

## Executive Branch Personnel

### Public Financial Disclosure Report (OGE Form 278e)

#### Filer's Information

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Palmer, Virginia E

Ambassador to Ghana, Department of State

Report Year: 2023

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#### Other Federal Government Positions Held During the Preceding 12 Months:

Principal Deputy Assistant Secretary - Bureau of Energy Resources (12/2019 - 3/2022)

Ambassador to Ghana, State (3/2022 - Present)

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Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Palmer, Virginia E [electronically signed on 05/12/2023 by Palmer, Virginia E in Integrity.gov]

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Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Veidenheimer, Paul S, Certifying Official [electronically signed on 07/11/2023 by Veidenheimer, Paul S in Integrity.gov]

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Other review conducted by

/s/ Veidenheimer, Paul S, Ethics Official [electronically signed on 07/11/2023 by Veidenheimer, Paul S in Integrity.gov]

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Data Revised 07/11/2023

Data Revised 07/10/2023

Data Revised 07/09/2023

Data Revised 07/08/2023

Data Revised 06/26/2023

Data Revised 06/25/2023

Data Revised 06/24/2023

Data Revised 06/23/2023

Data Revised 05/30/2023

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## 1. Filer's Positions Held Outside United States Government

None

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## 2. Filer's Employment Assets & Income and Retirement Accounts

None

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## 3. Filer's Employment Agreements and Arrangements

None

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## 4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

(N/A) - Not required for this type of report

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## 5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Cassaday IRA #1	No			
1.1	Mutual Fund - Invesco Developing Mkts Fund (ODVIX)	Yes	\$50,001 - \$100,000		None (or less than \$201)
1.2	Mutual Fund - Diamond Hill Large-Cap Fund (DHLRX)	Yes	\$50,001 - \$100,000		None (or less than \$201)
1.3	Mutual Fund - FPA New Income Inc (FPNIX)	Yes	\$50,001 - \$100,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.4	Mutual Fund - Grandeur Peak International Stalwarts (GISYX)	Yes	\$50,001 - \$100,000		None (or less than \$201)
1.5	Mutual Fund - Guggenheim Total Return Bond Fund (GIBIX)	Yes	\$100,001 - \$250,000		None (or less than \$201)
1.6	Mutual Fund - Harding Loevner International Equity (HLMIX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
1.7	Mutual Fund - The Hartford International Value Fund (HILIX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
1.8	ETF - Vanguard World Mega Cap Growth (MGK)	Yes	\$100,001 - \$250,000		None (or less than \$201)
1.9	ETF - SPDR World Gold MiniShares (GLDM)	Yes	\$50,001 - \$100,000		None (or less than \$201)
1.10	Mutual Fund - Cohen & Steers Institutional Realty Shares, Inc. (CSRIX)	Yes	\$50,001 - \$100,000		None (or less than \$201)
1.11	ETF - iShares Russell Mid-Cap Value ETF (IWS)	Yes	\$15,001 - \$50,000		None (or less than \$201)
1.12	ETF - iShares Russell Mid-Cap Growth ETF (IWP)	Yes	\$50,001 - \$100,000		None (or less than \$201)
1.13	ETF - iShares Russell 2000 Value ETF (IWN)	Yes	\$15,001 - \$50,000		None (or less than \$201)
1.14	ETF - iShares Russell 2000 Growth ETF (IWO)	Yes	\$50,001 - \$100,000		None (or less than \$201)
1.15	ETF - Schwab US Dividend Equity ETF (SCHD)	Yes	\$50,001 - \$100,000		None (or less than \$201)
1.16	Mutual Fund - Victory Sycamore Established Value Fund Class I Shares (VEVIX)	Yes	None (or less than \$1,001)		None (or less than \$201)
1.17	Wells Fargo Special Small Cap Value Fund Institutional Class Shares (ESPNX)	N/A	None (or less than \$1,001)		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1.18	Janus Henderson Enterprise Fund Class I Shares (JMGRX)	Yes	None (or less than \$1,001)		\$2,501 - \$5,000
1.19	T Rowe Price QM US Sm-Cap Growth Eqty Fd, Incl Cl Sh (TQAIX)	Yes	None (or less than \$1,001)		\$1,001 - \$2,500

