



## DEPARTMENT OF HEALTH & HUMAN SERVICES

Office of the Secretary

Office of the General Counsel  
Washington, DC 20201

July 14, 2025

The Honorable Jamieson Greer  
Acting Director  
U.S. Office of Government Ethics  
250 E Street SW, Suite 750  
Washington, DC 20024

Dear Ambassador Greer:

I am enclosing an amendment to Dr. Mehmet Oz's nominee public financial disclosure report, signed on January 21, 2025. Based on my review of this additional information, I continue to believe that Dr. Oz is in compliance with applicable laws and regulations governing conflicts of interest.

Sincerely,

Randall J.  
Hall -S

  
Digitally signed by  
Randall J. Hall -S  
Date: 2025.07.14  
11:38:12 -04'00'

Randall J. Hall  
Associate General Counsel for Ethics  
Designated Agency Ethics Official

Enclosure

July 9, 2025

Randall J. Hall  
Designated Agency Ethics Official  
Department of Health and Human Services  
200 Independence Avenue, S.W.  
Washington, DC 20201

**Re: Amendment to Financial Disclosure Report of Mehmet Oz**

Dear Mr. Hall:

The purpose of this document is to amend my financial disclosure report signed on January 21, 2025, to report the following stock holding that I inadvertently omitted from Part 2 and Part 3 of my report:

Part 2

| #  | DESCRIPTION                                   | EIF | VALUE                   | INCOME TYPE | INCOME AMOUNT      |
|----|---|-----|-------------------------|-------------|--------------------|
| 18 | Siga Technologies, Inc.<br>(restricted stock) | N/A | \$50,001 -<br>\$100,000 | Dividend    | \$5,001 - \$15,000 |

Part 3

| Employer or Party       | City/State   | Status and Terms  | Date   |
|-------------------------|--------------|---|--------|
| Siga Technologies, Inc. | New York, NY | I retained my vested restricted stock upon my separation. | 1/2010 |

I served in an advisory capacity to SIGA Technologies, Inc. from 2000 to 2010, during which time I received shares in the company as compensation for my service. In 2010, I sold what I believed to be the entirety of my holdings. However, the shares were held in Equiniti (previously AST Shareholder Services), a transfer agent, and not in any brokerage or managed account, and I was unaware that any shares remained in my name.

The existence of the remaining shares only came to light recently when I received an unexpected dividend check. Upon receipt, I initiated an investigation, which confirmed the existence of 12,500 shares held under my name. To remedy the situation, I submitted an affidavit of loss for the physical certificate, requested that SIGA lift the restriction on the shares, and subsequently sold the shares on July 1, 2025.

This was an unintentional oversight, and immediate corrective action was taken upon discovery.

I understand that this amendment will be attached to my financial disclosure report and released upon request with that report.

Sincerely,

A handwritten signature in black ink, appearing to read "Mehmet Oz".

Mehmet Oz

Report Type: Nominee Report

Year (Annual Report only):

Date of Appointment:

Date of Termination:

## Executive Branch Personnel

### Public Financial Disclosure Report (OGE Form 278e)

#### Filer's Information

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Oz, Mehmet Cengiz

Administrator, Centers for Medicare and Medicaid Services, Department of Health & Human Services

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Other Federal Government Positions Held During the Preceding 12 Months:

None

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Names of Congressional Committees Considering Nomination:

- Committee on Finance
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Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Oz, Mehmet Cengiz [electronically signed on 01/21/2025 by Oz, Mehmet Cengiz in Integrity.gov]

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Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Hall, Randall, Certifying Official [electronically signed on 02/16/2025 by Hall, Randall in Integrity.gov]

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Other review conducted by

/s/ Hall, Randall, Ethics Official [electronically signed on 02/16/2025 by Hall, Randall in Integrity.gov]

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U.S. Office of Government Ethics Certification

/s/ Apol, David, Certifying Official [electronically signed on 02/16/2025 by Apol, David in Integrity.gov]

## 1. Filer's Positions Held Outside United States Government

| #  | ORGANIZATION NAME           | CITY, STATE                | ORGANIZATION TYPE | POSITION HELD                    | FROM    | TO      |
|----|-----------------------------|----------------------------|-------------------|----------------------------------|---------|---------|
| 1  | Oz Works LLC                | Bryn Athyn , Pennsylvania  | Corporation       | Managing Member                  | 10/1995 | Present |
| 2  | Oz Property Holdings LLC    | Bryn Athyn , Pennsylvania  | Corporation       | Co-Managing Member               | 3/2012  | Present |
| 3  | Oz Media, LLC               | Bryn Athyn, Pennsylvania   | Corporation       | Co-Managing Member               | 8/2012  | Present |
| 4  | iHerb Oz Partners LLC       | Palm Beach, Florida        | Corporation       | Managing Member                  | 8/2023  | Present |
| 5  | iHerb LLC                   | Irvine, California         | Corporation       | Advisor                          | 9/2023  | Present |
| 6  | Family Grandchildren Trust  | Cliffside Park, New Jersey | Irrevocable Trust | Trustee                          | 3/2001  | Present |
| 7  | Oz Parents Apartments LLC   | New York, New York         | Corporation       | Manager                          | 1/2009  | Present |
| 8  | SandboxAQ                   | Palo Alto, California      | Corporation       | Advisor                          | 5/2024  | Present |
| 9  | EKO Health Inc              | Oakland, California        | Corporation       | Advisor                          | 3/2024  | Present |
| 10 | Housey Pharma               | Southfield, Michigan       | Corporation       | Advisor                          | 7/2024  | Present |
| 11 | Cardiology Partners Co., LP | New York, New York         | Corporation       | Advisor                          | 1/2024  | Present |
| 12 | Ellis Island Honors Society | Franklin Square, New York  | Non-Profit        | Vice Chairman, Board of Trustees | 5/2017  | Present |
| 13 | Zoco Productions LLC        | New York, New York         | LLC               | Managing Member                  | 5/2017  | Present |

| #  | ORGANIZATION NAME                         | CITY, STATE            | ORGANIZATION TYPE | POSITION HELD     | FROM    | TO      |
|----|---|------------------------|-------------------|-------------------|---------|---------|
| 14 | Emerald Oaks LLC                          | Okeechobee, Florida    | Farm              | Managing Member   | 11/2021 | Present |
| 15 | American Association for Thoracic Surgery | Beverly, Massachusetts | Non-Profit        | Board of Trustees | 4/2016  | Present |

## 2. Filer's Employment Assets & Income and Retirement Accounts

| #   | DESCRIPTION   | EIF | VALUE                       | INCOME TYPE  | INCOME AMOUNT             |
|-----|---|-----|-----------------------------|--|---------------------------|
| 1   | Oz Works LLC (personal services corporation for appearances.) (other residual payments fell below the reporting threshold)  | N/A | \$50,001 - \$100,000        | Sag/Aftra residual payments that result from guest appearances | \$888                     |
| 1.1 | THE REAL  | N/A |                             | residual paid  | \$302                     |
| 2   | "Method & Apparatus for Circulatory Valve Repair", US Patent No. 6,269,819 B1 (rights assigned to, and payment made by, NY Presbyterian Columbia University Hospital) (value not ascertainable) | N/A |                             | Rent or Royalties  | \$100,001 - \$1,000,000   |
| 3   | Eko Health, Inc (Digital Stethoscope to diagnose ailments more accurately), vested non-statutory stock options  | N/A | \$1,001 - \$15,000          |  | None (or less than \$201) |
| 4   | Housey Pharma (Botanical Product Development), vested incentive units   | N/A | \$500,001 - \$1,000,000     |  | None (or less than \$201) |
| 5   | Cardiology Partners Co. (Private practice of 250 cardiologists providing out-patient services), vested Class P2 units paid if profitability threshold of \$298,562,534.53 is met                | N/A | None (or less than \$1,001) |  | None (or less than \$201) |
| 6   | IRA Rollover  | No  |                             |  |                           |

| #   | DESCRIPTION   | EIF         | VALUE                      | INCOME TYPE               | INCOME AMOUNT             |
|-----|---|-------------|----------------------------|---------------------------|---------------------------|
| 6.1 | NVIDIA Corp. (NVDA)   | N/A         | \$100,001 - \$250,000      | None (or less than \$201) |                           |
| 6.2 | Cliffwater Corporate Lending Fund (CCLFX)   | Yes         | \$1,000,001 - \$5,000,000  | None (or less than \$201) |                           |
| 6.3 | Blackstone Private Credit Fund (BCRED)  | Yes         | \$100,001 - \$250,000      | None (or less than \$201) |                           |
| 6.4 | Schwab Value Advantage Money Fund Ultra Class Shares (SNAXX)  | Yes         | \$1,000,001 - \$5,000,000  | None (or less than \$201) |                           |
| 7   | iHerb LLC (Global online marketplace for health products), vested restricted stock units  | N/A         | \$5,000,001 - \$25,000,000 | None (or less than \$201) |                           |
| 8   | Sandbox AQ (AI for multiple purposes including fintech, navigation, & healthcare), vested stock options   | N/A         | \$100,001 - \$250,000      | None (or less than \$201) |                           |
| 9   | Cardiology Partners Co., LP (Outpatient Healthcare Services)  | N/A         |                            | Consulting Fee            | \$60,000                  |
| 10  | American Federation of Television and Radio Artists, defined benefit plan (value not readily ascertainable): eligible for \$1,936.52/mo. at age 65.   | See Endnote | N/A                        |                           | None (or less than \$201) |
| 11  | "You, The Owner's Manual" - HarperCollins Publishers (value not readily ascertainable).   | N/A         |                            | Rent or Royalties         | \$201 - \$1,000           |
| 12  | "You on a Diet" - Simon & Schuster (value not readily ascertainable).   | N/A         |                            | Rent or Royalties         | \$201 - \$1,000           |
| 13  | Eko Health, Inc (Digital Stethoscope to diagnose ailments more accurately) (value not readily ascertainable), 5,417.4 unvested nonstatutory stock options, vesting at 416.6 shares per month, with a strike price of \$1.70. The total value of unvested stock is \$9,206.58 by expiration in March 2026. | N/A         |                            |                           | None (or less than \$201) |
| 14  | Housey Pharma (Botanical Product Development), unvested incentive units   | N/A         | \$1,000,001 - \$5,000,000  |                           | None (or less than \$201) |

| #  | DESCRIPTION  | EIF | VALUE                       | INCOME TYPE | INCOME AMOUNT             |
|----|--|-----|-----------------------------|-------------|---------------------------|
| 15 | Cardiology Partners Co. (Private practice of 250 cardiologists providing out-patient services), unvested Class P2 units paid if profitability threshold of \$298,562,534.53 is met                               | N/A | None (or less than \$1,001) |             | None (or less than \$201) |
| 16 | iHerb LLC (Global online marketplace for health products), unvested restricted stock units   | N/A | None (or less than \$1,001) |             | None (or less than \$201) |
| 17 | Sandbox AQ (AI for multiple purposes including fintech, navigation, & healthcare) (value not readily ascertainable), 117,821.5 unvested stock options, strike \$3.91, 3,020 options vest each month, exp. 5/2028 | N/A |                             |             | None (or less than \$201) |

