## Process Management III

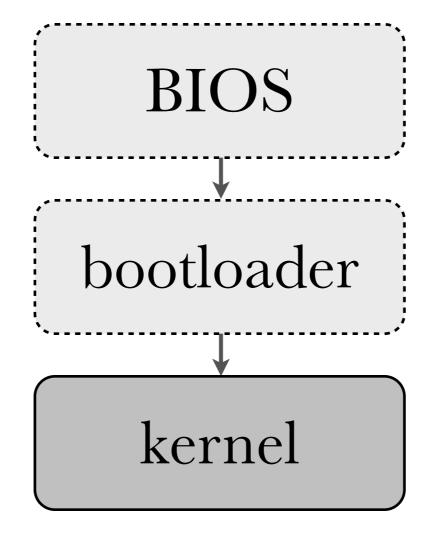


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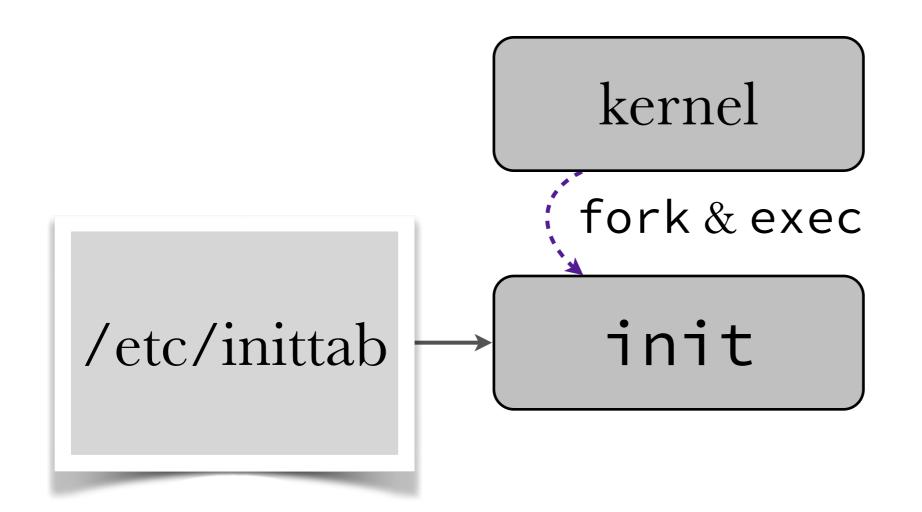
### §The Unix Family Tree



