

14th  
Feb  
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## Sessional - III

Page No.

Date

### HD Computational Complexity Theory :-

- Branch of CS
- deals with categorization of problems ~~into~~ into different complexity classes.
- Classes:
  - P
  - NP - complete
  - NP - Hard.

#### # Definitions :-

- 1) → P : set of problems that are decision problems which can be solved using Deterministic algorithm in polynomial time i.e.  $O(n^k)$  where  $k$  is constant.
- Decision problem : yes/no problems.  
(eg Sum of subset Problem)
- Deterministic Algorithm : practically possible algs which can be run on the deterministic machines and can obtain an output that algos are deterministic algorithms.
- Deterministic machine : practical existing machines are all deterministic machines.
- Efficient = polynomial time on deterministic machine.

All sum of subset for which kind of Algo?  
Ans NP

- There is no deterministic algorithm which can solve it in polynomial time.
- Given some solution, they can be verifiable in polynomial time.

Q) NP: It is a class(set) of decision problems which can be solved in polynomial time using non-deterministic algorithm.

Q) Write non-deterministic algo for sum of subset problem.

Ans. Algo: NP-Sum-of-subset(A, target) :-

total = 0

for ( $i=1$  to  $A.length$ ) -  $\Rightarrow \Theta(n)$

$B[i] = \text{choice}(0, 1)$

if ( $B[i] == 1$ )

total +=  $A[i]$ ;

if (total == target)

3 major functions  
for non-deterministic  
algo:-  
- choice()  
- success()  
- failure()

else

failure();

- If every path of computation says failure() then finally it means that there is no soln  
But if atleast one path of computation says success() then soln do exists.

Q3) Write non-deterministic algo for searching.

Ans. Algo: ND-search(A), key)  $\xrightarrow{\text{O}(n)}$

$j = \text{choice}(1, A.\text{length})$

if ( $A[j] == \text{key}$ )  
success()

else

failure()

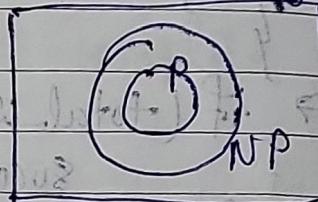
Q3) What is the need of ND Algorithms?

Ans. 1) To show that sum problem  $\in$  NP category.

2) Useful for showing NP-complete problems.

Q4) Is  $P \subseteq NP$ ?

Ans. for  $P \subseteq NP$ ,  
- Fix,  $x \in P \Rightarrow x \in NP$



Q5) Write non-deterministic algo for sorting.

Ans. Algo: ND-Sorting(A, n)  $\xrightarrow{\text{length}} \text{carray}$

for ( $i=1$  to  $n$ )  $\xrightarrow{\text{initialization}} \xrightarrow{\text{O}(n)}$

$j = \text{choice}(1, n);$   $\xrightarrow{\text{choose random number}}$

$B[i] = A[i];$   $\xrightarrow{\text{assign its initial value}}$

$(A, m, w, q) \xrightarrow{\text{initial values}}$

for ( $j+1$  to  $n-1$ )  $\xrightarrow{\text{and iteration}}$

if ( $B[j] < B[j+1]$ ) failure();

else: swap and go with B. with  
success();  $\xrightarrow{\text{success or not}}$

Q6) Consider a problem: Decision: O/T.

Given  $P[1 \dots n]$ ,  $w[1 \dots n]$ , capacity  $m$ .

Is it possible to have sol<sup>n</sup> of 0/1 knapsack such that total profit  $\geq x$  and total weight  $\leq m$ ?

Ans: ND-Knapsack ( $P, w, n, m; x$ ) :-

```

for (i=1 to n) {
    if (total_w = 0, total_p = 0) {
        X[i] = choice(0,1)
        if (X[i] == 1) {
            total_w += w[i]
            total_p += P[i]
        }
    }
    if (total_w <= m AND total_p >= x) {
        success()
    } else {
        failure()
    }
}
  
```

Q7) Show that optimization of 0/1 knapsack  $\notin$  NP.

Ans: Decision knapsack  $\in$  P

- Decision knapsack  $\in$  NP (trivial)

- Optimization 0/1 knapsack  $\notin$  NP

$\rightarrow$  ND-OPT-Knapsack ( $P, w, n, m$ )

If we get total-p and total-weight after for  
for verification we need to compare all feasible  
possible solutions (for success() or failure()).  
thus it does not have poly. time ; thus  
optimization - 0/1 knapsack  $\notin$  NP.

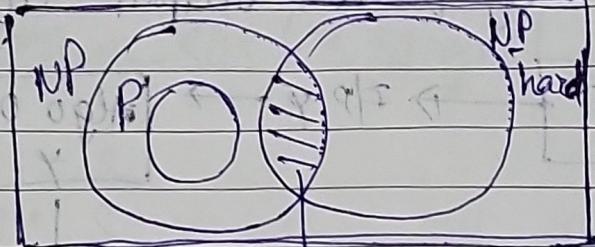
→ for NP -

- if finding sol<sup>n</sup> is not in polynomial
- then atleast verification of sol<sup>n</sup>, should be in polynomial.

Optimization problems generally fall in the category of NP-hard problems.

↳ Intractable

and



→ NP ⊂ NP-hard ⊂ NP-complete

Defn:

- 3) NP-complete: X is an NP-complete (NP-C) if
- (i)  $X \in NP$
  - (ii)  $X \in NP\text{-Hard (NP-H)}$ .

- Powers of them are  $\notin NP$ ,  $\in NP\text{-H}$

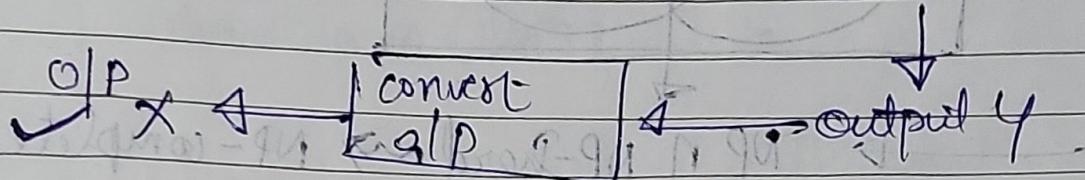
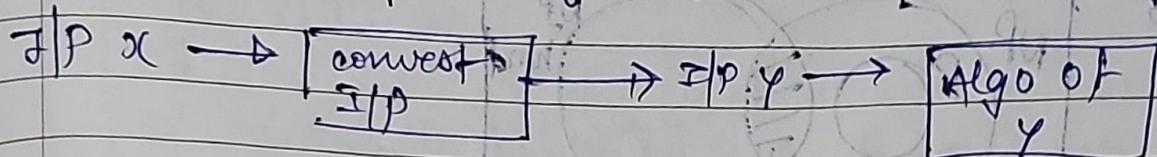
- 4) NP-Hard: Algo: not in NP and has TC in exponential, factorial etc.

