Executive Branch Personnel

Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

Patrick, Ryan

United States Attorney for the Southern District of Texas, Department of Justice - Executive Office for United States Attorneys

Report Year: 2020

Other Federal Government Positions Held During the Preceding 12 Months:
None

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Patrick, Ryan [electronically signed on 05/15/2020 by Patrick, Ryan in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).
/s/ Lucy Hurley, for James E. Macklin, Certifying Official [electronically signed on 06/18/2020 by Lucy Hurley, for James E. Macklin in Integrity.gov]

Other review conducted by
/s/ Neurock, Mitchel, Ethics Official [electronically signed on 05/15/2020 by Neurock, Mitchel in Integrity.gov]

U.S. Office of Government Ethics Certification

/s/ Granahan, Megan, Certifying Official [electronically signed on 06/30/2020 by Granahan, Megan in Integrity.gov]

Data Revised 06/30/2020

Data Revised 06/03/2020

Data Revised 06/03/2020

Data Revised 06/03/2020

Data Revised 06/03/2020

Data Revised 06/03/2020

1. Filer's Positions Held Outside United States Government

None

2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Texas County and District Retirement System, defined benefit plan	N/A	$50,001 - $100,000		None (or less than $201)
2	Employee Retirement System of Texas, defined benefit plan	N/A	$15,001 - $50,000		None (or less than $201)
3	Putnam Funds Tr Short Duration (PSDYX)	Yes	$1,001 - $15,000		None (or less than $201)
4	U.S. bank (cash account)	N/A	$1,001 - $15,000		None (or less than $201)
5	IRA	No		Mandatory distribution from inherited IRA	$456
5.1	Vanguard Ftse Developed VEA	Yes	$15,001 - $50,000		None (or less than $201)
5.2	Vanguard Ftse Emerging VWO	Yes	$1,001 - $15,000		None (or less than $201)
5.3	Ishares Tust EMB	Yes	None (or less than $1,001)		None (or less than $201)
5.4	First Trust Preferred FPE	Yes	$1,001 - $15,000		None (or less than $201)
5.5	SSGA Active Trust SRLN	Yes	$1,001 - $15,000		None (or less than $201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
5.6	Ishares 20 Plus TLT	Yes	$1,001 - $15,000		None (or less than $201)
5.7	Vanguard Intermediate VCIT	Yes	$1,001 - $15,000		None (or less than $201)
5.8	SPDR SER TR KBE	Yes	$1,001 - $15,000		None (or less than $201)
5.9	Ishares Core S&P IVV	Yes	$15,001 - $50,000		None (or less than $201)
5.10	DBX ETF Tust HYLB	Yes	$1,001 - $15,000		None (or less than $201)
5.11	Schwab Srategic SCHR	Yes	$1,001 - $15,000		None (or less than $201)
5.12	Financial Select Sector SPDR fund (XLF)	Yes	$1,001 - $15,000		$201 - $1,000
6	ROTH IRA	No			
6.1	DBX Etf Trust	Yes	$1,001 - $15,000		None (or less than $201)
6.2	Ishares Core S&P IVV	Yes	$1,001 - $15,000		None (or less than $201)
6.3	Vanguard Ftse VEA	Yes	$1,001 - $15,000		None (or less than $201)
7	Harris County 457 Plan	No			
7.1	Nationwide Bond Index	Yes	$1,001 - $15,000		None (or less than $201)
7.2	Nationwide Destination 2045	Yes	$1,001 - $15,000		None (or less than $201)
7.3	T. Rowe Price Growth Stock	Yes	$1,001 - $15,000		None (or less than $201)