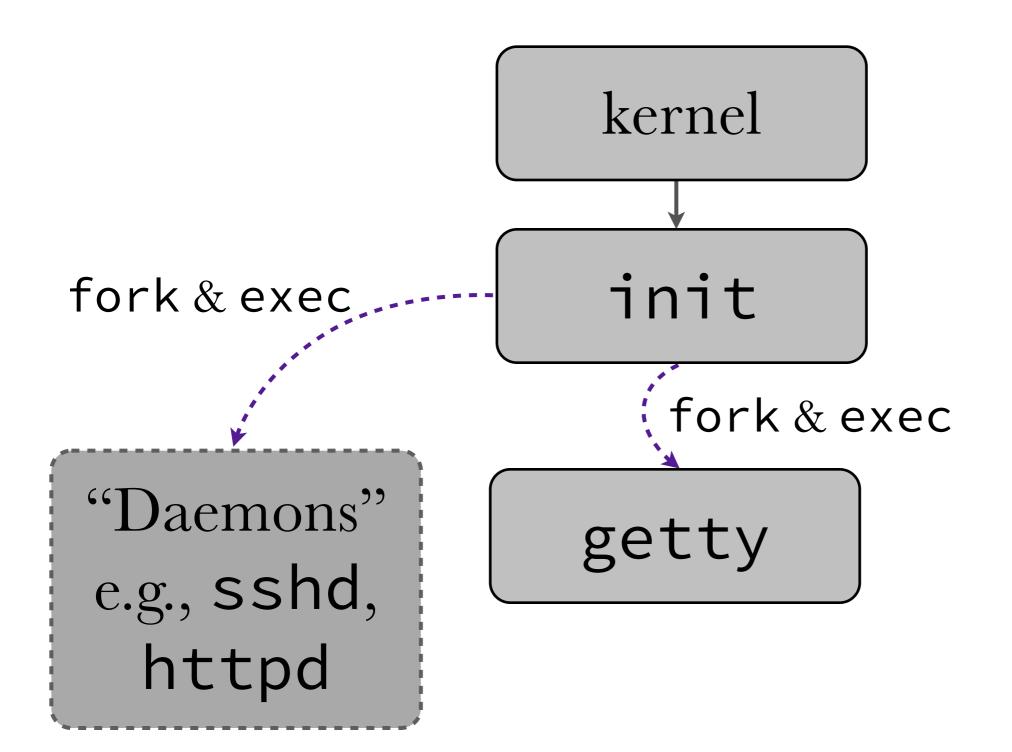


"handcrafted" process

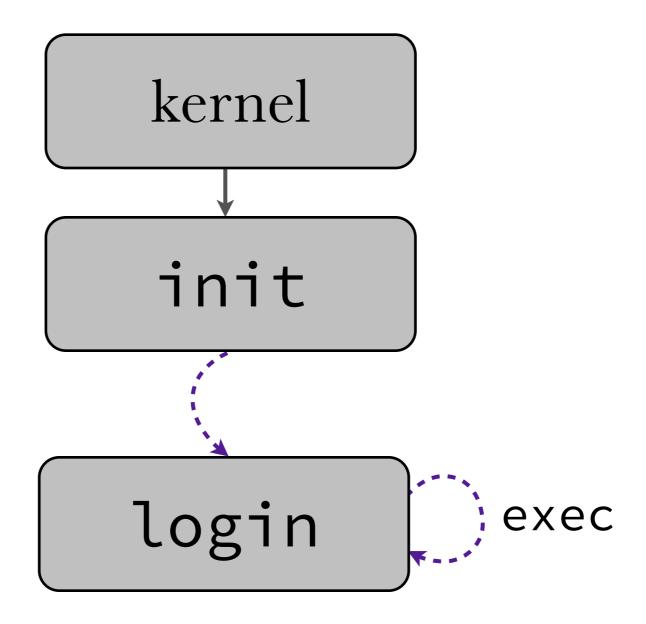


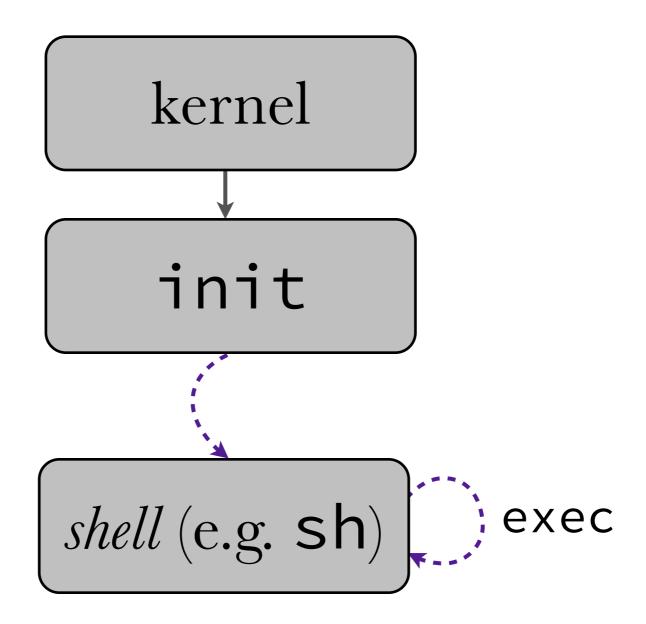


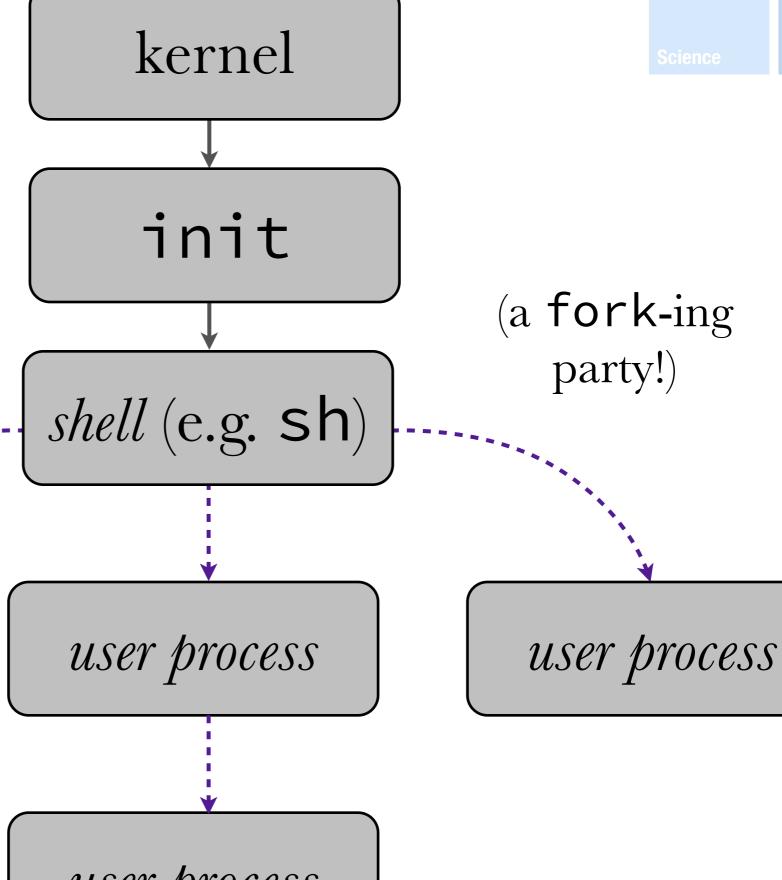












user process





(or, for the GUI-inclined)

init

display manager (e.g., xdm)

X Server (e.g., XFree86)

window manager (e.g. twm)





terminal emulator (e.g. xterm)

shell (e.g. sh)

user process

user process

user process

user process



# §The Shell (aka the CLI)



#### the original operating system user interface



essential function: let the user issue requests to the operating system

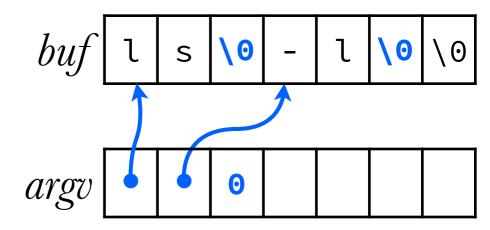
e.g., fork/exec a program,
manage processes (list/stop/term),
browse/manipulate the file system



(a read-eval-print-loop REPL for the OS)



```
pid_t pid;
char buf[80], *argv[10];
while (1) {
    /* print prompt */
    printf("$ ");
    /* read command and build argv */
    fgets(buf, 80, stdin);
    for (i=0, argv[0] = strtok(buf, " \n");
         argv[i];
         argv[++i] = strtok(NULL, " \n"));
    /* fork and run command in child */
    if ((pid = fork()) == 0)
        if (execvp(argv[0], argv) < 0) {</pre>
            printf("Command not found\n");
            exit(0);
    /* wait for completion in parent */
    waitpid(pid, NULL, 0);
```





