

## Executive Branch Personnel

### Public Financial Disclosure Report (OGE Form 278e)

#### Filer's Information

---

Pamerleau, Susan

U.S. Marshal, Department of Justice - U.S. Marshals Service

Report Year: 2022

---

Other Federal Government Positions Held During the Preceding 12 Months:

None

---

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Pamerleau, Susan [electronically signed on 05/05/2022 by Pamerleau, Susan in Integrity.gov]

---

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Dickinson, Lisa, Certifying Official [electronically signed on 10/06/2022 by Dickinson, Lisa in Integrity.gov]

---

Other review conducted by

/s/ Choi, Amanda, Ethics Official [electronically signed on 10/06/2022 by Choi, Amanda in Integrity.gov]

---

U.S. Office of Government Ethics Certification

/s/ Granahan, Megan, Certifying Official [electronically signed on 10/27/2022 by Granahan, Megan in Integrity.gov]

---

Data Revised 10/24/2022

Data Revised 09/08/2022

## 1. Filer's Positions Held Outside United States Government

#	ORGANIZATION NAME	CITY, STATE	ORGANIZATION TYPE	POSITION HELD	FROM	TO
1	Family Trust #1	San Antonio, Texas	Trust	Trustee	4/2004	Present

## 2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	USAA, defined benefit plan (value not readily ascertainable)	N/A		Retirement Payments (monthly)	\$21,030
2	USAA Retired Senior Officer Insurance Stipend (value not readily ascertainable)	N/A		Premium stipend (before taxes)	\$16,773
3	RETIREMENT (IRA)				
3.1	U.S. BROKERAGE MONEY MARKET	Yes	\$15,001 - \$50,000	None (or less than \$201)	
3.2	CIM REAL ESTATE FINANCE TRUST INC	See Endnote	Yes	\$100,001 - \$250,000	\$1,001 - \$2,500
3.3	GRiffin INSTITUTIONAL ACCESS RE FUND I (GRIFX)		Yes	\$100,001 - \$250,000	None (or less than \$201)
3.4	ISHARES USA MOMENTUM (MTUM)		Yes	\$50,001 - \$100,000	\$201 - \$1,000
3.5	PACER US CASH COWS 100 (COWZ)		Yes	\$50,001 - \$100,000	\$2,501 - \$5,000
3.6	Invesco Corporate Bond Y (ACCHX)		Yes	None (or less than \$1,001)	None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3.7	Hartford Emerging Markets Local Debt Fund (HLDIX)	Yes	None (or less than \$1,001)		None (or less than \$201)
3.8	ABSOLUTE SHS TR WBI BULBEAR TR (WBIN)	Yes	None (or less than \$1,001)		None (or less than \$201)
3.9	FIRST TR EXCHANGE-TRADED FD CAP STRENGTH ETF (FTCS)	Yes	\$50,001 - \$100,000		\$201 - \$1,000
3.10	FIRST TR EXCHNG TRADED FD VI TCW OPPORTUNIS (FIXD)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
3.11	GS CORE FIXED INCOME FUND INV (GDFTX)	Yes	None (or less than \$1,001)		None (or less than \$201)
3.12	PACER FDS TR TRENDPILOT US BD (PTBD)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
3.13	PIMCO Income Fund Institutional Class Shares (PIMIX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
3.14	SHORT DURATION CORE PLUS FUND - I (JSDSX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
3.15	ARK ETF TR INNOVATION ETF (ARKK)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
3.16	PACER FDS TR PACER US SMALL (CALF)	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
3.17	FIRST TR EXCHANGE TRADED FD NASDAQ CYB ETF (CIBR)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
3.18	FIRST TR EXCHANGE-TRADED FD RISNG DIVD ACHIV (RDVY)	Yes	\$100,001 - \$250,000		\$1,001 - \$2,500
3.19	FIRST TR EXCHANGE-TRADED FD SMID RISNG ETF	Yes	\$15,001 - \$50,000		\$201 - \$1,000
3.20	HARTFORD CORE EQUITY FD - I (HGIIX)	Yes	\$50,001 - \$100,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3.21	MID CAP GROWTH - I (HLGEX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
3.22	DEVELOPING CAPITAL MARKETS - INSTITUTIONAL CLASS (MADCX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.23	TRANSAMERICA CAPITAL GROWTH-I (	Yes	\$15,001 - \$50,000		None (or less than \$201)
4	USAA Retired Senior Officer Whole Life Insurance	N/A	\$100,001 - \$250,000	Dividends Interest	\$2,501 - \$5,000