### 3. Filer's Employment Agreements and Arrangements

| # | EMPLOYER OR PARTY                            | CITY, STATE           | STATUS AND TERMS   | DATE   |
|---|--|-----------------------|--|--------|
| 1 | NY Presbyterian Columbia University Hospital | New York, New York    | Payment under University policy regarding assigned patent rights for "Method & Apparatus for Circulatory Valve Repair", US Patent No. 6,269,819 B1.  | 8/2001 |
| 2 | iHerb LLC                                    | Irvine, California    | Pursuant to the filer's Advisor Agreement, I will retain RSUs vested prior to the termination/suspension of the Advisor Agreement that satisfies service and performance-based requirements. RSUs will vest upon satisfaction of the vesting requirements set forth in the Company's Equity Incentive Plan, which requires an Initial Public Offering or a Change in Control. I will forfeit any RSUs that are unvested at the time of my resignation. I will divest by vested RSUs as soon as practicable but not later than 90 days after my confirmation. | 9/2023 |
| 3 | SandboxAQ                                    | Palo Alto, California | I will forfeit unvested stock options upon suspension/termination of filer's advisor agreement. I will divest my vested stock options as soon as practicable but not later than 90 days after my confirmation.   | 5/2023 |

| # | EMPLOYER OR PARTY                                   | CITY, STATE          | STATUS AND TERMS   | DATE   |
|---|---|----------------------|--|--------|
| 4 | EKO Health Inc                                      | Oakland, California  | I will forfeit unvested non-statutory stock options upon suspension/termination of filer's advisor agreement. I will divest my vested stock options as soon as practicable but not later than 90 days after my confirmation.   | 3/2024 |
| 5 | Housey Pharma                                       | Southfield, Michigan | I will forfeit unvested incentive units upon suspension/termination of filer's advisor agreement. I also will divest my vested incentive units in Housey Pharma as soon as practicable but not later than 90 days after confirmation.  | 7/2024 |
| 6 | Cardiology Partners Co., LP                         | New York, New York   | I will forfeit unvested Class P Units upon suspension/termination of filer's advisor agreement. I will divest or forfeit my vested P2 units/shares and other partnership equity in Cardiology Partners Co., LP as soon as practicable but not later than 90 days after confirmation. | 1/2024 |
| 7 | American Federation of Television and Radio Artists | New York, New York   | I will continue to participate in this defined benefit plan.   | 4/2017 |

#### 4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

| # | SOURCE NAME                 | CITY, STATE           | BRIEF DESCRIPTION OF DUTIES |
|---|-----------------------------|-----------------------|-----------------------------|
| 1 | Cardiology Partners Co., LP | New York, New York    | Advisor                     |
| 2 | EKO Health Inc.             | Oakland, California   | Advisor                     |
| 3 | Housey Pharma               | Southfield, Michigan  | Advisor                     |
| 4 | iHerb LLC                   | Irvine, California    | Advisor                     |
| 5 | SandboxAQ                   | Palo Alto, California | Advisor                     |

## 5. Spouse's Employment Assets & Income and Retirement Accounts

| #   | DESCRIPTION   | EIF | VALUE                     | INCOME TYPE               | INCOME AMOUNT |
|-----|---|-----|---------------------------|---------------------------|---------------|
| 1   | IRA Rollover Account  | No  |                           |                           |               |
| 1.1 | NVIDIA Corp. (NVDA)   | N/A | \$100,001 - \$250,000     | None (or less than \$201) |               |
| 1.2 | Blackstone Private Credit Fund (BCRED)                      | Yes | \$100,001 - \$250,000     | None (or less than \$201) |               |
| 1.3 | Cliffwater Corporate Lending Fund (CCLFX)                   | Yes | \$100,001 - \$250,000     | None (or less than \$201) |               |
| 1.4 | Schwab US Treasury Money Fund Investor Class Shares (SNSXX) | Yes | \$1,000,001 - \$5,000,000 | None (or less than \$201) |               |

## 6. Other Assets and Income

| #   | DESCRIPTION                  | EIF | VALUE                      | INCOME TYPE               | INCOME AMOUNT             |
|-----|------------------------------|-----|----------------------------|---------------------------|---------------------------|
| 1   | U.S. bank #1 (cash)          | N/A | \$100,001 - \$250,000      | None (or less than \$201) |                           |
| 2   | Joint Brokerage Account      | No  |                            |                           |                           |
| 2.1 | Amazon.com Inc (AMZN)        | N/A | \$5,000,001 - \$25,000,000 | Capital Gains             | None (or less than \$201) |
| 2.2 | NVIDIA Corp (NVDA)           | N/A | \$1,000,001 - \$5,000,000  | Capital Gains Dividends   | \$201 - \$1,000           |
| 2.3 | Alphabet Inc Class A (GOOGL) | N/A | \$1,000,001 - \$5,000,000  | Capital Gains Dividends   | \$5,001 - \$15,000        |
| 2.4 | Microsoft Corp (MSFT)        | N/A | \$1,000,001 - \$5,000,000  | Capital Gains Dividends   | \$5,001 - \$15,000        |

| #    | DESCRIPTION                         | EIF | VALUE                   | INCOME TYPE             | INCOME AMOUNT             |
|------|-------------------------------------|-----|-------------------------|-------------------------|---------------------------|
| 2.5  | VanEck Semiconductor (SMH)          | Yes | \$500,001 - \$1,000,000 |                         | \$2,501 - \$5,000         |
| 2.6  | Alphabet Inc Class C (GOOG)         | N/A | \$500,001 - \$1,000,000 | Capital Gains Dividends | \$1,001 - \$2,500         |
| 2.7  | iShares Semiconductor ETF (SOXX)    | Yes | \$250,001 - \$500,000   |                         | \$1,001 - \$2,500         |
| 2.8  | iShares Bitcoin ETF (IBIT)          | Yes | \$250,001 - \$500,000   |                         | None (or less than \$201) |
| 2.9  | SPDR Energy Select Sector ETF (XLE) | Yes | \$100,001 - \$250,000   |                         | \$2,501 - \$5,000         |
| 2.10 | Broadcom Inc (AVGO)                 | N/A | \$100,001 - \$250,000   | Capital Gains Dividends | \$1,001 - \$2,500         |
| 2.11 | SPDR Utilities Select Sector (XLU)  | Yes | \$100,001 - \$250,000   |                         | \$2,501 - \$5,000         |
| 2.12 | AbbVie Inc (ABBV)                   | N/A | \$50,001 - \$100,000    | Capital Gains Dividends | \$2,501 - \$5,000         |
| 2.13 | Apple Inc (AAPL)                    | N/A | \$50,001 - \$100,000    | Capital Gains Dividends | \$201 - \$1,000           |
| 2.14 | UnitedHealth Group Inc (UNH)        | N/A | \$50,001 - \$100,000    | Capital Gains Dividends | \$1,001 - \$2,500         |
| 2.15 | Visa Inc (V)                        | N/A | \$50,001 - \$100,000    | Capital Gains Dividends | \$201 - \$1,000           |
| 2.16 | Meta Platforms Inc (META)           | N/A | \$50,001 - \$100,000    | Capital Gains Dividends | None (or less than \$201) |
| 2.17 | Walmart Inc (WMT)                   | N/A | \$15,001 - \$50,000     | Capital Gains Dividends | \$201 - \$1,000           |
| 2.18 | Howmet Aerospace Inc (HWM)          | N/A | \$15,001 - \$50,000     | Capital Gains Dividends | None (or less than \$201) |

| #    | DESCRIPTION                         | EIF | VALUE                | INCOME TYPE             | INCOME AMOUNT             |
|------|-------------------------------------|-----|----------------------|-------------------------|---------------------------|
| 2.19 | Oracle Corporation (ORCL)           | N/A | \$15,001 - \$50,000  | Capital Gains Dividends | \$201 - \$1,000           |
| 2.20 | Bank of America Corp (BAC)          | N/A | \$15,001 - \$50,000  | Capital Gains Dividends | \$201 - \$1,000           |
| 2.21 | HCA Healthcare Inc (HCA)            | N/A | \$50,001 - \$100,000 | Capital Gains Dividends | \$15,001 - \$50,000       |
| 2.22 | ConocoPhillips (COP)                | N/A | \$15,001 - \$50,000  | Capital Gains Dividends | \$201 - \$1,000           |
| 2.23 | Marvell Technology Inc (MRVL)       | N/A | \$15,001 - \$50,000  | Capital Gains Dividends | None (or less than \$201) |
| 2.24 | Motorola Solutions Inc (MSI)        | N/A | \$15,001 - \$50,000  | Capital Gains Dividends | None (or less than \$201) |
| 2.25 | Intercontinental Exchange Inc (ICE) | N/A | \$15,001 - \$50,000  | Capital Gains Dividends | \$15,001 - \$50,000       |
| 2.26 | Cencora Inc Com (COR)               | N/A | \$15,001 - \$50,000  | Capital Gains Dividends | \$201 - \$1,000           |
| 2.27 | Copart Inc (CPRT)                   | N/A | \$15,001 - \$50,000  | Capital Gains Dividends | None (or less than \$201) |
| 2.28 | Hilton Worldwide Holdings Inc (HLT) | N/A | \$15,001 - \$50,000  | Capital Gains Dividends | None (or less than \$201) |
| 2.29 | JP Morgan Chase & Co. (JPM)         | N/A | \$15,001 - \$50,000  | Capital Gains Dividends | \$201 - \$1,000           |
| 2.30 | Thermo Fisher Scientific Inc (TMO)  | N/A | \$15,001 - \$50,000  | Capital Gains Dividends | None (or less than \$201) |
| 2.31 | Eaton Corp PLC (ETN)                | N/A | \$15,001 - \$50,000  | Capital Gains Dividends | \$201 - \$1,000           |
| 2.32 | IQvia Hldgs Inc (IQV)               | N/A | \$15,001 - \$50,000  | Capital Gains           | None (or less than \$201) |