## Reduction:

problem X reduces to Y in polynomial time.  
 symbol:  $(X \leq^P Y) \rightarrow PT$ : polynomial time.

→ How to reduce X into Y for proving

- 1) Convert input of X to Y.
- 2) Get output of Y as we have algo of Y.
- 3) convert output of Y to output of problem X.



→ If Y is called polynomial no. of times then if  
 ∴ Y is called polynomial reduction.

(H-94) book H-94 3 x (1)

H-94 3, 94 3 Powt. Do want -

N.P. red. has to do in the O(1) of polyt + O(1) (P)  
 To for each, for each

Eg. Sum of Subset  $\leq$  of knapsack

Given

$$S = \{10, 20, 30, 40\}, \text{ target} = 50$$

$\rightarrow$  convert to  $P = \{10, 20, 30, 40\}$ ,  $W = S$ ,  $C = \text{target}$

$$P = \{10, 20, 30, 40\}, C = 50$$

$$W = \{10, 20, 30, 40\} \subset S$$

$$C = \text{target} = 50$$

Reduction - SOS ( $S, \text{target}$ )

$$P[0] = S \text{ (subset of current set)} \times \text{FB}$$

$$W[0] = S \times \text{in head of transition}$$

$$m = \text{target}$$

$$dp = \text{Oli-knapsack}(P, W, m); \text{ memoized dp table}$$

$\text{if } (dp[S, size][target] == \text{target})$

success()

else

failure()

$\rightarrow$  So, it is a polynomial reduction problem.

## # polynomial Time Reductions :-

① say  $X \leq_p Y$

and  $X$  is known as NP-Hard problem then  
 $Y$  is also NP-Hard problem

② say  $X \leq_p Y$

- and  $Y$  has deterministic poly. time algorithm,  
 then  $X$  has also polynomial time algorithm.

③  $X \leq_p Y$

- If  $X$  is known NP-Hard problem then  
 $Y$  is atleast as hard as  $X$ .

Note: If  $X \leq_p Y$  then not necessarily

$Y$  is reducible to  $X$ .

$Y \leq_p X$ .

$\rightarrow X \leq_p Y$

Given  $Y$  is easy then  $X$  is also easy.

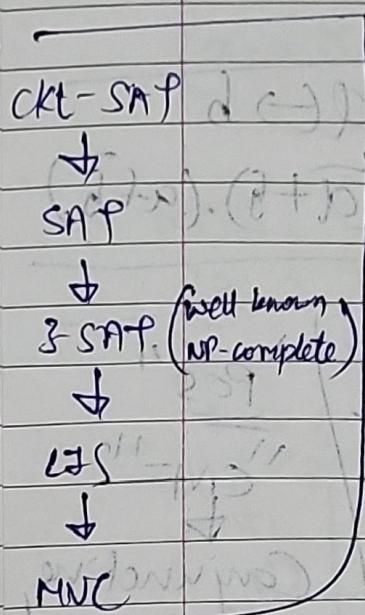
$\rightarrow$  Reverse Compatibility is never guaranteed while  
 reduction.

## # Cook-Levin's theorem :-

→ Circuit-satisfaction is NP-Complete

Plan:-

Ckt-Sat (circuit-satisfiable)

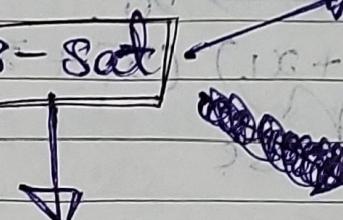


reduce to

SAT (satisfiability problem)  
-CNF



3-SAT



Sum of  
Sub-set

Max. clique

0/1 knapsack

subset sum

inclusion-exclusion

to avoid

stop

Ckt-Sat

P.T. SAT

↳ SAT is also hard

Known hard

SAT  $\leq$   
P.T.

3-SAT

↳ 3-SAT is also hard

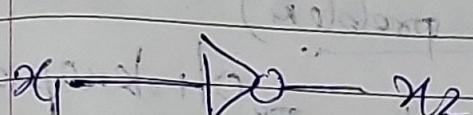
Known Hard

Note: Most people use 3-SAT as a known NP-Complete problem, and thereby they can show that other problem is also NP-Complete problem.

## CKF-SAT problem (not tractable)

- Given combinational circuit, the goal is to check whether there exists input value which makes the output of the circuit "true" i.e.  $\Sigma^2$

Q shows : CKF SAT  $\leq_p$  SAT.  
 $\Rightarrow$  NOT GATE



$$\phi \rightarrow b$$

$$(\bar{a} + b) \cdot (\bar{a}b)$$

formula :  $\phi : x_2 \leftrightarrow \bar{x}_1$ .

describes behaviour of gate with exactly clause.

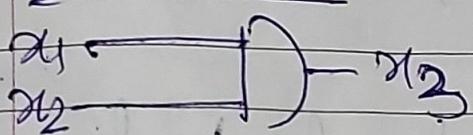
POS

"CNF"

Caynchive

Normal form

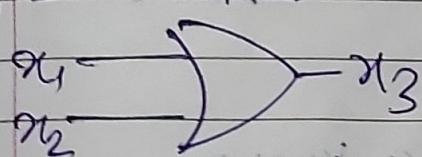
2) AND GATE



$\phi : x_3 \leftrightarrow x_1 \cdot x_2$ .

$$= (x_3 + \bar{x}_1\bar{x}_2) \cdot (x_1x_2 + \bar{x}_3).$$

3) OR GATE



$\phi : x_3 \leftrightarrow x_1 + x_2$ .

$$= (x_3 + \bar{x}_1 + \bar{x}_2) \cdot (x_1 + x_2 + \bar{x}_3).$$

Prop.

$$x \rightarrow y = \bar{x} + y$$

$$y \rightarrow x = x + \bar{y}$$

$$x \leftrightarrow y = (x \rightarrow y) \cdot (y \rightarrow x)$$

$$= (\bar{x} + y) \cdot (x + \bar{y})$$

CKTDAND:

$$(x_3 + \bar{x}_1 \bar{x}_2) \cdot (x_1 x_2 + \bar{x}_3) \rightarrow$$

$$(\bar{x}_3 + \bar{x}_1 + \bar{x}_2) \cdot (\bar{x}_3 + x_1) \cdot (\bar{x}_3 + x_2)$$

→ OR:

$$(x_3 + \bar{x}_1 \bar{x}_2) : (x_1 + x_2 + \bar{x}_3) \rightarrow$$

$$(x_3 + \bar{x}_1) \cdot (x_3 + \bar{x}_2) \cdot (x_1 + x_2 + \bar{x}_3)$$

→ Verification (AND GATE)

