

GRMA

Compliance Module

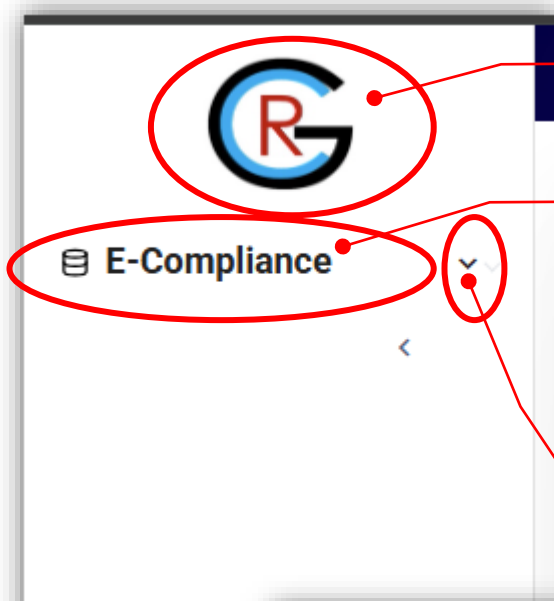
CAT / CFT Functions

User Role tested: **Comp Super Admin, Comp Group Admin, Comp Controller**

10.04.2025

Testing Feedback for Compliance Super Admin Users

Super Admin: Malini logged in



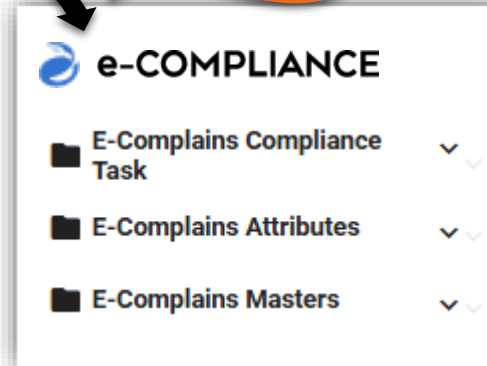
Compliance Logo to appear

Change to "e-Compliance"

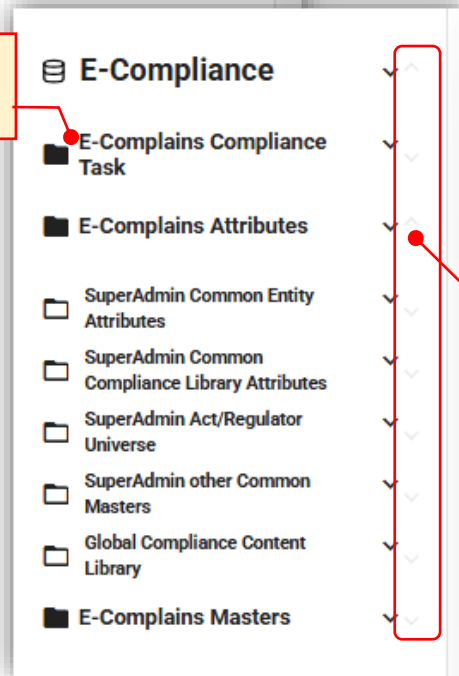
Remove this drop-down, and enable the next tree hierarchy (collapsed) to show as default.
By default, the Compliance Landing Page shows here, when "e-Compliance" is clicked

At a time, only one header can remain open.
When another Header is clicked, the previously open Header should close.

Like this

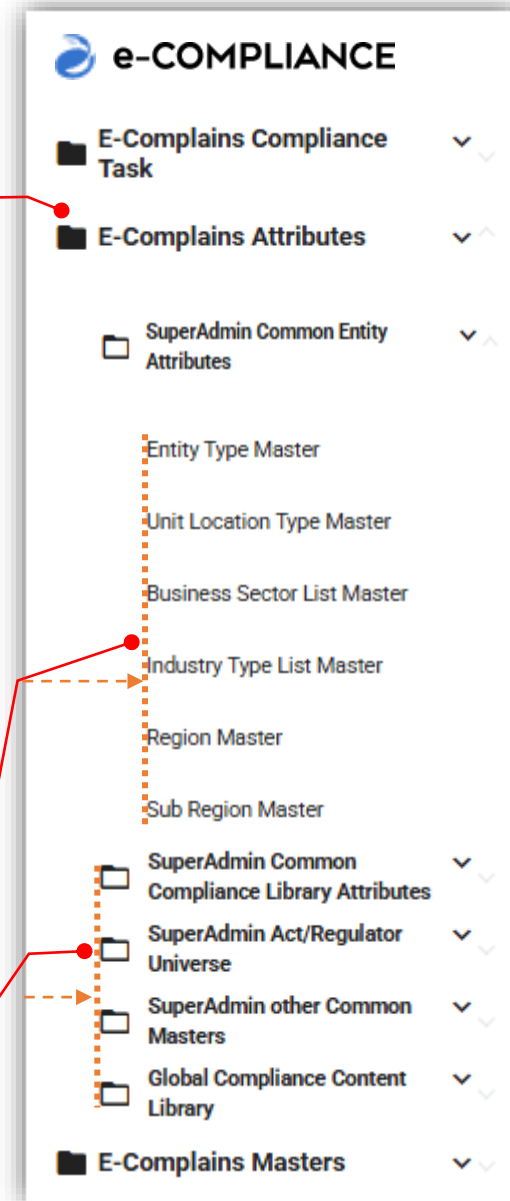


Group Headers to be similar to PPT provided



It seems there is a shadow on every drop-down arrow which is behaving correctly, so the Black drop-drop icon needs to be removed.

Alignment of Group Tree Hierarchy (2nd and 3rd Level) needs to be corrected (indented)



COMPLETED

Removed

Since this is NOT likely getting used anywhere in the application, PLEASE DISABLE this Master Form, until we make corrections here.

Add Holiday Master

Holiday Name *

Recurrence *

Select...

Time Interval *

Time Period *

Select...

Holiday Description

SAVE

CANCEL

Regulatory Authority

COMPLETED

Drag a column header here to group by that column

+ ADD

📄

📄

🔍 Search...

Regulatory Authority ID	Regulatory Authority Name	Regulatory Authority Description	
5001	Central		✎ 🗑
5002	State		✎ 🗑

Count: 2

Pagination Format missing on this section

When the Header is selected, the Text shrinks to lower font size.

Required: The Text size remains same and the font is formatted with 'Bold style'. Changes required under all items in the Menu.

E-Compliance

E-Complains Compliance Task

E-Complains Attributes

SuperAdmin Common Entity Attributes

SuperAdmin Common Compliance Library Attributes

Law Type

Category of Law

Jurisdiction Category Li

Jurisdiction Location

Compliance Type

Compliance Record Type

Compliance Group

Regulatory Authority

5

10

20

All

Page 1 of 1 (3 items)

<

1

>

Super Admin: Malini logged in

COMPLETEDcomco

There is no provision to select any option here.
Are the 'Periodicity Factor parameters' hardcoded in this case? If yes, then just mention the same here for easy understanding.
This is important, as the input is mandatory


The screenshot shows a web application interface for 'Create Alert & Reminders'. The form is divided into several sections: 'Workflow Activity', 'Expected Action (in days)', 'Reminder Alerts (in days)', and 'Notification Mail Alerts'. The 'Workflow Activity' section has three rows: 'Review', 'Approve', and 'Audit'. Each row has an 'Expected Action (in days)' field, a dropdown for 'After Compliance Update Date', 'Approval Periodicity', and 'Audit Periodicity', and a checkbox for 'Include Holiday Factor'. The 'Reminder Alerts (in days)' section has three rows with fields for 'Reminder Alerts (in days)', a dropdown for 'After Compliance Update Date', and a dropdown for 'Periodicity'. The 'Notification Mail Alerts' section has three rows with a dropdown for 'Notification Alert List*'. The form has a 'Cancel' button and a 'Save Configuration' button. Red circles and lines highlight specific areas: the 'Include Holiday Factor' checkboxes, the 'Reminder Alerts (in days)' field, and the 'Periodicity' dropdowns.