## 6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT	
1	ALTABA INC (AABA) (formerly YAHOO INC)	See Endnote	N/A	None (or less than \$1,001)	None (or less than \$201)	
2	LUMEN TECHNOLOGIES INC. (LUMN)		N/A	None (or less than \$1,001)	None (or less than \$201)	
3	Costco Wholesale Corp. (COST)		N/A	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
4	5Starlife AFBA Whole Life Insurance Policy		N/A	\$15,001 - \$50,000		None (or less than \$201)
5	Jackson National Whole Life Insurance Policy		N/A	\$50,001 - \$100,000		None (or less than \$201)
6	John Hancock Whole Life Insurance Policy		N/A	\$50,001 - \$100,000		None (or less than \$201)
7	5Starlife AFBA Whole Life Insurance Policy		N/A	\$50,001 - \$100,000		None (or less than \$201)
8	STATE DEPARTMENT FEDERAL CREDIT UNION CASH ACCOUNT		N/A	\$1,001 - \$15,000	Interest	\$201 - \$1,000
9	CAPITAL ONE BANK - CASH ACCOUNT		N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
10	STATE DEPARTMENT FEDERAL CREDIT UNION CASH ACCOUNT		N/A	\$100,001 - \$250,000	Interest	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
11	Residential Real Estate - Washington, D.C.	N/A	\$250,001 - \$500,000	Rent or Royalties	\$15,001 - \$50,000
12	AMERICAN EXPRESS NATIONAL BANK - CASH ACCOUNT	N/A	\$250,001 - \$500,000	Interest	\$2,501 - \$5,000
13	Axon Enterprise, Inc. (AAXN)	N/A	\$1,001 - \$15,000		None (or less than \$201)
14	BJ's Wholesale Club Holdings, Inc. (BJ)	N/A	\$1,001 - \$15,000		None (or less than \$201)
15	Cassaday & Company Inc.	No			
15.1	ASML HOLDING NV (ASML)	N/A	\$1,001 - \$15,000		None (or less than \$201)
15.2	Advanced Micro Devices, Inc. (AMD)	N/A	\$1,001 - \$15,000		None (or less than \$201)
15.3	Capital One Financial Corp. (COF)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
15.4	Costco Wholesale Corp. (COST)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
15.5	Freeport-McMoRan, Inc. (FCX)	N/A	\$1,001 - \$15,000		None (or less than \$201)
15.6	LVMH MOET HENNESSY (LVMUY)	N/A	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
15.7	Mutual Fund - FPA New Income, Inc (FPNIX)	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
15.8	Mutual Fund - Grandeur Peak International Stalwarts Fund Institutional Class Shares (GISYX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
15.9	ETF - iShares PHLX Semiconductor ETF (SOXX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
15.10	ETF - Vanguard Mega Cap Growth Index Fund ETF Shares (MGK)	Yes	\$15,001 - \$50,000		\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
15.11	ETF - SPDR Gold MiniShares Trust (GLDM)	Yes	\$15,001 - \$50,000		None (or less than \$201)
15.12	Mutual Funds - American Funds Bond Fund of America F2 (ABNFX)	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
15.13	ETF - Invesco QQQ Trust, Series 1 (QQQ)	Yes	\$50,001 - \$100,000		\$201 - \$1,000
15.14	ETF - iShares Cohen & Steers REIT ETF (ICF)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
15.15	ETF - iShares Russell 1000 Value ETF (IWD)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
15.16	ETF - iShares S&P Mid-Cap 400 Growth ETF (IJK)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
15.17	ETF - iShares Trust MSCI Emerging Markets Index Fund (EEM)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
15.18	ETF - iShares Russell 2000 Growth ETF (IWO)	Yes	\$1,001 - \$15,000		None (or less than \$201)
15.19	ETF - iShares S&P Mid-Cap 400 Value ETF (IJJ)	Yes	\$1,001 - \$15,000		None (or less than \$201)
15.20	iShares S&P Small-Cap 600 Value ETF (IJS)	Yes	\$1,001 - \$15,000		None (or less than \$201)
15.21	ETF - iShares S&P Small-Cap 600 Growth ETF (IJT)	Yes	\$1,001 - \$15,000		None (or less than \$201)
15.22	iShares MSCI EAFE Small-Cap ETF (SCZ)	Yes	\$15,001 - \$50,000		None (or less than \$201)
15.23	ETF - iShares MSCI EAFE Value ETF (EFV)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
15.24	ETF - iShares MSCI EAFE Growth ETF (EFG)	Yes	\$15,001 - \$50,000		\$201 - \$1,000
15.25	ETF - Proshares S&P 500 Dividend Aristocrats ETF (NOBL)	Yes	\$15,001 - \$50,000		\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
15.26	ETF - Real Estate Select Sector SPDR Fund (XLRE)	Yes	\$1,001 - \$15,000		\$201 - \$1,000
15.27	Mutual Fund - DoubleLine Core Fixed Income Fund Class I Shares (DBLFX)	Yes	None (or less than \$1,001)		\$201 - \$1,000
15.28	ETF - SPDR S&P Emerging Markets Small Cap ETF (EWX)	Yes	None (or less than \$1,001)		\$201 - \$1,000
15.29	Mutual Fund - Guggenheim Total Return Bond Fund Institutional Class Shares (GIBIX)	Yes	None (or less than \$1,001)		\$201 - \$1,000
15.30	Mutual Fund - International Equity Portfolio Institutional Class Shares (HLMIX)	Yes	None (or less than \$1,001)		None (or less than \$201)
15.31	Hartford International Value Fund Class I Shares (HILIX)	Yes	None (or less than \$1,001)		None (or less than \$201)
15.32	Janus Henderson Enterprise Fund Class I Shares (JMGRX)	Yes	None (or less than \$1,001)		None (or less than \$201)
15.33	T Rowe Price QM US Sm-Cap Growth Eqty Fd, Incl CI Sh (TQAIX)	Yes	None (or less than \$1,001)		\$201 - \$1,000
15.34	Wasatch International Opportunities Fund Institutional Class Shares (WIOIX)	Yes	None (or less than \$1,001)		None (or less than \$201)
16	NIO INC. (NIO)	N/A	\$1,001 - \$15,000		None (or less than \$201)
17	United Airlines Holdings, Inc. (UAL)	N/A	\$1,001 - \$15,000		None (or less than \$201)
18	Goodyear Tire & Rubber Co. (GT)	N/A	\$1,001 - \$15,000		None (or less than \$201)
19	TIAA REAL ESTATE (QREARX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
20	TIAA-CREF International Equity Index Fund Retirement Class Shares (TRIEX)	Yes	\$1,001 - \$15,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
21	TIAA-CREF International Opportunities Fund Retirement Class Shares (TIOTX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
22	TIAA-CREF Large-Cap Growth Fund Retirement Class Shares (TILRX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
23	TIAA-CREF Large-Cap Value Index Fund Retirement Class Shares (TRCVX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
24	TIAA-CREF Mid-Cap Growth Fund Retirement Class Shares (TRGMX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
25	CREF GLOBAL EQUITIES R3 (QCGLIX)	Yes	\$15,001 - \$50,000		None (or less than \$201)
26	CREF STOCK R3 (QCSTIX)	Yes	\$1,001 - \$15,000		None (or less than \$201)
27	AIRBNB INC (ABNB)	N/A	\$1,001 - \$15,000		None (or less than \$201)
28	American Airlines Group, Inc. (AAL)	N/A	\$1,001 - \$15,000		None (or less than \$201)
29	Coinbase Global Inc (COIN)	N/A	None (or less than \$1,001)		None (or less than \$201)
30	Delta Air Lines, Inc. (DAL)	N/A	\$1,001 - \$15,000		None (or less than \$201)
31	DoorDash Inc (DASH)	N/A	\$1,001 - \$15,000		None (or less than \$201)
32	Ford Motor Co. (F)	N/A	\$1,001 - \$15,000		None (or less than \$201)
33	JetBlue Airways Corp. (JBLU)	N/A	\$1,001 - \$15,000		None (or less than \$201)
34	McDonald's Corp. (MCD)	See Endnote	N/A	\$1,001 - \$15,000	None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
35	Micron Technology, Inc. (MU)	N/A	\$1,001 - \$15,000		None (or less than \$201)
36	Ranpak Holdings Corp (PACK)	N/A	None (or less than \$1,001)		None (or less than \$201)
37	Southwest Airlines Co. (LUV)	N/A	\$1,001 - \$15,000		None (or less than \$201)
38	Target Corp. (TGT)	N/A	\$1,001 - \$15,000		None (or less than \$201)
39	Krispy Kreme Inc. Common Stock (DNUT)	N/A	\$1,001 - \$15,000		None (or less than \$201)
40	Tesla, Inc. (TSLA)	N/A	\$1,001 - \$15,000		None (or less than \$201)
41	Residential real estate, Arlington, VA	N/A	\$1,000,001 - \$5,000,000	Rent or Royalties	\$15,001 - \$50,000
42	ETF - Financial Select Sector SPDR Fund (XLF)	See Endnote	Yes	\$15,001 - \$50,000	\$201 - \$1,000
43	ETF - Industrial Select Sector SPDR Fund (XLI)		Yes	None (or less than \$1,001)	None (or less than \$201)
44	SPDR S&P Biotech ETF (XBI)	See Endnote	Yes	\$15,001 - \$50,000	None (or less than \$201)
45	ETF - Vanguard S&P Mid-Cap 400 Value Index Fund ETF Shares (IVOV)	See Endnote	Yes	\$1,001 - \$15,000	None (or less than \$201)
46	Vanguard Emerging Markets Stock Index Fund ETF Shares (VWO)	See Endnote	Yes	\$15,001 - \$50,000	\$1,001 - \$2,500
47	ETF - Vanguard Mid-Cap Index Fund ETF Shares (VO)	See Endnote	Yes	\$15,001 - \$50,000	\$201 - \$1,000
48	ETF - Vanguard Small-Cap Index Fund ETF Shares (VB)	See Endnote	Yes	\$15,001 - \$50,000	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
49	ETF - Vanguard Total Stock Market Index Fund ETF Class Shares (VTI)	See Endnote	Yes	\$15,001 - \$50,000	\$201 - \$1,000
50	Vanguard International Equity Index Fund Total World Stock Index ETF (VT)	See Endnote	Yes	\$15,001 - \$50,000	\$201 - \$1,000
51	ETF - Vanguard European Stock Index Fund ETF Shares (VGK)	See Endnote	Yes	\$1,001 - \$15,000	\$201 - \$1,000
52	Vanguard Mega Cap Growth Index Fund ETF Shares (MGK)	See Endnote	Yes	\$50,001 - \$100,000	\$201 - \$1,000
53	Vanguard Mega Cap Value Index Fund ETF Shares (MGV)	See Endnote	Yes	\$15,001 - \$50,000	\$201 - \$1,000
54	ETF - Vanguard Real Estate Index Fund ETF Shares (VNQ)	See Endnote	Yes	\$15,001 - \$50,000	\$1,001 - \$2,500
55	ETF - ARK Innovation ETF (ARKK)	See Endnote	Yes	\$15,001 - \$50,000	None (or less than \$201)
56	ETF - Fidelity MSCI Consumer Staples Index ETF (FSTA)	See Endnote	Yes	\$50,001 - \$100,000	\$1,001 - \$2,500
57	ETF - Global X FinTech ETF (FINX)	See Endnote	Yes	\$1,001 - \$15,000	None (or less than \$201)
58	ETF - Invesco S&P 500 Equal Weight Technology ETF (RYT)	See Endnote	Yes	\$15,001 - \$50,000	\$201 - \$1,000
59	Invesco S&P 500 Equal Weight Consumer Discretionary ETF (RCD)	See Endnote	Yes	\$15,001 - \$50,000	\$201 - \$1,000
60	iShares Core S&P Mid-Cap ETF (IJH)	See Endnote	Yes	\$15,001 - \$50,000	\$201 - \$1,000
61	iShares Core S&P Small-Cap ETF (IJR)	See Endnote	Yes	\$50,001 - \$100,000	\$1,001 - \$2,500
62	ETF - iShares Core S&P Total US Stock Market ETF (ITOT)	See Endnote	Yes	\$15,001 - \$50,000	\$201 - \$1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
63	ETF - iShares Core S&P 500 ETF (IVV)	See Endnote	Yes	\$50,001 - \$100,000	\$1,001 - \$2,500
64	ETF - iShares S&P 500 Growth ETF (IVW)	See Endnote	Yes	\$50,001 - \$100,000	\$201 - \$1,000
65	ETF - iShares S&P 500 Value ETF (IVE)	See Endnote	Yes	\$50,001 - \$100,000	\$1,001 - \$2,500
66	ETF - iShares Edge MSCI USA Momentum Factor ETF (MTUM)	See Endnote	Yes	\$50,001 - \$100,000	\$201 - \$1,000
67	ETF - iShares Core MSCI Total International Stock ETF (IXUS)	See Endnote	Yes	\$15,001 - \$50,000	\$201 - \$1,000
68	Credit Agricole (ACA)	N/A	None (or less than \$1,001)	Dividends	\$201 - \$1,000
69	Morgan Stanley (MS)	N/A	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
70	ING Group N.V. Common Stock (ING)	N/A	None (or less than \$1,001)	Dividends	\$201 - \$1,000
71	Siemens A G (SIE)	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
72	Tencent Holdings (TCEHY)	N/A	None (or less than \$1,001)		\$201 - \$1,000
73	Abbott Laboratories (ABT)	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
74	Alphabet, Inc. (GOOGL)	N/A	None (or less than \$1,001)	Capital Gains	\$50,001 - \$100,000
75	Amazon.com, Inc. (AMZN)	N/A	None (or less than \$1,001)	Capital Gains	\$100,001 - \$1,000,000
76	Apple, Inc. (AAPL)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$100,001 - \$1,000,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
77	Caterpillar, Inc. (CAT)	N/A	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
78	Meta Platforms Inc (FB)	N/A	None (or less than \$1,001)	Capital Gains	\$15,001 - \$50,000
79	JPMorgan Chase & Co. (JPM)	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000
80	Mastercard, Inc. (MA)	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
81	Microsoft Corp. (MSFT)	N/A	None (or less than \$1,001)	Capital Gains	\$5,001 - \$15,000
82	Visa, Inc. (V)	N/A	None (or less than \$1,001)	Capital Gains	\$1,001 - \$2,500
83	Alphabet, Inc. (GOOG)	N/A	None (or less than \$1,001)	Capital Gains	\$50,001 - \$100,000
84	Cisco Systems, Inc. (CSCO)	N/A	None (or less than \$1,001)	Capital Gains Dividends	\$2,501 - \$5,000
85	Citigroup, Inc. (C)	N/A	None (or less than \$1,001)	Capital Gains	\$2,501 - \$5,000
86	Twitter, Inc. (TWTR)	N/A	None (or less than \$1,001)	Capital Gains	\$201 - \$1,000

