

GRMA

Compliance Module

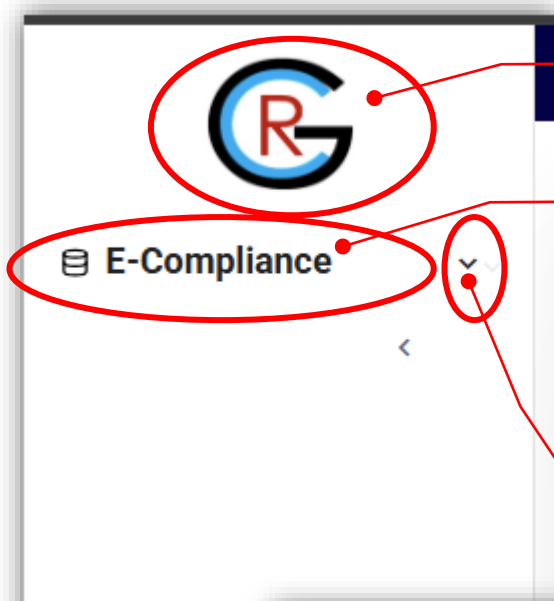
CAT / CFT Functions

User Role tested: **Comp Super Admin, Comp Group Admin, Comp Controller**

10.04.2025

Testing Feedback for Compliance Super Admin Users

Super Admin: Malini logged in



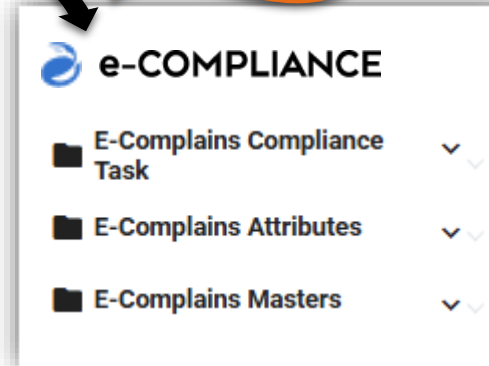
Compliance Logo to appear

Change to "e-Compliance"

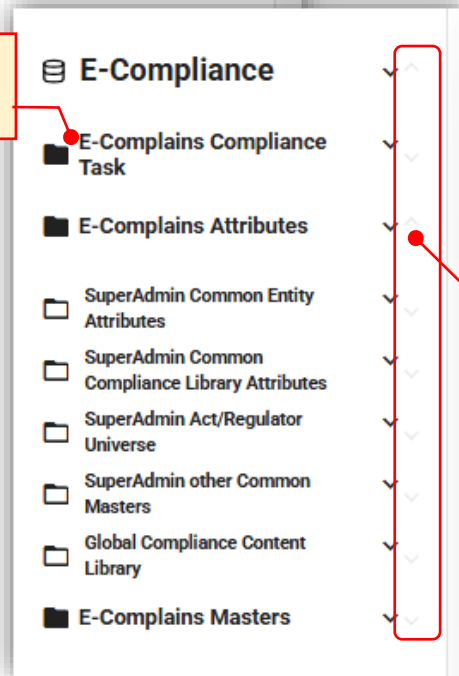
Remove this drop-down, and enable the next tree hierarchy (collapsed) to show as default.
By default, the Compliance Landing Page shows here, when "e-Compliance" is clicked

At a time, only one header can remain open.
When another Header is clicked, the previously open Header should close.

Like this

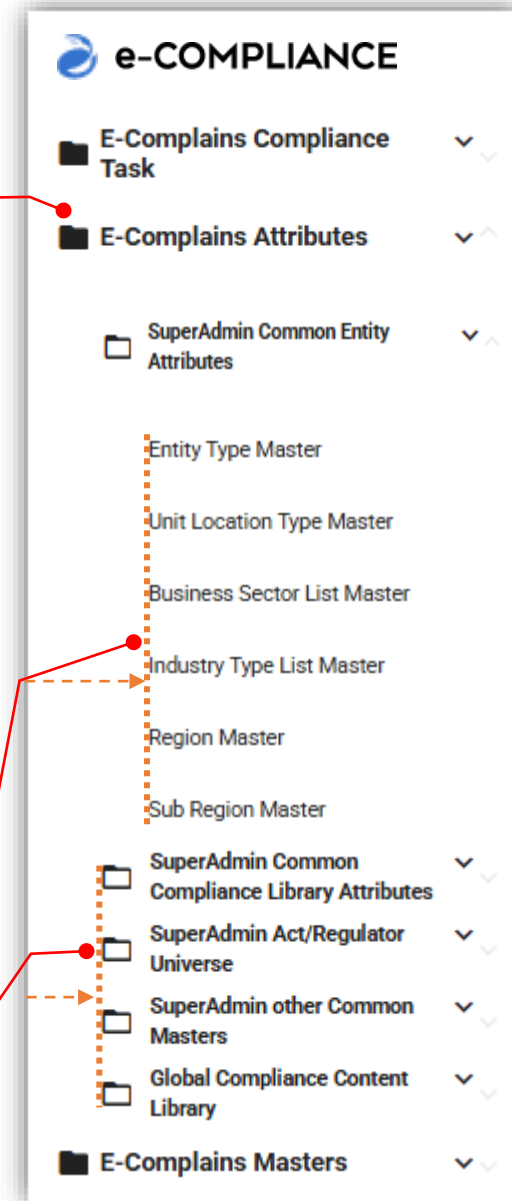


Group Headers to be similar to PPT provided



It seems there is a shadow on every drop-down arrow which is behaving correctly, so the Black drop-drop icon needs to be removed.

Alignment of Group Tree Hierarchy (2nd and 3rd Level) needs to be corrected (indented)



Super Admin: Malini logged in

E-Compliance

E-Complains Compliance Task

E-Complains Attributes

SuperAdmin Common Entity Attributes

SuperAdmin Common Compliance Library Attributes

SuperAdmin Act/Regulator Universe

SuperAdmin other Common Masters

Frequency Master

Holiday Master

Compliance Period

Global Compliance Content Library

E-Complains Masters

Hoilday Master

Drag a column header here to group by that column

| holidayid | Holiday Name | Recurrence | Time Interval | Time Period | Holiday Description |
|-----------|--------------|------------|---------------|-------------|---------------------|
| | | (All) | | (All) | |
| Count: 0 | | | | | |

Add Holiday Master

Holiday Name *

Recurrence *

Time Interval *

Time Period *

Holiday Description

SAVE

CANCEL

Since this is NOT likely getting used anywhere in the application, PLEASE DISABLE this Master Form, until we make corrections here.

Super Admin: Malini logged in

E-Compliance ▾

- E-Complains Compliance Task** ▾
- E-Complains Attributes** ▾
- SuperAdmin Common Entity Attributes ▾
- SuperAdmin Common Compliance Library Attributes ▾

Law Type

Category of Law

Jurisdiction Category Li

Jurisdiction Location

Compliance Type

Compliance Record Type

Compliance Group

Regulatory Authority

Regulatory Authority

Drag a column header here to group by that column

+ ADD

Search...

| Regulatory Authority ID | Regulatory Authority Name | Regulatory Authority Description |
|-------------------------|---------------------------|----------------------------------|
| 5001 | Central | |
| 5002 | State | |

Count: 2

5 10 20 All

Page 1 of 1 (3 items) < 1 >

When the Header is selected, the Text shrinks to lower font size.
Required: The Text size remains same and the font is formatted with 'Bold style'. Changes required under all items in the Menu.

