

## **EHRServer v1.0 openEHR Conformance**

The open source, service-oriented, openEHR clinical data repository

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Compliance with:



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### Introduction

This conformance statement shows which parts of the openEHR specifications are implemented in the EHRServer. Since the openEHR specs are a set of different component definitions, a subset of the specs really applies to the scope of the EHRServer.

There are some areas of the openEHR specification that are currently being defined by the openEHR Standard Editorial Committee (SEC), and the EHRServer will comply with them when they become part of the released specs.

## openEHR RM 1.0.2 conformance

The openEHR Reference Model is the core of the openEHR specifications.

The EHRServer supports these classes from the openEHR RM 1.0.2:

| rm_type_name          | package                | comments                |
|-----------------------|------------------------|-------------------------|
| EHR                   | ehr                    |                         |
| VERSIONED_COMPOSITION | ehr                    |                         |
| FOLDER                | common.directory       | No support for          |
|                       |                        | VERSIONED_FOLDER yet.   |
| COMPOSITION           | composition            |                         |
| EVENT_CONTEXT         | composition            |                         |
| PARTY_PROXY           | common.generic         |                         |
| SECTION               | content.navigation     |                         |
| OBSERVATION           | entry                  |                         |
| EVALUATION            | entry                  |                         |
| INSTRUCTION           | entry                  |                         |
| ACTION                | entry                  |                         |
| ADMIN_ENTRY           | entry                  |                         |
| ACTIVITY              | entry                  |                         |
| ISM_TRANSITION        | entry                  |                         |
| INSTRUCTION_DETAILS   | entry                  |                         |
| PATHABLE              | common.archetyped      |                         |
| LOCATABLE             | common.archetyped      |                         |
| ARCHETYPED            | common.archetyped      |                         |
| AUDIT_DETAILS         | common.generic         | Support for ATTESTATION |
|                       |                        | will be added soon.     |
| PARTY_SELF            | common.generic         |                         |
| VERSIONED_OBJECT      | common.change_control  | Support via             |
|                       |                        | VERSIONED_COMPOSITION   |
| CONTRIBUTION          | common.change_control  |                         |
| ORIGINAL_VERSION      | common.change_control  |                         |
| LOCATABLE_REF         | support.identification |                         |
| TERMINOLOGY_ID        | support.identification |                         |
| OBJECT_VERSION_ID     | support.identification |                         |
| HIER_OBJECT_ID        | support.identification |                         |
| ARCHETYPE_ID          | support.identification |                         |
| TEMPLATE_ID           | support.identification |                         |
|                       |                        |                         |
|                       |                        |                         |

| rm_type_name   | package                        | comments   |
|----------------|--------------------------------|--|
| HISTORY        | data_structures.history        |  |
| POINT_EVENT    | data_structures.history        |  |
| INTERVAL_EVENT | data_structures.history        |  |
| ITEM_TREE      | data_structures.item_structure |  |
| ITEM_LIST      | data_structures.item_structure |  |
| ITEM_TABLE     | data_structures.item_structure |  |
| ITEM_SINGLE    | data_structures.item_structure |  |
| CLUSTER        | data_structures.representation |  |
| ELEMENT        | data_structures.representation |  |
| DV_BOOLEAN     | data_types.basic               |  |
| DV_IDENTIFIER  | data_types.basic               |  |
| DV_TEXT        | data_types.text                |  |
| DV_CODED_TEXT  | data_types.text                |  |
| CODE_PHRASE    | data_types.text                |  |
| DV_ORDINAL     | data_types.quantity            | Support for DV_INTERVAL<br><dv_ordered> will be<br/>added soon.</dv_ordered> |
| DV PROPORTION  | data_types.quantity            |  |
| DV_COUNT       | data_types.quantity            |  |
| DV_QUANTITY    | data_types.quantity            |  |
| DV_DURATION    | data_types.quantity.date_time  |  |
| DV_DATE        | data_types.quantity.date_time  |  |
| DV_DATE_TIME   | data_types.quantity.date_time  |  |
| DV_PARSABLE    | data_types.encapsulated        |  |
| DV_MULTIMEDIA  | data_types.encapsulated        |  |
|                |                                |  |
|                |                                |  |
|                |                                |  |
|                |                                |  |

## openEHR AOM 1.0.2 / ADL 1.4 conformance

EHRServer uses Operational Templates (OPT) directly. openEHR archetypes in ADL are used to generate OPTs that contain the same structure, constraints and terminology as the referenced archetypes. There is no direct support for AOM/ADL in the EHRServer.

