

Backtracking :-

Terminologies:-

- 1) Criteria function: A fn. used to evaluate partial soln in backtracking to determine if they meet the necessary condition to proceed further.
- 2) Pruning: the process of eliminating certain branches in the search space that cannot lead to a valid solution, improving efficiency.
- 3) Decision tree: A tree structure representing all possible choices at each step of a backtracking algo, where each node corresponds to a decision.
- 4) State-space tree: A conceptual tree representation of the problem where nodes represent states and edges represent transition between states.

Backtracking problems:-

- | | |
|------------------|------------------------------|
| 1) Sudoku | 5) Hamiltonian cycle problem |
| 2) N-queen ✓ | 6) Graph coloring |
| 3) Sum of subset | 7) Few games like maze |
| 4) 0/1 knapsack | |

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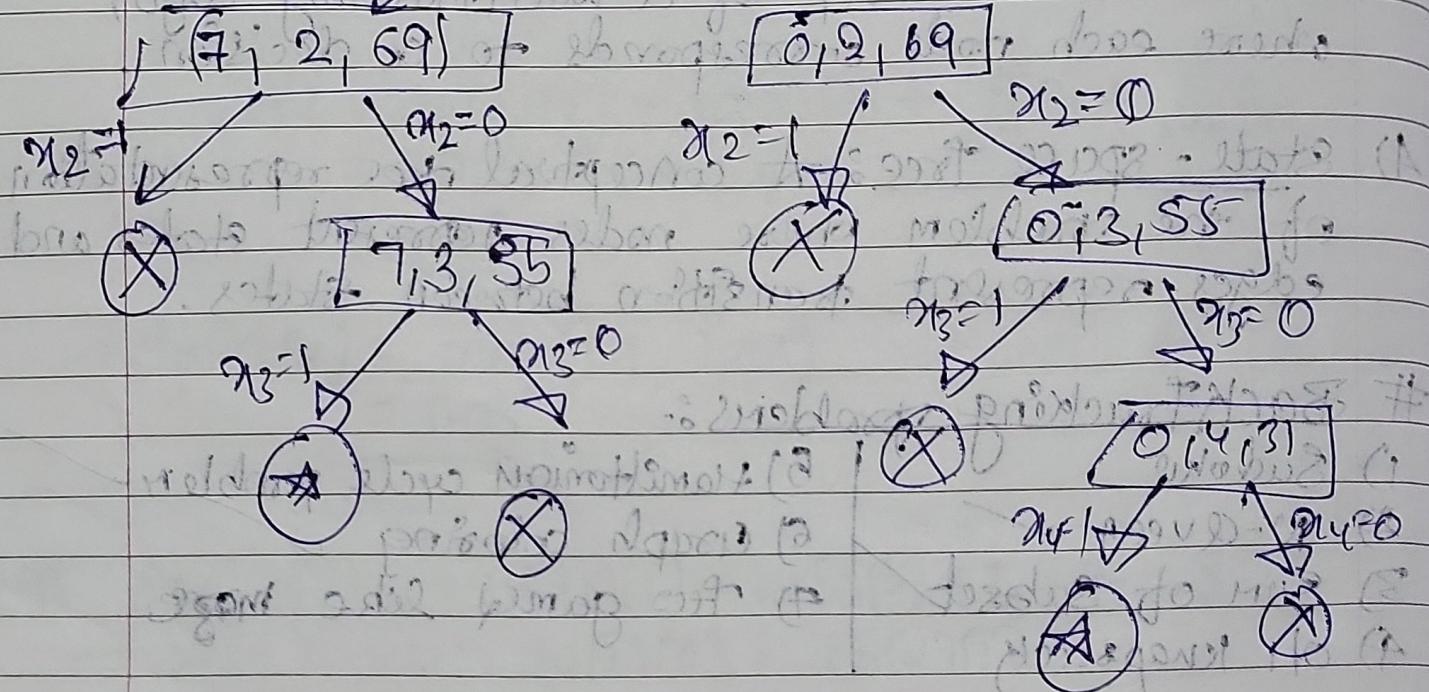
F Sum of subset - BT

(a) - numbers: $\{7, 17, 24, 31\}$, target sum: 31 , $X[7] = \{0, 1, 0, 1, 0\}$; sum = $7 + 17 + 24 = 56$.

~~Backtracking algo:~~

Decision tree: Go towards left: Previous 0, right: Previous 1.

ISOS-OPT(0, 1, 46)



optimal answer

Algo: SOS-opt(s, k, σ) → remaining sum
 {
 } $\rightarrow O(2^n)$.

// Include

```

x[k] = 1.
if (s + nums[k] == targetSum)
  point(x);
return;
else if (s + nums[k+1] <= num[k] & m)
  SOS-opt(s, k+1,  $\sigma - \text{num}[k]$ ).
  
```

// not include

```

if (s +  $\sigma - \text{num}[k]$  > targetSum)
  s + nums[k+1] < m
x[k] = 0
SOS-opt(s, k+1,  $\sigma - \text{num}[k]$ ).
  