Pagination Format missing on this section

Super Admin: Malini logged in

There is no provision to select any option here.
Are the 'Periodicity Factor parameters' hardcoded in this case? If yes, then just mention the same here for easy understanding.
This is important, as the input is mandatory

The screenshot shows a web application interface for 'Create Alert & Reminders'. The form is divided into several sections: 'Workflow Activity', 'Expected Action (in days)', 'Reminder Alerts (in days)', and 'Notification Mail Alerts'. The 'Workflow Activity' section has three rows: 'Review', 'Approve', and 'Audit'. Each row has an 'Expected Action (in days)' field and an 'Include Holiday Factor' checkbox. The 'Reminder Alerts (in days)' section has three rows, each with a 'Days' field and a 'Periodicity' dropdown. The 'Notification Mail Alerts' section has three rows, each with a 'Notification Alert List*' dropdown. The form is annotated with red circles and lines pointing to specific fields. One circle highlights the 'Include Holiday Factor' checkboxes, with a callout box stating 'Since this is NOT likely getting used anywhere in the application, PLEASE DISABLE these options, until we make corrections in the Master Form.' Another circle highlights the 'Days' field in the 'Reminder Alerts' section, with a callout box stating 'Default value of "1" needs to appear'. A third circle highlights the 'Periodicity' dropdown in the 'Reminder Alerts' section, with a callout box stating 'There is no provision to select any option here. Are the "Periodicity Factor parameters" hardcoded in this case? If yes, then just mention the same here for easy understanding. This is important, as the input is mandatory'.


| Workflow Activity | Expected Action (in days) | Include Holiday Factor | Reminder Alerts (in days) | Notification Mail Alerts |
|-------------------|---------------------------|--------------------------|---------------------------|---|
| Review | 5 | <input type="checkbox"/> | 2 | Notification Alert List* Review Pending Reminder |
| Approve | Days | <input type="checkbox"/> | Days | Notification Alert List* |
| Audit | Days | <input type="checkbox"/> | Days | Notification Alert List* |

Cancel Save Configuration

Since this is NOT likely getting used anywhere in the application, PLEASE DISABLE these options, until we make corrections in the Master Form.

Default value of "1" needs to appear

Super Admin: Malini logged in



E-Compliance

E-Complains Compliance Task

E-Complains Attributes

SuperAdmin Common Entity Attributes

SuperAdmin Common Compliance Library Attributes

SuperAdmin Act/Regulator Universe

SuperAdmin other Common Masters

Global Compliance Content Library

E-Complains Masters

Alerts And Reminders(cc)

ite Alert and Reminder

ProBuds Strategy Consulting

New Delhi

E-Compliance

Malini K

Create Alert & Reminders

| Workflow Activity | Expected Action (in days) | | | Reminder Alerts (in days) | | Notification Mail Alerts |
|-------------------|-----------------------------------|----------------------|---|-----------------------------------|---|--------------------------|
| Review | <input type="text" value="Days"/> | Review Periodicity | <input type="checkbox"/> Include Holiday Factor | <input type="text" value="Days"/> | <input type="text" value="Periodicit"/> | Notification Alert List* |
| Approve | <input type="text" value="Days"/> | Approval Periodicity | <input type="checkbox"/> Include Holiday Factor | <input type="text" value="Days"/> | <input type="text" value="Periodicit"/> | Notification Alert List* |
| Audit | <input type="text" value="Days"/> | Audit Periodicity | <input type="checkbox"/> Include Holiday Factor | <input type="text" value="Days"/> | <input type="text" value="Periodicit"/> | Notification Alert List* |

Cancel

Save Configuration

Table did not get refreshed after New Value got updated upon submitting – ‘Save Configuration’

Alerts...

Workactivity

ExpectedDays

Expectedperiodicity

Expected Holiday

Reminderalertdays

Reminderalertperiodicity

notification

| 1 | Review | 17 | After Compliance Update Date | <input checked="" type="checkbox"/> | 16 | test | Act Early - Compliance Due Reminder for Update |
|---|---------|----|--------------------------------|-------------------------------------|----|------|--|
| 2 | Approve | 22 | After Compliance Review Date | <input checked="" type="checkbox"/> | 31 | test | Compliance Available for REVIEW |
| 3 | Audit | 8 | After Compliance Approval Date | <input type="checkbox"/> | 10 | test | Compliance Available for REVIEW |

Count: 3

5

10

20

All

Page 1 of 1 (3 items)

Super Admin: Malini logged in

View Act/Rule Repository

Select Act/ Master Regulation Name*
The Income Tax Act, 1961

Select Rule name*
The Income Tax Rules, 1962

View Bare Act/Rule Repository:

ActID Name of Act/Regulation

Rule_id name of Rule/Regulation/Procudere

Applicability Description of Rule/Regulation/Procudere

Category of Law

The Rule ID should have been based on the Compliance ID – GC0001. That is to say, the Rule ID should be GC0001.001

What is the present logic??

GC0001-The Income Tax Act, 1961

GC0003.001-The Income Tax Rules, 1962

It is applicable to every establishment

Finance

Edit

View Bare Act/Rule Repository:

ActID Name of Act/Regulation

Rule_id name of Rule/Regulation/Procudere

Applicability Description of Rule/Regulation/Procudere

Category of Law

GC0002-The Payment Of Gratuity Act, 1972

GC0003.002-The Haryana Payment Of Gratuity Rules, 1972

Applicable to Every Establishment factory, mine, oilfield, plantation, port and railway company

Labour & Industrial

Logic mentioned
in the FRS
Document (Refer
Part 2 Pg 13)

View Bare Act/Rule Repository:

ActID Name of Act/Regulation

Rule_id name of Rule/Regulation/Procudere

Applicability Description of Rule/Regulation/Procudere

Category of Law

GC0003-The Environment (Protection) Act, 1986

GC0004.001-The Environment (Protection) Rules, 1986

For the purpose of protecting and improving the quality of the environment and

Environment Health & Safety

- name. **important:** The User can add maximum 3000 references only.
- Upon saving the record, a **Global Rule ID** will be generated with following format:
 - **"GC0000.000"** (where "GC0000" stands for Act ID under which the specific Rule/ Regulation is saved, and "000" is the unique series starting from 001 onwards associated exclusively with the respective Global Act ID under which it has been saved. For example, GC0001.001, GC0002.010, GC0002.020, etc)

Super Admin: Malini logged in

Testing was being done on Compliance Module > Add Rules & regulations. Upon saving the entry, when the page got refreshed, it went back to Governance module on its own. It is happening at many other places as well.

The screenshot displays the ProBuds Strategy Consulting web application interface. The left sidebar contains a 'Governance' menu item and an 'Add Rules And Regulation' link, both highlighted with red circles. The top header bar shows the 'Governance' module selected and the user 'Malini K' logged in, also circled in red. The main content area is titled 'Add Rules' and features a progress bar with four steps: 1. Add Rules, 2. Jurisdiction Location, 3. Dependent Factors, and 4. Additional Reference. The form includes a 'Select Act Name*' dropdown, a 'Name of Rule /Regulation/Procedure *' text field (with a character count of 0/250), an 'Applicability Description' text area (with a character count of 0/2500), a 'category of Law*' dropdown, and a 'Next' button at the bottom right.

Super Admin: Malini logged in

While 'Edit / Add Rule & Regulation/ Procedure' was edited, this error came, but did not specify what kind of error it is. All mandatory options were filled in.

ProBuds Strategy Consulting

New Delhi

grma.neemus.com says
An error occurred while submitting the form.

OK

Edit ADD Rule/ Regulation/ Procedure

Back

4

Add Rules

Jurisdiction Location

Dependent Factors

Additional Referen

Add Bare Rule Reference

Select Option
File Attachment

The Haryana Payment O

Attach

Remove

Add More

cancel

Update

Super Admin: Malini logged in

While 'Add Rule & Regulation/ Procedure' was added with new entry, it did not save, and no specific error message was got displayed. All mandatory options were filled in.

