

Executive Branch Personnel

Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

Patrick, Ryan

US Attorney, Department of Justice - Executive Office for United States Attorneys

Date of Termination: 02/28/2021

Other Federal Government Positions Held During the Preceding 12 Months:

None

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Patrick, Ryan [electronically signed on 02/28/2021 by Patrick, Ryan in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Elise Alvidrez, for James E. Macklin, Certifying Official [electronically signed on 03/25/2021 by Elise Alvidrez, for James E. Macklin in Integrity.gov]

Other review conducted by

/s/ Neurock, Mitchel, Ethics Official [electronically signed on 03/02/2021 by Neurock, Mitchel in Integrity.gov]

U.S. Office of Government Ethics Certification

/s/ Granahan, Megan, Certifying Official [electronically signed on 03/26/2021 by Granahan, Megan in Integrity.gov]

Data Revised 03/25/2021

1. Filer's Positions Held Outside United States Government

None

2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Texas County and District Retirement System, defined benefit plan	N/A	\$50,001 - \$100,000		None (or less than \$201)
2	Employee Retirement System of Texas, defined benefit plan	N/A	\$15,001 - \$50,000		None (or less than \$201)
3	Putnam Funds Tr Short Duration (PSDYX)	No	\$1,001 - \$15,000	Capital Gains	None (or less than \$201)
4	U.S. bank (cash account)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5	IRA	No		Mandatory distribution from inherited IRA	\$456
5.1	Vanguard Ftse Developed VEA	No	\$15,001 - \$50,000		None (or less than \$201)
5.2	Vanguard Ftse Emerging VWO	No	\$1,001 - \$15,000		None (or less than \$201)
5.3	Ishares Tust EMB	No	None (or less than \$1,001)		None (or less than \$201)
5.4	First Trust Preferred FPE	No	\$1,001 - \$15,000		None (or less than \$201)
5.5	SSGA Active Trust SRLN	No	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
5.6	Ishares 20 Plus TLT	No	\$1,001 - \$15,000		None (or less than \$201)
5.7	Vanguard Intermediate VCIT	No	\$1,001 - \$15,000		None (or less than \$201)
5.8	SPDR SER TR KBE	Yes	\$1,001 - \$15,000		None (or less than \$201)
5.9	Ishares Core S&P IIVV	Yes	\$15,001 - \$50,000		None (or less than \$201)
5.10	DBX ETF Tust HYLB	Yes	\$1,001 - \$15,000		None (or less than \$201)
5.11	Schwab Strategic SCHR	Yes	\$1,001 - \$15,000		None (or less than \$201)
5.12	Financial Select Sector SPDR fund (XLF)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
6	ROTH IRA	No			
6.1	DBX Etf Trust	Yes	\$1,001 - \$15,000		None (or less than \$201)
6.2	Ishares Core S&P IIVV	Yes	\$1,001 - \$15,000		None (or less than \$201)
6.3	Vanguard Ftse VEA	Yes	\$1,001 - \$15,000		None (or less than \$201)
7	Harris County 457 Plan	No			
7.1	Nationwide Bond Index	Yes	\$1,001 - \$15,000		None (or less than \$201)
7.2	Nationwide Destination 2045	Yes	\$1,001 - \$15,000		None (or less than \$201)
7.3	T. Rowe Price Growth Stock	Yes	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
7.4	Nationwide Large Cap Growth Portfolio	Yes	\$1,001 - \$15,000	None (or less than \$201)	
7.5	Invesco Oppenheimer Limited Term Govt	Yes	\$1,001 - \$15,000	None (or less than \$201)	
7.6	Fidelity OTC	Yes	\$1,001 - \$15,000	None (or less than \$201)	
7.7	Wells Fargo Discovery Fund	Yes	\$1,001 - \$15,000	None (or less than \$201)	
7.8	Nationwide Loomis Core	Yes	\$1,001 - \$15,000	None (or less than \$201)	
8	State of Texas 401(k)	No			
8.1	LifePath Index 2045 Fund F	See Endnote	Yes	\$1,001 - \$15,000	None (or less than \$201)
8.2	BlackRock Bond Index		Yes	\$1,001 - \$15,000	None (or less than \$201)
8.3	BlackRock Short Term Investment		Yes	\$1,001 - \$15,000	None (or less than \$201)

