	Thermo Fisher Scientific, Inc. (TMO)	N/A	Over \$1,000,000	Dividends	\$2,501 - \$5,000
4.28	TRI Pointe Homes, Inc. (TPH)	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.29	Marriott Vacations Worldwide Corp. (VAC)	N/A	\$15,001 - \$50,000	Capital Gains Dividends	\$2,501 - \$5,000
4.30	Verizon Communications, Inc. (VZ)	N/A	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
4.31	US brokerage (cash)	N/A	\$15,001 - \$50,000		None (or less than \$201)
4.32	Pubmatic, Inc.	N/A	\$15,001 - \$50,000		None (or less than \$201)
4.33	Merck & Co., Inc. (MRK)	N/A	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
4.34	Organon & Co. (OGN)	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.35	Global Payments, Inc. (GPN)	N/A	\$50,001 - \$100,000	Capital Gains Dividends	\$1,001 - \$2,500
4.36	American International Group, Inc. (AIG)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
4.37	Marvell Technology Group Ltd. (MRVL)	N/A	\$500,001 - \$1,000,000	Dividends	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
4.38	Berkshire Hathaway Inc. (BRKB)	N/A	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
4.39	Micron Technology, Inc. (MU)	N/A	\$50,001 - \$100,000		None (or less than \$201)
4.40	Comcast Corp. (CMCSA)	N/A	\$15,001 - \$50,000	Capital Gains Dividends	\$201 - \$1,000
4.41	Edwards Lifesciences Corp. (EW)	N/A	\$15,001 - \$50,000		None (or less than \$201)
4.42	General Electric Co. (GE)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
4.43	GSK plc American Depositary Shares (Each representing two Ordinary Shares) (GSK)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
4.44	Haleon plc American Depositary Shares (Each representing two Ordinary Shares) (HLN)	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.45	Sea Limited American Depositary Shares each representing one Class A Ordinary Share (SE)	N/A	\$15,001 - \$50,000		None (or less than \$201)
4.46	Howmet Aerospace Inc. Common Stock (HWM)	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.47	Kyndryl Holdings Inc. Common Stock (KD)	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.48	NVIDIA Corp. (NVDA)	N/A	\$500,001 - \$1,000,000		None (or less than \$201)
4.49	Pitney Bowes, Inc. (PBI)	N/A	\$1,001 - \$15,000	Capital Gains Dividends	\$201 - \$1,000
4.50	Meta Platforms Inc. Class A Common Stock (META)	N/A	\$500,001 - \$1,000,000		None (or less than \$201)
4.51	Sony Group Corporation American Depositary Shares (SONY)	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
4.52	SPX Corp. (SPXC)	N/A	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
4.53	Invesco QQQ Trust, Series 1 (QQQ)	Yes	\$100,001 - \$250,000		\$201 - \$1,000
4.54	Takeda Pharmaceutical Company Limited American Depositary Shares (each representing 1/2 of a share of Common Stock) (TAK)	N/A	\$1,001 - \$15,000		None (or less than \$201)
4.55	Tennant Co. (TNC)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$15,001 - \$50,000
4.56	Volvo American Depositary Shares (VOLVF)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$5,001 - \$15,000
4.57	Vanguard Total Stock Market Index Fund ETF Class Shares (VTI)	Yes	\$500,001 - \$1,000,000		\$5,001 - \$15,000
4.58	Vanguard Tax-Exempt Bond Index Fund ETF Shares (VTEB)	Yes	None (or less than \$1,001)		\$5,001 - \$15,000
4.59	CUSIP 64971WTU4 NEW YORK NY CITY TFA REV SUB BDS 2015 SER E-1 OID APR15 3.000% FEB01 2029	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
4.60	CUSIP 64971WTS9 NEW YORK NY CITY TFA REV SUB BDS 2015 SER E-1 OID APR15 3.250% FEB01 2032	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
4.61	CUSIP 64966LKX8 NEW YORK NY GO BDS 2014 SER I-1 OID MAR14 4.000% MAR01 2033	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
4.62	Xenon Pharmaceuticals Inc. Common Shares (XENE)	N/A	\$50,001 - \$100,000		None (or less than \$201)
4.63	AstraZeneca PLC American Depositary Shares (AZN)	N/A	\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000
4.64	Pfizer Inc. (PFE)	N/A	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
4.65	ICICI Bank Limited Common Stock (IBN)	N/A	\$500,001 - \$1,000,000	Capital Gains Dividends	\$5,001 - \$15,000
4.66	Viridian Therapeutics Inc. Common Stock (VRDN)	N/A	\$250,001 - \$500,000		None (or less than \$201)
4.67	ASML Holding N.V. New York Registry Shares (ASML)	N/A	\$500,001 - \$1,000,000	Dividends	\$2,501 - \$5,000
4.68	First Solar, Inc. (FSLR)	N/A	\$500,001 - \$1,000,000		None (or less than \$201)
4.69	ADMA Biologics, Inc. (ADMA)	N/A	\$500,001 - \$1,000,000		None (or less than \$201)
5	Brokerage Account #2	No			
5.1	iShares MSCI Taiwan ETF (EWT)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
5.2	Fidelity Government Money Market Fund (SPAXX)	Yes	\$100,001 - \$250,000		\$2,501 - \$5,000
5.3	ADMA Biologics, Inc. (ADMA)	N/A	\$100,001 - \$250,000		None (or less than \$201)
5.4	Intel Corp. (INTC)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
5.5	PagSeguro Digital Ltd. Class A Common Shares (PAGS)	N/A	\$15,001 - \$50,000		None (or less than \$201)
5.6	Merck & Co., Inc. (MRK)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
5.7	Global Payments, Inc. (GPN)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
5.8	Vanguard Tax-Exempt Bond Index Fund ETF Shares (VTEB)	Yes	None (or less than \$1,001)		\$1,001 - \$2,500
5.9	Viridian Therapeutics Inc. Common Stock (VRDN)	N/A	\$100,001 - \$250,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
5.10	ASML Holding N.V. New York Registry Shares (ASML)	N/A	\$250,001 - \$500,000	Dividends	\$1,001 - \$2,500
5.11	First Solar, Inc. (FSLR)	N/A	\$50,001 - \$100,000		None (or less than \$201)
5.12	Fidelity National Information Services, Inc. (FIS)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.13	Inhibrx Inc. Common Stock (INBX)	N/A	\$50,001 - \$100,000		None (or less than \$201)
5.14	Amgen Inc. (AMGN)	N/A	\$50,001 - \$100,000	Dividends	\$1,001 - \$2,500
5.15	AstraZeneca PLC American Depositary Shares (AZN)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.16	Meta Platforms Inc. Class A Common Stock (META)	N/A	\$100,001 - \$250,000	Dividends	\$201 - \$1,000
5.17	Marvell Technology Group Ltd. (MRVL)	N/A	\$100,001 - \$250,000	Dividends	\$201 - \$1,000
5.18	United States Treasury Series AL-2025 1.75000% 03/15/2025 NTS Mar 15 2025	N/A	\$500,001 - \$1,000,000	Interest	\$15,001 - \$50,000
5.19	NVIDIA Corp. (NVDA)	N/A	\$100,001 - \$250,000		None (or less than \$201)
6	Brokerage Account #3	No			
6.1	Vanguard Intermediate-Term Tax-Exempt Fund Admiral Shares (VWIUX)	Yes	None (or less than \$1,001)		\$2,501 - \$5,000
6.2	BGC Group (BGC)	See Endnote	\$15,001 - \$50,000		None (or less than \$201)
6.3	ICICI Bank Stock (IBN)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
6.4	ADMA Biologics, Inc. (ADMA)	N/A	\$15,001 - \$50,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
6.5	PagSeguro Digital Ltd. Class A Common Shares (PAGS)	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.6	PubMatic Inc. Class A Common Stock (PUBM)	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.7	ASML Holding N.V. New York Registry Shares (ASML)	N/A	\$100,001 - \$250,000	Dividends	\$201 - \$1,000
6.8	Vanguard Long-Term Tax-Exempt Fund Admiral Shares (VWLUX)	Yes	None (or less than \$1,001)		\$1,001 - \$2,500
6.9	U.S. Brokerage Account (Cash)	N/A	\$1,001 - \$15,000		\$201 - \$1,000
6.10	Inhibrx Inc. Common Stock (INBX)	N/A	\$50,001 - \$100,000		None (or less than \$201)
6.11	Baird Strategic Municipal Bond Fund Investor Class Shares (BSNSX)	Yes	\$250,001 - \$500,000		\$2,501 - \$5,000
6.12	AstraZeneca PLC American Depositary Shares (AZN)	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.13	First Solar, Inc. (FSLR)	N/A	\$1,001 - \$15,000		None (or less than \$201)
6.14	Marvell Technology Group Ltd. (MRVL)	N/A	\$100,001 - \$250,000	Dividends	\$201 - \$1,000
6.15	StoneCo Ltd. Class A Common Shares (STNE)	N/A	\$50,001 - \$100,000		None (or less than \$201)
7	Brokerage Account #4	No			
7.1	CUSIP 64966MGY9 NEW YORK CITY GEN OBLIG FI	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
7.2	CUSIP 64966LKW0 NEW YORK GENL OBLIG SER I-1	See Endnote	None (or less than \$1,001)	Interest	\$201 - \$1,000
7.3	CUSIP 64987BQV3 NEW YORK ST HSG FIN AGY AF	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
7.4	CUSIP 64987BKW7 NEW YORK ST HSG FIN AGY AFFO RE	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
7.5	CUSIP 64987BJW9 NEW YORK ST HSG FIN AGY REV-A	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
7.6	CUSIP 64988RHM7 NEW YORK ST MTG AGY FI	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
7.7	CUSIP 6498832H7 NEW YORK ST MTG AGY HOMEOWNER MT	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
7.8	CUSIP 6498832C8 NEW YORK ST MTG AGY HOMEOWNER MT	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
7.9	CUSIP 650009P64 NEW YORK ST TWY AUTH GEN REV	N/A	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500
7.10	U.S. Brokerage Account (Cash),	N/A	\$15,001 - \$50,000		None (or less than \$201)
7.11	New York NY City Mun Wtr Fin Auth Wtr OID 4.125% matures 6/15/2047	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
8	Brokerage Account #5	No			
8.1	Broadcom, Inc. (AVGO)	N/A	\$500,001 - \$1,000,000	Dividends	\$5,001 - \$15,000
8.2	QUALCOMM, Inc. (QCOM)	N/A	\$15,001 - \$50,000	Dividends	\$1,001 - \$2,500
8.3	ADMA Biologics, Inc. (ADMA)	N/A	\$500,001 - \$1,000,000		None (or less than \$201)
8.4	Wells Fargo & Co. (WFC)	N/A	\$100,001 - \$250,000	Dividends	\$2,501 - \$5,000
8.5	Xenon (XENE)	N/A	\$250,001 - \$500,000		None (or less than \$201)
8.6	AstraZeneca (AZN)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
8.7	HDFC Bank Limited Common Stock (HDB)	N/A	\$250,001 - \$500,000		\$2,501 - \$5,000
8.8	JD.com Inc. American Depositary Shares (JD)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
8.9	ICICI Bank Limited Common Stock (IBN)	N/A	\$100,001 - \$250,000	Dividends	\$1,001 - \$2,500
8.10	PubMatic Inc. Class A Common Stock (PUBM)	N/A	\$1,001 - \$15,000		None (or less than \$201)
8.11	Global Payments, Inc. (GPN)	N/A	\$1,001 - \$15,000		None (or less than \$201)
8.12	Vanguard Tax-Exempt Bond Index Fund ETF Shares (VTEB)	Yes	\$1,001 - \$15,000		None (or less than \$201)
8.13	ASML Holding N.V. New York Registry Shares (ASML)	N/A	\$100,001 - \$250,000	Dividends	\$201 - \$1,000
8.14	First Solar, Inc. (FSLR)	N/A	\$1,001 - \$15,000		None (or less than \$201)
8.15	Inhibrx Inc. Common Stock (INBX)	N/A	\$50,001 - \$100,000	Capital Gains	\$100,001 - \$1,000,000
8.16	Bristol-Myers Squibb (BMY)	N/A	\$1,001 - \$15,000		None (or less than \$201)
8.17	Advanced Micro Devices, Inc. (AMD)	N/A	\$1,001 - \$15,000		None (or less than \$201)
8.18	Meta Platforms Inc. Class A Common Stock (META)	N/A	\$50,001 - \$100,000	Dividends	\$201 - \$1,000
8.19	Marvell Technology Group Ltd. (MRVL)	N/A	\$250,001 - \$500,000	Dividends	\$201 - \$1,000
8.20	Vanguard 500 Index Fund ETF Shares (VOO)	N/A	\$100,001 - \$250,000	Dividends	\$1,001 - \$2,500
9	DC College Savings Program (Spouse)	No			

