语义等价与精化

1 定义与例子

表达式语义等价

```
Record eequiv (e1 e2: expr): Prop := {
    nrm_eequiv:
        [ e1 ].(nrm) == [ e2 ].(nrm);
    err_eequiv:
        [ e1 ].(err) == [ e2 ].(err);
}.
```

表达式精化关系

程序语句语义等价

```
Record cequiv (c1 c2: com): Prop := {
  nrm_cequiv: [ c1 ].(nrm) == [ c2 ].(nrm);
  err_cequiv: [ c1 ].(err) == [ c2 ].(err);
  inf_cequiv: [ c1 ].(inf) == [ c2 ].(inf);
}.
```

程序语句精化关系

```
Record crefine (c1 c2: com): Prop := {
    nrm_crefine:
        [ c1 ].(nrm) \( \) [ c2 ].(nrm) \( \) ([ c2 ].(err) \( \) state);
    err_crefine:
        [ c1 ].(err) \( \) [ c2 ].(err);
    inf_crefine:
        [ c1 ].(inf) \( \) [ c2 ].(inf) \( \) [ c2 ].(err);
}.
```

精化的例子

```
Lemma const_plus_const_refine: forall n m: Z,
    EConst (n + m) <<= [[n + m]].</pre>
```

证明见 Coq 代码。

• 定理: $c_1; (c_2; c_3) \equiv (c_1; c_2); c_3$.

• 证明:程序正常终止的情况

• 上面证明用到集合运算性质: $A \circ (B \circ C) = (A \circ B) \circ C$.

```
Theorem CSeq_assoc: forall (c1 c2 c3: com),
  [[c1; (c2; c3)]] ~=~ [[(c1; c2); c3]].
Proof.
  intros.
 split.
  + simpl.
   rewrite Rels_concat_assoc.
   reflexivity.
  + simpl.
   rewrite Rels_concat_union_distr_1.
    rewrite Sets_union_assoc.
   rewrite Rels_concat_assoc.
    reflexivity.
  + simpl.
   rewrite Rels_concat_union_distr_1.
    rewrite Sets_union_assoc.
   rewrite Rels_concat_assoc.
    reflexivity.
Qed.
```

- 定理: if (e) then $\{c_1\}$ else $\{c_2\}$; $c_3 \equiv$ if (e) then $\{c_1; c_3\}$ else $\{c_2; c_3\}$.
- 证明:程序正常终止的情况

• 上面证明用到集合运算性质: $(A \cup B) \circ C = (A \circ C) \cup (B \circ C)$.

```
Theorem CIf_CSeq: forall e c1 c2 c3,
 [[ if e then { c1 } else { c2 }; c3 ]] ~=~
  [[ if e then { c1; c3 } else { c2; c3 } ]].
 intros.
 split.
 + simpl.
   rewrite <- ! Rels_concat_assoc.
   apply Rels_concat_union_distr_r.
   rewrite ! Rels_concat_union_distr_r.
   rewrite ! Rels_concat_union_distr_1.
   rewrite <- ! Rels_concat_assoc.
   sets_unfold; intros s; tauto.
   rewrite ! Rels_concat_union_distr_r.
   rewrite ! Rels_concat_union_distr_1.
   rewrite <- ! Rels_concat_assoc.
   sets_unfold; intros s; tauto.
Qed.
```