## 7. Transactions

#	DESCRIPTION	TYPE	DATE	AMOUNT
1	Uber Technologies, Inc. (UBER)	Sale	02/25/2022	\$1,001 - \$15,000
2	DIDI Global Inc. (DIDI)	Sale	02/25/2022	\$1,001 - \$15,000
3	Beyond Meat, Inc. (BYND)	Sale	02/25/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT	
4	Tesla, Inc. (TSLA)	Purchase	02/25/2022	\$15,001 - \$50,000	
5	Krispy Kreme Inc. (DNUT)	Purchase	02/03/2022	\$1,001 - \$15,000	
6	PayPal Holdings, Inc. (PYPL)	Purchase	02/03/2022	\$1,001 - \$15,000	
7	Starbucks Corp. (SBUX)	Purchase	02/03/2022	\$1,001 - \$15,000	
8	Meta Platforms, Inc. (FB)	Purchase	02/03/2022	\$1,001 - \$15,000	
9	Amazon.com, Inc. (AMZN)	Purchase	02/03/2022	\$1,001 - \$15,000	
10	Abbott Laboratories (ABT)	See Endnote	Sale	05/27/2022	\$1,001 - \$15,000
11	Alphabet, Inc. (GOOGL)	See Endnote	Sale	05/27/2022	\$15,001 - \$50,000
12	Amazon.com, Inc. (AMZN)	See Endnote	Sale	05/27/2022	\$15,001 - \$50,000
13	American Tower Corporation (REIT) (AMT)	See Endnote	Sale	05/27/2022	\$1,001 - \$15,000
14	Apple, Inc. (AAPL)	See Endnote	Sale	05/27/2022	\$50,001 - \$100,000
15	Caterpillar, Inc. (CAT)	See Endnote	Sale	05/27/2022	\$1,001 - \$15,000
16	Meta Platforms (Facebook), Inc. (FB)	See Endnote	Sale	05/27/2022	\$1,001 - \$15,000
17	JPMorgan Chase & Co. (JPM)	See Endnote	Sale	05/27/2022	\$1,001 - \$15,000
18	Mastercard, Inc. (MA)	See Endnote	Sale	05/27/2022	\$1,001 - \$15,000
19	Microsoft Corp. (MSFT)	See Endnote	Sale	05/27/2022	\$15,001 - \$50,000
20	Morgan Stanley (MS)	See Endnote	Sale	05/27/2022	\$1,001 - \$15,000
21	PayPal Holdings, Inc. (PYPL)	See Endnote	Sale	05/27/2022	\$1,001 - \$15,000
22	salesforce.com, inc. (CRM)	See Endnote	Sale	05/27/2022	\$1,001 - \$15,000
23	SIEMENS A G (SIEGY)	See Endnote	Sale	05/27/2022	\$1,001 - \$15,000

#	DESCRIPTION		TYPE	DATE	AMOUNT
24	Starbucks Corp. (SBUX)	See Endnote	Sale	05/27/2022	\$1,001 - \$15,000
25	3M Co. (MMM)	See Endnote	Sale	05/27/2022	\$1,001 - \$15,000
26	Visa, Inc. (V)	See Endnote	Sale	05/27/2022	\$15,001 - \$50,000
27	Alphabet, Inc. (GOOGL)	See Endnote	Sale	05/31/2022	\$50,001 - \$100,000
28	Alphabet, Inc. (GOOG)	See Endnote	Sale	05/31/2022	\$50,001 - \$100,000
29	Amazon.com, Inc. (AMZN)	See Endnote	Sale	05/31/2022	\$100,001 - \$250,000
30	Apple, Inc. (AAPL)	See Endnote	Sale	05/31/2022	\$500,001 - \$1,000,000
31	Cisco Systems, Inc. (CSCO)	See Endnote	Sale	05/31/2022	\$15,001 - \$50,000
32	Citigroup, Inc. (C)	See Endnote	Sale	05/31/2022	\$15,001 - \$50,000
33	Meta Platform (Facebook), Inc. (FB)	See Endnote	Sale	05/31/2022	\$50,001 - \$100,000
34	Moderna, Inc. (MRNA)	See Endnote	Sale	05/31/2022	\$1,001 - \$15,000
35	PayPal Holdings, Inc. (PYPL)	See Endnote	Sale	05/31/2022	\$1,001 - \$15,000
36	Starbucks Corp. (SBUX)	See Endnote	Sale	05/31/2022	\$1,001 - \$15,000
37	Twitter, Inc. (TWTR)	See Endnote	Sale	05/31/2022	\$1,001 - \$15,000
38	Zoom Video Communications, Inc. (ZM)	See Endnote	Sale	05/31/2022	\$1,001 - \$15,000
39	Walmart, Inc. (WMT)	See Endnote	Sale	06/03/2022	\$1,001 - \$15,000
40	UnitedHealth Group, Inc. (UNH)	See Endnote	Sale	06/03/2022	\$1,001 - \$15,000
41	Thermo Fisher Scientific, Inc. (TMO)	See Endnote	Sale	06/03/2022	\$1,001 - \$15,000