Add Rules And Regulation

Progress: 1/4 (Add Rules, Jurisdiction Location, Dependent Factors, Additional References)

Add Bare Rule Reference

Select Option: File Attachment

File Attachment: thepaymentofbonusrules [Attach](#) [Remove](#)

[Add More](#)

[Back](#) [Save](#)

E-Compliance

- E-Complains Compliance Task
- E-Complains Attributes
- SuperAdmin Common Entity Attributes
- SuperAdmin Common Compliance Library Attributes
- SuperAdmin Act/Regulator Universe

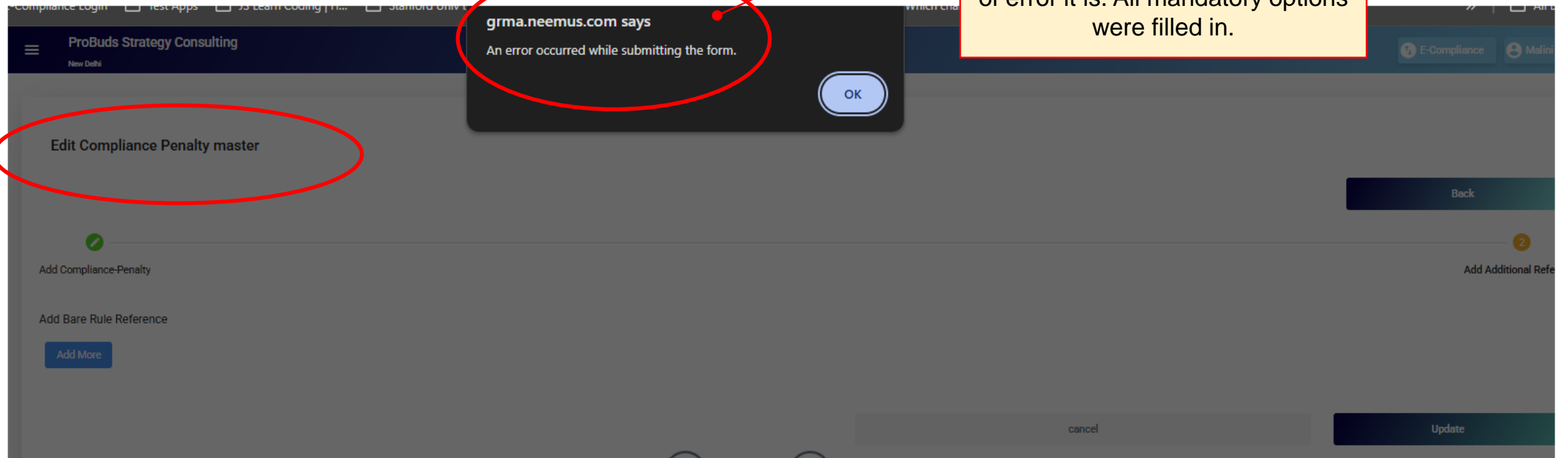
SupAdmin-Act-Regulator Master

SupAdmin-View-Act-Regulator

SupAdmin-Add-Rules-Regulation

Super Admin: Malini logged in

While 'Edit Compliance Penalty Master' was edited, this error came, but did not specify what kind of error it is. All mandatory options were filled in.



Super Admin: Malini logged in

Compliance penatly Master

1

Add Compliance-Penalty

✓

Add Additional Reference

Select Act Name*
The Payment Of Gratuity Act, 1972

The Haryana Payment Of Gratuity Rules, 1972

Select Penalty category*
Fine and/ or Imprisonment

Application Selections /Rules/Regulation Reference
Sec-999

penalty Description *
Under the Payment of Gratuity Act, 1972, employers who fail to pay gratuity or contravene the Act's provisions face penalties, including imprisonment up to 2 years for non-payment and fines up to 20,000

Minimum Penalty
100

Min 1 - Max 1500 Characters

202/1500

Min 1 - Max 250 Characters

3/250

Maximum Penalty
20000

Additional Reference to Penalty Provision
NA

Min 1 - Max 250 Characters

5/250

Min 1 - Max 250 Characters

2/250

Next

ProBuds Strategy Consulting

New Delhi

E-Compliance

Malini K

Compliance penatly Master

✓

Add Compliance-Penalty

2

Add Additional Reference

Add penalty Support Reference

Select Option
File Attachment

File Attachment

Payment Of Gratuity Act,

Attach

Remove

Add More

Back

Save

While 'Add Compliance penalty Master' was added with new entry, it did not save, and no specific error message was got displayed. All mandatory options were filled in.

Testing Feedback for Compliance Company Users

Compliance Group Admin: Indermohan logged in

Does this work????
*Data is not getting updated for the
Company Group Admin/ Compliance
Controller with Super Admin Master
inputs*

The screenshot displays the E-Compliance system interface. On the left is a sidebar menu with the following items: E-Compliance, E-Complains Group Admin, Common Entity Attributes, Entity Type, Unit Location Type, Business sector List, Industry-Type List, Region Master, Sub Region Master, Other Common Master, Entity Hierarchy Level Setup, E-Complains Attributes, SuperAdmin Common Compliance Library Attributes, and a blue bar at the bottom labeled 'Data Imported'.

The main content area is titled 'Common Entity Attributes Data Imported'. It contains a table with two columns: 'Database Name' and 'Table Name'. The table is divided into two sections: 'Common Entity Attributes' and 'Other Common Masters'.

| Database Name | Table Name |
|--------------------------|------------------|
| Common Entity Attributes | EntityTypeMaster |
| | UnitTypeMaster |
| | BusinessSector |
| | IndustryType |
| | RegionMaster |
| | Sub_RegionMaster |
| Other Common Masters | FrequencyMaster |
| | HolidayMaster |

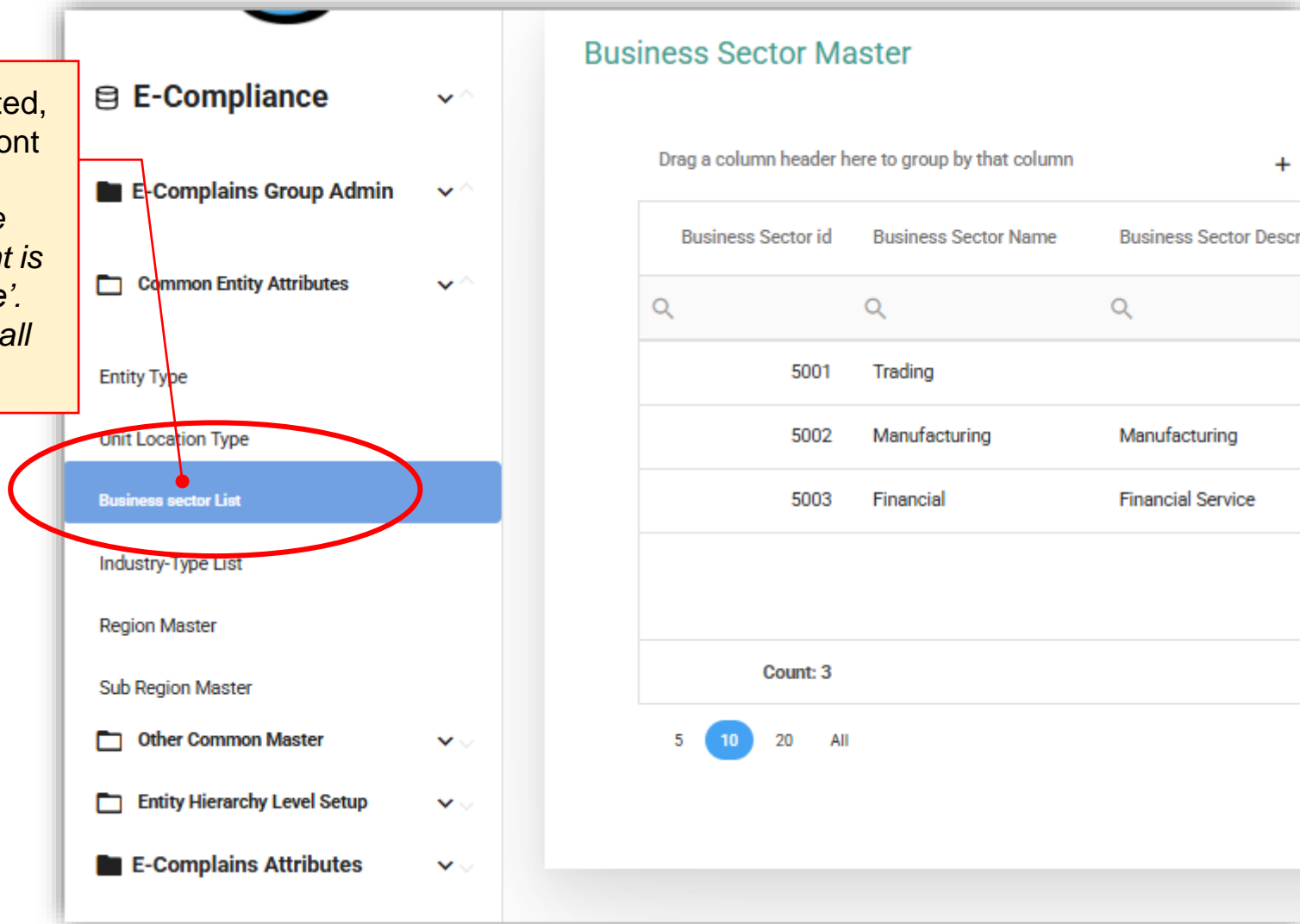
On the right side of the table, there are two download icons (arrows pointing down) corresponding to the two sections. A red circle highlights these download icons, and a red line points from the text box above to the top download icon.