Workflow Activity	Expected Action (in days)	After Compliance Update Date	Include Holiday Factor	Reminder Alerts (in days)	After Compliance Update Date	Periodicity	Notification Mail Alerts
Review	5	After Compliance Update Date	<input type="checkbox"/>	2	After Compliance Update Date	Periodicity	Notification Alert List* Review Pending Reminder
Approve	Days	Approval Periodicity	<input type="checkbox"/>	Days	After Compliance Update Date	Periodicity	Notification Alert List*
Audit	Days	Audit Periodicity	<input type="checkbox"/>	Days	After Compliance Update Date	Periodicity	Notification Alert List*

Since this is NOT likely getting used anywhere in the application, PLEASE DISABLE these options, until we make corrections in the Master Form.

Default value of "1" needs to appear

COMPLETED



E-Compliance

E-Complains Compliance Task

E-Complains Attributes

SuperAdmin Common Entity Attributes

SuperAdmin Common Compliance Library Attributes

SuperAdmin Act/Regulator Universe

SuperAdmin other Common Masters

Global Compliance Content Library

E-Complains Masters

Alerts And Reminders(cc)

Create Alert and Reminder

ProBuds Strategy Consulting

New Delhi

E-Compliance

Malini K

Create Alert & Reminders

Workflow Activity	Expected Action (in days)		Reminder Alerts (in days)	Notification Mail Alerts
Review	<input type="text" value="Days"/>	Review Periodicity <input type="text"/>	<input type="checkbox"/> Include Holiday Factor	<input type="text" value="Days"/> <input type="text" value="Periodicit"/>
Approve	<input type="text" value="Days"/>	Approval Periodicity <input type="text"/>	<input type="checkbox"/> Include Holiday Factor	<input type="text" value="Days"/> <input type="text" value="Periodicit"/>
Audit	<input type="text" value="Days"/>	Audit Periodicity <input type="text"/>	<input type="checkbox"/> Include Holiday Factor	<input type="text" value="Days"/> <input type="text" value="Periodicit"/>

Cancel

Save Configuration

Table did not get refreshed after New Value got updated upon submitting – ‘Save Configuration’

Alerts...	Workactivity	ExpectedDays	Expectedperiodicity	Expected Holiday	Reminderalertdays	Reminderalertperiodicity	notification
1	Review	17	After Compliance Update Date	<input checked="" type="checkbox"/>	16	test	Act Early - Compliance Due Reminder for Update
2	Approve	22	After Compliance Review Date	<input checked="" type="checkbox"/>	31	test	Compliance Available for REVIEW
3	Audit	8	After Compliance Approval Date	<input type="checkbox"/>	10	test	Compliance Available for REVIEW

Count: 3

5 10 20 All

Page 1 of 1 (3 items)

COMPLETED

Based on every act we will generate Rule
eg : GC001 is act . 001 is rule aganits that act
eg :GC001 is act. 002 is another rule for that act
As per Jasmeet Sir Suggest

View Act/Rule Repoistory

Select Act/ Master Regulation Name*
The Income Tax Act, 1961

Select Rule name*
The Income Tax Rules, 1962

View Bare Act/Rule Repoistory:

ActID Name of Act/Regulation

Rule_id name of Rule/Regulation/Procudere

Applicability Description of Rule/Regulation/Procudure

Category of Law

The Rule ID should have been based on the Compliance ID – GC0001. That is to say, the Rule ID should be GC0001.001

What is the present logic??

GC0001-The Income Tax Act, 1961

GC0003.001-The Income Tax Rules, 1962

It is applicable to every establishment

Finance

Edit

View Bare Act/Rule Repoistory:

ActID Name of Act/Regulation

Rule_id name of Rule/Regulation/Procudere

Applicability Description of Rule/Regulation/Procudure

Category of Law

GC0002-The Payment Of Gratuity Act, 1972

GC0003.002-The Haryana Payment Of Gratuity Rules, 1972

Applicable to Every Establishment factory, mine, oilfield, plantation, port and railway compar

Labour & Industrial

Logic mentioned
in the FRS
Document (Refer
Part 2 Pg 13)

View Bare Act/Rule Repoistory:

ActID Name of Act/Regulation

Rule_id name of Rule/Regulation/Procudere

Applicability Description of Rule/Regulation/Procudure

Category of Law

GC0003-The Environment (Protection) Act, 1986

GC0004.001-The Environment (Protection) Rules, 1986

For the purpose of protecting and improving the quality of the environment and

Environment Health & Safety

- name. **important:** The User can add maximum 30000 references only.
- Upon saving the record, a **Global Rule ID** will be generated with following format:
 - **"GC0000.000"** (where "GC0000" stands for Act ID under which the specific Rule/ Regulation is saved, and "000" is the unique series starting from 001 onwards associated exclusively with the respective Global Act ID under which it has been saved. For example, GC0001.001, GC0002.010, GC0002.020, etc)

Fixed
COMPLETED

Testing was being done on Compliance Module > Add Rules & regulations. Upon saving the entry, when the page got refreshed, it went back to Governance module on its own. It is happening at many other places as well.

The screenshot displays the ProBuds Strategy Consulting web application interface. The header includes the company name and logo, and the user's name 'Malini K' is visible in the top right corner. The left sidebar contains a 'Governance' menu item, which is circled in red. The main content area shows the 'Add Rules And Regulation' form, also circled in red. The form includes a progress bar with four steps: 'Add Rules', 'Jurisdiction Location', 'Dependent Factors', and 'Additional Reference'. The form fields are: 'Select Act Name*' (a dropdown menu), 'Name of Rule /Regulation/Procedure *' (a text field with a character count of 0/250), 'Applicability Description' (a text area with a character count of 0/2500), and 'category of Law*' (a dropdown menu). A 'Next' button is located at the bottom right of the form.

ProBuds Strategy Consulting
New Delhi

Governance

Add Rules And Regulation

1 Add Rules 2 Jurisdiction Location 3 Dependent Factors 4 Additional Reference

Select Act Name*

Name of Rule /Regulation/Procedure *

Min 1 - Max 250 Characters 0/250

Applicability Description

Min 1 - Max 2500 Characters 0/2500

category of Law*

Next

Super Admin: Malini logged in

COMPLETED

While 'Edit / Add Rule & Regulation/ Procedure' was edited, this error came, but did not specify what kind of error it is. All mandatory options were filled in.

The screenshot displays the ProBuds Strategy Consulting web application interface. A dark blue header bar contains the text "ProBuds Strategy Consulting" and "New Delhi". A dark blue sidebar on the left lists navigation items: "Compliance Login", "Test Apps", "JS Learn Coding | H...", "Stanford Univ L", and "Edit ADD Rule/ Regulation/ Procedure" (which is circled in red). A dark blue modal dialog box is centered on the screen, displaying the text "grma.neemus.com says" and "An error occurred while submitting the form." with an "OK" button. Below the modal, a progress bar shows four steps: "Add Rules", "Jurisdiction Location", "Dependent Factors", and "Additional Referen" (with a "4" in a circle). The "Add Rules" step is currently active. Below the progress bar, there is a section titled "Add Bare Rule Reference" with a "Select Option" dropdown menu showing "File Attachment". Below this, there is a "File Attachment" section with a text input field containing "The Haryana Payment O" and an "Attach" button. A "Remove" link is also visible. At the bottom of the form, there is an "Add More" button, a "cancel" button, and an "Update" button.

grma.neemus.com says
An error occurred while submitting the form.

OK

Edit ADD Rule/ Regulation/ Procedure

Back

4

Add Rules

Jurisdiction Location

Dependent Factors

Additional Referen

Add Bare Rule Reference

Select Option
File Attachment

File Attachment
The Haryana Payment O

Attach

Remove

Add More

cancel

Update

COMPLETED

While 'Add Rule & Regulation/ Procedure' was added with new entry, it did not save, and no specific error message was got displayed. All mandatory options were filled in.