2 语义等价与精化的性质

接下去,我们介绍语义等价的两条重要性质。其一:语义等价是一种等价关系。

- 对于任意表达式 $E, E \equiv E$ 。
- 证明:
 - 求值成功的情况: [E] .nrm = [E] .nrm (集合相等的自反性);
 - 求值失败的情况: [E] .err = [E] .err (集合相等的自反性);
- 对于任意表达式 E_1 与 E_2 , 如果 $E_1 \equiv E_2$, 那么 $E_2 \equiv E_1$ 。
- 证明:
 - 求值成功的情况: 由 $E_1 \equiv E_2$ 这一假设可知 $[\![E_1]\!]$.nrm = $[\![E_2]\!]$.nrm , 故 $[\![E_2]\!]$.nrm = $[\![E_1]\!]$.nrm (集合相等的对称性)。
 - 求值失败的情况: 由 $E_1 \equiv E_2$ 这一假设可知 $[\![E_1]\!]$.err = $[\![E_2]\!]$.err , 故 $[\![E_2]\!]$.err = $[\![E_1]\!]$.err (集合相等的对称性)。
- 对于任意表达式 E_1 , E_2 与 E_3 , 如果 $E_1 \equiv E_2$ 且 $E_2 \equiv E_3$, 那么 $E_1 \equiv E_3$ 。
- 证明:
 - 求值成功的情况: 由 $E_1 \equiv E_2$ 与 $E_2 \equiv E_3$ 这两条假设可知 $[E_1]$.nrm = $[E_2]$.nrm 并且 $[E_2]$.nrm = $[E_3]$.nrm , 故 $[E_1]$.nrm = $[E_3]$.nrm (集合相等的传递性)。
 - 求值失败的情况: 由 $E_1 \equiv E_2$ 与 $E_2 \equiv E_3$ 这两条假设可知 $[E_1]$.err = $[E_2]$.err 并且 $[E_2]$.err = $[E_3]$.err , 故 $[E_1]$.err = $[E_3]$.err (集合相等的传递性)。

在 Coq 标准库中, Reflexive 、 Symmetric 、 Transitive 以及 Equivalence 定义了自反性、对称性、传递性以及 等价关系。下面证明中,我们统一使用了 Instance 关键字,而非之前证明中常用的 Theorem 与 Lemma ,我们将稍后再解释 Instance 关键字的特殊作用。

```
Instance eequiv_refl: Reflexive eequiv.
Proof
 unfold Reflexive; intros.
 split.
  + reflexivity.
  + reflexivity.
Qed.
Instance eequiv_sym: Symmetric eequiv.
Proof.
 unfold Symmetric; intros.
 split.
 + rewrite H.(nrm_eequiv).
   reflexivity.
  + rewrite H.(err_eequiv).
   reflexivity.
Qed.
Instance eequiv_trans: Transitive eequiv.
 unfold Transitive; intros.
 split.
 + rewrite H.(nrm_eequiv), HO.(nrm_eequiv).
   reflexivity.
  + rewrite H.(err_eequiv), HO.(err_eequiv).
   reflexivity.
Qed.
Instance eequiv_equiv: Equivalence eequiv.
 split.
 + apply eequiv_refl.
 + apply eequiv_sym.
 + apply eequiv_trans.
Qed.
下面还可以证明精化关系也具有自反性和传递性。
Instance erefine_refl: Reflexive erefine.
Proof.
unfold Reflexive; intros.
 split.
 + apply Sets_included_union1.
 + reflexivity.
Qed.
Instance erefine_trans: Transitive erefine.
Proof.
 unfold Transitive; intros.
 split.
  + rewrite H.(nrm_erefine).
   rewrite HO.(nrm_erefine).
  rewrite HO.(err_erefine).
   sets_unfold; intros s1 s2; tauto.
  + rewrite H.(err_erefine).
    rewrite HO.(err_erefine).
    reflexivity.
```

Qed.

并且精化关系在语义等价变换下不变。

```
Instance erefine_well_defined:
  Proper (eequiv ==> eequiv ==> iff) erefine.
Proof.
 unfold Proper, respectful; intros.
 split; intros.
  + split.
    - rewrite <- H.(nrm_eequiv).
     rewrite <- HO.(nrm_eequiv).
     rewrite <- HO.(err_eequiv).
     apply H1.(nrm_erefine).
    - rewrite <- H.(err_eequiv).
     rewrite <- HO.(err_eequiv).
     apply H1.(err_erefine).
  + split.
    - rewrite H.(nrm_eequiv).
     rewrite HO.(nrm_eequiv).
     rewrite HO.(err_eequiv).
     apply H1.(nrm_erefine).
    - rewrite H.(err_eequiv).
     rewrite HO.(err_eequiv).
     apply H1.(err_erefine).
Qed.
```