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
9.1	DC 529 Fund 1013 U.S. Total Stock Market Index Portfolio		Yes	\$15,001 - \$50,000		None (or less than \$201)
9.2	Principal Protected Portfolio		Yes	\$15,001 - \$50,000		None (or less than \$201)
10	N.W. Trust		No			
10.1	Vanguard STAR Fund Investor Shares (VGSTX)		Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
10.2	Vanguard International Growth Fund Admiral Shares (VWILX)		Yes	\$50,001 - \$100,000		\$5,001 - \$15,000
10.3	Vanguard Intermediate-Term Investment-Grade Fund Investor Shares (VFICX)	See Endnote	Yes	\$15,001 - \$50,000		\$201 - \$1,000
10.4	Vanguard Emerging Markets Stock Index Fund Admiral Class Shares (VEMAX)		Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
10.5	Vanguard Capital Opportunity Fund Admiral Shares (VHCAX)		Yes	\$250,001 - \$500,000		\$15,001 - \$50,000
10.6	Vanguard PrimeCap Core Fund Investor Shares (VPCCX)		Yes	\$250,001 - \$500,000		\$15,001 - \$50,000
10.7	Vanguard Total Stock Market Index Fund Admiral Class Shares (VTSAX)		Yes	\$100,001 - \$250,000		\$201 - \$1,000
10.8	Vanguard Health Care Fund Investor Shares (VGHGX)		Yes	\$15,001 - \$50,000		\$201 - \$1,000
10.9	Vanguard International Value Fund Investor Shares (VTRIX)		Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
10.10	Vanguard Windsor II Fund Admiral Shares (VWNAX)		Yes	\$50,001 - \$100,000		\$5,001 - \$15,000
10.11	Vanguard Federal Money Market Fund Investor Shares (VMFXX)		Yes	\$15,001 - \$50,000		\$201 - \$1,000
10.12	Vanguard Intermediate-Term Tax-Exempt Fund Investor Shares (VWITX)		Yes	\$15,001 - \$50,000		\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
10.13	Vanguard Small-Cap Growth Index Fund Admiral Class Shares (VSGAX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
10.14	Vanguard 500 Index Fund Admiral Shares (VFIAX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
11	Defective grantor trust for N.W.	No			
11.1	78% interest in commercial property in Altoona, PA	N/A	\$250,001 - \$500,000	Rent or Royalties	\$5,001 - \$15,000
11.2	M&T Bank and Remax Realty Management Accounty	N/A	\$50,001 - \$100,000		None (or less than \$201)
12	Brokerage Account #6	No			
12.1	Vanguard Capital Opportunity Fund Admiral Shares (VHCAX)	Yes	\$100,001 - \$250,000		\$5,001 - \$15,000
12.2	Vanguard Intermediate-Term Tax-Exempt Fund Admiral Shares (VWIUX)	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
12.3	Vanguard PRIMECAP Fund Investor Shares (VPMCX)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
12.4	Vanguard Total Stock Market Index Fund Admiral Class Shares (VTSAX)	Yes	\$50,001 - \$100,000		\$201 - \$1,000
13	Brokerage Account #7	No			
13.1	GeorgePutnam Balanced Fund Class A Shares (PGEOX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
13.2	Nuveen Intermediate Duration Municipal Bond Fund Class I Shares (NUVBX)	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
13.3	Putnam Strategic Intermediate Municipal Fund (PPNAX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
13.4	Allspring Omega Growth Fund (EKOAX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
13.5	M&T Bank Corp. (MTB)	N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
13.6	W.P. Carey, Inc. (WPC)	N/A	\$15,001 - \$50,000	Dividends	\$2,501 - \$5,000
13.7	Net Lease Office Properties (NLOP)	N/A	\$1,001 - \$15,000		None (or less than \$201)
14	Brokerage Account #8	No			
14.1	Tri-Continental Corporation (TY)	N/A	\$100,001 - \$250,000		\$5,001 - \$15,000
15	10% interest in JALC-DD Joint Venture	No			
15.1	Commercial property, Wye Switches, PA	N/A	\$15,001 - \$50,000	Rent or Royalties	\$5,001 - \$15,000
16	22% share of 2814 Pleasant Valley Properties, LLC	No			
16.1	U.S. Bank #1 (cash) (property management account)	N/A	\$15,001 - \$50,000		None (or less than \$201)
16.2	Commercial real estate, Altoona, PA	N/A	\$100,001 - \$250,000	Rent or Royalties	\$2,501 - \$5,000
17	NY College Savings Program	No			
17.1	Growth Stock Index Portfolio	Yes	\$100,001 - \$250,000		None (or less than \$201)
17.2	Small-Cap Index Portfolio	Yes	\$100,001 - \$250,000		None (or less than \$201)
17.3	Value Stock Index Portfolio	Yes	\$50,001 - \$100,000		None (or less than \$201)
17.4	Interest Accumulation Portfolio	Yes	\$100,001 - \$250,000		None (or less than \$201)
18	DC College Savings Program	No			

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
18.1	U.S. Total Stock Market Index Portfolio	Yes	\$1,001 - \$15,000		None (or less than \$201)

7. Transactions

#	DESCRIPTION	TYPE	DATE	AMOUNT
1	ADMA Biologics, Inc. (ADMA)	Sale	01/05/2024	\$15,001 - \$50,000
2	Inhibrx Inc. Common Stock (INBX)	Sale	01/05/2024	\$15,001 - \$50,000
3	The Home Depot, Inc. (HD)	Sale	01/22/2024	\$15,001 - \$50,000
4	First Solar, Inc. (FSLR)	Purchase	01/22/2024	\$15,001 - \$50,000
5	First Solar, Inc. (FSLR)	Purchase	01/23/2024	\$50,001 - \$100,000
6	Inhibrx Inc. Common Stock (INBX)	Purchase	01/24/2024	\$15,001 - \$50,000
7	The Home Depot, Inc. (HD)	Sale	01/24/2024	\$50,001 - \$100,000
8	First Solar, Inc. (FSLR)	Purchase	01/24/2024	\$50,001 - \$100,000
9	The Home Depot, Inc. (HD)	Sale	01/24/2024	\$50,001 - \$100,000
10	Inhibrx Inc. Common Stock (INBX)	Purchase	01/25/2024	\$15,001 - \$50,000
11	The Home Depot, Inc. (HD)	Sale	01/25/2024	\$15,001 - \$50,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
12	First Solar, Inc. (FSLR)	Purchase	01/26/2024	\$15,001 - \$50,000
13	The Home Depot, Inc. (HD)	Sale	01/26/2024	\$15,001 - \$50,000
14	CVS Health Corp. (CVS)	See Endnote	02/01/2024	\$1,001 - \$15,000
15	First Solar, Inc. (FSLR)	Purchase	02/02/2024	\$15,001 - \$50,000
16	Baxter International, Inc. (BAX)	Sale	02/02/2024	\$15,001 - \$50,000
17	First Solar, Inc. (FSLR)	Purchase	02/05/2024	\$15,001 - \$50,000
18	Tennant Co. (TNC)	Sale	02/05/2024	\$50,001 - \$100,000
19	Volvo ADR (VOLVF)	Sale	02/26/2024	\$15,001 - \$50,000
20	First Solar, Inc. (FSLR)	Purchase	02/26/2024	\$15,001 - \$50,000
21	ICICI Bank Limited Common Stock (IBN)	Sale	02/26/2024	\$15,001 - \$50,000
22	Altimune, Inc. (ALT)	Sale	02/28/2024	\$1,001 - \$15,000
23	Fidelity National Information Services, Inc. (FIS)	Sale	03/04/2024	\$1,001 - \$15,000
24	Viridian Therapeutics Inc. Common Stock (VRDN)	Purchase	03/04/2024	\$1,001 - \$15,000
25	Standard Chartered Bank (STAN.L)an	Sale	03/04/2024	\$15,001 - \$50,000
26	Inhibrx Inc. Common Stock (INBX)	Purchase	03/04/2024	\$15,001 - \$50,000

