

COMPUTER ORGANIZATION AND DESIGN

The Hardware/Software Interface



Chapter 2

Instructions: Language of the Computer

Instruction Set

- The repertoire of instructions of a computer
- Different computers have different instruction sets
 - But with many aspects in common
- Early computers had very simple instruction sets
 - Simplified implementation
- Many modern computers also have simple instruction sets



The MIPS Instruction Set

- Used as the example throughout the book
- Stanford MIPS commercialized by MIPS Technologies (<u>www.mips.com</u>)
- Large share of embedded core market
 - Applications in consumer electronics, network/storage equipment, cameras, printers, ...
- Typical of many modern ISAs
 - See MIPS Reference Data tear-out card, and Appendixes B and E

Arithmetic Operations

- Add and subtract, three operands
 - Two sources and one destination
 - add a, b, c # a gets b + c
- All arithmetic operations have this form
- Design Principle 1: Simplicity favours regularity
 - Regularity makes implementation simpler
 - Simplicity enables higher performance at lower cost



Arithmetic Example

C code:

$$f = (g + h) - (i + j);$$

Compiled MIPS code:

```
add t0, g, h # temp t0 = g + h add t1, i, j # temp t1 = i + j sub f, t0, t1 # f = t0 - t1
```

Register Operands

- Arithmetic instructions use register operands
- MIPS has a 32 x 32-bit register file
 - Use for frequently accessed data
 - Numbered 0 to 31
 - 32-bit data called a "word"
- Assembler names
 - \$t0, \$t1, ..., \$t9 for temporary values
 - \$s0, \$s1, ..., \$s7 for saved variables
- Design Principle 2: Smaller is faster
 - c.f. main memory: millions of locations



Register Operand Example

C code:

```
f = (g + h) - (i + j);

• f, ..., j in $s0, ..., $s4
```

Compiled MIPS code:

```
add $t0, $s1, $s2
add $t1, $s3, $s4
sub $s0, $t0, $t1
```

Memory Operands

- Main memory used for composite data
 - Arrays, structures, dynamic data
- To apply arithmetic operations
 - Load values from memory into registers
 - Store result from register to memory
- Memory is byte addressed
 - Each address identifies an 8-bit byte
- Words are aligned in memory
 - Address must be a multiple of 4
- MIPS is Big Endian
 - Most-significant byte at least address of a word
 - c.f. Little Endian: least-significant byte at least address



Memory Operand Example 1

C code:

```
g = h + A[8];
```

- g in \$s1, h in \$s2, base address of A in \$s3
- Compiled MIPS code:
 - Index 8 requires offset of 32
 - 4 bytes per word

```
lw $t0, 32(\$s3) # load word add $s1, \$s2, \$t0
```

Memory Operand Example 2

C code:

```
A[12] = h + A[8];
```

- h in \$s2, base address of A in \$s3
- Compiled MIPS code:
 - Index 8 requires offset of 32

```
lw $t0, 32($s3)  # load word
add $t0, $s2, $t0
sw $t0, 48($s3)  # store word
```

Registers vs. Memory

- Registers are faster to access than memory
- Operating on memory data requires loads and stores
 - More instructions to be executed
- Compiler must use registers for variables as much as possible
 - Only spill to memory for less frequently used variables
 - Register optimization is important!



Immediate Operands

- Constant data specified in an instruction addi \$s3, \$s3, 4
- No subtract immediate instruction
 - Just use a negative constant
 addi \$s2, \$s1, -1
- Design Principle 3: Make the common case fast
 - Small constants are common
 - Immediate operand avoids a load instruction



The Constant Zero

- MIPS register 0 (\$zero) is the constant 0
 - Cannot be overwritten
- Useful for common operations
 - E.g., move between registers
 add \$t2, \$s1, \$zero

Unsigned Binary Integers

Given an n-bit number

$$x = x_{n-1}2^{n-1} + x_{n-2}2^{n-2} + \dots + x_12^1 + x_02^0$$

- Range: 0 to +2ⁿ − 1
- Example
 - 0000 0000 0000 0000 0000 0000 0000 1011₂ = 0 + ... + $1 \times 2^3 + 0 \times 2^2 + 1 \times 2^1 + 1 \times 2^0$ = 0 + ... + 8 + 0 + 2 + 1 = 11_{10}
- Using 32 bits
 - 0 to +4,294,967,295



