

## Executive Branch Personnel

### Public Financial Disclosure Report (OGE Form 278e)

#### Filer's Information

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Oberman, Martin J

Board Members, Surface Transportation Board

Date of Termination: 05/10/2024

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#### Other Federal Government Positions Held During the Preceding 12 Months:

None

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Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Oberman, Martin J [electronically signed on 05/10/2024 by Oberman, Martin J in Integrity.gov] - Filer received a 30 day filing extension.

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Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Oehrle, Christopher, Certifying Official [electronically signed on 06/05/2024 by Oehrle, Christopher in Integrity.gov]

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Other review conducted by

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U.S. Office of Government Ethics Certification

/s/ Granahan, Megan, Certifying Official [electronically signed on 07/31/2024 by Granahan, Megan in Integrity.gov]

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Data Revised 06/05/2024

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## 1. Filer's Positions Held Outside United States Government

#	ORGANIZATION NAME	CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
1	Estate Trust--Martin J. Oberman	Chicago, Illinois	revocable trust	trustee	1/1998	Present

## 2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	IRA converted from profit sharing plan for Law Practice of "Martin J. Oberman"	No			
1.1	ABBOTT LABS COM	N/A	\$15,001 - \$50,000		None (or less than \$201)
1.2	ALPHABET INC	N/A	\$15,001 - \$50,000		None (or less than \$201)
1.3	AT&T INC COM	N/A	\$15,001 - \$50,000		None (or less than \$201)
1.4	BLACKROCK CORPORATE HIGH YIELD FUND (HYT)	Yes	\$50,001 - \$100,000		None (or less than \$201)
1.5	JOHNSON & JOHNSON	N/A	\$15,001 - \$50,000		None (or less than \$201)
1.6	JP MORGAN CHASE & CO	N/A	\$50,001 - \$100,000		None (or less than \$201)
1.7	U.S. Brokerage Account (Cash)	N/A	\$50,001 - \$100,000		None (or less than \$201)
1.8	MCKESSON CORP NEW NT 2.700% 12/15/22	N/A	\$15,001 - \$50,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.9	NUVEEN FLOATING RATE INCOME FUND	Yes	\$15,001 - \$50,000		None (or less than \$201)
1.10	QUALCOMM INC FXD RT NT 2.600% 01/30/23	N/A	\$50,001 - \$100,000		None (or less than \$201)
1.11	TRAVELERS COS INC	N/A	\$15,001 - \$50,000		None (or less than \$201)
1.12	NXP Semiconductors N.V. - Common Stock	N/A	\$15,001 - \$50,000		None (or less than \$201)
1.13	O'Reilly Automotive Inc.	N/A	\$15,001 - \$50,000		None (or less than \$201)
1.14	Enterprise Product EPD	N/A	\$15,001 - \$50,000		None (or less than \$201)
1.15	Investco Exchange-Traded Fd TR II SR LN ETF (BKLN) (previously Powershares)	Yes	\$15,001 - \$50,000		None (or less than \$201)
1.16	EBAY Inc. Fixed RT Note 3.450% 2024	N/A	\$15,001 - \$50,000		None (or less than \$201)
1.17	BLACKROCK CREDIT ALLOCATION INCOME TRUST FUND (BTZ)	Yes	\$15,001 - \$50,000		None (or less than \$201)
1.18	Harman Intl Inds Inc New GTD Sr Nt 4.150%	N/A	\$15,001 - \$50,000		None (or less than \$201)
1.19	AT&T Fixed Rt Sr Notes 4.125%	N/A	\$50,001 - \$100,000		None (or less than \$201)
1.20	Arch Capital Group LTD Shs (ACGL)	N/A	\$15,001 - \$50,000		None (or less than \$201)
1.21	Broadcom Inc. (AVGO)	N/A	\$50,001 - \$100,000		None (or less than \$201)
1.22	The Home Depot, Inc. (HD)	N/A	\$50,001 - \$100,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.23	QUALCOMM, Inc. (QCOM)	N/A	\$50,001 - \$100,000		None (or less than \$201)
1.24	The Travelers Cos., Inc. (TRV)	N/A	\$15,001 - \$50,000		None (or less than \$201)
1.25	Visa, Inc. (V)	N/A	\$50,001 - \$100,000		None (or less than \$201)
1.26	FedEx Corp. (FDX)	N/A	\$15,001 - \$50,000		None (or less than \$201)
1.27	Medtronic Plc (MDT)	N/A	\$15,001 - \$50,000		None (or less than \$201)
1.28	Stryker Corp. (SYK)	N/A	\$15,001 - \$50,000		None (or less than \$201)
1.29	Amazon.com, Inc. (AMZN)	N/A	\$15,001 - \$50,000		None (or less than \$201)
2	MORGAN STANLEYCOM NEW	N/A	\$15,001 - \$50,000		None (or less than \$201)

