

1.

(a)

$O(g(n)) = \{f(n) : \text{there exist positive constants } c \text{ and } n_0 \text{ such that } 0 \leq f(n) \leq cg(n) \text{ for all } n \geq n_0\}$.

$\Omega(g(n)) = \{f(n) : \text{there exist positive constants } c \text{ and } n_0 \text{ such that } 0 \leq cg(n) \leq f(n) \text{ for all } n \geq n_0\}$.

$\Theta(g(n)) = \{f(n) : \text{there exist positive constants } c_1, c_2, \text{ and } n_0 \text{ such that } 0 \leq c_1g(n) \leq f(n) \leq c_2g(n) \text{ for all } n \geq n_0\}$.

(b)

Let's define the function $h(n) = \max(f(n), g(n))$. Then

$$h(n) = \begin{cases} f(n) & \text{if } f(n) \geq g(n), \\ g(n) & \text{if } f(n) < g(n). \end{cases}$$

Since $f(n)$ and $g(n)$ are asymptotically nonnegative, there exists n_0 such that $f(n) \geq 0$ and $g(n) \geq 0$ for all $n \geq n_0$. Thus for $n \geq n_0$, $f(n) + g(n) \geq f(n) \geq 0$ and $f(n) + g(n) \geq g(n) \geq 0$. We have $f(n) + g(n) \geq h(n) \geq 0$, which shows that $h(n) = \max(f(n), g(n)) \leq c_2(f(n) + g(n))$ for all $n \geq n_0$ (with $c_2 = 1$ in the definition of Θ).

Similarly, since for any particular n , $h(n)$ is the larger of $f(n)$ and $g(n)$, we have for all $n \geq n_0$, $0 \leq f(n) \leq h(n)$ and $0 \leq g(n) \leq h(n)$. Adding these two inequalities yields $0 \leq f(n) + g(n) \leq 2h(n)$, or equivalently $0 \leq (f(n) + g(n))/2 \leq h(n)$, which shows that $h(n) = \max(f(n), g(n)) \geq c_1(f(n) + g(n))$ for all $n \geq n_0$ (with $c_1 = 1/2$ in the definition of Θ).

2.

(1)

We can know $\lg(f(n)) = O(\lg n)$, then f is polynomially bounded.

$$\begin{aligned} \lg(\lceil \lg n \rceil!) &= \Theta(\lceil \lg n \rceil \lg \lceil \lg n \rceil) \\ &= \Theta(\lg n \lg \lg n) \\ &= \omega(\lg n). \end{aligned}$$

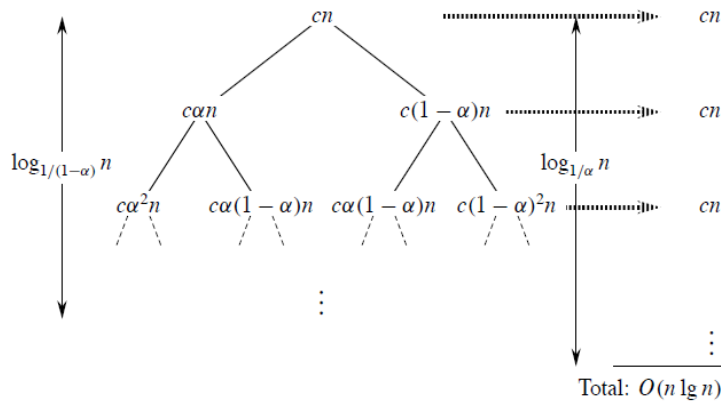
Therefore, $\lg(\lceil \lg n \rceil!) \neq O(\lg n)$, and so $\lceil \lg n \rceil!$ is not polynomially bounded

(2)

$$\begin{aligned} \lg(\lceil \lg \lg n \rceil!) &= \Theta(\lceil \lg \lg n \rceil \lg \lceil \lg \lg n \rceil) \\ &= \Theta(\lg \lg n \lg \lg \lg n) \\ &= o((\lg \lg n)^2) \\ &= o(\lg^2(\lg n)) \\ &= o(\lg n). \end{aligned}$$

$\lg(\lceil \lg \lg n \rceil!) = O(\lg n)$, and so $\lceil \lg \lg n \rceil!$ is polynomially bounded.

3.



Now we show that $T(n) = \Theta(n \lg n)$ by substitution. To prove the upper bound, we need to show that $T(n) \leq dn \lg n$ for a suitable constant $d > 0$.

$$\begin{aligned}
 T(n) &= T(\alpha n) + T((1 - \alpha)n) + cn \\
 &\leq d\alpha n \lg(\alpha n) + d(1 - \alpha)n \lg((1 - \alpha)n) + cn \\
 &= d\alpha n \lg \alpha + d\alpha n \lg n + d(1 - \alpha)n \lg(1 - \alpha) + d(1 - \alpha)n \lg n + cn \\
 &= dn \lg n + dn(\alpha \lg \alpha + (1 - \alpha) \lg(1 - \alpha)) + cn \\
 &\leq dn \lg n,
 \end{aligned}$$

Since $1/2 \leq \alpha < 1$ and $0 < 1 - \alpha \leq 1/2$, we have that $\lg \alpha < 0$ and $\lg(1 - \alpha) < 0$.