Add Rules And Regulation

✓ Add Rules ✓ Jurisdiction Location ✓ Dependent Factors 4 Additional Reference

Add Bare Rule Reference

Select Option
File Attachment

File Attachment
thepaymentofbonusrules Attach Remove

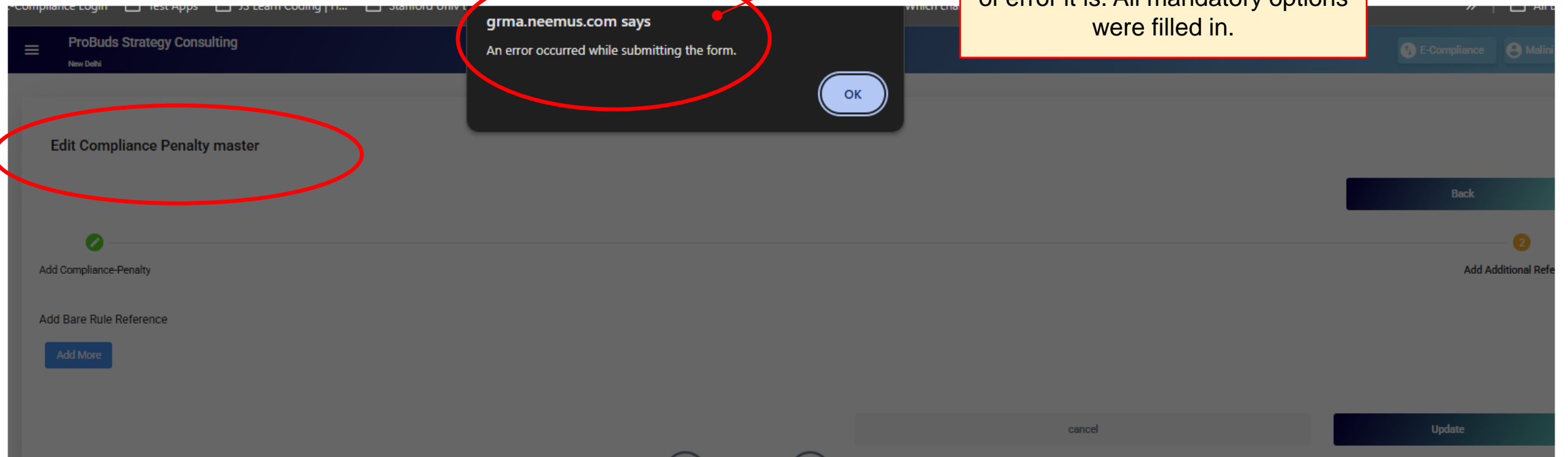
Add More

Back Save

Super Admin: Malini logged in

COMPLETED

While 'Edit Compliance Penalty Master' was edited, this error came, but did not specify what kind of error it is. All mandatory options were filled in.



Super Admin: Malini logged in

Compliance penatly Master

1

Add Compliance-Penalty

COMPLETED

✓

Add Additional Reference

Select Act Name*
The Payment Of Gratuity Act, 1972

The Haryana Payment Of Gratuity Rules, 1972

Select Penalty category*
Fine and/ or Imprisonment

Application Selections /Rules/Regulation Reference
Sec-999

penalty Description *
Under the Payment of Gratuity Act, 1972, employers who fail to pay gratuity or contravene the Act's provisions face penalties, including imprisonment up to 2 years for non-payment and fines up to 20,000

Minimum Penalty
100

Min 1 - Max 1500 Characters

202/1500

Min 1 - Max 250 Characters

3/250

Maximum Penalty
20000

Additional Reference to Penalty Provision
NA

Min 1 - Max 250 Characters

5/250

Min 1 - Max 250 Characters

2/250

Next

ProBuds Strategy Consulting

New Delhi

E-Compliance

Malini K

Compliance penatly Master

✓

Add Compliance-Penalty

2

Add Additional Reference

Add penalty Support Reference

Select Option
File Attachment

File Attachment

Payment Of Gratuity Act,

Attach

Remove

Add More

Back

Save

While 'Add Compliance penalty Master' was added with new entry, it did not save, and no specific error message was got displayed. All mandatory options were filled in.

Testing Feedback for Compliance Company Users

Compliance Group Admin: Indermohan logged in

WORKING

Type text here

Does this work????

Data is not getting updated for the Company Group Admin/ Compliance Controller with Super Admin Master inputs

Common Entity Attributes Data Imported

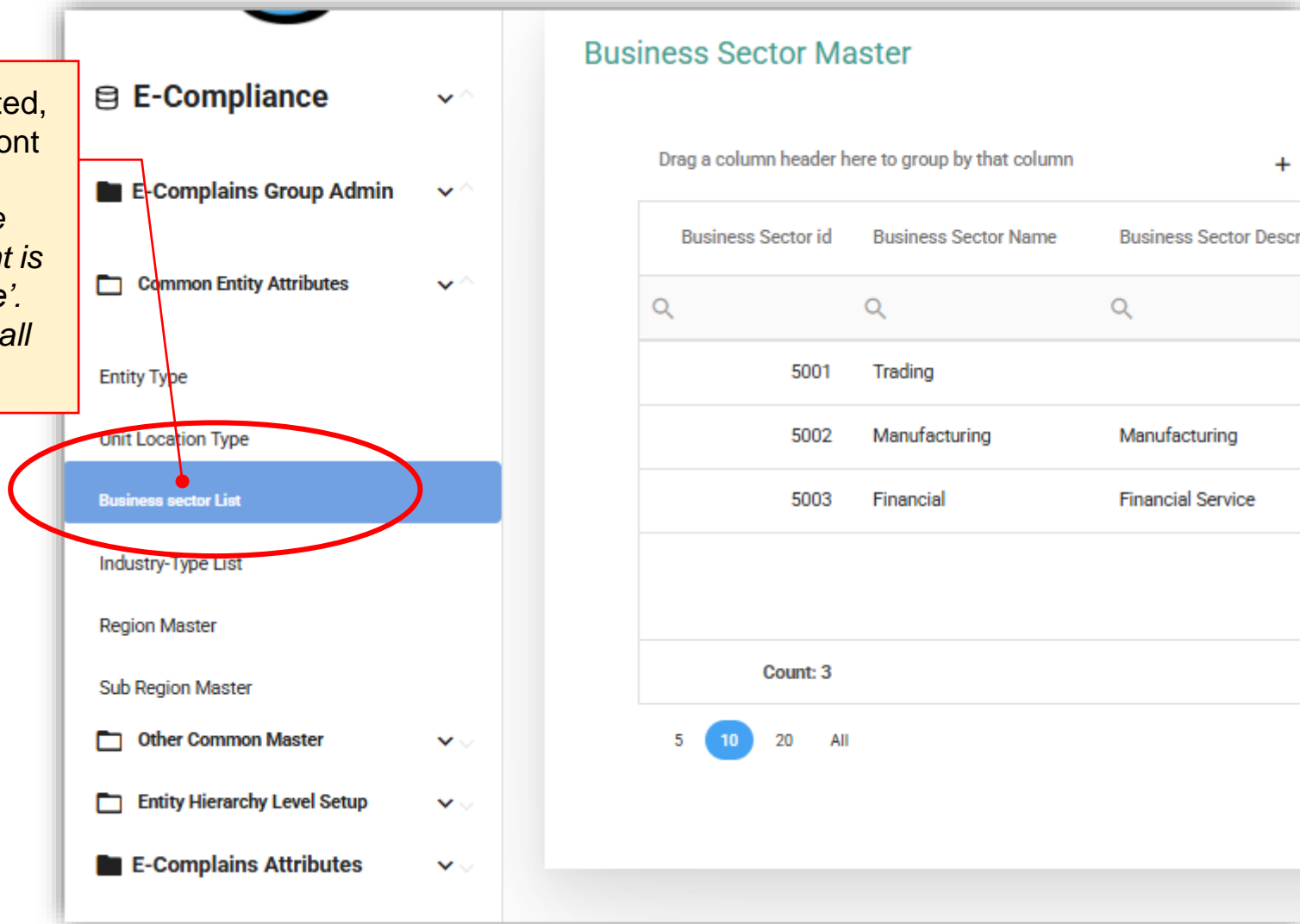
Database Name	Table Name	
Common Entity Attributes	EntityTypeMaster	
	UnitTypeMaster	
	BusinessSector	
	IndustryType	
	RegionMaster	
	Sub_RegionMaster	
Other Common Masters	FrequencyMaster	
	HolidayMaster	

Data Imported

Compliance Group Admin: Indermohan logged in

When the Header is selected, the Text shrinks to lower font size.