```

(not possible)

and

5:

II) N-Queens :-

Algo. :- NQueens(\varnothing , N) $\rightarrow \underline{O(n^n)}$

for ($i = 1$ to N)

{

if $(\text{place}(q, i) == \text{true})$

$x[k] = i$

 if ($k == n$)

 print(x)

 else $\text{NQueens}(\varnothing^{+i}, n);$

 else $\text{NQueens}(\varnothing, n);$

NQueens(\varnothing , n);

place(q , i) \rightarrow column $\rightarrow \underline{O(n)}$

for ($j = 1$ to $q-1$)

 if ($x[j] == i$ or $abs(j-i) == abs(x[j] - i)$)

 return false

return true;

→ Diagonal check in space function

- we have (a_1, c_1) and (a_2, c_2) on same diagonal if $|a_1 - a_2| = |c_1 - c_2|$.

so, if Q_1 attacks Q_2 diagonally given

Q_1 position $(j, \alpha[j])$

Q_2 position $(i, \alpha[i])$

$$\Rightarrow |j-i| = |\alpha[j] - i|$$

⇒ Back-Tracking explores soln in depth first manner.

→ The state space search tree is created and the function which decides this is known as Bounding function.

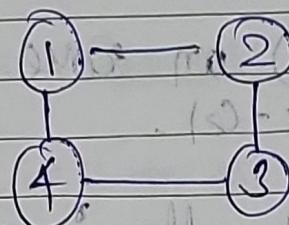
- Types of nodes in dynamic tree (state-space search)
 - 1) live node - node that is generated and children of it aren't been generated yet.
 - 2) θ-node - type of node whose children are apparently being generated
 - 3) dead node - completed node.

$$(t + \text{depth}, \text{val}) = \beta_{\text{choose}}(\text{node}) \times t + \text{val}$$

III

Graph colouring problem

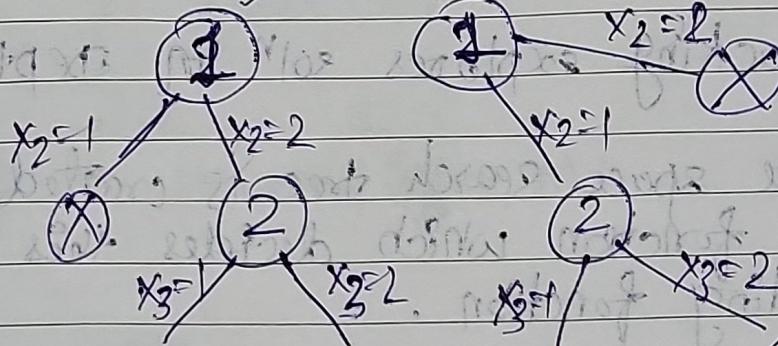
eg.



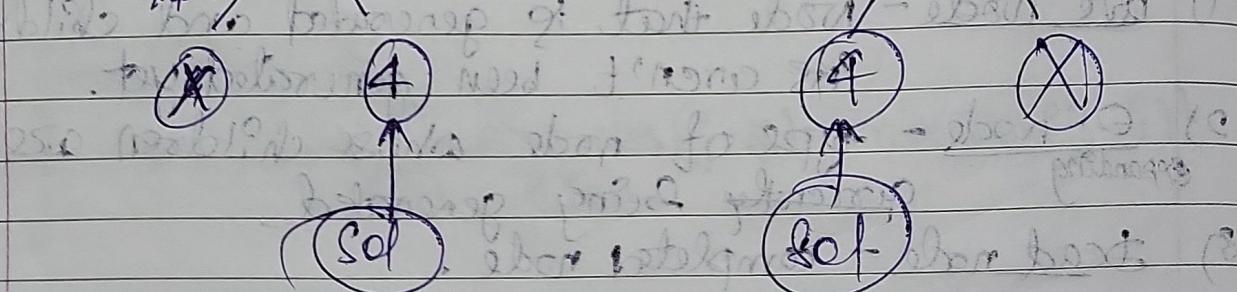
$(1,2) \text{ has } (1,1,1)$ and so
 $(2,1) = (1,2-1,1)$ if longpath

$$\text{num of colors (m)} = 2^{\text{no. of colors}} = [1, 2] \\ (1, 2) \text{ matching all}$$

$$P(0,0) = 10-8 \leftarrow$$



$$(choose some) \leftarrow x_1=1, x_2=2, x_3=1, x_4=2 \leftarrow$$



$$[1, 1, 2, 1, 2]$$

$$[2, 1, 1, 2, 1]$$

$$\rightarrow \text{Max colors needed} = (\text{max. degree} + 1)$$

$\rightarrow \text{m-colouring}(k) \rightarrow O(m^n)$

// initially $k=1$, rank = 1
 do // possible colours m.
 { nextValue(k), if this assignment is
 true then move to next
 if ($x[k] == 0$) // if no colour left to
 return false; // assign to k
 if ($k == n$)
 point(sol)
 // return → set comment to check all soln
 else
 m-colouring($k+1$);
 } while(true);
 }

$\rightarrow \text{nextValue}(k) \rightarrow O(nxm)$.

do

$x[k] = (x[k] + 1) \bmod (m+1);$
 if ($x[k] == 0$) return;

for ($j=1$ to n) // n = no. of nodes,
 { if ($(G(k,j) \neq 0) \wedge (x[k] == x[j])$)
 break;

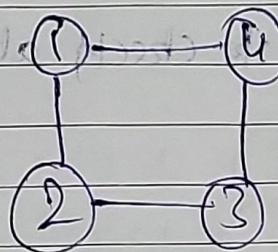
if ($j == n+1$)
 return

} while(true);