| #    | DESCRIPTION                                | EIF | VALUE               | INCOME TYPE             | INCOME AMOUNT             |
|------|--|-----|---------------------|-------------------------|---------------------------|
| 2.33 | S&P Global Inc (SPGI)                      | N/A | \$15,001 - \$50,000 | Capital Gains Dividends | \$201 - \$1,000           |
| 2.34 | Gartner Inc (IT)                           | N/A | \$15,001 - \$50,000 | Capital Gains           | None (or less than \$201) |
| 2.35 | Costco Wholesale Corp (COST)               | N/A | \$15,001 - \$50,000 | Capital Gains Dividends | None (or less than \$201) |
| 2.36 | ASML Holding NV (ASMLF)                    | N/A | \$15,001 - \$50,000 | Capital Gains           | None (or less than \$201) |
| 2.37 | Danaher Corp (DHR)                         | N/A | \$15,001 - \$50,000 | Capital Gains Dividends | None (or less than \$201) |
| 2.38 | ServiceNow Inc (NOW)                       | N/A | \$15,001 - \$50,000 |                         | None (or less than \$201) |
| 2.39 | Northrop Grumman Corp (NOC)                | N/A | \$1,001 - \$15,000  | Capital Gains Dividends | \$201 - \$1,000           |
| 2.40 | Blackstone Inc (BX)                        | N/A | \$1,001 - \$15,000  | Capital Gains Dividends | None (or less than \$201) |
| 2.41 | Mercadolibre Inc (MELI)                    | N/A | \$1,001 - \$15,000  | Capital Gains           | None (or less than \$201) |
| 2.42 | LVMH (Moet Hennessy Louis Vuitton) (LVMHF) | N/A | \$1,001 - \$15,000  | Capital Gains           | None (or less than \$201) |
| 2.43 | Exxon Mobil Corporation (XOM)              | N/A | \$1,001 - \$15,000  | Capital Gains Dividends | \$201 - \$1,000           |
| 2.44 | Take-Two Interactive Software (TTWO)       | N/A | \$1,001 - \$15,000  | Capital Gains           | None (or less than \$201) |
| 2.45 | Dollarama Inc (DLMAF)                      | N/A | \$1,001 - \$15,000  | Capital Gains Dividends | None (or less than \$201) |
| 2.46 | Ashtead Group (ASHTF)                      | N/A | \$1,001 - \$15,000  | Capital Gains Dividends | \$201 - \$1,000           |

| #    | DESCRIPTION  | EIF | VALUE                       | INCOME TYPE                | INCOME AMOUNT             |
|------|--|-----|-----------------------------|----------------------------|---------------------------|
| 2.47 | Equifax Inc (EFX)  | N/A | \$1,001 - \$15,000          | Capital Gains<br>Dividends | None (or less than \$201) |
| 2.48 | Constellation Software Inc (CNSWF)                       | N/A | \$1,001 - \$15,000          | Capital Gains<br>Dividends | None (or less than \$201) |
| 2.49 | Clean Harbors Inc (CLH)                                  | N/A | \$1,001 - \$15,000          | Capital Gains              | \$5,001 - \$15,000        |
| 2.50 | Alimentation Couche Tard Inc (ANCTF)                     | N/A | \$1,001 - \$15,000          | Capital Gains<br>Dividends | None (or less than \$201) |
| 2.51 | Vulcan Materials Company (VMC)                           | N/A | \$1,001 - \$15,000          | Capital Gains<br>Dividends | None (or less than \$201) |
| 2.52 | Canadian Pacific Railway Limited Common Stock (CP)       | N/A | \$1,001 - \$15,000          | Capital Gains              | None (or less than \$201) |
| 2.53 | Asm International Nv (ASMXF)                             | N/A | \$1,001 - \$15,000          | Capital Gains              | None (or less than \$201) |
| 2.54 | CDW Corporation (CDW)                                    | N/A | \$1,001 - \$15,000          | Capital Gains<br>Dividends | \$201 - \$1,000           |
| 2.55 | Saia Inc (SAIA)  | N/A | \$1,001 - \$15,000          | Capital Gains              | None (or less than \$201) |
| 2.56 | Lincoln Electric Hldgs (LECO)                            | N/A | \$1,001 - \$15,000          | Capital Gains<br>Dividends | None (or less than \$201) |
| 2.57 | Schwab Value Advantage Money Fund - Ultra Shares (SNAXX) | Yes | \$500,001 - \$1,000,000     |                            | \$15,001 - \$50,000       |
| 2.58 | Bluerock Total Income Real Estate I (TIPWX)              | Yes | \$50,001 - \$100,000        |                            | \$1,001 - \$2,500         |
| 2.59 | Sharecare Inc. Class A Common Stock (SHCR)               | N/A | None (or less than \$1,001) | Capital Gains              | \$1,000,001 - \$5,000,000 |
| 3    | Joint Tactical Brokerage Account                         | No  |                             |                            |                           |
| 3.1  | American High Income F3 (HIGFX)                          | Yes | \$500,001 - \$1,000,000     |                            | \$50,001 - \$100,000      |

| #    | DESCRIPTION  | EIF | VALUE                     | INCOME TYPE | INCOME AMOUNT             |
|------|--|-----|---------------------------|-------------|---------------------------|
| 3.2  | Blackrock Floating Rate Income Instl (BFRIX)                         | Yes | \$500,001 - \$1,000,000   |             | \$50,001 - \$100,000      |
| 4    | Joint Investment Account   | No  |                           |             |                           |
| 4.1  | Financial Select Sector SPDR Fund (XLF)                              | Yes | \$250,001 - \$500,000     |             | \$2,501 - \$5,000         |
| 4.2  | Six Circles Managed Equity Portfolio U.S. Unconstrained Fund (CMEUX) | Yes | \$1,000,001 - \$5,000,000 |             | \$15,001 - \$50,000       |
| 4.3  | Six Circles U.S. Unconstrained Equity Fund (CUSUX)                   | Yes | \$100,001 - \$250,000     |             | \$2,501 - \$5,000         |
| 4.4  | SPDR S&P 500 ETF TRUST SPY   | Yes | \$1,000,001 - \$5,000,000 |             | \$15,001 - \$50,000       |
| 4.5  | ISHARES S&P 500 VALUE ETF IVE  | Yes | \$1,000,001 - \$5,000,000 |             | \$15,001 - \$50,000       |
| 4.6  | ISHARES RUSSELL 1000 GROWTH IWF                                      | Yes | \$50,001 - \$100,000      |             | \$201 - \$1,000           |
| 4.7  | ISHARES S&P 500 GROWTH ETF IVW                                       | Yes | \$500,001 - \$1,000,000   |             | \$2,501 - \$5,000         |
| 4.8  | ISHARES CORE S&P MIDCAP ETF IJH                                      | Yes | \$50,001 - \$100,000      |             | \$1,001 - \$2,500         |
| 4.9  | FIDELITY INTL INDEX-INST PRM FSPSX                                   | Yes | \$100,001 - \$250,000     |             | \$2,501 - \$5,000         |
| 4.10 | ISHARES MSCI EAFE ETF EFA  | Yes | \$50,001 - \$100,000      |             | \$1,001 - \$2,500         |
| 4.11 | JPMORGAN BETABUILDERS CANADA BBCA                                    | Yes | \$250,001 - \$500,000     |             | \$5,001 - \$15,000        |
| 4.12 | SIX CIRCLEs MGD EQ INTL UNCON CMIUX                                  | Yes | \$1,000,001 - \$5,000,000 |             | \$50,001 - \$100,000      |
| 4.13 | JPMORGAN BETABUILDERS EUROPE ETF BBEU                                | Yes | \$50,001 - \$100,000      |             | None (or less than \$201) |

| #    | DESCRIPTION                                     | EIF | VALUE                 | INCOME TYPE             | INCOME AMOUNT             |
|------|---|-----|-----------------------|-------------------------|---------------------------|
| 4.14 | ISHARES MSCI JAPAN ETF EWJ                      | Yes | \$100,001 - \$250,000 |                         | \$2,501 - \$5,000         |
| 4.15 | JPMORGAN BETABUILDERS JAPAN ETF BBJP            | Yes | \$100,001 - \$250,000 |                         | \$2,501 - \$5,000         |
| 4.16 | JPMorgan BetaBuilders Dev Asia Pacific ex-Japan | Yes | \$100,001 - \$250,000 |                         | \$5,001 - \$15,000        |
| 5    | Brokerage Account                               | No  |                       |                         |                           |
| 5.1  | Adobe, Inc. (ADBE)                              | N/A | \$1,001 - \$15,000    | Capital Gains           | None (or less than \$201) |
| 5.2  | Advanced Micro Devices, Inc. (AMD)              | N/A | \$1,001 - \$15,000    | Capital Gains Dividends | None (or less than \$201) |
| 5.3  | AMAZON COM INC (AMZN)                           | N/A | \$1,001 - \$15,000    | Capital Gains           | None (or less than \$201) |
| 5.4  | AMERICAN TOWER CORP (AMT)                       | N/A | \$1,001 - \$15,000    | Capital Gains Dividends | None (or less than \$201) |
| 5.5  | APOLLO GLOBAL MGMT INC CL A (APO)               | N/A | \$1,001 - \$15,000    | Dividends               | None (or less than \$201) |
| 5.6  | APPLE INC (AAPL)                                | N/A | \$1,001 - \$15,000    | Capital Gains           | None (or less than \$201) |
| 5.7  | BLACKROCK INC (BLK)                             | N/A | \$1,001 - \$15,000    | Capital Gains Dividends | None (or less than \$201) |
| 5.8  | CONOCOPHILLIPS (COP)                            | N/A | \$1,001 - \$15,000    | Capital Gains Dividends | None (or less than \$201) |
| 5.9  | Copart, Inc. (CPRT)                             | N/A | \$1,001 - \$15,000    | Capital Gains           | None (or less than \$201) |
| 5.10 | COSTAR GROUP INC (CSGP)                         | N/A | \$1,001 - \$15,000    | Capital Gains           | None (or less than \$201) |
| 5.11 | CROWN CASTLE INC (CCI)                          | N/A | \$1,001 - \$15,000    | Capital Gains Dividends | None (or less than \$201) |