$x_1$	$x_2$	$x_3$	$\bar{x}_3$	$(x_1 + x_2) \cdot (\bar{x}_3 + x_3) \cdot (x_1 + x_2 + \bar{x}_3)$
0	0	0	1	$(0+1+0) \cdot (1+0) \cdot (1+1) = 1$
0	1	0	1	$(0+1+0) \cdot (1+0) \cdot (1+1) = 1$
1	0	1	0	$(1+0+0) \cdot (0+1) \cdot (1+1) = 1$

0	0	0	1	$0 \cdot 1 \cdot 1 = 0$
0	1	0	1	$0 \cdot 1 \cdot 1 = 0$
1	0	1	0	$1 \cdot 1 \cdot 1 = 1$
1	1	0	1	$1 \cdot 0 \cdot 1 = 0$

 $a \rightarrow$

Ex: Convert the following CNF to equivalent SAT formula.

$$\begin{array}{c} x_1 \xrightarrow{\quad} D \\ x_2 \xrightarrow{\quad} D \end{array} \quad \left\{ \begin{array}{l} x_5 \\ x_6 \end{array} \right. \quad \begin{array}{c} x_5 \xrightarrow{\quad} D \\ x_7 \xrightarrow{\quad} D \end{array} \quad \begin{array}{c} x_8 \xrightarrow{\quad} D \\ x_9 \end{array}$$

$$\begin{array}{c} x_3 \xrightarrow{\quad} D \\ x_4 \xrightarrow{\quad} D \end{array} \quad \left\{ \begin{array}{l} x_6 \\ x_7 \end{array} \right. \quad \begin{array}{c} x_6 \xrightarrow{\quad} D \\ x_8 \end{array} \quad \begin{array}{c} x_7 \xrightarrow{\quad} D \\ x_9 \end{array}$$

Sol:  $\phi = (x_5 \leftrightarrow x_1 \cdot x_2), (x_6 \leftrightarrow x_3 + x_4),$   
 $(x_7 \leftrightarrow x_5 + x_6), (x_8 \leftrightarrow \bar{x}_7)$

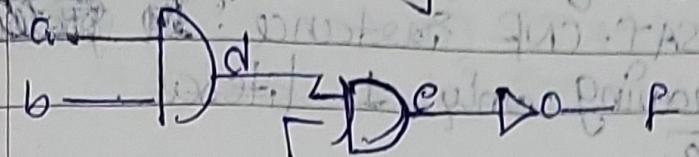
→ firs can be converted to POS

$$\therefore \phi = x_8 (\bar{x}_5 + x_1) \cdot (\bar{x}_5 + x_2) \cdot (x_5 + \bar{x}_1 + \bar{x}_2) \cdot \\ (\bar{x}_6 + x_3) \cdot (\bar{x}_6 + x_4) \cdot (x_6 + \bar{x}_3 + \bar{x}_4) \cdot \\ (\bar{x}_7 + x_5 + x_6) \cdot (x_7 + \bar{x}_5) \cdot (x_7 + \bar{x}_6) \cdot \\ (x_8 + x_7) \cdot (\bar{x}_8 + \bar{x}_7).$$

Q CNF - conjunctive normal form  
 - AND of clauses -

Q When is  $\phi$  in 3-SAT?

A: If each clause has exactly 3 values, then  $\phi$  is in 3-SAT.

Q1) Consider following instance of Ckt-SAT.  
  
 Given a boolean circuit, can we have inputs such that o/p is 1?

Sol:- Here,

$$\phi = f(p \leftrightarrow \bar{e})(e \leftrightarrow d;c)(d \leftrightarrow a;b)$$

$$= f(f+e)(\bar{p}+\bar{e})(\bar{e}+\bar{d};c)(\bar{e}+d;c)$$

$$(d+\bar{a};b)(\bar{d}+\bar{a};b) =$$

$$f(f+e)(\bar{p}+\bar{e})(\bar{e}+\bar{d})(\bar{e}+c)(e+d+\bar{c})$$

$$(d+\bar{a}+b)(\bar{d}+a)(\bar{a}+b).$$

$\hookrightarrow$  SAT-CNF's instance.

\* SAT-CNF: Given a boolean formula  $\phi$ , is there any flip that gives  $\phi=1$ .

$\rightarrow$  Ckt-SAT can be solved by SAT-CNF

Q.E.D. & next room problem (ans): 11/2007

Ckt-SAT  $\leq$  SAT-CNF

$$P(B+b+c)(a+\bar{d}+\bar{e}) =$$

$\rightarrow$  In SAT-CNF formula  $\phi$ :

1) Literals: Variable or its complement

2) clause: "OR" of literals

3) If every clause has exactly  $k$  literals then the formula  $\phi$  is in  $k$ -SAT-CNF formula.

4) 3-SAT-CNF: Every clause should have 3 literals.

Q2) Show that instance of SAT-CNF can be converted to 3-SAT-CNF instance?

Ans. - Case I : Clause having only 1 literal.

Eg: clause has  $\bar{a}$ .

Given  $\bar{a}$

$$a + \bar{a} = \bar{a} + 0$$

$\Rightarrow \bar{a} + d_i \bar{d}_i \rightarrow$  (where  $d_i$  is "dummy" variable)

$$\Rightarrow (\bar{a} + d_i)(\bar{d}_i + \bar{d}_i) \rightarrow$$
 (variable)

$$= (\bar{a} + d_i + d_2 \cdot \bar{d}_2)(\bar{a} + \bar{d}_i + d_2 \bar{d}_2)$$

$$\Rightarrow (\bar{a} + d_i + d_2)(\bar{a} + d_i + \bar{d}_2)(\bar{a} + \bar{d}_i + d_2)$$

$$= (\bar{a} + d_i + d_2)(\bar{a} + d_i + \bar{d}_2)(\bar{a} + \bar{d}_i + d_2),$$

Case II : clause having only 2 literals.