Required: The Text size remains same and the font is formatted with 'Bold style'. Changes required under all items in the Menu.



E-Compliance ▾ ▴

- E-Complains Group Admin** ▾ ▴
- Common Entity Attributes** ▾ ▴
- Entity Type
- Business sector List**
- Unit Location Type
- Industry-Type List
- Region Master
- Sub Region Master
- Other Common Master** ▾ ▴
- Entity Hierarchy Level Setup** ▾ ▴
- E-Complains Attributes** ▾ ▴

Business Sector Master

Drag a column header here to group by that column +

Business Sector id	Business Sector Name	Business Sector Description
5001	Trading	
5002	Manufacturing	Manufacturing
5003	Financial	Financial Service

Count: 3

5 10 20 All

Compliance Group Admin: Indermohan logged in

WORKING

COMPELETED

Unit Type Master

Unit Type id	Unit Type Name	Description
1	Head Office	
2	Business Establishment	Any type of Business or office Establishment of this Entity
5001	Factory	
5002	Branch	

Count: 4

Business Sector Master

Business Sector id	Business Sector Name	Business Sector Description
1	Healthcare	
5001	Trading	
5002	Manufacturing	Manufacturing
5003	Financial	Financial Service

All these inputs were updated at the Super Admin Level, but when "Import" function was selected/updated, none of the updated values appeared here. What is the logic here????

Industry Type Master

Industry Id	Business Sector Name	Industry Type Name
1	Healthcare	Hospitals
5001		Retail
5002		Automobile
5003		NMFC

Region Master

Region id	Region Name
1	Asia-Pacific
2	Middle East Asia
5002	Europe

Count: 3

Sub Region Master

Sub Region id	Select Region Name	Sub Region Name
1	Asia-Pacific	India
2	Europe	France
3	Middle East Asia	Israel
5002		China
5003	Europe	Finland

Count: 7

Compliance Group Admin: Indermohan logged in

WORKING

E-Compliance

- E-Complains C
- Common Entity
- Other Common

Frequency Master

Frequency Master

Hoilday Master

Entity Hierarchy Level Setup

E-Complains Attributes

Frequency Master

Frequency Period Recurrence Time Interval Time Period No. of Frec

(All) (All)

1	Half Yearly	Every	6	Month	
5001	Monthly	Every			
5002	Quarterly	Every			
5003	Half Year	Every			
5004	Yearly	Every			

Count: 5

Page 1 of 1 (5 items)

All these inputs were updated at the Super Admin Level, but when "Import" function was selected, none of the updated values appeared here.

Compliance Group Admin: Indermohan logged in

The screenshot displays the 'Entity Hierarchy Level Master' interface. On the left, a sidebar menu under 'E-Compliance' includes 'E-Complains Group Admin', 'Common Entity Attributes', 'Other Common Master', 'Entity Hierarchy Level Setup', 'Entity Hierarchy' (selected), and 'E-Complains Attributes'. The main area shows a table with columns 'entityHeirarchyLevelID', 'level', and 'entity'. The table is empty, displaying 'No data'. A red oval highlights this message. A red line points from the 'No data' message to a yellow callout box containing the text: 'This data entry was attempted to be saved. Even after the confirmation message appeared to be successful, no data got updated'. Below the table, there are three dropdown menus for 'Level 1 *' (Entity Type), 'Level 2 *' (Business Sector > Industry Type), and 'Level 3 *' (Region > Sub-Region). A red oval highlights these dropdowns. An 'Edit' button is in the top right, and an 'Update' button is in the bottom right. A modal dialog at the bottom center shows 'Data Updated Successfully' with an 'Ok' button, also highlighted by a red oval.

Entity Hierarchy Level Master

entityHeirarchyLevelID level entity

No data

This data entry was attempted to be saved.
Even after the confirmation message appeared to be successful, no data got updated

Level 1 *
Entity Type

Level 2 *
Business Sector > Industry Type

Level 3 *
Region > Sub-Region

No data

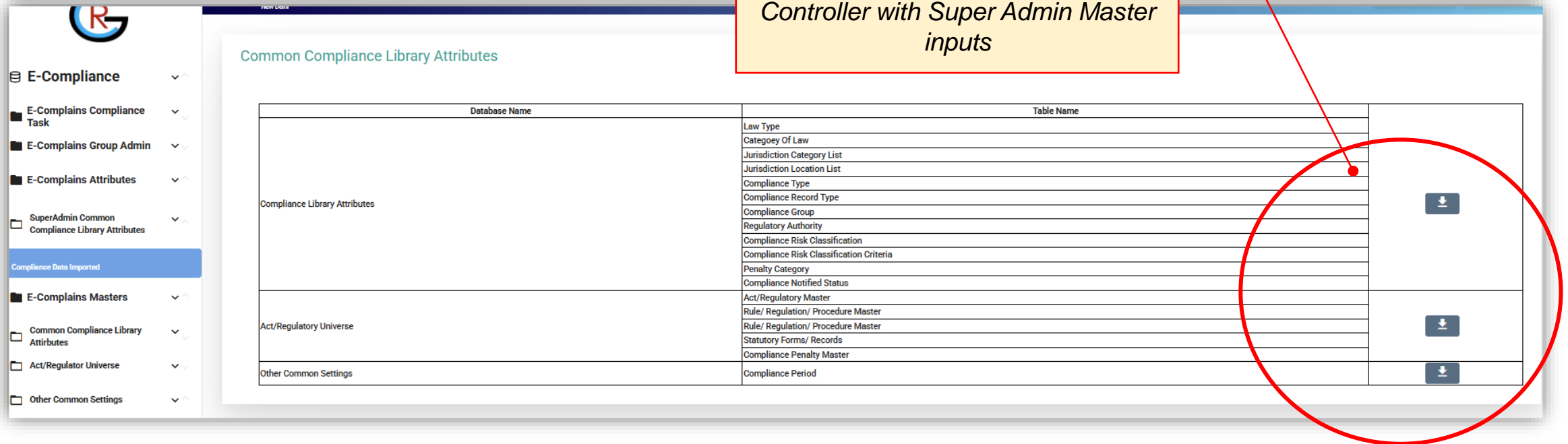
Data Updated Successfully

Ok



COMPLETED

Does this work????

*Data is not getting updated for the
Company Group Admin/ Compliance
Controller with Super Admin Master
inputs*



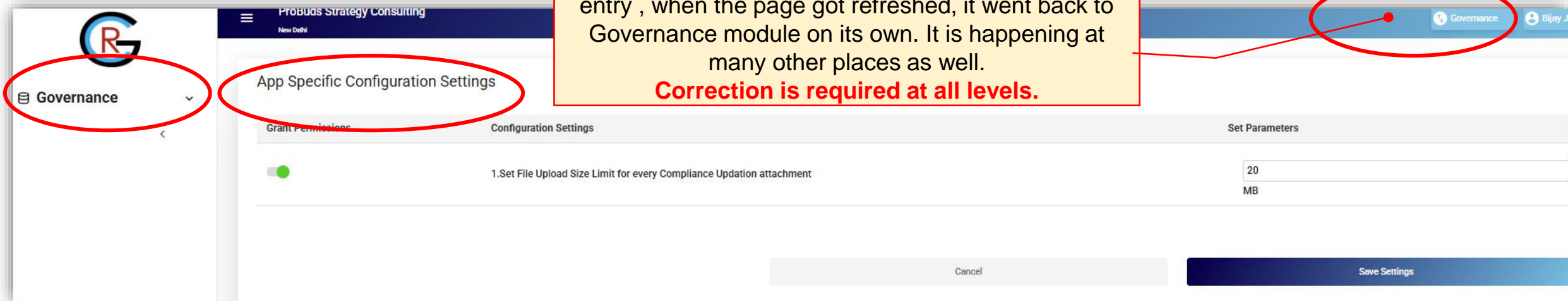
Common Compliance Library Attributes

Database Name	Table Name	
Compliance Library Attributes	Law Type	
	Category Of Law	
	Jurisdiction Category List	
	Jurisdiction Location List	
	Compliance Type	
	Compliance Record Type	
	Compliance Group	
	Regulatory Authority	
	Compliance Risk Classification	
	Compliance Risk Classification Criteria	
	Penalty Category	
	Compliance Notified Status	
		
Act/Regulatory Universe	Act/Regulatory Master	
	Rule/ Regulation/ Procedure Master	
	Rule/ Regulation/ Procedure Master	
	Statutory Forms/ Records	
	Compliance Penalty Master	
Other Common Settings	Compliance Period	
		

Compliance Content Controller: Bijay logged in

COMPLETED

Testing was being done on Compliance Module > App Specific Configuration Settings. Upon saving the entry, when the page got refreshed, it went back to Governance module on its own. It is happening at many other places as well.
Correction is required at all levels.