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
7.4	Nationwide Large Cap Growth Portfolio		Yes	$1,001 - $15,000		None (or less than $201)
7.5	Invesco Oppenheimer Limited Term Govt		Yes	$1,001 - $15,000		None (or less than $201)
7.6	Fidelity OTC		Yes	$1,001 - $15,000		None (or less than $201)
7.7	Wells Fargo Discovery Fund		Yes	$1,001 - $15,000		None (or less than $201)
7.8	Nationwide Loomis Core		Yes	$1,001 - $15,000		None (or less than $201)
8	State of Texas 401(k)		No			
8.1	LifePath Index 2045 Fund F	See Endnote	Yes	$1,001 - $15,000		None (or less than $201)
8.2	BlackRock Bond Index		Yes	$1,001 - $15,000		None (or less than $201)
8.3	BlackRock Short Term Investment		Yes	$1,001 - $15,000		None (or less than $201)

3. Filer's Employment Agreements and Arrangements

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
1	Law Office of Ryan Patrick, PLLC	Houston, Texas	My solo legal practice is inactive and exists on paper only. All outstanding fees were paid and distributed to me prior to my swearing in.	1/2017
2	Texas County and District Retirement System	Austin, Texas	I will continue to participate in this defined benefit plan.	11/2006
3	Employee Retirement System of Texas	Austin, Texas	I will continue to participate in this defined benefit plan.	9/2012

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
4	Harris County	Houston, Texas	I will continue to participate in this defined contribution plan, but the plan sponsor no longer makes contributions.	6/2008
5	State of Texas	Austin, Texas	I will continue to participate in this defined contribution plan, but the plan sponsor no longer makes contributions.	9/2012

4. Filer's Sources of Compensation Exceeding $5,000 in a Year

(N/A) - Not required for this type of report

5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Teachers Retirement System of Texas, defined benefit plan	N/A	$15,001 - $50,000		None (or less than $201)
2	Roth IRA	No			
2.1	Boeing	N/A	$1,001 - $15,000	Dividends Capital Gains	$201 - $1,000
2.2	Pepsico	N/A	$1,001 - $15,000	Dividends Capital Gains	$201 - $1,000
2.3	Pfizer	N/A	None (or less than $1,001)	Capital Gains	$201 - $1,000
2.4	Walgreens	N/A	$1,001 - $15,000	Dividends Capital Gains	None (or less than $201)
2.5	USAA INCOME FUND	Yes	$1,001 - $15,000		None (or less than $201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
2.6	USAA AGGRESSIVE GROWTH	Yes	None (or less than $1,001)		None (or less than $201)
2.7	USAA INCOME STOCK FUND	Yes	None (or less than $1,001)		None (or less than $201)
2.8	USAA TARGET RETIREMENT FUND 2040	Yes	$1,001 - $15,000		None (or less than $201)
2.9	USAA GROWTH & INCOME FUND	Yes	$1,001 - $15,000		$201 - $1,000
2.10	USAA NASDAQ 100 INDEX	Yes	$1,001 - $15,000		None (or less than $201)
2.11	USAA INTERMEDIATE TERM BOND RETAIL	Yes	$1,001 - $15,000		None (or less than $201)
3	IRA	No			
3.1	USAA INTERMEDIATE TERM BOND	Yes	None (or less than $1,001)		None (or less than $201)
3.2	USAA MONEY MARKET ACCOUNT	N/A	$1,001 - $15,000		None (or less than $201)
3.3	USAA TARGET RETIREMN FUND 2030 RETAIL	Yes	$1,001 - $15,000		$201 - $1,000