## openEHR TOM 1.0.2 conformance

EHRServer uses Operational Templates (OPT) in their XML form as clinical document definitions, to index data and to generate data queries.

OPTs in XML should comply with the OperationalTemplate XSD accessible here: https://github.com/ppazos/cabolabsehrserver/blob/master/xsd/OperationalTemplate.xsd

That XSD complies with the output from the Ocean Template Designer<sup>1</sup> when exporting an OPT.

<sup>&</sup>lt;sup>1</sup> http://www.openehr.org/downloads/modellingtools

## openEHR REST API v1.0 conformance

TBD.

Currently the openEHR SEC<sup>2</sup> is specifying the openEHR REST API.

The EHRServer will be compliant with the openEHR REST API when it becomes a normative part of the specifications.

<sup>2</sup> http://www.openehr.org/programs/specification/

## openEHR Querying v1.0 conformance

Querying conformance includes two parts, the query definition and the query result set model.

#### **Queries**

AQL is not yet supported by EHRServer but it's on the roadmap for the next versions.

Currently EHRServer queries are path-based queries, using openEHR Archetype paths as references of values stored in the database, used as projections for queries (values to get) or to specify conditions (query criteria). This is the same approach as AQL. The only step missing from AQL support is the actually integrate the AQL syntax into EHRServer queries. The current functionality won't change much.

#### Result Sets

The results returned by queries will be defined by the Result Set model of the openEHR REST API (under development). When this is defined and approved, the EHRServer will implement openEHR compliant result sets for queries.

In the mean time, EHRServer Result Sets return openEHR clinical documents (COMPOSITIONs) in openEHR compliant XML and JSON representations. Queries that return datavalues, return simplified results, also in XML and JSON, and grouped in different ways (by COMPOSITION or by archetype path). The format itself is EHRServer specific, but the data is equivalent and consistent with openEHR DATA VALUES.

## openEHR Architectural Components v1.0 conformance

openEHR defines a set of architectural components and services<sup>3</sup> that enable the implementation of a Virtual EHR (vEHR) API, that is the higher level interface that an Enterprise EHR Platform has, and it is what enables Cross-Enterprise Semantic Interoperability.

The EHRServer implements the following components / services:

- EHRService: includes API + CDR
- Audit Log Service: EHR-related audit log
- Enterprise Knowledge Service: the part that manages Operational Templates
- Security Service: the part that controls security on the EHR
- Notification Service: focused on EHR management and audit

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<sup>&</sup>lt;sup>3</sup> https://openehr.atlassian.net/wiki/spaces/spec/pages/50561091/Architectural+concepts

## openEHR Versioning v1.0 conformance

### Versioning Design

EHRServer support linear versioning, using the trunk\_version component of the VERSION\_TREE\_ID class, without opening branches for different OBJECT\_VERSION\_ID.creating\_system\_id. So two instances of OBJECT\_VESION\_ID for two versions of the same object can be:

```
7b83a3fa-c29f-4c73-b852-eb3e4c0c7d69::CABOLABS_EMR::1
7b83a3fa-c29f-4c73-b852-eb3e4c0c7d69::ATHENA HEALTH EMR::2
```

The decision of not creating branches for CABOLABS\_EMR and ATHENA\_HEALTH, is because 1. need of tracking of the latest version per creating system to resolve pulling data from the REST API, and 2. a merging functionality, that is rarely used in health care, should be implemented to merge branches.

The openEHR specifications allow branching and merging, but that adds complexity to the platform, with arguable value or usability to the end user.

VERSION\_TREE\_ID.trunk\_version is controlled by the EHRServer, that means clients should not worry about tracking, assigning and updating version numbers. Only the first version number is needed from the client.