程序语句间的语义等价关系也是等价关系,程序语句间的精化关系也具有自反性与传递性。

```
Instance cequiv_refl: Reflexive cequiv.

(* 证明详见Coq源代码。*)
Instance cequiv_sym: Symmetric cequiv.

(* 证明详见Coq源代码。*)
Instance cequiv_trans: Transitive cequiv.

(* 证明详见Coq源代码。*)
Instance cequiv_equiv: Equivalence cequiv.

(* 证明详见Coq源代码。*)
Instance crefine_refl: Reflexive crefine.

(* 证明详见Coq源代码。*)
Instance crefine_trans: Transitive crefine.

(* 证明详见Coq源代码。*)
Instance crefine_well_defined:
    Proper (cequiv =>> cequiv ==> iff) crefine.

(* 证明详见Coq源代码。*)
```

两条重要性质之二是: 所有语法连接词能保持语义等价关系 (congruence), 也能保持精化关系 (monotonicity)。下面先证明加法、减法、乘法的情况。

```
Lemma arith_sem1_nrm_congr: forall Zfun (e11 e12 e21 e22: expr),
    e11 ~=~ e12 ~>
    e21 ~=~ e22 ~>
    arith_sem1_nrm Zfun [ e11 ].(nrm) [ e21 ].(nrm) ==
    arith_sem1_nrm Zfun [ e12 ].(nrm) [ e22 ].(nrm).

Proof.

sets_unfold.
    intros ? ? ? ? ? ? ? s i.
    unfold arith_sem1_nrm.

apply ex_iff_morphism; intros i1.
    apply ex_iff_morphism; intros i2.
    apply and_iff_morphism; [apply H.(nrm_eequiv) | ].
    apply and_iff_morphism; [apply H0.(nrm_eequiv) | ].
    reflexivity.

Qed.
```

```
Lemma arith_sem1_err_congr: forall Zfun (e11 e12 e21 e22: expr),
  e11 ~=~ e12 ->
  e21 ~=~ e22 ->
  \llbracket e11 \rrbracket.(err) \cup \llbracket e21 \rrbracket.(err) \cup
  arith_sem1_err Zfun [ e11 ].(nrm) [ e21 ].(nrm) ==
  \llbracket e12 \rrbracket.(err) \cup \llbracket e22 \rrbracket.(err) \cup
  arith_sem1_err Zfun [ e12 ].(nrm) [ e22 ].(nrm).
Proof.
  sets_unfold.
 intros ? ? ? ? ? ? s.
 unfold arith_sem1_err.
  apply or_iff_morphism.
  + apply or_iff_morphism.
    - apply H.(err_eequiv).
    - apply HO.(err_eequiv).
  + apply ex_iff_morphism; intros i1.
    apply ex_iff_morphism; intros i2.
    apply and_iff_morphism; [apply H.(nrm_eequiv) |].
    apply and_iff_morphism; [apply HO.(nrm_eequiv) |].
    reflexivity.
Qed.
```

```
Lemma arith_sem1_nrm_mono: forall Zfun (e11 e12 e21 e22: expr),
  e11 <<= e12 ->
  e21 <<= e22 ->
  arith_sem1_nrm Zfun [ e11 ].(nrm) [ e21 ].(nrm) ⊆
  arith_sem1_nrm Zfun [ e12 ].(nrm) [ e22 ].(nrm) U
  (([ e12 ]].(err) \cup [ e22 ]].(err) \cup
   arith_sem1_err Zfun [ e12 ].(nrm) [ e22 ].(nrm)) × int64).
Proof.
 intros.
 sets_unfold.
 intros s i.
  unfold arith_sem1_nrm, arith_sem1_err.
  intros [i1 [i2 [? [? ?] ] ].
  apply H.(nrm_erefine) in H1.
  apply HO.(nrm_erefine) in H2.
  sets_unfold in H1.
  sets_unfold in H2.
  destruct H1; [| tauto].
 destruct H2; [| tauto].
  exists i1, i2.
 tauto.
Qed.
```

```
Lemma arith_sem1_err_mono: forall Zfun (e11 e12 e21 e22: expr),
  e11 <<= e12 ->
  e21 <<= e22 ->
  \llbracket e11 \rrbracket.(err) \cup \llbracket e21 \rrbracket.(err) \cup
  arith_sem1_err Zfun [ e11 ].(nrm) [ e21 ].(nrm) \subseteq
  \llbracket e12 \rrbracket.(err) \cup \llbracket e22 \rrbracket.(err) \cup
  arith_sem1_err Zfun [ e12 ].(nrm) [ e22 ].(nrm).
Proof.
 intros.
  sets_unfold.
 intros s.
 unfold arith_sem1_err.
 intros [ [? | ?] | [i1 [i2 [? [? ?] ] ] ].
  + apply H.(err_erefine) in H1.
    tauto.
  + apply HO.(err_erefine) in H1.
  + apply H.(nrm_erefine) in H1.
    apply H0.(nrm_erefine) in H2.
    sets_unfold in H1.
   sets_unfold in H2.
    destruct H1; [| tauto].
    destruct H2; [| tauto].
    right.
    exists i1, i2.
    tauto.
Qed.
```