Thus, $\alpha \lg \alpha + (1 - \alpha) \lg(1 - \alpha) < 0$, so that when we multiply both sides of the inequality by this factor, we need to reverse the inequality:

$$\begin{aligned}
 d &\geq \frac{-c}{\alpha \lg \alpha + (1 - \alpha) \lg(1 - \alpha)} \\
 \text{or} \\
 d &\geq \frac{c}{-\alpha \lg \alpha - (1 - \alpha) \lg(1 - \alpha)}
 \end{aligned}$$

The fraction on the right-hand side is a positive constant, and so it suffices to pick any value of d that is greater than or equal to this fraction.

To prove the lower bound, we need to show that $T(n) \geq dn \lg n$ for a suitable constant $d > 0$. We can use the same proof as for the upper bound, substituting \geq for \leq , and we get the requirement that

$$\begin{aligned}
 0 < d &\leq c \\
 0 < d &\leq \frac{c}{-\alpha \lg \alpha - (1 - \alpha) \lg(1 - \alpha)}.
 \end{aligned}$$

Therefore, $T(n) = \Theta(n \lg n)$.

(1) $T(n) = 9T(n/3) + n$ (Case 1)

$a=9, b=3, f(n) = n$

$$n^{\log_b a} = n^{\log_3 9} = \Theta(n^2), f(n) = O(n^{\log_3 9 - 1})$$

$$T(n) = \Theta(n^2)$$

(2) $T(n) = T(2n/3) + 1$ (Case 2)

$a=1, b=3/2, f(n)=1$

$$n^{\log_b a} = n^{\log_{3/2} 1} = 1, f(n) = 1 = \Theta(1)$$

$$T(n) = \Theta(\lg n)$$

(3) $T(n) = 3T(n/4) + n \lg n$ (Case 3)

$a=3, b=4, f(n) = n \lg n$

$$n^{\log_b a} = n^{\log_4 3} = O(n^{0.793}) \quad f(n) = \Omega(n^{\log_4 3 + \epsilon}) \quad (\epsilon \approx 0.2)$$

For sufficiently large n ,

$$af(n/b) = 3(n/4)\lg(n/4) \leq (3/4)n \lg n = cf(n) \text{ for } c=3/4$$

$$T(n) = \Theta(n \lg n)$$

5.

利用 decision tree 方法證明:

假設有 n 個元素作比較， l 為 decision tree 的 leaf 個數， h 為 decision tree 的樹高

(1) n 個元素作比較有 $n!$ 種排列結果，decision tree 的 leaf 個數 $n! \leq l$ 。

(2) 因為 decision tree 為一 binary tree， $l \leq 2^h$

所以， $2^h \geq n! \Rightarrow h \geq \lg(n!)$

By stirling approximation: $n! \geq \left(\frac{n}{e}\right)^n$

$$\text{So, } h \geq \lg(n!) \geq \lg\left(\frac{n}{e}\right)^n = n \lg n - n \lg e = \Omega(n \lg n)$$

6.

Counting sort (A, n, k, a, b)