## **Versioned Objects**

Currently EHRServer supports VERSIONED\_COMPOSITION (that is equivalent to VERSIONED\_OBJECT<COMPOSITION>) for versioning clinical documents.

### Versioning Workflow

When a clinical document is committed for the first time to the EHRServer, it should have an OBJECT\_VERSION\_ID with: 1. object\_id is the UID of the object that represents this document and all its versions, 2. creating\_system\_id is the identifier of the client system using the EHRServer API, 3. version\_tree\_id should be "1". For that commit, the change\_type is "creation".

The OBJECT VERSION ID will look like:

#### 7b83a3fa-c29f-4c73-b852-eb3e4c0c7d69::CABOLABS\_EMR::1

Then, when a version of that document needs to be created, another document should be committed, and the OBJECT\_VERSION\_ID should be the same "7b83a3fa-c29f-4c73-b852-eb3e4c0c7d69::CABOLABS\_EMR::1". And the change\_type should not be "creation", can be "amendment", "modification", etc.

The EHRServer will know that the document "7b83a3fa-c29f-4c73-b852-eb3e4c0c7d69::CABOLABS\_EMR::1" should be versioned, so it will assign the OBJECT\_VERSION\_ID "7b83a3fa-c29f-4c73-b852-eb3e4c0c7d69::CABOLABS\_EMR::2" to the new document.

If another version of the document needs to be created, the client will commit using the latest version OBJECT\_VERSION\_ID "7b83a3fa-c29f-4c73-b852-eb3e4c0c7d69::CABOLABS\_EMR::**2**", and the EHRServer internally assigns "7b83a3fa-c29f-4c73-b852-eb3e4c0c7d69::CABOLABS\_EMR::**3**" to the new version. And so on.

When pulling compositions from the API or executing queries, only data from the latest versions will be retrieved.

As aforementioned, the OBJECT\_VERSION\_ID.creating\_system\_id might vary on the different versions. So this sequence of commits should be valid:

| OBJECT_VERSION_ID                       | change_type  | version assigned by server |
|---|--------------|----------------------------|
| 7b83a3fa-c29f-4c73-b852-                | creation     | 1                          |
| eb3e4c0c7d69::CABOLABS_EMR::1           |              |                            |
| 7b83a3fa-c29f-4c73-b852-                | amendment    | 2                          |
| eb3e4c0c7d69::CLINWEB:: <b>1</b>        |              |                            |
| 7b83a3fa-c29f-4c73-b852-                | modification | 3                          |
| eb3e4c0c7d69::PATIENT_PORTAL:: <b>2</b> |              |                            |

#### That should be read as:

- 1. creation of document 7b83... version 1
- 2. amendment of document 7b83... version 1, creates version 2
- 3. modification of document 7b83... version 2, creates version 3

## openEHR Data Validation v1.0 conformance

The data validation is focused on validating data from clinical documents that are committed to the server. There are three types of data validation:

- 1. Syntactic: verifies the data received by the EHRServer complies with the openEHR format to only accept well formed data.
- 2. Technical: verifies rules over specific data points for data consistency.
- 3. Semantic: verifies the data received by the EHRServer complies with the semantic constraints of Operational Templates / Archetypes.

The EHRServer supports syntactic validation using the openEHR XSD as the main source of verification of well formed data. For JSON commits, the JSON is transformed to XML then validated with the XSD.

The EHRServer supports technical validations besides well formed / syntactic validations. Some examples are:

- 1. existence of the EHR when committing a document
- 2. can't commit with change type "creation" and version tree id > 1
- 3. existence of the object id if change type is "amendment" or "modification"
- 4. a new version is created from the latest version of the same object
- 5. consistency of contribution identifiers when committing more than one document at the same time

This enables the maintenance of data consistency, considering what is pushed from clients can't be controlled by the server.

Semantic data validation is not yet implemented but is on the roadmap. Also will be exposed as a service on the EHRServer REST API, so clients can validate documents before committing them. This feature will be particularly useful for developers.

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