In the bottom right corner, there is a small window titled 'Entity Hierarchy Level Setup' with a 'Database Name' field and a 'Save' button.

Compliance Group Admin: Indermohan logged in

When the Header is selected, the Text shrinks to lower font size.

Required: The Text size remains same and the font is formatted with 'Bold style'. Changes required under all items in the Menu.



E-Compliance ▾ ▴

- E-Complains Group Admin** ▾ ▴
- Common Entity Attributes** ▾ ▴
- Entity Type
- Business sector List**
- Unit Location Type
- Industry-Type List
- Region Master
- Sub Region Master
- Other Common Master** ▾ ▴
- Entity Hierarchy Level Setup** ▾ ▴
- E-Complains Attributes** ▾ ▴

Business Sector Master

Drag a column header here to group by that column +

| Business Sector id | Business Sector Name | Business Sector Description |
|--------------------|----------------------|-----------------------------|
| 5001 | Trading | |
| 5002 | Manufacturing | Manufacturing |
| 5003 | Financial | Financial Service |

Count: 3

5 10 20 All

Compliance Group Admin: Indermohan logged in

E-Compliance

E-Complains Group Admin

Common Entity Attributes

Entity Type

Unit Location Type

Business sector List

Industry-Type List

Region Master

Unit Type Master

+ ADD

| Unit Type id | Unit Type Name | Description |
|--------------|------------------------|---|
| 1 | Head Office | |
| 2 | Business Establishment | Any type of Business or office Establishment of this Entity |
| 5001 | Factory | |
| 5002 | Branch | |

Count: 4

E-Compliance

E-Complains Group Admin

Common Entity Attributes

Entity Type

Unit Location Type

Business sector List

Business Sector Master

Drag a column header here to group by that column

+ ADD

| Business Sector id | Business Sector Name | Business Sector Description |
|--------------------|----------------------|-----------------------------|
| 1 | Healthcare | |
| 5001 | Trading | |
| 5002 | Manufacturing | Manufacturing |
| 5003 | Financial | Financial Service |

All these inputs were updated at the Super Admin Level, but when "Import" function was selected/updated, none of the updated values appeared here. What is the logic here????

E-Compliance

E-Complains Group Admin

Common Entity Attributes

Entity Type

Unit Location Type

Business sector List

Industry-Type List

Region Master

Industry Type Master

Drag a column header here to group by that column

| Industry Id | Business Sector Name | Industry Type Name |
|-------------|----------------------|--------------------|
| 1 | Healthcare | Hospitals |
| 5001 | | Retail |
| 5002 | | Automobile |
| 5003 | | NMFC |

E-Compliance

E-Complains Group Admin

Common Entity Attributes

Entity Type

Unit Location Type

Business sector List

Industry-Type List

Region Master

Region Master

| Region id | Region Name |
|-----------|------------------|
| 1 | Asia-Pacific |
| 2 | Middle East Asia |
| 5002 | Europe |

Count: 3

E-Compliance

E-Complains Group Admin

Common Entity Attributes

Entity Type

Unit Location Type

Business sector List

Industry-Type List

Region Master

Sub Region Master

| Sub Region id | Select Region Name | Sub Region Name |
|---------------|--------------------|-----------------|
| 1 | Asia-Pacific | India |
| 2 | Europe | France |
| 3 | Middle East Asia | Israel |
| 5002 | | China |
| 5003 | Europe | Finland |

Count: 7

5 10 20 All

Compliance Group Admin: Indermohan logged in

E-Compliance

- E-Complains C
- Common Entity
- Other Common

Frequency Master

Hoilday Master

- Entity Hierarchy Level Setup
- E-Complains Attributes

Frequency Master

nn header here to group by that column

+ ADD

Search...

| id | Frequency Period | Recurrence | Time Interval | Time Period | No. of Frec |
|------|------------------|------------|---------------|-------------|-------------|
| 1 | Half Yearly | Every | 6 | Month | |
| 5001 | Monthly | Every | | | |
| 5002 | Quarterly | Every | | | |
| 5003 | Half Year | Every | | | |
| 5004 | Yearly | Every | | | |

Count: 5

Page 1 of 1 (5 items)

All these inputs were updated at the Super Admin Level, but when "Import" function was selected, none of the updated values appeared here.

Compliance Group Admin: Indermohan logged in

The screenshot displays the 'Entity Hierarchy Level Master' interface. On the left, a sidebar menu under 'E-Compliance' includes 'E-Complains Group Admin', 'Common Entity Attributes', 'Other Common Master', 'Entity Hierarchy Level Setup', 'Entity Hierarchy' (selected), and 'E-Complains Attributes'. The main area shows a table with columns 'entityHeirarchyLevelID', 'level', and 'entity'. The table is empty, displaying 'No data'. A red oval highlights this message. A callout box points to the table with the text: 'This data entry was attempted to be saved. Even after the confirmation message appeared to be successful, no data got updated'. Below the table, there are three dropdown menus for 'Level 1 *' (Entity Type), 'Level 2 *' (Business Sector > Industry Type), and 'Level 3 *' (Region > Sub-Region). A red oval highlights these dropdowns. An 'Update' button is visible on the right. At the bottom, a modal dialog box with the title 'Data Updated Successfully' and an 'Ok' button is shown, also highlighted by a red oval.

Entity Hierarchy Level Master

entityHeirarchyLevelID level entity

No data

This data entry was attempted to be saved.
Even after the confirmation message appeared to be successful, no data got updated

Level 1 *
Entity Type

Level 2 *
Business Sector > Industry Type

Level 3 *
Region > Sub-Region

Update

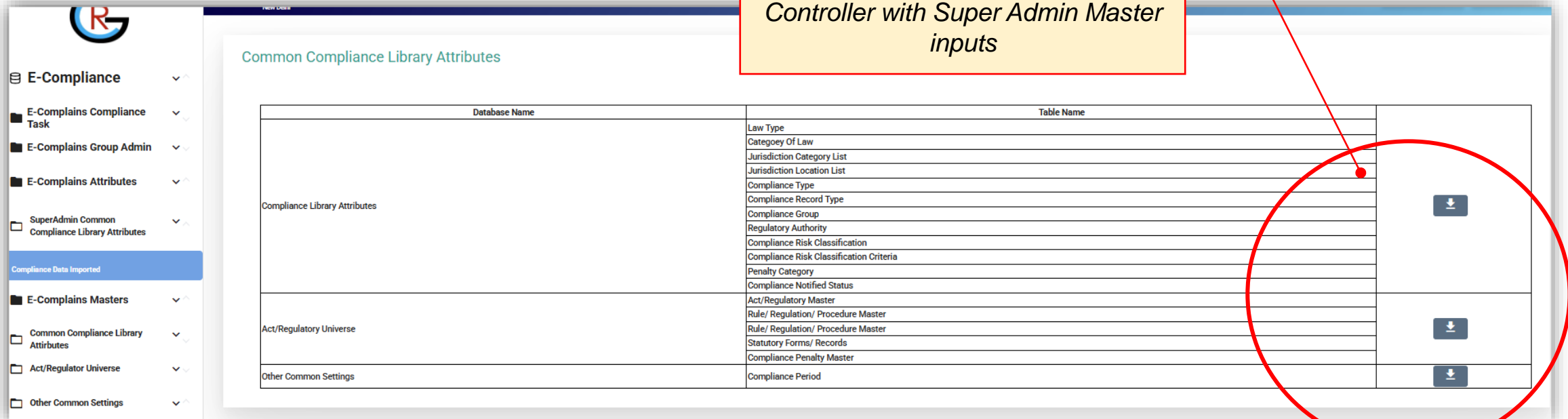
No data



Data Updated Successfully

Ok

Compliance Content Controller: Bijay logged in

Does this work????
*Data is not getting updated for the
Company Group Admin/ Compliance
Controller with Super Admin Master
inputs*



| Database Name | Table Name | |
|-------------------------------|---|---|
| Compliance Library Attributes | Law Type | |
| | Category Of Law | |
| | Jurisdiction Category List | |
| | Jurisdiction Location List | |
| | Compliance Type | |
| | Compliance Record Type | |
| | Compliance Group | |
| | Regulatory Authority | |
| | Compliance Risk Classification | |
| | Compliance Risk Classification Criteria | |
| | Penalty Category | |
| | Compliance Notified Status | |
| | |  |
| Act/Regulatory Universe | Act/Regulatory Master | |
| | Rule/ Regulation/ Procedure Master | |
| | Rule/ Regulation/ Procedure Master | |
| | Statutory Forms/ Records | |
| | Compliance Penalty Master | |
| Other Common Settings | Compliance Period | |
| | |  |

