

UNITED STATES OFFICE OF
GOVERNMENT ETHICS



CERTIFICATE OF DIVESTITURE

CERTIFICATE NO: OGE-2022-196

DATE OF ISSUANCE: October 20, 2022

ELIGIBLE PERSON: Carrin F. Patman [Trustee of the Carrin Foreman Patman 2019 Trust], Department of State

[The divestiture property is identified in the attachment to this Certificate.]

[Please note: Upon the sale of this property, only the capital gain realized under Federal tax law is eligible for deferral under section 1043. This Certificate of Divestiture does not apply to ordinary compensation income.]

This Certificate of Divestiture is issued in accordance with section 1043 of the Internal Revenue Code and 5 C.F.R. § 2634.1002 with respect to the specific property described in the attachment. I hereby determine that the divestiture of the described property is reasonably necessary to comply with 18 U.S.C. § 208, or other applicable Federal conflict of interest statutes, regulations, rules, or executive orders.

Section 1043 of the Internal Revenue Code and the rules of 5 C.F.R. part 2634, subpart J provide for nonrecognition of gain in the case of sales to comply with conflict of interest requirements. The substantive and procedural rules relating to the tax aspects of such sales and rollovers pursuant to the statutory scheme are subject to the jurisdiction of the Internal Revenue Service. Eligible persons should seek the advice of their personal tax advisors for guidance as to the tax aspects of divestiture transactions and whether proposed acquisitions meet the requirements for permitted property. Internal Revenue Service regulations and other guidance should also be consulted as to these matters. Eligible persons must follow Internal Revenue Service requirements for reporting dispositions of property and making an election not to recognize gain under section 1043 (IRS Form 8824).

A Certificate of Divestiture may only be used if the person identified above is an “eligible person” at the time of divestiture. The rules of 5 C.F.R. part 2634, subpart J relate to the issuance of Certificates of Divestiture and the permitted property into which reinvestment must be made during the 60-day period beginning on the date of such a sale in order for nonrecognition to be permitted. Such reinvestments are called rollovers, and are limited to obligations of the United States and diversified investment funds as defined in 5 C.F.R. § 2634.1003.

DAVID
APOL

David J. Apol
General Counsel

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DAVID APOL
Date: 2022.10.20 16:41:51
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Attachment



ATTACHMENT**CERTIFICATE NO: OGE-2022-196**

Property description (all stock)	Quantity of shares owned	Owner of property	Relationship to employee
Apple, Inc. (AAPL)	264	Carrin F. Patman 2019 Trust	Employee is sole trustee and sole beneficiary
Exxon Mobil Corp. (XOM)	735	Carrin F. Patman 2019 Trust	Employee is sole trustee and sole beneficiary
General Electric Co. (GE)	700	Carrin F. Patman 2019 Trust	Employee is sole trustee and sole beneficiary
GlaxoSmithKline (GSK)	592	Carrin F. Patman 2019 Trust	Employee is sole trustee and sole beneficiary
Johnson & Johnson (JNJ)	767	Carrin F. Patman 2019 Trust	Employee is sole trustee and sole beneficiary
Microsoft Corp. (MSFT)	2346	Carrin F. Patman 2019 Trust	Employee is sole trustee and sole beneficiary
PepsiCo., Inc. (PEP)	480	Carrin F. Patman 2019 Trust	Employee is sole trustee and sole beneficiary
Raytheon Technologies (RTX)	3952	Carrin F. Patman 2019 Trust	Employee is sole trustee and sole beneficiary
The Coca-Cola Co. (KO)	1475	Carrin F. Patman 2019 Trust	Employee is sole trustee and sole beneficiary
Pfizer Inc. (PFE)	2325	Carrin F. Patman 2019 Trust	Employee is sole trustee and sole beneficiary

UNITED STATES OFFICE OF
GOVERNMENT ETHICS



CERTIFICATE OF DIVESTITURE

CERTIFICATE NO: OGE-2022-197

DATE OF ISSUANCE: October 20, 2022

ELIGIBLE PERSON: Carrin F. Patman [Trustee of the Carrin Foreman Patman 2008 Trust], Department of State

[The divestiture property is identified in the attachment to this Certificate.]

