### 0.1 Terms

Making the language no-longer differentiate between values and computations.

### 0.1.1 Value Terms

$$\begin{array}{c|c} v ::= x \\ & \mid \lambda x : A.v \\ & \mid \texttt{C}^A \\ & \mid \texttt{()} \\ & \mid \texttt{true} \mid \texttt{false} \\ & \mid \Lambda \alpha.v \\ & \mid v \in \\ & \mid \texttt{if}_A \ v \ \texttt{then} \ v_1 \ \texttt{else} \ v_2 \\ & \mid v_1 \ v_2 \\ & \mid \texttt{do} \ x \leftarrow v_1 \ \texttt{in} \ v_2 \\ & \mid \texttt{return} v \end{array} \tag{1}$$

# 0.2 Type System

### 0.2.1 Ground Effects

The effects should form a monotonous, pre-ordered monoid  $(E,\cdot,1,\leq)$  with ground elements e.

### 0.2.2 Effect Po-Monoid Under a Effect Environment

Derive a new Po-Monoid for each  $\Phi$ :

$$(E_{\Phi}, \cdot_{\Phi}, \mathbf{1}, \leq_{\Phi}) \tag{2}$$

Where meta-variables,  $\epsilon$ , range over  $E_{\Phi}$  Where

$$E_{\Phi} = E \cup \{ \alpha \mid \alpha \in \Phi \} \tag{3}$$

And

$$\left(\right) \frac{\epsilon_3 = \epsilon_1 \cdot \epsilon_2}{\epsilon_3 = \epsilon_1 \cdot_{\Phi} \epsilon_2} \tag{4}$$

Otherwise,  $\cdot_{\Phi}$  is symbolic in nature.

$$\epsilon_1 \leq_{\Phi} \epsilon_2 \Leftrightarrow \forall \sigma \downarrow . \epsilon_1 \left[ \sigma \downarrow \right] \leq \epsilon_2 \left[ \sigma \downarrow \right] \tag{5}$$

Where  $\sigma \downarrow$  denotes any ground-substitution of  $\Phi$ . That is any substitution of all effect-variables in  $\Phi$  to ground effects. Where it is obvious from the context, I shall use  $\leq$  instead of  $\leq_{\Phi}$ .

### 0.2.3 Types

**Ground Types** There exists a set  $\gamma$  of ground types, including Unit, Bool

Term Types

$$A, B, C ::= \gamma \mid A \rightarrow B \mid M_{\epsilon}A \mid \forall \alpha.A$$

## 0.2.4 Type and Effect Environments

A type environment is a snoc-list of tern-variable, type pairs,  $G := \diamond \mid \Gamma, x : A$ . An effect environment is a snoc-list of effect-variables.

$$\Phi ::= \diamond \mid \Phi, \alpha$$

Domain Function on Type Environments

- $dom(\diamond) = \emptyset$
- $\bullet \ \operatorname{dom}(\Gamma, x : A) = \operatorname{dom}(\Gamma) \cup \{x\}$

**Membership of Effect Environments** Informally,  $\alpha \in \Phi$  if  $\alpha$  appears in the list represented by  $\Phi$ .

Ok Predicate On Effect Environments

- $(Atom)_{\overline{\diamond 0k}}$
- (A)  $\frac{\Phi O k \quad \alpha \notin \Phi}{\Phi, \alpha O k}$

Well-Formed-ness of effects We define a relation  $\Phi \vdash \epsilon$ .

- (Ground)  $\frac{\Phi \mathbf{0} \mathbf{k}}{\Phi \vdash e}$
- $(Var) \frac{\Phi, \alpha \mathsf{Ok}}{\Phi, \alpha \vdash \alpha}$
- (Weaken)  $\frac{\Phi \vdash \alpha}{\Phi, \beta \vdash \alpha}$  (if  $\alpha \neq \beta$ )
- (Monoid Op)  $\frac{\Phi \vdash \epsilon_1 \quad \Phi \vdash \epsilon_2}{\Phi \vdash \epsilon_1 \cdot \epsilon_2}$

**Well-Formed-ness of Types** We define a relation  $\Phi \vdash \tau$  on types.

- (Ground)  $_{\overline{\Phi} \vdash \gamma}$
- (Lambda)  $\frac{\Phi \vdash A \quad \Phi \vdash B}{\Phi \vdash A \to B}$
- (Computation)  $\frac{\Phi \vdash A \quad \Phi \vdash \epsilon}{\Phi \vdash \mathsf{M}_{\epsilon} A}$
- (For-All)  $\frac{\Phi, \alpha \vdash A}{\Phi \vdash \forall \alpha.A}$

Ok Predicate on Type Environments We now define a predicate on type environments and effect environments:  $\Phi \vdash \Gamma 0k$ 

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- $(Nil)_{\overline{\Phi} \vdash \diamond \mathbf{0k}}$
- $(\operatorname{Var}) \frac{\Phi \vdash \Gamma \mathsf{0k} \ x \notin \mathsf{dom}(\Gamma) \ \Phi \vdash A}{\Phi \vdash \Gamma, x : A \mathsf{0k}}$