#	DESCRIPTION		TYPE	DATE	AMOUNT
42	Tencent Holdings Limited	See Endnote	Sale	06/03/2022	\$1,001 - \$15,000
43	Taiwan Semiconductor Manufacturing Co.	See Endnote	Sale	06/03/2022	\$1,001 - \$15,000
44	Panasonic Holdings Corp.	See Endnote	Sale	06/03/2022	\$1,001 - \$15,000
45	NIKE, Inc. (NKE)	See Endnote	Sale	06/03/2022	\$1,001 - \$15,000
46	Netflix, Inc. (NFLX)	See Endnote	Sale	06/03/2022	\$1,001 - \$15,000
47	Intuitive Surgical, Inc. (ISRG)	See Endnote	Sale	06/03/2022	\$1,001 - \$15,000
48	Intesa Sanpaolo	See Endnote	Sale	06/03/2022	\$1,001 - \$15,000
49	ING Groep	See Endnote	Sale	06/03/2022	\$1,001 - \$15,000
50	The Home Depot, Inc. (HD)	See Endnote	Sale	06/03/2022	\$1,001 - \$15,000
51	The Walt Disney Co. (DIS)	See Endnote	Sale	06/03/2022	\$1,001 - \$15,000
52	Credit Agricole S.A.	See Endnote	Sale	06/03/2022	\$1,001 - \$15,000
53	CSX Corp. (CSX)	See Endnote	Sale	06/03/2022	\$1,001 - \$15,000
54	BNP Paribas SA	See Endnote	Sale	06/03/2022	\$1,001 - \$15,000
55	Applied Materials, Inc. (AMAT)	See Endnote	Sale	06/03/2022	\$1,001 - \$15,000
56	Alibaba Group Holding Limited	See Endnote	Sale	06/03/2022	\$1,001 - \$15,000
57	Adobe, Inc. (ADBE)	See Endnote	Sale	06/03/2022	\$1,001 - \$15,000
58	ETF (C&C) - World Gold TR SPDR Minishares TR New (GLDM)		Purchase	06/03/2022	\$15,001 - \$50,000
59	ETF (C&C) - Vanguard Mega Cap Growth Index Fund ETF Shares (MGK)		Purchase	06/03/2022	\$15,001 - \$50,000
60	ETF (C&C) - iShares Russell 2000 Growth ETF (IWO)		Purchase	06/03/2022	\$1,001 - \$15,000
61	ETF (C&C) - iShares Russell 2000 Value ETF (IWN)		Purchase	06/03/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
62	ETF (C&C) - iShares Russell Mid-Cap Growth ETF (IWP)	Purchase	06/03/2022	\$15,001 - \$50,000
63	ETF - (C&C) - iShares Russell Mid-Cap Growth ETF (IWP)	Sale	10/17/2022	\$15,001 - \$50,000
64	ETF - iShares Russell Mid-Cap Value ETF (IWS)	Purchase	06/03/2022	\$15,001 - \$50,000
65	ETF - Schwab US Dividend Equity ETF (SCHD)	Purchase	06/03/2022	\$15,001 - \$50,000
66	ETF - iShares Cohen & Steers REIT ETF (ICF)	Purchase	07/12/2022	\$1,001 - \$15,000
67	ETF - Invesco QQQ Trust, Series 1 (QQQ)	Purchase	07/20/2022	\$50,001 - \$100,000
68	ETF - iShares Russell 1000 Value ETF (IWD)	Purchase	07/20/2022	\$50,001 - \$100,000
69	Mutual Fund - Invesco Oppenheimer Developing Markets Fund Class R6 Shares (ODVIX)	Purchase	06/03/2022	\$15,001 - \$50,000
70	Mutual Fund - Diamond Hill Large Cap Fund Class I Shares (DHLRX)	Purchase	06/03/2022	\$50,001 - \$100,000
71	Mutual Fund - FPA New Income, Inc (FPNIX)	Purchase	06/03/2022	\$15,001 - \$50,000
72	Mutual Fund - Guggenheim Total Return Bond Fund Institutional Class Shares (GIBIX)	Purchase	06/03/2022	\$15,001 - \$50,000
73	Mutual Fund - International Equity Portfolio Institutional Class Shares (HLMIX)	Purchase	06/03/2022	\$15,001 - \$50,000
74	Mutual Fund - Hartford International Value Fund Class I Shares (HILIX)	Purchase	06/03/2022	\$1,001 - \$15,000
75	Mutual Fund - Wasatch International Opportunities Fund Institutional Class Shares (WIIOX)	Purchase	06/03/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
76	Mutual Fund - Grandeur Peak International Stalwarts Fund Institutional Class Shares (GISYX)	Purchase	06/06/2022	\$15,001 - \$50,000
77	Mutual Fund - DoubleLine Core Fixed Income Fund Class I Shares (DBLFX)	Purchase	07/20/2022	\$50,001 - \$100,000
78	ETF - iShares Core S&P Mid-Cap ETF (IJH)	Purchase	08/04/2022	\$15,001 - \$50,000
79	ETF - iShares Core S&P 500 ETF (IVV)	Purchase	08/04/2022	\$50,001 - \$100,000
80	ETF - iShares Core S&P Small-Cap ETF (IJR)	Purchase	08/04/2022	\$50,001 - \$100,000
81	ETF - iShares S&P 500 Growth ETF (IVW)	Purchase	08/04/2022	\$50,001 - \$100,000
82	ETF - iShares Edge MSCI USA Momentum Factor ETF (MTUM)	Purchase	08/04/2022	\$15,001 - \$50,000
83	ETF - Vanguard Mega Cap Growth Index Fund ETF Shares (MGK)	Purchase	08/31/2022	\$50,001 - \$100,000
84	ETF - Invesco S&P 500 Equal Weight Consumer Discretionary ETF (RCD)	Purchase	08/04/2022	\$15,001 - \$50,000
85	ETF - Fidelity MSCI Consumer Staples Index ETF (FSTA)	Purchase	08/04/2022	\$50,001 - \$100,000
86	ETF - iShares S&P 500 Value ETF (IVE)	Purchase	08/04/2022	\$15,001 - \$50,000
87	ETF - Vanguard Mid-Cap Index Fund ETF Shares (VO)	Purchase	08/04/2022	\$15,001 - \$50,000
88	ETF - Industrial Select Sector SPDR Fund (XLI)	Sale	06/03/2022	\$1,001 - \$15,000
89	ETF - Vanguard Real Estate Index Fund ETF Shares (VNQ)	Purchase	08/04/2022	\$15,001 - \$50,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
90	ETF - Vanguard Real Estate Index Fund ETF Shares (VNQ)	Purchase	08/04/2022	\$15,001 - \$50,000
91	ETF - Vanguard Total Stock Market Index Fund ETF Class Shares (VTI)	Purchase	08/04/2022	\$15,001 - \$50,000
92	Vanguard Small-Cap Index Fund ETF Shares (VB)	Purchase	08/05/2022	\$15,001 - \$50,000
93	ETF - Financial Select Sector SPDR Fund (XLF)	Purchase	08/05/2022	\$15,001 - \$50,000
94	ETF - Vanguard Mega Cap Value Index Fund ETF Shares (MGV)	Purchase	08/05/2022	\$15,001 - \$50,000
95	ETF - Vanguard Emerging Markets Stock Index Fund ETF Shares (VWO)	Purchase	08/05/2022	\$15,001 - \$50,000
96	ETF - iShares Core S&P Total US Stock Market ETF (ITOT)	Purchase	08/05/2022	\$15,001 - \$50,000
97	ETF - Invesco S&P 500 Equal Weight Technology ETF (RYT)	Purchase	08/05/2022	\$15,001 - \$50,000
98	ETF - ARK Innovation ETF (ARKK)	Purchase	08/05/2022	\$15,001 - \$50,000
99	ETF - SPDR S&P Biotech ETF (XBI)	Purchase	08/05/2022	\$15,001 - \$50,000
100	ETF - Vanguard Total World Stock Index Fund ETF Class Shares (VT)	Purchase	08/05/2022	\$15,001 - \$50,000
101	ETF - iShares Core MSCI Total International Stock ETF (IXUS)	Purchase	08/05/2022	\$15,001 - \$50,000
102	ETF - Vanguard European Stock Index Fund ETF Shares (VGK)	Purchase	08/25/2022	\$1,001 - \$15,000
103	ETF - Global X FinTech ETF (FINX)	Purchase	08/25/2022	\$1,001 - \$15,000
104	Vanguard S&P Mid-Cap 400 Value Index Fund ETF Shares (IVOV)	Purchase	08/25/2022	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