2s-Complement Signed Integers

Given an n-bit number

$$x = -x_{n-1}2^{n-1} + x_{n-2}2^{n-2} + \dots + x_12^1 + x_02^0$$

- Range: −2ⁿ⁻¹ to +2ⁿ⁻¹ − 1
- Example
- Using 32 bits
 - -2,147,483,648 to +2,147,483,647

2s-Complement Signed Integers

- Bit 31 is sign bit
 - 1 for negative numbers
 - 0 for non-negative numbers
- $-(-2^{n-1})$ can't be represented
- Non-negative numbers have the same unsigned and 2s-complement representation
- Some specific numbers
 - 0: 0000 0000 ... 0000
 - —1: 1111 1111 ... 1111
 - Most-negative: 1000 0000 ... 0000
 - Most-positive: 0111 1111 ... 1111



Signed Negation

- Complement and add 1
 - Complement means 1 → 0, 0 → 1

$$x + \overline{x} = 1111...111_2 = -1$$

 $\overline{x} + 1 = -x$

- Example: negate +2
 - $+2 = 0000 \ 0000 \ \dots \ 0010_2$
 - $-2 = 1111 \ 1111 \ \dots \ 1101_2 + 1$ = 1111 \ 1111 \ \dots \ 1110_2

Sign Extension

- Representing a number using more bits
 - Preserve the numeric value
- In MIPS instruction set
 - addi : extend immediate value
 - lb, lh: extend loaded byte/halfword
 - beq, bne: extend the displacement
- Replicate the sign bit to the left
 - c.f. unsigned values: extend with 0s
- Examples: 8-bit to 16-bit
 - +2: 0000 0010 => 0000 0000 0000 0010
 - -2: 1111 1110 => 1111 1111 1111 1110

Representing Instructions

- Instructions are encoded in binary
 - Called machine code
- MIPS instructions
 - Encoded as 32-bit instruction words
 - Small number of formats encoding operation code (opcode), register numbers, ...
 - Regularity!
- Register numbers
 - \$t0 \$t7 are reg's 8 15
 - \$t8 \$t9 are reg's 24 25
 - \$s0 \$s7 are reg's 16 23



MIPS R-format Instructions

ор	rs	rt	rd	shamt	funct
6 bits	5 bits	5 bits	5 bits	5 bits	6 bits

Instruction fields

- op: operation code (opcode)
- rs: first source register number
- rt: second source register number
- rd: destination register number
- shamt: shift amount (00000 for now)
- funct: function code (extends opcode)



R-format Example

ор	rs	rt	rd	shamt	funct
6 bits	5 bits	5 bits	5 bits	5 bits	6 bits

add \$t0, \$s1, \$s2

special	\$s1	\$s2	\$tO	0	add
0	17	18	8	0	32
000000	10001	10010	01000	00000	100000

 $00000010001100100100000000100000_2 = 02324020_{16}$

Hexadecimal

- Base 16
 - Compact representation of bit strings
 - 4 bits per hex digit

0	0000	4	0100	8	1000	С	1100
1	0001	5	0101	9	1001	d	1101
2	0010	6	0110	а	1010	е	1110
3	0011	7	0111	b	1011	f	1111

- Example: eca8 6420
 - 1110 1100 1010 1000 0110 0100 0010 0000

MIPS I-format Instructions



- Immediate arithmetic and load/store instructions
 - rt: destination or source register number
 - Constant: -2¹⁵ to +2¹⁵ 1
 - Address: offset added to base address in rs
- Design Principle 4: Good design demands good compromises
 - Different formats complicate decoding, but allow 32-bit instructions uniformly
 - Keep formats as similar as possible



Stored Program Computers

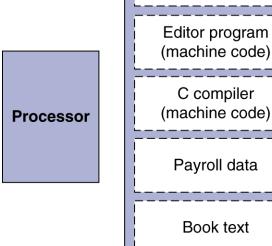
The BIG Picture

Memory

Accounting program

(machine code)

