Increased sexual dimorphism does not evolve in a fossil stickleback following ecological release from fish piscivores

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Abstract

Theory suggests that populations should expand their habitat- and resource-use niches when they are freed from interactions with competitors and predators—so-called ecological release. One way that this ecological release can manifest is through increased differences between males and females of the same species as they diverge into different niches. If divergent niche use drives corresponding divergent adaptation in in the traits used to exploit the divergent niches, then theory predicts an increase in sexual dimorphism for those traits, sometimes called character displacement between the sexes. Using a dataset of 16 traits collected from 18 temporal samples spread across 16,500 years of evolution of the fossil stickleback fish, Gasterosteus doryssus, we tested the prediction that release from predation inferred in this system would result in character displacement between the sexes. We used data from populations of extant stickleback (Gasterosteus aculeatus) to build an imputation model to classify individual sex in fossil specimens. Then, we quantified the extent of sexual dimorphism at each temporal sample, and tracked how it changed through time. NEED TO FINISH THIS

Keywords: character displacement, character release, evolutionary time series, $Gasterosteus\ doryssus$, missing data imputation

1 Introduction

Ecological release theory suggests that a population's niche should change when important species interactions like resource competition or predation are relaxed or removed (reviewed in Herrmann, Stroud, and Losos (2021)). Removal is posited to create ecological opportunity—i.e., aspects of the niche become newly accessible, and the focal population shifts and/or expands its resource use new resources (Parent and Crespi (2009); Herrmann, Stroud, and Losos (2021)). Ecological release may then be followed by adaptive morphological evolution as traits change to reflect the new niche (Parent and Crespi (2009); Herrmann, Stroud, and Losos (2021)).

For example, a population undergoing ecological release can experience disruptive selection on males and females stemming from intraspecific competition over newly accessible resources (Bolnick and Doebeli (2003); Bolnick and Lau (2008); Cooper, Gilman, and Boughman (2011)). This is predicted to result in intersexual divergence in habitat use and associated phenotypes (Schoener (1968); Shine (1989); Bolnick and Doebeli (2003); Butler and Losos (2007); Bolnick and Lau (2008); Cooper, Gilman, and Boughman (2011); but see Stuart et al. (2021); Blain (2022)). Such competition-driven "intraspecific character displacement" between the sexes is therefore one explanation for the evolution of sexual dimorphism (Pfennig and Pfennig (2012); De Lisle and Rowe (2015); De Lisle and Rowe (2017), De Lisle, Paiva, and Rowe (2018)).

Much of the theory and empirical data for character displacement between the sexes is based on release from resource competition specifically (e.g., Bolnick and Doebeli (2003); Cooper, Gilman, and Boughman (2011); Pfennig and Pfennig (2012); De Lisle and Rowe (2015); De Lisle, Paiva, and Rowe (2018)). However, release from predation might also drive the evolution of increased sexual dimorphism because an absence of predators should generate ecological opportunity (Reimchen and Nosil (2004); Parent and Crespi (2009); Herrmann, Stroud, and Losos (2021)).

Here, we tested the prediction that a release from predation results in the evolution of increased sexual dimorphism using a well-preserved, finely-resolved sequence of a fossil three-spine stickleback fish (Gasterosteus doryssus). The sequence in this depositional environment is comprised of two lineages (Bell (2009); Cerasoni, Bell, and Stuart (2024)). Lineage I was a low-armor form with zero to one dorsal spines and highly reduced pelvises, on average. Lineage I lasted for at least 93,000 years before it was replaced by a second lineage, suddenly, likely on the order of years (Bell, Baumgartner, and Oslon (1985); Stuart et al. unpublished data). Lineage II appeared in the depositional environment fully armored, with complete pelvic girdles, two pelvic spines, and three dorsal spines (Bell, Travis, and Blouw (2006); Stuart, Travis, and Bell (2020)). It then immediately began evolving adaptive reduction in its armor traits (Hunt, Bell, and Travis (2008); Stuart, Travis, and Bell (2020)) until it

reached the same low-armor state previously held by lineage I.

The observation of 93,000 years of low-armor stasis in lineage I (Bell, Baumgartner, and Oslon (1985)) and the observation of rapid evolution of armor loss by lineage II, both suggest that this depositional environment lacked piscivorous fish like trout and other salmonids known to prey on modern threespine stickleback. Armor presence in extant threespine stickleback (Gasterosteus aculeatus) correlates strongly with the presence of vertebrate piscivores; populations with less predation pressure typically have less armor (Reimchen (1994), Reimchen and Nosil (2004); Bell et al. (1993); Roesti et al. (2023)). In our paleolake basin, only three fossil trout have been found in the same section of rock that has revealed >20,000 threespine stickleback fossils as well as occasional killifish (Fundulus nevadensis) (Bell (2009); Cerasoni, Bell, and Stuart (2024)). Thus, we reconstruct an evolutionar history in which it is likely that lineage II migrated from a nearby paleolake basin that had predators since it was armored when it arrived (Bell (2009); Cerasoni, Bell, and Stuart (2024)). Lineage II then experienced release from predators in the focal paleolake basin, generating the initial conditions of evolutionary models predicting the evolution of increased sexual dimorphism following ecological release. We test this prediction here.