3. Filer's Employment Agreements and Arrangements

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
1	Law Office of Ryan Patrick, PLLC	Houston, Texas	My solo legal practice is inactive and exists on paper only. All outstanding fees were paid and distributed to me prior to my swearing in.	1/2017
2	Texas County and District Retirement System	Austin, Texas	I will continue to participate in this defined benefit plan.	11/2006
3	Employee Retirement System of Texas	Austin, Texas	I will continue to participate in this defined benefit plan.	9/2012

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
4	Harris County	Houston, Texas	I will continue to participate in this defined contribution plan, but the plan sponsor no longer makes contributions.	6/2008
5	State of Texas	Austin, Texas	I will continue to participate in this defined contribution plan, but the plan sponsor no longer makes contributions.	9/2012
6	Haynes and Boone, LLP	Dallas, Texas	I have signed a two year offer, and am waiting for the partner vote to join the firm's litigation group as a non equity partner.	3/2021

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

(N/A) - Not required for this type of report

5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Teachers Retirement System of Texas, defined benefit plan	N/A	\$15,001 - \$50,000		None (or less than \$201)
2	Roth IRA	No			
2.1	Boeing	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$201 - \$1,000
2.2	Pepsico	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.3	USAA INCOME FUND	Yes	\$1,001 - \$15,000		None (or less than \$201)
2.4	USAA AGGRESSIVE GROWTH	Yes	None (or less than \$1,001)		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
2.5	USAA INCOME STOCK FUND	Yes	None (or less than \$1,001)		None (or less than \$201)
2.6	USAA TARGET RETIREMENT FUND 2040	Yes	\$1,001 - \$15,000		None (or less than \$201)
2.7	USAA NASDAQ 100 INDEX	Yes	\$1,001 - \$15,000		None (or less than \$201)
2.8	USAA INTERMEDIATE TERM BOND RETAIL	Yes	\$1,001 - \$15,000		None (or less than \$201)
2.9	Apple, Inc. (AAPL)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.10	Ford Motor Co. (F)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2.11	Technology Select Sector SPDR Fund (XLK)	Yes	\$1,001 - \$15,000		
2.12	Schwab 1000 Index Fund (SNXFX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
3	IRA	No			
3.1	Apple, Inc. (AAPL)	N/A	None (or less than \$1,001)		None (or less than \$201)
3.2	iShares Russell 2000 ETF (IWM)	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.3	SPDR S&P 500 ETF Trust (SPY)	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.4	Schwab 1000 Index Fund (SNXFX)	Yes	\$1,001 - \$15,000		None (or less than \$201)