Compliance Content Controller: Bijay logged in

The screenshot displays the Compliance Content Controller interface. On the left, a sidebar menu features a logo with a stylized 'R' and 'G' inside a circle, and a 'Governance' menu item with a dropdown arrow, both circled in red. The main content area is titled 'App Specific Configuration Settings' and is also circled in red. It contains a 'Grant Permissions' section with a green toggle switch and a 'Configuration Settings' section with a list item '1. Set File Upload Size Limit for every Compliance Updation attachment'. To the right of this list is a 'Set Parameters' section with a text input field containing '20' and the unit 'MB'. At the bottom, there are 'Cancel' and 'Save Settings' buttons. A red line points from the text box to a 'Governance' button in the top right corner, which is also circled in red. The top right corner shows the user 'Bijay J' is logged in.

Testing was being done on Compliance Module > App Specific Configuration Settings. Upon saving the entry, when the page got refreshed, it went back to Governance module on its own. It is happening at many other places as well.

Correction is required at all levels.

Compliance Content Controller: Bijay logged in

Category Of Law Master

Drag a column header here to group by that column

| category_of_law_ID | Category of law Name | Categoryof law Description |
|--------------------|----------------------|----------------------------|
| 5001 | Labour | |
| 5002 | Environment | |
| 5003 | Finance | |

Compliance Type

Drag a column header here to group by that column

| Compliance Type ID | Compliance Type Name |
|--------------------|----------------------|
| 5001 | Filing & Payment |
| 5002 | Display |
| 5003 | Registers |
| 5004 | Event Based |

Count: 4

5 10 20 All

compliance Type

All these inputs were updated at the Super Admin Level, but when "Import" function was selected, none of the updated values appeared here.

Compliance Content Controller: Bijay logged in

E-Compliance

- E-Complains Compliance Task
- E-Complains Group Admin
- E-Complains Attributes
- E-Complains Masters
- Common Compliance Library Attributes
- Act/Regulator Universe
- Act-Regulator Master
- View Act-Regulator Master
- Add Rules Regulation

ADD Rule/ Regulation/ Procedure

Dependent Factors

Remove

THE ENVIRONMENT RUL [Attach](#)

Back

Save

While 'Add Rule & Regulation/ Procedure' was added with new entry (Act added by Super Admin), it did not save, and no specific error message was got displayed. All mandatory options were filled in.

E-Compliance

- E-Complains Compliance Task
- E-Complains Group Admin
- E-Complains Attributes
- E-Complains Masters
- Common Compliance Library Attributes
- Act/Regulator Universe
- Act-Regulator Master
- View Act-Regulator Master
- Add Rules Regulation

ADD Rule/ Regulation/ Procedure

Dependent Factors

Remove

Jurisdiction

File Attachment
ESIRules1950.pdf [Attach](#)

Back

Save

Same error/ no confirmation appears, when Rule was added by Company Admin (Act added by Company Admin),

Compliance Content Controller: Bijay logged in

E-Compliance

E-Complains Compliance Task

E-Complains Group Admin

E-Complains Attributes

E-Complains Masters

Common Compliance Library Attributes

Act/Regulator Universe

Act-Regulator Master

View Act-Regulator Master

Add Rules Regulation

View Act Rules Regulation

View Rule/ Regulation/ Procedure /Rule Repoisitory

Select Act/ Master Regulation Name*
The Environment (Protection) Act, 1986

Select Rule name *
The Environment (Protection) Rules, 1986
The Environment (Protection) Rules, 1986
The Environment (Protection) Rules, 1986
The Environment (Protection) Rules, 1986
The Environment (Protection) Rules, 1986
The Environment (Protection) Rules, 1986

Later these many entries appear with same name. May be number of times I clicked to save the record.

E-Compliance

E-Complains Compliance Task

E-Complains Group Admin

E-Complains Attributes

E-Complains Masters

Common Compliance Library Attributes

Act/Regulator Universe

Act-Regulator Master

View Act-Regulator Master

Add Rules Regulation

View Act Rules Regulation

Bare Act/Rule-Repository

Add statutory Forms Records

Bare Act/Rule Repository

Select Act/ Master Regulation Name*
The Environment (Protection) Act, 1986

Select Rule name *
The Environment (Protection) Rules, 1986

View Bare Act/Rule Repository:

| | | | |
|--|--|----------------------|--|
| ActID Name of Act/Regulation | GC0003-The Environment (Protection) Act, 1986 | | |
| Description of Act/Regulation | N/A | | |
| Rule_id name of Rule/Regulation/Procudere | CC0003.007-The Environment (Protection) Rules, 1986 | | |
| Applicability Description of Rule/Regulation/Procudere | For the purpose of protecting and improving the quality of the environment and preventing and abating environmental pollution, the standards for emission or discharge | | |
| Category of Law | Environment | | |
| Jurisdiction Location : | | | |
| Law Type | Statutory | Regulatory Authority | |
| Jurisdiction Category | State | Country Name | |
| State / Region Name | N/A | | |
| Additional References from Bare Act & Rule : | | | |
| Bare Act Reference(s) | | | |
| Bare Rule Reference(s) | | | |

File Reference missing here

E-Compliance

E-Complains Compliance Task

E-Complains Group Admin

E-Complains Attributes

E-Complains Masters

Common Compliance Library Attributes

Act/Regulator Universe

Act-Regulator Master

View Act-Regulator Master

Add Rules Regulation

View Act Rules Regulation

Bare Act/Rule-Repository

Add statutory Forms-Records

View statutory Forms-Records

Compliance Penalty Masters

View Compliance Penalty Masters

Other Common Settings

Company Compliance content Library

Compliance Department Mapping

Activity Workgroup Creation

View Rule/ Regulation/ Procedure /Rule Repository

Select Act/ Master Regulation Name*
Employees' State Insurance Act, 1948

Select Rule name *
The Employees' State Insurance (Central) Rules, 1950

View Act/Rule Repository:

| | |
|--|--|
| ActID Name of Act/Regulation | CC0006-Employees' State Insurance Act, 1948 |
| Rule_id name of Rule/Regulation/Procdere | CC0006.001-The Employees' State Insurance (Central) Rules, 1950 |
| Applicability Description of Rule/Regulation/Procudere | "Applies to all factories (including factories belonging to the government) other than seasonal factories, but excludes employees who are in receipt of benefits substantially similar or superior to the benefits provided under this Act." |
| Category of Law | Labour |
| IsImportedData | No |

Edit

| | | | | | | | | |
|---|--|---|--|--------------------------------|--|--|--|-------------------------------------|
| Jurisdiction Location : | | <div>The Reference is missing here</div> | | Statutory | | Regulatory Authority | | Central |
| Law Type | | | | Central | | Country Name | | N/A |
| Jurisdiction Category | | | | N/A | | District / Locality Name | | N/A |
| State / Region Name | | | | | | | | |
| Dependence Factory : | | | | | | | | |
| Type of Business Organization/ Establishment | | All Types of Business Establishment, including Factory, Shops, Offices, Mines, employing more than 10 employees | | | | Specific Business Operations | | All Types of Business Establishment |
| No. & Type of Employees/ Workers | | More than 10 employees | | | | Capital Investment of Business Establishment | | N/A |
| Turnover of Business Establishment | | N/A | | | | Specific Working Conditions | | N/A |
| Conditions imposed through Business Registration/ Licence | | N/A | | | | Any Other Factor | | N/A |
| Additional References from Rule : | | | | | | | | |
| Bare Rule Reference(s) | | | | | | | | |
| created By / created On | | | | Bijay Jain-4/5/2025 6:06:55 PM | | | | |
| Updated By / Updated On | | | | - | | | | |

