GRMA

Governance Module

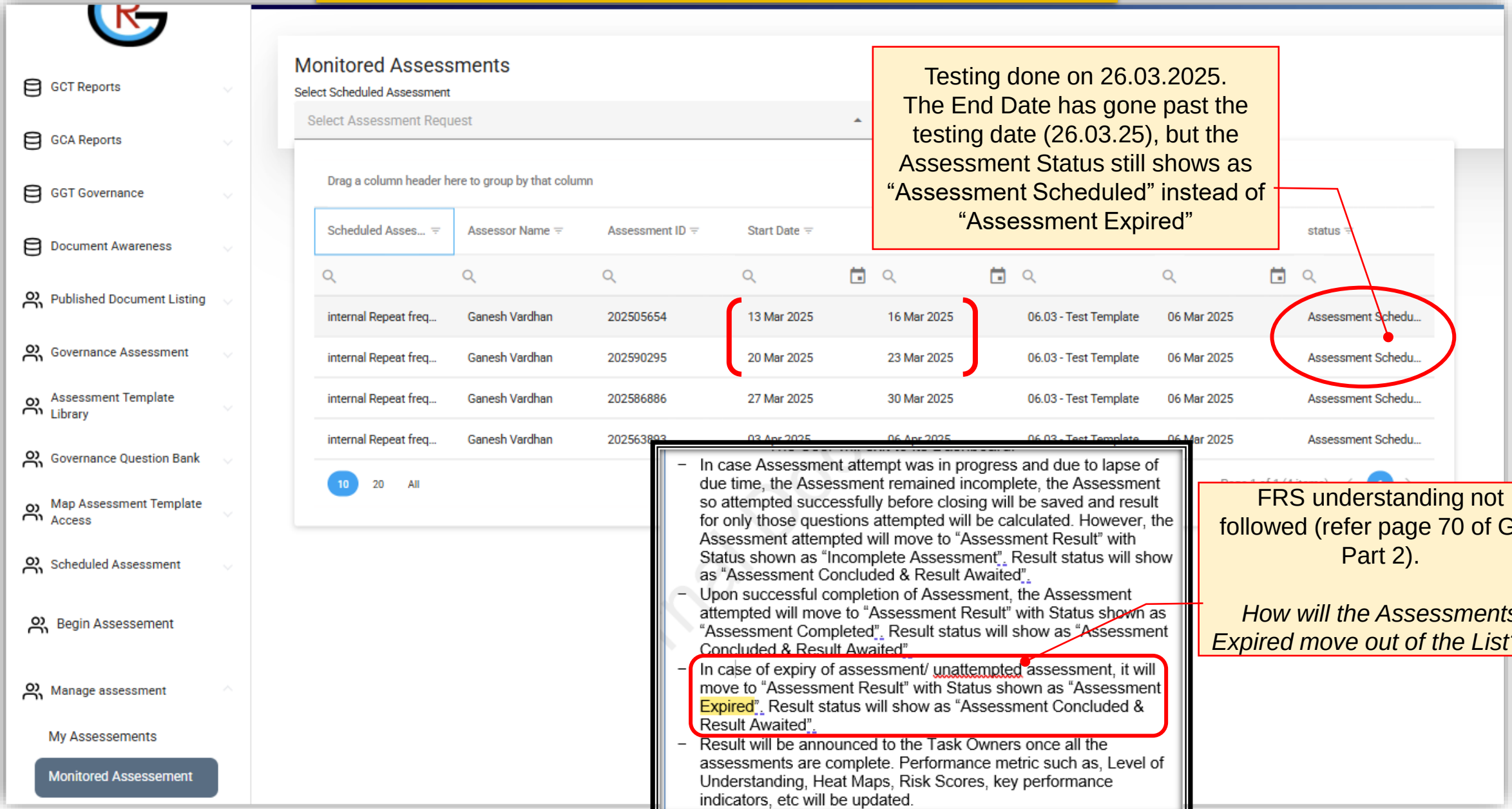
GCA Functions

User Role tested: Risk Assessor, Task User, Risk Controller, Management, Process owner

26.03.2025

Testing of Old Assessments scheduled

Risk Assessor: Banesh logged in



Testing done on 26.03.2025.
The End Date has gone past the testing date (26.03.25), but the Assessment Status still shows as "Assessment Scheduled" instead of "Assessment Expired"