6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	U.S. bank account #1 (cash)	N/A	\$1,001 - \$15,000		None (or less than \$201)
2	U.S. bank account #2 (cash)	N/A	\$100,001 - \$250,000	Interest	\$201 - \$1,000
3	U.S. bank account #4 (RBC NP)	N/A	\$100,001 - \$250,000	Interest	None (or less than \$201)
3.1	Ishares Core S&P IVV	Yes	\$15,001 - \$50,000		None (or less than \$201)
3.2	SPDR Ser Tr KBE	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.3	Vanguard ftse VEA	Yes	\$15,001 - \$50,000		None (or less than \$201)
3.4	Vanguard ftse Emerging VWO	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.5	Ishares Trust EMB	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.6	First Trust Preferred FPE	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.7	SSGA Active Trust SRLN	Yes	None (or less than \$1,001)		None (or less than \$201)
3.8	Ishares 20 Plus TLT	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.9	Vanguard Intermediate VCIT	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.10	DBX ETF Trust HYLB	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.11	Schawb Strategic SCHR	Yes	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3.12	Sector Spider Trust XLP	Yes	\$1,001 - \$15,000		None (or less than \$201)
4	Moleculin Biotech, Inc. (MBRX)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5	Goeb Family Limited Partnership (15% interest)	No			
5.1	U.S. brokerage account #1 (cash)	N/A	\$250,001 - \$500,000	Interest	\$201 - \$1,000
5.2	PRUDENTIAL S/T CORP BD Z (PIFZX)	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
5.3	THORNBURG LTD TRM MUNI I (LTMIX)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
5.4	FPA CRESCENT PORT INSTL (FPACX)	Yes	\$1,001 - \$15,000		\$1,001 - \$2,500
5.5	FIRST EAGLE GLOBAL FD I (SGIIX)	Yes	\$1,001 - \$15,000		\$1,001 - \$2,500
5.6	IVA WORLDWIDE FUND CL I (IVWIX)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
5.7	FRANKLIN INCOME ADV (FRIAX)	Yes	\$1,001 - \$15,000		\$1,001 - \$2,500
5.8	BLACKROCK EQUITY DIV I (MADVX)	Yes	\$1,001 - \$15,000		\$1,001 - \$2,500
5.9	JPMORGAN HIGH YLD BD-I (OHYFX)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
5.10	VANGUARD WELLSSLY INC ADM (VWIAX)	Yes	\$1,001 - \$15,000		\$1,001 - \$2,500
5.11	T ROWE PRICE MID CAP GRW (RPMGX)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
5.12	VIRTUS VONTobel EMG I (HIEMX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
5.13	ARTISAN GLOBAL CL AD (APDRX)	Yes	None (or less than \$1,001)		None (or less than \$201)
5.14	T ROWE PRICE DIVR SM CAP (PRDSX)	Yes	None (or less than \$1,001)		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
5.15	WELLS FARGO SPL M/C VL I (WFMIX)	Yes	None (or less than \$1,001)		None (or less than \$201)
5.16	ARTISAN INTERNATIO CL AD (APDKX)	Yes	None (or less than \$1,001)		None (or less than \$201)
5.17	AMERN CENTY S/C VAL INV (ASVIX)	Yes	None (or less than \$1,001)		None (or less than \$201)
5.18	TEMPLETON DRAGON FD INC (TDF)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
5.19	E VANCE T/M GLBL DIV EQY (EXG)	Yes	None (or less than \$1,001)		None (or less than \$201)
5.20	MONT CO TX HLTH 0%23 UIT	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.21	SEMINOLE TX 5.0%02/15/26 Muni Bond/UIT	N/A	\$1,001 - \$15,000	Interest	\$1,001 - \$2,500
5.22	GOODYEAR 8.75% 081520 Corporate Bond/UIT	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
5.23	FORD HLDGS 9.375%3/01/20 Corp Bond/UIT	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
5.24	UNITED CONTINENTAL H SR UNSECURED CPN 6.375% 060118 corporate bond/	N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
5.25	UNION CARBIDE CORP DEBENTURES CPN 7.5% 6/1/25	N/A	None (or less than \$1,001)	Interest	\$201 - \$1,000
5.26	COOPER TIRE 8.0%12/15/19	N/A	None (or less than \$1,001)	Interest	\$201 - \$1,000
5.27	DYNEGY INC 8.034%020224 corp bond/uit	N/A	None (or less than \$1,001)		None (or less than \$201)
5.28	APPLE INC (AAPL)	N/A	\$50,001 - \$100,000	Dividends Capital Gains	\$5,001 - \$15,000
5.29	BERKSHIRE HATHAWAY INC B (BRK'B)	N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$5,001 - \$15,000
5.30	JOHNSON & JOHNSON (JNJ)	N/A	\$1,001 - \$15,000	Dividends	\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
5.31	MICROSOFT CORP	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$5,001 - \$15,000
5.32	ALPHABET INC CL C (GOOG)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.33	ALPHABET INC CL A (GOOGL)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.34	HOME DEPOT INC (HD)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
5.35	WAL-MART STORES INC (WMT)	N/A	\$15,001 - \$50,000	Capital Gains	\$1,001 - \$2,500
5.36	SPDR S&P 500 TRUST ETF (SPY)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
5.37	AMAZON COM INC (AMZN)	N/A	\$1,001 - \$15,000	Capital Gains	\$2,501 - \$5,000
5.38	POWERSHRS DWA ENERGY ETF (QQQ)	Yes	\$1,001 - \$15,000		None (or less than \$201)
5.39	FACEBOOK INC CLASS A (FB)	N/A	\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000
5.40	PFIZER INCORPORATED (PFE)	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$201 - \$1,000
