

# **MATH-578A: Homework # 1**

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**Question # 1**

Definition:  $SP(i) = \max k < i$  such that  $P[1..k] = P[i - k + 1..i]$

String: CACGCAACGA

NOTE: Iteration indexed at 0. So  $SP[0] = 0$  (By Definition) and hence the loop iterations start from 1 and go till  $n-1=9$  ;

Iteration	$SP[i]$	All other SP values examined	# of times inner while loop executed
1	0	-	0
2	1	-	0
3	0	$SP[0]$	1
4	1	-	0
5	2	-	0
6	1	$SP[0]$	1
7	1	-	0
8	1	$SP[0]$	1
9	0	-	0

**Question #2**

$S = CACGGCACGG$

NOTE: Indexing starts from 0. By definition  $Z[0] = |S| = 10$

The 'cases' are chosen out of:

Case 1.  $k > r$ . The index for which  $Z$  value is being calculated is greater than the right most ending of all the previous (till  $k-1$ )  $Z$  boxes calculated. Since this is as good as having no pre-calculated  $Z$  scores, this case leads to explicit character comparison (starting at  $k$ ) till a mismatch occurs.

Case 2.  $k \leq r$  The current position  $k$  is inside one of the previously calculated  $Z$  boxes. Hence there exists a corresponding position  $k' = k - l + 1$  where  $l$  is the left ending of the  $Z$  box with its right ending at  $r$ , such that  $S[k'] = S[k]$ . There is a corresponding one to one match for  $S[k'..r-l+1]$  with  $S[k..r]$  and we define this to be another box  $\beta$  with  $\beta = r - k + 1$  and hence  $Z[k]$  can be calculated utilising this information.

The following three cases arise:

Case 2a.  $Z'_k < |\beta|$  So starting at  $k'$  the length of largest substring that matches the prefix of  $S$  is less than size of that  $\beta$  box starting at  $k'$ . Since this  $\beta$  box appears starting from  $k$  too and  $Z'_k < |\beta|$  implies  $Z_k = Z'_k$ . Total comparisons:

1. Comparison:  $k \leq r$
2. Assignment/Calculation:  $k' = k - l + 1$
3. Lookup:  $Z'_k$
4. Assignment/Calculation:  $|\beta| = r - k + 1$
5. Comparison:  $Z'_k < |\beta|$
6. Assignment:  $Z_k = Z'_k$

No character comparisons are involved.

Case 2b.  $Z'_k > |\beta|$  So the substring starting at  $k'$  matches a prefix of  $S$  and has length equal to the  $\beta$  box. If we call the box with its leftmost end= $l$  and rightmost end= $r$  as  $\alpha$ , then we know that  $S[r+1] \neq S[l+\alpha+1]$  otherwise  $\alpha$  would not have been the largest such box. Thus,  $Z_k = \beta$  Thus no character comparisons involved in this case too.

The comparisons involved:

1. Comparison:  $k \leq r$
2. Assignment/Calculation:  $k' = k - l + 1$
3. Lookup:  $Z'_k$
4. Assignment/Calculation:  $|\beta| = r - k + 1$
5. Comparison:  $Z'_k > |\beta|$
6. Assignment:  $Z_k = Z'_k$

Case 2c.  $Z'_k = |\beta|$

The substring starting at  $k$  might have a matching prefix in  $S$ , and hence explicit character comparisons are required from  $r+1$  to  $q \geq r+1$  till the first mismatch occurs. These iterations are bound by  $O(|S|)$  since the maximum possible mismatches are  $O(|S|)$ .

The comparisons involved:

1. Comparison:  $k \leq r$
2. Assignment/Calculation:  $k' = k - l + 1$
3. Lookup:  $Z'_k$
4. Assignment/Calculation:  $|\beta| = r - k + 1$

### Question # 3

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**Algorithm 1** Find circular rotation

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**Input:** Two string  $\alpha, \beta$  and a linear time algorithm say  $Z$  algorithm to solve exact string matching problem in linear time

**Output:** Determine if  $\alpha$  is a circular rotation of  $\beta$

$S \leftarrow \alpha\beta$

$Z_{values} \leftarrow Z(S)$

$N \leftarrow |S|$

**while**  $N \neq 3|S| + 1$  **do**

**if**  $Z_{values}[i] \geq |\alpha|$  **then**

**return** *true*

**end if**

**end while**

**return** *false*

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### Question # 4

Case 2b of  $Z$  algorithm can be split into following sub cases: Case 2b  $Z'_k > |\beta|$

Case 2c  $Z'_k = |\beta|$

Let  $r$  denote the right most edge of the  $Z$  box(call it  $\alpha$ ) such that  $k \leq r$ .  $l$  denotes the left most edge of this  $Z$  box. When  $Z'_k > |\beta|$ , let  $S[r+1] = X$  Let  $k' = k - l + 1$  denote the cooresponding position in the prefix of  $S$ , such that  $S[1...k']$  matches  $S[l...k]$  and also  $S[1...r-l+1]$  matches  $S[l..r]$

Consider  $r' = r - l + 1$  let  $S[r'+1] = Y$ , then  $X \neq Y$ , else the  $Z$  box would have been longer than  $|\alpha|$ , contrary to the definition.

Now consider  $Z'_k > |\beta| \implies$  there exists a matching prefix of  $S$  for substring starting at  $k'$  which also implies that  $S[Z'_k + 1] = S[r' + 1] = Y$  because  $Z'_k$  will be at least  $|\beta| + 1$  in size.

Since  $X \neq Y$ ,  $Z_k = |\beta|$ , because  $|\beta|$  is the length of longest matching prefix given  $S[|\beta| + 1] = S[r' + 1] \neq S[r + 1]$

Question 7.

No. there is no extra speedup if we take into consideration all comparisons.

Case 2a, 2b approach: Comparison required: 1 character comparison on failure of conditional check  $Z_k < |\beta|$  Case 2a,2b,2c appraich: Comparison required: 1 integer comparison  $Z_k == |\beta|$

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### Question # 5

Solution: 1. The first occurence of parameters is very flexible, since they can be made to match to any other parameter. 2. Any parameter appearing more than once arises a constraint

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### Question # 6

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### Question # 7

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**Algorithm 2** Find occurrence of P in T in linear time using sp values

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**Input:** Strings P and T**Output:** Find all occurrences of P in T in linear time using *sp* values

```
 $S \leftarrow PT$   
 $sp_{values} \leftarrow SPCalculator(S)$   
 $N \leftarrow |S|$   
 $P_{occurrences} = []$   
while  $N \geq |P| + 1$  do  
  if  $sp_{values}[i] \geq |P|$  then  
    if  $S[i] == P[-P-]$  then  
       $P_{occurrences}.push(i)$   
       $N \leftarrow N - 1$   
    else  
       $N \leftarrow N - |P|$   
    end if  
  end if  
end while  
return  $P_{occurrences}$ 
```

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