The screenshot displays the Compliance Content Controller interface. On the left, a sidebar menu shows the 'Governance' module selected. The main content area is titled 'App Specific Configuration Settings' and contains a 'Configuration Settings' section with a toggle switch and a text input field for 'Set File Upload Size Limit for every Compliance Updation attachment'. The 'Set Parameters' section shows a value of '20 MB'. At the bottom, there are 'Cancel' and 'Save Settings' buttons. A red circle highlights the 'Governance' module in the sidebar, and another red circle highlights the 'Governance' module in the top navigation bar. A red line connects the two circles, indicating the issue described in the text.

COMPLETED

Compliance Content Controller: Bijay logged in

Category Of Law Master

Drag a column header here to group by that column

category_of_law_ID	Category of law Name	Categoryof law Description
5001	Labour	
5002	Environment	
5003	Finance	

Compliance Type

Drag a column header here to group by that column

Compliance Type ID	Compliance Type Name
5001	Filing & Payment
5002	Display
5003	Registers
5004	Event Based

Count: 4

5 10 20 All

compliance Type

All these inputs were updated at the Super Admin Level, but when "Import" function was selected, none of the updated values appeared here.

COMPELETED

Compliance Content Controller: Bijay logged in

ADD Rule/ Regulation/ Procedure

Dependent Factors

Remove

THE ENVIRONMENT RUL [Attach](#)

Back [Save](#)

While 'Add Rule & Regulation/ Procedure' was added with new entry (Act added by Super Admin), it did not save, and no specific error message was got displayed. All mandatory options were filled in.

COMPLETED

ADD Rule/ Regulation/ Procedure

Dependent Factors

Remove

ESIRules1950.pdf [Attach](#)

Back [Save](#)

Same error/ no confirmation appears, when Rule was added by Company Admin (Act added by Company Admin),

COMPLETED

E-Compliance

E-Complains Compliance Task

E-Complains Group Admin

E-Complains Attributes

E-Complains Masters

Common Compliance Library Attributes

Act/Regulator Universe

Act-Regulator Master

View Act-Regulator Master

Add Rules Regulation

View Act Rules Regulation

View Rule/ Regulation/ Procedure /Rule Repoisitory

Select Act/ Master Regulation Name*
The Environment (Protection) Act, 1986

Select Rule name *

The Environment (Protection) Rules, 1986

The Environment (Protection) Rules, 1986

The Environment (Protection) Rules, 1986

The Environment (Protection) Rules, 1986

The Environment (Protection) Rules, 1986

The Environment (Protection) Rules, 1986

The Environment (Protection) Rules, 1986

Later these many entries appear with same name. May be number of times I clicked to save the record.

E-Compliance

E-Complains Compliance Task

E-Complains Group Admin

E-Complains Attributes

E-Complains Masters

Common Compliance Library Attributes

Act/Regulator Universe

Act-Regulator Master

View Act-Regulator Master

Add Rules Regulation

View Act Rules Regulation

Bare Act/Rule-Repository

Add statutory Forms Records

Bare Act/Rule Repository

Select Act/ Master Regulation Name*
The Environment (Protection) Act, 1986

Select Rule name *
The Environment (Protection) Rules, 1986

View Bare Act/Rule Repository:

ActID Name of Act/Regulation	GC0003-The Environment (Protection) Act, 1986	
Description of Act/Regulation	N/A	
Rule_id name of Rule/Regulation/Procudere	CC0003.007-The Environment (Protection) Rules, 1986	
Applicability Description of Rule/Regulation/Procudere	For the purpose of protecting and improving the quality of the environment and preventing and abating environmental pollution, the standards for emission or discharge	
Category of Law	Environment	
Jurisdiction Location :		
Law Type	Statutory	Regulatory Authority
Jurisdiction Category	State	Country Name
State / Region Name	N/A	
Additional References from Bare Act & Rule :		
Bare Act Reference(s)		
Bare Rule Reference(s)		

File Reference missing here

WORKING

- E-Compliance
 - E-Complains Compliance Task
 - E-Complains Group Admin
 - E-Complains Attributes
 - E-Complains Masters
 - Common Compliance Library Attributes
 - Act/Regulator Universe
 - Act-Regulator Master
 - View Act-Regulator Master
 - Add Rules Regulation
 - View Act Rules Regulation
 - Bare Act/Rule-Repository
 - Add statutory Forms-Records
 - View statutory Forms-Records
 - Compliance Penalty Masters
 - View Compliance Penalty Masters
 - Other Common Settings
 - Company Compliance content Library
 - Compliance Department Mapping
 - Activity Workgroup Creation

View Rule/ Regulation/ Procedure /Rule Repository

Select Act/ Master Regulation Name*
Employees' State Insurance Act, 1948

Select Rule name *
The Employees' State Insurance (Central) Rules, 1950

View Act/Rule Repository:

ActID Name of Act/Regulation	CC0006-Employees' State Insurance Act, 1948		
Rule_id name of Rule/Regulation/Procdere	CC0006.001-The Employees' State Insurance (Central) Rules, 1950		
Applicability Description of Rule/Regulation/Procedure	"Applies to all factories (including factories belonging to the government) other than seasonal factories, but excludes employees who are in receipt of benefits substantially similar or superior to the benefits provided under this Act."		
Category of Law	Labour		
IsImportedData	No		
<div>File Reference missing here</div>			
<div>Edit</div>			
Jurisdiction Location :			
Law Type	Statutory	Regulatory Authority	Central
Jurisdiction Category	Central	Country Name	N/A
State / Region Name	N/A	District / Locality Name	N/A
Dependence Factory :			
Type of Business Organization/ Establishment	All Types of Business Establishment, including Factory, Shops, Offices, Mines, employing more than 10 employees		Specific Business Operations
No. & Type of Employees/ Workers	More than 10 employees		Capital Investment of Business Establishment
Turnover of Business Establishment	N/A		Specific Working Conditions
Conditions imposed through Business Registration/ Licence	N/A		Any Other Factor
Additional References from Rule :			
Bare Rule Reference			
created By / created On	Bijay Jain-4/5/2025 6:06:55 PM		
Updated By / Updated On	-		

File Reference missing here

Edit

Compliance Content Controller: Bijay logged in

COMPLETED

- E-Compliance
 - E-Complains Compliance Task
 - E-Complains Group Admin
 - E-Complains Attributes
 - E-Complains Masters
 - Common Compliance Library Attributes
 - Act/Regulator Universe
- Act-Regulator Master
- View Act-Regulator Master
- Add Rules Regulation
- View Act Rules Regulation
- Bare Act/Rule-Repository
- Add statutory Forms-Records
- View statutory Forms-Records

Bare Act/Rule Repository


Select Act/ Master Regulation Name*

Employees' State Insurance Act, 1948

Select Rule name *

The Employees' State Insurance (Central) Rules, 1950

View Bare Act/Rule Repository:

ActID Name of Act/Regulation	CC0006-Employees' State Insurance Act, 1948		
Description of Act/Regulation	An Act to provide for certain benefits to employees in case of sickness, maternity and employment injury and to make provision		
Rule_id name of Rule/Regulation/Procdure	CC0006.001-The Employees' State Insurance (Central) Rules, 1950		
Applicability Description of Rule/Regulation/Procedure	*Applies to all factories (including factories belonging to the government) other than seasonal factories, but excludes employees		
Category of Law	Labour		
Jurisdiction Location :			
Law Type	Statutory	Regulatory Authority	
Jurisdiction Category	Central	Country Name	
State / Region Name	N/A	District / Locality Name	
Additional References from Bare Act & Rule :			
Bare Act Reference(s)		[File Attached] ESIAct1948.pdf (View) / 	
Bare Rule Reference(s)			