### 3. Filer's Employment Agreements and Arrangements

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
1	USAA	San Antonio, Texas	I will continue to participate in this defined benefit plan.	1/2001
2	USAA	San Antonio, Texas	As a retired senior executive, USAA provides a whole life insurance policy, and an annual stipend to pay the premium for the Retired Senior Officer Whole Life Insurance policy. Amount determined annually, and payable till age 95.	4/2007

### 4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

(N/A) - Not required for this type of report

### 5. Spouse's Employment Assets & Income and Retirement Accounts

None

---

## 6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	U.S. bank account #1 (cash)	N/A	\$250,001 - \$500,000	Interest	\$201 - \$1,000
2	Family Trust #1		\$1,000,001 - \$5,000,000		\$15,001 - \$50,000
2.1	U.S. MONEY MARKET ACCOUNT (cash)	Yes	\$15,001 - \$50,000		None (or less than \$201)
2.2	GRIFFIN INSTITUTIONAL ACCESS RE FUND I (GRIFX)	Yes	\$100,001 - \$250,000		None (or less than \$201)
2.3	PHILLIPS EDISON GROCERY CTR REIT I (PHEC)	Yes	None (or less than \$1,001)		None (or less than \$201)
2.4	STEBEN FUTURES PORTFOLIO FUND, LTD PARTNERSHIP, CLASS A	Yes	\$100,001 - \$250,000		None (or less than \$201)
2.5	ISHARES USA MOMENTUM ETF (MTUM)	Yes	\$100,001 - \$250,000		\$201 - \$1,000
2.6	PACER US CASH COWS 100 (COWZ)	Yes	\$100,001 - \$250,000		\$2,501 - \$5,000
2.7	Invesco Corporate Bond Y (ACCHX)	Yes	None (or less than \$1,001)		None (or less than \$201)
2.8	Hartford Emerging Markets Local Debt I (HLDIX)	Yes	None (or less than \$1,001)		None (or less than \$201)
2.9	ABSOLUTE SHS TR WBI BULBEAR TR (WBIN)	Yes	None (or less than \$1,001)		None (or less than \$201)
2.10	FIRST TR EXCHANGE-TRADED FD CAP STRENGTH ETF (FTCS)	Yes	\$100,001 - \$250,000		\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
2.11	FIRST TR EXCHNG TRADED FD VI TCW OPPORTUNIS (FIXD)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
2.12	GS CORE FIXED INCOME FUND INV (GDFTX)	Yes	None (or less than \$1,001)		None (or less than \$201)
2.13	PACER FDS TR TRENDPILOT US BD (PTBD)	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
2.14	PIMCO INCOME INST (PIMIX)	Yes	\$50,001 - \$100,000		None (or less than \$201)
2.15	SHORT DUR HIGH YIELD MUNI BD F (SDHFX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
2.16	ARK ETF TR NEXT GNRTN INTER (ARKW)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
2.17	PACER FDS TR PACER US SMALL (CALF)	Yes	\$100,001 - \$250,000		\$2,501 - \$5,000
2.18	FIRST TR EXCHANGE TRADED FD NASDAQ CYB ETF (CIBR)	Yes	\$50,001 - \$100,000		\$201 - \$1,000
2.19	ISHARES INC MSCI EMRG CHN (EMXC)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
2.20	ISHARES TR CORE S&P500 ETF (IVV)	Yes	\$100,001 - \$250,000		None (or less than \$201)
2.21	ISHARES TR RUS MD CP GR ETF (IWP)	Yes	\$100,001 - \$250,000		None (or less than \$201)
2.22	FIRST TR EXCHANGE-TRADED FD RISNG DIVD ACHIV (RDVY)	Yes	\$100,001 - \$250,000		\$1,001 - \$2,500
2.23	FIRST TR EXCHANGE-TRADED FD SMID RISNG ETF (SDVY)	Yes	\$50,001 - \$100,000		\$201 - \$1,000
2.24	HARTFORD CORE EQUITY FD - I (HGIIX)	Yes	\$100,001 - \$250,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3	NATIONWIDE ANNUITY (VARIABLE)	No	\$50,001 - \$100,000		None (or less than \$201)
3.1	ALLIANCEBERNSTEIN VPS SMALL/MID CAP VALUE PORTFOLIO: CLASS B	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.2	FIDELITY VARIABLE INSURANCE PRODUCTS FUND - VIP ENERGY PORTFOLIO: SERVICE CLASS 2	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.3	FIDELITY VARIABLE INSURANCE PRODUCTS FUND - VIP OVERSEAS PORTFOLIO: SERVICE CLASS 2	Yes	\$15,001 - \$50,000		None (or less than \$201)
3.4	IVY VARIABLE INSURANCE PORTFOLIOS - MID CAP GROWTH	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.5	JANUS HENDERSON VIT OVERSEAS PORTFOLIO: SERVICE SHARES	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.6	NATIONWIDE VARIABLE INSURANCE TRUST - AMERICAN FUNDS NVIT GLOBAL GROWTH FUND: CLASS II	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.7	NATIONWIDE VARIABLE INSURANCE TRUST - BLACKROCK NVIT EQUITY DIV FUND: CLASS II	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.8	NATIONWIDE VARIABLE INSURANCE TRUST - NVIT REAL ESTATE FUND: CLASS II	Yes	\$1,001 - \$15,000		None (or less than \$201)
3.9	WELLS FARGO VARIABLE TRUST - VT SMALL CAP GROWTH FUND: CLASS 2	Yes	None (or less than \$1,001)		None (or less than \$201)
3.10	ALLSPR VT SMCAP GR 2	No	\$1,001 - \$15,000		None (or less than \$201)
4	USAA Universal Life	N/A	\$100,001 - \$250,000		None (or less than \$201)
5	Individual Investment Account	N/A	\$250,001 - \$500,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
5.1	PHILLIPS EDISON & COMPANY, INC. (PHEC)	No	\$250,001 - \$500,000		None (or less than \$201)