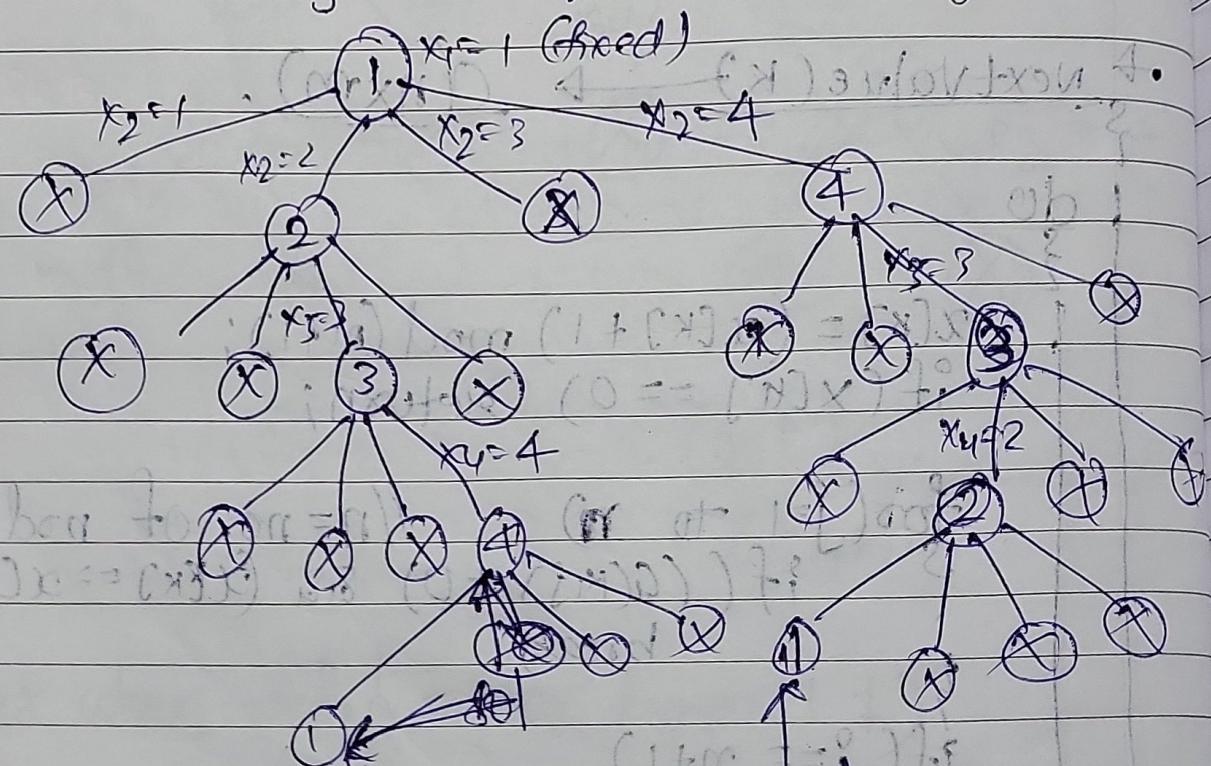
(IV)

Hamiltonian cycle :-

- find Hamiltonian cycle in graph and point cycle.
- Hamiltonian cycle: cycle that covers every vertex exactly one time except starting vertex.



- Assume: starting address vertex is always 1.



HC: 1-2-3-4-1

HC: 1-4-3-2-1

Algorithm: HC(K)

do

nextValue(k);
if ($x[k] == 0$)

return; // name of function —
if ($k == n$ && $G[x[n]][k] == 1$)

print(x); // name of function —

else if ($k < n$)

HC(k+1); // name of function —

while (true); // name of function —

if ($x[k] == 1$)

MC(2); // name of function —

nextValue(k); // name of function —

do

$x[k] = (x[k]+1) \% (n+1)$; // name of function —

if ($x[k] == 0$) return; // name of function —

else

if ($G[x[k-1]][x[k]] == 1$)

for ($j = 1$ to $k-1$)

if ($x[k] == x[j]$)

break;

if ($j == k$) return

} // code (true)

04th
Mar
2028

Page No.
Date

Branch & Bound :

- state-space tree
- Type of nodes
 - live nodes
 - E-node
 - Dead nodes

- Techniques to generate problem states (nodes)

1) DFS

- E-node will generate child and then that child becomes E-node, after child becomes dead the start node again becomes E-node.
- Bounding functions are used to kill the live nodes without generating all their children.
- DFS generation with bounding function is called Backtracking.

2) B&B

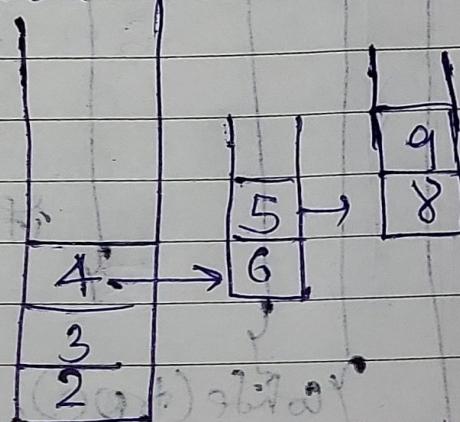
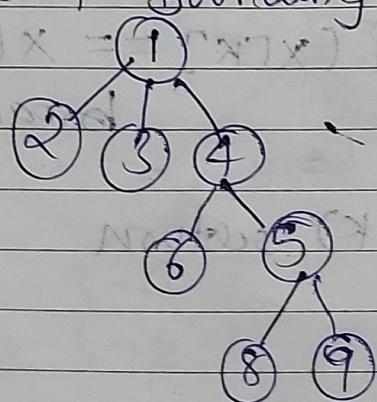
- E-node remains E-node until it is dead.
- this method is called Branch-and-Bound method.