| #    | DESCRIPTION                           | EIF | VALUE               | INCOME TYPE                | INCOME AMOUNT             |
|------|---------------------------------------|-----|---------------------|----------------------------|---------------------------|
| 5.12 | CSX Corp. (CSX)                       | N/A | \$1,001 - \$15,000  | Dividends                  | None (or less than \$201) |
| 5.13 | ELI LILLY & CO (LLY)                  | N/A | \$1,001 - \$15,000  | Dividends                  | None (or less than \$201) |
| 5.14 | HILTON WORLDWIDE HLDGS INC (HLT)      | N/A | \$15,001 - \$50,000 | Capital Gains<br>Dividends | None (or less than \$201) |
| 5.15 | Linde Plc (LIN)                       | N/A | \$1,001 - \$15,000  | Dividends                  | None (or less than \$201) |
| 5.16 | Martin Marietta Materials, Inc. (MLM) | N/A | \$1,001 - \$15,000  |                            | None (or less than \$201) |
| 5.17 | McKesson Corp. (MCK)                  | N/A | \$1,001 - \$15,000  | Dividends                  | None (or less than \$201) |
| 5.18 | Microsoft Corp. (MSFT)                | N/A | \$1,001 - \$15,000  | Capital Gains<br>Dividends | None (or less than \$201) |
| 5.19 | NextEra Energy, Inc. (NEE)            | N/A | \$1,001 - \$15,000  | Dividends                  | None (or less than \$201) |
| 5.20 | NORTHROP GRUMMAN CP(HLDG CO) (NOC)    | N/A | \$1,001 - \$15,000  | Dividends                  | None (or less than \$201) |
| 5.21 | ORACLE CORP (ORCL)                    | N/A | \$1,001 - \$15,000  | Dividends                  | None (or less than \$201) |
| 5.22 | Palo Alto Networks, Inc. (PANW)       | N/A | \$1,001 - \$15,000  | Capital Gains              | None (or less than \$201) |
| 5.23 | QUALCOMM, Inc. (QCOM)                 | N/A | \$1,001 - \$15,000  | Dividends                  | \$201 - \$1,000           |
| 5.24 | RTX Corporation (RTX)                 | N/A | \$1,001 - \$15,000  | Capital Gains<br>Dividends | None (or less than \$201) |
| 5.25 | SALESFORCE INC (CRM)                  | N/A | \$1,001 - \$15,000  | Dividends                  | None (or less than \$201) |
| 5.26 | SCHLUMBERGER LTD (SLB)                | N/A | \$1,001 - \$15,000  | Dividends                  | None (or less than \$201) |

| #    | DESCRIPTION  | EIF | VALUE               | INCOME TYPE   | INCOME AMOUNT             |
|------|--|-----|---------------------|---------------|---------------------------|
| 5.27 | SNOWFLAKE INC CL A (SNOW)                                      | N/A | \$1,001 - \$15,000  | Capital Gains | None (or less than \$201) |
| 5.28 | T-MOBILE US INC COM (TMUS)                                     | N/A | \$1,001 - \$15,000  | Dividends     | None (or less than \$201) |
| 5.29 | TARGET CORPORATION (TGT)                                       | N/A | \$1,001 - \$15,000  | Dividends     | \$201 - \$1,000           |
| 5.30 | Tesla, Inc. (TSLA)   | N/A | \$15,001 - \$50,000 | Capital Gains | None (or less than \$201) |
| 5.31 | TEXTRON INC (TXT)  | N/A | \$1,001 - \$15,000  | Dividends     | None (or less than \$201) |
| 5.32 | TJX COS INC NEW (TJX)  | N/A | \$1,001 - \$15,000  | Dividends     | None (or less than \$201) |
| 5.33 | TRANSDIGM GROUP INC (TDG)                                      | N/A | \$1,001 - \$15,000  | Dividends     | None (or less than \$201) |
| 5.34 | VERIZON COMMUNICATIONS (VZ)                                    | N/A | \$1,001 - \$15,000  | Dividends     | \$201 - \$1,000           |
| 5.35 | VISA INC CL A (V)  | N/A | \$1,001 - \$15,000  | Dividends     | None (or less than \$201) |
| 5.36 | Walmart, Inc. (WMT)  | N/A | \$1,001 - \$15,000  | Dividends     | None (or less than \$201) |
| 5.37 | The Williams Cos., Inc. (WMB)                                  | N/A | \$1,001 - \$15,000  | Dividends     | None (or less than \$201) |
| 5.38 | Global X US Infrastructure Development ETF (PAVE)              | Yes | \$1,001 - \$15,000  |               | None (or less than \$201) |
| 5.39 | ISHARES CORE MSCI EAFE ETF (IEFA)                              | Yes | \$15,001 - \$50,000 |               | \$201 - \$1,000           |
| 5.40 | ISHARES MSCI INDIA ETF (INDA)                                  | Yes | \$1,001 - \$15,000  |               | None (or less than \$201) |
| 5.41 | JPMorgan Diversified Return Emerging Markets Equity ETF (JPEM) | Yes | \$1,001 - \$15,000  |               | \$201 - \$1,000           |

| #    | DESCRIPTION   | EIF         | VALUE                       | INCOME TYPE                 | INCOME AMOUNT             |
|------|---|-------------|-----------------------------|-----------------------------|---------------------------|
| 6    | U.S. bank (cash)  | N/A         | None (or less than \$1,001) | Interest                    | None (or less than \$201) |
| 7    | U.S. brokerage (cash)   | N/A         | \$15,001 - \$50,000         | Interest                    | None (or less than \$201) |
| 8    | Residential Real Estate /2 Homes (Sariyer, Turkey)            | N/A         | \$1,001 - \$15,000          | Rent or Royalties           | \$15,001 - \$50,000       |
| 9    | Investment Property/ Residential real estate (Konya, Turkey)  | N/A         | None (or less than \$1,001) |                             | None (or less than \$201) |
| 10   | Investment Property / Residential Real Estate (Datca, Turkey) | N/A         | \$1,001 - \$15,000          |                             | None (or less than \$201) |
| 11   | Residential Real Estate / 2 houses (Sariyer district, Turkey) | N/A         | \$15,001 - \$50,000         | Rent or Royalties           | \$5,001 - \$15,000        |
| 12   | Asplundh Tree Expert, LLC.                                    | N/A         | \$5,000,001 - \$25,000,000  | Dividends                   | \$100,001 - \$1,000,000   |
| 13   | Asplundh Tree Expert, LLC.                                    | N/A         | \$5,000,001 - \$25,000,000  | Dividends                   | \$100,001 - \$1,000,000   |
| 14   | Asplundh Tree Expert, LLC.                                    | N/A         | \$50,001 - \$100,000        | Dividends                   | \$201 - \$1,000           |
| 15   | Emerald Oaks LLC  | See Endnote | No                          |                             |                           |
| 15.1 | Emerald Oaks (Cattle Farm Okeechobee, FL)                     | N/A         | \$1,000,001 - \$5,000,000   | agricultural sales          | \$144,765                 |
| 15.2 | Livestock   | N/A         | \$250,001 - \$500,000       | Cattle Sale                 | \$191,144                 |
| 15.3 | U.S. bank (cash)  | N/A         | \$100,001 - \$250,000       | Interest                    | None (or less than \$201) |
| 16   | CueBall Capital, LP   | Yes         | \$500,001 - \$1,000,000     |                             | None (or less than \$201) |
| 17   | Radius Venture Partners III, LLC                              | See Endnote | Yes                         | None (or less than \$1,001) | \$1,001 - \$2,500         |

| #        | DESCRIPTION  | EIF | VALUE                     | INCOME TYPE | INCOME AMOUNT             |
|----------|--|-----|---------------------------|-------------|---------------------------|
| 18       | Yet2Ventures Fund II, LP                                     | No  |                           |             |                           |
| 18.1     | Ecrio, Inc. (communications software company)                | N/A | \$1,001 - \$15,000        | Interest    | None (or less than \$201) |
| 19       | Zoco Holdings GP, LLC  | No  |                           |             |                           |
| 19.1     | Zoco Holdings LP   | No  |                           |             |                           |
| 19.1.1   | TQ Ventures II, LP   | Yes | \$1,000,001 - \$5,000,000 |             | None (or less than \$201) |
| 19.1.2   | TQ PortalOne I SPV, LLC                                      | Yes | \$100,001 - \$250,000     |             | None (or less than \$201) |
| 19.1.3   | Zoco Ventures I, LLC   | No  |                           |             |                           |
| 19.1.3.1 | NuMilk (plant based food company)                            | N/A | \$100,001 - \$250,000     |             | None (or less than \$201) |
| 19.1.4   | Mixed Labs Inc. (veterinary pharmacy)                        | N/A | \$100,001 - \$250,000     |             | None (or less than \$201) |
| 19.1.5   | Cardiology Partners Co., LP (outpatient healthcare services) | N/A | \$100,001 - \$250,000     |             | None (or less than \$201) |
| 20       | NGN BioMed Opportunity II, L.P.                              | Yes | \$250,001 - \$500,000     |             | None (or less than \$201) |
| 21       | Norwich Partners Fund XV                                     | No  |                           |             |                           |
| 21.1     | Commercial Real Estate (Moxy Hotel, Boston, MA)              | N/A | \$1,000,001 - \$5,000,000 |             | None (or less than \$201) |
| 22       | Norwich Partners Fund, IX                                    | No  |                           |             |                           |
| 22.1     | Commercial Real Estate (Altaria Apartments, Lebanon, NH)     | N/A | \$500,001 - \$1,000,000   |             | None (or less than \$201) |
| 23       | SleepScore Labs, LLC (sleep measurement app)                 | N/A | \$250,001 - \$500,000     |             | None (or less than \$201) |