## 7. Transactions

#	DESCRIPTION	TYPE	DATE	AMOUNT
1	ARK Autonomous Technology & Robotics ETF (ARKQ)	Purchase	01/25/2021	\$50,001 - \$100,000
2	First Trust NASDAQ Cybersecurity ETF (CIBR)	Purchase	01/25/2021	\$50,001 - \$100,000
3	First Trust Rising Dividend Achievers ETF (RDVY)	Purchase	01/25/2021	\$250,001 - \$500,000
4	First Trust TCW Opportunistic Fixed Income ETF (FIXD)	Purchase	01/25/2021	\$15,001 - \$50,000
5	Goldman Sachs Core Fixed Income Fund Investor Class Shares (GDFTX)	Purchase	01/25/2021	\$15,001 - \$50,000
6	Hartford Core Equity Fund Class I Shares (HGIIX)	Purchase	01/25/2021	\$100,001 - \$250,000
7	JPMorgan Mid Cap Growth Fund Class I Shares (HLGEX)	Purchase	01/25/2021	\$100,001 - \$250,000
8	Pacer US Small Cap Cash Cows 100 ETF (CALF)	Purchase	01/25/2021	\$100,001 - \$250,000
9	Pacer Trendpilot US Bond ETF (PTBD)	Purchase	01/25/2021	\$15,001 - \$50,000
10	PIMCO Income Fund Institutional Class Shares (PIMIX)	Purchase	01/25/2021	\$15,001 - \$50,000
11	Transamerica Capital Growth Class I Shares (TFOIX)	Purchase	01/25/2021	\$100,001 - \$250,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
12	ARK Innovation ETF (ARKK)	Purchase	07/02/2021	\$50,001 - \$100,000
13	BlackRock Emerging Markets Fund, Inc Institutional Shares (MADCX)	Purchase	07/02/2021	\$15,001 - \$50,000
14	First Trust NASDAQ Cybersecurity ETF (CIBR)	Purchase	07/02/2021	\$1,001 - \$15,000
15	First Trust SMID Cap Rising Dividend Achievers ETF (SDVY)	Purchase	07/02/2021	\$15,001 - \$50,000
16	First Trust TCW Opportunistic Fixed Income ETF (FIXD)	Purchase	07/02/2021	\$1,001 - \$15,000
17	Pacer Trendpilot US Bond ETF (PTBD)	Purchase	07/02/2021	\$1,001 - \$15,000
18	PIMCO Income Fund Institutional Class Shares (PIMIX)	Purchase	07/02/2021	\$1,001 - \$15,000
19	JPMorgan Short Duration Core Plus Fund Class I Shares (JSDSX)	Purchase	07/02/2021	\$15,001 - \$50,000
20	First Trust Capital Strength ETF (FTCS)	Sale	01/15/2021	\$250,001 - \$500,000
21	iShares Edge MSCI USA Momentum Factor ETF (MTUM)	Sale	01/15/2021	\$250,001 - \$500,000
22	Pacer US Cash Cows 100 ETF (COWZ)	Sale	01/15/2021	\$250,001 - \$500,000
23	iShares Edge MSCI USA Momentum Factor ETF (MTUM)	Sale	01/25/2021	\$1,001 - \$15,000
24	First Trust NASDAQ Cybersecurity ETF (CIBR)	Sale	05/21/2021	\$1,001 - \$15,000
25	ARK Autonomous Technology & Robotics ETF (ARKQ)	Sale	07/02/2021	\$50,001 - \$100,000
26	First Trust Rising Dividend Achievers ETF (RDVY)	Sale	07/02/2021	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
27	First Trust Capital Strength ETF (FTCS)	Sale	07/02/2021	\$15,001 - \$50,000
28	Goldman Sachs Core Fixed Income Fund Investor Class Shares (GDFTX)	Sale	07/02/2021	\$50,001 - \$100,000
29	Hartford Core Equity Fund Class I Shares (HGIIX)	Sale	07/02/2021	\$15,001 - \$50,000
30	iShares Edge MSCI USA Momentum Factor ETF (MTUM)	Sale	07/02/2021	\$1,001 - \$15,000