A major challenge in the study of fossilized sexual dimorphism is assigning sex to individual specimens in the first place (Hone and Mallon (2017); Mallon (2017); Saitta et al. (2020)). This typically cannot be done directly, except for taxa whose sexes are distinguished by the presence or absence of sex-specific characters that preserve well. Instead, paleobiologists often resort to statistical detection of sex and sexual dimorphism, including tests for normality and bimodality in trait distributions (e.g., Mallon (2017)), mixture modeling (e.g., Mallon (2017)), divergence in growth curves (e.g., Saitta et al. (2020)), and emphasis on effect size statistics rather than significance testing (e.g., Saitta et al. (2020)). However, dimorphic signal can be masked by noise introduced by factors both biological and artifactual, including extended growth during ontogeny, age-based bias in survivorship, small sample sizes, time averaging, and taphonomic bias (Godfrey, Lyon, and Sutherland (1993); Koscinski and Pietraszewski (2004); Hone and Mallon (2017); reviewed in Mallon (2017); reviewed in Saitta et al. (2020)). Thus, the best approach to sex classification is likely one of total evidence (Saitta et al. (2020)), including comparison to closely-related, extant species of known sex (e.g., Hone and Mallon (2017); Saitta et al. (2020)).

For our study, we inferred sex in G. doryssus fossils by comparing multivariate morphological trait data from lineage II samples to the same multivariate trait set collected from multiple populations in the closely-related, extant Threespine Stickleback species complex (Gasterosteus aculeatus). Crucially, we determined G. aculeatus sex directly via dissection and/or PCR genotyping. This enabled us to use Multiple Imputation by Chained Equations (MICE; Buuren and Groothuis-Oudshoorn (2011)) to build a predictive multiple imputation algorithm (Little and Rubin (2002)) based on the multivariate morphology of G. aculeatus

individuals of known sex. We applied this algorithm to the fossil data to impute individual sex based on morphology, treating fossil sex as a missing variable. With sex assigned to fossil specimens, we fit a modified Ornstein-Uhlenbeck (OU) (Uhlenbeck and Ornstein (1930)) model using a Bayesian framework that accounts for uncertainty in sex classification to test for evolution of sexual dimorphism in each trait over ~16,000 years of lineage II.

2 Data

2.1 Fossil Specimen Data

We used Gasterosteus doryssus data that were previously reported by Stuart, Travis, and Bell (2020), Voje, Bell, and Stuart (2022), and Siddiqui et al. (2024). Briefly, the data were collected from fossil Series K from Quarry D (Cerasoni, Bell, and Stuart (2024)), dug from an open pit diatomite mine at 9.526° N, 119.094° W, near Hazen, Nevada, USA. Series K consisted of 18 samples taken at ~1000-year intervals, and mean sample times span ~16,363 years. Fish from series K were measured for 16 ecomorphological traits related to armor, swimming, and feeding (Table 1). Series K started at the previously documented horizon when lineage I was replaced by lineage II. The tempo and mode of lineage II armor reduction during this sequence suggests adaptive evolution by natural selection (Hunt, Bell, and Travis (2008)), and we focus on the multivariate evolution of sexual dimorphism by this second lineage.

The lineage II fossil data consist of 814 specimens of unknown sex sampled across the 18 K series samples. Figure 1 shows the sample size for each of the 18 samples. There are at least 22 specimens in each sample with a high of 67 specimens in sample 7.

	Trait	
Trait Name	Code	Trait Description
Standard Length	stl	Distance from anterior tip of premaxilla to posterior end of last vertebra (i.e., the llhypural plate)
Dorsal Spine	mds	Number of dorsal spines
Count		
Dorsal Fin Ray	mdf	Number of bones in the dorsal fin posterior to the third
Count		dorsal spine (i.e., soft dorsal fin rays)
Anal Fin Ray	maf	Number of bones in the anal fin posterior to the anal spine
Count		(i.e., soft anal fin rays)
Abdominal	mav	Number of vertebrae anterior to the first vertebra contacting
Vertebra Count		an anal fin pterygiophore (Aguirre et al. 2014)

	Trait	
Trait Name	Code	Trait Description
Caudal Vertebra	mcv	Vertebrae including and posterior to the first that contacts
Count		an anal fin pterygiophore (Aguirre et al. 2014)
Pterygiophore	mpt	Number of pterygiophores anterior to but excluding the
Count		pterygiophore under the third dorsal spine
Pelvic Spine	lps.sc	Length from the base of one pelvic spine at its pelvic girdle
Length		articulation to its distal tip
Ectocoracoid	ect.sc	Length from the anterior to posterior tips of the shoulder
Length		girdle base
Pelvic Girdle	tpg.sc	Length from the anterior to posterior tips along midline. If
Length		vestigial, the sum of longest axis along vestiges
Cleithrum	cle.sc	Length from dorsal tip to ventral tip on the anterior margin
Length		of the shoulder girdle
Premaxilla	pmx.sc	Length from the anterior tip of the premaxilla to the distal
Length		tip of its ascending process
Dorsal Spine	Ds#.sc	Length from the dorsal spine base at the pterygiophore to its
Length	#=1/2/3	distal tip
Pterygiophore	lpt.sc	Distance from anterior to posterior edges of the
Length		pterygiophore immediately before ds3 (when present)

This table caption should be part of the table. Top row. at the very least, it should be the width of the table. -YS Table: Traits and trait descriptions. 'sc' denotes size correction of trait against standard length. Names of bones follow Bowne (1994) unless otherwise noted.