Compliance Content Controller: Bijay logged in

E-Compliance

E-Complains Compliance Task

E-Complains Group Admin

E-Complains Attributes

E-Complains Masters

Common Compliance Library Attributes

Act/Regulator Universe

Act-Regulator Master

View Act-Regulator Master

Add Rules Regulation

View Act Rules Regulation

Bare Act/Rule-Repository

Add statutory Forms-Records

View statutory Forms-Records

Bare Act/Rule Repository

Select Act/ Master Regulation Name*
Employees' State Insurance Act, 1948

Select Rule name *
The Employees' State Insurance (Central) Rules, 1950

View Bare Act/Rule Repository:

| | | | |
|--|---|--------------------------|--|
| ActID Name of Act/Regulation | CC0006-Employees' State Insurance Act, 1948 | | |
| Description of Act/Regulation | An Act to provide for certain benefits to employees in case of sickness, maternity and employment injury and to make provision | | |
| Rule_id name of Rule/Regulation/Procudere | CC0006.001-The Employees' State Insurance (Central) Rules, 1950 | | |
| Applicability Description of Rule/Regulation/Procudure | *Applies to all factories (including factories belonging to the government) other than seasonal factories, but excludes employees | | |
| Category of Law | Labour | | |
| Jurisdiction Location : | | | |
| Law Type | Statutory | Regulatory Authority | |
| Jurisdiction Category | Central | Country Name | |
| State / Region Name | N/A | District / Locality Name | |
| Additional References from Bare Act & Rule : | | | |
| Bare Act Reference(s) | [File Attached] ESIAct1948.pdf (View) / | | |
| Bare Rule Reference(s) | | | |

Bare Act/Rule Repository

Select Act/ Master Regulation Name*
Employees' State Insurance Act, 1948

Select Rule name *
The Employees' State Insurance (General) Regulations, 1950

View Bare Act/Rule Repository:

| | | | |
|--|---|--------------------------|--|
| ActID Name of Act/Regulation | CC0006-Employees' State Insurance Act, 1948 | | |
| Description of Act/Regulation | An Act to provide for certain benefits to employees in case of sickness, maternity and employment injury and to make provision | | |
| Rule_id name of Rule/Regulation/Procudere | CC0006.002-The Employees' State Insurance (General) Regulations, 1950 | | |
| Applicability Description of Rule/Regulation/Procudure | *Applies to all factories (including factories belonging to the government) other than seasonal factories, but excludes employees | | |
| Category of Law | Labour | | |
| Jurisdiction Location : | | | |
| Law Type | Statutory | Regulatory Authority | |
| Jurisdiction Category | Central | Country Name | |
| State / Region Name | N/A | District / Locality Name | |
| Additional References from Bare Act & Rule : | | | |
| Bare Act Reference(s) | [File Attached] ESIAct1948.pdf (View) / | | |
| Bare Rule Reference(s) | | | |

File Reference missing here

Compliance Content Controller: Bijay logged in

E-Compliance

E-Complains Compliance Task

E-Complains Group Admin

E-Complains Attributes

E-Complains Masters

Common Compliance Library Attributes

Act/Regulator Universe

Act-Regulator Master

View Act-Regulator Master

Add Rules Regulation

View Act Rules Regulation

Bare Act/Rule-Repository

Add statutory Forms-Records

Add Statutory Forms/Records

✓

Add statutory

Add Support Reference

Select Option

File Attachment

File Attachment

ESI_Form 1_R21.pdf

Attach

Remove

Add More

Back

Save

E-Compliance

E-Complains Compliance Task

E-Complains Group Admin

E-Complains Attributes

E-Complains Masters

Common Compliance Library

Add Rules Regulation

View Act Rules Regulation

Bare Act/Rule-Repository

Add statutory Forms-Records

View statutory Forms-Records

Compliance Penalty Masters

View Statutory From /Records

Select Act/ Master Regulation Name*

Employees' State Insurance Act, 1948

Select Rule name *

The Employees' State Insurance (Central) Rules, 1950

Select Statutory From Records*

Form I

VIEW Statutory Form/ Record :

| | |
|---|--|
| Name of Act/Regulation | Employees' State Insurance Act, 1948 |
| Name of Rule /regulation/ procudure | The Employees' State Insurance (Central) Rules, 1950 |
| Form/ Record Name/ Number/ Reference | Form I |
| Description of Form/ Record Name/ Number/ Reference | Bank or banks for depositing the Fund. All moneys accruing or payable to the Fund shall be received by such officers of the Corporationas may be authorized by it in this behalf. The amount so received shall as soon as practicable beacknowledged by a receipt in Form I and deposited in the Reserve Bank or the State Bank of India or any of itssubsidiaries |
| IsImportedData | No |

Additional References from Statutory Form/ Record

| | |
|-------------------------------------|--------------------------------|
| Statutory Form/ Record Reference(s) | |
| created By / created On | Bijay Jain 4/5/2025 6:23:14 PM |
| Updated By / Updated On | - |

Edit

No Confirmation Message appeared if the Form was saved

All other details regarding the Form was saved, but the document link does not appear

“Applicable Section/ Rule/ Regulation Reference” missing in the View Records

Compliance Content Controller: Bijay logged in

E-Compliance

E-Complains Compliance Task

E-Complains Group Admin

E-Complains Attributes

E-Complains Masters

Common Compliance Library Attributes

Act/Regulator Universe

Act-Regulator Master

View Act-Regulator Master

Add Rules Regulation

View Act Rules Regulation

Bare Act/Rule-Repository

Add statutory Forms-Records

View statutory Forms-Records

Compliance Penalty Masters

View Compliance Penalty Masters

Other Common Settings

Company Compliance content

View Compliance Penalty master

Select Act/ Master Regulation Name*
The Environment (Protection) Act, 1986

Select Rule name*
The Environment (Protection) Rules, 1986

Select Compliance Penalty /Application Selections
Imprisonment / Sec 15.2

View Compliance Penalty Form/ Record :

| | |
|--|--|
| Name of Act/Regulation | The Environment (Protection) Act, 1986 |
| Name of Rule /regulation/ procedure | The Environment (Protection) Rules, 1986 |
| Penalty category Name | Imprisonment |
| Add Applicable Section/ Rule/ Regulation Reference | Sec 15.2 |
| Penalty Description | If the failure or contravention referred to in sub-section 1 continues beyond a period of one year after the date of conviction, the offender shall be punishable with imprisonment for a term which |
| Minimum Penalty | N/A |
| Maximum Penalty | N/A |
| Additional Reference to Penalty Provision | |
| IsImportedData | No |

PDF file was uploaded, and it did not give any confirmation on saving.

But when Word file was uploaded, confirmation also came and the file reference was also visible.

Additional References from Compliance penalty:

Compliance Penalty Reference(s)

| | |
|-------------------------|--------------------------------|
| created By / created On | Bijay Jain-4/5/2025 7:10:07 PM |
| Updated By / Updated On | - |

E-Compliance

E-Complains Compliance Task

E-Complains Group Admin

E-Complains Attributes

E-Complains Masters

Common Compliance Library Attributes

Act/Regulator Universe

Other Common Settings

Company Compliance content Library

Create Compliance content

View Company Compliance content

Compliance Department Mapping

Activity Workgroup Creation

Compliance user workgroup mapping

Location Compliance Mapping

Compliance User Mapping

Company Compliances Master Library

Create Company content

import Subscribed content

Act Name: Employees' State Insurance Act, 1948 Rule Name: The Employees' State Insurance (Central) Rules, 1950


Select Act/ Master Regulation Name *
Employees' State Insurance Act, 1948

Select Rule/ Regulation/ Procedure Name *
The Employees' State Insurance (Central) Rules, 1950

| | | | |
|---|--|----------|--|
| Applicability Description of Act Regulatory | An Act to provide for certain benefits to employees in case of sickness, maternity and employment injury and to make provision for certain other n | | |
| Applicability Description of Rule Regulation/ Procedure View More Related Info | "Applies to all factories (including factories belonging to the government) other than seasonal factories, but excludes employees who are in receip this Act." | | |
| Category of Law | Labour | | |
| Jurisdiction Location : | | | |
| Nature of Law | Statutory | Regulat | |
| Jurisdiction Category | Central | Country | |
| State / Region Name | N/A | District | |
| Additional References from Bare Act & Rule : | | | |
| Bare Act Reference(s) | [File Attached] ESIAct1948.pdf (View) / | | |
| Bare Rule Reference(s) | | | |