Eg:  $a + b$

$$= a + b + 0$$

$\Rightarrow a + b + d_i \bar{d}_i \rightarrow$  (where  $d_i$  is "dummy" variable)

$$= (\bar{a} + \bar{b} + d_i) \cdot (a + b + \bar{d}_i)$$

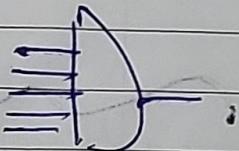
Case III : clause having more than 3 literals

Eg:  $a + \bar{b} + c + d + \bar{d}_i$

$$= (a + \bar{b} + d_i)(c + d + \bar{d}_i)$$

↑ dummy

↑ complemented  
same dummy



Here,

If  $a + \bar{b} = 1 \Rightarrow d_i = 0 \Rightarrow \bar{d}_i = 1$  (so "go" is true)

If  $c + d = 1 \Rightarrow \bar{d}_i = 0 \Rightarrow d_i = 1$  (so "go" is true)

If  $a + \bar{b} + c + d = 0 \Rightarrow d \oplus \bar{d}_i = 1$  (so "true" is true)

So if all four conditions are true, then "go" is true.

$$\text{eg2: } \bar{a} + b + \bar{c} + d + e.$$

$$= (\bar{a} + b + d_1) (\bar{c} + d + e + d_1)$$

$$= (\bar{a} + b + d_1) (\bar{c} + d + d_2) (e + \bar{d}_1 + \bar{d}_2)$$

$$\text{eg3: } a + b + c + d + \bar{e} + f.$$

$$= (\bar{a} + b + d_1) (\bar{c} + d + \bar{e} + f + d_1)$$

$$= (a + b + d_1) (\bar{c} + d + d_2) (\bar{e} + f + \bar{d}_1 + \bar{d}_2)$$

$$= (a + b + d_1) (\bar{c} + d + d_2) (\bar{e} + f + d_3) (\bar{d}_1 + \bar{d}_2 + \bar{d}_3)$$

→

for  $R > 3$

→  $K-3$  dummy's are needed to convert

any SAT instance to 3-SAT CNF.

→ Vertex Cover:  $G = \langle V, E \rangle$  consider graph.

Subset  $V' \subseteq V$  is vertex cover if all edges  $(u, v) \in E$  either  $u \in V'$

- finding minimum sized vertex cover is NP-Hard problem.

- To show that:

eg 3-SAT. NP-hard p.t. cover optimized (MVC).

→ Decision version of MVC → NP-Complete

Given a vertex graph  $G$ , does it have vertex cover of size  $R$ . If  $\exists$   $k \rightarrow$  true, it will be true  $\forall R > k$ .

## # Plan:

- Decision version of MVC is NP-complete.

~~steps~~  $\times$  (3SAT) (chaining) (IB + ILP)

1) Decision MVC  $\in$  NP

- Write non-deterministic algo. which runs in P.T.

2) Show that it is at least as hard as some well known NP-hard problem:

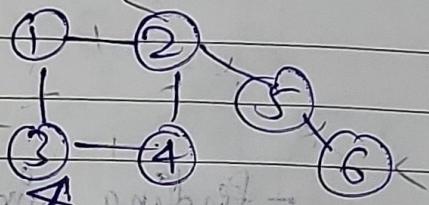
~~known hard~~  $\rightarrow$  Decision MVC (NP-Hard)

3-SAT  $\leq$  Decision MVC

$\rightarrow$  we do:  $\begin{cases} 3\text{-SAT} \leq \text{largest independent set} \\ \text{of 3-SAT} \leq \text{Decision MVC} \end{cases}$

$\rightarrow$  Independent largest set:

-  $G = \langle V, E \rangle$ , subset  $V' \subseteq V$  be "IS" independent set.  
if no two vertices  $u, v$  from IS are connected by edge i.e. if  $u \in IS$  and  $v \in IS \Rightarrow (u, v) \notin E$ .  
Eg:  $\{1, 5\} \subset IS$   $\leftarrow \{1, 4, 5\} \subset IS$   $\leftarrow \{1, 2\} \subset IS$   $\leftarrow$  hard.



$\rightarrow$  Vertes  $V - IS =$  vertex cover

Eg:  $\{1, 2, 3, 4, 5, 6\} - \{1, 4, 5\} = \{2, 3, 6\} \rightarrow$  vertex cover

$\rightarrow$  we want to find largest size independent set (LIS).

$\rightarrow$  In a graph G,

- If VC of size k exists then IS of size  $n-k$  exists.

- If IS of size k exists then VC of size  $n-k$  exists.

$\rightarrow$  So, VC and IS are reducible over each other.

$\Rightarrow IS \underset{\text{P.T.}}{\leq} VC \quad VC \underset{\text{P.T.}}{\leq} IS \Rightarrow IS \underset{\text{P.T.}}{=} VC$

Q1 Show that  $S$  is Ind. set of size  $K$   
iff  $V-S$  is vertex cover of size  $n-K$ .

~~part - I~~ Let  $S$  be the "Independent set" of size  $K$ ,  
then set  $V-S$  will be of size  $n-K$ .

- now consider some edge  $(u, v) \in E$ ,  
here,  $S$  is "IS"  $\Rightarrow$  either  $u \notin S$  or  
 $v \notin S$  or both  $\notin S$ .  
 $\Rightarrow u \in V-S$  or  $v \in V-S$  or both  $\in V-S$ .
- which means  $V-S$  covers  $(u, v)$  as from A
- $\therefore V-S$  covers all edges  $(u, v) \in E$  and so  
 $V-S$  is vertex cover of size  $n-K$ .

Part - II

Given  $V-S$  be VC of size  $n-K$ ,  
then set  $S$  will be of size  $K$ .

- now consider some edge  $(u, v) \in E$   
then,  $V-S$  is "VC"  $\Rightarrow$  either  $u \in V-S$  or  
 $v \in V-S$  or both  $\in V-S$ .  
 $\Rightarrow u \notin S$  or  $v \notin S$  or both  $\notin S$ .

which means

$S$  will never contain  $u, v \in S$  where  $(u, v) \in E$   
and so  $S$  is an independent set of size  $K$ .

- So from part - I and part - II we can say that  
if  $S$  is of size  $K$  then  $V-S$  is of size  $n-K$  and  
vice versa.

Q2 Show that decision version of And set is NP-complete.

~~Q2~~ Q3 Is there an "IS" of size  $\geq k$ ?

→ Step 1: Show  $X \in \text{NP}$ .

- Below is a non-deterministic set<sup>n</sup>/Algo for X:

ND-IS( $V, G, k$ )

{ IS =  $\emptyset$  }  $\Leftrightarrow$  "IS" of size 0.

for ( $i=1$  to  $|V|$ )

$x = \text{choice}(1, n)$

IS = IS  $\cup$  { $x$ } // set adds unique

if  $x \in V$  then  $x \in IS$

IF (IS.size  $\geq k$ )

success();

else

failure();

for every edge  $(u, v) \in G$

if ( $u \in IS$  AND  $v \in IS$ )

failure();

success(); but replace in  $R$  to  $b$

This algorithm runs in poly. time on non-det.

machine and can also be verified in poly. time.