→ Branch and Bound:

1) BFS + Bounding function (FIFO B&B)

2) Depth Search + Bounding function (EFO B&B)

Eg.



- In B&B, always all child's of a t-node is created and then one of the child is further explored.

3) Least Cost (LC) B&B

- The selection of which child to be explored first after the child of, first E-node is generated, it is selected after some calculation that which child could give me solution with least cost :

→ The rank / cost to any node can be given from calculating:

- 1) no. of nodes needed to be generated before reaching answer in subtree
- 2) no. of levels needed to be created to reach the answer node in the subtree.

→ Above 2 cost calculating method are useless, so cost / rank to every node is given by estimation.

- ∴ cost function of any node:

$$c(x) = S(x) + h(x)$$

$S(x)$ = cost to reach answer from x .

$h(x)$ = cost to reach x from start.

- Now, new E-node is selected whose $c(x)$ is minimum and this technique is called LC search.

- BPS, D-search are special cases of LC search.

→ LC search + bounding functions for
LC-BnB search.

- If no answer exists from node x then

$$c(x) = \infty$$

- If x is the answer node,

$$c(x) = h(x), \delta(x) = 0$$

- for internal nodes from which answer node reaches there

$$c(x) = h(x) + \delta(x)$$

(I)

8-puzzle - LC-BnB :-

1	2	3
4	6	
7	5	8

1	2	3
4	5	6
7	8	

X	2	3
1	4	6
7	5	8

1	2	3
7	4	6
5	8	

1	2	3
4	6	
7	5	8

$$c(x) = 1 + 4$$

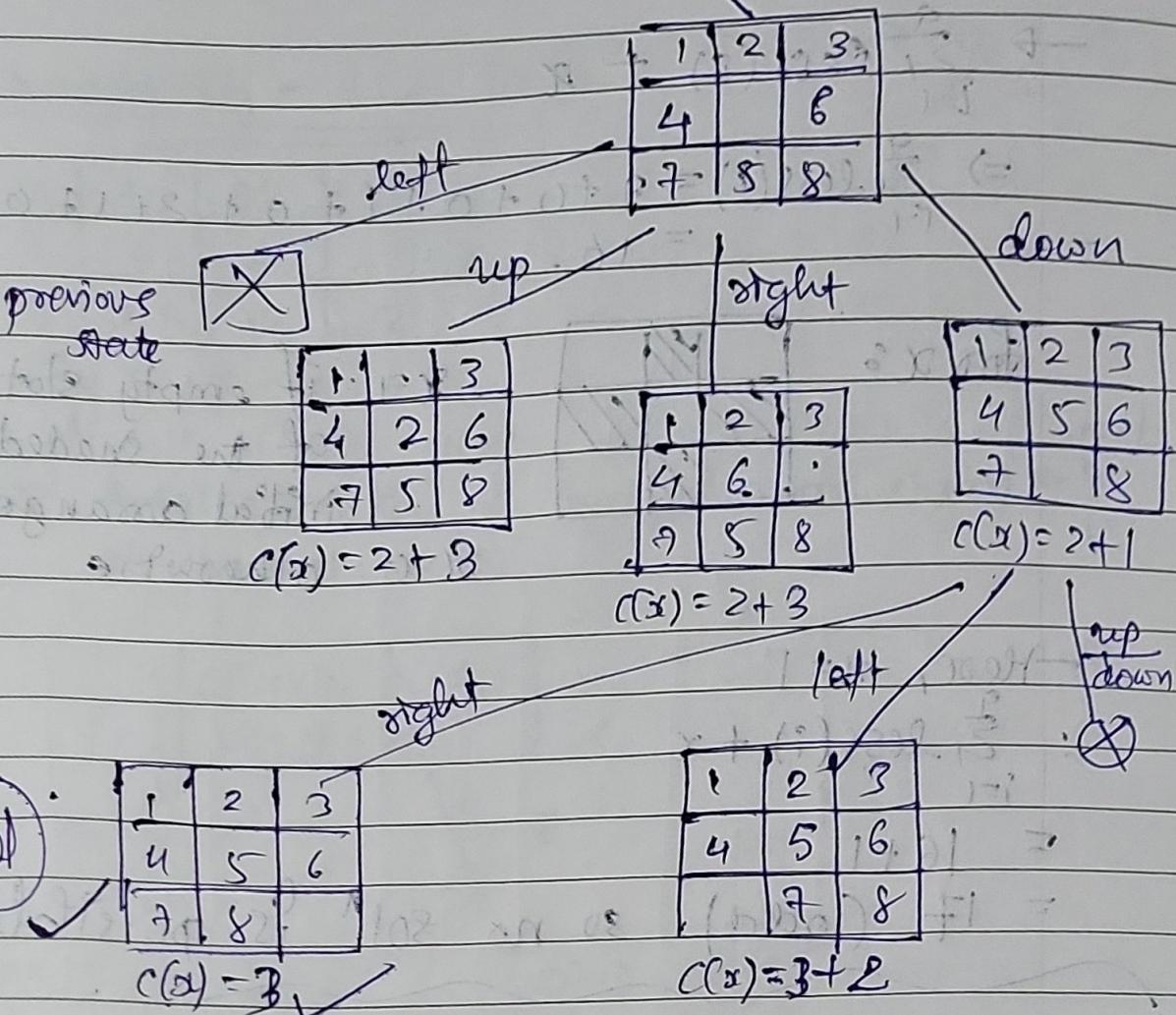
$$c(x) = 6 + 4$$

$$c(x) = 1 + 2$$

here, we defined $c(x) = h(x) + \delta(x)$, where

$h(x)$ = levels above current state

$\delta(x)$ = no. of times misplaced or sum of all file



→ when $S(x) = 0$ we get the solⁿ

→ All other live nodes have $c(x) > 3$ so no need to explore them, just make them dead nodes.