#### Demo:

examples/processes/simple\_shell1.c



... but we are *far* from done :-)



all shells provide *task management* features i.e., to run, track and manage *multiple* processes at a time



# distinguish between *foreground* (fg) and *background* (bg) processes

- fg process "blocks" additional commands from being run
- can have multiple bg processes at once



#### some shell conventions:

- start bg process: prog\_name &
- fg/bg: move a process into fg/bg

#### Demo:

/bin/zsh



```
fgets(buf, 80, stdin);
/* check if bg job requested */
if (buf[strlen(buf)-2] == '&') {
    bg = 1;
    buf[strlen(buf)-2] = 0;
} else
    bg = 0;
for (i=0, argv[0] = strtok(buf, " \n");
     argv[i];
     argv[++i] = strtok(NULL, " \n"));
/* fork and run command in child */
if ((pid = fork()) == 0)
    if (execvp(argv[0], argv) < 0) {</pre>
        printf("Command not found\n");
        exit(0);
    }
/* wait for completion only if bg */
if (!bg) {
    waitpid(pid, NULL, 0);
```



#### Demo:

examples/processes/simple\_shell2.c



#### background zombies!!!





```
/* background zombie reaping? */
if (!bg) {
    /* wait for fg job completion */
    waitpid(pid, NULL, 0);
}

/* ... and reap all bg zombies at once */
while (waitpid(-1, NULL, WNOHANG) > 0);
```



(this is a hack.)

- inefficient & ugly
- no guarantee when reaping will occur



what we really want is a way to be *notified* when a child turns into a zombie

... so that we can run our reaping code



"notification" -> exceptional control flow



## §Signals



# signals are messages delivered by the kernel to user processes

- in response to OS events (e.g., segfault)
- or at the request of other processes



#### how "delivered"?

- by executing a *handler function* in the receiving process



#### aspects of signal processing:

- 1. sending a signal to a process
- 2. registering a handler for a given signal
- 3. delivering a signal (kernel mechanism)
- 4. designing a signal handler



1. sending a signal to a process

int kill(pid\_t pid, int sig);



No	Name	Default Action	Description
1 2 3 6 9 10 11 12 13 14 17 18 19 20	SIGHUP SIGINT SIGQUIT SIGABRT SIGKILL SIGBUS SIGSEGV SIGSYS SIGPIPE SIGALRM SIGSTOP SIGTSTP SIGCONT SIGCHLD	terminate process terminate process create core image create core image terminate process create core image create core image create core image create core image terminate process terminate process stop process stop process discard signal discard signal	terminal line hangup interrupt program quit program abort program (formerly SIGIOT) kill program bus error segmentation violation non-existent system call invoked write on a pipe with no reader real-time timer expired stop (cannot be caught or ignored) stop signal generated from keyboard continue after stop child status has changed
30 31	SIGUSR1 SIGUSR2	terminate process terminate process	User defined signal 1 User defined signal 2



```
int main () {
    int stat;
    pid_t pid;
    if ((pid = fork()) == 0)
        while(1) ;
    else {
        kill(pid, SIGINT);
        wait(&stat);
        if (WIFSIGNALED(stat))
            psignal(WTERMSIG(stat),
                     "Child term due to");
}
```

Child term due to: Interrupt



sometimes it's convenient to be able to send a signal to *multiple* processes at once



### mechanism: process groups

- each process belongs to a process group, identified by group id (PGID)
  - PGIDs are positive integers, and in a separate namespace from PIDs
  - processes inherit their parents' PGIDs



```
/* set pid's group to given pgid */
int setpgid(pid_t pid, pid_t pgid);
```

- if pid=0, alter the calling process
- if pgid=0, set the process's PGID equal to its PID



int kill(pid\_t pid, int sig);