| #      | DESCRIPTION   | EIF | VALUE                   | INCOME TYPE | INCOME AMOUNT             |
|--------|---|-----|-------------------------|-------------|---------------------------|
| 24     | Cera Care, Ltd. (UK based home healthcare)                                    | N/A | \$500,001 - \$1,000,000 |             | None (or less than \$201) |
| 25     | Zesden, Ltd. (UK-based device to diagnosis breast cancer without radiation)   | N/A | \$100,001 - \$250,000   |             | None (or less than \$201) |
| 26     | Oz Works, LLC   | No  |                         |             |                           |
| 26.1   | U.S. bank (cash)  | N/A | \$50,001 - \$100,000    | Interest    | None (or less than \$201) |
| 27     | Oz Property Holdings, LLC   | No  |                         |             |                           |
| 27.1   | U.S. bank (cash)  | N/A | \$50,001 - \$100,000    |             | None (or less than \$201) |
| 27.2   | Oz Property Holdings Investment Acct.   | No  |                         |             |                           |
| 27.2.1 | Fidelity 500 Index Fund (FXAIX)   | Yes | \$100,001 - \$250,000   |             | \$1,001 - \$2,500         |
| 27.2.2 | Financial Select Sector SPDR Fund (XLF)                                       | Yes | \$15,001 - \$50,000     |             | \$201 - \$1,000           |
| 27.2.3 | Industrial Select Sector SPDR Fund (XLI)                                      | Yes | \$1,001 - \$15,000      |             | \$201 - \$1,000           |
| 27.2.4 | Six Circles Managed Equity Portfolio U.S. Unconstrained Fund (CMEUX)          | Yes | \$250,001 - \$500,000   |             | \$2,501 - \$5,000         |
| 27.2.5 | iShares Core S&P Mid-Cap ETF (IJH)  | Yes | \$15,001 - \$50,000     |             | \$201 - \$1,000           |
| 27.2.6 | JPMorgan BetaBuilders Canada ETF (BBCA)                                       | Yes | \$15,001 - \$50,000     |             | \$201 - \$1,000           |
| 27.2.7 | Six Circles Managed Equity Portfolio International Unconstrained Fund (CMIUX) | Yes | \$100,001 - \$250,000   |             | \$2,501 - \$5,000         |
| 27.2.8 | JPMorgan BetaBuilders Europe ETF (BBEU)                                       | Yes | \$1,001 - \$15,000      |             | \$201 - \$1,000           |
| 27.2.9 | JPMorgan BetaBuilders Japan ETF (BBJP)  | Yes | \$15,001 - \$50,000     |             | \$201 - \$1,000           |

| #        | DESCRIPTION   | EIF | VALUE                      | INCOME TYPE             | INCOME AMOUNT             |
|----------|---|-----|----------------------------|-------------------------|---------------------------|
| 27.2.10  | JPMorgan BetaBuilders Developed Asia ex-Japan ETF (BBAX)                      | Yes | \$1,001 - \$15,000         |                         | \$201 - \$1,000           |
| 27.2.11  | APi Group Corporation (APG)   | N/A | \$50,001 - \$100,000       |                         | None (or less than \$201) |
| 27.2.12  | J2 Acquisition (JTWO)   | N/A | \$100,001 - \$250,000      |                         | None (or less than \$201) |
| 27.2.13  | JPMORGAN CHASE & CO PFD JPM PRC   | N/A | \$50,001 - \$100,000       |                         | None (or less than \$201) |
| 27.2.14  | JPMorgan 100% US Treasury Securities Money Market Fund Agency Shares (VPIXX)  | Yes | \$100,001 - \$250,000      |                         | \$2,501 - \$5,000         |
| 27.3     | Oz Media, LLC   | No  |                            |                         |                           |
| 27.3.1   | U.S. brokerage (cash)   | N/A | \$50,001 - \$100,000       | Interest                | None (or less than \$201) |
| 27.3.2   | Oz Media Investment Acct  | No  |                            |                         |                           |
| 27.3.2.1 | U.S. bank (cash)  | N/A | \$5,000,001 - \$25,000,000 | Interest                | \$100,001 - \$1,000,000   |
| 27.3.2.2 | JPMorgan 100% US Treasury Securities Money Market Fund Premier Shares (VHPXX) | Yes | \$15,001 - \$50,000        |                         | \$201 - \$1,000           |
| 27.3.2.3 | JPMorgan Ultra-Short Municipal Fund Class I Shares (USMTX)                    | Yes | \$1,000,001 - \$5,000,000  |                         | \$50,001 - \$100,000      |
| 27.3.3   | Oz Media Investment Acct  | No  |                            |                         |                           |
| 27.3.3.1 | Microsoft Corp. (MSFT)  | N/A | \$1,000,001 - \$5,000,000  | Capital Gains Dividends | \$15,001 - \$50,000       |
| 27.3.3.2 | Apple, Inc. (AAPL)  | N/A | \$1,000,001 - \$5,000,000  | Capital Gains Dividends | \$5,001 - \$15,000        |
| 27.3.3.3 | Consumer Discretionary Select Sector SPDR Fund (XLY)                          | Yes | \$500,001 - \$1,000,000    |                         | \$2,501 - \$5,000         |

| #             | DESCRIPTION  | EIF | VALUE                       | INCOME TYPE             | INCOME AMOUNT             |
|---------------|--|-----|-----------------------------|-------------------------|---------------------------|
| 27.3.3.<br>4  | UnitedHealth Group, Inc. (UNH)   | N/A | \$250,001 - \$500,000       | Capital Gains Dividends | \$5,001 - \$15,000        |
| 27.3.3.<br>5  | iShares Semiconductor ETF (SOXX)   | Yes | \$250,001 - \$500,000       |                         | \$1,001 - \$2,500         |
| 27.3.3.<br>6  | NVIDIA Corp. (NVDA)  | N/A | \$250,001 - \$500,000       | Capital Gains Dividends | None (or less than \$201) |
| 27.3.3.<br>7  | Vanguard Total Stock Market Index Fund ETF Class Shares (VTI)                                    | Yes | \$100,001 - \$250,000       |                         | \$2,501 - \$5,000         |
| 27.3.3.<br>8  | Vanguard High Dividend Yield Index Fund ETF Shares (VYM)   | Yes | \$50,001 - \$100,000        |                         | \$2,501 - \$5,000         |
| 27.3.3.<br>9  | WCM Focused International Growth Fund Institutional Class Shares (WCMIX)                         | Yes | \$15,001 - \$50,000         |                         | None (or less than \$201) |
| 27.3.3.<br>10 | Schwab Government Money Fund Investor Class Shares (SNVXX)                                       | Yes | \$15,001 - \$50,000         |                         | \$1,001 - \$2,500         |
| 27.3.4        | Zoco Productions, LLC (production company)   | No  |                             |                         |                           |
| 27.3.4.<br>1  | U.S. bank (cash)   | N/A | \$50,001 - \$100,000        |                         | None (or less than \$201) |
| 27.3.5        | SUSTAINABLE LUXURY (BVI) LIMITED PARTNER (Luxury hospitality with an emphasis on sustainability) | N/A | None (or less than \$1,001) | Capital Gains           | \$15,001 - \$50,000       |
| 27.4          | Oz Property Holdings Investment Acct   | No  |                             |                         |                           |
| 27.4.1        | NVIDIA Corp (NVDA)   | N/A | \$1,000,001 - \$5,000,000   | Capital Gains Dividends | \$201 - \$1,000           |
| 27.4.2        | Apple Inc (AAPL)   | N/A | \$1,000,001 - \$5,000,000   | Capital Gains Dividends | \$5,001 - \$15,000        |
| 27.4.3        | Amazon.com, Inc. (AMZN)  | N/A | \$500,001 - \$1,000,000     | Capital Gains           | None (or less than \$201) |
| 27.4.4        | Microsoft Corp. (MSFT)   | N/A | \$500,001 - \$1,000,000     | Capital Gains Dividends | \$5,001 - \$15,000        |

| #       | DESCRIPTION  | EIF | VALUE                     | INCOME TYPE             | INCOME AMOUNT             |
|---------|--|-----|---------------------------|-------------------------|---------------------------|
| 27.4.5  | iShares Core S&P 500 ETF (IVV)   | Yes | \$50,001 - \$100,000      |                         | \$1,001 - \$2,500         |
| 27.4.6  | WCM Focused International Growth Fund Institutional Class Shares (WCMIX) | Yes | \$50,001 - \$100,000      |                         | None (or less than \$201) |
| 27.4.7  | iShares Core S&P Mid-Cap ETF (IJH)                                       | Yes | \$50,001 - \$100,000      |                         | \$201 - \$1,000           |
| 27.4.8  | Vanguard High Dividend Yield Index Fund ETF Shares (VYM)                 | Yes | \$15,001 - \$50,000       |                         | \$1,001 - \$2,500         |
| 27.4.9  | Schwab US Large-Cap ETF (SCHX)   | Yes | \$15,001 - \$50,000       |                         | \$201 - \$1,000           |
| 27.4.10 | Alphabet, Inc. (GOOG)  | N/A | \$15,001 - \$50,000       | Capital Gains Dividends | None (or less than \$201) |
| 27.4.11 | iShares Core S&P Small-Cap ETF (IJR)                                     | Yes | \$15,001 - \$50,000       |                         | \$201 - \$1,000           |
| 27.4.12 | Schwab US Treasury Money Fund Investor Class Shares (SNSXX)              | Yes | \$1,000,001 - \$5,000,000 |                         | \$100,001 - \$1,000,000   |
| 27.5    | Oz Property Holdings Tactical Investment Acct.                           | No  |                           |                         |                           |
| 27.5.1  | American High-Income Trust Class F3 Shares (HIGFX)                       | Yes | \$1,000,001 - \$5,000,000 |                         | \$100,001 - \$1,000,000   |
| 27.5.2  | Blackrock Floating Rate Income Instl (BFRIX)                             | Yes | \$1,000,001 - \$5,000,000 |                         | \$100,001 - \$1,000,000   |
| 27.6    | Maisonette Inc. (Online Retailer)  | N/A | \$100,001 - \$250,000     |                         | None (or less than \$201) |
| 27.7    | Five Star Travel Corp (hospitality and travel rating company)            | N/A | \$500,001 - \$1,000,000   | Dividends               | \$100,001 - \$1,000,000   |
| 27.8    | JungoTV, LLC (streaming and media services)                              | N/A | \$500,001 - \$1,000,000   |                         | None (or less than \$201) |

