

GRMA

Governance Module

GCT/ GCA Reports // Dashboard Functions

User Role tested: **Content Controller, Gov Admin, Risk Assessor & Management, Task Owner**

12.04.2025

Under Role Management

COMPELETED

12	Governance Control Reports	List of Acknowledgement Requested Documents	Allow user to Access Acknowledgement Requested Documents
		List of Document Access Mapping Status	Allow user to Access Document Access Mapping Status
		List of Published Document	Allow user to Access Published Document Review Status
		List of Disabled Published	Allow user to Access Disabled Published Documents
		List of Published Document Versoning	Allow user to Access Published Document Versoning
		List of Document draft saved	Allow user to Access Document draft saved
		List of Discarded Draft	Allow user to Access Document draft saved
		List of Published Document Repository	Allow user to Access Published Document Repository
		List of Published Documents (Depository)	Allow user to Access Discarded Draft
		List of Document Accessibility Status	Allow user to Access Document Accessibility Status

It seems either wrong mapping has been done, or incorrect reference has been mentioned here

It seems either wrong mapping has been done, or incorrect reference has been mentioned here

Risk Assessor & Management: Banesh logged in

COMPELETED

The whole Dashboard is of old format.

ProBuds Strategy Consulting
New Delhi

Dashboard

Last 30 Days of Document Selection *

0 Noof Documents Published

0 Take Action Immediately

14 Expired

0 Expiring Soon

0 Not Due

0 No. of Pending Drafts

Add Doc id	DocumentType	Category	Sub-Category	Authority Type	Authority Name	Nature of Document	Nature Confidentiality	Review Frequency	NoofDays	Status
1	Meeting Minutes	Committee Meetings	IT Strategy Meeting	Company	By Board Committee	Confidential	Confidential	2024-04-01T00:00:00	-376	Expired
3	Standard Operating Pr...	Risk (Credit)	Borrower Profile	Company	By Board	Confidential	General	2024-03-11T00:00:00	-397	Expired
4	Standard Operating Pr...	Business Development	Account Opening	Company	By Board	Internal	General	2024-04-01T00:00:00	-376	Expired
5	Policy	Human Resource	Employee Related	Company	By Department Head	Internal	General		0	No Date
6	Policy	Human Resource	Employee Related	Company	By Department Head	Internal	General		0	No Date

Count: 5

My Tasks

Monitored Tasks

Document Awareness

Acknowledgement Requested

Document User Accessibility

Document Repository

Governance Content Management

Governance Control Assessment

Despite under Role Management, Task User has been given access to Governance Control reports, but the option does not appear here???

Old Options are appearing instead of newly created

COMPLETED

This portion is outside the Overlay area, but still not able to make any changes. Please keep this open.

A transparent Overlay has been inserted, but it is obstructing the Top portion of Scroll Bar. Little bit of adjustment will make it okay.

New Delhi

List of Documents with Reading in Progress Status

Select Document

15th IT Strategy Committee Meeting - 11.04.25 , Meeting Minutes , Committee Meeting...

Document Viewer

div.overlay 214.41 × 105.9

1 / 5 | - + | [icon] [icon]

Type text here

15th IT Strategy Committee Meeting - 11.04.25

IT Steering Committee

PTC India
Financial Services Limited

Document User Accessibility

Document Repository

Module Admin Management

Governance Control Assessment

Nomenclature

Add Document Attributes

Add-ON's

Published Upload Change

Main Published Document

Supported File(s)

Linked Document(s)

Document Permission(s)

Start Date	End Date
12/Apr/2025	None

User Permissions

1. View Main Document uploaded (With Watermark)
2. Download Support Document(s) uploaded

GCT Reports / Dashboards

COMPELETED

Acknowledgement Requested

This is incorrect report screen.
Please add the correct report here.

Governance

Governance Control Tasks

Govenance Documents
Dashboard

Governance Documents
Dashboard

Governance Control Reports

List of Published Documents (Depository)

Document Awareness

Governance Content
Management

Governance Content Reports

List of Document Accessibility Status

List of Documents Acknowledged For Reading

Select Document

Drag a co

Title Doc

Q

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Neemus

5

10

8a

Applicable to Content Controller/
Content Manager

★ - Mandatory Headers

Report Name: List of Document Accessibility Status

Mandatory Headers

- * Document ID
- * Version Control No.
- * Title of Document
- * Sub-Title of Document
- * Document Type
- * Document Category
- * Document Sub-Category
- * Name of Publisher
- * Document Status
- * Accessibility Available?