2.2 Extant Specimen data

To span the gamut of stickleback diversity for our predictive imputation model, we sampled modern stickleback from lakes containing generalist stickleback populations (Hendry et al. (2009); Bolnick (2011)) and from lakes containing benthic-limnetic species pairs (Baumgartner, Bell, and Weinberg (1988); Schluter and McPhail (1992)). The generalist specimens used here were collected by YES in 2013 and were previously described in Stuart et al. (2017) (Table S1). These samples were fixed in formalin, then stained for bone with Alizarin Red in 2013. Benthic and limnetic specimens were kindly loaned by D. Schluter and S. Blain at the University of British Columbia. The Schluter lab collected benthic and limnetic individuals from Enos Lake in 1988 and from Emily Lake, Little Quarry Lake, Paxton Lake, and Priest Lake in 2018 (Table S1). The Enos specimens had been fixed whole in formalin and stored in 40% isopropanol. The specimens from the other lakes were initially preserved

whole in 95% ethanol in the field before being gradually transferred to water then formalin in the lab and ultimately stored in 40% isopropanol. In 2019, we stained these specimens for bone using Alizarin Red.

We next replicated fossil data collection (Table 1) on these extant specimens. Standard length as well as pelvic-spine length on each side were measured with calipers. We used a dissection microscope to count dorsal spines, pelvic spines, dorsal-fin rays, and anal-fin rays. Right and left-side pelvic girdle lengths and ectocoracoid lengths were measured from ventral photographs taken using a Canon EOS Rebel T7 with a Tamron 16-300 mm MACRO lens mounted on a leveled Kaiser RS1 copy stand. Specimens were held in place for ventral photographs using a small tabletop vise with an attached scale bar. Lateral X-rays were used to measure dorsal spine length, number of pterygiophores anterior to the pterygiophore holding the third spine, length of the pterygiophore just anterior to the third spine, cleithrum length, and pre-maxilla ascending branch length. We also counted vertebrae from the Xrays: abdominal vertebrae were counted anterior to the first vertebra with a haemal spine contacting an anal fin pterygiophore. Caudal vertebrae were posterior, including the first vertebra with the haemal spine contacting the anal fin pterygiophore (following Aguirre, Walker, and Gideon (2014)). X-rays were taken with an AXR Hot Shot X-ray Machine (Associated X-ray Corporation) at the Field Museum of Natural History. Specimens were exposed at 35kV and 4mA. Small fish were exposed for 7s, medium fish for 8s, and large fish for 10s. We developed the film and scanned individual images of each fish using the B&W Negatives setting on an Epson Perfection 4990 Photo flatbed at 2400 dpi. Measurements from photographs and X-rays were taken with FIJI (Schindelin et al. (2012)) and its plugin Object J (https://sils.fnwi.uva.nl/bcb/objectj/). We dissected individuals from the generalist populations (Table S1) to determine sex from the gonads. Individuals from the speciespair lakes (TableS1) were previously sexed by the Schluter lab, using either dissection or a genotyping protocol (Whom, personal communication).

2.3 Outlier analysis and size correction.

To check for outliers, we calculated within-group means and standard deviations for each trait separately for K series fossil specimens (pooled across samples) and for extant specimens (within generalist, benthic, or limnetic categories). We noted trait values greater than 3.0 standard deviations from the mean as potential outliers. We deemed 3.0 s.d. to be a reasonable threshold for detecting errors without excluding biologically relevant values. We checked whether these potential outliers were a result of data entry and collection error and corrected them if they were. We turned the remaining outlier trait values to NAs. We size-corrected continuous traits only, as they varied with size, unlike count traits that are fixed during early development. We regressed each trait on standard length using a mixed-

model regression, pooling all specimens, following Stuart et al. (2017). We appended the size corrected data to the uncorrected trait data frame and used all of our data (raw and size-corrected) to build the imputation model described next.

2.4 Missing data imputation, including fossil sex

Fossil and extant data were combined to create a single data set which contained small amounts on missingness on the observed traits with the exception of sex, which was completely missing for fossil specimens as this was unobservable. The sex of the fossil data was considered to be missing data and was imputed in the same step of the rest of the missing data using multiple imputation (Little and Rubin (2002)). Imputation was performed using the multiple imputation by chained equations (MICE) algorithm (Buuren and Groothuis-Oudshoorn (2011)) via predictive mean matching (PMM) (Andridge and Little (2010)) and implemented using the R programming language (R Core Team (2022)). Traditionally, the choice for the number of completed data sets is a relatively small number such as M=5 or M=10. However, Zhou and Reiter (2010) recommend a larger number of imputed data sets if users intend on performing Bayesian analysis, as was performed here, after imputation. Therefore, we imputed M=100 complete data sets. Extant fish records were then dropped from each of the imputed data sets, and only the fossil data was used in downstream analyses of fossil sexual dimorphism.