5.41	STARBUCKS CORP (SBUX)	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$201 - \$1,000
5.42	AMAZON COM INC	N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$1,001 - \$2,500
5.43	AMERICAN INTL GROUP INC (AIG)	N/A	\$1,001 - \$15,000		None (or less than \$201)
5.44	Pi Capital	N/A			
5.44.1	BTH Bancshares (BTH)	N/A	\$50,001 - \$100,000		None (or less than \$201)
5.45	Houston Broadcasting Note	N/A	\$50,001 - \$100,000	Interest	\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
5.46	Citigroup C	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$201 - \$1,000
5.47	ISHS ITA	Yes	\$1,001 - \$15,000		\$201 - \$1,000
5.48	Abbott Laboratories (ABT)	N/A	\$1,001 - \$15,000	Dividends Capital Gains	
5.49	American Tower Corporation (REIT) (AMT)	N/A	\$1,001 - \$15,000	Capital Gains	None (or less than \$201)
5.50	AMG Yacktman Fund Class I Shares (YACKX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
5.51	BlackRock, Inc. (BLK)	N/A	\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000
5.52	Calamos Growth & Income Fund Institutional Class	Yes	\$1,001 - \$15,000		None (or less than \$201)
5.53	Calamos Market Neutral Income Fund Class I Shares (CMNIX)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
5.54	CBTX, Inc. (Texas) (CBTX)	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$201 - \$1,000
5.55	The Clorox Co. (CLX)	N/A	\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000
5.56	The Walt Disney Co. (DIS)	N/A	\$1,001 - \$15,000	Capital Gains	\$1,001 - \$2,500
5.57	Emerson Electric Co. (EMR)	N/A	\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000
5.58	Federated Kaufmann Small Cap Fund Institutional Class Shares (FKAIX)	No	\$1,001 - \$15,000	Dividends Capital Gains	\$1,001 - \$2,500
5.59	First Trust Dow Jones Internet Index Fund (FDN)	No	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
5.60	HCA Healthcare, Inc. (HCA)	No	\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000
5.61	L3Harris Technologies, Inc. (LHX)	No	\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000
5.62	PIMCO Income Fund Institutional Class Shares (PIMIX)	No	\$1,001 - \$15,000	Dividends Capital Gains	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
5.63	Royal Caribbean Cruises Ltd. (RCL)	No	\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000
5.64	SPDR Dow Jones Industrial Average ETF Trust (DIA)	No	\$1,001 - \$15,000	Capital Gains Dividends	\$201 - \$1,000
5.65	United Airlines Holdings, Inc. (UAL)	No	\$1,001 - \$15,000	Capital Gains	\$1,001 - \$2,500
5.66	Vanguard Short-Term Investment-Grade Fund Admiral Shares (VFSUX)	No	\$15,001 - \$50,000	Dividends Capital Gains	\$2,501 - \$5,000
5.67	Wells Fargo Short-Term High Yield Bond Fund Institutional Class Shares (STYIX)	No	\$15,001 - \$50,000	Dividends Capital Gains	\$2,501 - \$5,000
5.68	WEC Energy Group, Inc. (WEC)	No	\$1,001 - \$15,000	Capital Gains	\$201 - \$1,000
6	R&S Radio Partners (65% share)	No			
6.1	Houston Broadcasting Note	N/A	\$500,001 - \$1,000,000	Interest	\$50,001 - \$100,000
6.2	U.S. bank account #4 (cash)	N/A	\$50,001 - \$100,000		None (or less than \$201)
7	VA 529 Plan	No			
7.1	American Funds Fundamental Investors	Yes	\$1,001 - \$15,000		None (or less than \$201)
8	U.S. brokerage account #2	No			
8.1	Apple	N/A	\$15,001 - \$50,000	Dividends Capital Gains	\$5,001 - \$15,000
8.2	CSX Corporation (CSX)	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$201 - \$1,000
8.3	ENERGY TRANSFER PARTNERS EQUITY	N/A	\$1,001 - \$15,000	Dividends Capital Gains	\$201 - \$1,000
8.4	Pfizer Inc	N/A	\$1,001 - \$15,000	Dividends Capital Gains	None (or less than \$201)
8.5	SPDR S&P 500	Yes	\$1,001 - \$15,000		\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
8.6	United States Oil Fund LP (USO)	Yes	\$1,001 - \$15,000		None (or less than \$201)
8.7	USAA INCOME STOCK FUND	Yes	\$1,001 - \$15,000		\$201 - \$1,000
8.8	Veritex Holdings, Inc. - Common Stock (VBTX)	See Endnote	No	\$1,001 - \$15,000	None (or less than \$201)
9	Family Trust #1		No		
9.1	U.S. bank account #5 (cash)	N/A	\$1,000,001 - \$5,000,000	Interest	\$5,001 - \$15,000
10	U.S. bank account #3 (cash)	N/A	\$100,001 - \$250,000	Interest	\$201 - \$1,000
11	College Account 9621		No		
11.1	American Growth CGPAX	Yes	\$15,001 - \$50,000		None (or less than \$201)
11.2	American FDS Growth CBAAX	Yes	\$15,001 - \$50,000		None (or less than \$201)
12	Deceased IRA		No		
12.1	First Eagle SGIIIX	Yes	\$1,001 - \$15,000		None (or less than \$201)
12.2	FPA FDS TR FPACX	Yes	\$1,001 - \$15,000		None (or less than \$201)
12.3	DWS Core SUWCX	Yes	\$1,001 - \$15,000		None (or less than \$201)
12.4	American Century ASVNX	Yes	\$1,001 - \$15,000		None (or less than \$201)
12.5	Growth Fund America GFACX	Yes	\$1,001 - \$15,000		None (or less than \$201)
12.6	Hartford HMDX	Yes	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
12.7	New Perspective NPFCX	Yes	\$1,001 - \$15,000		None (or less than \$201)
12.8	Wells Fargo WFPCX	Yes	\$1,001 - \$15,000		None (or less than \$201)
13	College Account 1592	No			
13.1	American Funds CGPAX	Yes	\$15,001 - \$50,000		\$201 - \$1,000
13.2	American Funds CBAAX	Yes	\$15,001 - \$50,000		\$201 - \$1,000

