

Executive Branch Personnel

Public Financial Disclosure Report:

Periodic Transaction Report (OGE Form 278-T)

Filer's Information

PARK, BRENT

Deputy Administrator (Defense Nuclear Nonproliferation), Department of Energy

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ PARK, BRENT [electronically signed on 01/11/2021 by PARK, BRENT in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Beard, Susan F, Certifying Official [electronically signed on 02/24/2021 by Beard, Susan F in Integrity.gov]

Other review conducted by

/s/ Comfort, Melinda, Ethics Official [electronically signed on 02/24/2021 by Comfort, Melinda in Integrity.gov]

U.S. Office of Government Ethics Certification

/s/ Granahan, Megan, Certifying Official [electronically signed on 03/01/2021 by Granahan, Megan in Integrity.gov]

Data Revised 02/24/2021

Transactions

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
1	DocuSign, Inc. (DOCU)	Sale	12/08/2020	No	\$1,001 - \$15,000
2	salesforce.com, inc. (CRM)	Purchase	12/11/2020	No	\$1,001 - \$15,000
3	Chewy Inc. (CHWY)	Purchase	12/11/2020	No	\$1,001 - \$15,000
4	Chewy Inc. (CHWY)	Sale	12/14/2020	No	\$1,001 - \$15,000
5	GreenPower Motor Company (GP)	Sale	12/14/2020	No	\$1,001 - \$15,000
6	Tesla, Inc. (TSLA)	Purchase	12/23/2020	No	\$1,001 - \$15,000
7	Daqo New Energy Corp (DQ)	Purchase	12/28/2020	No	\$1,001 - \$15,000
8	Longview Acquisition Corp. (LGVW)	Purchase	12/28/2020	No	\$1,001 - \$15,000
9	Cloudflare Inc. (NET)	Purchase	12/30/2020	No	\$1,001 - \$15,000
10	Overstock.com, Inc. (OSTK)	Sale	12/31/2020	No	\$1,001 - \$15,000
11	Square Inc (SQ)	Purchase	12/31/2020	No	\$1,001 - \$15,000
12	salesforce.com, inc. (CRM)	Sale	12/31/2020	No	\$1,001 - \$15,000
13	VANECK VECTORS GOLD MINERS ETF (GDX)	Sale	12/31/2020	No	\$1,001 - \$15,000
14	ARK Genomic Revolution ETF (ARKG)	Purchase	12/31/2020	No	\$1,001 - \$15,000
15	Longview Acquisition Corp. (LGVW)	Purchase	12/31/2020	No	\$1,001 - \$15,000
16	ARK Innovation ETF (ARKK)	Purchase	01/05/2021	No	\$1,001 - \$15,000
17	ARK Innovation ETF (ARKK)	Sale	01/08/2021	No	\$1,001 - \$15,000
18	Square Inc (SQ)	Sale	01/08/2021	No	\$1,001 - \$15,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
19	Daqo New Energy Corp (DQ)	Sale	01/08/2021	No	\$1,001 - \$15,000
20	NVIDIA Corp. (NVDA)	Purchase	12/01/2020	No	\$100,001 - \$250,000
21	Advanced Micro Devices, Inc. (AMD)	Purchase	12/03/2020	No	\$1,001 - \$15,000
22	salesforce.com, inc. (CRM)	Purchase	12/03/2020	No	\$15,001 - \$50,000
23	NVIDIA Corp. (NVDA)	Purchase	12/03/2020	No	\$100,001 - \$250,000
24	Advanced Micro Devices, Inc. (AMD)	Sale	12/04/2020	No	\$15,001 - \$50,000
25	ARK Innovation ETF (ARKK)	Sale	12/04/2020	No	\$1,001 - \$15,000
26	salesforce.com, inc. (CRM)	Sale	12/04/2020	No	\$15,001 - \$50,000
27	NVIDIA Corp. (NVDA)	Sale	12/04/2020	No	\$100,001 - \$250,000
28	Invesco QQQ Trust, Series 1 (QQQ)	Sale	12/04/2020	No	\$15,001 - \$50,000
29	Advanced Micro Devices, Inc. (AMD)	Purchase	12/08/2020	No	\$50,001 - \$100,000
30	DocuSign, Inc. (DOCU)	Purchase	12/08/2020	No	\$15,001 - \$50,000