Source code in C for editor program



- Instructions represented in binary, just like data
- Instructions and data stored in memory
- Programs can operate on programs
 - e.g., compilers, linkers, ...
- Binary compatibility allows compiled programs to work on different computers
 - Standardized ISAs

Logical Operations

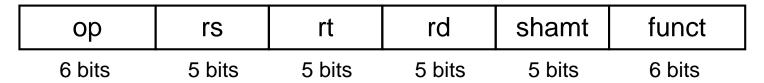
Instructions for bitwise manipulation

Operation	С	Java	MIPS
Shift left	<<	<<	sll
Shift right	>>	>>>	srl
Bitwise AND	&	&	and, andi
Bitwise OR			or, ori
Bitwise NOT	~	~	nor

 Useful for extracting and inserting groups of bits in a word



Shift Operations



- shamt: how many positions to shift
- Shift left logical
 - Shift left and fill with 0 bits
 - sl l by i bits multiplies by 2i
- Shift right logical
 - Shift right and fill with 0 bits
 - srl by i bits divides by 2i (unsigned only)



AND Operations

- Useful to mask bits in a word
 - Select some bits, clear others to 0

and \$t0, \$t1, \$t2

```
$t2 | 0000 0000 0000 0000 00<mark>00 11</mark>01 1100 0000
```

OR Operations

- Useful to include bits in a word
 - Set some bits to 1, leave others unchanged

```
or $t0, $t1, $t2
```

NOT Operations

- Useful to invert bits in a word
 - Change 0 to 1, and 1 to 0
- MIPS has NOR 3-operand instruction
 - a NOR b == NOT (a OR b)

```
nor $t0, $t1, $zero ←
```

Register 0: always read as zero

```
$t1 | 0000 0000 0000 0001 1100 0000 0000
```

\$t0 | 1111 1111 1111 1100 0011 1111 1111

Conditional Operations

- Branch to a labeled instruction if a condition is true
 - Otherwise, continue sequentially
- beq rs, rt, L1
 - if (rs == rt) branch to instruction labeled L1;
- bne rs, rt, L1
 - if (rs != rt) branch to instruction labeled L1;
- j L1
 - unconditional jump to instruction labeled L1

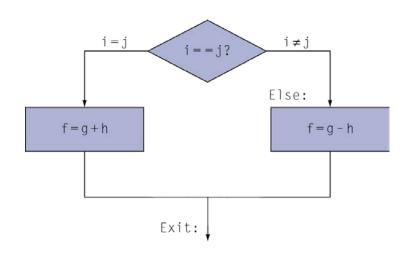
Compiling If Statements

C code:

$$if (i==j) f = g+h;$$

else $f = g-h;$

- f, g, ... in \$s0, \$s1, ...
- Compiled MIPS code:



Else: sub \$s0, \$s1, \$s2

Exit: 🐀

Assembler calculates addresses

Compiling Loop Statements

C code:

```
while (save[i] == k) i += 1;
```

- i in \$s3, k in \$s5, address of save in \$s6
- Compiled MIPS code:

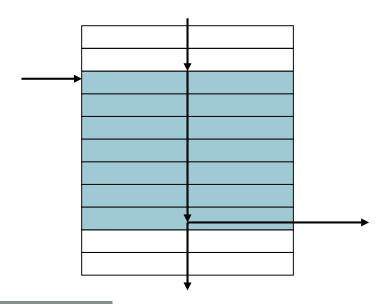
```
# Note: the code is logically correct but has a simple operational bug! add $s3, $zero, $zero # Ii $s3, 0 also works
```

```
Loop: sll $t1, $s3, 2 # 0, 4, 8, 12, ... in $t1 add $t1, $t1, $s6 lw $t0, 0($t1) bne $t0, $s5, Exit addi $s3, $s3, 1 j Loop

Exit: ...
```

Basic Blocks

- A basic block is a sequence of instructions with
 - No embedded branches (except at end)
 - No branch targets (except at beginning)



- A compiler identifies basic blocks for optimization
- An advanced processor can accelerate execution of basic blocks