→ solⁿ ÷ [Right, down, right].

Ex 2

8	1	2
4	3	
7	6	5

→ no solⁿ possible.

→ Sol is possible only if $\sum_{j=1}^9 \text{less}(j) + x = \text{even}$. where less(i) = no. of tiles $\bigcup_{j=1}^9 j$ such that $j < i$ & position(j) > position(i).

Here, less(8) = 7, less(1) = 0, less(2) = 0, less(4) = 1, less(5) = 0, less(9) = 5
 less(3) = 0, less(7) = 2, less(6) = 1, less(5) = 0, less(9) = 5

~~#~~ check for 15 puzzle from Pb

Page No.

Date

$$\rightarrow \sum_{i=1}^9 \text{less}(i) + x$$

$$\Rightarrow \sum_{i=1}^9 \text{less}(i) = 9 + 0 + 0 + 1 + 0 + 2 + 1 + 0 + 5$$

now $\sum_{i=1}^9 i = 16$

for x :

2	1	1
1	1	1
1	1	1
1	1	1

$x = 1$: if empty slot is at one of the shaded part in the initial arrangement
 $x = 0$: otherwise

Here, $x = 1$

$$\therefore \sum_{i=1}^9 \text{less}(i) + x$$

$$= 16 + 1$$

= 17 (odd) so no soln is possible.

Now with the 15 numbers, we have to make 15 numbers where each number has 1 digit, where each number has 2 digits, where each number has 3 digits, where each number has 4 digits, where each number has 5 digits, where each number has 6 digits, where each number has 7 digits, where each number has 8 digits, where each number has 9 digits, where each number has 10 digits, where each number has 11 digits, where each number has 12 digits, where each number has 13 digits, where each number has 14 digits, where each number has 15 digits.

[Write numbers, forget] \rightarrow Now \rightarrow

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
1	2	3	4	5	6	7	8	9	10	11	12	13	14	15

Now $x + (9-x)$ will always be 9 for all 15 numbers.

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(II) 0/1-knapsack - LC-BnB

Eg1	P	10	10	12	18
	w	2	4	6	9
	P/w	5	2.5	2	2

— capacity = 15 = m

→ Hence,

$$\text{CC}(v) = \text{total profit} + (m - \text{current weight}) * \frac{P}{w}$$

 at current node

01	11	12	13	14
02	12	13	14	15
03	13	14	15	16
04	14	15	16	17
05	15	16	17	18

$CP = 0, CW = 0$

$ub = 10 + 75$

$x_1 = 1$

$x_1 = 0$

$CP = 10, CW = 0$

$ub = 10 + 32.5 = 42.5$

$CP = 0, CW = 0$

$ub = 37.5$

$x_2 = 1$

$x_2 = 0$

$CP = 20, CW = 6$

$ub = 20 + 18 = 38$

$CP = 10, CW = 2$

$ub = 10 + 26 = 36$

$x_3 = 1$

$x_4 = 0$

$CP = 32, CW = 12$

$ub = 32 + 6 = 38$

$CP = 20, CW = 6$

$ub = 20 + 18 = 38$

$x_4 = 1$

$x_4 = 0$

$CP = 20, CW = 6$

$ub = 20 + 0 = 20$

(X)

$CP = 32, CW = 12$

$ub = 32 + 0 = 32$

$CP = 38, CW = 15$

$ub = 38 + 0 = 38$

$\max = 38$

(II) TSP

$\text{BFS} \rightarrow \text{DLS} \rightarrow \text{Dijkstra} \rightarrow \text{TO}$

- Let $G = \langle V, E \rangle$ be a directed graph.
- Let $c_{ij} = \text{cost of edge } (P_i, P_j)$
- $c_{ij} = \infty$ if $(P_i, P_j) \notin E$.

Now, we want min. cost hamiltonian cycle.
 Brute force approach has $(n-1)!$ options.

	1	2	3	4	5	Min
Eg. cost:	∞	20	80	10	11	10
1	15	∞	16	4	2	2
2	3	5	10	2	4	2
3	19	6	18	∞	3	3
4	16	4	7	16	∞	4