Optional Headers

- ± Date of Publishing
- ± Document Last Edited on
- ± Document Confidentiality
- ± Nature of Document
- ± Authority Type
- ± Authority Name
- ± Document Internal Number
- ± Document Process Owner
- ± Document Approver
- ± Latest Effective Date
- ± No. of Attachments
- ± Indicative Reading Time
- ± Publisher Comments
- ± Document Linking

1st LEVEL REPORT

If the Accessibility is Available,
Link to open 2nd level of Report
may be provided for 'Download'
purposes, else option to open/
download 2nd Level is not needed

8b

Applicable to Content Controller/
Content Manager

★ - Mandatory Headers

± - Optional

Report Name: List of Document Accessibility Status

Mandatory Headers

- * Document ID
- * Version Control No.
- * Title of Document
- * Sub-Title of Document
- * Document Type
- * Document Category
- * Document Sub-Category
- * Name of Publisher
- * Document Status
- * Acknowledgement Status
- * Company Name

Mandatory Headers

- * Company Name
- * Location Name
- * Name of User
- * Email ID
- * Due Date of Acknowledgement
- * Date of Acknowledgement
- * Time Taken to Acknowledge
- * Accessibility Status
- * Indicative Reading Time
- * Date of Reading Completed On
- * Time Taken to Read the Document
- * Accessibility User Permissions

2nd LEVEL REPORT

COMPLETED

The screenshot shows a web application interface. On the left is a sidebar menu with the following items: Governance, Governance Control Tasks, Governance Documents Dashboard, Governance Documents Dashboard, Governance Control Reports, List of Published Documents (Depository), Document Awareness, Governance Content Management, and Governance Content Reports. The 'List of Document Access Mapping Status' item is highlighted in blue. The main content area is titled 'List of Document Access Mapping Status'. It features a 'Select Date Type' dropdown set to 'Publishing', a date range input field containing '3/12/2025 - 4/12/2025' (circled in red), and a green 'Search' button. Below this is a section titled 'Primary Level' with the instruction 'Click a row to get Assessors Details' (the text 'Assessors' is circled in red). A callout box points to this text with the instruction 'Change it to "Accessors" Details'. Below the instruction is a text prompt 'Drag a column header here to group by that column' and a table with headers: 'Docu...', 'Version Control No.', 'Title of Document', and 'Sub-Title of Document'. The table body is empty. At the bottom, there are pagination controls with options '5', '10', '20', and 'All' (selected).

List of Document Access Mapping Status

Select Date Type: Publishing

Enter a date range: 3/12/2025 - 4/12/2025

Search

Primary Level

Click a row to get Assessors Details

Drag a column header here to group by that column

Docu...	Version Control No.	Title of Document	Sub-Title of Document
---------	---------------------	-------------------	-----------------------

5 10 20 All

This date range doesn't get any results, whereas one document was added on 12-04-25

Change it to "Accessors" Details

Content Controller: Bijay Jain logged in

List of Document Access Mapping Status

Secondary Level

Drag a column header here to group by that column

Sub-Title of Document	Document Type	Document Category	Document Sub-Category	Name of Publisher	Document Status	Mapping Status	Company Name	Location Name	Name of User	Email ID
Steering Committee	Meeting Minutes	Committee Meetings	IT Strategy Meeting	Bijay Jain	Active	false	ProBuds Strategy Consulting	New Delhi	Banesh Vardhan	grc.governance@gmail
Steering Committee	Meeting Minutes	Committee Meetings	IT Strategy Meeting	Bijay Jain	Active	false	ProBuds Strategy Consulting	New Delhi	Indermohan Sharma	grc.governance@gmail

COMPELETED

The Header Name should be "Accessibility Status"