$\therefore \text{ND-IS} \in \text{NP}$   ~~$\Rightarrow X \in \text{NP}$~~

→ Step 2: Show  $X \in \text{NP-hard}$

→ For that we show:  $\text{3-SAT} \leq_p \text{IS}$

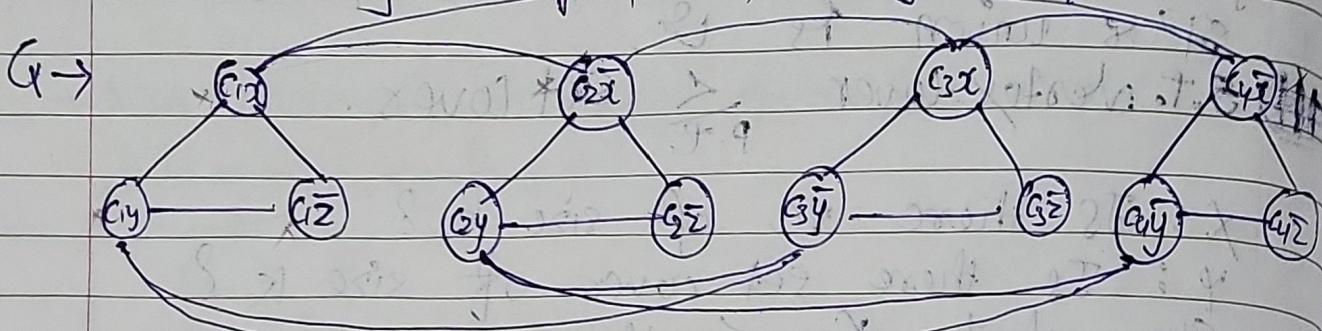
- Consider a 3-SAT formula  $\phi$ :

$$\phi = (x+y+\bar{z}) \cdot (\bar{x}+y+\bar{z}) \cdot (x+\bar{y}+\bar{z}) \cdot (\bar{x}+\bar{y}+\bar{z})$$

-  $n = \text{no. of variables} = 3$

-  $m = \text{no. of clauses} = 4$

- Constructing a graph from given  $\phi$ :



-  $\phi$  is satisfiable if and only if graph  $G$  has IS of size  $m$ , here,  $m=4$ .

- Let we consider  $IS = \{c_1\bar{z}, c_2\bar{z}, c_3\bar{z}, c_4\bar{z}\}$ .

Since we can get IS of size  $m=4$ ,

$\phi$  is also having truth value which makes  $\phi$  "True".

→ As  $\phi$  is satisfiable that means ~~IS~~ at least as hard as SAT and SAT is NP-hard so  $X$  is also NP-hard.

From ① and ②:

$X \in \text{NP}$ ,  $X \in \text{NP-hard}$

⇒  $X \in \text{NP-complete}$

Q2 Set Cover  $\text{hard} \rightarrow \text{NP-Complete}$

Eg:  $U = \{1, 2, 3, 4, 5, 6\}$  & family of sets  $A = \{\cdot\}$

$A_1 = \{2, 5, 6, 4\}$

$A_2 = \{1, 4, 6\}$

$A_3 = \{1, 3, 5\}$

$A_4 = \{2, 4, 5\}$

$A_5 = \{2, 4, 6\}$

family of

subsets of  $U$

Find min. size subsets of family such that  
it's union is  $U$ .

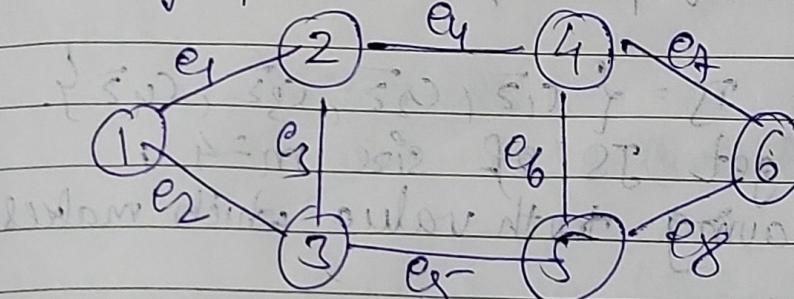
# S.T. vertex cover  $\leq$  set cover.

$X$ : Is there VC of size  $k$ ?

$Y$ : Is there set cover of size  $k$ ?

Show that  $X \leq Y$

Given graph  $G = (V, E)$ ,  $|V| = n$ ,  $|E| = m$  &  $k \in \mathbb{Z}$



$$K=4$$

$U = \{e_1, e_2, e_3, e_4, e_5, e_6, e_7, e_8\}$

$A_1 = \{e_1, e_2\}$

$A_2 = \{e_1, e_3, e_4\}$

$A_3 = \{e_2, e_3, e_5\}$

$A_4 = \{e_4, e_6, e_7\}$

$A_5 = \{e_5, e_6, e_8\}$

$A_6 = \{e_7, e_8\}$

Where

$A_i$ : edges covered by vertex  $i$ .

claim:  $G$  has VC of size  $k$

$G$  has VC of size  $k$

$G$  has SC of size  $k$

$G$  has SC of size  $k$

So; here if you can find MSC of size  $k$ , then it makes that there are  $k$  vertices which can cover every edge in  $G$   
 $\Rightarrow k$  is the size of vertex cover.

This shows that SC is at least as hard as known hard problem  $\Rightarrow$  MSC is also NP-Hard.

$\rightarrow$  Non-deterministic Algo for Set cover  
 $\therefore \text{ND-SC}(U, A, k)$

$$\text{SC} = \emptyset$$

for ( $i=1$  to  $k$ )

$x = \text{choice}(1, n)$

$$\text{SC} = \text{SC} \cup \{x\}$$

if ( $\text{SC} == U$ )

success();

else i.e. if no solution found, then  
 failure();

This algo. runs in poly. time on non-det. machine and can be verified in poly. time

$$\therefore \text{ND-SC} \in \text{NP} \Rightarrow \text{P} \in \text{NP}$$

$\rightarrow$  As SC is NP as well as NP-hard thus SC is NP-complete.

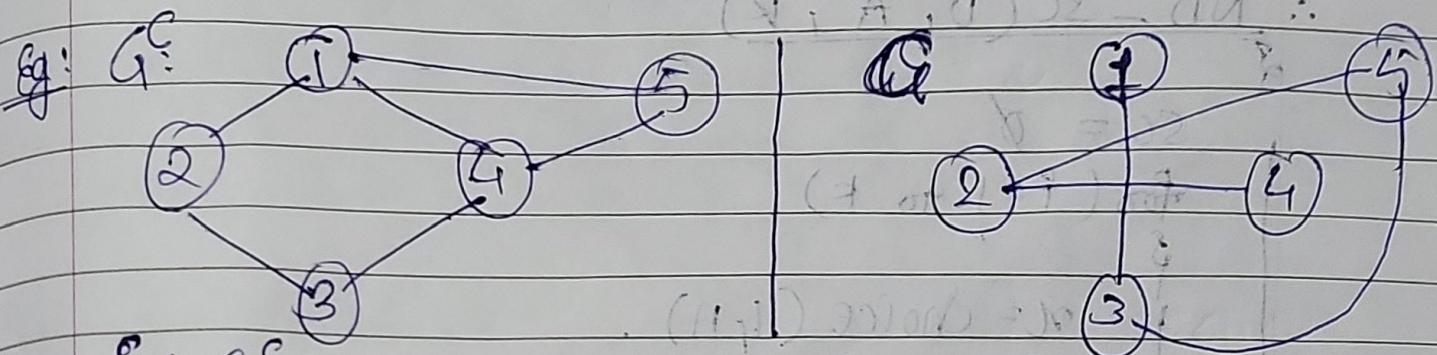
Q4) Clique :- (Max clique) [largest size complete subgraph]  
 Clique of  $G = \langle V, E \rangle$  is the subgraph of  $G$  which is complete.