31	Fidelity MSCI Information Technology Index ETF (FTEC)	Purchase	12/08/2020	No	\$100,001 - \$250,000
32	NVIDIA Corp. (NVDA)	Purchase	12/08/2020	No	\$100,001 - \$250,000
33	Fidelity NASDAQ Composite Index Tracking Stock (ONEQ)	Purchase	12/08/2020	No	\$50,001 - \$100,000
34	Apple, Inc. (AAPL)	Purchase	12/09/2020	No	\$50,001 - \$100,000
35	Advanced Micro Devices, Inc. (AMD)	Purchase	12/09/2020	No	\$15,001 - \$50,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
36	salesforce.com, inc. (CRM)	Purchase	12/09/2020	No	\$15,001 - \$50,000
37	Cloudflare Inc. (NET)	Purchase	12/09/2020	No	\$15,001 - \$50,000
38	Netflix, Inc. (NFLX)	Purchase	12/09/2020	No	\$15,001 - \$50,000
39	Zoom Video Communications, Inc. (ZM)	Purchase	12/09/2020	No	\$15,001 - \$50,000
40	Apple, Inc. (AAPL)	Sale	12/10/2020	No	\$50,001 - \$100,000
41	salesforce.com, inc. (CRM)	Purchase	12/10/2020	No	\$15,001 - \$50,000
42	Cloudflare Inc. (NET)	Sale	12/10/2020	No	\$15,001 - \$50,000
43	Netflix, Inc. (NFLX)	Sale	12/10/2020	No	\$50,001 - \$100,000
44	Zoom Video Communications, Inc. (ZM)	Sale	12/10/2020	No	\$15,001 - \$50,000
45	Apple, Inc. (AAPL)	Purchase	12/11/2020	No	\$50,001 - \$100,000
46	salesforce.com, inc. (CRM)	Purchase	12/11/2020	No	\$15,001 - \$50,000
47	QUALCOMM, Inc. (QCOM)	Purchase	12/11/2020	No	\$15,001 - \$50,000
48	Apple, Inc. (AAPL)	Sale	12/14/2020	No	\$50,001 - \$100,000
49	Advanced Micro Devices, Inc. (AMD)	Sale	12/14/2020	No	\$100,001 - \$250,000
50	ARK Genomic Revolution ETF (ARKG)	Purchase	12/15/2020	No	\$15,001 - \$50,000
51	Cloudflare Inc. (NET)	Purchase	12/15/2020	No	\$15,001 - \$50,000
52	Microsoft Corp. (MSFT)	Purchase	12/17/2020	No	\$50,001 - \$100,000
53	ARK Genomic Revolution ETF (ARKG)	Sale	12/21/2020	No	\$50,001 - \$100,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
54	salesforce.com, inc. (CRM)	Sale	12/21/2020	No	\$100,001 - \$250,000
55	DocuSign, Inc. (DOCU)	Sale	12/21/2020	No	\$15,001 - \$50,000
56	Microsoft Corp. (MSFT)	Sale	12/21/2020	No	\$50,001 - \$100,000
57	Cloudfare Inc. (NET)	Sale	12/21/2020	No	\$15,001 - \$50,000
58	Daqo New Energy Corp (DQ)	Purchase	12/23/2020	No	\$50,001 - \$100,000
59	Longview Acquisition Corp.(LGVW)	Purchase	12/23/2020	No	\$15,001 - \$50,000
60	Cloudfare Inc. (NET)	Purchase	12/23/2020	No	\$15,001 - \$50,000
61	NVIDIA Corp. (NVDA)	Purchase	12/23/2020	No	\$100,001 - \$250,000
62	Square, Inc. (SQ)	Purchase	12/23/2020	No	\$15,001 - \$50,000
63	Zoom Video Communications, Inc. (ZM)	Purchase	12/23/2020	No	\$15,001 - \$50,000
64	Cloudfare Inc. (NET)	Sale	12/24/2020	No	\$15,001 - \$50,000
65	Square, Inc. (SQ)	Purchase	12/24/2020	No	\$15,001 - \$50,000
66	Apple, Inc. (AAPL)	Sale	12/28/2020	No	\$50,001 - \$100,000
67	Daqo New Energy Corp (DQ)	Sale	12/28/2020	No	\$15,001 - \$50,000
68	Longview Acquisition Corp.(LGVW)	Sale	12/28/2020	No	\$1,001 - \$15,000
69	Teladoc Health, Inc. (TDOC)	Purchase	12/28/2020	No	\$15,001 - \$50,000
70	ARK Genomic Revolution ETF (ARKG)	Purchase	12/29/2020	No	\$15,001 - \$50,000
71	QUALCOMM, Inc. (QCOM)	Sale	12/30/2020	No	\$15,001 - \$50,000
72	Teladoc Health, Inc. (TDOC)	Sale	12/30/2020	No	\$15,001 - \$50,000