很多其它情况的证明是类似的。具体证明详见 Coq 代码下面把二元运算的情况汇总起来。

```
Instance EBinop_congr: forall op,
  Proper (eequiv ==> eequiv ==> eequiv) (EBinop op).
Proof.
  unfold Proper, respectful.
  intros.
  destruct op.
```

布尔二元运算的情况

```
+ split.
  - apply or_sem_nrm_congr; tauto.
  - apply or_sem_err_congr; tauto.
+ split.
  - apply and_sem_nrm_congr; tauto.
  - apply and_sem_err_congr; tauto.
```

大小比较的情况

```
+ split.
  - apply cmp_sem_nrm_congr; tauto.
  - apply cmp_sem_err_congr; tauto.
+ split.
  - apply cmp_sem_nrm_congr; tauto.
  - apply cmp_sem_err_congr; tauto.
+ split.
  - apply cmp_sem_nrm_congr; tauto.
 - apply cmp_sem_err_congr; tauto.
  - apply cmp_sem_nrm_congr; tauto.
  - apply cmp_sem_err_congr; tauto.
+ split.
  - apply cmp_sem_nrm_congr; tauto.
  - apply cmp_sem_err_congr; tauto.
  - apply cmp_sem_nrm_congr; tauto.
  - apply cmp_sem_err_congr; tauto.
```

加减乘运算的情况

```
+ split.
   - apply arith_sem1_nrm_congr; tauto.
   - apply arith_sem1_err_congr; tauto.
+ split.
   - apply arith_sem1_nrm_congr; tauto.
   - apply arith_sem1_err_congr; tauto.
+ split.
   - apply arith_sem1_nrm_congr; tauto.
   - apply arith_sem1_nrm_congr; tauto.
   - apply arith_sem1_err_congr; tauto.
```

除法与取余的情况

```
+ split.
   - apply arith_sem2_nrm_congr; tauto.
   - apply arith_sem2_err_congr; tauto.
+ split.
   - apply arith_sem2_nrm_congr; tauto.
   - apply arith_sem2_err_congr; tauto.
Qed.
```

```
Instance EBinop_mono: forall op,
  Proper (erefine ==> erefine ==> erefine) (EBinop op).
Proof.
  unfold Proper, respectful.
  intros.
  destruct op.
```

布尔二元运算的情况

```
+ split.
  - apply or_sem_nrm_mono; tauto.
  - apply or_sem_err_mono; tauto.
+ split.
  - apply and_sem_nrm_mono; tauto.
  - apply and_sem_err_mono; tauto.
```

