

Pairwise and Multiple Sequence Alignment

Substitution Matrix

A substitution matrix contains **values proportional to the probability that amino acid i mutates into amino acid j** for all pairs of amino acids.

Substitution matrices are constructed by assembling a large and diverse sample of verified **pairwise alignments** (or multiple sequence alignments) of amino acids.

Substitution matrices should reflect the **true probabilities of mutations occurring through a period of evolution.**

The two major types of substitution matrices are **PAM** and **BLOSUM**.

PAM(Point Accepted Mutation)

- PAM matrices were introduced by [Margaret Dayhoff](#) in 1978.
- The calculation of these matrices were based on **1572 observed mutations** in the [phylogenetic trees](#) of **71 families** of closely related proteins.
- The proteins to be studied were selected on the basis of having **high similarity with their predecessors**.

Point-accepted mutations

PAM matrices are based on **global alignments** of closely related proteins.

The PAM1 is the matrix calculated from comparisons of sequences with no more than 1% divergence.

Other PAM matrices are extrapolated from PAM1.

All the PAM data come from closely related proteins (>85% amino acid identity)

Hence, the time of evolution can be measured by the number of mutations observed in a certain number of residues

This is measured in *point accepted mutations* (PAMs), and 1 PAM means *one accepted mutation per 100 residues*

Dayhoff's PAM1 mutation probability matrix

Replaced amino acid

	Original amino acid									
	A Ala	R Arg	D Asp	E Glu	G Gly	H His	I Ile	N Asn	Q Gln	V Val
A	9867	2	1	17	21	2	6	4	8	5
R	1	9913	1	0	0	10	3	1	10	0
N	4	1	9822	36	0	4	3	6	4	0
D	6	0	42	98	6	4	1	6	6	0
C	1	1	0	0	0	1	1	0	0	0
Q	3	9	4	0	1	23	1	0	0	0
E	10	0	7	9865	4	2	3	0	35	0
G	21	1	12	11	9935	1	0	0	3	0
H	1	8	18	3	0	9912	0	0	20	0
I	2	2	3	1	0	0	9872	0	1	0

There is 98.67% chance that A will be replaced by A over an evolutionary distance of 1 PAM

Each element shows the probability that an original amino acid j (columns) will be replaced by another amino acid i (rows) for 1% sequence divergence

Dayhoff's PAM0 mutation probability matrix: the rules for extremely slowly evolving proteins

PAM0	A Ala	R Arg	N Asn	D Asp	C Cys	Q Gln	E Glu	G Gly
A	100%	0%	0%	0%	0%	0%	0%	0%
R	0%	100%	0%	0%	0%	0%	0%	0%
N	0%	0%	100%	0%	0%	0%	0%	0%
D	0%	0%	0%	100%	0%	0%	0%	0%
C	0%	0%	0%	0%	100%	0%	0%	0%
Q	0%	0%	0%	0%	0%	100%	0%	0%
E	0%	0%	0%	0%	0%	0%	100%	0%
G	0%	0%	0%	0%	0%	0%	0%	100%

Top: original amino acid

Side: replacement amino acid

Dayhoff's PAM2000 mutation probability matrix: the rules for very distantly related proteins

PAM ∞	A Ala	R Arg	N Asn	D Asp	C Cys	Q Gln	E Glu	G Gly
A	8.7%	8.7%	8.7%	8.7%	8.7%	8.7%	8.7%	8.7%
R	4.1%	4.1%	4.1%	4.1%	4.1%	4.1%	4.1%	4.1%
N	4.0%	4.0%	4.0%	4.0%	4.0%	4.0%	4.0%	4.0%
D	4.7%	4.7%	4.7%	4.7%	4.7%	4.7%	4.7%	4.7%
C	3.3%	3.3%	3.3%	3.3%	3.3%	3.3%	3.3%	3.3%
Q	3.8%	3.8%	3.8%	3.8%	3.8%	3.8%	3.8%	3.8%
E	5.0%	5.0%	5.0%	5.0%	5.0%	5.0%	5.0%	5.0%
G	8.9%	8.9%	8.9%	8.9%	8.9%	8.9%	8.9%	8.9%