- Claim

$G$  has IS of size  $k$  if and only if  $G^C$  has clique of size  $k$ .  $\rightarrow$  IS  $\leq$  clique

IS: Is there an Ind set of size  $k$  in  $G$ ?

Clique: Is there a clique of size  $k$  in  $G^C$ ?



for  $G^C$ :

$$G^C(i, j) = 1, \text{ if } G(i, j) = 0$$

$$G^C(i, j) = 0, \text{ if } G(i, j) = 1$$

$$\rightarrow k = 3$$

- Please,

In  $G^C$ , clique of size 3 exists i.e.  $\{1, 4, 5\}$

: In  $G$ , IS of size 3 exists i.e.  $\{1, 4, 5\}$ .

Similarly, clique  $\leq$  IS

: IS  $\equiv$  clique. (equivalent).

Q5) Show that 2-SAT  $\in P$ .

Soln: Eq :  $\phi = (x+y) \cdot (\bar{x}+y) \cdot (\bar{x}+\bar{z}) \cdot (y+\bar{z})$

↳ 2-SAT-CNF

$n = \text{no. of variables} = 3$

$m = \text{no. of clauses} = 4$

$$\bar{x}+y \equiv x \rightarrow y \equiv \bar{y} \rightarrow \bar{x}$$

$$\text{clause 1: } x+y \equiv \bar{x} \rightarrow y \equiv \bar{y} \rightarrow x$$

$$\text{clause 2: } \bar{x}+y \equiv x \rightarrow y \equiv \bar{y} \rightarrow \bar{x}$$

$$\text{clause 3: } \bar{x}+\bar{z} \equiv x \rightarrow \bar{z} \equiv z \rightarrow \bar{x}$$

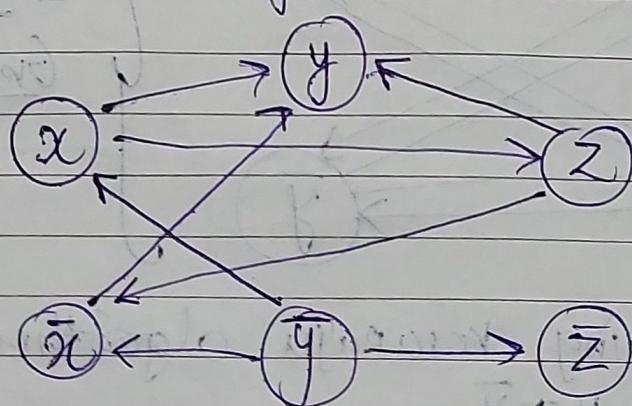
$$\text{clause 4: } y+\bar{z} \equiv z \rightarrow y \equiv \bar{y} \rightarrow \bar{z}$$

P	NP-complete
2-SAT	3-SAT
2-colouring	3-colouring
Fuler cycle	Hamiltonian cycle

# Create Implication graph :-

- create  $2n$  vertices

- create  $2m$  edges



DFS stack

$y \rightarrow 0$
$z \rightarrow 0$
$\bar{x} \rightarrow 0$
$x \rightarrow 1$
$\bar{z} \rightarrow 1$
$y \rightarrow 1$

→ restart from below

- Graph construction algo. can be created in poly. time on practical machine

- Apply Kosaraju's algorithm and find strongly connected components.

SCC 1:  $y$

SCC 3:  $\bar{x}$

SCC 5:  $z$

SCC 2:  $z$

SCC 4:  $x$

SCC 6:  $y$

- If  $a$  and  $\bar{a}$  where  $a$  is variable both are present in any SCC.  $\Rightarrow \emptyset$  is not satisfiable.
- If there be no SCC where  $a$  and  $\bar{a}$  is present  $\Rightarrow \emptyset$  is satisfiable.

Eg2  $\emptyset = (x+y) \cdot (\bar{x}+y) \cdot (\bar{x}+\bar{y}) \cdot (\bar{x}+\bar{y})$

$$n=2$$

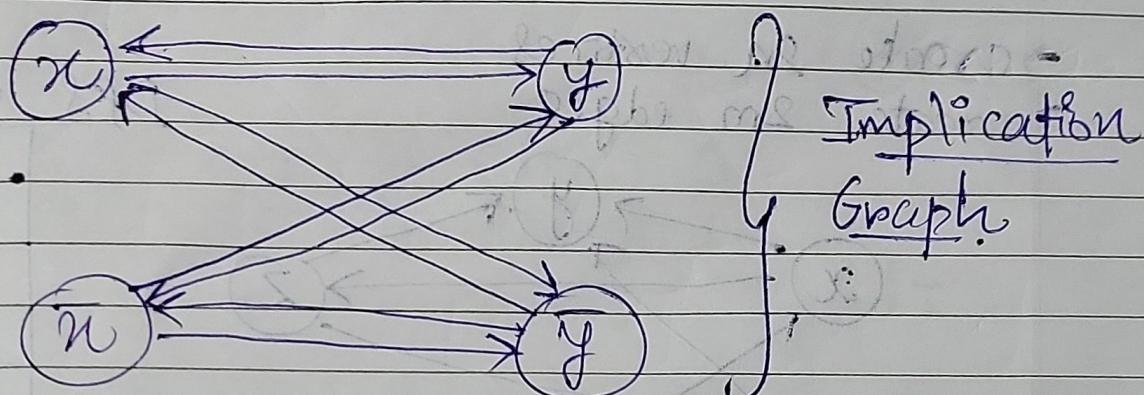
$$m=4$$

clause 1:  $x+y = \bar{x} \rightarrow y \equiv \bar{y} \rightarrow x$  : 8 minterms

2:  $x+\bar{y} = \bar{x} \rightarrow \bar{y} \equiv \bar{y} \rightarrow x$  : 4 minterms

3:  $\bar{x}+y = x \rightarrow y \equiv \bar{y} \rightarrow \bar{x}$

4:  $\bar{x}+\bar{y} = x \rightarrow \bar{y} \equiv \bar{y} \rightarrow \bar{x}$



Here, on applying Kosaraju algorithm:

SCC1:  $x \nrightarrow \bar{x} \nrightarrow y \nrightarrow \bar{y}$

~~SAT~~: these,  $x$  and  $\bar{x}$  are in same SCC

~~SAT~~: so,  $\emptyset$  is not satisfiable. ~~Woh~~

~~SAT~~

$\rightarrow x \rightarrow y$  means if  $x$  is true  $y$  is necessary to be true.