#	DESCRIPTION		TYPE	DATE	AMOUNT
27	AstraZeneca PLC American Depositary Shares (AZN)		Purchase	03/04/2024	\$1,001 - \$15,000
28	Standard Chartered Bank (STAN,L)		Sale	03/04/2024	\$15,001 - \$50,000
29	Inhibrx Inc. Common Stock (INBX)		Purchase	03/04/2024	\$15,001 - \$50,000
30	Viridian Therapeutics Inc. Common Stock (VRDN)		Purchase	03/05/2024	\$1,001 - \$15,000
31	Intel Corp. (INTC)		Purchase	03/11/2024	\$15,001 - \$50,000
32	Fidelity National Information Services, Inc. (FIS)		Sale	03/11/2024	\$1,001 - \$15,000
33	Intel Corp. (INTC)		Purchase	03/11/2024	\$15,001 - \$50,000
34	Fidelity National Information Services, Inc. (FIS)		Sale	03/11/2024	\$15,001 - \$50,000
35	Inhibrx Inc. Common Stock (INBX)		Purchase	03/12/2024	\$15,001 - \$50,000
36	Microsoft Corp. (MSFT)	See Endnote	Purchase	03/14/2024	\$1,001 - \$15,000
37	Inhibrx Inc. Common Stock (INBX)		Purchase	03/25/2024	\$15,001 - \$50,000
38	AstraZeneca PLC American Depositary Shares (AZN)	See Endnote	Purchase	03/25/2024	\$1,001 - \$15,000
39	Inhibrx Inc. Common Stock (INBX)		Purchase	04/02/2024	\$15,001 - \$50,000
40	Inhibrx Inc. Common Stock (INBX)		Purchase	04/03/2024	\$1,001 - \$15,000
41	Inhibrx Inc. Common Stock (INBX)		Purchase	04/04/2024	\$15,001 - \$50,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
42	Inhibrx Inc. Common Stock (INBX)	Purchase	04/08/2024	\$15,001 - \$50,000
43	Inhibrx Inc. Common Stock (INBX)	Purchase	04/08/2024	\$1,001 - \$15,000
44	Inhibrx Inc. Common Stock (INBX)	Purchase	04/08/2024	\$1,001 - \$15,000
45	Viridian Therapeutics Inc. Common Stock (VRDN)	Purchase	04/12/2024	\$1,001 - \$15,000
46	Viridian Therapeutics Inc. Common Stock (VRDN)	Purchase	04/12/2024	\$1,001 - \$15,000
47	IHS Holding Limited Ordinary Shares (IHS)	Purchase	04/15/2024	\$15,001 - \$50,000
48	SPX Corp. (SPXC)	Sale	04/15/2024	\$15,001 - \$50,000
49	NVIDIA Corp. (NVDA)	Purchase	04/19/2024	\$15,001 - \$50,000
50	NVIDIA Corp. (NVDA)	Purchase	04/19/2024	\$15,001 - \$50,000
51	Global Payments, Inc. (GPN)	Sale	04/22/2024	\$1,001 - \$15,000
52	NVIDIA Corp. (NVDA)	Purchase	04/22/2024	\$15,001 - \$50,000
53	Global Payments, Inc. (GPN)	Sale	04/22/2024	\$1,001 - \$15,000
54	NVIDIA Corp. (NVDA)	Purchase	04/22/2024	\$15,001 - \$50,000
55	NVIDIA Corp. (NVDA)	Purchase	04/24/2024	\$15,001 - \$50,000
56	Viridian Therapeutics Inc. Common Stock (VRDN)	Purchase	04/24/2024	\$1,001 - \$15,000
57	NVIDIA Corp. (NVDA)	Purchase	04/24/2024	\$15,001 - \$50,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
58	NVIDIA Corp. (NVDA)	Purchase	04/25/2024	\$15,001 - \$50,000
59	Viridian Therapeutics Inc. Common Stock (VRDN)	Purchase	04/26/2024	\$1,001 - \$15,000
60	Viridian Therapeutics Inc. Common Stock (VRDN)	Purchase	04/26/2024	\$1,001 - \$15,000
61	Meta Platforms Inc. Class A Common Stock (META)	Purchase	04/29/2024	\$15,001 - \$50,000
62	Pitney Bowes, Inc. (PBI)	Sale	04/29/2024	\$1,001 - \$15,000
63	CVS Health Corp. (CVS)	Purchase	04/29/2024	\$50,001 - \$100,000
64	Berkshire Hathaway Inc. (BRKB)	Sale	04/29/2024	\$50,001 - \$100,000
65	Marriott Vacations Worldwide Corp. (VAC)	Sale	04/29/2024	\$15,001 - \$50,000
66	Volvo AB-B (VOLVF)	Sale	04/29/2024	\$1,001 - \$15,000
67	Meta Platforms Inc. Class A Common Stock (META)	Purchase	04/29/2024	\$50,001 - \$100,000
68	JD.com Inc. American Depositary Shares (JD) See Endnote	Purchase	04/29/2024	\$1,001 - \$15,000
69	Newmark Group, Inc. (NMRK)	Sale	04/29/2024	\$50,001 - \$100,000
70	Meta Platforms Inc. Class A Common Stock (META)	Purchase	04/29/2024	\$50,001 - \$100,000
71	Sony Group Corporation American Depositary Shares (SONY)	Sale	04/30/2024	\$15,001 - \$50,000
72	CVS Health Corp. (CVS) See Endnote	Purchase	05/01/2024	\$1,001 - \$15,000
73	NVIDIA Corp. (NVDA)	Purchase	05/02/2024	\$100,001 - \$250,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
74	Berkshire Hathaway Inc. (BRKB)	Sale	05/02/2024	\$1,001 - \$15,000
75	CVS Health Corp. (CVS)	Sale	05/02/2024	\$100,001 - \$250,000
76	JD.com Inc. American Depositary Shares (JD) See Endnote	Purchase	05/02/2024	\$1,001 - \$15,000
77	Amgen Inc. (AMGN)	Purchase	05/03/2024	\$15,001 - \$50,000
78	Amgen Inc. (AMGN)	Purchase	05/03/2024	\$50,001 - \$100,000
79	Berkshire Hathaway Inc. (BRKB)	Sale	05/03/2024	\$15,001 - \$50,000
80	Amgen Inc. (AMGN)	Purchase	05/03/2024	\$15,001 - \$50,000
81	Berkshire Hathaway Inc. (BRKB)	Sale	05/03/2024	\$1,001 - \$15,000
82	Amgen Inc. (AMGN)	Purchase	05/03/2024	\$15,001 - \$50,000
83	Comcast Corp. (CMCSA)	Sale	05/03/2024	\$15,001 - \$50,000
84	Viridian Therapeutics Inc. Common Stock (VRDN)	Purchase	05/09/2024	\$1,001 - \$15,000
85	IHS Holding Limited Ordinary Shares (IHS)	Sale	05/13/2024	\$15,001 - \$50,000
86	StoneCo Ltd. Class A Common Shares (STNE)	Purchase	05/13/2024	\$15,001 - \$50,000
87	IHS Holding Limited Ordinary Shares (IHS)	Sale	05/13/2024	\$15,001 - \$50,000
88	StoneCo Ltd. Class A Common Shares (STNE)	Purchase	05/13/2024	\$15,001 - \$50,000
89	IHS Holding Limited Ordinary Shares (IHS)	Sale	05/13/2024	\$15,001 - \$50,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
90	StoneCo Ltd. Class A Common Shares (STNE)	Purchase	05/13/2024	\$15,001 - \$50,000
91	IHS Holding Limited Ordinary Shares (IHS)	Sale	05/13/2024	\$15,001 - \$50,000
92	StoneCo Ltd. Class A Common Shares (STNE)	Purchase	05/13/2024	\$15,001 - \$50,000
93	IHS Holding Limited Ordinary Shares (IHS)	Sale	05/13/2024	\$15,001 - \$50,000
94	IHS Holding Limited Ordinary Shares (IHS)	Sale	05/13/2024	\$50,001 - \$100,000
95	StoneCo Ltd. Class A Common Shares (STNE)	Purchase	05/13/2024	\$15,001 - \$50,000
96	StoneCo Ltd. Class A Common Shares (STNE)	Purchase	05/13/2024	\$15,001 - \$50,000
97	StoneCo Ltd. Class A Common Shares (STNE)	Purchase	05/13/2024	\$15,001 - \$50,000
98	IHS Holding Limited Ordinary Shares (IHS)	Sale	05/13/2024	\$15,001 - \$50,000
99	StoneCo Ltd. Class A Common Shares (STNE)	Purchase	05/13/2024	\$15,001 - \$50,000
100	NVIDIA Corp. (NVDA)	Purchase	05/15/2024	\$50,001 - \$100,000
101	StoneCo Ltd. Class A Common Shares (STNE)	Purchase	05/15/2024	\$15,001 - \$50,000
102	StoneCo Ltd. Class A Common Shares (STNE)	Purchase	05/15/2024	\$15,001 - \$50,000
103	StoneCo Ltd. Class A Common Shares (STNE)	Purchase	05/16/2024	\$1,001 - \$15,000
104	NVIDIA Corp. (NVDA)	Purchase	05/17/2024	\$50,001 - \$100,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
105	StoneCo Ltd. Class A Common Shares (STNE)	Purchase	05/17/2024	\$15,001 - \$50,000
106	Viridian Therapeutics Inc. Common Stock (VRDN)	Purchase	05/20/2024	\$1,001 - \$15,000
107	Viridian Therapeutics Inc. Common Stock (VRDN)	Purchase	05/21/2024	\$1,001 - \$15,000
108	Viridian Therapeutics Inc. Common Stock (VRDN)	Purchase	05/23/2024	\$1,001 - \$15,000
109	StoneCo Ltd. Class A Common Shares (STNE)	Purchase	05/23/2024	\$1,001 - \$15,000
110	Meta Platforms Inc. Class A Common Stock (META)	Purchase	05/28/2024	\$15,001 - \$50,000
111	HDFC Bank Limited Common Stock (HDB)	Purchase	05/28/2024	\$100,001 - \$250,000
112	Viridian Therapeutics Inc. Common Stock (VRDN)	Purchase	05/28/2024	\$1,001 - \$15,000
113	Viridian Therapeutics Inc. Common Stock (VRDN)	Purchase	05/28/2024	\$1,001 - \$15,000
114	Meta Platforms Inc. Class A Common Stock (META)	Purchase	05/28/2024	\$15,001 - \$50,000
115	Meta Platforms Inc. Class A Common Stock (META)	Purchase	05/28/2024	\$15,001 - \$50,000
116	Meta Platforms Inc. Class A Common Stock (META)	Purchase	05/28/2024	\$15,001 - \$50,000
117	HDFC Bank Limited Common Stock (HDB)	Purchase	05/28/2024	\$100,001 - \$250,000
118	Meta Platforms Inc. Class A Common Stock (META)	Purchase	05/28/2024	\$15,001 - \$50,000
119	Intel Corp. (INTC)	Purchase	05/28/2024	\$15,001 - \$50,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
120	Sea Limited American Depositary Shares each representing one Class A Ordinary Share (SE)	Purchase	05/28/2024	\$15,001 - \$50,000
121	PagSeguro Digital Ltd. Class A Common Shares (PAGS)	Purchase	05/28/2024	\$1,001 - \$15,000
122	Meta Platforms Inc. Class A Common Stock (META)	Purchase	05/28/2024	\$15,001 - \$50,000
123	Global Payments, Inc. (GPN)	Purchase	05/28/2024	\$1,001 - \$15,000
124	Meta Platforms Inc. Class A Common Stock (META)	Purchase	05/28/2024	\$15,001 - \$50,000
125	HDFC Bank Limited Common Stock (HDB)	Purchase	05/28/2024	\$50,001 - \$100,000
126	HDFC Bank Limited Common Stock (HDB)	Purchase	05/29/2024	\$50,001 - \$100,000
127	HDFC Bank Limited Common Stock (HDB)	Purchase	05/29/2024	\$50,001 - \$100,000
128	HDFC Bank Limited Common Stock (HDB)	Purchase	05/29/2024	\$50,001 - \$100,000
129	HDFC Bank Limited Common Stock (HDB)	Purchase	05/29/2024	\$50,001 - \$100,000
130	HDFC Bank Limited Common Stock (HDB)	Purchase	05/29/2024	\$50,001 - \$100,000
131	Meta Platforms Inc. Class A Common Stock (META)	Purchase	05/29/2024	\$15,001 - \$50,000
132	Meta Platforms Inc. Class A Common Stock (META)	Purchase	05/29/2024	\$15,001 - \$50,000
133	Meta Platforms Inc. Class A Common Stock (META)	Purchase	05/29/2024	\$1,001 - \$15,000
134	Meta Platforms Inc. Class A Common Stock (META)	Purchase	05/29/2024	\$1,001 - \$15,000