PAM1 matrix is multiplied 2000 times by itself

Top: original amino acid

Side: replacement amino acid

PAM250 mutation probability matrix

	A	R	N	D	C	Q	E	G	H	I	L	K	M	F	P	S	T	W	Y	V
A	13	6	9	9	5	8	9	12	6	8	6	7	7	4	11	11	11	2	4	9
R	3	17	4	3	2	5	3	2	6	3	2	9	4	1	4	4	3	7	2	2
N	4	4	6	7	2	5	6	4	6	3	2	5	3	2	4	5	4	2	3	3
D	5	4	8	11	1	7	10	5	6	3	2	5	3	1	4	5	5	1	2	3
C	2	1	1	1	52	1	1	2	2	2	1	1	1	1	2	3	2	1	4	2
Q	3	5	5	6	1	10	7	3	7	2	3	5	3	1	4	3	3	1	2	3
E	5	4	7	11	1	9	12	5	6	3	2	5	3	1	4	5	5	1	2	3
G	12	5	10	10	4	7	9	27	5	5	4	6	5	3	8	11	9	2	3	7
H	2	5	5	4	2	7	4	2	15	2	2	3	2	2	3	3	2	2	3	2
I	3	2	2	2	2	2	2	2	2	10	6	2	6	5	2	3	4	1	3	9
L	6	4	4	3	2	6	4	3	5	15	34	4	20	13	5	4	6	6	7	13
K	6	18	10	8	2	10	8	5	8	5	4	24	9	2	6	8	8	4	3	5
M	1	1	1	1	0	1	1	1	1	2	3	2	6	2	1	1	1	1	1	2
F	2	1	2	1	1	1	1	1	3	5	6	1	4	32	1	2	2	4	20	3
P	7	5	5	4	3	5	4	5	5	3	3	4	3	2	20	6	5	1	2	4
S	9	6	8	7	7	6	7	9	6	5	4	7	5	3	9	10	9	4	4	6
T	8	5	6	6	4	5	5	6	4	6	4	6	5	3	6	8	11	2	3	6
W	0	2	0	0	0	0	0	0	1	0	1	0	0	1	0	1	0	55	1	0
Y	1	1	2	1	3	1	1	1	3	2	2	1	2	15	1	2	2	3	31	2
V	7	4	4	4	4	4	4	5	4	15	10	4	10	5	5	5	7	2	4	17

Top: original amino acid

Side: replacement amino acid

BLOSUM 80

PAM 1

Less divergent

BLOSUM 62

PAM 120

BLOSUM 45

PAM 250

More divergent



BLOSUM

BLOSUM matrices are based on local alignments.

BLOSUM stands for blocks substitution matrix.

BLOSUM62 is a matrix calculated from comparisons of sequences with no less than 62% divergence.

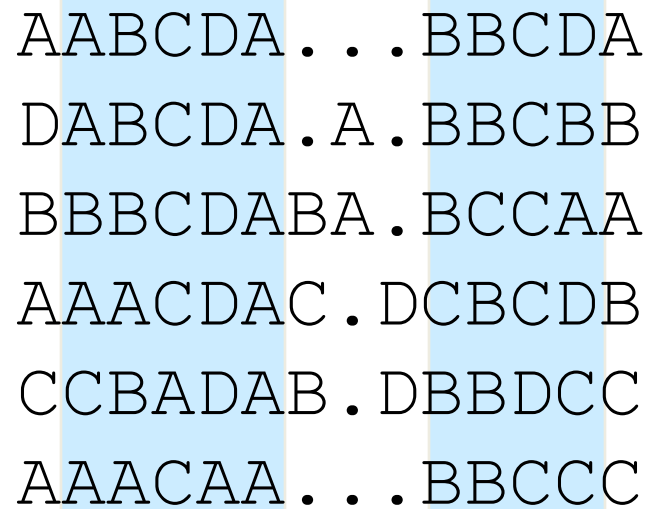
BLOSUM Scoring Matrices

- In the Dayhoff model, the scoring values are derived from protein sequences with **at least 85% identity**
- Alignments are, however, most often performed on **sequences of less similarity**, and the scoring matrices for use in these cases are calculated from the **1 PAM matrix**
- **Henikoff and Henikoff (1992)** have therefore developed scoring matrices based on known alignments of more diverse sequences

BLOSUM Scoring Matrices

- BLOck SUBstitution Matrix
- Based on comparisons of **blocks of sequences** derived from the Blocks database
- The Blocks database contains **multiply aligned un-gapped segments** corresponding to the **most highly conserved regions** of proteins (local alignment versus global alignment)

Conserved blocks in alignments



A sequence alignment of six rows. The first and third columns are highlighted with light blue vertical bars, indicating conserved blocks. The alignment is as follows:

A	A	B	C	D	A	.	.	.	B	B	C	D	A
D	A	B	C	D	A	.	A	.	B	B	C	B	B
B	B	B	C	D	A	B	A	.	B	C	C	A	A
A	A	A	C	D	A	C	.	D	C	B	C	D	B
C	C	B	A	D	A	B	.	D	B	B	D	C	C
A	A	A	C	A	A	.	.	.	B	B	C	C	C

Constructing BLOSUM r

- To avoid bias in favor of a certain protein, first eliminate sequences that are more than $r\%$ identical
- The **elimination** is done by either
 - removing sequences from the block, or
 - finding a cluster of similar sequences and replacing it by a new sequence that represents the cluster.
- **BLOSUM r** is the matrix built from blocks with **no more the $r\%$ of similarity**
 - E.g., **BLOSUM62** is the matrix built using sequences with **no more than 62%** similarity.
 - Note: **BLOSUM 62** is the default matrix for protein **BLAST**