6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	U.S. bank account #1 (cash)	N/A	$1,001 - $15,000		None (or less than $201)
2	U.S. bank account #2 (cash)	N/A	$100,001 - $250,000	Interest	$201 - $1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3	U.S. bank account #4 (RBC NP)	N/A	$100,001 - $250,000	Interest	None (or less than $201)
3.1	Ishares Core S&P IVV	Yes	$15,001 - $50,000		None (or less than $201)
3.2	SPDR Ser Tr KBE	Yes	$1,001 - $15,000		None (or less than $201)
3.3	Vanguard ftse VEA	Yes	$15,001 - $50,000		None (or less than $201)
3.4	Vanguard ftse Emerging VWO	Yes	$1,001 - $15,000		None (or less than $201)
3.5	Ishares Trust EMB	Yes	$1,001 - $15,000		None (or less than $201)
3.6	First Trust Preferred FPE	Yes	$1,001 - $15,000		None (or less than $201)
3.7	SSGA Active Trust SRLN	Yes	None (or less than $1,001)		None (or less than $201)
3.8	Ishares 20 Plus TLT	Yes	$1,001 - $15,000		None (or less than $201)
3.9	Vanguard Intermediate VCIT	Yes	$1,001 - $15,000		None (or less than $201)
3.10	DBX ETF Trust HYLB	Yes	$1,001 - $15,000		None (or less than $201)
3.11	Schawb Strategic SCHR	Yes	$1,001 - $15,000		None (or less than $201)
3.12	Sector Spider Trust XLP	Yes	$1,001 - $15,000		None (or less than $201)
4	Moleculin Biotech, Inc. (MBRX)	N/A	$1,001 - $15,000		None (or less than $201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
5	Goeb Family Limited Partnership (15% interest)	No			
5.1	U.S. brokerage account #1 (cash)	N/A	$250,001 - $500,000	Interest	$201 - $1,000
5.2	iShares Select Dividend	Yes	$15,001 - $50,000		None (or less than $201)
5.3	Invesco High Yield Municipal Fund (ACTFX)	Yes	$1,001 - $15,000		None (or less than $201)
5.4	PRUDENTIAL S/T CORP BD Z (PIFZX)	Yes	$15,001 - $50,000		$1,001 - $2,500
5.5	THORNBURG LTD TRM MUNI I (LTMIX)	Yes	$1,001 - $15,000		$201 - $1,000
5.6	FPA CRESCENT PORT INSTL (FPACX)	Yes	$1,001 - $15,000		$1,001 - $2,500
5.7	FIRST EAGLE GLOBAL FD I (SGIIX)	Yes	$1,001 - $15,000		$1,001 - $2,500
5.8	IVA WORLDWIDE FUND CL I (IVWIX)	Yes	$1,001 - $15,000		$201 - $1,000
5.9	FRANKLIN INCOME ADV (FRIAX)	Yes	$1,001 - $15,000		$1,001 - $2,500
5.10	BLACKROCK EQUITY DIV I (MADVX)	Yes	$1,001 - $15,000		$1,001 - $2,500
5.11	JPMORGAN HIGH YLD BD-I (OHYFX)	Yes	$1,001 - $15,000		$201 - $1,000
5.12	VANGUARD WELLSLY INC ADM (VWIAX)	Yes	$1,001 - $15,000		None (or less than $201)
5.13	T ROWE PRICE MID CAP GRW (RPMGX)	Yes	$1,001 - $15,000		$201 - $1,000
5.14	VIRTUS VONTOBEL EMG I (HIEMX)	Yes	$1,001 - $15,000		None (or less than $201)
5.15	ARTISAN GLOBAL CL AD (APDRX)	Yes	None (or less than $1,001)		None (or less than $201)
5.16	T ROWE PRICE DIVR SM CAP (PRDSX)	Yes	None (or less than $1,001)		None (or less than $201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
5.17	WELLS FARGO SPL M/C VL I (WFMIX)	Yes	None (or less than $1,001)		None (or less than $201)
5.18	ARTISAN INTERNATIO CL AD (APDKX)	Yes	None (or less than $1,001)		None (or less than $201)
5.19	AMERN CENTY S/C VAL INV (ASVIX)	Yes	None (or less than $1,001)		None (or less than $201)
5.20	TEMPLETON DRAGON FD INC (TDF)	Yes	$1,001 - $15,000		$201 - $1,000