#	DESCRIPTION	TYPE	DATE	NOTIFICATION RECEIVED OVER 30 DAYS AGO	AMOUNT
73	Apple, Inc. (AAPL)	Purchase	12/31/2020	No	\$50,001 - \$100,000
74	ARK Genomic Revolution ETF (ARKG)	Purchase	12/31/2020	No	\$50,001 - \$100,000
75	ARK Innovation ETF (ARKK)	Purchase	12/31/2020	No	\$50,001 - \$100,000
76	Zoom Video Communications, Inc. (ZM)	Purchase	12/31/2020	No	\$15,001 - \$50,000
77	Apple, Inc. (AAPL)	Purchase	01/04/2021	No	\$100,001 - \$250,000
78	Advanced Micro Devices, Inc. (AMD)	Sale	01/04/2021	No	\$50,001 - \$100,000
79	Daqo New Energy Corp (DQ)	Sale	01/04/2021	No	\$15,001 - \$50,000
80	Cloudfare Inc. (NET)	Purchase	01/04/2021	No	\$15,001 - \$50,000
81	NVIDIA Corp. (NVDA)	Sale	01/04/2021	No	\$100,001 - \$250,000
82	Square, Inc. (SQ)	Sale	01/04/2021	No	\$50,001 - \$100,000
83	Apple, Inc. (AAPL)	Purchase	01/05/2021	No	\$15,001 - \$50,000
84	ARK Genomic Revolution ETF (ARKG)	Sale	01/05/2021	No	\$50,001 - \$100,000
85	Apple, Inc. (AAPL)	Purchase	12/21/2020	No	\$15,001 - \$50,000
86	Advanced Micro Devices, Inc. (AMD)	Purchase	12/22/2020	No	\$50,001 - \$100,000
87	Cloudfare Inc. (NET)	Purchase	12/28/2020	No	\$50,001 - \$100,000

Endnotes

Summary of Contents

The 278-T discloses purchases, sales, or exchanges of securities in excess of \$1,000 made on behalf of the filer, the filer's spouse, or dependent child. Transactions are required to be disclosed within 30 days of receiving notification of a transaction but not later than 45 days after the transaction. Filers need not disclose (1) mutual funds and other excepted investment funds; (2) certificates of deposit, savings or checking accounts, and money market accounts; (3) U.S. Treasury bills, notes, and bonds; (4) Thrift Savings Plan accounts; (5) real property; and (6) transactions that are solely by and between the filer, the filer's spouse, and the filer's dependent children.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. Failure to provide the requested information may result in separation, disciplinary action, or civil action. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (4) to the National Archives and Records Administration or the General Services Administration in records management inspections; (5) to the Office of Management and Budget during legislative coordination on private relief legislation; (6) when the disclosing agency determines that the records are arguably relevant to a proceeding before a court, grand jury, or administrative or adjudicative body, or in a proceeding before an administrative or adjudicative body when the adjudicator determines the records to be relevant to the proceeding; (7) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another, a public financial disclosure report and any accompanying documents, including statements notifying an employee's supervising ethics office of the commencement of negotiations for future employment or compensation or of an agreement for future employment or compensation; (8) to a Member of Congress or a congressional office in response to an inquiry made on behalf of and at the request of an individual who is the subject of the record; (9) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to this system of records; (10) on the OGE Website and to any person, department or agency, any written ethics agreement, including certifications of ethics agreement compliance, filed with OGE by an individual nominated by the President to a position requiring Senate confirmation; (11) on the OGE Website and to any person, department or agency, any certificate of divestiture issued by OGE; (12) on the OGE Website and to any person, department or agency, any waiver of the restrictions contained in Executive Order 13770 or any superseding executive order; (13) to appropriate agencies, entities and persons when there has been a suspected or confirmed breach of the system of records, the agency maintaining the records has determined that there is a risk of harm to individuals, the agency, the Federal Government, or national security, and the disclosure is reasonably necessary to assist in connection with the agency's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm; and (14) to another Federal agency or Federal entity, when the agency maintaining the record determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in responding to a suspected or confirmed breach or in preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity, the Federal Government, or national security. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.