### 3. Filer's Employment Agreements and Arrangements

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
1	Law Practice of "Martin J. Oberman"	Chicago, Illinois	My solo legal practice was inactive during my appointment. There are no outstanding client fees or expense reimbursements due or owing.	12/2018

### 4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

(N/A) - Not required for this type of report

## 5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Facing History and Ourselves (Non-profit organization) 403(b) plan	No			
1.1	Vanguard Target Retirement Income (Sole asset in plan)	Yes	\$100,001 - \$250,000		None (or less than \$201)
2	ReliaStar Life Insurance (Cash Annuity)	N/A	\$50,001 - \$100,000		None (or less than \$201)

## 6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	U.S. Bank Account (cash)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2	U.S. Brokerage Investment Account				
2.1	Adams & Arapahoe CNTYS Colo JT SCH 5.00 % Due Dec 1, 2031	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
2.2	Miami Univ Ohio Gen RCPTS Rev Ref BDS 5.00 % Due Sep 1, 2028	N/A	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500
2.3	New York N Y Go BDS Ser. 2018 B-1 5.25 % Due Oct 1, 2033	N/A	\$15,001 - \$50,000		\$1,001 - \$2,500
2.4	Pflugerville TX Isd 5%31 Go Utx Due 02/15/31	N/A	None (or less than \$1,001)	Interest	\$1,001 - \$2,500
2.5	San Antonio Tex WTR Rev SYS JR Lien Ref 5.00 % Due May 15, 2031	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
2.6	Variant Alternative Income Fund (NICHX)	Yes	\$100,001 - \$250,000		\$5,001 - \$15,000
2.7	Fidelity Government Cash Reserves (FDRXX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
2.8	GQG Partners Emerging Markets Equity Instl (GQGIX)	Yes	\$50,001 - \$100,000		\$2,501 - \$5,000
2.9	RWC Global Emerging Equity Fund Class I (RWCIX)	Yes	\$50,001 - \$100,000		None (or less than \$201)
2.10	Harbor Service Institutional (HASCX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
2.11	Harding Loevner Intl Equity Port Instl (HLMIX)	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
2.12	Longleaf International (LLINX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
2.13	Jackson Square SMID-Cap Growth Fund Institutional Class Shares (JSMTX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
2.14	Semper MBS Total Return Instl (SEMMX)	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
2.15	Schwab Strategic Tr US Large Cap ETF (SCHX)	Yes	\$250,001 - \$500,000		\$2,501 - \$5,000
2.16	GREAT VY SCH DIST PA CHESTER CNTY GO BDS 2022 COUPON 4.00000%	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
2.17	ISHARES TR RUS 2000 GRW ETF (IWO)	Yes	\$1,001 - \$15,000		None (or less than \$201)
2.18	ORACLE CORP NT INT RATE 2.500% MATURITY 04/01/2025 DATED 04/01/2020 B	N/A	\$50,001 - \$100,000		None (or less than \$201)
2.19	CLEVELAND TEX INDPT SCH DIST UNLTD TAX REF BDS 2020 COUPON 4.00000% MATURITY DATE 02/15/2033	N/A	None (or less than \$1,001)	Interest	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
2.20	PIPR QUEEN CREEK ARIZ EXCISE TAX & ST 05.00000% 08/01/2035 SHARED REV OBLIGS SER. 2022	N/A	\$15,001 - \$50,000		None (or less than \$201)
2.21	SCHWAB STRATEGIC TR INTL EQUITY ETF (SCHF)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
2.22	REDWHEEL GLOBAL EMERGING EQUITY I (RWCEX)	Yes		None (or less than \$1,001)	\$201 - \$1,000
2.23	MARICOPA CNTY ARIZ SCH DIST NO 3 TEMPE 04.00000% 07/01/2033 ELEM IMPT BDS PROJ 2016 SER. 2021 C	N/A		None (or less than \$1,001)	Interest \$201 - \$1,000
2.24	WILLIS NORTH AMER INC GTD FXD RT SR NT INT RATE 3.600% MATURITY 05/15/2024 DATED 05/16/2017 B	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
2.25	NORTHWEST TEX INDPT SCH DIST UNLTD TAX 04.00000% 02/15/2033 BLDG BDS SER. 2021	See Endnote	N/A	\$15,001 - \$50,000	Interest \$201 - \$1,000
2.26	Micron Tech SR NT 4.185% Mat 02/15/2027	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
2.27	RBCD New Caney tex Indpt Sch Dist 5.0% 02/15/2038	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
2.28	SAN ANTONIO TEX ELEC & GAS REV SYS REF 05.00000% 02/01/2039 BDS SER. 2023 C	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
2.29	Jany Northside Tex Indpt Sch Dist Var. 5.0% 08/01/37	N/A	\$15,001 - \$50,000		\$201 - \$1,000
2.30	Delaware National High Yield Muni Bond CL I	Yes	\$15,001 - \$50,000		\$201 - \$1,000
3	Flowstone Opportunity Fund	No	\$100,001 - \$250,000		None (or less than \$201)
4	Cresset Partners BDC Fund I, LLC	No	\$50,001 - \$100,000		\$5,001 - \$15,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
5	Versus Capital MULTI MNGR REAL EST INC Fund	Yes	None (or less than \$1,001)		\$1,001 - \$2,500
6	NEW YORK N Y GO BDS SER. FISCAL 2008L	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500