After performing the Bayesian analysis on each of the M=100 imputed data sets, draws from the posterior distribution based on each of the imputed data sets were pooled together to combine posterior draws across imputations as recommended in Zhou and Reiter (2010) rather than using Rubin's traditional combining rules.

Greg, PRESUMABLY this also imputes missing data in the other traits? We should probably say that explicitly. -YS Updated - GReg Greg, AKHIL'S METHODS FOR VALIDATION OF THE IMPUTATION MODEL GOES HERE Are we sure we want that here? I was going to add it in later

2.5 Modeling fossil stickleback trait means through time for males and females

The goal of our analysis was to model fossil trait means through time, by sex, while incorporating uncertainty across imputations in the classification of sex. We therefore implemented a Bayesian hierarchical model structure (described below) to account for classification uncertainty and the fact that the rate of change of any one trait mean over time may be a non-constant value.

To estimate fossil sample means through time, for a given imputed dataset, we let W_{ij} be the imputed sex and \mathbf{Y}_{ij} be the $K \times 1$ vector of phenotypes for stickleback fossil j at time t_i where i = 1, ..., T and $j = 1, ..., n_t$. In addition, we denote $Y_{K,ij}$, the last variable in \mathbf{Y}_{ij} , to be the standard length of each fish individual, a measure of body size.

$$Y_{K,ij} \stackrel{iid}{\sim} \begin{cases} \mathcal{N}(\mu_{K,ft_i}, \quad \sigma_K^2), & W_{ij} = \text{Female} \\ \mathcal{N}(\mu_{K,mt_i}, \quad \sigma_K^2), & W_{ij} = \text{Male} \end{cases}$$
 (1)

Continuous traits will likely have some correlation with standard length (i.e., allometry) (Huxley (1932))(Voje, Bell, and Stuart (2022))(Voje et al. (2014)). We account for this by adding an additional parameter, γ_k . In addition, the continuous traits ds1, ds2, ds3, lps, tpg are notable because they tend to be lost during the evolution of armor reduction in this system (Bell, Baumgartner, and Oslon (1985), Stuart, Travis, and Bell (2020)). This generates meaningful zeros for trait lengths in our empirical dataset that violate model assumptions. Therefore, for these random variables, we added the binary variable Z_{ij} , where $Z_{k,ij} = 1$ means that the trait is lost, and $Z_{k,ij} = 0$ means the trait is observed. For all other continuous traits, we assume $Z_{k,ij} = 0$

If $Y_{k,ij}$ is a continuous trait, then, potentially conditioning on $Z_{k,ij} = 0$,

$$Y_{k,ij} \stackrel{iid}{\sim} \begin{cases} \mathcal{N}(\mu_{k,ft_i} + \gamma_k Y_{K,ij}, \sigma_k^2), & W_{ij} = \text{Female} \\ \mathcal{N}(\mu_{k,mt_i} + \gamma_k Y_{K,ij}, \sigma_k^2), & W_{ij} = \text{Male} \end{cases}$$
(2)

In other words, (2) only considers the subset of fossils where the given trait is present when performing a data analysis. For the traits with potential loss, we model the $Z_{k,ij}$ via a $Bernoulli(p_{k,ij})$ distribution where

$$\operatorname{logit}(p_{k,ij}) = \begin{cases} \alpha_{k,ft_i} + \beta_k Y_{K,ij} & W_{ij} = \operatorname{Female} \\ \alpha_{k,mt_i} + \beta_k Y_{K,ij}, & W_{ij} = \operatorname{Male} \end{cases},$$
 (3)

and logit is a function to convert the probability to the log-odds, to allow for easier modelling of linear techniques while still ensuring any probability predictions are guaranteed to be between 0 and 1. Modeling traits this way allows us to first estimate the probability of trait loss for an individual at a given time, and ask if the sexes differ in their tendency toward loss through time. Then, for individuals that have not lost the trait, we can estimate trait values and calculate sexual dimorphism through time.

If $Y_{k,ij}$ is a discrete trait, the conventional modelling method is to fit a Poisson distribution. However, the empirical fossil data violate the assumption that the Poisson variance is equal to its mean, so we fitted discrete traits to a generalized Poisson model ((GeneralizedPoisson)) son?)). Specifically, if $X \sim GP(\lambda, \alpha)$, then

$$P(X=x) = \begin{cases} \frac{(1-\alpha)\lambda[(1-\alpha)\lambda + \alpha x]^{x-1} \exp\{-((1-\alpha)\lambda + \alpha x)\}}{x!} & (1-\alpha)\lambda + \alpha x \ge 0\\ 0 & (1-\alpha)\lambda + \alpha x < 0 \end{cases}, \tag{4}$$

where GP denotes a generalized Poisson distribution with $E(X) = \lambda$ and $Var(X) = \frac{\lambda}{(1-\alpha)^2}$.