5.21	E VANCE T/M GLBL DIV EQY (EXG)	Yes	None (or less than $1,001)		None (or less than $201)
5.22	MONT CO TX HLTH 0%23 UIT	N/A	$1,001 - $15,000		None (or less than $201)
5.23	SEMINOLE TX 5.0%02/15/26 Muni Bond/UIT	N/A	$1,001 - $15,000	Interest	$1,001 - $2,500
5.24	GOODYEAR 8.75% 081520 Corporate Bond/UIT	N/A	$1,001 - $15,000	Interest	$201 - $1,000
5.25	FORD HLDGS 9.375%3/01/20 Corp Bond/UIT	N/A	$1,001 - $15,000	Interest	$201 - $1,000
5.26	UNITED CONTINENTAL H SR UNSECURED CPN 6.375% 060118 corporate bond/	N/A	$1,001 - $15,000	Interest	$201 - $1,000
5.27	UNION CARBIDE CORP DEBENTURES CPN 7.5% 6/1/25	N/A	None (or less than $1,001)	Interest	$201 - $1,000
5.28	COOPER TIRE 8.0%12/15/19	N/A	None (or less than $1,001)	Interest	$201 - $1,000
5.29	DYNEGY INC 8.034%020224 corp bond/uit	N/A	None (or less than $1,001)		None (or less than $201)
5.30	APPLE INC (AAPL)	N/A	$50,001 - $100,000	Dividends Capital Gains	$15,001 - $50,000
5.31	BERKSHIRE HATHAWAY INC B (BRK'B)	N/A	$15,001 - $50,000	Dividends Capital Gains	$5,001 - $15,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
5.32	BOEING CO (BA)	N/A	$1,001 - $15,000	Dividends Capital Gains	$5,001 - $15,000
5.33	JOHNSON & JOHNSON (JNJ)	N/A	$1,001 - $15,000	Dividends	$1,001 - $2,500
5.34	MICROSOFT CORP	N/A	$1,001 - $15,000	Dividends Capital Gains	$5,001 - $15,000
5.35	ALPHABET INC CL C (GOOG)	N/A	$1,001 - $15,000		None (or less than $201)
5.36	JPMORGAN CHASE & CO (JPM)	N/A	$1,001 - $15,000	Dividends Capital Gains	$2,501 - $5,000
5.37	AMERICAN INTL GROUP INC (AIG)	N/A	$1,001 - $15,000	Dividends Capital Gains	$1,001 - $2,500
5.38	UNITED RENTALS INC	N/A	$1,001 - $15,000	Dividends	$1,001 - $2,500
5.39	CATERPILLAR INC (CAT)	N/A	$1,001 - $15,000	Dividends Capital Gains	$1,001 - $2,500
5.40	DOW CHEMICAL COMPANY (DOW)	N/A	$1,001 - $15,000	Dividends Capital Gains	None (or less than $201)
5.41	ALPHABET INC CL A (GOOGL)	N/A	$1,001 - $15,000		None (or less than $201)
5.42	DEERE & CO (DE)	N/A	$1,001 - $15,000	Dividends	$201 - $1,000
5.43	HOME DEPOT INC (HD)	N/A	$1,001 - $15,000	Dividends	$201 - $1,000
5.44	KKR & CO L P DEL (KKR)	N/A	$1,001 - $15,000	Dividends	$201 - $1,000
5.45	WAL-MART STORES INC (WMT)	N/A	$1,001 - $15,000	Capital Gains	$1,001 - $2,500
5.46	INTEL CORP (INTC)	N/A	$1,001 - $15,000	Dividends	$201 - $1,000
5.47	FREEPORT-MCMORAN INC (FCX)	N/A	$1,001 - $15,000		None (or less than $201)
5.48	GENERAL ELECTRIC COMPANY (GE)	N/A	$1,001 - $15,000	Dividends	$201 - $1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
5.49	QUALCOMM INC (QCOM)	N/A	$1,001 - $15,000	Capital Gains	$201 - $1,000
5.50	DISNEY WALT COMPANY (DIS)	N/A	$1,001 - $15,000	Capital Gains	$1,001 - $2,500
5.51	ANADARKO PETROLEUM CORP (APC)	N/A	$1,001 - $15,000		None (or less than $201)
5.52	ENTERPRISE PRODUCTS (EPD)	N/A	$1,001 - $15,000	Dividends	$201 - $1,000
5.53	CELGENE CORP (CELG)	N/A	$1,001 - $15,000	Capital Gains	$201 - $1,000
5.54	PHILIP MORRIS INTL INC (PM)	N/A	$1,001 - $15,000	Dividends	$201 - $1,000
5.55	KINDER MORGAN INC DEL (KMI)	N/A	$1,001 - $15,000	Dividends	$201 - $1,000
5.56	SPDR S&P 500 TRUST ETF (SPY)	Yes	$1,001 - $15,000		$201 - $1,000
5.57	GILEAD SCIENCES INC (GILD)	N/A	$1,001 - $15,000	Dividends Capital Gains	None (or less than $201)