More Conditional Operations

- Set result to 1 if a condition is true
 - Otherwise, set to 0
- slt rd, rs, rt
 - if (rs < rt) rd = 1; else rd = 0;
- slti rt, rs, constant
 - if (rs < constant) rt = 1; else rt = 0;</p>
- Use in combination with beq, bne

```
slt $t0, $s1, $s2 # if ($s1 < $s2)
bne $t0, $zero, L # branch to L
```



Branch Instruction Design

- Why not bl t, bge, etc?
- Hardware for <, ≥, ... slower than =, ≠</p>
 - Combining with branch involves more work per instruction, requiring a slower clock
 - All instructions penalized!
- beq and bne are the common case
- This is a good design compromise

Signed vs. Unsigned

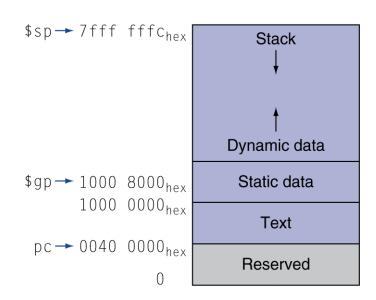
- Signed comparison: slt, slti
- Unsigned comparison: sltu, sltui
- Example

 - slt \$t0, \$s0, \$s1 # signed
 - $-1 < +1 \Rightarrow $t0 = 1$
 - sltu \$t0, \$s0, \$s1 # unsigned
 - $+4,294,967,295 > +1 \Rightarrow $t0 = 0$
 - sltu useful in arrays bound checking (see book)



Memory Layout Conventions

- Usually followed by assemblers
- Text: program code
 - Static data: global variables
 - e.g., static variables in C, constant arrays and strings
 - \$gp initialized to address allowing ±offsets into this segment
- Dynamic data: heap
 - E.g., malloc in C, new in Java
- Stack: automatic storage



Procedure Calling

- Steps required
 - 1. Place parameters in registers
 - 2. Transfer control to procedure
 - 3. Acquire storage for procedure
 - 4. Perform procedure's operations
 - 5. Place result in register for caller
 - 6. Return to place of call



Register Usage Conventions

- \$a0 \$a3: arguments (reg's 4 7)
- \$v0, \$v1: result values (reg's 2 and 3)
- \$t0 \$t9: temporaries
 - Can be overwritten by callee
- \$s0 \$s7: saved
 - Must be saved/restored by callee
- \$gp: global pointer for static data (reg 28)
- \$sp: stack pointer (reg 29)
- \$fp: frame pointer (reg 30)
- \$ra: return address (reg 31)

Procedure Call Instructions

- Procedure call: jump and link j al ProcedureLabel
 - Address of following instruction put in \$ra
 - Jumps to target address
- Procedure return: jump registerjr \$ra
 - Copies \$ra to program counter
 - Can also be used for computed jumps
 - e.g., for case/switch statements



Leaf Procedure Example

C code:

```
int leaf_example (int g, int h, int i, int j)
{ int f;
    f = (g + h) - (i + j);
    return f;
}
```

- Arguments g, ..., j in \$a0, ..., \$a3
- f in \$s0 (hence, need to save \$s0 on stack)
- Result in \$v0

Leaf Procedure Example

MIPS code: jal leaf_example # call from main()

le <mark>af_e</mark>	xampl	e:	
addi	\$sp,	\$sp, -4	
SW	\$s0,	0(\$sp)	Save \$s0 on stack
add	\$t0,	\$a0, \$a1	
add	\$t1,	\$a2, \$a3	Procedure body
sub	\$s0,	\$t0, \$t1	
add	•	\$s0, \$zero	Result
l w	\$s0,	0(\$sp)	
addi	\$sp,	\$sp, 4	Restore \$s0
jr	\$ra		Return

Non-Leaf Procedures

- Procedures that call other procedures
- For nested call, caller needs to save on the stack:
 - Its return address
 - Any arguments and temporaries needed after the call
- Restore from the stack after the call

Non-Leaf Procedure Example

C code:

```
int fact (int n)
{
  if (n < 1) return 1;
  else return n * fact(n - 1);
}</pre>
```