Bare Act/Rule Repository


Select Act/ Master Regulation Name*

Employees' State Insurance Act, 1948

Select Rule name *

The Employees' State Insurance (General) Regulations, 1950

View Bare Act/Rule Repository:

ActID Name of Act/Regulation	CC0006-Employees' State Insurance Act, 1948		
Description of Act/Regulation	An Act to provide for certain benefits to employees in case of sickness, maternity and employment injury and to make provi		
Rule_id name of Rule/Regulation/Procdure	CC0006.002-The Employees' State Insurance (General) Regulations, 1950		
Applicability Description of Rule/Regulation/Procdure	'Applies to all factories (including factories belonging to the government) other than seasonal factories, but excludes empl regulations."		
Category of Law	Labour		
Jurisdiction Location :			
Law Type	Statutory	Regulatory Autho	
Jurisdiction Category	Central	Country Name	
State / Region Name	N/A	District / Locality	
Additional References from Bare Act & Rule :			
Bare Act Reference(s)	[File Attached] ESIAct1948.pdf (View) / 		
Bare Rule Reference(s)			

File Reference missing here

Compliance Content Controller: Bijay logged in

E-Compliance

E-Complains Compliance Task

E-Complains Group Admin

E-Complains Attributes

E-Complains Masters

Common Compliance Library Attributes

Act/Regulator Universe

Act-Regulator Master

View Act-Regulator Master

Add Rules Regulation

View Act Rules Regulation

Bare Act/Rule-Repository

Add statutory Forms-Records

Add Statutory Forms/Records

COMPLETED

Add statutory

Add Support Reference

Select Option

File Attachment

File Attachment

ESI_Form 1_R21.pdf

Attach

Remove

Add More

Back

Save

E-Compliance

E-Complains Compliance Task

E-Complains Group Admin

E-Complains Attributes

E-Complains Masters

Common Compliance Library

Add Rules Regulation

View Act Rules Regulation

Bare Act/Rule-Repository

Add statutory Forms-Records

View statutory Forms-Records

Compliance Penalty Masters

View Statutory From /Records

Select Act/ Master Regulation Name*

Employees' State Insurance Act, 1948

Select Rule name *

The Employees' State Insurance (Central) Rules, 1950

Select Statutory From Records*

Form I

VIEW Statutory Form/ Record :

Name of Act/Regulation	Employees' State Insurance Act, 1948
Name of Rule /regulation/ procudure	The Employees' State Insurance (Central) Rules, 1950
Form/ Record Name/ Number/ Reference	Form I
Description of Form/ Record Name/ Number/ Reference	Bank or banks for depositing the Fund. All moneys accruing or payable to the Fund shall be received by such officers of the Corporations may be authorized by it in this behalf. The amount so received shall as soon as practicable beacknowledged by a receipt in Form I and deposited in the Reserve Bank or the State Bank of India or any of itssubsidiaries
IsImportedData	No

Additional References from Statutory Form/ Record

Statutory Form/ Record Reference(s)	
created By / created On	Bijay Jain 4/5/2025 6:23:14 PM
Updated By / Updated On	-

Edit

No Confirmation Message appeared if the Form was saved

All other details regarding the Form was saved, but the document link does not appear

“Applicable Section/ Rule/ Regulation Reference” missing in the View Records

E-Compliance

E-Complains Compliance Task

E-Complains Group Admin

E-Complains Attributes

E-Complains Masters

Common Compliance Library Attributes

Act/Regulator Universe

Act-Regulator Master

View Act-Regulator Master

Add Rules Regulation

View Act Rules Regulation

Bare Act/Rule-Repository

Add statutory Forms-Records

View statutory Forms-Records

Compliance Penalty Masters

View Compliance Penalty Masters

Other Common Settings

Company Compliance content

View Compliance Penalty master

COMPLETED

Select Act/ Master Regulation Name*
The Environment (Protection) Act, 1986

Select Rule name*
The Environment (Protection) Rules, 1986

Select Compliance Penalty /Application Selections
Imprisonment / Sec 15.2

View Compliance Penalty Form/ Record :

Name of Act/Regulation	The Environment (Protection) Act, 1986
Name of Rule /regulation/ procedure	The Environment (Protection) Rules, 1986
Penalty category Name	Imprisonment
Add Applicable Section/ Rule/ Regulation Reference	Sec 15.2
Penalty Description	If the failure or contravention referred to in sub-section 1 continues beyond a period of one year after the date of conviction, the offender shall be punishable with imprisonment for a term which
Minimum Penalty	N/A
Maximum Penalty	N/A
Additional Reference to Penalty Provision	
IsImportedData	No
Additional References from Compliance penalty:	
Compliance Penalty Reference(s)	
created By / created On	Bijay Jain-4/5/2025 7:10:07 PM
Updated By / Updated On	-

PDF file was uploaded, and it did not give any confirmation on saving.

But when Word file was uploaded, confirmation also came and the file reference was also visible.

E-Compliance

E-Complains Compliance Task

E-Complains Group Admin

E-Complains Attributes

E-Complains Masters

Common Compliance Library Attributes

Act/Regulator Universe

Other Common Settings

Company Compliance content Library

Create Compliance content

View Company Compliance content

Compliance Department Mapping

Activity Workgroup Creation

Compliance user workgroup mapping

Location Compliance Mapping

Compliance User Mapping

Company Compliances Master Library

Create Company content

import Subscribed content

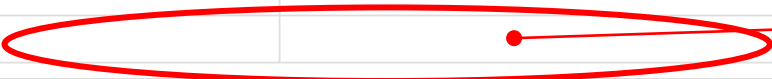
Act Name: Employees' State Insurance Act, 1948 Rule Name: The Employees' State Insurance (Central) Rules, 1950

Select Act/ Master Regulation Name *
Employees' State Insurance Act, 1948


Select Rule/ Regulation/ Procedure Name *
The Employees' State Insurance (Central) Rules, 1950

Applicability Description of Act Regulatory	An Act to provide for certain benefits to employees in case of sickness, maternity and employment injury and to make provision for certain other n		
Applicability Description of Rule Regulation/ Procedure View More Related Info	"Applies to all factories (including factories belonging to the government) other than seasonal factories, but excludes employees who are in receip this Act."		
Category of Law	Labour		
Jurisdiction Location :			
Nature of Law	Statutory	Regulat	
Jurisdiction Category	Central	Country	
State / Region Name	N/A	District	
Additional References from Bare Act & Rule :			
Bare Act Reference(s)	[File Attached] ESIAct1948.pdf (View) /		
Bare Rule Reference(s)			

File Reference missing here



Compliance Content Controller: Bijay logged in



E-Compliance

E-Complains Compliance Task

E-Complains Group Admin

E-Complains Attributes

E-Complains Masters

New Delhi

Company Compliances Master Library

☒ Create Company content

☐ Import Subscribed content

Act Name: The Income Tax Act 1961 Rule Name: The Income Tax Rules, 1962

Compliance Nomenclature

Compliance Chronology Setup

Select Frequency Period *
Yearly

Basis for Defining the Due Date:
Select Original Due Date Defined By *
Statutory

Select Recurrence *
Every

Select Frequency *
Yearly

Select Day *
30

Periodicity Factor *
Following Year of Compliance Start

Compliance Year Factor *
Financial Year

Start Month for Compliance Year *
April

Defining the Scheduler Master:

Interval Instance	Select Current Compliance Period	Define Year Factor based on periodicity Factor	Select Due Dates & Months (Day / Month)	Define Year Factor based on periodicity Factor	Select Next Compliance Period	Define Year Factor
1	Select Current Compliance Period	Select periodicity Factor *	Select Due Dates & Months (Day / Month)	Select periodicity Factor *	Select Next Compliance Period	Select periodicity Factor
	Following Loop	Select periodicity Factor		Select periodicity Factor		Select periodicity Factor

Please select all items in Scheduler Master based on the following:

Periodicity Factor [Select Option; Mandatory]: The Super Admin will need to select appropriate option. [Validation – Hard coded Default List Value – 1) Same Month, 2) Following Month, 3) Same Month of Quarter Ending, 4) Following Month of Quarter Ending, 5) Following Month of Year Ending]

This Default Hard Coded List needs to appear here.