## 7. Transactions

#	DESCRIPTION	TYPE	DATE	AMOUNT
1	MAINSTAY MACKAY HIGH YIELD MUNI BD	Sale	05/02/2024	\$15,001 - \$50,000
2	DELAWARE NATIONAL HIGH YIELD MUNI CL I	Purchase	05/02/2024	\$15,001 - \$50,000
3	VERSUS CAPITAL MULTI MNGR REAL EST INC I	Sale	04/30/2024	\$1,001 - \$15,000
4	MICRON TECHNOLOGY INC SR NT INT RATE 4.185% MATURITY 02/15/2027 DATED 07/12/2019 B	Purchase	02/07/2023	\$15,001 - \$50,000
5	MAINSTAY MACKAY HIGH YIELD MUNI BD CL I	Purchase	12/22/2022	\$15,001 - \$50,000
6	MAINSTAY MACKAY HIGH YIELD MUNI BD CL I	Sale	04/05/2023	\$15,001 - \$50,000
7	MARICOPA CNTY ARIZ SCH DIST NO 028 05.00000% 07/01/2023 KYRENE ELEM IMPT BDS 2017 PROJ SER. 2022 C	Sale	05/10/2023	\$15,001 - \$50,000
8	DENTON CNTY TEX PERM IMPT REF BDS SER. 05.00000% 07/15/2037 2023	Purchase	04/28/2023	\$15,001 - \$50,000
9	GRANT CNTY WASH PUB UTIL DIST NO 2 05.00000% 01/01/2038 PRIEST RAPIDS HYDRO ELEC REV PROJ REF BDS SER. 2023 A	Purchase	07/14/2023	\$15,001 - \$50,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
10	PFLUGERVILLE TEX INDPT SCH DIST ULTD 05.00000% 02/15/2031 TAX SH BLDG BDS SER. 2019 A	Sale	07/13/2023	\$15,001 - \$50,000
11	VERSUS CAPITAL MULTI MNGR REAL EST INC (VCMIX)	Sale	07/31/2023	\$1,001 - \$15,000
12	MEDTRONIC (MDT)	Purchase	08/01/2023	\$15,001 - \$50,000
13	CVS HEALTH CORP COM (CVS)	Sale	08/01/2023	\$50,001 - \$100,000
14	Stryker Corp. (SYK)	Purchase	08/09/2023	\$15,001 - \$50,000
15	MARICOPA CNTY ARIZ SCH DIST NO 3 TEMPE 04.00000% 07/01/2033 ELEM IMPT BDS PROJ 2016 SER. 2021 C	Sale	10/18/2023	\$15,001 - \$50,000
16	SAN ANTONIO TEX ELEC & GAS REV SYS REF 05.00000% 02/01/2039 BDS SER. 2023 C	Purchase	10/18/2023	\$15,001 - \$50,000
17	CLEVELAND TEX INDPT SCH DIST UNLTD TAX 04.00000% 02/15/2033 REF BDS SER. 2020	Sale	10/10/2023	\$15,001 - \$50,000
18	JANY NORTHSIDE TEX INDPT SCH DIST VARIABLE 05.00000% 08/01/2037 RATE UNLTD TAX BLDG BDS SER. 2018 (	Purchase	08/30/2023	\$15,001 - \$50,000
19	ISHARES GOLD TR ISHARES NEW ISIN #US4642852044 SEDOL #BKP74N6	Sale	08/28/2023	\$15,001 - \$50,000
20	GRANT CNTY WASH PUB UTIL DIST NO 2 05.00000% 01/01/2038 PRIEST RAPIDS HYDRO ELEC REV PROJ REF BDS SER. 2023 A	Sale	11/06/2023	\$15,001 - \$50,000
21	RBCD NEW CANEY TEX INDPT SCH DIST UNLTD TAX 05.00000% 02/15/2038 BLDG REF BDS SER. 2023	Purchase	11/06/2023	\$15,001 - \$50,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
22	VERSUS CAPITAL MULTI MNGR REAL EST INC I	Sale	10/30/2023	\$1,001 - \$15,000
23	Amazon Com INC	Purchase	12/07/2023	\$15,001 - \$50,000
