### Lexing, Parsing

#### Laure Gonnord

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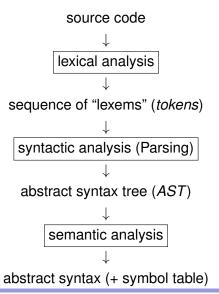
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### **Analysis Phase**



## Goal of this chapter

- Understand the syntaxic structure of a language;
- Separate the different steps of syntax analysis;
- Be able to write a syntax analysis tool for a simple language;
- Remember : syntax≠semantics.

- Text=a sequence of symbols (letters, spaces, punctuation);
- Group symbols into tokens :
  - Words : groups of letters ;
  - Punctuation;
  - Spaces.

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  - Definition of each word.
    - ex: a dog is a hairy mammal, that barks and...
  - Role in the phrase : verb, subject, ...

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#### How do **you** read text?

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Syntax analysis=Lexical analysis+Parsing

- Lexical Analysis
  - Principles
  - Tools
- Syntactic Analysis
- 3 Abstract Syntax Tree and evaluators

### What for?

int 
$$y = 12 + 4*x;$$

 $\Rightarrow$  [TINT, VAR("y"), EQ, INT(12), PLUS, INT(4), FOIS, VAR("x"), PVIRG]

- Group characters into a list of tokens, e.g.:
  - The word "int" stands for type integer;
  - A sequence of letters stands for a variable;
  - A sequence of digits stands for an integer;
  - ...

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# **Principle**

- Take a lexical description :  $E = (\underbrace{E_1}_{\text{Tokens class}} | \dots | E_n)^*$
- Construct an automaton.

Example - lexical description ("lex file")

$$E = ((0|1)^{+}|(0|1)^{+}.(0|1)^{+}|'+')^{*}$$

#### What's behind

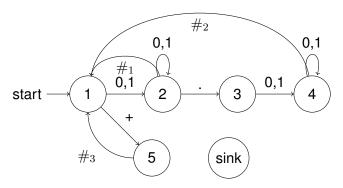
#### Regular languages, regular automata:

- Thompson construction ➤ non-det automaton
- Determinization, completion
- Minimisation

### Construction/use of automaton

Let 
$$E = (\underbrace{(0|1)^+}_{\#_1} | \underbrace{(0|1)^+.(0|1)^+}_{\#_2} | \underbrace{'+'}_{\#_3})^*$$
 and  $\Sigma = \{0, 1, +..., \#_1, \#_2, \#_3\}.$ 

We define  $E_{\#} = ((0|1)^{+} \#_{1} |(0|1)^{+} .(0.1)^{+} \#_{2}|' + ' \#_{3}).$ 



#### Source C. Alias drawn by former M1 students

#### Remarks

- Notion of ambiguity.
- Compaction of transition table.

- Lexical Analysis
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### Tools: lexical analyzer constructors

- Lexical analyzer constructor: builds an automaton from a regular language definition;
- Ex: Lex (C), JFlex (Java), OCamllex, ANTLR (multi), ...
- input: a set of regular expressions with actions (Toto.g4);
- output: a file(s) (Toto.java) that contains the corresponding automaton.

# Analyzing text with the compiled lexer

- The input of the lexer is a text file;
- Execution :
  - Checks that the input is accepted by the compiled automaton;
  - Executes some actions during the "automaton traversal".

## Lexing tool for Java: ANTLR

- The official webpage : www.antlr.org (BSD license);
- ANTLR is both a lexer and a parser;
- ANTLR is multi-language (not only Java).

# ANTLR lexer format and compilation

```
.q4
grammar XX;
Oheader {
// Some init code ...
Qmembers {
// Some global variables
// More optional blocks are available
--->> lex rules
```

#### Compilation:

```
antlr4 Toto.g4
                     // produces several Java files
                     // compiles into xx.class files
javac *.java
                     // Run analyzer with starting rule r
grun Toto r
```

## Lexing with ANTLR: example

#### Lexing rules:

- Must start with an upper-case letter;
- Follow the usual extended regular-expressions syntax (same as egrep, sed, ...).

#### A simple example

```
grammar Hello;

// This rule is actually a parsing rule
r : HELLO ID; // match "hello" followed by an identifier

HELLO : 'hello'; // beware the single quotes
ID : [a-z]+; // match lower-case identifiers
WS : [ \t\r\n]+ -> skip; // skip spaces, tabs, newlines
```

### Lexing - more than regular languages

### Counting in ANTLR - CountLines.g4

```
grammar CountLines;
// Members can be accessed in any rule
Omembers {int nbLines=0:}
r : (NEWLINE)*;
NEWLINE : [\r\n] {
  nbLines++;
  System.out.println("Current lines:"+nbLines);};
WS : [ \t] + -> skip ;
```

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# What's Parsing?

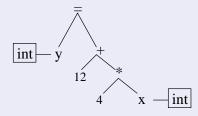
Relate tokens by structuring them.

#### Flat tokens

[TINT, VAR("y"), EQ, INT(12), PLUS, INT(4), FOIS, VAR("x"), PVIRG]

 $\Rightarrow$  Parsing  $\Rightarrow$ 

#### $\textbf{Accept} \rightarrow \textbf{Structured tokens}$



#### In this course

Only write acceptors or a little bit more.

#### What's behind?

From a Context-free Grammar, produce a Stack Automaton (already seen in L3 course?)