- In case Assessment attempt was in progress and due to lapse of due time, the Assessment remained incomplete, the Assessment so attempted successfully before closing will be saved and result for only those questions attempted will be calculated. However, the Assessment attempted will move to "Assessment Result" with Status shown as "Incomplete Assessment". Result status will show as "Assessment Concluded & Result Awaited".
- Upon successful completion of Assessment, the Assessment attempted will move to "Assessment Result" with Status shown as "Assessment Completed". Result status will show as "Assessment Concluded & Result Awaited".
- In case of expiry of assessment/ unattempted assessment, it will move to "Assessment Result" with Status shown as "Assessment Expired". Result status will show as "Assessment Concluded & Result Awaited".
- Result will be announced to the Task Owners once all the assessments are complete. Performance metric such as, Level of Understanding, Heat Maps, Risk Scores, key performance indicators, etc will be updated.

FRS understanding not followed (refer page 70 of Gov Part 2).

How will the Assessments Expired move out of the List???

Task User: Amir logged in

Begin Assessment Schedule

Under Assessor name column, incorrect Name (Amir Khan) is being shown. The correct name should be Banesh

Assessment ID is missing. Please replace this Version Col with Assessment ID, both in “Begin Assessment” and “My Assessment” screens

Assess...	Type Na...	Sub Typ...	Compet...	Assesso...	Assess...	Version ...	Objectiv...	Messag...	Start Date	End Date	Assesse...
06.03 - Tes...	General	Oridnary	Level of Un...	Amir Khan	Assessme...	1	For testing ...	Please co...	13 Mar 2025	16 Mar 2025	06 Mar 2025
			Level of Un...	Amir Khan	Assessme...	1	For testing ...	Please co...	20 Mar 2025	23 Mar 2025	06 Mar 2025

Page 1 of 1 (2 items) 1

FRS understanding not followed (refer page 66 of Gov Part 2).

Since these Assessments are Expired (past due at the login date), these Assessments should not be listed to Task User, and as per FRS, they should show under “Assessment Result” with all Unanswered Questions and Zero Score

t Scheduled

Process Control Ref	Process Flow
GCA-01E	– The Task Owner to whom the assessment applies to, will receive notification for the Governance Assessment scheduled either by Internal Risk Assessor, External 3rd Party Assessor, or Self-Assessment by Process Owner. – The Task Owner will need to acknowledge the assessment before the he commences to complete it
GCA-01E.1	**Use FILTER & SEARCH for Assessment Scheduled listing**: – Filter options: – Show ALL ‘Active’ Assessment Scheduled (in chronological order – earliest to latest) – Search Criteria:

– In case Assessment attempt was in progress and due to lapse of due time, the Assessment remained incomplete, the Assessment so attempted successfully before closing will be saved and result for only those questions attempted will be calculated. However, the Assessment attempted will move to “Assessment Result” with Status shown as “Incomplete Assessment”. Result status will show as “Assessment Concluded & Result Awaited”.

– Upon successful completion of Assessment, the Assessment attempted will move to “Assessment Result” with Status shown as “Assessment Completed”. Result status will show as “Assessment Concluded & Result Awaited”.