File Reference missing here

Compliance Content Controller: Bijay logged in



E-Compliance

E-Complains Compliance Task

E-Complains Group Admin

E-Complains Attributes

E-Complains Masters

New Delhi

Company Compliances Master Library

☒ Create Company content

☐ Import Subscribed content

Act Name: The Income Tax Act 1961

Rule Name: The Income Tax Rules, 1962

Compliance Nomenclature

Compliance Chronology Setup

Select Frequency Period *

Yearly

Basis for Defining the Due Date:

Select Original Due Date Defined By *

Statutory

Select Recurrence *

Every

Select Frequency *

Yearly

Select Day *

30

Periodicity Factor *

Following Year of Compliance Start Date

Compliance Year Factor *

Financial Year

Start Month for Compliance Year *

April

Defining the Scheduler Master:

| Interval Instance | Select Current Compliance Period | Define Year Factor based on periodicity Factor | Select Due Dates & Months (Day / Month) | Define Year Factor based on periodicity Factor | Select Next Compliance Period | Define Year Factor based on periodicity Factor |
|-------------------|----------------------------------|--|---|--|-------------------------------|--|
| 1 | Select Current Compliance Period | Select periodicity Factor * | Select Due Dates & Months (Day / Month) | Select periodicity Factor * | Select Next Compliance Period | Select periodicity Factor * |
| | Following Loop | Select periodicity Factor | | Select periodicity Factor | | Select periodicity Factor |

Please select all items in Scheduler Master table.

Periodicity Factor [Select Option; Mandatory]: The Super Admin will need to select appropriate option. [Validation – Hard coded Default List Value – 1) Same Month, 2) Following Month, 3) Same Month of Quarter Ending, 4) Following Month of Quarter Ending, 5) Following Month of Year Ending]

This Default Hard Coded List needs to appear here.

1. Same Month

2. Following Month

3. Same Month of Quarter Ending

4. Following Month of Quarter Ending

5. Same Month of Half Year Ending

6. Following Month of Half Year Ending

7. Same Month of Year Ending

8. Following Month of Year Ending

Compliance Content Controller: Bijay logged in

Company Compliances Master Library

☒ Create Company content ☐ import Subscribed content

Act Name: The Income Tax Act 1961 Rule Name: The Income Tax Rules, 1962



Compliance Nomenclature



Compliance Chronology Setup

Select Business Sector
Healthcare



Select Industry Type
Hospitals

Any Additional Reference
This Compliance is very Critical

Confirm if Penalty Provision Required ? *
Yes

Select Compliance Penalty *
Fine or Interest, Fine or Interest



☒ Fine or Interest

☒ Fine or Interest

Interest S-201.1A, S-221, S-276B interest unde

Suggested Risk Classification

Text to appear as Joining
of "Penalty Category" &
Applicable Section

Like
this!!

| Penalty Category | Applicable Section/ Rule/ Regulation Reference | Penalty Descri |
|------------------|--|-----------------------------------|
| Fine or Interest | S-271A | Punishable wit |
| Fine or Interest | S-201.1A, S-221, S-276B | A person is liai interest unde |

☒ Fine or Interest / S-271A

☒ Fine or Interest / S-201.1A, S-221, S-276B

Compliance Content Controller: Bijay logged in

Page
redesigning
required

File
Reference
missing here

View Company Compliance :

| | | | | | | |
|--|---|--|----------------------|-----------------|--|----------------------|
| Global Compliance ID, Compliance Name : Ver | CC0003.001.0001:1.0 Annual Filing of Company ITR | | | | | |
| Compliance Description | Every Business Corporate has to file its Annual Income Tax Return after the financials have been audited | | | | | |
| Name of Act/ Regulation | The Income Tax Act 1961 | | | | | |
| Name of Rule/ Regulation/ Procedure | The Income Tax Rules, 1962 | | | | | |
| Section/ Rule/ Clause/Regulation Reference | 139.5 | | | | | |
| Category of Law | Finance | | | | | |
| Jurisdiction Location : | | | | | | |
| Nature of Law | Statutory | | | | | |
| Jurisdiction Category | Central | | | | | |
| State / Region Name | N/A | | | | | |
| Compliance Type | Filing & Payment | | | | | |
| Regulatory Authority | | | | | | |
| Country Name | | | | | | |
| District / Locality Name | | | | | | |
| Compliance Record Type | Return | | | | | |
| Statutory Form / Record References : | | | | | | |
| Form Number/ Record Name/ Reference | Applicable Section/ Rule/ Regulation Reference | View Description | Support Reference(s) | | | |
| Form ITR - Bus | Sec 139.1.ii | Income Tax Return (Business) | | | | |
| Special Instructions for Statutory Form / Record Updation | The Form also needs to be signed by Statutory Auditor | | | | | |
| Compliance Group | Finance | Compliance Notified Status | Existing | | | |
| Compliance Chronology Details : | | | | | | |
| Frequency Period | Every-Yearly | Original Due Date | 30-0 | | | |
| Original Due Date Defined By | Statutory | Extended Due Date | | | | |
| Extended Due Date Applied? | false | Effective From - Effective Till | From N/A - Till N/A | | | |
| Compliance Add-Ons : | | | | | | |
| Business Sector-Industry Type | Healthcare - Hospitals | | | | | |
| Any Additional Reference | This Compliance is very Critical | | | | | |
| Risk Classification/ Criteria | High / Imprisonment or fine or Both | Penalty Provision Required? | Yes | | | |
| Compliance Penalty Details : | | | | | | |
| Penalty Category | Applicable Section/ Rule/ Regulation Reference | Penalty Description | Minimum Penalty | Maximum Penalty | Additional References to Penalty Provision | Support Reference(s) |
| Fine or Interest | S-201.1A, S-221, S-276B | A person is liable to pay interest for various delays/defaults like interest under section 2011A for failure to deduct tax at source/delay in payment of tax deducted at source and interest under section 206C7 for failure to collect tax at source/delay in payment of tax collected at source. | 100 | 100000 | Upto 150 percent of tax liability can be imposed | |
| Additional References from Bare Act & Rule : | | | | | | |
| Bare Act Reference(s) | [weblink] https://incometaxindia.gov.in/Pages/acts/income-tax-act.aspx | | | | | |
| Bare Rule Reference(s) | N/A/ N/A | | | | | |
| Created By/ Created on | N/A/ N/A | | | | | |
| Last Edited By/ Edited on | N/A/ N/A | | | | | |

User Details
missing

File
Reference
missing here

Compliance Content Controller: Bijay logged in

Page
redesigning
required

File
Reference
missing here

User Details missing

File
Reference
missing here

g

Company Compliance :

al Compliance ID_Compliance Name : Ver

CC0006.001.0001:1.0

Annual Filing of ESI Return

Compliance Description

Every Establishment must file Annual Return detailing the beneficiaries and contribution made

Name of Act/ Regulation

Employees' State Insurance Act, 1948

Name of Rule/ Regulation/ Procedure

The Employees' State Insurance (Central) Rules, 1950

(View More Related Info)

Section/ Rule/ Clause/Regulation Reference

Rule 21

Category of Law

Labour

Jurisdiction Location :

Nature of Law

Statutory

Regulatory Authority

Jurisdiction Category

Central

Country Name

State / Region Name

N/A

District / Locality Name

Compliance Type

Registers

Compliance Record Type

Statement

Statutory Form / Record References :

Form Number/ Record Name/ Reference

Applicable Section/ Rule/ Regulation Reference

View Description

Support Reference(s)

Form 1

Rule 21

Bank or banks for depositing the Fund. All moneys accruing or payable to the Fund shall be received by such officers of the Corporations as may be authorized by it in this behalf. The amount so received shall as soon as practicable be acknowledged by a receipt in Form I and deposited in the Reserve Bank or the State Bank of India or any of its subsidiaries

Special Instructions for Statutory Form / Record Update

Every page of the Register must be stamped and signed by HR Head

Compliance Group

Labour

Compliance Notified Status

New

Compliance Chronology Details :

Frequency Period

Every-Yearly

Original Due Date Defined By

Management Decision

Original Due Date

30-0

Extended Due Date Applied?

false

Extended Due Date

Effective From - Effective Till

From N/A - Till N/A

Compliance Add-Ons :

Business Sector-Industry Type

Any Additional Reference

Risk Classification Criteria

Medium / Management Decision

Penalty Provision Required?