1. Same Month

2. Following Month

3. Same Month of Quarter Ending

4. Following Month of Quarter Ending

5. Same Month of Half Year Ending

6. Following Month of Half Year Ending

7. Same Month of Year Ending

8. Following Month of Year Ending

– **Periodicity Factor** [Select Option; Mandatory]: The Super Admin will need to select appropriate option. [Validation – Hard coded Default List Value – 1) Same Month, 2) Following Month, 3) Same Month of Quarter Ending, 4) Following Month of Quarter Ending, 5) Following Month of Year Ending]

Compliance Content Controller: Bijay logged in

Company Compliances Master Library

☒ Create Company content ☐ import Subscribed content

Act Name: The Income Tax Act 1961 Rule Name: The Income Tax Rules, 1962



Compliance Nomenclature



Compliance Chronology Setup

Select Business Sector
Healthcare



Select Industry Type
Hospitals

Any Additional Reference
This Compliance is very Critical

Confirm if Penalty Provision Required ? *
Yes

Select Compliance Penalty *
Fine or Interest, Fine or Interest



☒ Fine or Interest

☒ Fine or Interest

Interest S-201.1A, S-221, S-276B interest unde

Suggested Risk Classification

Text to appear as Joining
of "Penalty Category" &
Applicable Section

Like
this!!

Penalty Category	Applicable Section/ Rule/ Regulation Reference	Penalty Descri
Fine or Interest	S-271A	Punishable wit
Fine or Interest	S-201.1A, S-221, S-276B	A person is lia
		interest under

☒ Fine or Interest / S-271A

☒ Fine or Interest / S-201.1A, S-221, S-276B

Compliance Content Controller: Bijay logged in

Page
redesigning
required

File
Reference
missing here

View Company Compliance :

Global Compliance ID, Compliance Name : Ver	CC0003.001.0001:1.0 Annual Filing of Company ITR		
Compliance Description	Every Business Corporate has to file its Annual Income Tax Return after the financials have been audited		
Name of Act/ Regulation	The Income Tax Act 1961	(View More Related Info)	
Name of Rule/ Regulation/ Procedure	The Income Tax Rules, 1962		
Section/ Rule/ Clause/Regulation Reference	139.5	Category of Law	Finance
Jurisdiction Location :			
Nature of Law	Statutory	Regulatory Authority	
Jurisdiction Category	Central	Country Name	
State / Region Name	N/A	District / Locality Name	
Compliance Type	Filing & Payment	Compliance Record Type	Return
Statutory Form / Record References :			
Form Number/ Record Name/ Reference	Applicable Section/ Rule/ Regulation Reference	View Description	Support Reference(s)
Form ITR - Bus	Sec 139.1.ii	Income Tax Return (Business)	
Special Instructions for Statutory Form / Record Updation	The Form also needs to be signed by Statutory Auditor		
Compliance Group	Finance	Compliance Notified Status	Existing
Compliance Chronology Details :			
Frequency Period	Every-Yearly	Original Due Date	30-0
Original Due Date Defined By	Statutory	Extended Due Date	From N/A - Till N/A
Extended Due Date Applied?	false	Effective From - Effective Till	
Compliance Add-Ons :			
Business Sector-Industry Type	Healthcare - Hospitals		
Any Additional Reference	This Compliance is very Critical		
Risk Classification/ Criteria	High / Imprisonment or fine or Both	Penalty Provision Required?	Yes
Compliance Penalty Details :			
Penalty Category	Applicable Section/ Rule/ Regulation Reference	Penalty Description	Minimum Penalty
Fine or Interest	S-201.1A, S-221, S-276B	A person is liable to pay interest for various delays/defaults like interest under section 2011A for failure to deduct tax at source/delay in payment of tax deducted at source and interest under section 206C7 for failure to collect tax at source/delay in payment of tax collected at source.	100
			Maximum Penalty
			100000
			Additional References to Penalty Provision
			Upto 150 percent of tax liability can be imposed
			Support Reference(s)
Additional References from Bare Act & Rule :			
Bare Act Reference(s)	[weblink] https://incometaxindia.gov.in/Pages/acts/income-tax-act.aspx		
Bare Rule Reference(s)	N/A/ N/A		
Created By/ Created on	N/A/ N/A		
Last Edited By/ Edited on	N/A/ N/A		

User Details
missing

File
Reference
missing here

Compliance Content Controller: Bijay logged in

Page
redesigning
required

File
Reference
missing here

User Details missing

File
Reference
missing here

g

Company Compliance :

al Compliance ID/Compliance Name : Ver

CC0006.001.0001:1.0

Compliance Description

Annual Filing of ESI Return

Name of Act/ Regulation

Every Establishment must file Annual Return detailing the beneficiaries and contribution made

Name of Rule/ Regulation/ Procedure

Employees' State Insurance Act, 1948

Section/ Rule/ Clause/Regulation Reference

The Employees' State Insurance (Central) Rules, 1950

Rule 21

Category of Law

Labour

(View More Related Info)

Jurisdiction Location :

Nature of Law

Statutory

Regulatory Authority

Jurisdiction Category

Central

Country Name

State / Region Name

N/A

District / Locality Name

Compliance Type

Registers

Compliance Record Type

Statement

Statutory Form / Record References :

Form Number/ Record Name/ Reference

Applicable Section/ Rule/ Regulation Reference

View Description

Support Reference(s)

Form 1

Rule 21

Bank or banks for depositing the Fund. All moneys accruing or payable to the Fund shall be received by such officers of the Corporations as may be authorized by it in this behalf. The amount so received shall as soon as practicable be acknowledged by a receipt in Form I and deposited in the Reserve Bank or the State Bank of India or any of its subsidiaries

Special Instructions for Statutory Form / Record Update

Every page of the Register must be stamped and signed by HR Head

Compliance Group

Labour

Compliance Notified Status

New

Compliance Chronology Details :

Frequency Period

Every-Yearly

Original Due Date Defined By

Management Decision

Original Due Date

30-0

Extended Due Date Applied?

false

Extended Due Date

Effective From - Effective Till

From N/A - Till N/A

Compliance Add-Ons :

Business Sector-Industry Type

Any Additional Reference

Risk Classification Criteria

Medium / Management Decision

Penalty Provision Required?

Yes

If data maintain in Biometric application, customized report needs to be developed

Compliance Penalty Details :

Penalty Category

Applicable Section/ Rule/ Regulation Reference

Penalty Description

Minimum Penalty

Maximum Penalty

Additional References to Penalty Provision

Support Reference(s)

Fine and/ or Imprisonment

Sec 85.a

Penalty for failure to pay a contribution. the maximum imprisonment for this offense is 3 years and the minimum is 6 months with Rs. 5,000 as fine

100

5,000

Furthermore, sometimes employers deduct their employees' contribution but do not pay it to the Corporation. This offense is even more serious than in general cases. Hence, the minimum imprisonment for such cases is 1 year with a fine of Rs. 10,000

Additional References from Bare Act & Rule :

Bare Act Reference(s)

Bare Rule Reference(s)

Created By/ Created on

Last Edited By/ Edited on

User Details missing

File Reference

File Attached (View) / Download

N/A / N/A

N/A / N/A

Compliance Content Controller: Bijay logged in

The screenshot displays the E-Compliance system interface. On the left is a sidebar menu with categories like 'E-Compliance', 'E-Complains Compliance Task', 'E-Complains Group Admin', 'E-Complains Attributes', 'E-Complains Masters', 'Common Compliance Library Attributes', 'Act/Regulator Universe', 'Other Common Settings', and 'Company Compliance content Library'. The 'View Company Compliance content' option is highlighted. The main area shows a 'View Company Compliance' table with columns for Act Name, Rule Name, and Compliance Name. A 'Column Chooser' dialog is open, allowing users to drag columns to hide them. A red circle highlights the 'Column Chooser' dialog, and a red arrow points to the 'View Company Compliance content' option in the sidebar. A red box contains a list of 15 optional headers that need to be added to the table.