- Argument n in \$a0
- Result in \$v0

Non-Leaf Procedure Example

MIPS code: jal fact # top-level call in main()

```
fact:
                         # adjust stack for 2 items
   addi $sp, $sp, -8
        $ra, 4($sp)
                         # save return address
   SW
   sw $a0, 0($sp)
                         # save argument
   slti $t0, $a0, 1
                         # test for n < 1
    beq $t0, $zero, L1
                         # if not, branch to L1
   addi $v0, $zero, 1
                         # if n < 1, result is 1
   addi $sp, $sp, 8
                         # pop 2 items from stack
                         # and return, result in $v0
        $ra
   jr
L1: addi $a0, $a0, -1
                         # else decrement n
        fact
                         # recursive call
   j al
        $a0, 0($sp)
                         # restore original n
   l w
   lw $ra, 4($sp)
                             and return address
   addi $sp, $sp, 8
                         # pop 2 items from stack
                         # multiply to get result
        $v0, $a0, $v0
   mul
                         # and return, result in $v0
        $ra
   jr
```



Character Data

- Byte-encoded character sets
 - ASCII: 128 characters
 - 95 graphic, 33 control
 - Latin-1: 256 characters
 - ASCII, +96 more graphic characters
- Unicode: 32-bit character set
 - Used in Java, C++ wide characters, ...
 - Most of the world's alphabets, plus symbols
 - UTF-8, UTF-16: variable-length encodings



Byte/Halfword Operations

- Could use bitwise operations
- MIPS byte/halfword load/store
 - String processing is a common case

Sign extend to 32 bits in rt

```
lbu rt, offset(rs) lhu rt, offset(rs)
```

Zero extend to 32 bits in rt

```
sb rt, offset(rs) sh rt, offset(rs)
```

Store just rightmost byte/halfword



String Copy Example

- C code (naïve):
 - Null-terminated string

```
void strcpy (char x[], char y[])
{
  int i;
  i = 0;
  while ((x[i]=y[i])!='\0')
    i += 1;
}
```

- Addresses of x, y in \$a0, \$a1
- i in \$s0



String Copy Example

MIPS code: jal strcpy # call from main()

str	cpy:			
	addi	\$sp,	\$sp, -4	<pre># adjust stack for 1 item</pre>
	SW	\$s0,	0(\$sp)	# save \$s0
	add	\$s0,	\$zero, \$zero	# i = 0
L1:	add	\$t1,	\$s0, \$a1	# addr of y[i] in \$t1
	l bu	\$t2,	0(\$t1)	# \$t2 = y[i]
	add	\$t3,	\$s0, \$a0	# addr of x[i] in \$t3
	\mathbf{sb}	\$t2,	0(\$t3)	# x[i] = y[i]
	beq	\$t2,	\$zero, L2	# exit loop if y[i] == 0
	addi	\$s0,	\$s0, 1	# i = i + 1, byte offset
	j	L1		<pre># next iteration of loop</pre>
L2:	l w	\$s0,	0(\$sp)	# restore saved \$s0
	addi	\$sp,	\$sp, 4	<pre># pop 1 item from stack</pre>
	jr	\$ra		# and return



32-bit Constants

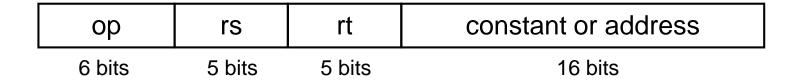
- Most constants are small
 - 16-bit immediate is sufficient
- For the occasional 32-bit constant lui rt, constant
 - Copies 16-bit constant to left 16 bits of rt
 - Clears right 16 bits of rt to 0

```
lui $s0, 61
ori $s0, $s0, 2304 | 0000 0000 0111 1101 0000 1001 0000 0000
```



Branch Addressing

- Branch instructions specify
 - Opcode, two registers, target address
- Most branch targets are near branch
 - Forward or backward



- PC-relative addressing
 - Target address = PC + offset x 4
 - PC already incremented by 4 by this time

Jump Addressing

- Jump (j and j al) targets could be anywhere in text segment
 - Encode full address in instruction

ор	address
6 bits	26 bits

- (Pseudo)Direct jump addressing
 - Target address = PC_{31...28}: (address × 4)