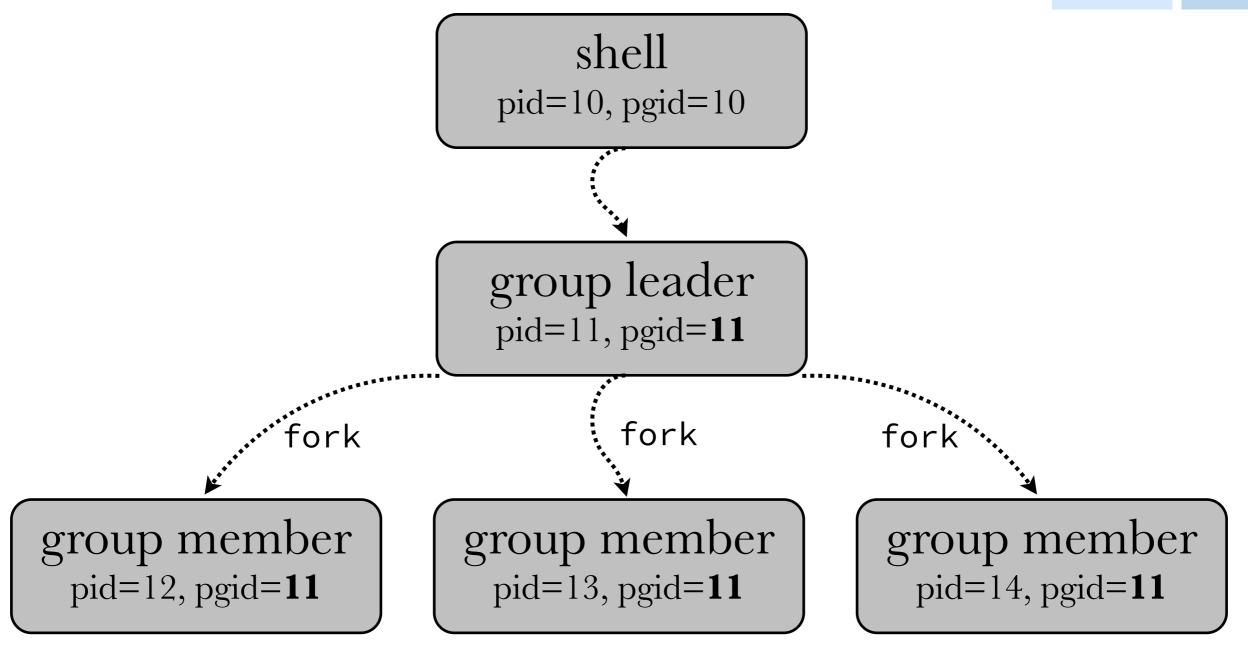
- if kill is given a *negative* pid, signal is sent to *all processes* with PGID=*abs*(pid)