In addition, we modeled two discrete traits, abdominal vertebrae number (mav) and caudal vertebrae number (mcv) to vary with standard length. This is because these are vertebral counts that made along the anterior-posterior access of the fish; these vertebrae contribute to the length of the fish directly and may evolve in a correlated fashion. If $Y_{k,ij}$ is one of the above traits, then

$$Y_{k,ij} \sim \begin{cases} GP(\lambda_{k,ft_i} = \exp\{\mu_{k,ft_i} + \gamma_k Y_{K,ij}\}, \alpha_k), & W_{ij} = \text{Female} \\ GP(\lambda_{k,mt_i} = \exp\{\mu_{k,mt_i} + \gamma_k Y_{K,ij}\}, \alpha_k), & W_{ij} = \text{Male} \end{cases}$$
 (5)

For the other discrete counting traits, we set $\gamma_k = 0$ because we expect that trait counts are set during early ontogeny and should not change with size in adult fish. Thus,

$$Y_{k,ij} \sim \begin{cases} GP(\lambda_{k,ft_i} = \exp\{\mu_{k,ft_i}\}, \alpha_k), & W_{ij} = \text{Female} \\ GP(\lambda_{k,mt_i} = \exp\{\mu_{k,mt_i}\}, \alpha_k), & W_{ij} = \text{Male} \end{cases}$$
 (6)

We point out that counting trait means are represented by $\exp\{\mu_{k,ft_i}\}$ and $\exp\{\mu_{k,mt_i}\}$. This distinction helps in our modelling procedure because μ_{k,ft_i} and μ_{k,mt_i} are represented on the real number line. This allows for easier modelling by linear techniques, and that any mean for the discrete traits is strictly positive. In addition, to ensure proper sampling from our posterior distribution of interest, we transform $\phi_k = \log\left(\frac{\alpha_k - \max_{i,j}(-\lambda_{k,ij}/y_{k,ij})}{1-\alpha_k}\right)$ where

$$\lambda_{k,ij} = \begin{cases} \exp(\mu_{k,ft_i} + \gamma_k Y_{K,ij}) & W_{ij} = \text{Female} \\ \exp(\mu_{k,mt_i} + \gamma_k Y_{K,ij}) & W_{ij} = \text{Male} \end{cases}.$$

To account for the possibility that there is a time-dependent (i.e., evolutionary trend) trend in μ_{k,ft_i} and μ_{k,mt_i} , we further set

$$\mu_{k,gt_i} = \beta_{0,kg} + \beta_{1,kg}t_i + u_{k,gt_i},\tag{7}$$

for $g \in \{f, m\}$, where $\beta_{0,kg}$ and $\beta_{1,kg}$ are regression parameters of phenotype Y_k for each sex, and u_{k,gt_i} is the corresponding residual. For the continuous phenotypes with potential lost trait values, we model $\alpha k, gt_i$ in (3) by

$$\alpha_{k,gt_i} = \beta_{0,p,kg} + \beta_{1,p,kg}t_i + u_{k,p,gt_i}. \tag{8}$$

For convenience, we drop the subscript p for all model descriptions to come, and assume same modelling techniques apply. To account for potential correlations between the residuals for a given trait k and sex g, we fit an Ornstein-Uhlenback (OU) process (Uhlenbeck and Ornstein (1930)). More specifically, we defined $du_{k,gt} = u_{k,g(t+dt)} - u_{k,gt}$, the change in u_k, gt for a given trait k and sex g over a miniscule time period dt. The OU process is defined as

$$du_{k,qt} = -\kappa_k u_{k,qt} dt + \tau_k dW_t, \tag{9}$$

where κ_k is a parameter associated with the correlation between $u_{k,gt}$ and $u_{k,g(t+dt)}$, τ_k is the standard deviation of the OU process, and W_t is a standard Brownian motion. As shown in Uhlenbeck and Ornstein (1930), the closed form solution for the stochastic differential equation in (9) is

$$u_{k,gt_i} \stackrel{iid}{\sim} \mathcal{N}\left(u_{k,gt_{i-1}} \exp\{-\kappa_k(t_i - t_{i-1})\}, \frac{\tau_k^2(1 - \exp\{-2\kappa_k(t_i - t_{i-1}))\}}{2\kappa_k}\right)$$
 (10)

for $i=2,\dots,T$. In a traditional OU process, the initial value u_{k,gt_1} is assumed to be a (potentially unknown) constant. This unknown constant can therefore be considered a latent variable, further justifying our Bayesian approach, which better incorporates prior information and uncertainty from missing data imputation in the estimate of latent variables.