List of Document Access Mapping Status

Secondary Level

Drag a column header here to group by that column

Docu...	Version Control No.	Title of Document	Sub-Title of Document	Document Type	Document Category	Document Sub-Category	Name of Publisher	Document Status	Mapping Status	Company
024-250...	1.0	Client Onboarding Procedure	For Domestic Customers only	Standard Operating Procedures	Business Development	Account Opening	Bijay Jain	Active	Reading Completed	ProBuds
024-250...	1.0	Client Onboarding Procedure	For Domestic Customers only	Standard Operating Procedures	Business Development	Account Opening	Bijay Jain	Active	false	ProBuds
024-250...	1.0	Client Onboarding Procedure	For Domestic Customers only	Standard Operating Procedures	Business Development	Account Opening	Bijay Jain	Active	false	ProBuds
024-250...	1.0	Client Onboarding Procedure	For Domestic Customers only	Standard Operating Procedures	Business Development	Account Opening	Bijay Jain	Active	false	ProBuds
024-250...	1.0	Client Onboarding Procedure	For Domestic Customers only	Standard Operating Procedures	Business Development	Account Opening	Bijay Jain	Active	false	ProBuds
024-250...	1.0	Client Onboarding Procedure	For Domestic Customers only	Standard Operating Procedures	Business Development	Account Opening	Bijay Jain	Active	false	ProBuds

Why is there a "false" value??
It should be either of the following:

1. Acknowledgement Pending
2. Reading in Progress
3. Reading Completed

New Delhi

List of Acknowledgement Requested Document

Select Date Type
Publishing

Enter a date range
4/1/2024 – 4/12/2025

Search

Primary Level

Click a row to get Assessors Details

Drag a column header here to group by that column

Ver...

Title of Document

Sub-Title of Document

Document Type

Document Category

Document Sub-Cat...

Name of Publis...

Docum...

Acknowledgement Requested

Acknowledgement E

1.0	Test 05.03.25 Policy Upload Doc after Client Changes	Test Sub-title Policy Upload Doc	Policy	RBI	Risk Related	Bijay Jain	Active	Yes	
1.0	15th IT Strategy Committee Meeting - 11.04.25	IT Steering Committee	Meeting Minutes	Committee Meetings	IT Strategy Meeting	Bijay Jain	Active	Yes	1970-01-01T00:00:00

This Document was added on 12-04-25 with “NO” Acknowledgement required status. But still the status shows here as “Yes”. The logic needs to be corrected here.

COMPLETED

List of Acknowledgement Requested Document

Secondary Level

Drag a column header here to group by that column

Title of Document

Sub-Title of Document

Document Type

Document Category

Document Sub-Category

Name of Publisher

Document Status

Acknowledgement Status

Company Name

15th IT Strategy Committee Meeting - 11.04.25	IT Steering Committee	Meeting Minutes	Committee Meetings	IT Strategy Meeting	Bijay Jain	Active	false	ProBuds Strategy Consulting
15th IT Strategy Committee Meeting - 11.04.25	IT Steering Committee	Meeting Minutes	Committee Meetings	IT Strategy Meeting	Bijay Jain	Active	false	ProBuds Strategy Consulting

Since “NO” Acknowledgement has been requested, this value should have sown as “Acknowledgement Not required”

COMPELETED

List of Published Document Review Status

Select Date Type
Publishing

Enter a date range
9/1/2024 – 4/12/2025
MM/DD/YYYY – MM/DD/YYYY

Search

Drag a column header here to group by that column

🔍

Search...

Sub-Title of Document	Document Type	Document Category	Document Sub-Category	Name of Publisher	Document Status	Document Review Frequency	Document Review Status	No. of Days
Standard Operating Procedure [SOP]	Standard Operating Procedures	Risk (Credit)	Borrower Pro			3 Month	Invalid review start date format	
Applicable for New Delhi Location	Policy	Human Resource	Employee Re					
For Domestic Customers only	Standard Operating Procedures	Business Development	Account Ope			1 Year	Invalid review start date format	
dsfdfsd	Policy	RBI	Risk Related	Vishnu Vardhan	Active			
	Policy	RBI	Risk Related	Vishnu Vardhan	Active			
Test Sub-title Policy Upload Doc	Policy	RBI	Risk Related	Bijay Jain	Active			
IT Steering Committee	Meeting Minutes	Committee Meetings	IT Strategy Meeting	Bijay Jain	Active	2 Month	Invalid review start date format	

The input date was selected as "01-Jun-2025", then why does it show this message??