Yes

If data maintain in Biometric application, customized report needs to be developed

Compliance Penalty Details :

Penalty Category

Applicable Section/ Rule/ Regulation Reference

Penalty Description

Minimum Penalty

Maximum Penalty

Additional References to Penalty Provision

Support Reference(s)

Fine and/ or Imprisonment

Sec 85.a

Penalty for failure to pay a contribution. the maximum imprisonment for this offense is 3 years and the minimum is 6 months with Rs. 5,000 as fine

100

5,000

Furthermore, sometimes employers deduct their employees' contribution but do not pay it to the Corporation. This offense is even more serious than in general cases. Hence, the minimum imprisonment for such cases is 1 year with a fine of Rs. 10,000

Additional References from Bare Act & Rule :

Bare Act Reference(s)

Bare Rule Reference(s)

Created By/ Created on

Last Edited By/ Edited on

User Details missing

File Reference

File Attached (View) / Download

N/A / N/A

N/A / N/A

Compliance Content Controller: Bijay logged in

The image shows a side-by-side comparison of the 'Compliance Department Mapping' interface. The left side shows the original design, and the right side shows the updated design with improvements. A red circle highlights the 'Select Type' section in the original design, and a red arrow points to the same section in the updated design. A yellow box lists the changes required for the update.

Compliance Department Mapping

Select Type: ☐ Department-Location(s) ☐ Location-Department(s)

View Compliance Department Mapping

Drag a column header here to group by that column

Depar... Entity Name

Like this!!

Compliance Department Mapping

Select Type: ☒ Department-Location(s) ☐ Location-Department(s)

Select Department Type

Map to:

Select Entity


Select unit location*

Cancel

Two changes required:

1. Keep this option checked as default.
2. Add Gaps between the radio button and option
3. Enhance Font size for better visibility

Compliance Content Controller: Bijay logged in



- E-Compliance
 - E-Complains Compliance Task
 - E-Complains Group Admin
 - E-Complains Attributes
 - E-Complains Masters
 - Common Compliance Library Attributes
 - Act/Regulator Universe
 - Other Common Settings
 - Company Compliance content Library
 - Compliance Department Mapping
- Create Compliance Department Mapping
- Update Compliance Department Mapping
 - Activity Workgroup Creation
 - Compliance user workgroup mapping
 - Location Compliance Mapping
 - Compliance User Mapping

Compliance Department Mapping

Select Type: ☒ Department-Location(s) ☐ Location-Department(s)

Select Department Type
Human Resources

Map to:

Select Entity
ProBuds Strategy Consulting

Select unit location*

Cancel

View Compliance Department Mapping

Drag a column header here to group by that column

| | Depar... | Entity Name | Unit Location Name |
|----------|----------|---------------------------|--------------------|
| 1 | | Neemus Software Solutions | Hyderabad |
| 2 | | Neemus Software Solutions | Hyderabad |
| 3 | | Neemus Software Solutions | Hyderabad |
| Count: 3 | | | |

Here the selection is correct

Since the User logged in "Bijay" is not associated with Entity Name: Neemus , then why is he seeing this Master List of Depart mapping. This should be removed unless the Logged in User is having access to see the data when mapped.

Compliance Content Controller: Bijay logged in

- E-Compliance
- E-Complains Compliance Task
- E-Complains Group Admin
- E-Complains Attributes
- E-Complains Masters
- Common Compliance Library Attributes
- Act/Regulator Universe
- Other Common Settings
- Company Compliance content Library
- Compliance Department Mapping
- Activity Workgroup Creation
- Create Activity Workgroup
- Update Activity Workgroup
- Compliance user workgroup mapping
- Location Compliance Mapping
- Compliance User Mapping
- App Specific Configuration Settings

Create Activity Workgroup

Activity Workgroup Mapping:

Name of Activity Workgroup *
Company Internal Audit

Min 1 - Max 50 Characters

22/50

Select Department*

☐ Department

☐ Entity



☐ Human Resources

ProBuds Strategy Consulting

☐ Human Resources

ProBuds Strategy Consulting

☐ Human Resources

Neemus Software Solutions

☐ Finance (Treasury)

Neemus Software Solutions

☐ Finance (Accounts)

ProBuds Strategy Consulting

☐ Finance (Accounts)

ProBuds Strategy Consulting

☐ Risk (Credit)

Neemus Software Solutions

☐ Operations

ProBuds Strategy Consulting

☐ Operations

ProBuds Strategy Consulting

Since the User logged in "Bijay" is not associated with Entity Name: Neemus , then why is he seeing this Master List of Depart mapping. This should be removed unless the Logged in User is having access to see the data when mapped.

Compliance Content Controller: Bijay logged in

Create Activity Workgroup

Activity Workgroup Mapping:

| | |
|--|---|
| Name of Activity Workgroup * Company Internal Audit <small>Min 1 - Max 50 Characters</small> | Description of Activity Workgroup This Group undertakes all activities related to Internal Audit of Compliances <small>Min 1 - Max 150 Characters</small> |
|--|---|

Human Resources, Human Resources, Finance (Accounts), Finance (Accounts), Operations, Operations

Map Compliance User Roles:

Select Admin/Functional Role:

☐ End User ☐ Super Admin ☐ Group Admin ☐ Compliance Controller ☐ Remediator ☐ Reviewer ☐ Approver ☐ Monitor ☒ Compliance Auditor

No confirmation message appeared to confirm is the changes have been saved or not.

Save

View User Workgroup

The Header should mention: View Activity Workgroup details

Drag a column header here to group by that column

Activit... Entity Name Unit Location Name Department Name

No data

Count: 0

5 10 20 All

Back

Compliance Content Controller: Bijay logged in

View User Workgroup

Drag a column header here to group by that column

| Activit... | Entity Name | Unit Location Name | Department Name |
|------------|-------------|--------------------|-----------------|
| No data | | | |

Count: 0

5 10 20 All

Back

1. At the time of testing, no Activity Workgroup has been created yet.

3. But still in the list of 'Update Users', a list of Users appear, that too without any mapping.
As per FRS, The Compliance Controller will need to search and select Single User from the User Master that is mapped for 'Compliance Review Activity'.

2. At the time of testing, no User Workgroup has been created yet.

Compliance User Mapping

Select Compliance

Compliance Mapping Configuration

Compliance User Activity Mapping

☒ Update

☐ Review

☐ Monitor

☐ View

☒ Remediation

☐ Backup User

Select User Name

Administrator

Vishnu Vardhan

Bharth

Akhilesh

Kavya

Ganesh Vardhan

Shyam Kumar

Tarun Hira

Arun Kumar

Jaiveer Singh

DK Singla

Ramesh Jairam

E-Compliance

- E-Complains Compliance Task
- E-Complains Group Admin
- E-Complains Attributes
- E-Complains Masters
- Common Compliance Library Attributes
- Act/Regulator Universe
- Other Common Settings
- Company Compliance content Library
- Compliance Department Mapping
- Activity Workgroup Creation
- Activity Workgroup
- Compliance user workgroup mapping

Create user workgroup mapping

update user workgroup mapping

Create User Activity Workgroup

Name of User Workgroup Mapping *

Min 1 - Max 50 Characters

Select Activity*

Map Compliance User(s)

Select User(s)*

View User Workgroup Mapping

Drag a column header here to group by that column

| user | user workgroup mapping name | user workgroup mapping Description | userActivityid | user(s) |
|---------|-----------------------------|------------------------------------|----------------|---------|
| No data | | | | |

Count: 0

5 10 20 All

Page 1 of 1 (0 items)

CCT-09.1.3

Compliance User Activity Mapping:

- IMPORTANT:** The Compliance Controller will be able to map 'Compliance Users' to the selected Company Compliance Line Item(s) as per the mapping of User Roles has been done.
- VERY IMPORTANT:** Every mapping of User will require dynamic validation.
- Update Activity [User Selection; Mandatory]:** The Compliance Controller will need to search and **select Single User** from the User Master **that is mapped for 'Compliance Updation Activity'**.
IMPORTANT: The User mandatorily needs to be mapped to the same 'Compliance Locational Mapping Identification' that the compliance line item is selected for.

My Testing has stopped at this level as due to Activity Workgroup Mapping not getting saved, further step process cannot be checked.