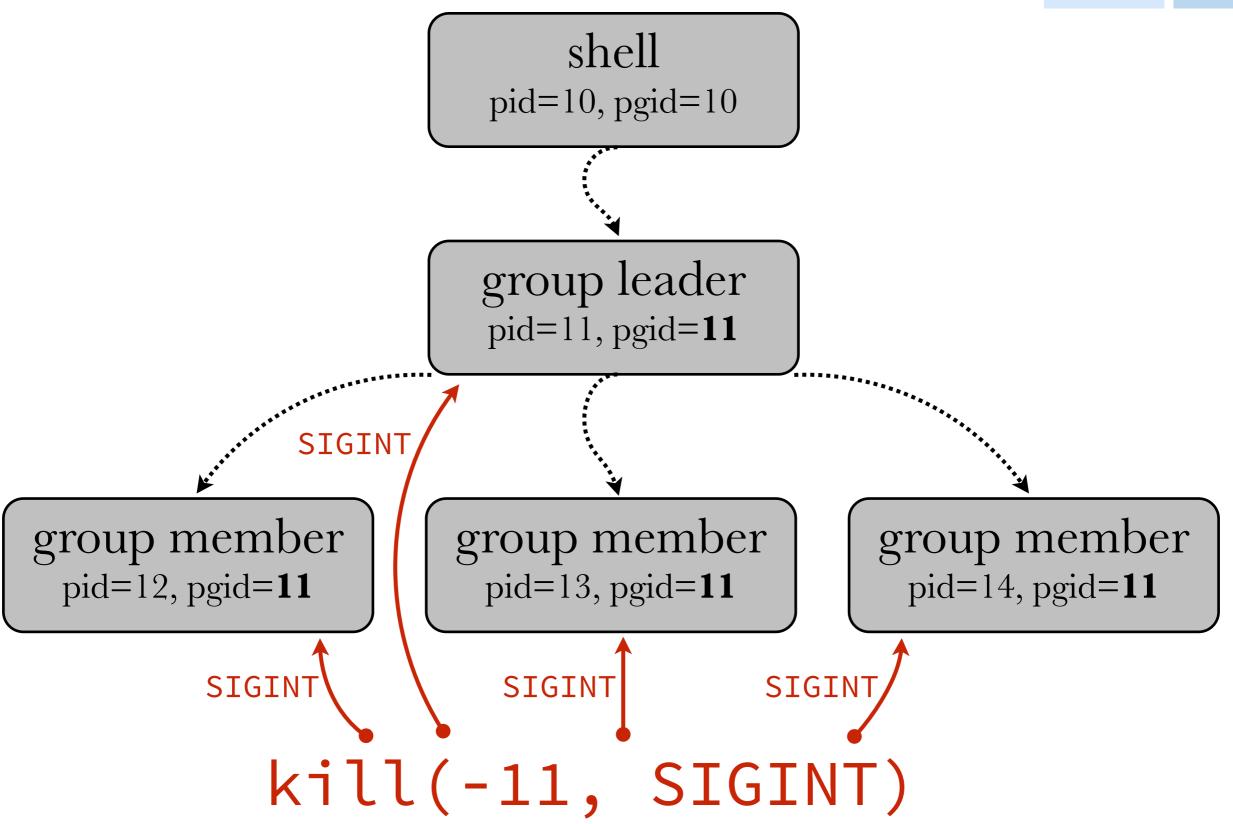
shell
pid=10, pgid=10

fork

child process
pid=11, pgid=10









2. registering a handler for a given signal

```
typedef void (*sig_t) (int);
sig_t signal(int sig, sig_t func);
```



```
sig_t signal(int sig, sig_t func);
```

- func is typically a pointer to a signal handler function "callback" API
- some signals *cannot* be caught! (e.g., SIGKILL)



- func can also take special values:
  - SIG\_IGN: ignore signal
  - SIG\_DFL: use default action

```
int main () {
    signal(SIGINT, SIG_IGN);

    kill(getpid(), SIGINT);

    while(1) {
        sleep(1);
        printf("And I still live!!!\n");
    }
    return 0;
}
```

```
And I still live!!!
And I still live!!!
^CAnd I still live!!!
And I still live!!!
^CAnd I still live!!!
^C^C^CAnd I still live!!!
```