| #     | DESCRIPTION   | EIF | VALUE                       | INCOME TYPE   | INCOME AMOUNT             |
|-------|---|-----|-----------------------------|---------------|---------------------------|
| 27.9  | Our Body Electric, LLC (aka obé Fitness - online streaming workout platform)  | N/A | \$50,001 - \$100,000        |               | None (or less than \$201) |
| 27.10 | Pantheryx Holdings, LLC (Colostrum-based health and wellness solutions)   | N/A | \$250,001 - \$500,000       |               | None (or less than \$201) |
| 27.11 | Lee Equity Partners Fund III LP   | Yes | \$500,001 - \$1,000,000     |               | \$100,001 - \$1,000,000   |
| 27.12 | Jana Strategic Investments Benchmark Fund   | Yes | \$500,001 - \$1,000,000     |               | None (or less than \$201) |
| 27.13 | INCEPTION FERTILITY HOLDINGS (Fertility and Reproductive Medicine) LLC  | N/A | \$1,000,001 - \$5,000,000   |               | None (or less than \$201) |
| 27.14 | Connect America Investment Holdings, LLC (Tech enabled, Remote Care Service and Analytics)  | N/A | \$250,001 - \$500,000       |               | None (or less than \$201) |
| 27.15 | HET Liquidating Trust (receivable) (trust that is used to liquidate assets for a company that is in bankruptcy or financial distress) | N/A | None (or less than \$1,001) | Capital Gains | \$5,001 - \$15,000        |
| 27.16 | Cross MediaWorks, LLC (Multiplatform Media Advertising and Content Distribution)  | N/A | None (or less than \$1,001) | Capital Gains | \$15,001 - \$50,000       |
| 27.17 | Sharecare Inc. Class A Common Stock (SHCR)  | N/A | None (or less than \$1,001) | Capital Gains | None (or less than \$201) |
| 27.18 | Aibolit Technologies, LLC (Healthcare Technology)   | N/A | \$250,001 - \$500,000       | Capital Gains | None (or less than \$201) |
| 27.19 | Licensing of streaming rights to Jungo TV, LLC for Dr. Oz Show (value not readily ascertainable)                                      | N/A |                             |               | None (or less than \$201) |
| 28    | Utah System of Higher Education 529   | No  |                             |               |                           |
| 28.1  | my529 Institutional Index (UTVLX)   | Yes | \$50,001 - \$100,000        |               | None (or less than \$201) |
| 28.2  | my529 Mid Cap Index (UTVMX)   | Yes | \$15,001 - \$50,000         |               | None (or less than \$201) |

| #     | DESCRIPTION                                | EIF | VALUE                | INCOME TYPE | INCOME AMOUNT             |
|-------|--|-----|----------------------|-------------|---------------------------|
| 28.3  | my529 Growth Index (UTVGX)                 | Yes | \$15,001 - \$50,000  |             | None (or less than \$201) |
| 28.4  | my529 Small Cap Index (UTVSX)              | Yes | \$15,001 - \$50,000  |             | None (or less than \$201) |
| 28.5  | my529 Developed Markets Index (UTVDX)      | Yes | \$15,001 - \$50,000  |             | None (or less than \$201) |
| 28.6  | my529 Emerging Markets Stock Index (UTVEX) | Yes | \$15,001 - \$50,000  |             | None (or less than \$201) |
| 28.7  | my529 International Growth (UTVWX)         | Yes | \$1,001 - \$15,000   |             | None (or less than \$201) |
| 28.8  | my529 FDIC-Insured Portfolio (UTFIX)       | Yes | \$50,001 - \$100,000 |             | None (or less than \$201) |
| 28.9  | my529 Total Bond Market Index (UTVBX)      | Yes | \$15,001 - \$50,000  |             | None (or less than \$201) |
| 28.10 | my529 Stable Value (UTPSVX)                | Yes | \$15,001 - \$50,000  |             | None (or less than \$201) |
| 28.11 | my529 Short-Term Investment-Grade (UTVAX)  | Yes | \$1,001 - \$15,000   |             | None (or less than \$201) |
| 29    | Utah System of Higher Education 529        | No  |                      |             |                           |
| 29.1  | my529 Institutional Index (UTVLX)          | Yes | \$50,001 - \$100,000 |             | None (or less than \$201) |
| 29.2  | my529 Mid Cap Index (UTVMX)                | Yes | \$15,001 - \$50,000  |             | None (or less than \$201) |
| 29.3  | my529 Growth Index (UTVGX)                 | Yes | \$15,001 - \$50,000  |             | None (or less than \$201) |
| 29.4  | my529 Small Cap Index (UTVSX)              | Yes | \$15,001 - \$50,000  |             | None (or less than \$201) |
| 29.5  | my529 Emerging Markets Stock Index (UTVEX) | Yes | \$15,001 - \$50,000  |             | None (or less than \$201) |

| #     | DESCRIPTION                                | EIF | VALUE                | INCOME TYPE | INCOME AMOUNT             |
|-------|--|-----|----------------------|-------------|---------------------------|
| 29.6  | my529 Developed Markets Index (UTVDX)      | Yes | \$1,001 - \$15,000   |             | None (or less than \$201) |
| 29.7  | my529 International Growth (UTVWX)         | Yes | \$1,001 - \$15,000   |             | None (or less than \$201) |
| 29.8  | my529 FDIC-Insured Portfolio (UTFIX)       | Yes | \$50,001 - \$100,000 |             | None (or less than \$201) |
| 29.9  | my529 Total Bond Market Index (UTVBX)      | Yes | \$15,001 - \$50,000  |             | None (or less than \$201) |
| 29.10 | my529 Stable Value (UTPSVX)                | Yes | \$1,001 - \$15,000   |             | None (or less than \$201) |
| 29.11 | my529 Short-Term Investment-Grade (UTVAX)  | Yes | \$1,001 - \$15,000   |             | None (or less than \$201) |
| 30    | Utah System of Higher Education 529        | No  |                      |             |                           |
| 30.1  | my529 Institutional Index (UTVLX)          | Yes | \$50,001 - \$100,000 |             | None (or less than \$201) |
| 30.2  | my529 Mid Cap Index (UTVMX)                | Yes | \$15,001 - \$50,000  |             | None (or less than \$201) |
| 30.3  | my529 Growth Index (UTVGX)                 | Yes | \$15,001 - \$50,000  |             | None (or less than \$201) |
| 30.4  | my529 Small Cap Index (UTVSX)              | Yes | \$15,001 - \$50,000  |             | None (or less than \$201) |
| 30.5  | my529 Emerging Markets Stock Index (UTVEX) | Yes | \$15,001 - \$50,000  |             | None (or less than \$201) |
| 30.6  | my529 Developed Markets Index (UTVDX)      | Yes | \$15,001 - \$50,000  |             | None (or less than \$201) |
| 30.7  | my529 International Growth (UTVWX)         | Yes | \$1,001 - \$15,000   |             | None (or less than \$201) |
| 30.8  | my529 FDIC-Insured Portfolio (UTFIX)       | Yes | \$50,001 - \$100,000 |             | None (or less than \$201) |

| #     | DESCRIPTION                                | EIF | VALUE                | INCOME TYPE | INCOME AMOUNT             |
|-------|--|-----|----------------------|-------------|---------------------------|
| 30.9  | my529 Total Bond Market Index (UTVBX)      | Yes | \$15,001 - \$50,000  |             | None (or less than \$201) |
| 30.10 | my529 Stable Value (UTPSVX)                | Yes | \$15,001 - \$50,000  |             | None (or less than \$201) |
| 30.11 | my529 Short-Term Investment-Grade (UTVAX)  | Yes | \$1,001 - \$15,000   |             | None (or less than \$201) |
| 31    | Utah System of Higher Education 529        | No  |                      |             |                           |
| 31.1  | my529 Institutional Index (UTVLX)          | Yes | \$50,001 - \$100,000 |             | None (or less than \$201) |
| 31.2  | my529 Mid Cap Index (UTVMX)                | Yes | \$15,001 - \$50,000  |             | None (or less than \$201) |
| 31.3  | my529 Growth Index (UTVGX)                 | Yes | \$15,001 - \$50,000  |             | None (or less than \$201) |
| 31.4  | my529 Small Cap Index (UTVSX)              | Yes | \$15,001 - \$50,000  |             | None (or less than \$201) |
| 31.5  | my529 Emerging Markets Stock Index (UTVEX) | Yes | \$1,001 - \$15,000   |             | None (or less than \$201) |
| 31.6  | my529 Developed Markets Index (UTVDX)      | Yes | \$1,001 - \$15,000   |             | None (or less than \$201) |
| 31.7  | my529 International Growth (UTVWX)         | Yes | \$1,001 - \$15,000   |             | None (or less than \$201) |
| 31.8  | my529 FDIC-Insured Portfolio (UTFIX)       | Yes | \$50,001 - \$100,000 |             | None (or less than \$201) |
| 31.9  | my529 Total Bond Market Index (UTVBX)      | Yes | \$15,001 - \$50,000  |             | None (or less than \$201) |
| 31.10 | my529 Stable Value (UTPSVX)                | Yes | \$1,001 - \$15,000   |             | None (or less than \$201) |
| 31.11 | my529 Short-Term Investment-Grade (UTVAX)  | Yes | \$1,001 - \$15,000   |             | None (or less than \$201) |

| #    | DESCRIPTION  | EIF         | VALUE                   | INCOME TYPE       | INCOME AMOUNT             |
|------|--|-------------|-------------------------|-------------------|---------------------------|
| 32   | Utah System of Higher Education 529                                    | No          |                         |                   |                           |
| 32.1 | my529 Institutional Index (UTVLX)                                      | Yes         | \$1,001 - \$15,000      |                   | None (or less than \$201) |
| 32.2 | my529 Mid Cap Index (UTVMX)  | Yes         | \$1,001 - \$15,000      |                   | None (or less than \$201) |
| 32.3 | my529 Growth Index (UTVGX)   | Yes         | \$1,001 - \$15,000      |                   | None (or less than \$201) |
| 32.4 | my529 Small Cap Index (UTVSX)  | Yes         | \$1,001 - \$15,000      |                   | None (or less than \$201) |
| 32.5 | my529 Emerging Markets Stock Index (UTVEX)                             | Yes         | \$1,001 - \$15,000      |                   | None (or less than \$201) |
| 32.6 | my529 Developed Markets Index (UTVDX)                                  | Yes         | \$1,001 - \$15,000      |                   | None (or less than \$201) |
| 32.7 | my529 International Growth (UTVWX)                                     | Yes         | \$1,001 - \$15,000      |                   | None (or less than \$201) |
| 32.8 | my529 FDIC-Insured Portfolio (UTFIX)                                   | Yes         | \$15,001 - \$50,000     |                   | None (or less than \$201) |
| 32.9 | my529 Stable Value (UTPSVX)  | Yes         | \$15,001 - \$50,000     |                   | None (or less than \$201) |
| 33   | Fidelity International Index Fund (FSPSX)                              | Yes         | \$15,001 - \$50,000     |                   | \$201 - \$1,000           |
| 34   | Louwana Trust  | See Endnote | No                      |                   |                           |
| 34.1 | U.S. bank (cash)   | N/A         | \$50,001 - \$100,000    |                   | None (or less than \$201) |
| 35   | Investment Property -Residential Real Estate (Cumberland Foreside, ME) | N/A         | \$500,001 - \$1,000,000 | Rent or Royalties | \$15,001 - \$50,000       |
| 36   | Country House Limited, LLC   | No          |                         |                   |                           |