5.58	AMAZON COM INC (AMZN)	N/A	$1,001 - $15,000	Capital Gains	$2,501 - $5,000
5.59	POWERSHRS DWA ENERGY ETF (QQQ)	Yes	$1,001 - $15,000		None (or less than $201)
5.60	CONCHO RESOURCES INC (CXO)	N/A	$1,001 - $15,000	Capital Gains	$201 - $1,000
5.61	GENERAL MOTORS CO (GM)	N/A	$1,001 - $15,000	Dividends Capital Gains	$201 - $1,000
5.62	FACEBOOK INC CLASS A (FB)	N/A	$1,001 - $15,000	Capital Gains	$201 - $1,000
5.63	CHENIERE ENERGY INC NEW (LNG)	N/A	$1,001 - $15,000		None (or less than $201)
5.64	TRANSOCEAN LTD (RIG)	N/A	$1,001 - $15,000		None (or less than $201)
5.65	CORE LABORATORIES INC (CLB)	N/A	$1,001 - $15,000	Dividends	$201 - $1,000
5.66	POTASH CORP OF SASK INC (POT)	N/A	$1,001 - $15,000	Dividends	$201 - $1,000
5.67	MACY'S INC (M)	N/A	$1,001 - $15,000	Dividends	$201 - $1,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
5.68	TENET HEALTHCARE CRP NEW (THC)	N/A	$1,001 - $15,000		None (or less than $201)
5.69	AT & T INC (T)	N/A	$1,001 - $15,000		None (or less than $201)
5.70	FEDEX CORPORATION (FDX)	N/A	$1,001 - $15,000	Capital Gains	None (or less than $201)
5.71	PFIZER INCORPORATED (PFE)	N/A	$1,001 - $15,000	Dividends Capital Gains	$201 - $1,000
5.72	CISCO SYS INC (CSCO)	N/A	$1,001 - $15,000	Capital Gains	$201 - $1,000
5.73	STARBUCKS CORP (SBUX)	N/A	None (or less than $1,001)		None (or less than $201)
5.74	DISCOVERY COMMUNICATION A (DISCA)	N/A	None (or less than $1,001)	Capital Gains	$201 - $1,000
5.75	ALIBABA GRP HOLDING ADR (BABA)	N/A	$1,001 - $15,000		None (or less than $201)
5.76	AMAZON COM INC	N/A	$1,001 - $15,000		None (or less than $201)
5.77	AMERICAN INTL GROUP INC (AIG)	N/A	$1,001 - $15,000		None (or less than $201)
5.78	Pi Capital	N/A			
5.78.1	BTH Bancshares (BTH)	N/A	$50,001 - $100,000		None (or less than $201)
5.79	Houston Broadcasting Note	N/A	$50,001 - $100,000	Interest	$1,001 - $2,500
5.80	Citigroup C	N/A	$1,001 - $15,000	Dividends Capital Gains	$201 - $1,000
5.81	Ingersoll-Rand IR	N/A	$1,001 - $15,000		None (or less than $201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
5.82	Intuitive Surgical ISR	N/A	$1,001 - $15,000		None (or less than $201)
5.83	Invesco QQQ	Yes	$1,001 - $15,000		$201 - $1,000
5.84	ISHS ITA	Yes	$1,001 - $15,000		$201 - $1,000
5.85	PACER Trend PTLC	Yes	$1,001 - $15,000		None (or less than $201)
5.86	Salesforce CRM	N/A	$1,001 - $15,000	Capital Gains	$201 - $1,000
5.87	Union Pacific UNP	N/A	$1,001 - $15,000	Capital Gains Dividends	$201 - $1,000
5.88	Vanguard Energy VDE	Yes	$1,001 - $15,000		None (or less than $201)
6	R&S Radio Partners (65% share)	No			
6.1	Houston Broadcasting Note	N/A	$500,001 - $1,000,000	Interest	$50,001 - $100,000
6.2	U.S. bank account #4 (cash)	N/A	$50,001 - $100,000		None (or less than $201)
7	VA 529 Plan	No			
7.1	American Funds Fundamental Investors	Yes	$1,001 - $15,000		None (or less than $201)
8	U.S. brokerage account #2	No			
8.1	Apple	N/A	$15,001 - $50,000	Dividends Capital Gains	$5,001 - $15,000
8.2	CSX Corporation (CSX)	N/A	$1,001 - $15,000	Dividends Capital Gains	$201 - $1,000
8.3	ENERGY TRANSFER PARTNERS EQUITY	N/A	$1,001 - $15,000	Dividends Capital Gains	$201 - $1,000