[Please note: Upon the sale of this property, only the capital gain realized under Federal tax law is eligible for deferral under section 1043. This Certificate of Divestiture does not apply to ordinary compensation income.]

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A Certificate of Divestiture may only be used if the person identified above is an “eligible person” at the time of divestiture. The rules of 5 C.F.R. part 2634, subpart J relate to the issuance of Certificates of Divestiture and the permitted property into which reinvestment must be made during the 60-day period beginning on the date of such a sale in order for nonrecognition to be permitted. Such reinvestments are called rollovers, and are limited to obligations of the United States and diversified investment funds as defined in 5 C.F.R. § 2634.1003.

DAVID
APOL

David J. Apol
General Counsel

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Attachment



ATTACHMENT

CERTIFICATE NO: OGE-2022-197

UNITED STATES OFFICE OF
GOVERNMENT ETHICS

CERTIFICATE OF DIVESTITURE

CERTIFICATE NO: OGE-2022-198

DATE OF ISSUANCE: October 20, 2022

ELIGIBLE PERSON: Carrin F. Patman [Trustee of the Carrin Foreman Patman 2012 Trust], Department of State

[The divestiture property is identified in the attachment to this Certificate.]

[Please note: Upon the sale of this property, only the capital gain realized under Federal tax law is eligible for deferral under section 1043. This Certificate of Divestiture does not apply to ordinary compensation income.]

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David J. Apol
General Counsel

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DAVID APOL
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ATTACHMENT

CERTIFICATE NO: OGE-2022-198

Property description (all stock)	Quantity of shares owned	Owner of property	Relationship to employee
Abbott Laboratories (ABT)	1098	Carrin Foreman Patman 2012 Trust	Employee is sole trustee and sole beneficiary
Alphabet Inc. Class A (GOOGL)	560	Carrin Foreman Patman 2012 Trust	Employee is sole trustee and sole beneficiary
Alphabet Inc. Class C (GOOG)	1560	Carrin Foreman Patman 2012 Trust	Employee is sole trustee and sole beneficiary
Apple, Inc. (AAPL)	12,000	Carrin Foreman Patman 2012 Trust	Employee is sole trustee and sole beneficiary
Bank of America Corp. (BAC)	1226	Carrin Foreman Patman 2012 Trust	Employee is sole trustee and sole beneficiary
BP Plc (BP)	2984	Carrin Foreman Patman 2012 Trust	Employee is sole trustee and sole beneficiary
Chevron Corp. (CVX)	549	Carrin Foreman Patman 2012 Trust	Employee is sole trustee and sole beneficiary
Cisco Systems, Inc. (CSCO)	206	Carrin Foreman Patman 2012 Trust	Employee is sole trustee and sole beneficiary
Citigroup, Inc. (C)	161	Carrin Foreman Patman 2012 Trust	Employee is sole trustee and sole beneficiary
Eli Lilly & Co. (LLY)	92	Carrin Foreman Patman 2012 Trust	Employee is sole trustee and sole beneficiary
Exxon Mobil Corp. (XOM)	2219	Carrin Foreman Patman 2012 Trust	Employee is sole trustee and sole beneficiary
Facebook, Inc. (FB)	510	Carrin Foreman Patman 2012 Trust	Employee is sole trustee and sole beneficiary
General Dynamics Corp. (GD)	214	Carrin Foreman Patman 2012 Trust	Employee is sole trustee and sole beneficiary
General Electric Co. (GE)	1662	Carrin Foreman Patman 2012 Trust	Employee is sole trustee and sole beneficiary
Johnson & Johnson (JNJ)	390	Carrin Foreman Patman 2012 Trust	Employee is sole trustee and sole beneficiary
JP Morgan Chase & Co. (JPM)	388	Carrin Foreman Patman 2012 Trust	Employee is sole trustee and sole beneficiary
Merck & Co. (MRK)	594	Carrin Foreman Patman 2012 Trust	Employee is sole trustee and sole beneficiary
Microsoft Corp. (MSFT)	16985	Carrin Foreman Patman 2012 Trust	Employee is sole trustee and sole beneficiary
Morgan Stanley (MS)	543	Carrin Foreman Patman 2012 Trust	Employee is sole trustee and sole beneficiary
Novartis (NVS)	615	Carrin Foreman Patman 2012 Trust	Employee is sole trustee and sole beneficiary