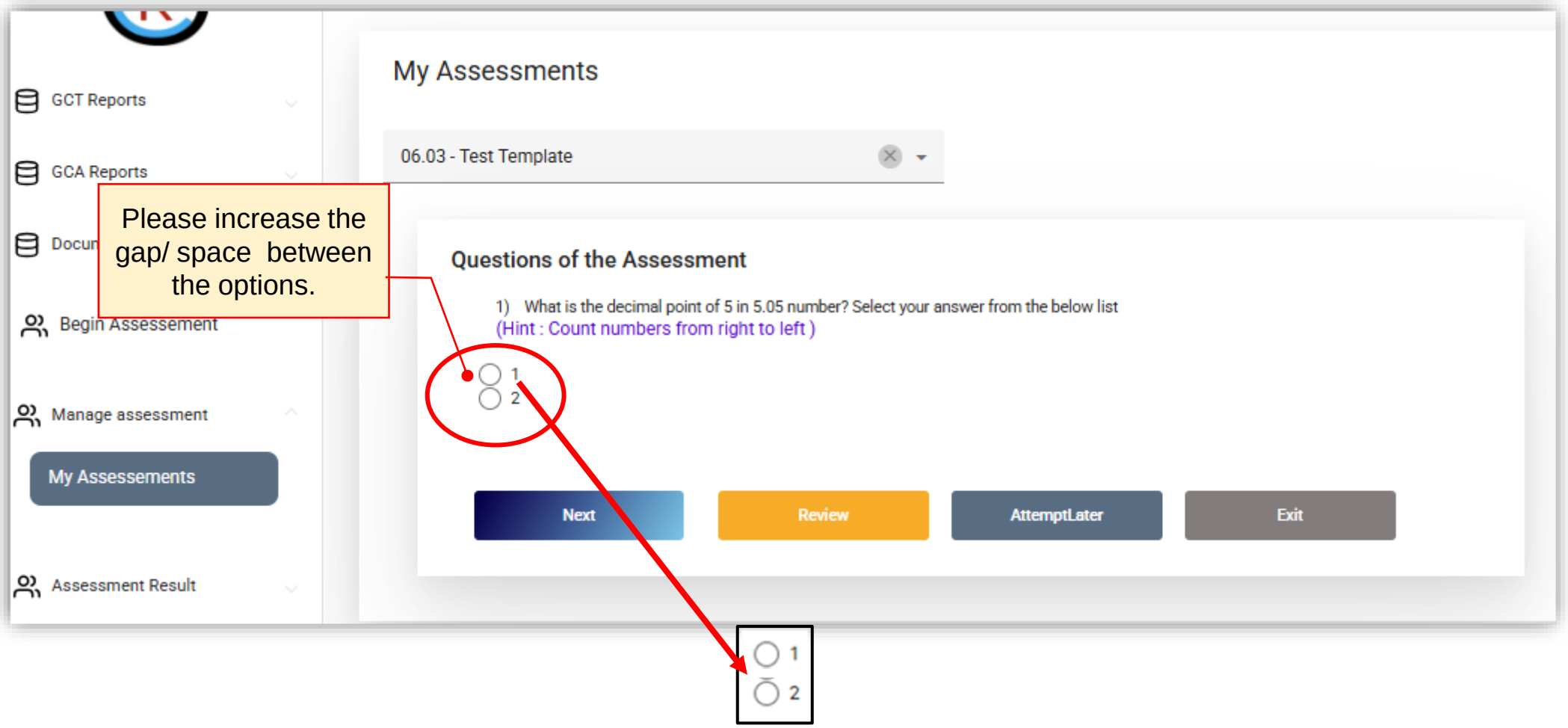
– In case of expiry of assessment/ unattempted assessment, it will move to “Assessment Result” with Status shown as “Assessment Expired”. Result status will show as “Assessment Concluded & Result Awaited”.

– Result will be announced to the Task Owners once all the assessments are complete. Performance metric such as, Level of Understanding, Heat Maps, Risk Scores, key performance indicators, etc will be updated.