$$G = \langle V, E \rangle, \text{ Min} = 21$$

- Row reduction: subtract min from each row

Cost:	∞	10	20	0	1	
	13	∞	14	2	0	
	1	3	∞	0	2	
	16	3	15	∞	0	
	12	0	3	12	∞	

$$\text{Min: } 1 \quad 0 \quad 3 \quad 0 \quad 0 \quad 4$$

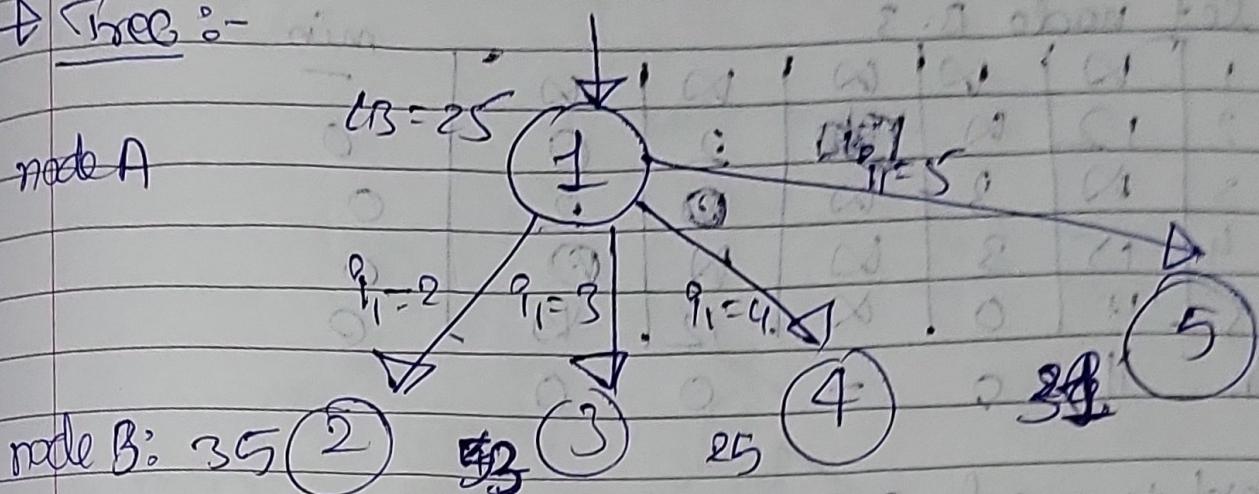
- Column reduction: subtract min from each column

Cost:	∞	10	17	0	1	
	12	∞	11	2	0	
	0	3	∞	0	2	
	15	3	12	∞	0	
	11	0	0	12	∞	

$$\rightarrow \text{Lower bound} = \text{total reduction} = 21 + 4 = 25$$

Ans = ∞

Tree :-



- cost at node 2 : lower bound + cost of node B
at parent from node A in
reduced cost not.
+ cost of reduction %.

→ Now, on reaching node B,

- there could not be any outgoing edge from node B
- there could not be any incoming edge from node B
- no edge from node B to node A.

→ let node B = 2

∴ cost :

∞	∞	∞	∞	∞	∞
∞	∞	∞	∞	∞	∞
0	∞	∞	0	2	0
15	∞	12	∞	0	0
11	∞	0	12	∞	0

Min. 0 ~~+~~ 0 = 0

lower bound at node 2 = 0 + 0

cost to reach 2 = $25 + 12 + 0$

$$= 37$$

2 + 1 + 3 = 6 nodes of tree

$$R =$$

W - 20/07/23

 \rightarrow let node B: 3

	∞	∞	∞	∞	∞	min
1	∞	∞	∞	∞	∞	-
2	12	∞	10	2	0	0
3	∞	3	∞	2	2	0
4	15	3	∞	∞	0	0
5	11	0	∞	12	0	0
min	11	0	∞	0	0	.

$$\text{cost to reach } 3 = 25 + 17 + 11$$

choice to node 4 = $42 + 11$: choice to node 5
 not choice with ∞ \Rightarrow 53 (b)

take the minimum

\rightarrow B: 4 ~~3~~ when to ~~3~~ + min

	∞	∞	∞	∞	∞	min
1	∞	∞	11	∞	0	0
2	12	∞	∞	∞	0	0
3	∞	3	∞	∞	2	0
4	∞	3	12	∞	0	0
5	11	0	0	∞	∞	0
min	0	0	0	0	0	.

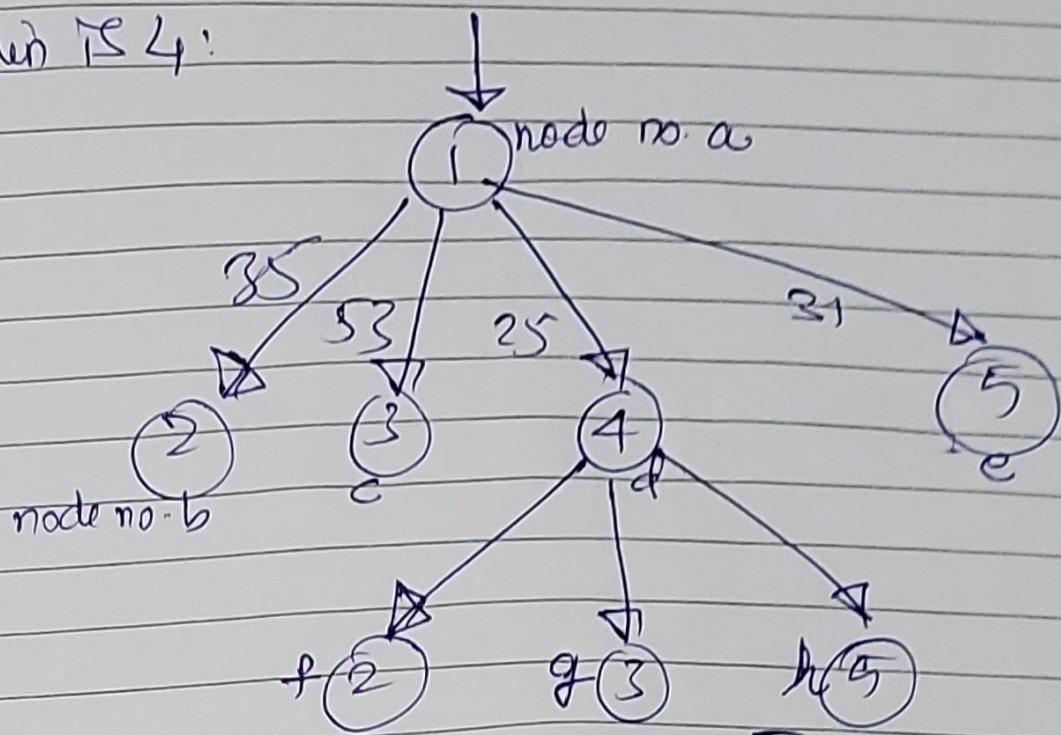
$$\rightarrow \text{cost to reach } 4 = 128 + 0 + 0 = 128$$