Target Addressing Example

- Loop code from earlier example
 - Assume Loop at location 80000

Loop:	sll	\$t1,	\$s3,	2	80000	0	0	19	9	4	0
	add	\$t1,	\$t1,	\$s6	80004	0	9	22	9	0	32
	l w	\$t0,	0(\$t	1)	80008	35	9	8		0	
	bne	\$t0,	\$s5,	Exi t	80012	5	8.	21	****	2	
	addi	\$s3,	\$s3,	1	80016	8	19	19	N N N N N N N N N N N N N N N N N N N	1	
	j	Loop			80020	2	20000				
Exi t:	•••				80024	-					

Branching Far Away

- If branch target is too far to encode with 16-bit offset, assembler rewrites the code
- Example

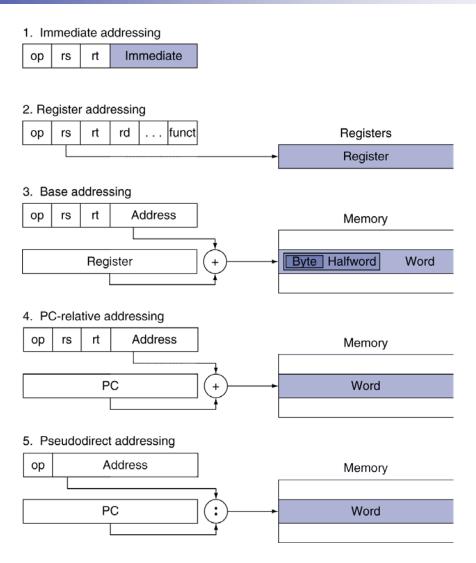
```
beq $s0, $s1, L1

↓
bne $s0, $s1, L2

j L1

L2: ...
```

Addressing Mode Summary





C Bubble Sort Example

- Illustrates use of assembly instructions for a C bubble sort function
- Swap procedure (leaf)
 void swap(int v[], int k)
 {
 int temp;
 temp = v[k];
 v[k] = v[k+1];
 v[k+1] = temp;
 }
 - v in \$a0, k in \$a1, temp in \$t0



The Procedure Swap

The Sort Procedure in C

Non-leaf (calls swap) void sort (int v[], int n) int i, j; for (i = 0; i < n; i += 1) { for (j = i - 1;j >= 0 && v[j] > v[j + 1];j -= 1) { swap(v, j); v in \$a0, k in \$a1, i in \$s0, j in \$s1