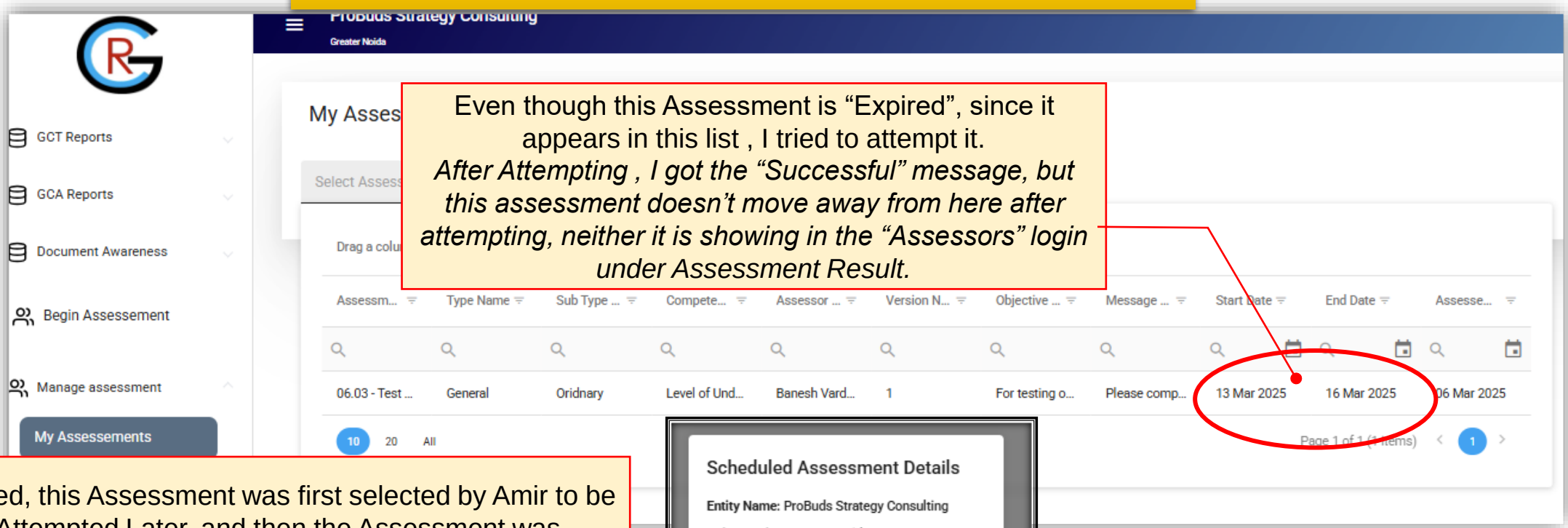
FRS understanding not followed (refer page 70 of Gov Part 2).

How will the “Assessments Expired” move out of the List then??? Please check the logic for “Incomplete Assessment” as well.

Task User: Amir logged in

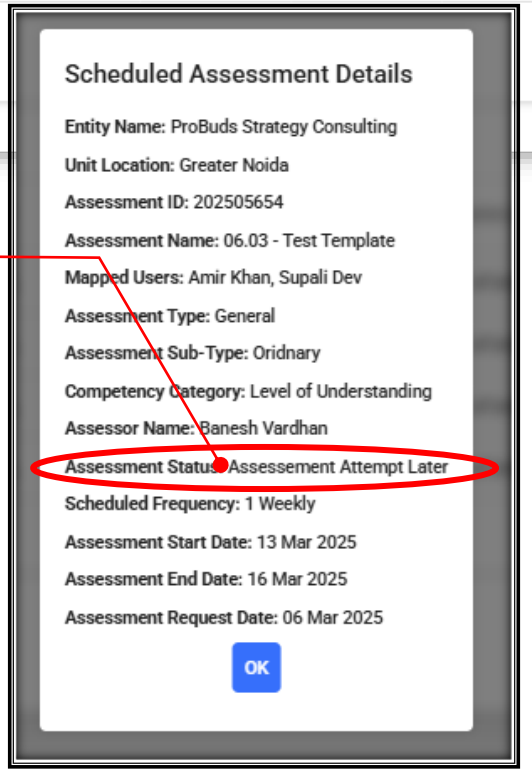


Task User: Amir logged in



Even though this Assessment is “Expired”, since it appears in this list , I tried to attempt it.
After Attempting , I got the “Successful” message, but this assessment doesn’t move away from here after attempting, neither it is showing in the “Assessors” login under Assessment Result.

Indeed, this Assessment was first selected by Amir to be Attempted Later, and then the Assessment was attempted and completed, but the status under Banesh login shows as “Assessment Attempt Later” (this status was never suggested in the FRS).