Collecting substitution statistics

1. Count amino acids pairs in each column;

e.g.,

- 6 AA pairs, 4 AB pairs, 4 AC, 1 BC, 0 BB, 0 CC.
- Total = 6+4+4+1=15

2. Normalize results to obtain probabilities (p_x 's and q_{xy} 's)

3. Compute log-odds score matrix from probabilities:

$$s(X,Y) = \log (q_{xy} / (p_x p_y))$$

A
A
B
A
C
A

Computing probabilities

Sum the scores for each columns across columns:

$$c_{ij} = \sum_k c_{ij}^{(k)}$$

Normalize the pair frequencies so they will sum to 1:

$$T = \sum_{i \geq j} c_{ij} = w \frac{n(n-1)}{2} \quad \text{where } \begin{array}{l} w = \text{number of columns} \\ n = \text{number of sequences} \end{array}$$

$$q_{ij} = \frac{c_{ij}}{T}$$

Computing probabilities

Calculate the expected probability of occurrence of the i th residue in an (i,j) pair:

$$p_i = q_{ii} + \sum_{j \neq i} \frac{q_{ij}}{2}$$

The desired denominator is the expected frequency for each pair (assuming independence):

$$e_{ii} = p_i^2$$

$$e_{ij} = 2p_i p_j \quad (i \neq j)$$

Computing probabilities

Each entry for (i,j) in the log odds matrix is then equal to q_{ij}/e_{ij}

Log odds ratio: $s_{ij} = \log_2 \frac{q_{ij}}{e_{ij}}$

Value stored for BLOSUM = $2 s_{ij}$, rounded to nearest integer (“half bit” units)

Example

Matrix of c_{ij} values:

		A	I	L	S	T	V
sequence 1	A A I	A					
sequence 2	S A L	I					
sequence 3	T A L	L					
sequence 4	T A V	S					
sequence 5	A A L	T					
		V					

$$T = \sum_{i \geq j} c_{ij} = 3 \left[\frac{(5)(4)}{2} \right] = 30$$

From http://www.csit.fsu.edu/~swofford/bioinformatics_spring05/lectures/lecture03-blosum.pdf

Example

Matrix of q_{ij} values:

	A	I	L	S	T	V
A	$11/30$					
I		0				
L		$3/30$	$3/30$			
S	$2/30$		0	0		
T	$4/30$			$2/30$	$1/30$	
V		$1/30$	$3/30$			0

=

	A	I	L	S	T	V
A	0.366					
I	0	0				
L	0	0.1	0.1			
S	0.066	0	0			
T	0.133	0	0	0.066	0.033	
V	0	0.033	0.1	0	0	0

Vector of p_i values:

$$p_A = \left(11 + \frac{6}{2}\right) / 30 = 14/30 = 0.46\bar{6}$$

$$p_I = \left(0 + \frac{4}{2}\right) / 30 = 2/30 = 0.06\bar{6}$$

$$p_L = \left(3 + \frac{6}{2}\right) / 30 = 6/30 = 0.2$$

$$p_S = \left(0 + \frac{4}{2}\right) / 30 = 2/30 = 0.06\bar{6}$$

$$p_T = \left(1 + \frac{6}{2}\right) / 30 = 4/30 = 0.13\bar{3}$$

$$p_V = \left(0 + \frac{4}{2}\right) / 30 = 2/30 = 0.06\bar{6}$$

Example

Matrix of e_{ij} values:

	A	I	L	S	T	V
A	$\left(\frac{14}{30}\right)^2$					
I	$2\left(\frac{14}{30}\right)\left(\frac{2}{30}\right)$	$\left(\frac{2}{30}\right)^2$				
L	$2\left(\frac{14}{30}\right)\left(\frac{6}{30}\right)$	$2\left(\frac{2}{30}\right)\left(\frac{6}{30}\right)$	$\left(\frac{6}{30}\right)^2$			
S	$2\left(\frac{14}{30}\right)\left(\frac{2}{30}\right)$	$2\left(\frac{2}{30}\right)\left(\frac{2}{30}\right)$	$2\left(\frac{6}{30}\right)\left(\frac{2}{30}\right)$	$\left(\frac{2}{30}\right)^2$		
T	$2\left(\frac{14}{30}\right)\left(\frac{4}{30}\right)$	$2\left(\frac{2}{30}\right)\left(\frac{4}{30}\right)$	$2\left(\frac{6}{30}\right)\left(\frac{4}{30}\right)$	$2\left(\frac{2}{30}\right)\left(\frac{4}{30}\right)$	$\left(\frac{4}{30}\right)^2$	
V	$2\left(\frac{14}{30}\right)\left(\frac{2}{30}\right)$	$2\left(\frac{2}{30}\right)\left(\frac{2}{30}\right)$	$2\left(\frac{6}{30}\right)\left(\frac{2}{30}\right)$	$2\left(\frac{2}{30}\right)\left(\frac{2}{30}\right)$	$2\left(\frac{4}{30}\right)\left(\frac{2}{30}\right)$	$\left(\frac{2}{30}\right)^2$