7. Transactions

#	DESCRIPTION	TYPE	DATE	AMOUNT	
1	Berkshire Hathaway B BRK.B	Purchase	02/26/2020	\$1,001 - \$15,000	
2	CSX Corp. (CSX)	Sale	07/03/2020	\$1,001 - \$15,000	
3	Netflix, Inc. (NFLX)	Purchase	07/07/2020	\$1,001 - \$15,000	
4	Veritex Holdings, Inc. (VBTX)	Sale	07/31/2020	\$1,001 - \$15,000	
5	Microsoft Corp. (MSFT)	See Endnote	Purchase	09/22/2020	\$1,001 - \$15,000
6	Walgreens Boots Alliance, Inc. (WBA)	Sale	09/10/2020	\$1,001 - \$15,000	
7	The Boeing Co. (BA)	Sale	09/10/2020	\$1,001 - \$15,000	
8	Ford Motor Co. (F)	Purchase	02/04/2021	\$1,001 - \$15,000	
9	The TJX Cos., Inc. (TJX)	Purchase	02/03/2021	\$1,001 - \$15,000	
10	Domino's Pizza, Inc. (DPZ)	Sale	02/01/2021	\$1,001 - \$15,000	

8. Liabilities

#	CREDITOR NAME	TYPE	AMOUNT	YEAR INCURRED	RATE	TERM
1	Penny Mac	Mortgage on Personal Residence	\$250,001 - \$500,000	2019	3.875	30 year

9. Gifts and Travel Reimbursements

None

Endnotes

PART	#	ENDNOTE
2.	8.1	Mistakenly had the fund listed as LifePath Index 2040 Fund F. There was no transaction. It was always the 2045 fund.
6.	8.8	Green Bank merged with and took the name of Veritex
7.	5	This represents the 15% I own of the brokerage account.

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during the reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$166 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

Public Burden Information

This collection of information is estimated to take an average of ten hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE), Suite 500, 1201 New York Avenue, N.W., Washington, DC 20005-3917.

Pursuant to the Paperwork Reduction Act, as amended, an agency may not conduct or sponsor, and no person is required to respond to, a collection of information unless it displays a currently valid OMB control number (that number, 3209-0001, is displayed here and at the top of the first page of this OGE Form 278e).