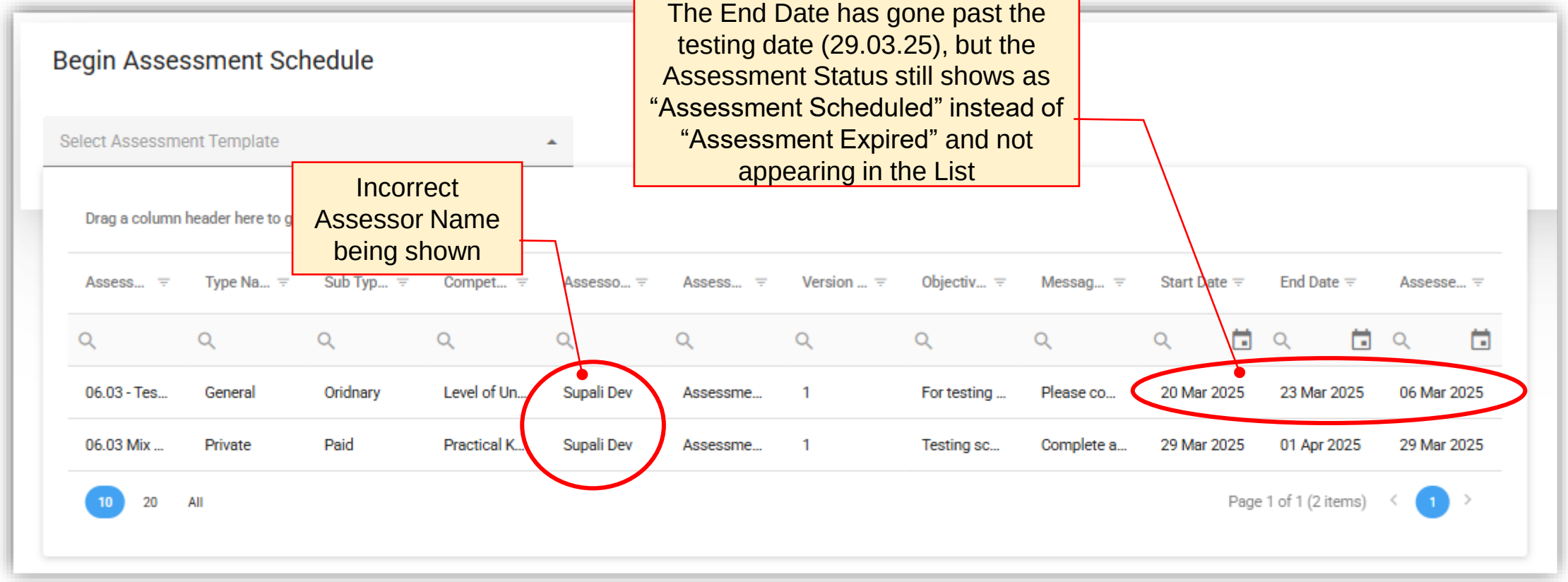


Testing of NEW Assessments scheduled

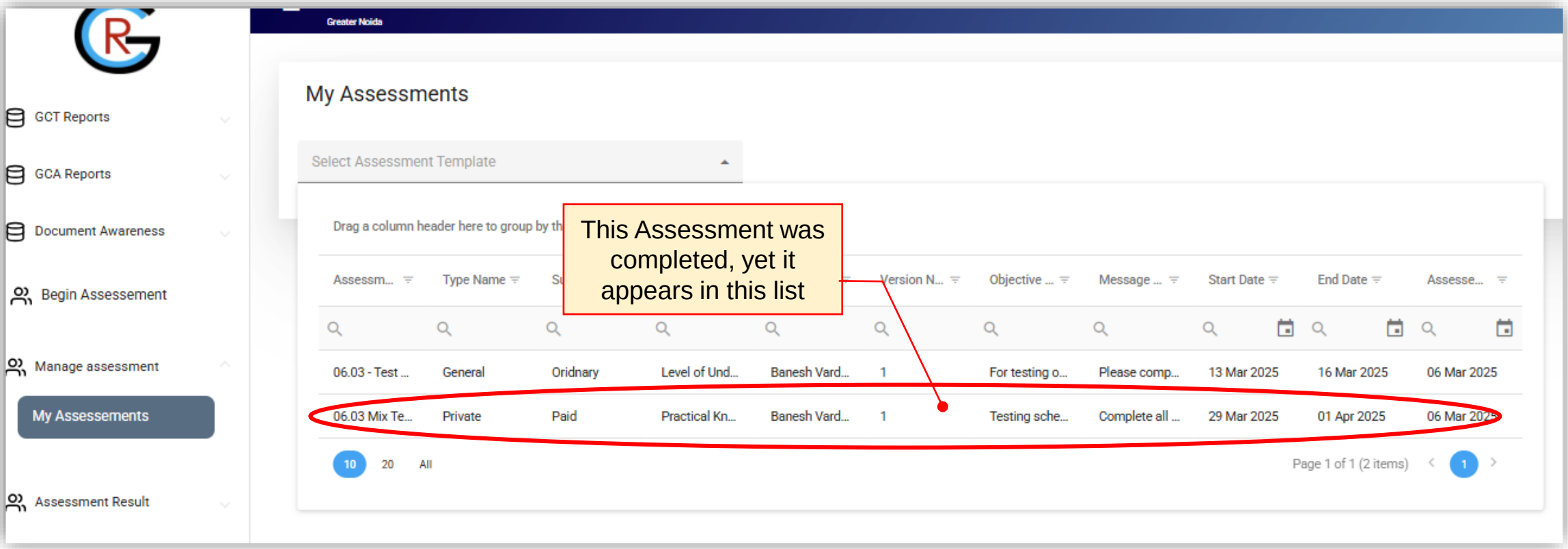
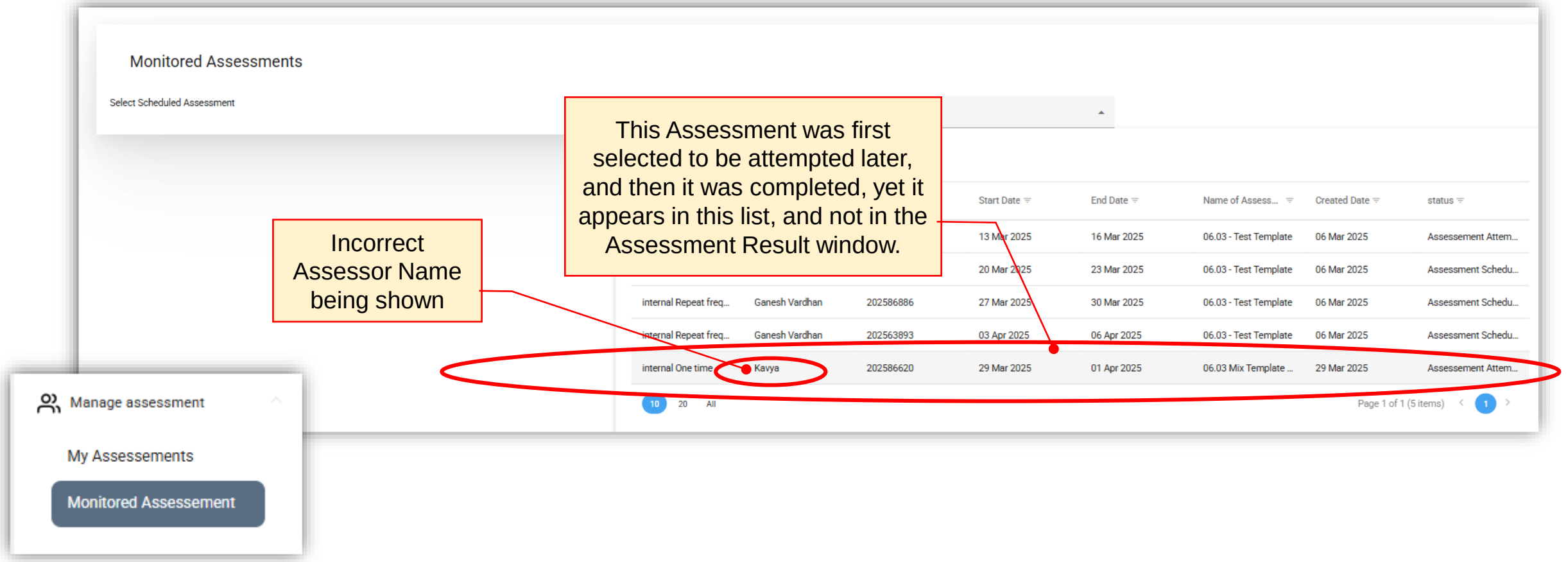
Task User: Supali logged in

Testing done on 29.03.2025.
The End Date has gone past the testing date (29.03.25), but the Assessment Status still shows as “Assessment Scheduled” instead of “Assessment Expired” and not appearing in the List

Incorrect Assessor Name being shown



Task User: Supali logged in



Risk Assessor: Banesh logged in