Invalid review start date format

Invalid review start date format

Invalid review start date format

GCA Reports / Dashboards

Governance

Governance Control Tasks

Governance Content Management

Governance Control Assessment

Governance Assessment Reports

Assessment Templates

Assessment Templates Disabled

Assessment Templates Access Mapping

Acknowledgement Requested Assessments

Assessments Scheduled With Status(Task Own

ProBuds Strategy Consulting

New Delhi

Governance

Banesh Vardhan

COMPLETED but no of times
Template Used and Template last used for is Pending
COMPLETED

List of Assessment Templates

Select Date Type
Creation Date

Enter a date range
3/1/2025 - 3/31/2025

Search

Drag a column header here to group by that column

Asses...	Assessment Template Name	Version_no	Competency skill level	Assessment Type	Asses...
2025030...	06.03 - Test Template	1	Level of Understanding	General	Orig...
2025030...	06.03 Mix Template for testing	1	Practical Knowledge	Private	Paid...

Column Chooser

Date of Creation

Date Last Edited on

Assessment Template Description

Nature of Document

Assessment Template Description

Applicability of Show Hint

Applicability of Explanation

Total Attempt Time	Name of Creator	Template Status
10	Banesh Vardhan	Active
30	Banesh Vardhan	Active

Page 1 of 1 (2 items)

1

Applicable to Risk Assessor/
Process Owner/ TPA

★ - Mandatory Headers

+ - Options

Report Name: List of Assessment Templates

Mandatory Headers

* Assessment Template ID

* Assessment Template Name

* Competency Skill Level

* Assessment Type

* Assessment Sub-Type

* No. of Questions

* Total Attempt Time

* Name of Creator

* Template Status

Optional Headers

± Date of Creation

± Template Last Edited on

± Template Last Edited by

± Assessment Template Description

± Applicability of Reference/ Explanation

± Applicability of Show Hint

± No. of times Template used

± Template last scheduled for
Assessment

This List of Optional Headers
does not entirely match with the
List provided in the FRS.
Also the values under the
Optional Headers is incorrect

Governance

Governance Control Tasks

Governance Content Management

Governance Control Assessment

Governance Assessment Reports

Assessment Templates

Assessment Templates Disabled

Assessment Templates Access Mapping

Acknowledgement Requested Assessments

List of Assessment Templates Disabled

Select Date Type
Disable Date

Enter a date range
1/1/2024 – 4/12/2025
MM/DD/YYYY – MM/DD/YYYY

Search

Drag a column header here to group by that column

Asses...

Assessment Template Name

Competency skill level

Assessment Type

Assessment Sub Type

No. of Questions

Total Atte

Assessment Template Status

Date of Disable

Reason to Disable

2

Applicable to Risk Assessor

★ - Mandatory Headers

+ - Optional Headers

Report Name: List of Assessment Templates Disabled

Mandatory Headers

* Assessment Template ID

* Assessment Template Name

* Competency Skill Level

* Assessment Type

* Assessment Sub-Type

* No. of Questions

* Total Attempt Time

* Name of Creator

* Template Status

* Date of Disable

* Disabled By

* Reason to Disable

Optional Headers

± Date of Creation

± Template Last Edited on

± Template Last Edited by

± Assessment Template Description

± Applicability of Reference/ Explanation

± Applicability of Show Hint

± No. of times Template used

± Template last scheduled for Assessment

Column Chooser

Date of Creation

Date Last Edited on

Assessment Template Description

Applicability of Show Hint

Applicability of Explanation

Search...

This List of Optional Headers does not entirely match with the List provided in the FRS. Also the values under the Optional Headers is incorrect

COMPLETED

This Mandatory Header is missing in the Table Header

Risk Assessor: Banesh logged in

Governance

Governance Control Tasks

Governance Content Management

Governance Control Assessment

Governance Assessment Reports

Assessment Templates

Assessment Templates Disabled

Assessment Templates Access Mapping

Acknowledgement Requested Assessments

Assessments Scheduled With Status(Task Owner)

Assessments Scheduled With Status

List of Assessment Templates Access Mapping

Select Date :

From Date01-01-2024

To Date12-04-2025

Search

Primary Level

Click a row to get Assessors Details

Drag a column header here to group by that column

Asses...	Assessment Template Name	Version_no	Competency skill level	Assessment Type	Assessment Sub Type	No. of Questions	Total Attempt Time	Name of Creator	Template Status	Mapped Company Name
No data										

Despite giving Access of Assessment Template to other Assessors, no listing appears here.