#	DESCRIPTION		TYPE	DATE	AMOUNT
135	Meta Platforms Inc. Class A Common Stock (META)		Purchase	05/29/2024	\$15,001 - \$50,000
136	Amazon.com, Inc. (AMZN)		Purchase	05/29/2024	\$50,001 - \$100,000
137	Amazon.com, Inc. (AMZN)		Sale	05/30/2024	\$50,001 - \$100,000
138	Global Payments, Inc. (GPN)		Purchase	05/30/2024	\$1,001 - \$15,000
139	Intel Corp. (INTC)		Purchase	05/30/2024	\$15,001 - \$50,000
140	Viridian Therapeutics Inc. Common Stock (VRDN)		Purchase	05/30/2024	\$1,001 - \$15,000
141	HDFC Bank Limited Common Stock (HDB)		Purchase	05/30/2024	\$50,001 - \$100,000
142	Viridian Therapeutics Inc. Common Stock (VRDN)		Purchase	05/30/2024	\$1,001 - \$15,000
143	Viridian Therapeutics Inc. Common Stock (VRDN)		Purchase	05/30/2024	\$1,001 - \$15,000
144	Meta Platforms Inc. Class A Common Stock (META)		Purchase	05/29/2024	\$1,001 - \$15,000
145	Viridian Therapeutics Inc. Common Stock (VRDN)		Purchase	05/30/2024	\$1,001 - \$15,000
146	Inhibrx Inc. Common Stock (INBX)	See Endnote	Sale	05/31/2024	Over \$1,000,000
147	Inhibrx Inc. Common Stock (INBX)	See Endnote	Sale	05/31/2024	\$500,001 - \$1,000,000
148	Inhibrx Inc. Common Stock (INBX)	See Endnote	Sale	05/31/2024	\$500,001 - \$1,000,000
149	Inhibrx Inc. Common Stock (INBX)	See Endnote	Sale	05/31/2024	\$250,001 - \$500,000
150	Inhibrx Inc. Common Stock (INBX)	See Endnote	Sale	05/31/2024	\$1,001 - \$15,000

#	DESCRIPTION		TYPE	DATE	AMOUNT
151	Inhibrx Inc. Common Stock (INBX)	See Endnote	Sale	05/31/2024	\$50,001 - \$100,000
152	Inhibrx Inc. Common Stock (INBX)	See Endnote	Sale	05/31/2024	\$250,001 - \$500,000
153	Global Payments, Inc. (GPN)		Purchase	05/31/2024	\$1,001 - \$15,000
154	Advanced Micro Devices, Inc. (AMD)		Purchase	05/31/2024	\$50,001 - \$100,000
155	Viridian Therapeutics Inc. Common Stock (VRDN)		Purchase	05/31/2024	\$15,001 - \$50,000
156	Marvell Technology Group Ltd. (MRVL)		Purchase	05/31/2024	\$50,001 - \$100,000
157	Marvell Technology Group Ltd. (MRVL)		Purchase	05/31/2024	\$50,001 - \$100,000
158	Viridian Therapeutics Inc. Common Stock (VRDN)		Purchase	05/31/2024	\$1,001 - \$15,000
159	PagSeguro Digital Ltd. Class A Common Shares (PAGS)		Sale	06/03/2024	\$1,001 - \$15,000
160	PagSeguro Digital Ltd. Class A Common Shares (PAGS)		Sale	06/03/2024	\$1,001 - \$15,000
161	PagSeguro Digital Ltd. Class A Common Shares (PAGS)		Sale	06/03/2024	\$1,001 - \$15,000
162	PagSeguro Digital Ltd. Class A Common Shares (PAGS)		Sale	06/03/2024	\$1,001 - \$15,000
163	PagSeguro Digital Ltd. Class A Common Shares (PAGS)		Sale	06/03/2024	\$1,001 - \$15,000
164	PagSeguro Digital Ltd. Class A Common Shares (PAGS)		Sale	06/03/2024	\$1,001 - \$15,000
165	PagSeguro Digital Ltd. Class A Common Shares (PAGS)		Sale	06/03/2024	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
166	PagSeguro Digital Ltd. Class A Common Shares (PAGS)	Sale	06/03/2024	\$1,001 - \$15,000
167	PagSeguro Digital Ltd. Class A Common Shares (PAGS)	Sale	06/03/2024	\$1,001 - \$15,000
168	PagSeguro Digital Ltd. Class A Common Shares (PAGS)	Sale	06/03/2024	\$1,001 - \$15,000
169	Marvell Technology Group Ltd. (MRVL)	Purchase	06/03/2024	\$1,001 - \$15,000
170	PagSeguro Digital Ltd. Class A Common Shares (PAGS)	Purchase	06/03/2024	\$1,001 - \$15,000
171	PagSeguro Digital Ltd. Class A Common Shares (PAGS)	Purchase	06/03/2024	\$1,001 - \$15,000
172	PagSeguro Digital Ltd. Class A Common Shares (PAGS)	Purchase	06/03/2024	\$1,001 - \$15,000
173	PagSeguro Digital Ltd. Class A Common Shares (PAGS)	Purchase	06/03/2024	\$1,001 - \$15,000
174	PagSeguro Digital Ltd. Class A Common Shares (PAGS)	Purchase	06/03/2024	\$1,001 - \$15,000
175	United States Treasury Ser AL-2025 1.75000% 3/15/2025 CUSIP 91282CED9	Purchase	06/03/2024	\$250,001 - \$500,000
176	PagSeguro Digital Ltd. Class A Common Shares (PAGS)	Purchase	06/03/2024	\$1,001 - \$15,000
177	PagSeguro Digital Ltd. Class A Common Shares (PAGS)	Purchase	06/03/2024	\$1,001 - \$15,000
178	PagSeguro Digital Ltd. Class A Common Shares (PAGS)	Purchase	06/03/2024	\$1,001 - \$15,000
179	PagSeguro Digital Ltd. Class A Common Shares (PAGS)	Purchase	06/03/2024	\$1,001 - \$15,000
180	PagSeguro Digital Ltd. Class A Common Shares (PAGS)	Purchase	06/03/2024	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
181	PagSeguro Digital Ltd. Class A Common Shares (PAGS)	Purchase	06/03/2024	\$1,001 - \$15,000
182	PagSeguro Digital Ltd. Class A Common Shares (PAGS)	Purchase	06/03/2024	\$1,001 - \$15,000
183	PagSeguro Digital Ltd. Class A Common Shares (PAGS)	Purchase	06/03/2024	\$1,001 - \$15,000
184	PagSeguro Digital Ltd. Class A Common Shares (PAGS)	Purchase	06/03/2024	\$15,001 - \$50,000
185	Marvell Technology Group Ltd. (MRVL)	Purchase	06/03/2024	\$15,001 - \$50,000
186	Marvell Technology Group Ltd. (MRVL)	Purchase	06/03/2024	\$50,001 - \$100,000
187	PagSeguro Digital Ltd. Class A Common Shares (PAGS)	Sale	06/03/2024	\$15,001 - \$50,000
188	PagSeguro Digital Ltd. Class A Common Shares (PAGS)	Sale	06/03/2024	\$1,001 - \$15,000
189	PagSeguro Digital Ltd. Class A Common Shares (PAGS)	Sale	06/03/2024	\$1,001 - \$15,000
190	Marvell Technology Group Ltd. (MRVL)	Purchase	06/03/2024	\$50,001 - \$100,000
191	Marvell Technology Group Ltd. (MRVL)	Purchase	06/03/2024	\$50,001 - \$100,000
192	Marvell Technology Group Ltd. (MRVL)	Purchase	06/03/2024	\$1,001 - \$15,000
193	Marvell Technology Group Ltd. (MRVL)	Purchase	06/03/2024	\$50,001 - \$100,000
194	Marvell Technology Group Ltd. (MRVL)	Purchase	06/03/2024	\$50,001 - \$100,000
195	United States Treasury Ser AL-2025 1.75000% 3/15/2025 CUSIP 91282CED9	Purchase	06/04/2024	\$250,001 - \$500,000