As 2-SAT can be done in poly. time, 1. 2-SAT GP.

Q6 Show that SOS reduces to 0/1 knapsack but reverse is not true.

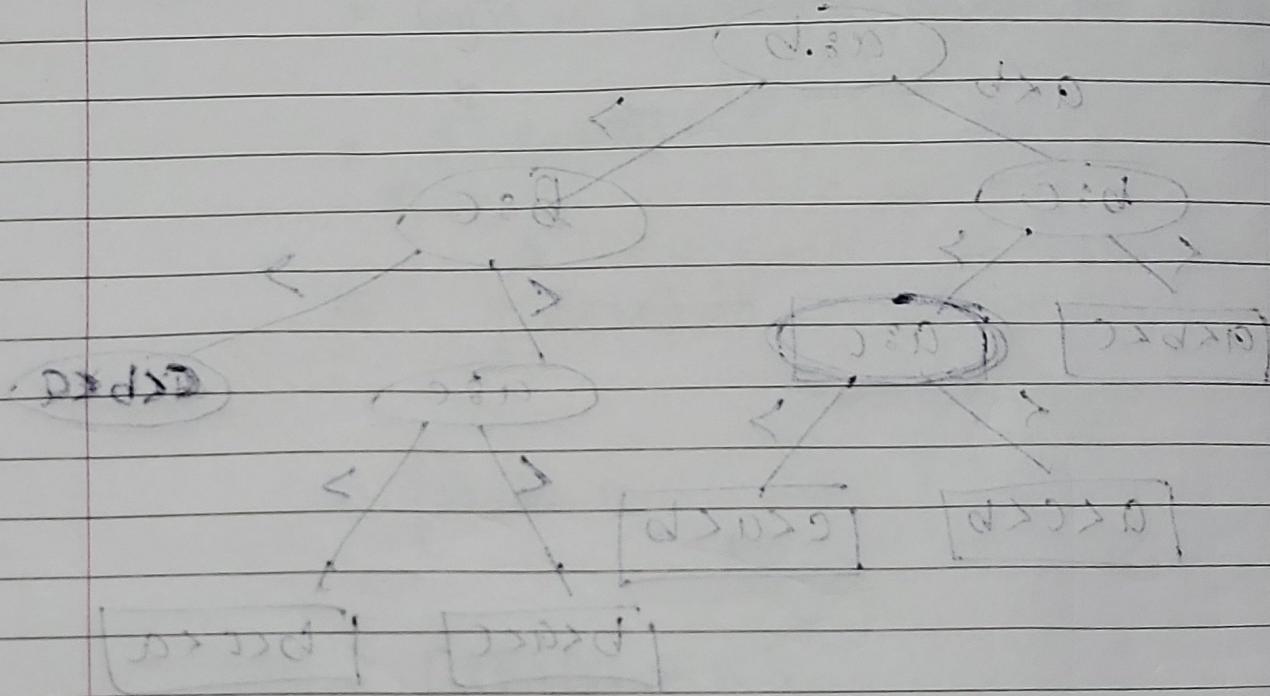
Sol:

→ Sum of subset  $\leq$  of 0/1 knapsack

→ 0/1 knapsack  $\cancel{\leq}$  sum of subset

→ But 0/1 knapsack is not reversible

not reversible



not reversible as because it is not  
bijection (one to one) for when took  
couple with set

not we do taking  $\times$  mapping to one

couple is to prove took at all it is possible. now  $\leftarrow$   
 $N = \text{labour took} \rightarrow \text{milk}$

ok.  $\therefore S = \text{when to m. J. worked in}$

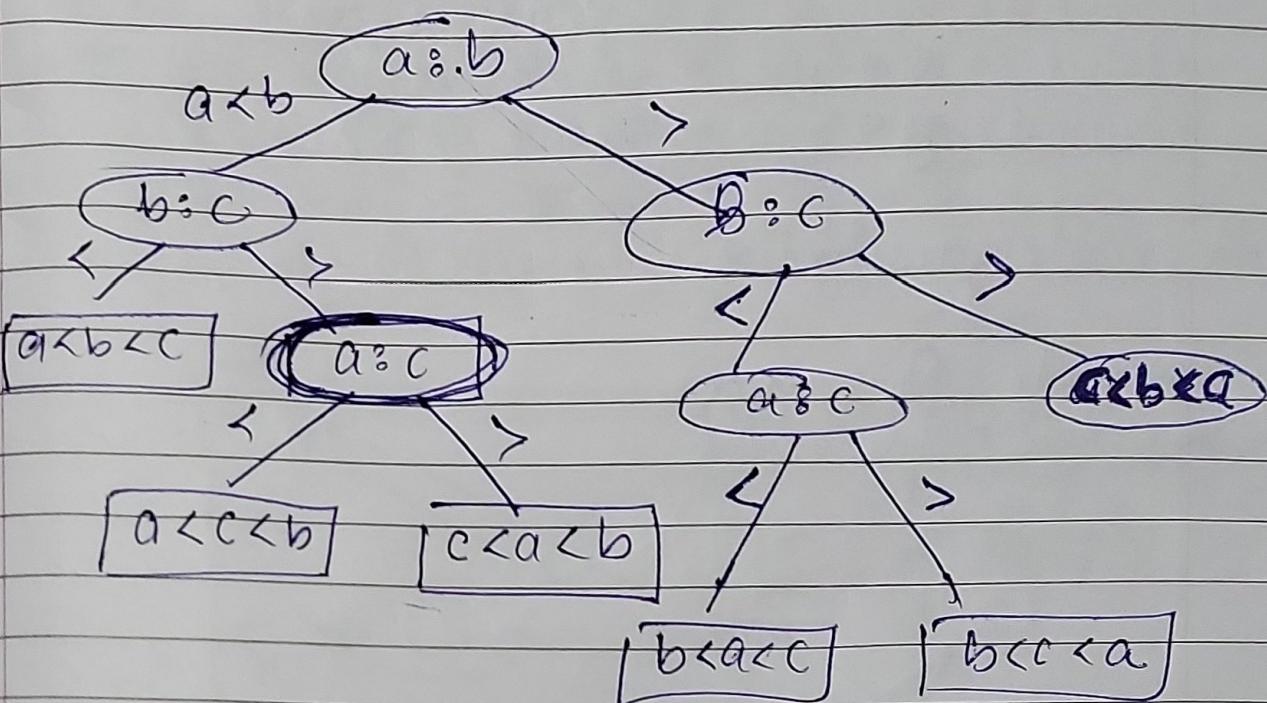
# # Lower Bound Theory :-

(Q) find out the lower bound for comparison based sorting.