View is Selected for Ram Kumar and Above tab is in Banesh Check in Ram Kumar Login

Governance

Governance Control Tasks

Governance Content Management

Governance Control Assessment

Governance Assessment Reports

Governance Assessments Dashboard

Governance Question Bank

Governance Assessment Template

Assessment Template Library

Map Assessment Template Access

Provide Access

Edit Access

Remove Access

View Access

New User Access Assessment

Select Entity Name*ProBuds Strategy Consulting

Select Unit Location*New Delhi

Select UserRam Kumar

Drag a column header here to group by that column

Assesment Template ID	Assessment Name	Entity Name	Unit Location Name	Total Question	Total Estimate Time	Version No	UserPermissions
20250306479	06.03 Mix Template for testing	ProBuds Strategy Consulting	New Delhi	6	30	1	Allow User to use the Assessment Template

See the View Access Details

Risk Assessor: Banesh logged in

View User Access Assessment

Select Entity Name*
ProBuds Strategy Consulting

Select Unit Location*
New Delhi

Select User
Ram Kumar

Despite giving Access of Assessment Template to other Assessors (Ram Kumar), no listing appears in his login.

COMPLETED

Drag a column header here to group by that column

Assesment Template ID	Assessment Name	Entity Name	Unit Location Name	Total Question	Total Estimate Time	Version No	UserPermissions
20250306479	06.03 Mix Template for testing	ProBuds Strategy Consulting	New Delhi	6	30	1	Allow User to use the Assessment Template

Page 1 of 1 (1 items)

Risk Assessor: Ram Kumar logged in

View Assessment Template

Select Assessment Template

Select a Template

Drag a column header here to group by that column

Assessment name

Type Name

Sub Type Name

Competency Name

Created Date

Keywords

No data


Page 1 of 1 (0 items)

View Assessment Template

Total No. of Questions Mapped *

Total Estimated Time

Risk Assessor: Banesh logged in



Governance

Governance Control Tasks

Governance Content Management

Governance Control Assessment

Governance Assessment Reports

Assessment Templates

Assessment Templates Disabled

Assessment Templates Access Mapping

Acknowledgement Requested Assessments

Assessments Scheduled With Status(Task Owner)

Assessments Scheduled With Status

List of Assessment Scheduled With Status

Select Date Type
Assessment Due Date

Enter a date range
1/1/2024 – 6/1/2025
MM/DD/YYYY – MM/DD/YYYY

Search

Primary Level

Click a row to get Assessors Details

Drag a column header here to group by that column

Asses...	Assessment Name	Version_no	Competency skill Level	Type of

No Data is appearing, despite Assessments scheduled in advance.

COMPELETED

Governance Control Assessment

Governance Assessment Reports

Governance Assessments Dashboard

Governance Question Bank

Governance Assessment Template

Assessment Template Library

Map Assessment Template Access

Scheduled Assessment

External Assessment

Internal Assessment

List of Internal Assessments Scheduled

Drag a column header here to group by that column

Entity Name	Unit Locati...	Assessme...	Assessme...	Assessme...	Assessme...	Competen...	Assessor ...	Assessme...	Scheduled...	Assessment Start ...	Assessment End D...	Assessment Reque...
ProBuds Stra...	New Delhi	202507210	06.03 - Test T...	General	Ordinary	Level of Unde...	Banesh Vard...	Assessment ...	1 Daily	11 Apr 2025	13 Apr 2025	10 Apr 2025
ProBuds Stra...	New Delhi	202512189	06.03 - Test T...	General	Ordinary	Level of Unde...	Banesh Vard...	Assessment ...	1 Daily	12 Apr 2025	14 Apr 2025	10 Apr 2025
ProBuds Stra...	New Delhi	202537768	06.03 - Test T...	General	Ordinary	Level of Unde...	Banesh Vard...	Assessment ...	1 Daily	13 Apr 2025	14 Apr 2025	10 Apr 2025
ProBuds Stra...	Greater Noida	202538781	06.03 Mix Te...	Private	Paid	Practical Kno...	Banesh Vard...	Assessment ...	N/A	11 Apr 2025	12 Apr 2025	10 Apr 2025
ProBuds Stra...	New Delhi	202565791	06.03 - Test T...	General	Ordinary	Level of Unde...	Banesh Vard...	Assessment ...	1 Daily	10 Apr 2025	12 Apr 2025	10 Apr 2025
ProBuds Stra...	New Delhi	202567075	06.03 - Test T...	General	Ordinary	Level of Unde...	Banesh Vard...	Assessment ...	1 Daily	14 Apr 2025	14 Apr 2025	10 Apr 2025