#	DESCRIPTION		TYPE	DATE	AMOUNT
196	StoneCo Ltd. Class A Common Shares (STNE)		Purchase	06/04/2024	\$1,001 - \$15,000
197	Walgreens Boots Alliance, Inc. (WBA)		Sale	06/04/2024	\$1,001 - \$15,000
198	Wells Fargo & Co. (WFC)	See Endnote	Purchase	06/04/2024	\$1,001 - \$15,000
199	StoneCo Ltd. Class A Common Shares (STNE)		Purchase	06/05/2024	\$1,001 - \$15,000
200	Intel Corp. (INTC)		Purchase	06/06/2024	\$15,001 - \$50,000
201	PagSeguro Digital Ltd. Class A Common Shares (PAGS)		Purchase	06/13/2024	\$15,001 - \$50,000
202	Microsoft Corp. (MSFT)	See Endnote	Purchase	06/13/2024	\$1,001 - \$15,000
203	PagSeguro Digital Ltd. Class A Common Shares (PAGS)		Sale	06/17/2024	\$15,001 - \$50,000
204	Marvell Technology Group Ltd. (MRVL)		Purchase	06/17/2024	\$15,001 - \$50,000
205	PagSeguro Digital Ltd. Class A Common Shares (PAGS)		Purchase	06/24/2024	\$1,001 - \$15,000
206	PagSeguro Digital Ltd. Class A Common Shares (PAGS)		Sale	06/24/2024	\$1,001 - \$15,000
207	PagSeguro Digital Ltd. Class A Common Shares (PAGS)		Purchase	06/24/2024	\$1,001 - \$15,000
208	PagSeguro Digital Ltd. Class A Common Shares (PAGS)		Sale	06/24/2024	\$1,001 - \$15,000
209	Marvell Technology Group Ltd. (MRVL)		Purchase	06/24/2024	\$1,001 - \$15,000
210	PagSeguro Digital Ltd. Class A Common Shares (PAGS)		Purchase	06/24/2024	\$1,001 - \$15,000
211	PagSeguro Digital Ltd. Class A Common Shares (PAGS)		Sale	06/24/2024	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
212	Marvell Technology Group Ltd. (MRVL)	Purchase	06/28/2024	\$1,001 - \$15,000
213	Comcast Corp. (CMCSA)	Sale	06/28/2024	\$1,001 - \$15,000
214	IHS Holding Limited Ordinary Shares (IHS)	Purchase	07/22/2024	\$15,001 - \$50,000
215	Marvell Technology Group Ltd. (MRVL)	Purchase	07/24/2024	\$15,001 - \$50,000
216	IHS Holding Limited Ordinary Shares (IHS)	Sale	07/24/2024	\$15,001 - \$50,000
217	Marvell Technology Group Ltd. (MRVL)	Purchase	07/24/2024	\$15,001 - \$50,000
218	IHS Holding Limited Ordinary Shares (IHS)	Sale	07/24/2024	\$1,001 - \$15,000
219	Marvell Technology Group Ltd. (MRVL)	Purchase	07/24/2024	\$1,001 - \$15,000
220	IHS Holding Limited Ordinary Shares (IHS)	Sale	07/25/2024	\$1,001 - \$15,000
221	IHS Holding Limited Ordinary Shares (IHS)	Sale	07/25/2024	\$1,001 - \$15,000
222	IHS Holding Limited Ordinary Shares (IHS)	Sale	07/25/2024	\$1,001 - \$15,000
223	IHS Holding Limited Ordinary Shares (IHS)	Sale	07/25/2024	\$1,001 - \$15,000
224	Edwards Lifesciences Corp. (EW)	Purchase	07/25/2024	\$1,001 - \$15,000
225	Marvell Technology Group Ltd. (MRVL)	Purchase	07/31/2024	\$15,001 - \$50,000
226	IHS Holding Limited Ordinary Shares (IHS)	Sale	08/01/2024	\$1,001 - \$15,000
227	Marvell Technology Group Ltd. (MRVL)	Purchase	08/01/2024	\$15,001 - \$50,000
228	IHS Holding Limited Ordinary Shares (IHS)	Sale	08/01/2024	\$15,001 - \$50,000
229	IHS Holding Limited Ordinary Shares (IHS)	Sale	08/01/2024	\$15,001 - \$50,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
230	Marvell Technology Group Ltd. (MRVL)	Purchase	08/01/2024	\$15,001 - \$50,000
231	IHS Holding Limited Ordinary Shares (IHS)	Sale	08/01/2024	\$15,001 - \$50,000
232	ASML Holding N.V. New York Registry Shares (ASML)	Purchase	08/01/2024	\$1,001 - \$15,000
233	ASML Holding N.V. New York Registry Shares (ASML)	Purchase	08/01/2024	\$15,001 - \$50,000
234	Ericsson American Depositary Shares (ERIC)	Sale	08/02/2024	\$1,001 - \$15,000
235	Ericsson American Depositary Shares (ERIC)	Sale	08/02/2024	\$15,001 - \$50,000
236	IHS Holding Limited Ordinary Shares (IHS)	Sale	08/02/2024	\$1,001 - \$15,000
237	Marvell Technology Group Ltd. (MRVL)	Purchase	08/02/2024	\$15,001 - \$50,000
238	HDFC Bank Limited Common Stock (HDB)	Sale	08/02/2024	\$15,001 - \$50,000
239	PubMatic Inc. Class A Common Stock (PUBM)	Purchase	08/12/2024	\$1,001 - \$15,000
240	IHS Holding Limited Ordinary Shares (IHS)	Sale	08/12/2024	\$1,001 - \$15,000
241	HDFC Bank Limited Common Stock (HDB)	Sale	08/12/2024	\$15,001 - \$50,000
242	HDFC Bank Limited Common Stock (HDB)	Sale	08/12/2024	\$15,001 - \$50,000
243	ASML Holding N.V. New York Registry Shares (ASML)	Purchase	08/12/2024	\$15,001 - \$50,000
244	IHS Holding Limited Ordinary Shares (IHS)	Sale	08/12/2024	\$1,001 - \$15,000
245	ASML Holding N.V. New York Registry Shares (ASML)	Purchase	08/12/2024	\$15,001 - \$50,000

#	DESCRIPTION		TYPE	DATE	AMOUNT
246	Marvell Technology Group Ltd. (MRVL)		Purchase	08/12/2024	\$15,001 - \$50,000
247	ASML Holding N.V. New York Registry Shares (ASML)		Purchase	08/12/2024	\$1,001 - \$15,000
248	HDFC Bank Limited Common Stock (HDB)	See Endnote	Purchase	08/21/2024	\$1,001 - \$15,000
249	HDFC Bank Limited Common Stock (HDB)	See Endnote	Purchase	08/23/2024	\$1,001 - \$15,000
250	ASML Holding N.V. New York Registry Shares (ASML)		Purchase	08/26/2024	\$50,001 - \$100,000
251	HDFC Bank Limited Common Stock (HDB)		Sale	08/26/2024	\$50,001 - \$100,000
252	HDFC Bank Limited Common Stock (HDB)		Sale	08/26/2024	\$50,001 - \$100,000
253	HDFC Bank Limited Common Stock (HDB)		Sale	09/03/2024	\$15,001 - \$50,000
254	ASML Holding N.V. New York Registry Shares (ASML)		Purchase	09/03/2024	\$15,001 - \$50,000
255	Baozun Inc. American Depositary Shares (BZUN)		Sale	09/04/2024	\$1,001 - \$15,000
256	Wells Fargo & Co. (WFC)	See Endnote	Purchase	09/04/2024	\$1,001 - \$15,000
257	Baozun Inc. American Depositary Shares (BZUN)		Sale	09/04/2024	\$1,001 - \$15,000
258	Volvo AB-B ADS (VOLVF)		Sale	09/06/2024	\$15,001 - \$50,000
259	HDFC Bank Limited Common Stock (HDB)		Sale	09/06/2024	\$15,001 - \$50,000
260	Marvell Technology Group Ltd. (MRVL)		Purchase	09/06/2024	\$15,001 - \$50,000
261	ICICI Bank Limited Common Stock (IBN)	See Endnote	Purchase	09/06/2024	\$1,001 - \$15,000