105	Mutual Fund - Cohen & Steers Institutional Realty Shares, Inc. (CSRIX)	Purchase	07/12/2022	\$15,001 - \$50,000
106	ETF - iShares MSCI Emerging Markets ETF (EEM)	Purchase	10/17/2022	\$15,001 - \$50,000
107	ETF - iShares Cohen & Steers REIT ETF (ICF)	Purchase	12/02/2022	\$1,001 - \$15,000
108	iShares Cohen & Steers REIT ETF (ICF)	Sale	10/17/2022	\$1,001 - \$15,000
109	Mutual Fund - Victory Sycamore Established Value Fund Class I Shares (VEVIX)	Sale	07/12/2022	\$15,001 - \$50,000
110	Mutual Fund - Victory Sycamore Established Value Fund Class I Shares (VEVIX)	Sale	12/08/2022	\$15,001 - \$50,000
111	Mutual Fund - American Funds Bond Fund of America F2 (ABNFX)	Purchase	10/17/2022	\$50,001 - \$100,000
112	ETF - iShares Russell 1000 Value ETF (IWD)	Sale	08/31/2022	\$50,001 - \$100,000
113	ETF - iShares Russell 1000 Value ETF (IWD)	Purchase	10/17/2022	\$50,001 - \$100,000
114	ETF - iShares Russell 1000 Value ETF (IWD)	Sale	12/06/2022	\$15,001 - \$50,000
115	ETF - SPDR S&P Emerging Markets Small Cap ETF (EWX)	Purchase	09/20/2022	\$1,001 - \$15,000
116	ETF - SPDR S&P Emerging Markets Small Cap ETF (EWX)	Sale	12/22/2022	\$1,001 - \$15,000
117	Mutual Fund - Invesco Oppenheimer Developing Markets Fund Class R6 Shares (ODVIX)	Sale	10/17/2022	\$15,001 - \$50,000
118	Mutual Fund - Diamond Hill Large Cap Fund Class I Shares (DHLRX)	Sale	10/17/2022	\$50,001 - \$100,000
119	Mutual Fund - Diamond Hill Large Cap Fund Class I Shares (DHLRX)	Sale	07/20/2022	\$50,001 - \$100,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
120	Mutual Fund - Diamond Hill Large Cap Fund Class I Shares (DHLRX)	Purchase	06/03/2022	\$50,001 - \$100,000
121	Mutual Fund - Diamond Hill Large Cap Fund Class I Shares (DHLRX)	Purchase	09/06/2022	\$50,001 - \$100,000
122	Mutual Fund - Guggenheim Total Return Bond Fund Institutional Class Shares (GIBIX)	Sale	07/20/2022	\$50,001 - \$100,000
123	Guggenheim Total Return Bond Fund Institutional Class Shares (GIBIX)	Purchase	08/25/2022	\$50,001 - \$100,000
124	Guggenheim Total Return Bond Fund Institutional Class Shares (GIBIX)	Sale	10/17/2022	\$50,001 - \$100,000
125	International Equity Portfolio Institutional Class Shares (HLMIX)	Sale	10/17/2022	\$15,001 - \$50,000
126	Mutual Fund - Hartford International Value Fund Class I Shares (HILIX)	Sale	10/17/2022	\$1,001 - \$15,000
127	Janus Henderson Enterprise Fund Class I Shares (JMGRX)	Sale	01/03/2022	\$1,001 - \$15,000
128	T Rowe Price QM US Sm-Cap Growth Eqty Fd, Incl Cl Sh (TQAIX)	Sale	12/08/2022	\$1,001 - \$15,000
129	Wasatch International Opportunities Fund Institutional Class Shares (WIIOX)	Sale	09/20/2022	\$1,001 - \$15,000
130	Wells Fargo Special Small Cap Value Fund Institutional Class Shares (ESPNX)	Sale	12/08/2022	\$15,001 - \$50,000
131	ETF - iShares S&P Mid-Cap 400 Growth ETF (IJK)	Purchase	10/17/2022	\$15,001 - \$50,000
132	ETF - iShares S&P Mid-Cap 400 Value ETF (IJJ)	Purchase	10/17/2022	\$1,001 - \$15,000
133	ETF - iShares S&P Small-Cap 600 Growth ETF (JIT)	Purchase	10/17/2022	\$1,001 - \$15,000
134	ETF - iShares MSCI EAFFE Small-Cap ETF (SCZ)	Purchase	09/20/2022	\$15,001 - \$50,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
135	ETF - iShares MSCI EAFE Value ETF (EFV)	Purchase	10/17/2022	\$1,001 - \$15,000
136	ETF - iShares MSCI EAFE Growth ETF (EFG)	Purchase	10/17/2022	\$15,001 - \$50,000
137	ETF - Proshares S&P 500 Dividend Aristocrats ETF (NOBL)	Purchase	10/17/2022	\$15,001 - \$50,000
138	ETF - Real Estate Select Sector SPDR Fund (XLRE)	Purchase	10/17/2022	\$1,001 - \$15,000
139	ETF - Vanguard Mega Cap Growth Index Fund ETF Shares (MGK)	Sale	07/20/2022	\$50,001 - \$100,000
140	ETF - Vanguard Mega Cap Growth Index Fund ETF Shares (MGK)	Purchase	08/31/2022	\$50,001 - \$100,000
141	ETF - Vanguard Mega Cap Growth Index Fund ETF Shares (MGK)	Sale	10/17/2022	\$50,001 - \$100,000
142	Vanguard Mega Cap Growth Index Fund ETF Shares (MGK)	Purchase	12/06/2022	\$15,001 - \$50,000
143	Cohen & Steers Institutional Realty Shares, Inc (CSRIX)	Purchase	12/07/2022	\$15,001 - \$50,000
144	FPA New Income, Inc (FPNIX)	Sale	01/21/2022	\$15,001 - \$50,000
145	FPA New Income, Inc (FPNIX)	Sale	05/06/2022	\$15,001 - \$50,000
146	FPA New Income, Inc (FPNIX)	Sale	12/06/2022	\$15,001 - \$50,000
147	FPA New Income, Inc (FPNIX)	Sale	12/22/2022	\$1,001 - \$15,000
148	Guggenheim Total Return Bond Fund Institutional Class Shares (GIBIX)	Sale	01/21/2022	\$15,001 - \$50,000
149	SPDR Gold MiniShares Trust (GLDM)	Sale	05/06/2022	\$1,001 - \$15,000
150	Diamond Hill Large Cap Fund Class I Shares (DHLRX)	Sale	12/06/2022	\$50,001 - \$100,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
151	Vanguard Mega Cap Growth Index Fund ETF Shares (MGK)	Purchase	12/06/2022	\$50,001 - \$100,000
152	iShares Russell 2000 Growth ETF (IWO)	Purchase	12/06/2022	\$15,001 - \$50,000
153	SPDR Gold MiniShares Trust (GLDM)	Sale	12/06/2022	\$15,001 - \$50,000
154	iShares Russell Mid-Cap Value ETF (IWS)	Purchase	12/08/2022	\$15,001 - \$50,000
155	iShares Russell Mid-Cap Growth ETF (IWP)	Purchase	12/08/2022	\$50,001 - \$100,000
156	iShares Russell 2000 Value ETF (IWN)	Purchase	12/08/2022	\$15,001 - \$50,000
157	iShares Russell 2000 Growth ETF (IWO)	Purchase	12/08/2022	\$15,001 - \$50,000
158	Janus Henderson Enterprise Fund Class I Shares (JMGRX)	Sale	12/08/2022	\$50,001 - \$100,000
159	T Rowe Price QM US Sm-Cap Growth Eqty Fd, Incl Cl Sh (TQAIX)	Sale	09/02/2022	\$15,001 - \$50,000
160	Invesco Oppenheimer Developing Markets Fund Class R6 Shares (ODVIX)	Purchase	12/22/2022	\$15,001 - \$50,000
161	Grandeur Peak International Stalwarts Fund Institutional Class Shares (GISYX)	Purchase	12/22/2022	\$15,001 - \$50,000
162	Wasatch International Opportunities Fund Institutional Class Shares (WIOX)	Sale	12/22/2022	\$15,001 - \$50,000
163	Vanguard Mega Cap Growth Index Fund ETF Shares (MGK)	Purchase	05/06/2022	\$15,001 - \$50,000
164	Schwab US Dividend Equity ETF (SCHD)	Purchase	01/21/2022	\$50,001 - \$100,000
165	Grandeur Peak International Stalwarts Fund Institutional Class Shares (GISYX)	Purchase	12/27/2022	\$15,001 - \$50,000