We chose the following priors, designing them to be weakly informative such that the posterior distributions could be influenced by the data, if warranted. For $k = 1, \dots, K$,

$$u_{k,gt_1} \stackrel{iid}{\sim} \mathcal{N}(0, \tau_{0,k})$$

$$\sigma_k \stackrel{iid}{\sim} \mathcal{N}(0, 10)I_{\{\sigma > 0\}}$$

$$\tau_k \stackrel{iid}{\sim} \mathcal{N}(0, 10)I_{\{\tau > 0\}}$$

$$\tau_{0,k} \stackrel{iid}{\sim} \mathcal{N}(0, 20)I_{\{\tau > 0\}}$$

$$\kappa_k \stackrel{iid}{\sim} \mathcal{N}(0, 1)I_{\{\kappa_g > 0\}}$$

$$\gamma_k \stackrel{iid}{\sim} \mathcal{N}(0, 5)$$

$$\beta_{0,kg} \stackrel{iid}{\sim} \mathcal{N}(0, 100)$$

$$\beta_{1,kg} \stackrel{iid}{\sim} \mathcal{N}(0, 3)$$

$$(11)$$

We also note that, for the discrete phenotypes in equations (5) and (6), there is no σ_k , and it is not sampled, and for the continuous phenotypes in equation (2), there is no ϕ_k and it is not sampled.

We follow the method outlined in Zhou and Reiter (2010) to obtain R draws from the posterior distributions of the model parameters given our observed data and imputed values. More specifically, for the j^{th} imputed data set where $j=1,\cdots,M$, we obtain $\frac{R}{M}$ draws from the posterior distribution using an MCMC algorithm with a sufficient burnin period, and then we combine these M MCMC draws to say that we have a total of R draws from the overall posterior distribution of interest.

2.6 Quantifying sexual dimorphism, and testing for increased sexual dimorphism through time.

We quantify sexual dimorphism at each time $t_i: i=1,\cdots,T$ by calculating the difference in mean trait size for males and females at each time for a continuous phenotype, SD_{k,t_i} $\mu_{k,m,t_i} - \mu_{k,f,t_i}$ and for a discrete phenotype $SD_{k,t_i} = \lambda_{k,m,t_i} - \lambda_{k,f,t_i}$. For simplicity, we will define sexual dimorphism for both continuous and discrete traits as $SD_{k,t_i} = \mu_{k,m,t_i}$ μ_{k,f,t_i} . Because we are performing a Bayesian analysis, we do not obtain a single point estimate of sexual dimorphism at a particular time, but rather R samples from the posterior distribution of sexual dimorphism given the observed fossil and extant data. Therefore, our analysis will be conducted by calculating $SD_{k,t_i,r} = \mu_{k,m,t_i,r} - \mu_{k,f,t_i,r}$ for $r = 1, \ldots, R$ and then perfoming our data analysis on these samples. Presence (or absense) of sexual dimorphism for trait k can be indicated by estimating the posterior probability of positive sexual dimorphism $P(SD_{k,t_i} > 0 | \boldsymbol{y}) = \frac{1}{R} \sum_{r=1}^{R} I(SD_{k,t_i,r} > 0)$. Figures 2.6 and 2.6 plot these posterior probabilities across time for each of the armored traits and non-armored traits, respectively. The closer the $P(SD_{k,t_i} > 0|\mathbf{y})$ is to 1, the larger the evidence that the average trait size for males is larger than females, while if $P(SD_{k,t_i} > 0|\mathbf{y})$ is close to 0, the larger the evidence that the average trait size for females is larger than males, both indicating strong presence of sexual dimorphism. On the contrary, $P(SD_{k,t_i} > 0|\mathbf{y})$ around 0.5 indicates that the average trait size is roughly equivalent for both males and females, providing little evidence of dimorphism.

Then, to test our prediction that ecological release should result in an increase in sexual dimorphism through time, we calculated Kendall's τ coefficient for each trait (Kendal (1938)). Kendall's τ assesses the ordinal association between a set of bivariate observations, where $\tau > 0$ indicates concordance between the observations (i.e., as x increases, y also tends to increase; here,x is time and y is sexual dimorphism.) Kendall's τ varies between -1 and 1, and can be thought of as behaving like a correlation. Values farther from zero indicate a stronger relationship.

 $\boldsymbol{\tau}_k = \{\tau_{k,r} : r = 1, \cdots, R\}$ for trait k where τ_r is the estimate of the Kendall's τ correlation for $\mu_{k,m,t_i} - \mu_{k,f,t_i}$ versus time t_i for the r^{th} MCMC sample from the posterior distribution. For each trait, a large proportion of values in $\boldsymbol{\tau}_k$ that are greater than 0 $(\frac{1}{R}\sum_{r=1}^R I(\tau_{k,r} > 0))$

may indicate that males have gotten relatively larger than females through time, indicating an increase in male-biased dimorphism through time. A large proportion of values in τ_k that are less than 0 $(\frac{1}{R}\sum_{r=1}^{R}I(\tau_{k,r}<0))$ may indicate that females have gotten relatively larger than males, indicating an increase in female-biased dimorphism through time.

3 Results

3.1 Missing Data Imputation

3.1.1 Validating Imputation of Sex

In order to validate the efficacy of imputing sex in the fossil data, we performed 5-fold cross validation using only the extant cases. For a given fold, we took the true, observed values of sex and removed them to create missing data similar to what we observe with the fossil data. Then with one fold held out, we performed multiple imputation with M=5 (using the same model that we use in the actual imputation (i.e. MICE with PMM)) using the remaining folds with sex observed to build a model used to imputed the sex of each fish in the held out fold (along with any other variables that needed to be imputed). We can then compare the imputed values of sex to the true values of sex to evaluate the imputation accuracy of the imputation model. These imputations on the hold out samples were then combined to estimate the probability of male vs female (i.e. the probability of being male was estimated as the proportion of imputations that predicted male. Using these probabilities, an ROC curve (Figure 3) was constructed to evaluate the accuracy of the imputations. The AUC was 0.831, indicating excellent discrimination (Hosmer, Lemeshow, and Sturdivant (2013), Page 177) between a fish being male or female.