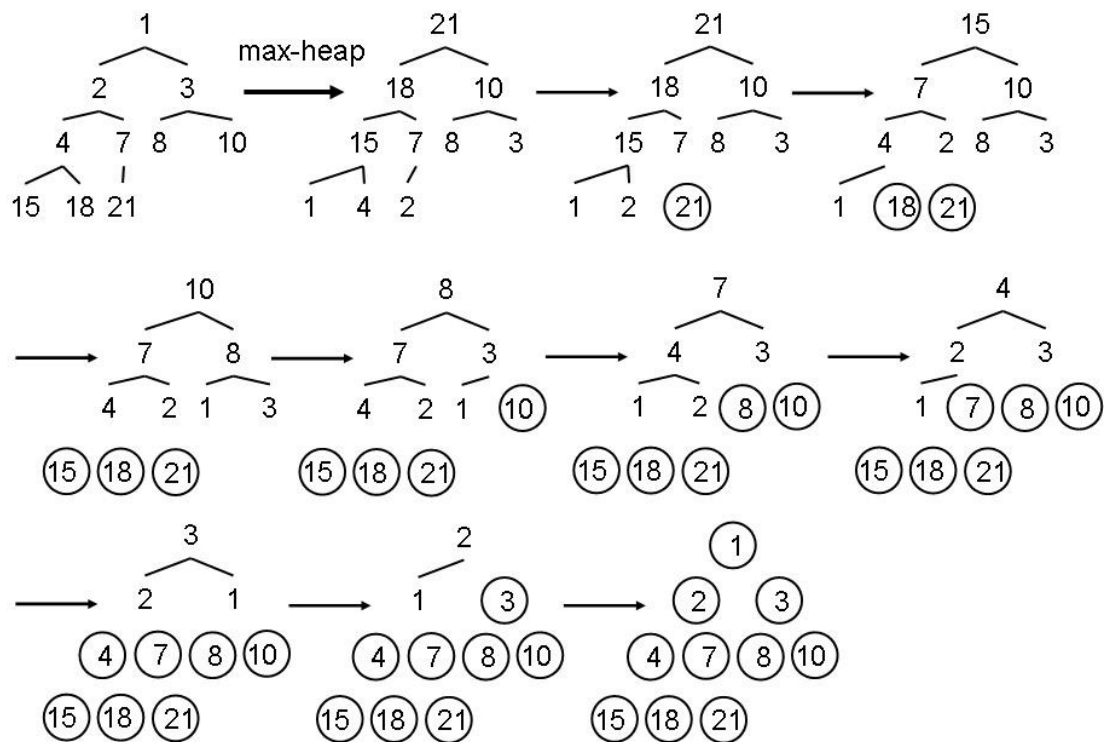
for $i \leftarrow 0$ to k

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    C[i] ← 0           //初始化                      $\theta(k)$ 
for i ← 1 to n
    C[A[i]] ← C[A[i]] + 1 //計算每個 integer 出現的次數        $\theta(n)$ 
for i ← 1 to k
    C[i] ← C[i] + C[i - 1] //累加 integer 次數，方便計算 range[a...b]次數和  $\theta(k)$ 
if(a = 0)
    count ← C[b]
else
    count ← C[b] - C[a - 1]            $\theta(1)$ 
return count

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7.



→ A

1	2	3	4	7	8	10	15	18	21
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8.

若有 height 為 h 的 heap，則此 heap 最少有 2^h 個 elements

最多有 $2^{h+1}-1$ 個 elements

⇒ elements 數目為 n ， $2^h \leq n \leq 2^{h+1}-1$

⇒ 同取 \lg

$$\Rightarrow h \leq n < h+1$$

$$\Rightarrow h = \lfloor \lg n \rfloor$$

9.

n 個元素 z_1, z_2, \dots, z_n

$Z_{ij} : \{z_i, z_{i+1}, \dots, z_j\}$: the set of elements between z_i and z_j

$X_{ij} : I\{z_i \text{ is compared to } z_j\}$

$$X = \sum_{i=1}^{n-1} \sum_{j=i+1}^n X_{ij}.$$

$$\begin{aligned} E[X] &= E\left[\sum_{i=1}^{n-1} \sum_{j=i+1}^n X_{ij}\right] \\ &= \sum_{i=1}^{n-1} \sum_{j=i+1}^n E[X_{ij}] \\ &= \sum_{i=1}^{n-1} \sum_{j=i+1}^n \Pr\{z_i \text{ is compared to } z_j\}. \end{aligned}$$

$$\begin{aligned} \Pr\{z_i \text{ is compared to } z_j\} &= \Pr\{z_i \text{ or } z_j \text{ is first pivot chosen from } Z_{ij}\} \\ &= \Pr\{z_i \text{ is first pivot chosen from } Z_{ij}\} \\ &\quad + \Pr\{z_j \text{ is first pivot chosen from } Z_{ij}\} \\ &= \frac{1}{j-i+1} + \frac{1}{j-i+1} \\ &= \frac{2}{j-i+1}. \end{aligned}$$

$$E[X] = \sum_{i=1}^{n-1} \sum_{j=i+1}^n \frac{2}{j-i+1}.$$

$$\begin{aligned} E[X] &= \sum_{i=1}^{n-1} \sum_{j=i+1}^n \frac{2}{j-i+1} \\ &= \sum_{i=1}^{n-1} \sum_{k=1}^{n-i} \frac{2}{k+1} \\ &< \sum_{i=1}^{n-1} \sum_{k=1}^n \frac{2}{k} \\ &= \sum_{i=1}^{n-1} O(\lg n) \\ &= O(n \lg n). \end{aligned}$$

10.

$$w[i, j] = w[i, j-1] + pj$$

w	0	1	2	3	4	5	6
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1	0	0.25	0.45	0.55	0.6	0.9	1
2		0	0.2	0.3	0.35	0.65	0.75
3			0	0.1	0.15	0.45	0.55
4				0	0.05	0.35	0.45
5					0	0.3	0.4
6						0	0.4
7							0

$e[i, j] = e[i, r - 1] + e[r + 1, j] + w(i, j)$. 取min

w	0	1	2	3	4	5	6
1	0	0.25	0.65	0.9	1.05	1.8	2.1
2		0	0.2	0.4	0.55	1.2	1.4
3			0	0.1	0.2	0.65	0.85
4				0	0.05	0.4	0.6
5					0	0.3	0.5
6						0	0.1
7							0

Root

root	1	2	3	4	5	6
1	1	1	2	2	2	2
2		2	2	2	5	5
3			3	3	5	5
4				4	5	5
5					5	5
6						6