Ans: Let us consider 3 distinct numbers,  
 $n = 3$ .

let numbers be  $a, b$  and  $c$ .

Decision tree



- for  $n$  numbers, in decision tree,  
leaf nodes =  $n!$  [because any permutation could  
be the answer].

- no. of comparisons or height of the tree.

→ say height be  $h$  to sort array of  $n$  integers:  
then : leaf nodes =  $n!$   
at height  $h$ , no. of nodes =  $2^h$ .  $h \geq 0$ .

→ ∵ for height  $\geq h$  we need  $n - n \text{ pal.}$   
 $\rightarrow nb \leq 2^h$

$$\therefore \log(nb) \geq h \log_2(1 + p_0 + 1(pal))$$

$$\therefore \frac{\log(nb)}{\log_2} \leq h \quad \dots \quad \textcircled{1}$$

Now, for  $\log(nb)$

$$\log(nb) = \log(n \cdot (n-1) \cdot (n-2) \cdot (n-3) \dots 3 \cdot 2 \cdot 1)$$

$$= \log n + \log(n-1) + \log(n-2) + \dots + \log 2 +$$

$$= \sum_{x=1}^n \log(x) \quad (\text{if } n \text{ is } N) \leftarrow$$

$$\approx \int_{x=1}^n \ln(x) dx \quad \leftarrow$$

$$\approx \left[ x \ln(x) - \int_{x=1}^n dx \right]_1^n$$

$$\approx [x \ln(x) - x]_1^n$$

$$\approx [n \ln(n) - n] - [0 - 1]$$

$$\approx n \ln(n) - n + 1.$$

→ Substitute  $\log(nb)$  in  $\textcircled{1}$ .

$$\frac{n \log n - n + 1}{\log 2} \leq h$$

$$(n \log n - n + 1) \log e \leq h$$

$$n \log n \cdot \log e - n \log e + \log e \leq h$$

here, dominating term of  $n \ln(n)$  and  $\log e$  is constant

$$h \geq K \cdot n + \ln(n)$$

$$\Rightarrow h = \Omega(n \ln(n))$$

$$\Rightarrow h = \Omega(n \log(n))$$

$$n \left( \frac{2n}{n} \right) = \Theta(n^2)$$

$$n \left[ n - (c)n \log n \right] \leq$$

$$[n^2] - [n - (c)n \log n] \leq$$

$$1 + n - (c)n \log n \leq$$

① in O(n log n)

# # fake Coin Detection :-

→ Here,

$$\text{lower bound} = \lceil \log_3(2n) \rceil$$

where  $n$  is the no. of coins.

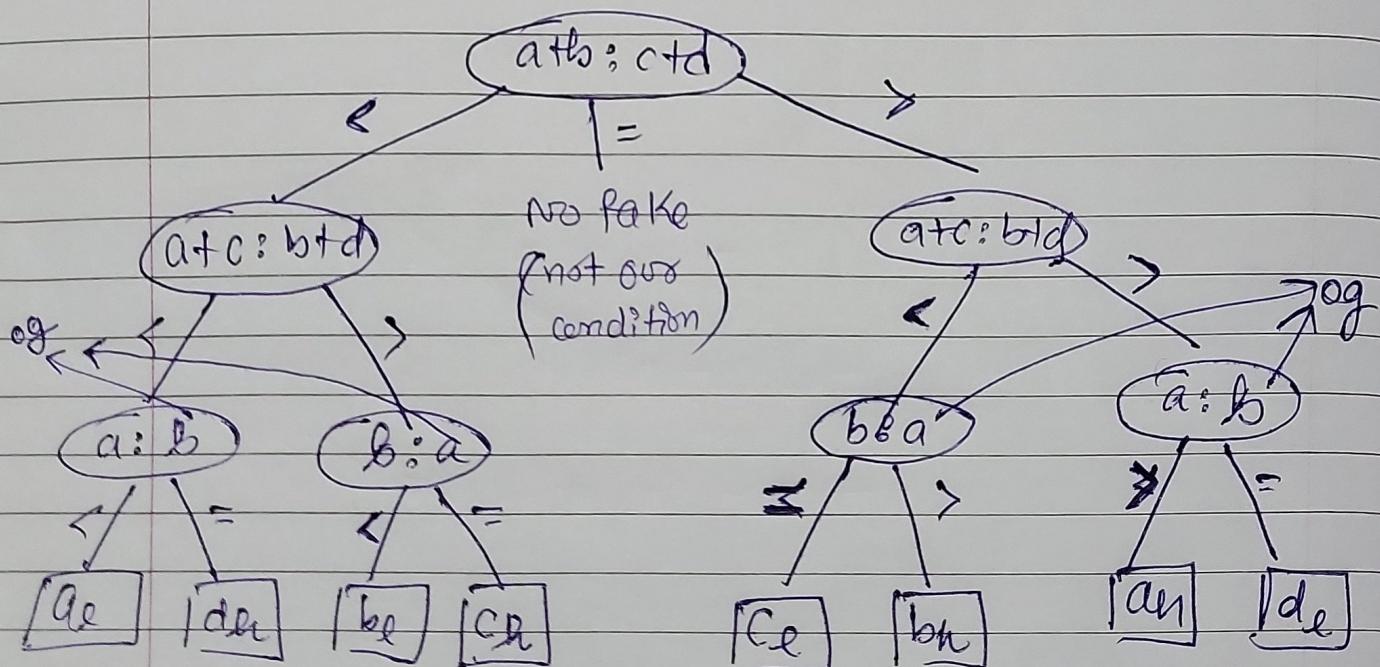
- Consider  $n=4$ . Original coin will have  $m$  units weight and fake coin will be lighter or heavier.

- let coins name be  $a, b, c, d$ .

possible -  $aa$     $bb$     ~~$cc$~~     $dd$   
 $ab$     $bc$     $cd$     $da$

∴ for  $n$  coins - total possibilities are  $2^n$ .

⇒ Decision tree



Max. no. of comparisons of h.  
needed

→ leaf nodes [no. of nodes in height h]

$$\therefore 2n \leq 7 \cdot 8^h \text{ (ternary tree)}$$

$$h \geq \log_3(2n)$$

base balanced binary tree max height  
ceil of log base 2 of n

$$\Rightarrow h = \lceil \log_3(2n) \rceil$$

(as we have 3 children so add 1 to off)

not missing ←