#	DESCRIPTION		TYPE	DATE	AMOUNT
262	HDFC Bank Limited Common Stock (HDB)		Sale	09/06/2024	\$15,001 - \$50,000
263	Marvell Technology Group Ltd. (MRVL)		Purchase	09/06/2024	\$15,001 - \$50,000
264	AstraZeneca PLC American Depositary Shares (AZN)	See Endnote	Purchase	09/09/2024	\$1,001 - \$15,000
265	Microsoft Corp. (MSFT)	See Endnote	Purchase	09/12/2024	\$1,001 - \$15,000
266	IHS Holding Limited Ordinary Shares (IHS)		Sale	09/24/2024	\$15,001 - \$50,000
267	Micron Technology, Inc. (MU)		Purchase	09/24/2024	\$15,001 - \$50,000
268	IHS Holding Limited Ordinary Shares (IHS)		Sale	09/24/2024	\$1,001 - \$15,000
269	Micron Technology, Inc. (MU)		Purchase	09/25/2024	\$15,001 - \$50,000
270	Marvell Technology Group Ltd. (MRVL)		Purchase	09/25/2024	\$15,001 - \$50,000
271	Micron Technology, Inc. (MU)		Purchase	09/25/2024	\$1,001 - \$15,000
272	IHS Holding Limited Ordinary Shares (IHS)		Sale	09/26/2024	\$15,001 - \$50,000
273	Micron Technology, Inc. (MU)		Purchase	09/26/2024	\$15,001 - \$50,000
274	IHS Holding Limited Ordinary Shares (IHS)		Sale	09/26/2024	\$15,001 - \$50,000
275	IHS Holding Limited Ordinary Shares (IHS)		Sale	09/26/2024	\$15,001 - \$50,000
276	Bristol-Myers Squibb (BMY)		Purchase	09/27/2024	\$1,001 - \$15,000
277	Bristol-Myers Squibb (BMY)		Purchase	09/27/2024	\$15,001 - \$50,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
278	IHS Holding Limited Ordinary Shares (IHS)	Sale	09/27/2024	\$1,001 - \$15,000
279	Bristol-Myers Squibb (BMY)	Purchase	09/27/2024	\$1,001 - \$15,000
280	ICICI Bank Limited Common Stock (IBN) See Endnote	Purchase	09/24/2024	\$1,001 - \$15,000
281	Inhibrx Inc. Common Stock (INBX)	Purchase	09/30/2024	\$15,001 - \$50,000
282	Ericsson American Depositary Shares (ERIC)	Sale	09/30/2024	\$1,001 - \$15,000
283	Inhibrx Inc. Common Stock (INBX)	Purchase	09/30/2024	\$1,001 - \$15,000
284	JD.com Inc. American Depositary Shares (JD)	Sale	09/30/2024	\$15,001 - \$50,000
285	IHS Holding Limited Ordinary Shares (IHS)	Sale	09/30/2024	\$1,001 - \$15,000
286	JD.com Inc. American Depositary Shares (JD)	Sale	09/30/2024	\$1,001 - \$15,000
287	Micron Technology, Inc. (MU)	Purchase	09/30/2024	\$1,001 - \$15,000
288	JD.com Inc. American Depositary Shares (JD)	Sale	09/30/2024	\$1,001 - \$15,000
289	Inhibrx Inc. Common Stock (INBX)	Purchase	09/30/2024	\$15,001 - \$50,000
290	IHS Holding Limited Ordinary Shares (IHS)	Sale	10/01/2024	\$1,001 - \$15,000
291	Inhibrx Inc. Common Stock (INBX)	Purchase	10/01/2024	\$15,001 - \$50,000
292	Inhibrx Inc. Common Stock (INBX)	Purchase	10/01/2024	\$15,001 - \$50,000
293	JD.com Inc. American Depositary Shares (JD)	Sale	10/01/2024	\$15,001 - \$50,000
294	Inhibrx Inc. Common Stock (INBX)	Purchase	10/01/2024	\$15,001 - \$50,000
295	Inhibrx Inc. Common Stock (INBX)	Purchase	10/01/2024	\$15,001 - \$50,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
296	JD.com Inc. American Depositary Shares (JD)	Sale	10/01/2024	\$15,001 - \$50,000
297	Inhibrx Inc. Common Stock (INBX)	Purchase	10/01/2024	\$1,001 - \$15,000
298	JD.com Inc. American Depositary Shares (JD)	Sale	10/01/2024	\$15,001 - \$50,000
299	IHS Holding Limited Ordinary Shares (IHS)	Sale	10/01/2024	\$1,001 - \$15,000
300	JD.com Inc. American Depositary Shares (JD)	Sale	10/01/2024	\$15,001 - \$50,000
301	Micron Technology, Inc. (MU)	Purchase	10/01/2024	\$15,001 - \$50,000
302	Inhibrx Inc. Common Stock (INBX)	Purchase	10/01/2024	\$15,001 - \$50,000
303	JD.com Inc. American Depositary Shares (JD)	Sale	10/01/2024	\$15,001 - \$50,000
304	Inhibrx Inc. Common Stock (INBX)	Purchase	10/01/2024	\$1,001 - \$15,000
305	HDFC Bank Limited Common Stock (HDB)	Sale	10/01/2024	\$15,001 - \$50,000
306	Inhibrx Inc. Common Stock (INBX)	Purchase	10/01/2024	\$15,001 - \$50,000
307	HDFC Bank Limited Common Stock (HDB)	Sale	10/01/2024	\$15,001 - \$50,000
308	Inhibrx Inc. Common Stock (INBX)	Purchase	10/01/2024	\$15,001 - \$50,000
309	HDFC Bank Limited Common Stock (HDB)	Sale	10/01/2024	\$15,001 - \$50,000
310	Inhibrx Inc. Common Stock (INBX)	Purchase	10/01/2024	\$15,001 - \$50,000
311	Inhibrx Inc. Common Stock (INBX)	Purchase	10/01/2024	\$15,001 - \$50,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
312	HDFC Bank Limited Common Stock (HDB)	Sale	10/01/2024	\$15,001 - \$50,000
313	HDFC Bank Limited Common Stock (HDB)	Sale	10/01/2024	\$15,001 - \$50,000
314	Inhibrx Inc. Common Stock (INBX)	Purchase	10/01/2024	\$15,001 - \$50,000
315	HDFC Bank Limited Common Stock (HDB)	Sale	10/01/2024	\$15,001 - \$50,000
316	Inhibrx Inc. Common Stock (INBX)	Purchase	10/02/2024	\$15,001 - \$50,000
317	JD.com Inc. American Depositary Shares (JD)	Sale	10/02/2024	\$15,001 - \$50,000
318	Inhibrx Inc. Common Stock (INBX)	Purchase	10/02/2024	\$15,001 - \$50,000
319	HDFC Bank Limited Common Stock (HDB)	Sale	10/02/2024	\$15,001 - \$50,000
320	Inhibrx Inc. Common Stock (INBX)	Purchase	10/02/2024	\$15,001 - \$50,000
321	HDFC Bank Limited Common Stock (HDB)	Sale	10/02/2024	\$15,001 - \$50,000
322	Inhibrx Inc. Common Stock (INBX)	Purchase	10/02/2024	\$15,001 - \$50,000
323	HDFC Bank Limited Common Stock (HDB)	Sale	10/02/2024	\$15,001 - \$50,000
324	Inhibrx Inc. Common Stock (INBX)	Purchase	10/02/2024	\$15,001 - \$50,000
325	HDFC Bank Limited Common Stock (HDB)	Sale	10/02/2024	\$15,001 - \$50,000
326	Inhibrx Inc. Common Stock (INBX)	Purchase	10/02/2024	\$15,001 - \$50,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
327	HDFC Bank Limited Common Stock (HDB)	Sale	10/02/2024	\$15,001 - \$50,000
328	Inhibrx Inc. Common Stock (INBX)	Purchase	10/02/2024	\$15,001 - \$50,000
329	HDFC Bank Limited Common Stock (HDB)	Sale	10/02/2024	\$15,001 - \$50,000
330	Inhibrx Inc. Common Stock (INBX)	Purchase	10/02/2024	\$15,001 - \$50,000
331	HDFC Bank Limited Common Stock (HDB)	Sale	10/02/2024	\$15,001 - \$50,000
332	Inhibrx Inc. Common Stock (INBX)	Purchase	10/02/2024	\$15,001 - \$50,000
333	HDFC Bank Limited Common Stock (HDB)	Sale	10/02/2024	\$15,001 - \$50,000
334	Inhibrx Inc. Common Stock (INBX)	Purchase	10/07/2024	\$1,001 - \$15,000
335	JD.com Inc. American Depositary Shares (JD)	Sale	10/07/2024	\$15,001 - \$50,000
336	Inhibrx Inc. Common Stock (INBX)	Purchase	10/07/2024	\$1,001 - \$15,000
337	JD.com Inc. American Depositary Shares (JD)	Sale	10/07/2024	\$1,001 - \$15,000
338	Inhibrx Inc. Common Stock (INBX)	Purchase	10/07/2024	\$1,001 - \$15,000
339	Inhibrx Inc. Common Stock (INBX)	Purchase	10/07/2024	\$15,001 - \$50,000
340	ASML Holding N.V. New York Registry Shares (ASML)	Purchase	10/15/2024	\$1,001 - \$15,000
341	ASML Holding N.V. New York Registry Shares (ASML)	Purchase	10/15/2024	\$50,001 - \$100,000
342	ASML Holding N.V. New York Registry Shares (ASML)	Purchase	10/15/2024	\$50,001 - \$100,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
343	HDFC Bank Limited Common Stock (HDB)	Sale	10/16/2024	\$100,001 - \$250,000
344	HDFC Bank Limited Common Stock (HDB)	Sale	10/16/2024	\$50,001 - \$100,000
345	ASML Holding N.V. New York Registry Shares (ASML)	Purchase	10/16/2024	\$50,001 - \$100,000
346	Advanced Micro Devices, Inc. (AMD)	Purchase	10/25/2024	\$1,001 - \$15,000
347	Advanced Micro Devices, Inc. (AMD)	Purchase	10/25/2024	\$50,001 - \$100,000
348	Intel Corp. (INTC)	Sale	10/28/2024	\$50,001 - \$100,000
349	HDFC Bank Limited Common Stock (HDB)	Sale	10/28/2024	\$1,001 - \$15,000
350	Inhibrx Inc. Common Stock (INBX)	Purchase	10/28/2024	\$1,001 - \$15,000
351	JD.com Inc. American Depositary Shares (JD)	Sale	10/28/2024	\$15,001 - \$50,000
352	Inhibrx Inc. Common Stock (INBX)	Purchase	10/28/2024	\$15,001 - \$50,000
353	ASML Holding N.V. New York Registry Shares (ASML)	Sale	10/28/2024	\$15,001 - \$50,000
354	Inhibrx Inc. Common Stock (INBX)	Purchase	10/28/2024	\$15,001 - \$50,000
355	ASML Holding N.V. New York Registry Shares (ASML)	Purchase	10/28/2024	\$15,001 - \$50,000
356	Intel Corp. (INTC)	Sale	10/29/2024	\$15,001 - \$50,000
357	Inhibrx Inc. Common Stock (INBX)	Purchase	10/31/2024	\$15,001 - \$50,000
358	JD.com Inc. American Depositary Shares (JD)	Sale	10/31/2024	\$15,001 - \$50,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
359	Inhibrx Inc. Common Stock (INBX)	Purchase	10/31/2024	\$15,001 - \$50,000
360	JD.com Inc. American Depositary Shares (JD)	Sale	11/01/2024	\$15,001 - \$50,000
361	Inhibrx Inc. Common Stock (INBX)	Purchase	11/01/2024	\$15,001 - \$50,000
362	AstraZeneca PLC American Depositary Shares (AZN)	Purchase	11/07/2024	\$50,001 - \$100,000
363	JD.com Inc. American Depositary Shares (JD)	Sale	11/07/2024	\$15,001 - \$50,000
364	AstraZeneca PLC American Depositary Shares (AZN)	Purchase	11/07/2024	\$1,001 - \$15,000
365	Inhibrx Inc. Common Stock (INBX)	Purchase	11/07/2024	\$1,001 - \$15,000
366	AstraZeneca PLC American Depositary Shares (AZN)	Purchase	11/07/2024	\$15,001 - \$50,000
367	Inhibrx Inc. Common Stock (INBX)	Purchase	11/07/2024	\$15,001 - \$50,000
368	JD.com Inc. American Depositary Shares (JD)	Sale	11/07/2024	\$15,001 - \$50,000
369	Inhibrx Inc. Common Stock (INBX)	Purchase	11/07/2024	\$1,001 - \$15,000
370	AstraZeneca PLC American Depositary Shares (AZN)	Purchase	11/07/2024	\$15,001 - \$50,000
371	Inhibrx Inc. Common Stock (INBX)	Purchase	11/07/2024	\$15,001 - \$50,000
372	ASML Holding N.V. New York Registry Shares See Endnote (ASML)	Purchase	11/07/2024	\$1,001 - \$15,000
373	Advanced Micro Devices, Inc. (AMD)	Purchase	10/28/2024	\$1,001 - \$15,000
374	Inhibrx Inc. Common Stock (INBX)	Purchase	11/14/2024	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
375	HDFC Bank Limited Common Stock (HDB)	Sale	11/14/2024	\$15,001 - \$50,000
376	Advanced Micro Devices, Inc. (AMD)	Purchase	11/15/2024	\$15,001 - \$50,000
377	HDFC Bank Limited Common Stock (HDB)	Sale	11/15/2024	\$15,001 - \$50,000
378	Inhibrx Inc. Common Stock (INBX)	Purchase	11/15/2024	\$1,001 - \$15,000
379	HDFC Bank Limited Common Stock (HDB)	Sale	11/15/2024	\$1,001 - \$15,000
380	StoneCo Ltd. Class A Common Shares (STNE)	Purchase	11/18/2024	\$1,001 - \$15,000
381	PagSeguro Digital Ltd. Class A Common Shares (PAGS)	Sale	11/18/2024	\$15,001 - \$50,000
382	AstraZeneca PLC American Depositary Shares (AZN)	Purchase	11/18/2024	\$15,001 - \$50,000
383	Pfizer Inc. (PFE)	Sale	11/18/2024	\$15,001 - \$50,000
384	StoneCo Ltd. Class A Common Shares (STNE)	Purchase	11/18/2024	\$15,001 - \$50,000
385	PagSeguro Digital Ltd. Class A Common Shares (PAGS)	Sale	11/18/2024	\$1,001 - \$15,000
386	AstraZeneca PLC American Depositary Shares (AZN)	Purchase	11/18/2024	\$1,001 - \$15,000
387	Pfizer Inc. (PFE)	Sale	11/18/2024	\$1,001 - \$15,000
388	StoneCo Ltd. Class A Common Shares (STNE)	Purchase	11/18/2024	\$1,001 - \$15,000
389	PagSeguro Digital Ltd. Class A Common Shares (PAGS)	Sale	11/18/2024	\$1,001 - \$15,000
390	AstraZeneca PLC American Depositary Shares (AZN)	Purchase	11/18/2024	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
391	Pfizer Inc. (PFE)	Sale	11/18/2024	\$1,001 - \$15,000
392	Inhibrx Inc. Common Stock (INBX)	Purchase	11/18/2024	\$15,001 - \$50,000
393	Advanced Micro Devices, Inc. (AMD)	Purchase	11/18/2024	\$15,001 - \$50,000
394	Inhibrx Inc. Common Stock (INBX)	Purchase	11/18/2024	\$1,001 - \$15,000
395	Advanced Micro Devices, Inc. (AMD)	Purchase	11/18/2024	\$15,001 - \$50,000
396	Inhibrx Inc. Common Stock (INBX)	Purchase	11/18/2024	\$15,001 - \$50,000
397	AstraZeneca PLC American Depositary Shares (AZN)	Purchase	11/18/2024	\$15,001 - \$50,000
398	Inhibrx Inc. Common Stock (INBX)	Purchase	11/18/2024	\$1,001 - \$15,000
399	Pfizer Inc. (PFE)	Sale	11/18/2024	\$1,001 - \$15,000
400	Inhibrx Inc. Common Stock (INBX)	Purchase	11/18/2024	\$1,001 - \$15,000
401	Advanced Micro Devices, Inc. (AMD)	Purchase	11/18/2024	\$1,001 - \$15,000
402	Inhibrx Inc. Common Stock (INBX)	Purchase	11/18/2024	\$1,001 - \$15,000
403	Inhibrx Inc. Common Stock (INBX)	Purchase	11/19/2024	\$1,001 - \$15,000
404	Inhibrx Inc. Common Stock (INBX)	Purchase	12/02/2024	\$1,001 - \$15,000
405	Wells Fargo & Co. (WFC)	See Endnote	12/03/2024	\$1,001 - \$15,000
406	First Solar, Inc. (FSLR)	Purchase	12/09/2024	\$15,001 - \$50,000
407	JD.com Inc. American Depositary Shares (JD)	Sale	12/09/2024	\$15,001 - \$50,000
408	First Solar, Inc. (FSLR)	Purchase	12/09/2024	\$15,001 - \$50,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
409	JD.com Inc. American Depositary Shares (JD)	Sale	12/09/2024	\$15,001 - \$50,000
410	Microsoft Corp. (MSFT) See Endnote	Purchase	12/12/2024	\$1,001 - \$15,000
411	Viridian Therapeutics Inc. Common Stock (VRDN)	Purchase	12/16/2024	\$15,001 - \$50,000
412	Global Payments, Inc. (GPN)	Sale	12/16/2024	\$15,001 - \$50,000
413	First Solar, Inc. (FSLR)	Purchase	12/16/2024	\$15,001 - \$50,000
414	PubMatic Inc. Class A Common Stock (PUBM)	Sale	12/17/2024	\$15,001 - \$50,000
415	Viridian Therapeutics Inc. Common Stock (VRDN)	Purchase	12/18/2024	\$15,001 - \$50,000
416	First Solar, Inc. (FSLR)	Purchase	12/18/2024	\$15,001 - \$50,000
417	Viridian Therapeutics Inc. Common Stock (VRDN)	Purchase	12/18/2024	\$15,001 - \$50,000
418	Viridian Therapeutics Inc. Common Stock (VRDN)	Purchase	12/18/2024	\$15,001 - \$50,000
419	PagSeguro Digital Ltd. Class A Common Shares (PAGS)	Purchase	12/19/2024	\$1,001 - \$15,000
420	StoneCo Ltd. Class A Common Shares (STNE)	Sale	12/19/2024	\$1,001 - \$15,000
421	PagSeguro Digital Ltd. Class A Common Shares (PAGS)	Purchase	12/19/2024	\$15,001 - \$50,000
422	StoneCo Ltd. Class A Common Shares (STNE)	Sale	12/19/2024	\$15,001 - \$50,000
423	Viridian Therapeutics Inc. Common Stock (VRDN)	Purchase	12/19/2024	\$15,001 - \$50,000