24	Blackstone Inc Com	Sale	12/06/2023	\$1,001 - \$15,000
25	BLACKSTONE INC COM	Sale	12/11/2023	\$1,001 - \$15,000
26	PROCTER & GAMBLE CO COM	Sale	12/06/2023	\$15,001 - \$50,000
27	MICROSOFT CORP COM	Sale	12/06/2023	\$1,001 - \$15,000
28	RBCD NEW CANEY TEX INDPT SCH DIST UNLTD TAX 05.00000% 02/15/2038 BLDG REF BDS SER. 2023	Purchase	11/06/2023	\$15,001 - \$50,000
29	VERSUS CAPITAL MULTI MNGR REAL EST INC I (VCMIX)	Sale	01/29/2024	\$1,001 - \$15,000
30	MEDTRONIC PLC SHS (MDT)	Purchase	01/19/2024	\$15,001 - \$50,000

## 8. Liabilities

#	CREDITOR NAME	TYPE	AMOUNT	YEAR INCURRED	RATE	TERM
1	Citibank	Credit Card	\$10,001 - \$15,000	2016	variable	Revolving

## 9. Gifts and Travel Reimbursements

None

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## Endnotes

PART	#	ENDNOTE
6.	2.25	This municipal bond was held prior to the reporting year. It was inadvertently excluded on the filer's 2021 report, and filer is reporting it here as a correction.

## Summary of Contents

### 1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

### 2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

### 3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

#### 4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

#### 5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

#### 6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

## 7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during the reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

## 8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

## 9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$480 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$480 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$192 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

## Privacy Act Statement

5 U.S.C. § 13101 et seq., and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with 5 U.S.C. §§ 13107 and § 13122(b)(1) or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13989 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

## Public Burden Information

This collection of information is estimated to take an average of ten hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE) 250 E Street, S.W., Suite 750, Washington, DC 20024-3249.

Pursuant to the Paperwork Reduction Act, as amended, an agency may not conduct or sponsor, and no person is required to respond to, a collection of information unless it displays a currently valid OMB (that control number 3209-0001, is displayed here and at the top of the first page of this OGE Form 278e).