Example

Log odds ratio:

$$\text{e.g., } s_{AA} = \log_2 \frac{0.36\bar{6}}{\left(\frac{14}{30}\right)^2} = \log_2 1.6837 = 0.7516$$

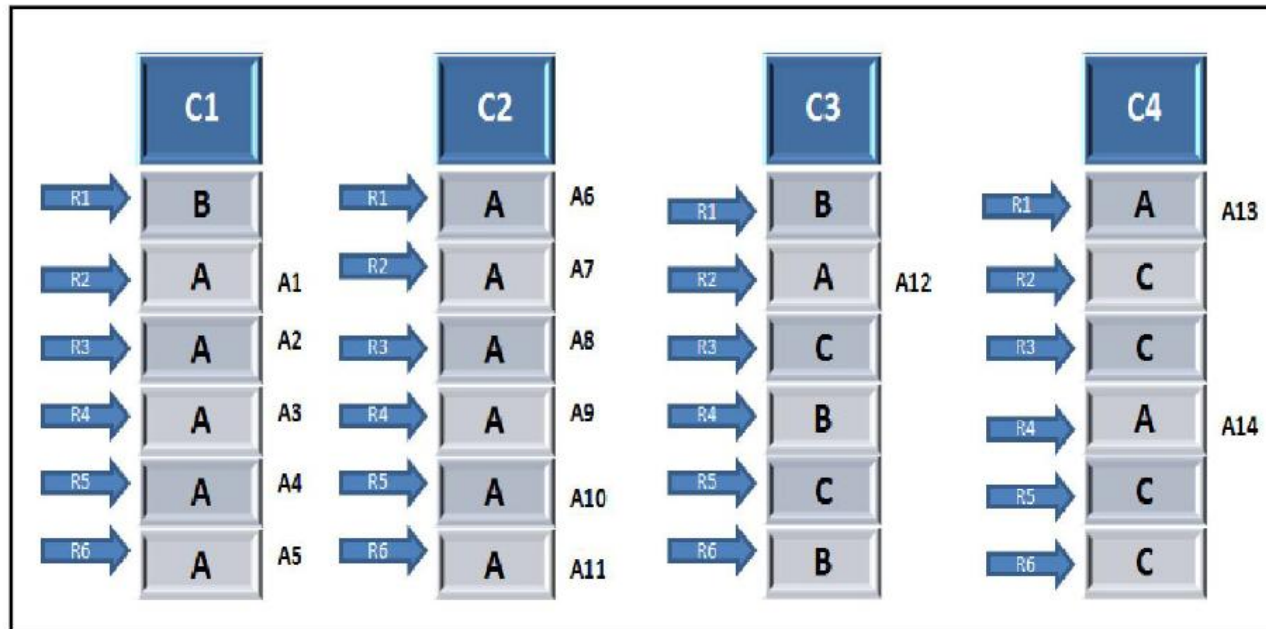
BLOSUM value for AA = $\text{round}(2 \cdot 0.7516) = 2$

Full matrix:

	A	I	L	S	T	V
A	2					
I	?	?				
L	?	4	3			
S	0	?	?	?		
T	0	?	?	4	2	
V	?	4	4	?	?	?

Note: undefined values result from unobserved pairs (would ordinarily not happen with real data)

Example



Example

Since it is getting 4 column in this sample, so we times 4 to gain the total of frequency of all pairs.

$$=15*4$$

$$=\underline{60}$$

A aligning with another A (AA)

$$\begin{aligned} P(E) \text{ of AA} &= A*A \\ &= (14/24)*(14/24) \\ &= \underline{196/576} \end{aligned}$$

Pair	Observed (O)	Expected (E)	$2\log_2(O/E)$
AA	26/60	196/576	0.70 \approx 1
AB	8/60	112/576	-1.09 \approx -1
AC	10/60	168/576	-1.61 \approx -2
BB	3/60	16/576	1.70 \approx 2
BC	6/60	48/576	0.53 \approx 1
CC	7/60	36/576	1.80 \approx 2

Example

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CC	7/60	36/576	$1.80 \approx 2$

	A	B	C
A	1	-1	-2
B	-1	2	1
C	-2	1	2

Comparison

- PAM is based on an **evolutionary model using phylogenetic trees**
- BLOSUM assumes **no evolutionary model, but rather conserved “blocks” of proteins**