View Company Compliance :

Act Name	Rule Name	Compliance Name
The Income Tax Act 1961	The Income Tax Rules, 1962	Annual Filing of Company
The Income Tax Act 1961	The Income Tax Rules, 1962	Qtrly TDS Return - Salary
The Environment (Protection) Act, 1986	The Environment (Protection) Rules, 1986	HY Return for eWaste
Employees' State Insurance Act, 1948	The Employees' State Insurance (Central) Rules, 1950	Annual Filing of ESI Return

Column Chooser

Drag a column here to hide it

Following Headers need to be added to this list of Optional Headers:

1. Category of Law
2. Nature of Law
3. Regulatory Authority
4. Jurisdiction Category
5. Country Name
6. State / Region Name
7. District / Locality Name
8. Compliance Record Type
9. Compliance Group
10. Compliance Notified Status
11. Frequency Period
12. Business Sector
13. Industry Type
14. Risk Classification
15. Any Additional Reference

Edit Compliance function missing.
We are working to design the functionality basis the Compliance creation available

Compliance Content Controller: Bijay logged in

COMPLETED

E-Compliance

- E-Complains Compliance Task
- E-Complains Group Admin
- E-Complains Attributes
- E-Complains Masters
- Common Compliance Library Attributes
- Act/Regulator Universe
- Other Common Settings
- Company Compliance content Library
- Compliance Department Mapping

Compliance Department Mapping

Select Type: ☐ Department-Location(s) ☐ Location-Department(s)

View Compliance Department Mapping

Drag a column header here to group by that column

Depar... Entity Name

Like this!!

Two changes required:

1. Keep this option checked as default.
2. Add Gaps between the radio button and option
3. Enhance Font size for better visibility

Compliance Department Mapping

Select Type: ☒ Department-Location(s) ☐ Location-Department(s)

Select Department Type


Map to:

Select Entity

Select unit location*

Cancel

Compliance Content Controller: Bijay logged in



- E-Compliance
 - E-Complains Compliance Task
 - E-Complains Group Admin
 - E-Complains Attributes
 - E-Complains Masters
 - Common Compliance Library Attributes
 - Act/Regulator Universe
 - Other Common Settings
 - Company Compliance content Library
 - Compliance Department Mapping
- Create Compliance Department Mapping
- Update Compliance Department Mapping
 - Activity Workgroup Creation
 - Compliance user workgroup mapping
 - Location Compliance Mapping
 - Compliance User Mapping

Compliance Department Mapping

Select Type: ☒ Department-Location(s) ☐ Location-Department(s)

Select Department Type
Human Resources

Map to:

Select Entity
ProBuds Strategy Consulting

Select unit location*

Cancel

View Compliance Department Mapping

Drag a column header here to group by that column

	Depar...	Entity Name	Unit Location Name
1		Neemus Software Solutions	Hyderabad
2		Neemus Software Solutions	Hyderabad
3		Neemus Software Solutions	Hyderabad
Count: 3			

Here the selection is correct

COMPLETED

Since the User logged in "Bijay" is not associated with Entity Name: Neemus , then why is he seeing this Master List of Depart mapping. This should be removed unless the Logged in User is having access to see the data when mapped.

Compliance Content Controller: Bijay logged in

- E-Compliance
- E-Complains Compliance Task
- E-Complains Group Admin
- E-Complains Attributes
- E-Complains Masters
- Common Compliance Library Attributes
- Act/Regulator Universe
- Other Common Settings
- Company Compliance content Library
- Compliance Department Mapping
- Activity Workgroup Creation
- Create Activity Workgroup
- Update Activity Workgroup
- Compliance user workgroup mapping
- Location Compliance Mapping
- Compliance User Mapping
- App Specific Configuration Settings

Create Activity Workgroup

Activity Workgroup Mapping:

COMPLETED

Name of Activity Workgroup *
Company Internal Audit

Min 1 - Max 50 Characters

22/50

Select Department*

☐ Department

☐ Entity



☐ Human Resources

ProBuds Strategy Consulting

☐ Human Resources

ProBuds Strategy Consulting

☐ Human Resources

Neemus Software Solutions

☐ Finance (Treasury)

Neemus Software Solutions

☐ Finance (Accounts)

ProBuds Strategy Consulting

☐ Finance (Accounts)

ProBuds Strategy Consulting

☐ Risk (Credit)

Neemus Software Solutions

☐ Operations

ProBuds Strategy Consulting

☐ Operations

ProBuds Strategy Consulting

Since the User logged in "Bijay" is not associated with Entity Name: Neemus , then why is he seeing this Master List of Depart mapping. This should be removed unless the Logged in User is having access to see the data when mapped.

Compliance Content Controller: Bijay logged in

Create Activity Workgroup

Activity Workgroup Mapping:

Name of Activity Workgroup *
Company Internal Audit
Min 1 - Max 50 Characters

22/50

Description of Activity Workgroup
This Group undertakes all activities related to Internal Audit of Compliances
Min 1 - Max 150 Characters

Human Resources, Human Resources, Finance (Accounts), Finance (Accounts), Operations, Operations

Map Compliance User Roles:

Select Admin/Functional Role:

☐ End User

☐ Super Admin

☐ Group Admin

☐ Compliance Controller

☐ Remediator

☐ Reviewer

☐ Approver

☐ Monitor

☒ Compliance Auditor

No confirmation message appeared to confirm is the changes have been saved or not.

Save

View User Workgroup

The Header should mention: View Activity Workgroup details

Drag a column header here to group by that column

Activit... Entity Name Unit Location Name Department Name

No data

Count: 0

5 10 20 All

Back

View User Workgroup

Drag a column header here to group by that column

Activit...	Entity Name	Unit Location Name	Department Name
No data			

Count: 0

5 10 20 All

Back

1. At the time of testing, no Activity Workgroup has been created yet.

3. But still in the list of 'Update Users', a list of Users appear, that too without any mapping.
As per FRS, The Compliance Controller will need to search and select Single User from the User Master that is mapped for 'Compliance Review Activity'.

2. At the time of testing, no User Workgroup has been created yet.

Compliance User Mapping

Select Compliance

Compliance Mapping Configuration

Compliance User Activity Mapping

☒ Update

☐ Review

☐ Monitor

☐ View

☒ Remediation

☐ Backup User

Select User Name

Administrator

Vishnu Vardhan

Bharth

Akhilesh

Kavya

Ganesh Vardhan

Shyam Kumar

Tarun Hira

Arun Kumar

Jaiveer Singh

DK Singla

Ramesh Jairam

E-Compliance

E-Complains Compliance Task

E-Complains Group Admin

E-Complains Attributes

E-Complains Masters

Common Compliance Library Attributes

Act/Regulator Universe

Other Common Settings

Company Compliance content Library

Compliance Department Mapping

Activity Workgroup Creation

Create Activity Workgroup

Create Activity Workgroup

Compliance user workgroup mapping

Create user workgroup mapping

update user workgroup mapping

Create User Activity Workgroup

Name of User Workgroup Mapping *

Min 1 - Max 50 Characters

Select Activity*

Map Compliance User(s)

Select User(s)*

View User Workgroup Mapping

Drag a column header here to group by that column

user	user workgroup mapping name	user workgroup mapping Description	userActivityid	user(s)
No data				

Count: 0

5 10 20 All

Page 1 of 1 (0 items)

CCT-09.1.3

Compliance User Activity Mapping:

- IMPORTANT:** The Compliance Controller will be able to map 'Compliance Users' to the selected Company Compliance Line Item(s) as per the mapping of User Roles has been done.
- VERY IMPORTANT:** Every mapping of User will require dynamic validation.
- Update Activity [User Selection; Mandatory]:** The Compliance Controller will need to search and **select Single User** from the User Master **that is mapped for 'Compliance Updation Activity'**.
- IMPORTANT:** The User mandatorily needs to be mapped to the same 'Compliance Locational Mapping Identification' that the compliance line item is selected for.

My Testing has stopped at this level as due to Activity Workgroup Mapping not getting saved, further step process cannot be checked.