3.1.2 Summary of Imputed Data

Across the 100 imputations of the 814 fossil specimen, the average percentage of males in an imputation was 46.64% with a standard deviation across imputations of 6.79% ranging from 30.1% to 62.29%. In only 31 of the 100 imputations were there more males than females with the remaining 69 imputations having exactly the same number or more females. Figure 4 shows the distribution of the proportion of males across the M=100 imputations across all time periods.

Figure 5 shows density estimates of the distribution of the proportions of male fish at each time period across 100 imputations along with the median at each time point. We can see that the first three time points have proportions of males centered around about 25% followed by a shift up in proportion of males between time periods 5 and 9 with median

values ranging from 44% to 66.7%. Time periods 10 through 13 show a shift back towards more females with the median proportions of males ranging from 30.3% to 41.5%. The last several time points, from 14 through 18, all have median male proportions just above 50% with the exception of time period 17 with a median male proportion of 39.3%.

Yoel, I think I remember you talking about a reason why it made more sense that there were fewer males in the sample tham the females, but I don't remember the details. Am I remembering this correctly? Would be nice to add something here about that and say why these imputations are consistent with that. -GJM

3.2 Sexual Dimorphism

Table ?? shows the posterior probability of the differences in the means between male and female specimens at each time point. Probabilities near 0.5 indicate very little difference in the means whereas probabilities far from 0.5 indicate sexual dimorphism with values of 0 and 1 indicating larger mean values for females and males, respectively. These results are shown graphically in figure ??.

Figure ?? shows the posterior mean difference for males versus females (values above 0 indicate means that were greater for males versus females). The full posterior distributions over time for all traits are shown in the appendix.

3.3 Changes over time

We estimated the change in sexual dimorphism over time by calculating the distribution of Kendall's Tau for each trait. Here, positive values of Tau indicate that sexual dimorphism (defined as male means minus female means) increased through time. Average Tau values ranged from X to Y across traits and the proportion of positive area under the curve ranged from Z to W (Table Tau). Distributions are available for each trait in the Supplementary Material (Figures SX-SX+15). In Figure (Tau), we show representative distributions. For example,

Something about the probability of dimorphim in the probability of loss? I.e., the prob_zero plots. For example, ds2_prob_zero has lots of positive area under the curve indicating that the rate of loss in males and females diverged, such that males were more likely to lose ds2 through time. Interestingly, for the individuals that kept their spines, dimorphism increased, with females getting larger than males (or males getting smaller than females).

We can also assess the strength of the dimorphism by calculating the proportion of posterior samples that are greater than a prespecified threshold (0.5??, idk what this could be.) -MS

4 Discussion, Future work and conclusions

We predicted that release from predators would result in niche expansion and increased sexual dimorphism, based on several studies of modern stickleback. For example, in lakes where sculpin competitors are absent and stickleback (Roesti et al. 2023) See Spoljaric and Reimchen 2008, page 512 right column for references and discussion of differences between benthic males and limnetic females. Male stickleback are benthic and littoral (Wootton 1976).... Reimchen papers in general good for this section. Moreover, tooth wear data from the lineage II sequence suggest that individuals in this lineage began eating more planktonic prey over time, expanding toward an open-water niche from the benthic, bottom-feeding niche they started with (Purnell et al. 2007). That this expansion into open water by lineage II coincided with armor reduction is further indicative of a limnetic system with fewer salmonid piscivores (Schluter and McPhail (1992); Vamosi and Schluter (2004); Roesti et al. (2023)).

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6 Data and Code Statement

All code for reproducing the analyses in this paper is publicly available at https://github.com/Akhil-Ghosh/SticklebackProject Is this true? Matt's code is at this GitHub page? -YS

7 References

8 Supplementary Material

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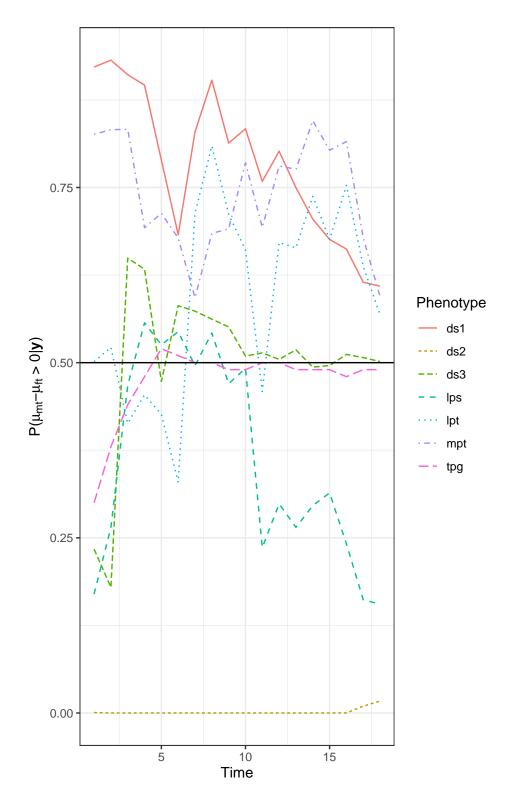