The Procedure Body

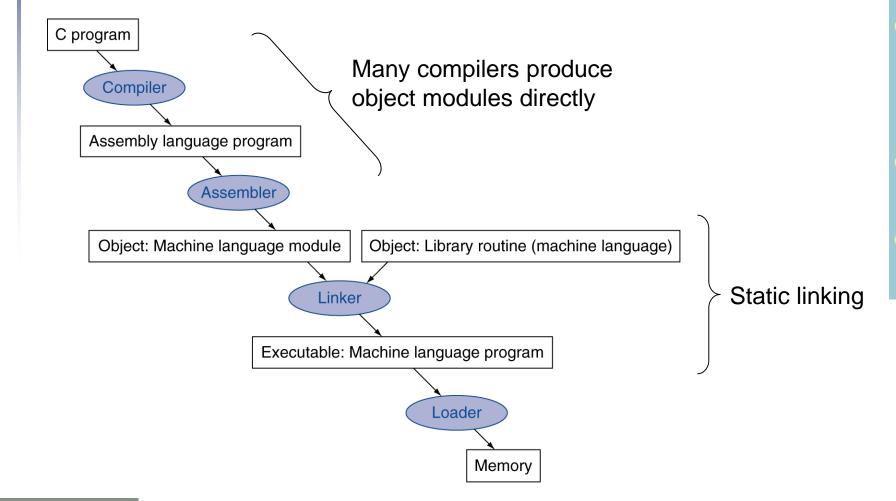
move \$s2, \$a0 # save \$a0 into \$s2 # save \$a1 into \$s3 # save \$a1 into \$s3 # i = 0 # save \$1 into \$s3 # \$t0 = 0 if \$s0 \geq \$s3 (i \geq n) beq \$t0, \$zero, exit1 # go to exit1 if \$s0 \geq \$s3 (i \geq n)	Move params Outer loop
move \$s0, \$zero # $i = 0$ for1tst: slt \$t0, \$s0, \$s3 # \$t0 = 0 if \$s0 \geq \$s3 ($i \geq$ n) beq \$t0, \$zero, exit1 # go to exit1 if \$s0 \geq \$s3 ($i \geq$ n)	
for1tst: slt \$t0, \$s0, \$s3 # \$t0 = 0 if \$s0 \geq \$s3 (i \geq n) beq \$t0, \$zero, exit1 # go to exit1 if \$s0 \geq \$s3 (i \geq n)	Outer loop
beq $t0$, $zero$, exit1 # go to exit1 if $s0 \ge s3$ (i $\ge n$)	Outer 100p
addi $\$s1$, $\$s0$, -1 # $j = i - 1$	
for 2 tst: slti \$ t0, \$ s1, 0 # \$ t0 = 1 if \$ s1 < 0 (j < 0)	
bne $$t0$, $$zero$, $exit2$ # go to $exit2$ if $$s1 < 0$ (j < 0)	
sll \$t1, \$s1, 2 # \$t1 = j * 4	Inner loop
add $$t2$, $$s2$, $$t1$ # $$t2 = v + (j * 4)$	ппст юор
lw \$t3, 0(\$t2) # \$t3 = v[j]	
lw	
slt $$t0$, $$t4$, $$t3$ $# $t0 = 0 if $t4 \ge $t3$	
beq \$t0, \$zero, exit2 # go to exit2 if \$t4 ≥ \$t3	
move \$a0, \$s2 # 1st param of swap is v (old \$a0)	Pass
move \$a1, \$s1 # 2nd param of swap is j	params
jal swap # call swap procedure	& call
addi \$s1, \$s1, −1 # j −= 1	lanan la su
j for2tst # jump to test of inner loop	Inner loop
exit2: addi \$s0, \$s0, 1 # i += 1	Outorloss
j for1tst # jump to test of outer loop	Outer loop



The Full Procedure

```
addi $sp, $sp, −20
                             # make room on stack for 5 registers
sort:
        sw $ra, 16($sp)
                             # save $ra on stack
        sw $s3, 12($sp)
                             # save $s3 on stack
        sw $s2, 8($sp)
                             # save $s2 on stack
        sw $s1, 4($sp)
                             # save $s1 on stack
        sw $s0, 0($sp)
                             # save $s0 on stack
                             # procedure body
        exit1: lw $s0, 0($sp)
                             # restore $s0 from stack
        lw $s1, 4($sp)
                             # restore $s1 from stack
        lw $s2, 8($sp)
                             # restore $s2 from stack
        lw $s3, 12($sp)
                             # restore $s3 from stack
        lw $ra, 16($sp)
                             # restore $ra from stack
        addi $sp, $sp, 20
                             # restore stack pointer
        jr $ra
                             # return to calling routine
```

Translation and Startup





Assembler Pseudoinstructions

- Most assembler instructions represent machine instructions one-to-one
- Pseudoinstructions: figments of the assembler's imagination that helps assembly language programmers

```
move $t0, $t1 \rightarrow add $t0, $zero, $t1 blt $t0, $t1, L \rightarrow slt $at, $t0, $t1 bne $at, $zero, L
```

- \$at (register 1): assembler temporary
- Other such pseudoinstructions are listed in the manual that has been uploaded

Loading a Program

- Load from image file on disk into memory
 - 1. Read header to determine segment sizes
 - 2. Create virtual address space
 - 3. Copy text and initialized data into memory
 - Or set page table entries so they can be faulted in
 - 4. Set up arguments on stack
 - 5. Initialize registers (including \$sp, \$fp, \$gp)
 - 6. Jump to startup routine
 - Copies arguments to \$a0, ... and calls main
 - When main returns, do exit syscall



Fallacies

- Powerful instruction ⇒ higher performance
 - Fewer instructions required
 - But complex instructions are hard to implement
 - May slow down all instructions, including simple ones
 - Compilers are good at making fast code from simple instructions
- Use assembly code for high performance
 - But modern compilers are better at dealing with modern processors
 - More lines of code ⇒ more errors and less productivity