大小比较的情况

```
+ split.
    - apply cmp_sem_nrm_mono; tauto.
    - apply cmp_sem_err_mono; tauto.
+ split.
    - apply cmp_sem_err_mono; tauto.
+ split.
    - apply cmp_sem_nrm_mono; tauto.
+ split.
    - apply cmp_sem_err_mono; tauto.
+ split.
    - apply cmp_sem_err_mono; tauto.
+ split.
    - apply cmp_sem_nrm_mono; tauto.
+ split.
    - apply cmp_sem_err_mono; tauto.
+ split.
    - apply cmp_sem_nrm_mono; tauto.
+ split.
    - apply cmp_sem_err_mono; tauto.
+ split.
    - apply cmp_sem_err_mono; tauto.
```

加减乘运算的情况

```
+ split.
   - apply arith_sem1_nrm_mono; tauto.
   - apply arith_sem1_err_mono; tauto.
+ split.
   - apply arith_sem1_nrm_mono; tauto.
   - apply arith_sem1_err_mono; tauto.
+ split.
   - apply arith_sem1_nrm_mono; tauto.
   - apply arith_sem1_nrm_mono; tauto.
   - apply arith_sem1_err_mono; tauto.
```

除法与取余的情况

```
+ split.
   - apply arith_sem2_nrm_mono; tauto.
   - apply arith_sem2_err_mono; tauto.
+ split.
   - apply arith_sem2_nrm_mono; tauto.
   - apply arith_sem2_err_mono; tauto.
Qed.
```

一元运算的情况是类似的。具体证明详见 Coq 代码,这里只展示结论。

```
Instance EUnop_congr: forall op,
  Proper (eequiv ==> eequiv) (EUnop op).

Instance EUnop_mono: forall op,
  Proper (erefine ==> erefine) (EUnop op).
```

下面证明程序语句中的语法连接词也能保持语义等价性和精化关系。顺序执行保持等价性是比较显然的。

```
Instance CSeq_congr:
 Proper (cequiv ==> cequiv ==> cequiv) CSeq.
 unfold Proper, respectful.
 intros c11 c12 ? c21 c22 ?.
 split; simpl.
 + rewrite H.(nrm_cequiv).
  rewrite HO.(nrm_cequiv).
  reflexivity.
 + rewrite H.(nrm_cequiv).
  rewrite H.(err_cequiv).
  rewrite HO.(err_cequiv).
   reflexivity.
 + rewrite H.(nrm_cequiv).
   rewrite H.(inf_cequiv).
   rewrite HO.(inf_cequiv).
   reflexivity.
```

为了证明顺序执行能保持精化关系,先证明两条引理。

```
Lemma Rels_times_full_concat2:
    forall {A B C: Type} (X: A -> Prop) (Y: B -> C -> Prop),
        (X × B) o Y ⊆ X × C.

Proof.
    intros.
    unfold_RELS_tac.
    intros a c.
    intros [b [? ?] ].
    tauto.

Qed.
```

```
Lemma Rels_times_full_concat1:
  forall {A B: Type} (X: A -> Prop) (Y: B -> Prop),
      (X × B) o Y ⊆ X.

Proof.
  intros.
  unfold_RELS_tac.
  intros a.
  intros [b [? ?] ].
  tauto.

Qed.
```

下面证明顺序执行能保持精化关系。

```
Instance CSeq_mono:
 Proper (crefine ==> crefine ==> crefine) CSeq.
 unfold Proper, respectful.
 intros c11 c12 ? c21 c22 ?.
 split; simpl.
 + rewrite H.(nrm_crefine).
   rewrite HO.(nrm_crefine).
   rewrite Rels_concat_union_distr_1.
   rewrite ! Rels_concat_union_distr_r.
   rewrite ! Rels_times_full_concat2.
   sets_unfold; intros s1 s2; tauto.
  + rewrite H.(err_crefine).
   rewrite H.(nrm_crefine).
   rewrite HO.(err_crefine).
   rewrite Rels_concat_union_distr_r.
   rewrite Rels_times_full_concat1.
   sets unfold: intros s: tauto.
  + rewrite H. (inf crefine).
   rewrite HO.(inf_crefine).
   rewrite H.(nrm_crefine).
   rewrite Rels_concat_union_distr_1.
   rewrite ! Rels_concat_union_distr_r.
   rewrite ! Rels_times_full_concat1.
   sets_unfold; intros s; tauto.
Qed.
```