ATTACHMENT**CERTIFICATE NO: OGE-2022-198**

Property description (all stock)	Quantity of shares owned	Owner of property	Relationship to employee
PepsiCo., Inc. (PEP)	3000	Carrin Foreman Patman 2012 Trust	Employee is sole trustee and sole beneficiary
Pfizer Inc. (PFE)	19032	Carrin Foreman Patman 2012 Trust	Employee is sole trustee and sole beneficiary
Raytheon Technologies (RTX)	574	Carrin Foreman Patman 2012 Trust	Employee is sole trustee and sole beneficiary

UNITED STATES OFFICE OF
GOVERNMENT ETHICS

CERTIFICATE OF DIVESTITURE

CERTIFICATE NO: OGE-2022-199

DATE OF ISSUANCE: October 20, 2022

ELIGIBLE PERSON: James V. Derrick [spouse of Carrin F. Patman], Department of State

[The divestiture property is identified in the attachment to this Certificate.]

[Please note: Upon the sale of this property, only the capital gain realized under Federal tax law is eligible for deferral under section 1043. This Certificate of Divestiture does not apply to ordinary compensation income.]

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DAVID
APOL

David J. Apol
General Counsel

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DAVID APOL
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Attachment



ATTACHMENT**CERTIFICATE NO: OGE-2022-199**

Property description (all stock)	Quantity of shares owned	Owner of property	Relationship to employee
Abbott Laboratories (ABT)	450	James V. Derrick, Jr.	spouse
Alphabet Inc. Class A (GOOGL)	220	James V. Derrick, Jr.	spouse
Alphabet Inc. Class C (GOOG)	640	James V. Derrick, Jr.	spouse
Apple, Inc. (AAPL)	152	James V. Derrick, Jr.	spouse
Bank of America Corp. (BAC)	482	James V. Derrick, Jr.	spouse
BlackRock, Inc. (BLK)	23	James V. Derrick, Jr.	spouse
Boeing Co. (BA)	42	James V. Derrick, Jr.	spouse
Bristol-Myers Squibb (BMY)	189	James V. Derrick, Jr.	spouse
Chevron Corp. (CVX)	334	James V. Derrick, Jr.	spouse
Cisco Systems, Inc. (CSCO)	619	James V. Derrick, Jr.	spouse
Eli Lilly & Co. (LLY)	37	James V. Derrick, Jr.	spouse
Exxon Mobil Corp. (XOM)	610	James V. Derrick, Jr.	spouse
Facebook, Inc. (FB)	206	James V. Derrick, Jr.	spouse
General Dynamics Corp. (GD)	95	James V. Derrick, Jr.	spouse
Johnson & Johnson (JNJ)	289	James V. Derrick, Jr.	spouse
JP Morgan Chase & Co. (JPM)	246	James V. Derrick, Jr.	spouse
Lockheed Martin Corp. (LMT)	31	James V. Derrick, Jr.	spouse
Merck & Co. (MRK)	231	James V. Derrick, Jr.	spouse
Microsoft Corp. (MSFT)	298	James V. Derrick, Jr.	spouse
Morgan Stanley (MS)	247	James V. Derrick, Jr.	spouse
Novartis (NVS)	48	James V. Derrick, Jr.	spouse
Pfizer Inc. (PFE)	436	James V. Derrick, Jr.	spouse
Raytheon Technologies (RTX)	370	James V. Derrick, Jr.	spouse
Roche Holdings Ltd. (RHHBY)	100	James V. Derrick, Jr.	spouse
The Coca-Cola Co. (KO)	78	James V. Derrick, Jr.	spouse
The Walt Disney Co. (DIS)	98	James V. Derrick, Jr.	spouse
Yum Brands, Inc. (YUM)	56	James V. Derrick, Jr.	spouse