# Recalling grammar definitions

#### Grammar

A grammar is composed of:

- ullet A finite set N of non terminal symbols
- A finite set  $\Sigma$  of terminal symbols (disjoint from N)
- A finite set of production rules, each rule of the form  $w \to w'$  where w is a word on  $\Sigma \cup N$  with at least one letter of N. w' is a word on  $\Sigma \cup N$ .
- A start symbol  $S \in N$ .

# Example

#### **Example:**

$$S \rightarrow aSb$$

$$S \to \varepsilon$$

is a grammar with  $N = \dots$  and  $\dots$ 

# **Associated Language**

#### Derivation

G a grammar defines the relation :

$$x\Rightarrow_G y \text{ iff } \exists u,v,p,qx=upv \text{ and } y=uqv \text{ and } (p o q) \in P$$

▶ A grammar describes a **language** (the set of words on  $\Sigma$  that can be derived from the start symbol).

# Example - associated language

$$S \to aSb$$

$$S \to \varepsilon$$

The grammar defines the language  $\{a^nb^n, n \in \mathbf{N}\}$ 

$$S \rightarrow aBSc$$

$$S \to abc$$

$$Ba \rightarrow aB$$

$$Bb \rightarrow bb$$

The grammar defines the language  $\{a^nb^nc^n, n \in \mathbf{N}\}$ 

# Context-free grammars

#### Context-free grammar

A CF-grammar is a grammar where all production rules are of the form  $N \to (\Sigma \cup N)^*$ .

#### Example:

$$S \to S + S|S * S|a$$

The grammar defines a language of arithmetical expressions.

Notion of derivation tree.

Draw a derivation tree of a\*a+a, of S+S!

- Lexical Analysis
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# Recognizing grammars

Grammar type	Rules	Decidable
Regular grammar	$X \to aY, X \to b$	YES
Context-free grammar	$X \to u$	YES
Context-sensitive grammar	$uXv \to uwv$	YES
General grammar	$u \rightarrow v$	NO

### Parser construction

There exists algorithms to recognize class of grammars:

- Predictive (descending) analysis (LL)
- Ascending analysis (LR)
- See the Dragon book.

### Tools: parser generators

- Parser generator : builds a stack automaton from a grammar definition;
- Ex : yacc(C), javacup (Java), OCamlyacc, ANTLR, ...
- input: a set of grammar rules with actions (Toto.g4);
- output: a file(s) (Toto.java) that contains the corresponding stack automaton.

### Lexing vs Parsing

- Lexing supports (≃ regular) languages;
- We want more (general) languages ⇒ rely on context-free grammars;
- To that intent, we need a way :
  - To declare terminal symbols (tokens);
  - To write grammars.
- Use both Lexing rules and Parsing rules.

### From a grammar to a parser

#### The grammar must be context-free:

```
S-> aSb
```

S-> eps

- The grammar rules are specified as Parsing rules;
- a and b are terminal tokens, produced by Lexing rules.

# Parsing with ANTLR: example 1/2

```
AnBnLexer.g4

lexer grammar AnBnLexer;

// Lexing rules: recognize tokens
A: 'a';
B: 'b';

WS: [ \t\ r\n ]+ -> skip; // skip spaces, tabs, newlines
```

# Parsing with ANTLR: example 2/2

```
AnBnParser.g4

parser grammar AnBnParser;
options {tokenVocab=AnBnLexer;} // extern tokens definition

// Parsing rules: structure tokens together
prog : s EOF; // EOF: predefined end-of-file token
s : A s B
| ; // nothing for empty alternative
```

### **ANTLR** expressivity

LL(\*)

At parse-time, decisions gracefully throttle up from conventional fixed  $k \geqslant 1$  lookahead to arbitrary lookahead.

Further reading (PLDI'11 paper, T. Parr, K. Fisher)

http://www.antlr.org/papers/LL-star-PLDI11.pdf

### Left recursion

#### ANTLR permits left recursion:

$$X_1 \to \cdots \to X_n$$

But not indirect left recursion.

There exist algorithms to eliminate indirect recursions.

### Lists

```
ANTLR permits lists:

prog: statement+;

Read the documentation!

https:
//github.com/antlr/antlr4/blob/master/doc/index.md
```

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### Semantic actions

**Semantic actions**: code executed each time a grammar rule is matched:

Right rule: Python/Java/C++, depending on the back-end

```
antlr4 -Dlanguage=Python2
```

▶ We can do more than acceptors.

#### Semantic actions - attributes

An attribute is a set attached to non-terminals/terminals of the grammar

They are usually of two types:

- ullet synthetized : sons o father.
- inherited: the converse.

# Computing attributes with semantic actions

```
ArithExprParser.g4 - Warning this is java
parser grammar ArithExprParser;
options {tokenVocab=ArithExprLexer;}
prog : expr EOF { System.out.println("Result: "+$expr.val); } ;
expr returns [ int val ] : // expr has an integer attribute
  LPAR e=expr RPAR { $val=$e.val; }
  INT { $val=$INT.int; } // implicit attribute for INT
  e1=expr PLUS e2=expr // name sub-parts
  { $val=$e1.val+$e2.val; } // access attributes
  e1=expr MINUS e2=expr { $val=$e1.val-$e2.val; }
```

- Lexical Analysis
- Syntactic Analysis
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#### So Far ...

#### ANTLR has been used to:

- Produce acceptors for context-free languages;
- Do a bit of computation on-the-fly.
- $\Rightarrow$  In a classic compiler, parsing produces an **Abstract Syntax Tree**.
- Next course!