\rightarrow B: 5

	∞	∞	∞	∞	∞	min
1	∞	∞	∞	∞	∞	-
2	12	∞	11	2	∞	2
3	∞	3	∞	0	∞	0
4	15	3	12	∞	∞	3
5	∞	0	0	12	∞	0
min	0	0	0	0	0	.

$$\rightarrow \text{cost to reach } 5 = 25 + 1 + 5 \\ = 31$$

\Rightarrow Min is 4.



Reduced matrix at 4:

∞	∞	∞	∞	∞
12	∞	11	∞	0
0	3	∞	∞	2
∞	3	12	0	0
11	0	0	∞	∞

\rightarrow to reach f₀ 2

∞	∞	∞	∞	∞	∞
∞					
∞	∞				
∞	∞	∞			
∞	∞	∞	∞		

\rightarrow check sir's pdf for TSP.

IV Job Assignment using LC B&B :-

Given

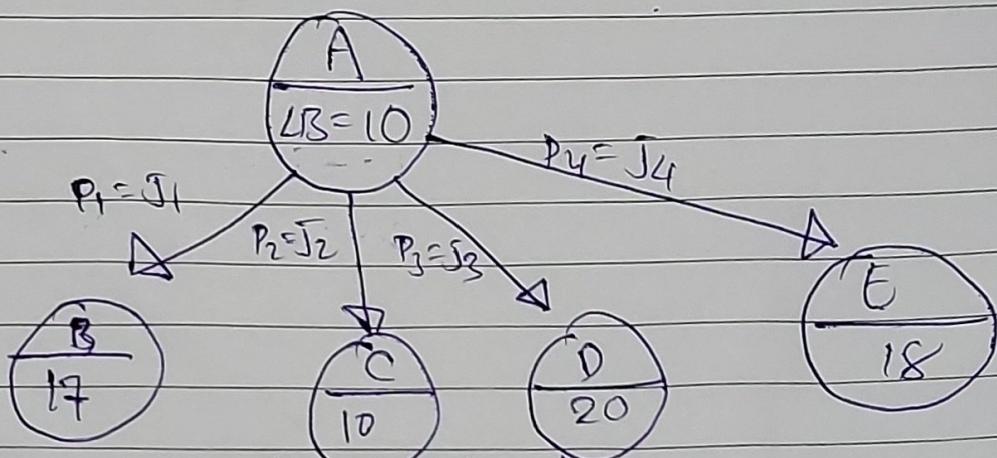
Job →

mat:	J1	J2	J3	J4	min:
P1	9	2	7	8	2
person P2	6	4	3	7	3
↓ P3	5	8	1	8	1
P4	7	6	9	4	4

10. → lower bound,

→ $C(x) = \text{Job cost} + \min. \text{ from left person job}$
fill

Eg:



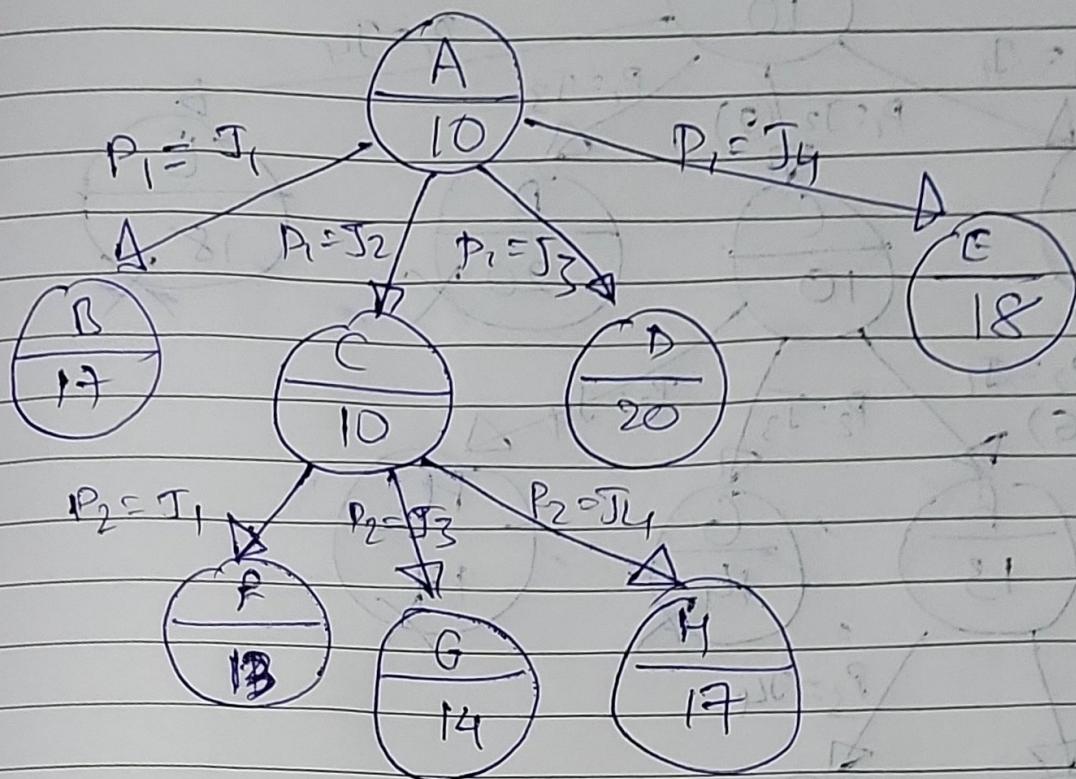
for B:-

	J1	J2	J3	J4	min
P1	9	2	7	8	2
P2	6	4	3	7	3
P3	5	8	1	8	1
P4	7	6	9	4	4

= 9 + 8
= 17

for C: $LB = P1.J2 +$	9	2	7	8	
= 2 + 8	6	4	3	7	3
= 10	5	8	1	8	1

→ min. if C with LB = 10.