#	DESCRIPTION	TYPE	DATE	AMOUNT
166	Grandeur Peak International Stalwarts Fund Institutional Class Shares (GISYX)	Sale	09/21/2022	\$15,001 - \$50,000
167	Vanguard Mega Cap Growth Index Fund ETF Shares (MGK)	Purchase	12/22/2022	\$1,001 - \$15,000
168	FPA New Income, Inc (FPNIX)	Sale	12/06/2022	\$15,001 - \$50,000
169	FPA New Income, Inc (FPNIX)	Sale	12/22/2022	\$1,001 - \$15,000
170	iShares Russell 2000 Growth ETF (IWO)	Purchase	12/06/2022	\$1,001 - \$15,000
171	iShares Russell 2000 Growth ETF (IWO)	Sale	10/17/2022	\$1,001 - \$15,000
172	iShares Cohen & Steers REIT ETF (ICF)	Purchase	12/06/2022	\$1,001 - \$15,000
173	SPDR Gold MiniShares Trust (GLDM)	Purchase	12/02/2022	\$15,001 - \$50,000
174	SPDR Gold MiniShares Trust (GLDM)	Sale	10/24/2022	\$15,001 - \$50,000
175	Real Estate Select Sector SPDR Fund (XLRE)	Purchase	10/25/2022	\$1,001 - \$15,000
176	Schwab US Dividend Equity ETF (SCHD)	Sale	10/17/2022	\$15,001 - \$50,000
177	iShares S&P Small-Cap 600 Value ETF (IJS)	Purchase	10/17/2022	\$1,001 - \$15,000
178	iShares Russell 2000 Value ETF (IWN)	Sale	10/17/2022	\$1,001 - \$15,000
179	iShares Russell Mid-Cap Value ETF (IWS)	Sale	10/17/2022	\$1,001 - \$15,000
180	iShares Russell Mid-Cap Value ETF (IWS)	Sale	07/12/2022	\$1,001 - \$15,000
181	Invesco QQQ Trust, Series 1 (QQQ)	Sale	08/31/2022	\$50,001 - \$100,000
182	Invesco QQQ Trust, Series 1 (QQQ)	Purchase	10/17/2022	\$50,001 - \$100,000
183	DoubleLine Core Fixed Income Fund Class I Shares (DBLFX)	Sale	08/24/2022	\$50,001 - \$100,000