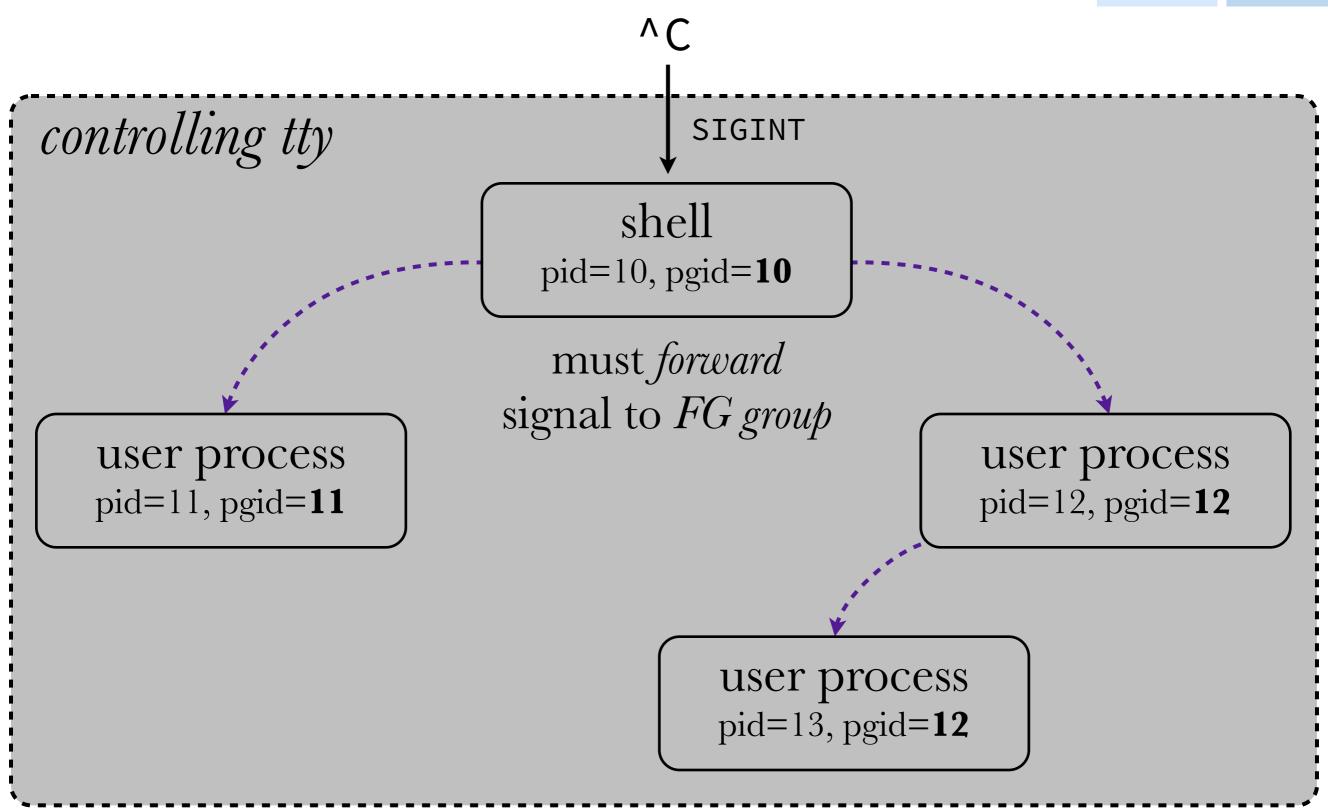


Q: how does  $^C \rightarrow SIGINT$ ?

A: the terminal emulator (tty device) maps keystrokes to signals, which are sent to the *session leader's* process group

(typically, login shell)







- † child processes inherit their parent's signal handlers!
- ‡ but lose them when exec-ing a program



```
void sigint_handler (int sig) {
    printf("Signal %d received\n", sig);
    sleep(1);
}
int main () {
    signal(SIGINT, sigint_handler);
    while (1) {
        pause(); /* pauses until signal */
        printf("Back in main\n");
    }
}
```



#### Demo:

examples/processes/sighandler1.c



3. delivering a signal (kernel mechanism)