| #          | DESCRIPTION   | EIF | VALUE                    | INCOME TYPE                | INCOME AMOUNT                |
|------------|---|-----|--------------------------|----------------------------|------------------------------|
| 36.1       | Dunbrody House - Commercial Real Estate<br>(Arthurstown, Ireland) | N/A | \$250,001 -<br>\$500,000 |                            | None (or less<br>than \$201) |
| 37         | Lemole Investment Group, LLC                                      | No  |                          |                            |                              |
| 37.1       | Lemole Family Limited Partnership                                 | No  |                          |                            |                              |
| 37.1.1     | U.S. bank (cash)  | N/A | \$250,001 -<br>\$500,000 | Interest                   | \$201 - \$1,000              |
| 37.1.2     | Lemole Family Limited Partnership Managed<br>Account              | No  |                          |                            |                              |
| 37.1.2. 1  | iShares Russell 1000 ETF (IWB)                                    | Yes | \$50,001 -<br>\$100,000  |                            | \$201 - \$1,000              |
| 37.1.2. 2  | Schwab US Large Cap ETF (SCHX)                                    | Yes | \$15,001 -<br>\$50,000   |                            | \$201 - \$1,000              |
| 37.1.2. 3  | Microsoft Corp. (MSFT)  | N/A | \$15,001 -<br>\$50,000   | Dividends                  | \$201 - \$1,000              |
| 37.1.2. 4  | Blackstone Inc. Common Stock (BX)                                 | N/A | \$15,001 -<br>\$50,000   |                            | None (or less<br>than \$201) |
| 37.1.2. 5  | Apple Inc (AAPL)  | N/A | \$1,001 - \$15,000       | Capital Gains<br>Dividends | None (or less<br>than \$201) |
| 37.1.2. 6  | Amazon.com, Inc. (AMZN)   | N/A | \$1,001 - \$15,000       | Capital Gains              | None (or less<br>than \$201) |
| 37.1.2. 7  | iShares Semiconductor ETF (SOXX)                                  | Yes | \$1,001 - \$15,000       |                            | None (or less<br>than \$201) |
| 37.1.2. 8  | Schwab US Dividend Equity (SCHD)                                  | Yes | \$1,001 - \$15,000       |                            | \$201 - \$1,000              |
| 37.1.2. 9  | VanEck Vectors Semiconductor ETF (SMH)                            | Yes | \$1,001 - \$15,000       |                            | None (or less<br>than \$201) |
| 37.1.2. 10 | Schwab US Mid Cap (SCHM)  | Yes | \$1,001 - \$15,000       |                            | None (or less<br>than \$201) |

| #             | DESCRIPTION  | EIF | VALUE                   | INCOME TYPE   | INCOME AMOUNT             |
|---------------|--|-----|-------------------------|---------------|---------------------------|
| 37.1.2.<br>11 | Schwab US Small Cap ETF (SCHA)                         | Yes | \$1,001 - \$15,000      |               | None (or less than \$201) |
| 37.1.2.<br>12 | Schwab Fundamental International Equity fund (FNDF)    | Yes | \$1,001 - \$15,000      |               | \$201 - \$1,000           |
| 37.1.2.<br>13 | Global X Fds Cloud Computing ETF (CLOU)                | Yes | \$1,001 - \$15,000      |               | None (or less than \$201) |
| 37.1.2.<br>14 | iShares Core S&P Mid-Cap ETF (IJH)                     | Yes | \$1,001 - \$15,000      |               | None (or less than \$201) |
| 37.1.2.<br>15 | iShares Expanded Tech Software-Sector ETF (IGV)        | Yes | \$1,001 - \$15,000      |               | None (or less than \$201) |
| 37.1.2.<br>16 | JP Morgan Income ETF (JPIE)                            | Yes | \$1,001 - \$15,000      |               | \$201 - \$1,000           |
| 37.1.2.<br>17 | T Rowe Price ETF INC Floating Rate (TFLR)              | Yes | \$1,001 - \$15,000      |               | \$201 - \$1,000           |
| 37.1.2.<br>18 | Schwab Government Money Fund - Investor Shares (SNVXX) | Yes | \$15,001 - \$50,000     |               | \$1,001 - \$2,500         |
| 37.1.2.<br>19 | Bluerock Total Income Real Estate I (TIPWX)            | Yes | \$1,001 - \$15,000      |               | None (or less than \$201) |
| 38            | EJL 2012 Irrevocable Trust                             | No  |                         |               |                           |
| 38.1          | Asplundh Tree Expert Co (Private Company Shares)       | N/A | \$500,001 - \$1,000,000 | Dividends     | \$5,001 - \$15,000        |
| 38.2          | EJL 2012 Investment Account                            | No  |                         |               |                           |
| 38.2.1        | Amazon.com, Inc. (AMZN)                                | N/A | \$50,001 - \$100,000    |               | None (or less than \$201) |
| 38.2.2        | Alphabet Inc. Class A (GOOGL)                          | N/A | \$1,001 - \$15,000      | Capital Gains | None (or less than \$201) |
| 38.2.3        | Apple, Inc. (AAPL)                                     | N/A | \$15,001 - \$50,000     | Capital Gains | None (or less than \$201) |

| #       | DESCRIPTION   | EIF | VALUE                 | INCOME TYPE             | INCOME AMOUNT             |
|---------|---|-----|-----------------------|-------------------------|---------------------------|
| 38.2.4  | iShares Core S&P 500 ETF (IVV)  | Yes | \$50,001 - \$100,000  |                         | \$201 - \$1,000           |
| 38.2.5  | iShares Russell 1000 ETF (IWB)  | Yes | \$100,001 - \$250,000 |                         | \$1,001 - \$2,500         |
| 38.2.6  | VanEck Semiconductor ETF (SMH)  | Yes | \$1,001 - \$15,000    |                         | None (or less than \$201) |
| 38.2.7  | Microsoft Corp. (MSFT)  | N/A | \$50,001 - \$100,000  | Capital Gains Dividends | \$201 - \$1,000           |
| 38.2.8  | NVIDIA Corp. (NVDA)   | N/A | \$15,001 - \$50,000   | Capital Gains Dividends | None (or less than \$201) |
| 38.2.9  | iShares Core S&P Mid-Cap ETF (IJH)  | Yes | \$15,001 - \$50,000   |                         | \$201 - \$1,000           |
| 38.2.10 | Bluerock Total Income + Real Estate Fund (TIPWX)  | Yes | \$1,001 - \$15,000    |                         | \$201 - \$1,000           |
| 38.2.11 | Goldman Sachs GQG Partners International Opportunities Fund Institutional Class Shs (GSIMX) | Yes | \$15,001 - \$50,000   |                         | \$201 - \$1,000           |
| 38.2.12 | MFS Institutional International Equity Fund (MIEIX)   | Yes | \$15,001 - \$50,000   |                         | \$201 - \$1,000           |
| 38.2.13 | Northern FundsGlobal Real Estate Index Fund (NGREX)   | Yes | \$1,001 - \$15,000    |                         | \$201 - \$1,000           |
| 38.2.14 | iShares Global Infrastructure ETF (IGF)   | Yes | \$1,001 - \$15,000    |                         | \$201 - \$1,000           |
| 38.2.15 | SPDR S&P Global Natural Resources ETF (GNR)   | Yes | \$1,001 - \$15,000    |                         | \$201 - \$1,000           |
| 38.2.16 | iShares Broad USD High Yield Corporate Bond ETF (USHY)                                      | Yes | \$50,001 - \$100,000  |                         | \$2,501 - \$5,000         |
| 38.2.17 | iShares 1-5 Year Investment Grade Corporate Bond ETF (IGSB)                                 | Yes | \$15,001 - \$50,000   |                         | \$1,001 - \$2,500         |

| #       | DESCRIPTION  | EIF | VALUE                 | INCOME TYPE   | INCOME AMOUNT             |
|---------|--|-----|-----------------------|---------------|---------------------------|
| 38.2.18 | iShares 5-10 Year Investment Grade Corporate Bond ETF (IGIB) | Yes | \$15,001 - \$50,000   |               | \$1,001 - \$2,500         |
| 38.2.19 | Northern Funds US Government Money Market Fund (NOGXX)       | Yes | \$15,001 - \$50,000   |               | None (or less than \$201) |
| 38.3    | U.S. bank (cash)   | N/A | \$15,001 - \$50,000   | Interest      | None (or less than \$201) |
| 39      | EJL 2023 Irrevocable Trust                                   | No  |                       |               |                           |
| 39.1    | Asplundh Tree Expert Co (Private Company)                    | N/A | Over \$1,000,000      | Dividends     | \$15,001 - \$50,000       |
| 39.2    | EJL 2023 Investment Account                                  | No  |                       |               |                           |
| 39.2.1  | Alphabet Inc. Class A (GOOGL)                                | N/A | \$15,001 - \$50,000   |               | None (or less than \$201) |
| 39.2.2  | Amazon.com, Inc. (AMZN)                                      | N/A | \$100,001 - \$250,000 | Capital Gains | None (or less than \$201) |
| 39.2.3  | Apple, Inc. (AAPL)   | N/A | \$50,001 - \$100,000  | Dividends     | \$201 - \$1,000           |
| 39.2.4  | iShares Russell 1000 ETF (IWB)                               | Yes | \$250,001 - \$500,000 |               | \$2,501 - \$5,000         |
| 39.2.5  | VanEck Semiconductor ETF (SMH)                               | Yes | \$15,001 - \$50,000   |               | None (or less than \$201) |
| 39.2.6  | Microsoft Corp. (MSFT)                                       | N/A | \$50,001 - \$100,000  | Dividends     | \$201 - \$1,000           |
| 39.2.7  | NVIDIA Corp. (NVDA)  | N/A | \$15,001 - \$50,000   | Capital Gains | None (or less than \$201) |
| 39.2.8  | iShares Core S&P Mid-Cap ETF (IJH)                           | Yes | \$50,001 - \$100,000  |               | \$201 - \$1,000           |
| 39.2.9  | Bluerock Total Income + Real Estate 1 Fund (TIPWX)           | Yes | \$15,001 - \$50,000   |               | \$1,001 - \$2,500         |