Figure 1: Posterior probability of positive sexual dimorphism for armor traits

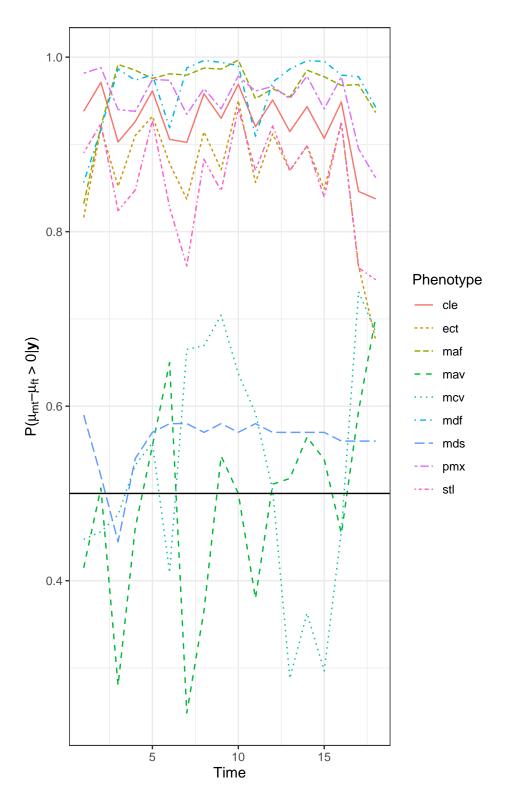


Figure 2: Posterior probability of positive sexual dimorphism for non-armor traits

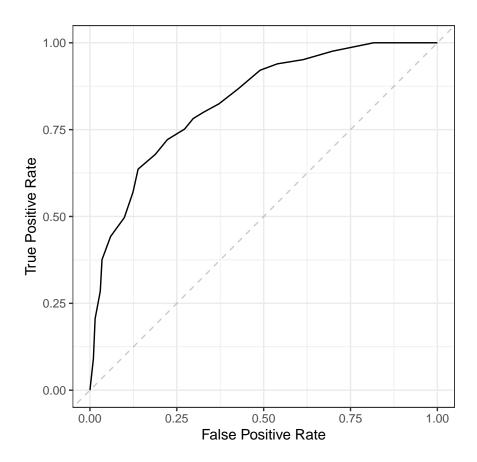


Figure 3: Reciver-Operating Characteristic Curve comparing imputed sex to actual sex for the extant cases using 5-fold cross validation (AUC = 0.831)

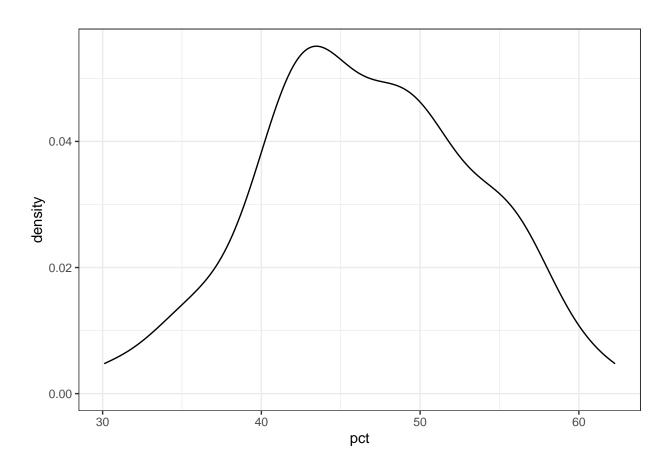


Figure 4: Density estimate of the percentage of specimen that were imputted to be male across the M=100 imputations

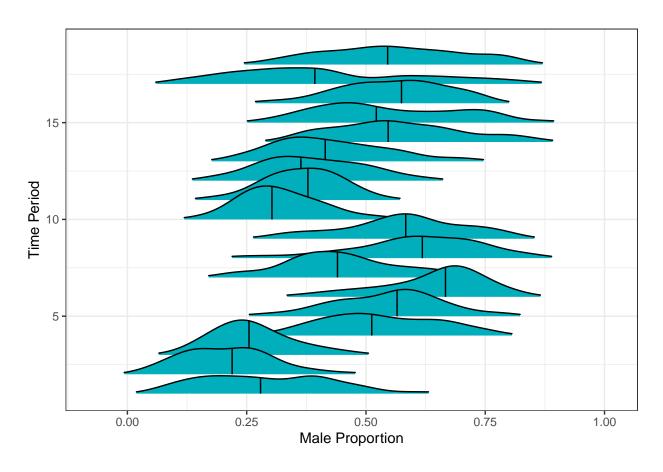


Figure 5: Density estimates of the distribution of the proportions of male fish at each time period across 100 imputations