### 0.2.5 Sub-typing

There exists a sub-typing pre-order relation  $\leq :_{\gamma}$  over ground types that is:

- (Reflexive)  $_{\overline{A \leq_{:_{\gamma}} A}}$
- (Transitive)  $\frac{A \leq :_{\gamma} B \quad B \leq :_{\gamma} C}{A \leq :_{\gamma} C}$

We extend this relation with the function and effect-lambda sub-typing rules to yield the full sub-typing relation under an effect environment,  $\Phi$ ,  $\leq$ : $_{\Phi}$ 

- (ground)  $\frac{A \leq :_{\gamma} B}{A \leq :_{\Phi} B}$
- $(\operatorname{Fn}) \frac{A \leq :_{\Phi} A' \quad B' \leq :_{\Phi} B}{A' \rightarrow B' \leq :_{\Phi} A \rightarrow B}$
- $(All) \frac{A \leq :_{\Phi} A'}{\forall \alpha. A \leq :_{\Phi} \forall a. A'}$
- (Effect)  $\frac{A \leq :_{\Phi} B}{M_{\epsilon_1} A \leq :_{\Phi} M_{\epsilon_2} B}$

## 0.2.6 Type Rules

- (Const)  $\frac{\Phi \vdash \Gamma \mathsf{0k} \quad \Phi \vdash A}{\Phi \mid \Gamma \vdash \mathsf{C}^A : A}$
- $(Unit) \frac{\Phi \vdash \Gamma Ok}{\Phi \mid \Gamma \vdash () : Unit}$
- $(True) \frac{\Phi \vdash \Gamma Ok}{\Phi \mid \Gamma \vdash true : Bool}$
- $(False) \frac{\Phi \vdash \Gamma Ok}{\Phi \mid \Gamma \vdash false:Bool}$
- $(\text{Var}) \frac{\Phi \vdash \Gamma, x : A \cup k}{\Phi \mid \Gamma, x : A \vdash x : A}$
- (Weaken)  $\frac{\Phi|\Gamma \vdash x: A \quad \Phi \vdash B}{\Phi|\Gamma, y: B \vdash x: A}$  (if  $x \neq y$ )
- $(\operatorname{Fn}) \frac{\Phi \mid \Gamma, x : A \vdash v : \beta}{\Phi \mid \Gamma \vdash \lambda x : A \cdot v : A \to B}$
- $(\operatorname{Sub}) \frac{\Phi | \Gamma \vdash v : A \quad A \leq :_{\Phi} B}{\Phi | \Gamma \vdash v : B}$
- (Effect-Abs)  $\frac{\Phi, \alpha | \Gamma \vdash v : A}{\Phi | \Gamma \vdash \Lambda \alpha . v : \forall \alpha . A}$
- (Effect-apply)  $\frac{\Phi|\Gamma \vdash v : \forall \alpha. A \quad \Phi \vdash \epsilon}{\Phi|\Gamma \vdash v \in A[\epsilon/\alpha]}$
- (Return)  $\frac{\Phi \mid \Gamma \vdash v : A}{\Phi \mid \Gamma \vdash \mathsf{return} v : \mathsf{M}_1 A}$
- $\bullet \ \ \big( \text{Apply} \big) \frac{\Phi | \Gamma \vdash v_1 : A \rightarrow \mathsf{M}_{\epsilon} B \ \Phi | \Gamma \vdash v_2 : A}{\Phi | \Gamma \vdash v_1 \ v_2 : \mathsf{M}_{\epsilon} B}$
- $\bullet \ (\mathrm{If}) \frac{\Phi | \Gamma \vdash v : \mathsf{Bool} \ \Phi | \Gamma \vdash v_1 : A \ \Phi | \Gamma \vdash v_2 : A}{\Phi | \Gamma \vdash \mathsf{if}_A \ V \ \mathsf{then} \ v_1 \ \mathsf{else} \ v_2 : A}$
- $\bullet \ \ (\mathrm{Do}) \frac{\Phi |\Gamma \vdash v_1 : \! \mathsf{M}_{\epsilon_1} A \quad \Phi |\Gamma, x : \! A \vdash v_2 : \! \mathsf{M}_{\epsilon_2} B}{\Phi |\Gamma \vdash \mathsf{do} \ x \leftarrow v_1 \ \mathsf{in} \ v_2 : \! \mathsf{M}_{\epsilon_1 \cdot \epsilon_2} B}$

#### 0.2.7 Ok Lemma

If  $\Phi \mid \Gamma \vdash t : \tau$  then  $\Phi \vdash \Gamma Ok$ .

**Proof** If  $\Gamma, x: A0k$  then by inversion  $\Gamma0k$  Only the type rule Weaken adds terms to the environment from its preconditions to its post-condition and it does so in an 0k preserving way. Any type derivation tree has at least one leaf. All leaves are axioms which require  $\Phi \vdash \Gamma0k$ . And all non-axiom derivations preserve the 0k property.