#	DESCRIPTION		TYPE	DATE	AMOUNT
184	L'Oreal SA (LRLCY)	See Endnote	Sale	06/03/2022	\$1,001 - \$15,000

## 8. Liabilities

None

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## 9. Gifts and Travel Reimbursements

None

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## Endnotes

PART	#	ENDNOTE
6.	1	Company has been liquidated. Will remove from this list next reporting period.
6.	34	This asset was inadvertently reported twice on last year's annual report.
6.	42	Rollover/Reinvestment of divested stocks in accordance with Certificate of Divestiture OGE-2022-089 dated March 30, 2022.
6.	44	Rollover/Reinvestment of divested stocks previously managed personally. Sale and rollover is in accordance with Certificate of Divestiture OGE-2022-089 dated March 30, 2022.
6.	45	Rollover/Reinvestment of divested stocks previously managed personally. Sale and rollover is in accordance with Certificate of Divestiture OGE-2022-089 dated March 30, 2022.
6.	46	Rollover/Reinvestment of divested stocks previously managed personally. Sale and rollover is in accordance with Certificate of Divestiture OGE-2022-089 dated March 30, 2022.
6.	47	Rollover/Reinvestment of divested stocks previously managed personally. Sale and rollover is in accordance with Certificate of Divestiture OGE-2022-089 dated March 30, 2022.