| #       | DESCRIPTION   | EIF | VALUE                 | INCOME TYPE | INCOME AMOUNT     |
|---------|---|-----|-----------------------|-------------|-------------------|
| 39.2.10 | Goldman Sachs GQG Partners International Opportunities Fund Institutional Class Shs (GSIMX) | Yes | \$100,001 - \$250,000 |             | \$1,001 - \$2,500 |
| 39.2.11 | MFS Institutional International Equity Fund (MIEIX)   | Yes | \$100,001 - \$250,000 |             | \$1,001 - \$2,500 |
| 39.2.12 | Northern FundsGlobal Real Estate Index Fund (NGREX)   | Yes | \$15,001 - \$50,000   |             | \$1,001 - \$2,500 |
| 39.2.13 | SPDR S&P Global Natural Resources ETF (GNR)   | Yes | \$15,001 - \$50,000   |             | \$201 - \$1,000   |
| 39.2.14 | ALASKA ST 5% 08-01-2027 BEO Bond  | N/A | \$1,001 - \$15,000    | Interest    | \$201 - \$1,000   |
| 39.2.15 | BLACK BELT ENERGY GAS DIST ALA GAS PROJ REV 5% 11-01-2033 BEO Bond                          | N/A | \$15,001 - \$50,000   | Interest    | \$201 - \$1,000   |
| 39.2.16 | CHICAGO ILL 5% 01-01-2034 BEO Bond  | N/A | \$15,001 - \$50,000   | Interest    | \$201 - \$1,000   |
| 39.2.17 | FLORIDA INS ASSISTANCE INTERLOCAL AGY FLA INS ASSMT REV 5% 09-01-2027 BEO Bond              | N/A | \$15,001 - \$50,000   | Interest    | \$201 - \$1,000   |
| 39.2.18 | FORT BEND CNTY TEX 5% 03-01-2027 BEO Bond   | N/A | \$1,001 - \$15,000    | Interest    | \$201 - \$1,000   |
| 39.2.19 | FORT WAYNE IND REDEV AUTH LEASE RENT REV5% 12-15-2032 BEO Bond                              | N/A | \$1,001 - \$15,000    | Interest    | \$201 - \$1,000   |
| 39.2.20 | HENNEPIN CNTY MINN 5% 12-15-2030 BEO Bond   | N/A | \$15,001 - \$50,000   | Interest    | \$201 - \$1,000   |
| 39.2.21 | ILLINOIS ST 5% 03-01-2030 BEO Bond  | N/A | \$15,001 - \$50,000   | Interest    | \$201 - \$1,000   |
| 39.2.22 | ILLINOIS ST 5% 07-01-2031 BEO Bond  | N/A | \$15,001 - \$50,000   | Interest    | \$201 - \$1,000   |
| 39.2.23 | ILLINOIS ST TOLL HWY AUTH TOLL HIGHWAY REV 5% 01-01-2034 BEO Bond                           | N/A | \$1,001 - \$15,000    | Interest    | \$201 - \$1,000   |

| #       | DESCRIPTION  | EIF | VALUE               | INCOME TYPE | INCOME AMOUNT   |
|---------|--|-----|---------------------|-------------|-----------------|
| 39.2.24 | LONGVIEW TEX INDPT SCH DIST 5% 02-15-2027 BEO Bond                 | N/A | \$15,001 - \$50,000 | Interest    | \$201 - \$1,000 |
| 39.2.25 | LOUISIANA PUB FACS AUTH REV 5% 10-15-2036 BEO Bond                 | N/A | \$1,001 - \$15,000  | Interest    | \$201 - \$1,000 |
| 39.2.26 | MICHIGAN ST 5% 03-15-2027 BEO Bond                                 | N/A | \$1,001 - \$15,000  | Interest    | \$201 - \$1,000 |
| 39.2.27 | NEW JERSEY ST TRANSN TR FD AUTH 5% 06-15-2034 BEO Bond             | N/A | \$1,001 - \$15,000  | Interest    | \$201 - \$1,000 |
| 39.2.28 | NEW YORK N Y CITY TRANSITIONAL FIN AUTH REV 5% 11-01-2027 BEO Bond | N/A | \$15,001 - \$50,000 | Interest    | \$201 - \$1,000 |
| 39.2.29 | OHIO ST HIGHER EDL FAC COMMN REV 5% 07-01-2035 BEO Bond            | N/A | \$1,001 - \$15,000  | Interest    | \$201 - \$1,000 |
| 39.2.30 | ORANGE CNTY FLA HEALTH FACS AUTH REV 5% 10-01-2028 BEO Bond        | N/A | \$1,001 - \$15,000  | Interest    | \$201 - \$1,000 |
| 39.2.31 | PALM BEACH CNTY FLA SCH BRD CTFS PARTN 5% 08-01-2034 BEO Bond      | N/A | \$1,001 - \$15,000  | Interest    | \$201 - \$1,000 |
| 39.2.32 | PENNSYLVANIA ECONOMIC DEV FING AUTH UPMCREV 5% 05-15-2031 BEO Bond | N/A | \$15,001 - \$50,000 | Interest    | \$201 - \$1,000 |
| 39.2.33 | PHILADELPHIA PA 5% 02-01-2032 BEO Bond                             | N/A | \$15,001 - \$50,000 | Interest    | \$201 - \$1,000 |
| 39.2.34 | PORT SEATTLE WASH REV 5% 03-01-2027 BEO Bond                       | N/A | \$1,001 - \$15,000  | Interest    | \$201 - \$1,000 |
| 39.2.35 | TEXAS ST 5% 10-01-2027 BEO Bond                                    | N/A | \$15,001 - \$50,000 | Interest    | \$201 - \$1,000 |
| 39.2.36 | TOBACCO SETTLEMENT FING CORP N J 5% 06-01-2028 BEO Bond            | N/A | \$1,001 - \$15,000  | Interest    | \$201 - \$1,000 |
| 39.2.37 | WASHINGTON ST 5% 08-01-2029 BEO Bond                               | N/A | \$15,001 - \$50,000 | Interest    | \$201 - \$1,000 |
| 39.2.38 | WASHINGTON ST CTFS PARTN 5% 01-01-2027 BEO Bond                    | N/A | \$15,001 - \$50,000 | Interest    | \$201 - \$1,000 |

| #    | DESCRIPTION                                   | EIF | VALUE                       | INCOME TYPE       | INCOME AMOUNT             |
|------|---|-----|-----------------------------|-------------------|---------------------------|
| 39.3 | U.S. bank (cash)                              | N/A | \$100,001 - \$250,000       | Interest          | None (or less than \$201) |
| 40   | U.S. bank (cash)                              | N/A | \$50,001 - \$100,000        | Interest          | None (or less than \$201) |
| 41   | Epictetus Investments, LLC                    | No  |                             |                   |                           |
| 41.1 | 26North Direct Lending Management LP          | Yes | \$1,001 - \$15,000          |                   | None (or less than \$201) |
| 41.2 | 26North BDC                                   | Yes | \$15,001 - \$50,000         |                   | \$1,001 - \$2,500         |
| 41.3 | Declaration Real Estate Fund I, LP            | Yes | \$250,001 - \$500,000       |                   | None (or less than \$201) |
| 41.4 | Declaration Real Estate Fund II LP            | Yes | \$50,001 - \$100,000        |                   | None (or less than \$201) |
| 42   | U.S. bank (cash)                              | N/A | \$50,001 - \$100,000        | Interest          | None (or less than \$201) |
| 43   | Sal Lem Limited Partnership                   | No  |                             |                   |                           |
| 43.1 | Commercial Real Estate, Huntingdon Valley, PA | N/A | \$100,001 - \$250,000       | Rent or Royalties | \$2,501 - \$5,000         |
| 44   | U.S. bank (cash)                              | N/A | \$15,001 - \$50,000         | Interest          | None (or less than \$201) |
| 45   | iShares Core S&P 500 ETF (IVV)                | Yes | \$100,001 - \$250,000       |                   | \$1,001 - \$2,500         |
| 46   | iShares Global Infrastructure ETF (IGF)       | Yes | \$15,001 - \$50,000         |                   | \$1,001 - \$2,500         |
| 47   | MMF Systems, Inc. (Health IT Company)         | N/A | None (or less than \$1,001) |                   | None (or less than \$201) |
| 48   | Sharecare Inc. Class A Common Stock (SHCR)    | N/A | None (or less than \$1,001) | Capital Gains     | \$5,001 - \$15,000        |

| #  | DESCRIPTION                              | EIF         | VALUE                   | INCOME TYPE                 | INCOME AMOUNT             |
|----|--|-------------|-------------------------|-----------------------------|---------------------------|
| 49 | Coinbase Wallet -- Bitcoin               | N/A         | \$500,001 - \$1,000,000 | None (or less than \$201)   |                           |
| 50 | Dr. Oz for Senate, account receivable    | See Endnote | N/A                     | \$25,000,001 - \$50,000,000 | None (or less than \$201) |
| 51 | Fibabanka Anonim - Bank of Turkey (cash) |             | N/A                     | \$1,001 - \$15,000          | None (or less than \$201) |

## 7. Transactions

(N/A) - Not required for this type of report

## 8. Liabilities

| # | CREDITOR NAME                   | TYPE         | AMOUNT                | YEAR INCURRED | RATE            | TERM      |
|---|---------------------------------|--------------|-----------------------|---------------|-----------------|-----------|
| 1 | Charles Schwab                  | Margin Loan  | \$100,001 - \$250,000 | 2025          | Fed Fund + .75% | revolving |
| 2 | Lee Equity Partners Fund III LP | Capital Call | \$15,001 - \$50,000   | 2018          | N/A             | on demand |
| 3 | TQ Ventures II, LP              | Capital Call | \$100,001 - \$250,000 | 2019          | N/A             | on demand |

## 9. Gifts and Travel Reimbursements

(N/A) - Not required for this type of report

## Endnotes

| PART | #  | ENDNOTE  |
|------|----|--|
| 2.   | 10 | The filer is vested but unable to collect until June 2025.   |
| 6.   | 15 | Filer and Filer's spouse each have a 1/3 interest.   |
| 6.   | 17 | This investment is closed as of December 31 2024   |
| 6.   | 34 | The filer and the filer's spouse are beneficiaries of the Louwana Trust and have a 2/3 ownership interest.     |
| 6.   | 50 | This receivable is a loan made by the filer with personal funds to the filer's 2022 Senate campaign committee. |

## Summary of Contents

### 1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

### 2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

### 3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

#### 4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

#### 5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

#### 6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

## 7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during the reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

## 8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (note: certain PAS nominees and appointees are required to report all mortgages); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

## 9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$480 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$480 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$192 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

## Privacy Act Statement

5 U.S.C. § 13101 et seq., and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with 5 U.S.C. §§ 13107 and § 13122(b)(1) or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13989 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

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