#	DESCRIPTION		EIF	VALUE	INCOME TYPE	INCOME AMOUNT
8.4	Pfizer Inc		N/A	$1,001 - $15,000	Dividends Capital Gains	None (or less than $201)
8.5	SPDR S&P 500		Yes	$1,001 - $15,000		$201 - $1,000
8.6	United States Oil Fund LP (USO)		Yes	$1,001 - $15,000		None (or less than $201)
8.7	ZAGG Inc		N/A	$1,001 - $15,000	Capital Gains	$201 - $1,000
8.8	USAA INCOME STOCK FUND		Yes	$1,001 - $15,000		$201 - $1,000
8.9	Veritex Holdings, Inc. - Common Stock (VBTX)	See Endnote	No	$1,001 - $15,000		None (or less than $201)
9	Family Trust #1		No			
9.1	U.S. bank account #5 (cash)		N/A	$1,000,001 - $5,000,000	Interest	$5,001 - $15,000
10	U.S. bank account #3 (cash)		N/A	$100,001 - $250,000	Interest	$201 - $1,000
11	College Account 9621		No			
11.1	American Growth CGPAX		Yes	$15,001 - $50,000		None (or less than $201)
11.2	American FDS Growth CBAAX		Yes	$15,001 - $50,000		None (or less than $201)
12	Deceased IRA		No			
12.1	First Eagle SGIIX		Yes	$1,001 - $15,000		None (or less than $201)
12.2	FPA FDS TR FPACX		Yes	$1,001 - $15,000		None (or less than $201)
12.3	DWS Core SUWCX		Yes	$1,001 - $15,000		None (or less than $201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
12.4	American Century ASVNX	Yes	$1,001 - $15,000		None (or less than $201)
12.5	Growth Fund America GFACX	Yes	$1,001 - $15,000		None (or less than $201)
12.6	Hartford HMDX	Yes	$1,001 - $15,000		None (or less than $201)
12.7	New Perspective NPFCX	Yes	$1,001 - $15,000		None (or less than $201)
12.8	Wells Fargo WFPCX	Yes	$1,001 - $15,000		None (or less than $201)
13	College Account 1592	No			
13.1	American Funds CGPAX	Yes	$15,001 - $50,000		$201 - $1,000
13.2	American Funds CBAAX	Yes	$15,001 - $50,000		$201 - $1,000