PART	#	ENDNOTE
6.	48	Rollover/Reinvestment of divested stocks previously managed personally. Sale and rollover is in accordance with Certificate of Divestiture OGE-2022-089 dated March 30, 2022.
6.	49	Rollover/Reinvestment of divested stocks previously managed personally. Sale and rollover is in accordance with Certificate of Divestiture OGE-2022-089 dated March 30, 2022.
6.	50	Rollover/Reinvestment of divested stocks previously managed personally. Sale and rollover is in accordance with Certificate of Divestiture OGE-2022-089 dated March 30, 2022.
6.	51	Rollover/Reinvestment of divested stocks previously managed personally. Sale and rollover is in accordance with Certificate of Divestiture OGE-2022-089 dated March 30, 2022.
6.	52	Rollover/Reinvestment of divested stocks previously managed personally. Sale and rollover is in accordance with Certificate of Divestiture OGE-2022-089 dated March 30, 2022.
6.	53	Rollover/Reinvestment of divested stocks previously managed personally. Sale and rollover is in accordance with Certificate of Divestiture OGE-2022-089 dated March 30, 2022.
6.	54	Rollover/Reinvestment of divested stocks previously managed personally. Sale and rollover is in accordance with Certificate of Divestiture OGE-2022-089 dated March 30, 2022.
6.	55	Rollover/Reinvestment of divested stocks previously managed personally. Sale and rollover is in accordance with Certificate of Divestiture OGE-2022-089 dated March 30, 2022.
6.	56	Rollover/Reinvestment of divested stocks previously managed personally. Sale and rollover is in accordance with Certificate of Divestiture OGE-2022-089 dated March 30, 2022.
6.	57	Rollover/Reinvestment of divested stocks previously managed personally. Sale and rollover is in accordance with Certificate of Divestiture OGE-2022-089 dated March 30, 2022.
6.	58	Rollover/Reinvestment of divested stocks previously managed personally. Sale and rollover is in accordance with Certificate of Divestiture OGE-2022-089 dated March 30, 2022.
6.	59	Rollover/Reinvestment of divested stocks previously managed personally. Sale and rollover is in accordance with Certificate of Divestiture OGE-2022-089 dated March 30, 2022.
6.	60	Rollover/Reinvestment of divested stocks previously managed personally. Sale and rollover is in accordance with Certificate of Divestiture OGE-2022-089 dated March 30, 2022.
6.	61	Rollover/Reinvestment of divested stocks previously managed personally. Sale and rollover is in accordance with Certificate of Divestiture OGE-2022-089 dated March 30, 2022.
6.	62	Rollover/Reinvestment of divested stocks previously managed personally. Sale and rollover is in accordance with Certificate of Divestiture OGE-2022-089 dated March 30, 2022.

PART	#	ENDNOTE
6.	63	Rollover/Reinvestment of divested stocks previously managed personally. Sale and rollover is in accordance with Certificate of Divestiture OGE-2022-089 dated March 30, 2022.
6.	64	Rollover/Reinvestment of divested stocks previously managed personally. Sale and rollover is in accordance with Certificate of Divestiture OGE-2022-089 dated March 30, 2022.
6.	65	Rollover/Reinvestment of divested stocks previously managed personally. Sale and rollover is in accordance with Certificate of Divestiture OGE-2022-089 dated March 30, 2022.
6.	66	Rollover/Reinvestment of divested stocks previously managed personally. Sale and rollover is in accordance with Certificate of Divestiture OGE-2022-089 dated March 30, 2022.
6.	67	Rollover/Reinvestment of divested stocks previously managed personally. Sale and rollover is in accordance with Certificate of Divestiture OGE-2022-089 dated March 30, 2022.
7.	10	Required Divestiture sale.
7.	11	Required Divestiture sale.
7.	12	Required Divestiture sale.
7.	13	Required Divestiture sale.
7.	14	Required Divestiture sale.
7.	15	Required Divestiture sale.
7.	16	Required Divestiture sale.
7.	17	Required Divestiture sale.
7.	18	Required Divestiture sale.
7.	19	Required Divestiture sale.
7.	20	Required Divestiture sale.
7.	21	Required Divestiture sale.
7.	22	Required Divestiture sale.
7.	23	Required Divestiture sale.
7.	24	Required Divestiture sale.

PART	#	ENDNOTE
7.	25	Required Divestiture sale.
7.	26	Required Divestiture sale.
7.	27	Required Divestiture sale.
7.	28	Required Divestiture sale.
7.	29	Required Divestiture sale.
7.	30	Required Divestiture sale.
7.	31	Required Divestiture sale.
7.	32	Required Divestiture sale.
7.	33	Required Divestiture sale.
7.	34	Required Divestiture sale.
7.	35	Required Divestiture sale.
7.	36	Required Divestiture sale.
7.	37	Required Divestiture sale.
7.	38	Required Divestiture sale.
7.	39	Sale ex-managed portfolio.
7.	40	Sale ex-managed portfolio.
7.	41	Sale ex-managed portfolio.
7.	42	Sale ex-managed portfolio.
7.	43	Sale ex-managed portfolio.
7.	44	Sale ex-managed portfolio.
7.	45	Sale ex-managed portfolio.
7.	46	Sale ex-managed portfolio.

PART	#	ENDNOTE
7.	47	Sale ex-managed portfolio.
7.	48	Sale ex-managed portfolio.
7.	49	Sale ex-managed portfolio.
7.	50	Sale ex-managed portfolio.
7.	51	Sale ex-managed portfolio.
7.	52	Sale ex-managed portfolio.
7.	53	Sale ex-managed portfolio.
7.	54	Sale ex-managed portfolio.
7.	55	Sale ex-managed portfolio.
7.	56	Sale ex-managed portfolio.
7.	57	Sale ex-managed portfolio.
7.	184	Inadvertently missed reporting the sale together with others that were reported on OGE-278T dated 6/7/2022. Oversight reported in email to L/EFD on 5/18/2023.

## Summary of Contents

### 1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

### 2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

### 3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

#### 4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

#### 5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

#### 6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

## 7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during the reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

## 8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

## 9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$415 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$166 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

## Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

## Public Burden Information

This collection of information is estimated to take an average of ten hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE), Suite 500, 1201 New York Avenue, N.W., Washington, DC 20005-3917.

Pursuant to the Paperwork Reduction Act, as amended, an agency may not conduct or sponsor, and no person is required to respond to, a collection of information unless it displays a currently valid OMB control number (that number, 3209-0001, is displayed here and at the top of the first page of this OGE Form 278e).