for F: $P_2 J_1 + \min(P_3, P_4)$ + till cost

$$= 6 + 5$$

$$= 11 + 2$$

9	8	7	8
6	4	3	7

min

5	8	1	8
7	6	9	4

4

5

for G: $P_2 J_3 + \min \text{ of } P_3 \text{ and } P_4$

$$= 3 + (5 + 4)$$

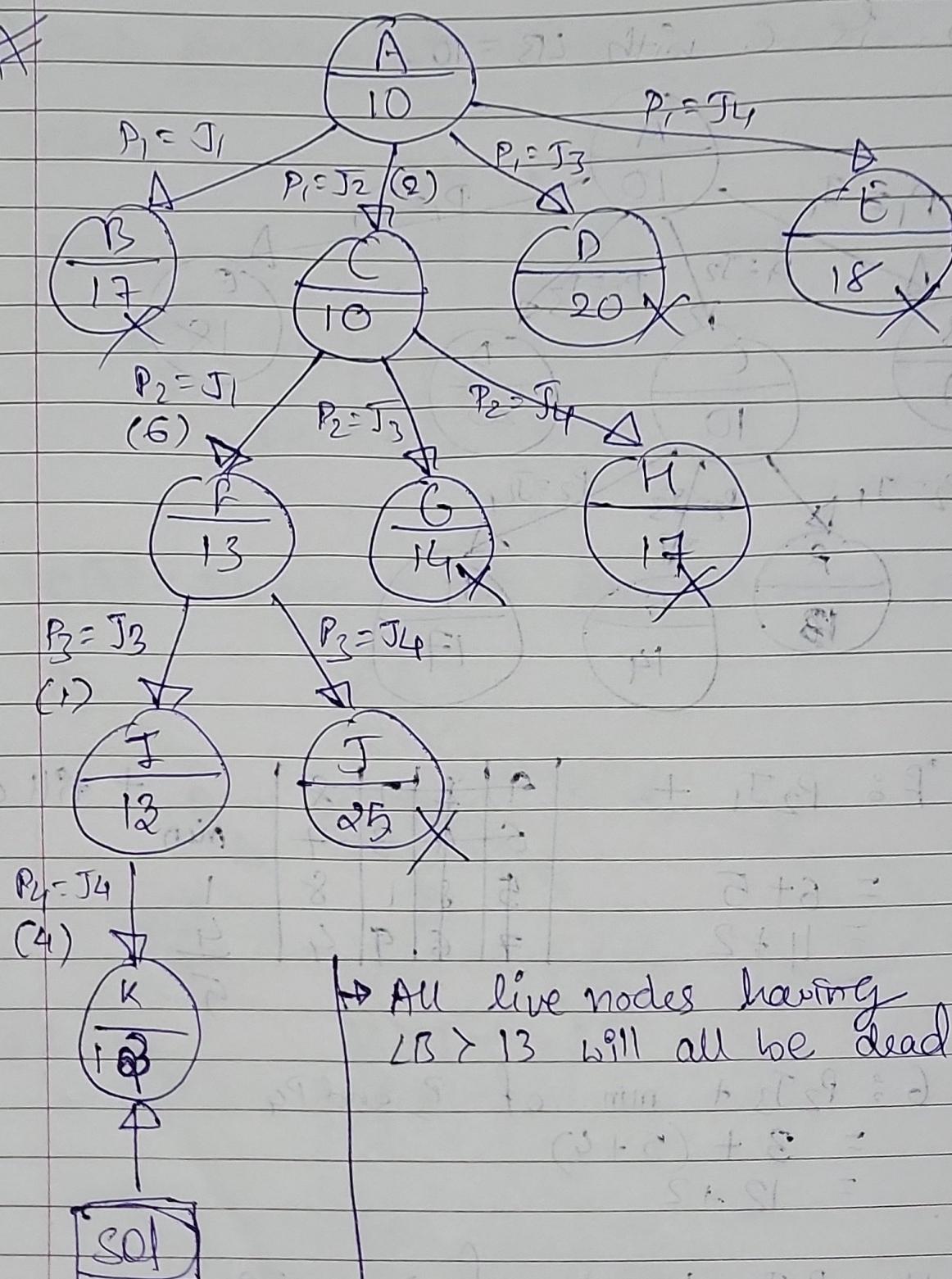
$$= 12 + 2$$

5

for H: $P_2 J_4 + \min \text{ of } P_3 \text{ and } P_4$

$$= 7 + (5 + 4) + 2 = 18$$

$$= 15 + 2 = 17 \text{ min. b/w}$$



→ All live nodes having $L_B > 13$ will all be dead.

→ Min. ~~sol~~ $\leftarrow \langle J_2, J_1, J_3, J_4 \rangle$
 and min. cost = 13