7. Transactions

#	DESCRIPTION		TYPE	DATE	AMOUNT
1	alphabet class a	See Endnote	Sale	09/25/2019	$1,001 - $15,000
2	alphabet class C	See Endnote	Sale	09/25/2019	$1,001 - $15,000
3	amazon	See Endnote	Sale	09/25/2019	$1,001 - $15,000
4	american international group	See Endnote	Sale	09/25/2019	$1,001 - $15,000
5	apple		Sale	09/25/2019	$15,001 - $50,000
6	apple	See Endnote	Sale	09/25/2019	$15,001 - $50,000

#	DESCRIPTION		TYPE	DATE	AMOUNT
7	at&t	See Endnote	Sale	09/25/2019	$1,001 - $15,000
8	axon enterprise	See Endnote	Sale	09/25/2019	$1,001 - $15,000
9	Berkshire Hathaway B BRK.B	See Endnote	Sale	09/25/2019	$1,001 - $15,000
10	boeing	See Endnote	Sale	09/25/2019	$1,001 - $15,000
11	carlyle group	See Endnote	Sale	09/25/2019	$1,001 - $15,000
12	caterpillar	See Endnote	Sale	09/25/2019	$1,001 - $15,000
13	celgene	See Endnote	Sale	09/25/2019	$1,001 - $15,000
14	celgene	See Endnote	Sale	09/25/2019	$1,001 - $15,000
15	cisco	See Endnote	Sale	09/25/2019	$1,001 - $15,000
16	cisco	See Endnote	Sale	09/25/2019	$1,001 - $15,000
17	citigroup	See Endnote	Sale	09/25/2019	$1,001 - $15,000
18	discovery inc	See Endnote	Sale	09/25/2019	$1,001 - $15,000
19	disney	See Endnote	Sale	09/25/2019	$1,001 - $15,000
20	dow inc	See Endnote	Sale	09/25/2019	$1,001 - $15,000
21	dupont	See Endnote	Sale	09/25/2019	$1,001 - $15,000
22	facebook	See Endnote	Sale	09/25/2019	$1,001 - $15,000
23	fedex	See Endnote	Sale	09/25/2019	$1,001 - $15,000
24	fedex	See Endnote	Sale	09/25/2019	$1,001 - $15,000
25	freeport-mcmoran	See Endnote	Sale	09/25/2019	$1,001 - $15,000
26	ge	See Endnote	Sale	09/25/2019	$1,001 - $15,000
27	gm	See Endnote	Sale	09/25/2019	$1,001 - $15,000
28	gilead	See Endnote	Sale	09/25/2019	$1,001 - $15,000