为了证明 if 语句能保持语义等价关系与精化关系,先证明 test_true 与 test_false 的性质。

```
Lemma test_true_mono: forall (e1 e2: expr),
  e1 <<= e2 ->
 test_true [ e1 ] ⊆
  test_true \llbracket e2 \rrbracket \cup (\llbracket e2 \rrbracket.(err) \times state).
(* 证明详见Coq源代码。 *)
Lemma test_false_mono: forall (e1 e2: expr),
 e1 <<= e2 ->
 test_false [ e1 ] \subseteq
 test_false \llbracket e2 \rrbracket \cup (\llbracket e2 \rrbracket.(err) \times state).
(* 证明详见Coq源代码。 *)
Lemma test_true_congr: forall (e1 e2: expr),
  e1 ~=~ e2 ->
  test_true [ e1 ] == test_true [ e2 ].
(* 证明详见Coq源代码。 *)
Lemma test_false_congr: forall (e1 e2: expr),
 e1 ~=~ e2 ->
 test_false [ e1 ] == test_false [ e2 ].
(* 证明详见Coq源代码。 *)
```

基于此就可以证明 if 语句能保持语义等价关系与精化关系。

```
Instance CIf_congr:
Proper (eequiv ==> cequiv ==> cequiv) CIf.
(* 证明详见Coq源代码。 *)

Instance CIf_mono:
Proper (erefine ==> crefine ==> crefine) CIf.
(* 证明详见Coq源代码。 *)
```

要证明 while 语句保持语义等价关系,就需要运用集合并集的有关性质,还需要对迭代的次数进行归

```
Instance CWhile_congr:
   Proper (eequiv ==> cequiv ==> cequiv) CWhile.
Proof.
   unfold Proper, respectful.
   intros e1 e2 ? c1 c2 ?.
   split; simpl.
```

正常运行终止的情况。

```
+ apply Sets_indexed_union_congr; intros n.
  induction n; simpl.
- reflexivity.
- rewrite IHn.
  rewrite (test_true_congr _ _ H).
  rewrite (test_false_congr _ _ H).
  rewrite H0.(nrm_cequiv).
  reflexivity.
```

运行出错的情况。

```
+ apply Sets_indexed_union_congr; intros n.
induction n; simpl.
- reflexivity.
- rewrite IHn.
    rewrite (test_true_congr _ _ H).
    rewrite H.(err_eequiv).
    rewrite H0.(nrm_cequiv).
    rewrite H0.(err_cequiv).
```

运行不终止的情况。

```
+ apply Sets_general_union_congr; sets_unfold.
  intros X.
  unfold is_inf.
  rewrite HO.(nrm_cequiv).
  rewrite HO.(inf_cequiv).
  rewrite (test_true_congr _ _ H).
  reflexivity.
Qed.
```

要证明 while 语句保持精化关系就更复杂一些了。

```
Instance CWhile_mono:
    Proper (erefine ==> crefine) CWhile.
(* 证明详见Coq源代码。 *)
```

下面是一个证明中使用了语义等价的重要性质:语义等价是等价关系、语法连接词保持等价性。

```
Example cequiv_sample: forall (e: expr) (c1 c2 c3 c4: com),
  [[ if (e) then { c1 } else { c2 }; c3; c4 ]] ~=~
  [[ if (e) then { c1; c3 } else { c2; c3 }; c4 ]].
Proof.
  intros.
  rewrite CSeq_assoc.
  rewrite CIf_CSeq.
  reflexivity.
Qed.
```