#	DESCRIPTION	TYPE	DATE	AMOUNT	
424	HDFC Bank Limited Common Stock (HDB)	Sale	12/19/2024	\$15,001 - \$50,000	
425	PagSeguro Digital Ltd. Class A Common Shares (PAGS)	Purchase	12/19/2024	\$15,001 - \$50,000	
426	StoneCo Ltd. Class A Common Shares (STNE)	Sale	12/19/2024	\$15,001 - \$50,000	
427	PagSeguro Digital Ltd. Class A Common Shares (PAGS)	Purchase	12/30/2024	\$15,001 - \$50,000	
428	StoneCo Ltd. Class A Common Shares (STNE)	Sale	12/30/2024	\$15,001 - \$50,000	
429	First Solar, Inc. (FSLR)	Purchase	12/30/2024	\$15,001 - \$50,000	
430	HDFC Bank Limited Common Stock (HDB)	Sale	12/30/2024	\$1,001 - \$15,000	
431	International Paper Co. (IP)	Sale	12/30/2024	\$1,001 - \$15,000	
432	First Solar, Inc. (FSLR)	Purchase	12/30/2024	\$1,001 - \$15,000	
433	PagSeguro Digital Ltd. Class A Common Shares (PAGS)	Purchase	12/30/2024	\$15,001 - \$50,000	
434	StoneCo Ltd. Class A Common Shares (STNE)	Sale	12/30/2024	\$15,001 - \$50,000	
435	First Solar, Inc. (FSLR)	Purchase	12/30/2024	\$15,001 - \$50,000	
436	Advanced Micro Devices, Inc. (AMD)	Sale	12/30/2024	\$15,001 - \$50,000	
437	First Solar, Inc. (FSLR)	Purchase	12/30/2024	\$1,001 - \$15,000	
438	First Solar, Inc. (FSLR)	Purchase	12/30/2024	\$1,001 - \$15,000	
439	Wells Fargo Omega Growth Fund Class A Shares (EKOAX)	See Endnote	Purchase	07/26/2024	\$1,001 - \$15,000

#	DESCRIPTION		TYPE	DATE	AMOUNT
440	Wells Fargo Growth Fund Class A Shares (SGRAX)	See Endnote	Purchase	12/16/2024	\$1,001 - \$15,000
441	Vanguard Intermediate-Term Tax-Exempt Fund Admiral Shares (VWIUX)		Sale	12/16/2024	\$15,001 - \$50,000
442	Vanguard Capital Opportunity Fund Admiral Shares (VHCAX)	See Endnote	Purchase	12/18/2024	\$1,001 - \$15,000
443	Vanguard Tax-Exempt Bond Index Fund ETF Shares (VTEB)		Sale	03/15/2024	\$15,001 - \$50,000
444	Vanguard Tax-Exempt Bond Index Fund ETF Shares (VTEB)		Sale	03/25/2024	\$1,001 - \$15,000
445	Vanguard Health Care Fund Admiral Shares (VGHAX)		Sale	03/06/2024	\$1,001 - \$15,000
446	Vanguard Health Care Fund Admiral Shares (VGHAX)	See Endnote	Purchase	03/27/2024	\$1,001 - \$15,000
447	Vanguard Tax-Exempt Bond Index Fund ETF Shares (VTEB)		Sale	04/02/2024	\$15,001 - \$50,000
448	Vanguard Tax-Exempt Bond Index Fund ETF Shares (VTEB)		Sale	04/12/2024	\$15,001 - \$50,000
449	Vanguard Long-Term Tax-Exempt Fund Admiral Shares (VWLUX)		Sale	04/24/2024	\$15,001 - \$50,000
450	Vanguard Long-Term Tax-Exempt Fund Admiral Shares (VWLUX)		Sale	04/24/2024	\$50,001 - \$100,000
451	Vanguard Long-Term Tax-Exempt Fund Admiral Shares (VWLUX)		Sale	04/29/2024	\$1,001 - \$15,000
452	Vanguard Intermediate-Term Tax-Exempt Fund Admiral Shares (VWIUX)		Sale	04/30/2024	\$100,001 - \$250,000
453	Vanguard Intermediate-Term Tax-Exempt Fund Admiral Shares (VWIUX)		Sale	05/08/2024	\$50,001 - \$100,000
454	Invesco QQQ Trust, Series 1 (QQQ)		Purchase	05/30/2024	\$15,001 - \$50,000

#	DESCRIPTION		TYPE	DATE	AMOUNT
455	Invesco QQQ Trust, Series 1 (QQQ)		Purchase	05/30/2024	\$15,001 - \$50,000
456	Vanguard 500 Index Fund ETF Shares (VOO)		Purchase	06/03/2024	\$100,001 - \$250,000
457	Vanguard 500 Index Fund ETF Shares (VOO)		Sale	06/03/2024	\$50,001 - \$100,000
458	Baird Strategic Municipal Bond Fund Investor Class Shares (BSNSX)		Purchase	06/04/2024	\$100,001 - \$250,000
459	Vanguard Tax-Exempt Bond Index Fund ETF Shares (VTEB)		Purchase	06/05/2024	\$100,001 - \$250,000
460	Vanguard Tax-Exempt Bond Index Fund ETF Shares (VTEB)		Purchase	06/06/2024	\$1,001 - \$15,000
461	WasatchEmerging Markets Small Cap Fund Institutional Class Shares (WIEMX)		Sale	08/02/2024	\$50,001 - \$100,000
462	Vanguard Tax-Exempt Bond Index Fund ETF Shares (VTEB)		Sale	10/16/2024	\$50,001 - \$100,000
463	Vanguard Tax-Exempt Bond Index Fund ETF Shares (VTEB)		Sale	11/07/2024	\$50,001 - \$100,000
464	Vanguard Tax-Exempt Bond Index Fund ETF Shares (VTEB)		Sale	11/07/2024	\$15,001 - \$50,000
465	Vanguard Intermediate-Term Tax-Exempt Fund Admiral Shares (VWIUX)		Sale	11/08/2024	\$50,001 - \$100,000
466	WasatchEmerging Markets Small Cap Fund Institutional Class Shares (WIEMX)		Sale	11/15/2024	\$100,001 - \$250,000
467	WasatchEmerging Markets Small Cap Fund Institutional Class Shares (WIEMX)		Sale	11/15/2024	\$15,001 - \$50,000
468	WasatchEmerging Markets Small Cap Fund Institutional Class Shares (WIEMX)	See Endnote	Purchase	12/13/2024	\$1,001 - \$15,000
469	WasatchEmerging Markets Small Cap Fund Institutional Class Shares (WIEMX)		Sale	12/17/2024	\$100,001 - \$250,000