31	JPMorgan Mid Cap Growth Fund Class I Shares (HLGEX)	Sale	07/02/2021	\$15,001 - \$50,000
32	Pacer US Small Cap Cash Cows 100 ETF (CALF)	Sale	07/02/2021	\$1,001 - \$15,000
33	Transamerica Capital Growth Class I Shares (TFOIX)	Sale	07/02/2021	\$15,001 - \$50,000
34	Pacer US Cash Cows 100 ETF (COWZ)	Sale	07/02/2021	\$1,001 - \$15,000
35	Lord Abbett Short Duration High Yield Municipal Bond Fund Class F Shs (SDHFX)	Purchase	07/02/2021	\$15,001 - \$50,000
36	iShares MSCI Emerging Markets ex China ETF (EMXC)	Purchase	09/17/2021	\$50,001 - \$100,000
37	First Trust SMID Cap Rising Dividend Achievers ETF (SDVY)	Purchase	09/17/2021	\$50,001 - \$100,000
38	ARK Next Generation Internet ETF (ARKW)	Purchase	12/10/2021	\$15,001 - \$50,000
39	ARK Innovation ETF (ARKK)	Sale	12/10/2021	\$15,001 - \$50,000
40	BlackRock Emerging Markets Fund, Inc Institutional Shares (MADCX)	Sale	11/30/2021	\$15,001 - \$50,000
41	iShares Core S&P 500 ETF (IVV)	Purchase	12/30/2021	\$100,001 - \$250,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
42	Transamerica Capital Growth Class I Shares (TFOIX)	Sale	12/30/2021	\$100,001 - \$250,000
43	iShares Russell Mid-Cap Growth ETF (IWP)	Purchase	12/31/2021	\$100,001 - \$250,000
44	JPMorgan Mid Cap Growth Fund Class I Shares (HLGEX)	Sale	12/31/2021	\$100,001 - \$250,000

## 8. Liabilities

None

---

## 9. Gifts and Travel Reimbursements

None

---

## Endnotes

PART	#	ENDNOTE
2.	3.2	Per internet research, this asset was previously named Cole Credit Property Trust, which is also what appeared on filer's 2020 form.

## Summary of Contents

### 1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

### 2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

### 3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

#### 4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

#### 5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

#### 6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

## 7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during the reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

## 8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

## 9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$166 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

## Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

## Public Burden Information

This collection of information is estimated to take an average of ten hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE), Suite 500, 1201 New York Avenue, N.W., Washington, DC 20005-3917.

Pursuant to the Paperwork Reduction Act, as amended, an agency may not conduct or sponsor, and no person is required to respond to, a collection of information unless it displays a currently valid OMB control number (that number, 3209-0001, is displayed here and at the top of the first page of this OGE Form 278e).