#	DESCRIPTION		TYPE	DATE	AMOUNT
29	home depot	See Endnote	Sale	09/25/2019	$1,001 - $15,000
30	ingersol rand	See Endnote	Sale	09/25/2019	$1,001 - $15,000
31	intel	See Endnote	Sale	09/25/2019	$1,001 - $15,000
32	intuitive surgical	See Endnote	Sale	09/25/2019	$1,001 - $15,000
33	johnson and johnson	See Endnote	Sale	09/25/2019	$1,001 - $15,000
34	jp mporgan chase	See Endnote	Sale	09/25/2019	$1,001 - $15,000
35	kkr	See Endnote	Sale	09/25/2019	$1,001 - $15,000
36	lululemon	See Endnote	Sale	09/25/2019	$1,001 - $15,000
37	macys	See Endnote	Sale	09/25/2019	$1,001 - $15,000
38	microsoft	See Endnote	Sale	09/25/2019	$1,001 - $15,000
39	morgan stanley	See Endnote	Sale	09/25/2019	$1,001 - $15,000
40	morgan stanley	See Endnote	Sale	09/25/2019	$1,001 - $15,000
41	newmont goldcorp	See Endnote	Sale	09/25/2019	$1,001 - $15,000
42	newmont goldcorp	See Endnote	Sale	09/25/2019	$1,001 - $15,000
43	pfizer	See Endnote	Sale	09/25/2019	$1,001 - $15,000
44	phillip morris	See Endnote	Sale	09/25/2019	$1,001 - $15,000
45	qualcomm	See Endnote	Sale	09/25/2019	$1,001 - $15,000
46	salesforce	See Endnote	Sale	09/25/2019	$1,001 - $15,000
47	starbucks	See Endnote	Sale	09/25/2019	$1,001 - $15,000
48	tenet healthcare	See Endnote	Sale	09/25/2019	$1,001 - $15,000
49	united rentals	See Endnote	Sale	09/25/2019	$1,001 - $15,000
50	walmart	See Endnote	Sale	09/25/2019	$1,001 - $15,000

#	DESCRIPTION		TYPE	DATE	AMOUNT
51	deere	See Endnote	Sale	09/26/2019	$1,001 - $15,000
52	deere	See Endnote	Sale	09/26/2019	$1,001 - $15,000
53	union pacific	See Endnote	Sale	09/26/2019	$1,001 - $15,000

8. Liabilities

#	CREDITOR NAME	TYPE	AMOUNT	YEAR INCURRED	RATE	TERM
1	Penny Mac	Mortgage on Personal Residence	$250,001 - $500,000	2019	3.875	30 year

9. Gifts and Travel Reimbursements

None

Endnotes

PART	#	ENDNOTE
2.	8.1	Mistakenly had the fund listed as LifePath Index 2040 Fund F. There was no transaction. It was always the 2045 fund.
6.	8.9	Green Bank merged with and took the name of Veritex
7.	1	this is 15% of my share of this security
7.	2	this is 15% of my share of this security
7.	3	this is 15% of my share of this security

PART	#	ENDNOTE
7.	4	this is 15% of my share of this security
7.	6	this is 15% of my share of this security
7.	7	this is 15% of my share of this security
7.	8	this is 15% of my share of this security
7.	9	this is 15% of my share of this security
7.	10	this is 15% of my share of this security
7.	11	this is 15% of my share of this security
7.	12	this is 15% of my share of this security
7.	13	this is 15% of my share of this security
7.	14	this is 15% of my share of this security
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7.	24	this is my 15% of the security
7.	25	this is my 15% of the security
7.	26	this is my 15% of the security

PART	#	ENDNOTE
7.	27	this is my 15% of the security
7.	28	this is my 15% of the security
7.	29	this is my 15% of the security
7.	30	this is my 15% of the security
7.	31	this is my 15% of the security
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7.	41	this is my 15% of the security
7.	42	this is my 15% of the security
7.	43	this is my 15% of the security
7.	44	this is my 15% of the security
7.	45	this is my 15% of the security
7.	46	this is my 15% of the security
7.	47	this is my 15% of the security
7.	48	this is my 15% of the security

PART	#	ENDNOTE
7.	49	this is my 15% of the security
7.	50	this is my 15% of the security
7.	51	this is my 15% of the security
7.	52	this is my 15% of the security
7.	53	this is my 15% of the security

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than $200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than $1,000 or (2) from which more than $200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is $0 - $200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding $5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than $5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than $1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than $200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than $1,000 or (2) from which more than $200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is $0 - $200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than $1,000 or (2) from which more than $200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of $5,000 or less (unless more than $200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is $0 - $200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of $1,000 made on behalf of the filer, the filer's spouse or dependent child during reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over $10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed $10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than $390 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than $390 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of $156 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

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