#	DESCRIPTION		TYPE	DATE	AMOUNT
470	PRIMECAP Odyssey Growth Fund (POGRX)	See Endnote	Purchase	12/17/2024	\$1,001 - \$15,000
471	Vanguard PRIMECAP Fund Investor Shares (VPMCX)	See Endnote	Purchase	12/18/2024	\$1,001 - \$15,000
472	Vanguard Capital Opportunity Fund Investor Shares (VHCOX)	See Endnote	Purchase	12/18/2024	\$1,001 - \$15,000
473	Vanguard Health Care Fund Admiral Shares (VGHAX)	See Endnote	Purchase	12/20/2024	\$1,001 - \$15,000
474	Fidelity Software and IT Services Portfolio (FSCSX)	See Endnote	Purchase	12/20/2024	\$1,001 - \$15,000
475	Fidelity Health Care Portfolio (FSPHX)	See Endnote	Purchase	12/26/2024	\$1,001 - \$15,000
476	Vanguard Intermediate-Term Investment-Grade Fund Investor Shares (VFICX)		Sale	06/25/2024	\$1,001 - \$15,000
477	DC 529 Total Stock Market Portfolio		Sale	08/27/2024	\$1,001 - \$15,000
478	DC 529 Non-US Total Stock Market Portfolio		Sale	08/27/2024	\$1,001 - \$15,000
479	DC 529 Intermediate-Term Bond Portfolio		Sale	08/27/2024	\$1,001 - \$15,000
480	DC 529 Principal Protected Portfolio		Sale	08/27/2024	\$1,001 - \$15,000
481	Vanguard Intermediate-Term Investment-Grade Fund Investor Shares (VFICX)		Sale	09/23/2024	\$1,001 - \$15,000
482	Vanguard Intermediate-Term Investment-Grade Fund Investor Shares (VFICX)		Sale	09/24/2024	\$1,001 - \$15,000
483	NY 529 Interest Accumulation Portfolios		Purchase	11/11/2024	\$100,001 - \$250,000
484	NY 529 Value Stock Index Portfolio		Purchase	11/11/2024	\$1,001 - \$15,000
485	NY 529 Bond Market Index Portfolio		Sale	11/11/2024	\$100,001 - \$250,000
486	NY 529 Small-Cap Stock Index Portfolio		Sale	11/11/2024	\$1,001 - \$15,000
487	NY 529 Growth Stock Index Portfolio		Purchase	11/11/2024	\$1,001 - \$15,000

#	DESCRIPTION		TYPE	DATE	AMOUNT
488	DC 529 US Total Stock Market Index Portfolio		Purchase	11/11/2024	\$15,001 - \$50,000
489	DC 529 Non-US Total Stock Market Index Portfolio		Sale	11/11/2024	\$1,001 - \$15,000
490	DC 529 Intermediate-Term Bond Portfolio		Sale	11/11/2024	\$1,001 - \$15,000
491	DC 529 Principal Protected Portfolio		Purchase	11/11/2024	\$1,001 - \$15,000
492	Vanguard 500 Index Fund Admiral Shares (VFIAX)		Purchase	11/18/2024	\$15,001 - \$50,000
493	Vanguard Federal Money Market Fund Investor Shares (VMFXX)		Purchase	12/17/2024	\$15,001 - \$50,000
494	Vanguard PrimeCap Core Fund Investor Shares (VPCCX)	See Endnote	Purchase	12/18/2024	\$15,001 - \$50,000
495	Vanguard PrimeCap Core Fund Investor Shares (VPCCX)	See Endnote	Purchase	12/18/2024	\$1,001 - \$15,000
496	Vanguard International Growth Fund Admiral Shares (VWILX)	See Endnote	Purchase	12/18/2024	\$1,001 - \$15,000
497	Vanguard Capital Opportunity Fund Admiral Shares (VHCAX)	See Endnote	Purchase	12/18/2024	\$1,001 - \$15,000
498	Vanguard Capital Opportunity Fund Admiral Shares (VHCAX)	See Endnote	Purchase	12/18/2024	\$15,001 - \$50,000
499	Vanguard Windsor II Fund Admiral Shares (VWNAX)	See Endnote	Purchase	12/18/2024	\$1,001 - \$15,000
500	Vanguard Health Care Fund Investor Shares (VGHCX)	See Endnote	Purchase	12/20/2024	\$1,001 - \$15,000
501	Vanguard Emerging Markets Stock Index Fund Admiral Class Shares (VEMAX)	See Endnote	Purchase	12/20/2024	\$1,001 - \$15,000
502	Vanguard STAR Fund Investor Shares (VGSTX)	See Endnote	Purchase	12/20/2024	\$1,001 - \$15,000

8. Liabilities

#	CREDITOR NAME	TYPE	AMOUNT	YEAR INCURRED	RATE	TERM
1	PNC Bank	Mortgage on Personal Residence	\$100,001 - \$250,000	2013	2.75%	15 years

9. Gifts and Travel Reimbursements

None

Endnotes

PART	#	ENDNOTE
5.	3.2	Changed from Admiral to Investor Shares.
6.	4.25	The company was spun off from General Electric in 2024, and I acquired my shares of stock as a result of the spinoff.
6.	6.2	Formerly BGCP.
6.	7.2	Bond was redeemed in 2024 to the best of our knowledge.
6.	10.3	Fund was converted from Admiral to Investor shares
7.	14	Automatically reinvested dividends
7.	36	Automatically reinvested dividends
7.	38	Automatically reinvested dividends
7.	68	Automatically reinvested dividends

PART	#	ENDNOTE
7.	72	Automatically reinvested dividends
7.	76	Automatically reinvested dividends
7.	146	Sanofi purchased Inhibrx for a combination of cash, shares of a spinoff of a new company, and a contingent valuation right that would include an additional payment on the timely achievement of a regulatory milestone. This transaction addresses the Inhibrx shares listed as asset 4.1 under "Other Assets" on my annual report for 2023.
7.	147	Sanofi purchased Inhibrx for a combination of cash, shares of a spinoff of a new company, and a contingent valuation right that would include an additional payment on the timely achievement of a regulatory milestone. This transaction addresses the Inhibrx shares listed as asset 5.18 under "Other Assets" on my annual report for 2023.
7.	148	Sanofi purchased Inhibrx for a combination of cash, shares of a spinoff of a new company, and a contingent valuation right that would include an additional payment on the timely achievement of a regulatory milestone. This transaction addresses the Inhibrx shares listed as asset 6.13 under "Other Assets" on my annual report for 2023.
7.	149	Sanofi purchased Inhibrx for a combination of cash, shares of a spinoff of a new company, and a contingent valuation right that would include an additional payment on the timely achievement of a regulatory milestone. This transaction addresses the Inhibrx shares listed as asset 8.20 under "Other Assets" on my annual report for 2023.
7.	150	Sanofi purchased Inhibrx for a combination of cash, shares of a spinoff of a new company, and a contingent valuation right that would include an additional payment on the timely achievement of a regulatory milestone. This transaction addresses the Inhibrx shares listed as asset 1.4 under "Spouse's Employment-Related Assets" on my annual report for 2023.
7.	151	Sanofi purchased Inhibrx for a combination of cash, shares of a spinoff of a new company, and a contingent valuation right that would include an additional payment on the timely achievement of a regulatory milestone. This transaction addresses the Inhibrx shares listed as asset 3.7 under "Spouse's Employment-Related Assets" on my annual report for 2023.
7.	152	Sanofi purchased Inhibrx for a combination of cash, shares of a spinoff of a new company, and a contingent valuation right that would include an additional payment on the timely achievement of a regulatory milestone. This transaction addresses the Inhibrx shares listed as asset 4.6 under "Spouse's Employment-Related Assets" on my annual report for 2023.
7.	198	Automatically reinvested dividends
7.	202	Automatically reinvested dividends
7.	248	Automatically reinvested dividends

PART	#	ENDNOTE
7.	249	Automatically reinvested dividends
7.	256	Automatically reinvested dividends
7.	261	Automatically reinvested dividends
7.	264	Automatically reinvested dividends
7.	265	Automatically reinvested dividends
7.	280	Automatically reinvested dividends
7.	372	Automatically reinvested dividends
7.	405	Automatically reinvested dividends
7.	410	Automatically reinvested dividends
7.	439	This purchase is the result of automatic reinvestment of capital gains.
7.	440	This purchase is the result of automatic reinvestment of capital gains.
7.	442	This purchase is the result of automatic reinvestment of capital gains.
7.	446	Automatically reinvested capital gains
7.	468	Automatically reinvested capital gains
7.	470	Automatically reinvested capital gains
7.	471	Automatically reinvested capital gains
7.	472	Automatically reinvested capital gains
7.	473	Automatically reinvested capital gains
7.	474	Automatically reinvested capital gains
7.	475	Automatically reinvested capital gains
7.	494	Automatically reinvested capital gains

PART	#	ENDNOTE
7.	495	Automatically reinvested dividends
7.	496	Automatically reinvested capital gains
7.	497	Automatically reinvested dividends
7.	498	Automatically reinvested capital gains
7.	499	Automatically reinvested capital gains
7.	500	Automatically reinvested capital gains
7.	501	Automatically reinvested dividends
7.	502	Automatically reinvested capital gains

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during the reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (note: certain PAS nominees and appointees are required to report all mortgages); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$480 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$480 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$192 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

5 U.S.C. § 13101 et seq., and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with 5 U.S.C. §§ 13107 and § 13122(b)(1) or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13989 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

Public Burden Information

This collection of information is estimated to take an average of ten hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE) 250 E Street, S.W., Suite 750, Washington, DC 20024-3249.

Pursuant to the Paperwork Reduction Act, as amended, an agency may not conduct or sponsor, and no person is required to respond to, a collection of information unless it displays a currently valid OMB (that control number 3209-0001, is displayed here and at the top of the first page of this OGE Form 278e).