### per-process kernel structures: 2 bit vectors

- "pending" 1 bit per pending signal
- "blocked" 1 bit per blocked signal



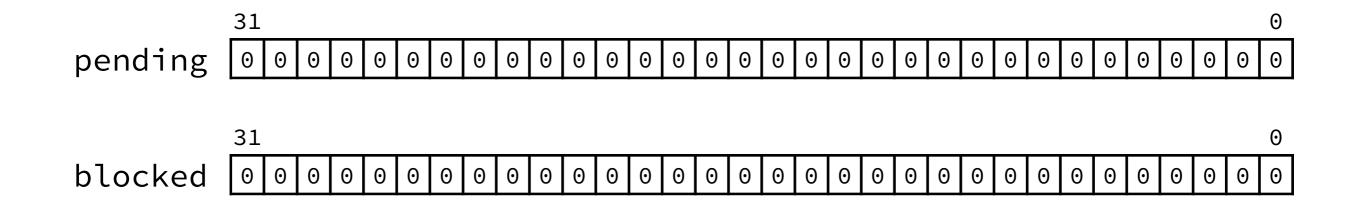
## adjusting blocked signals (signal mask):

(SIGKILL & SIGTSTP can't be blocked!)

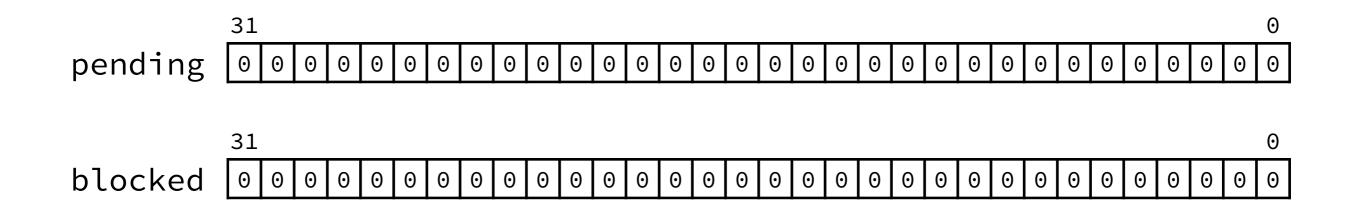


note: a newly forked child will inherit its parent's blocked vector, but its pending vector will start out empty!



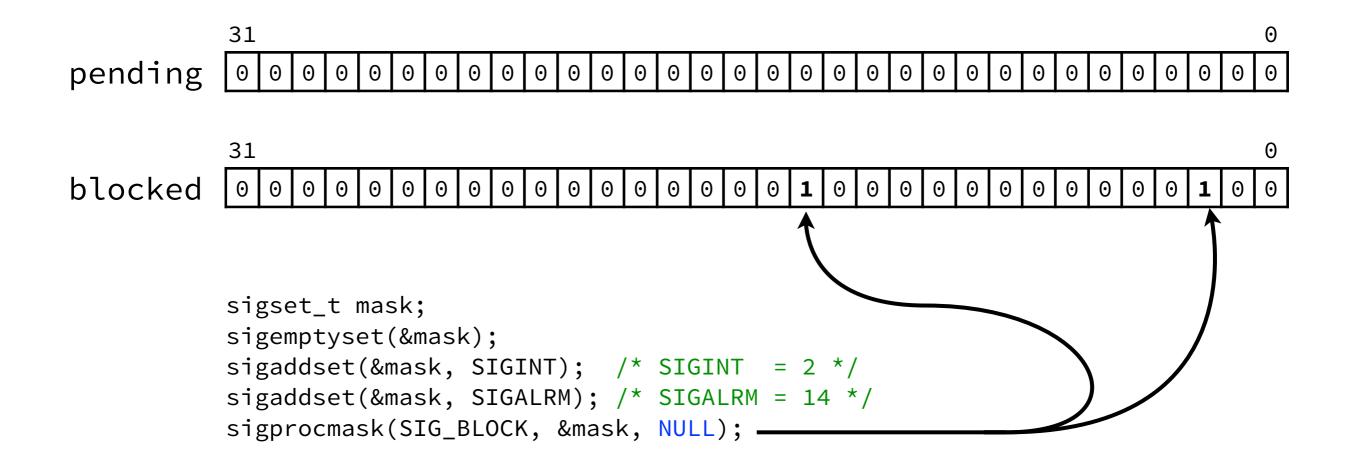






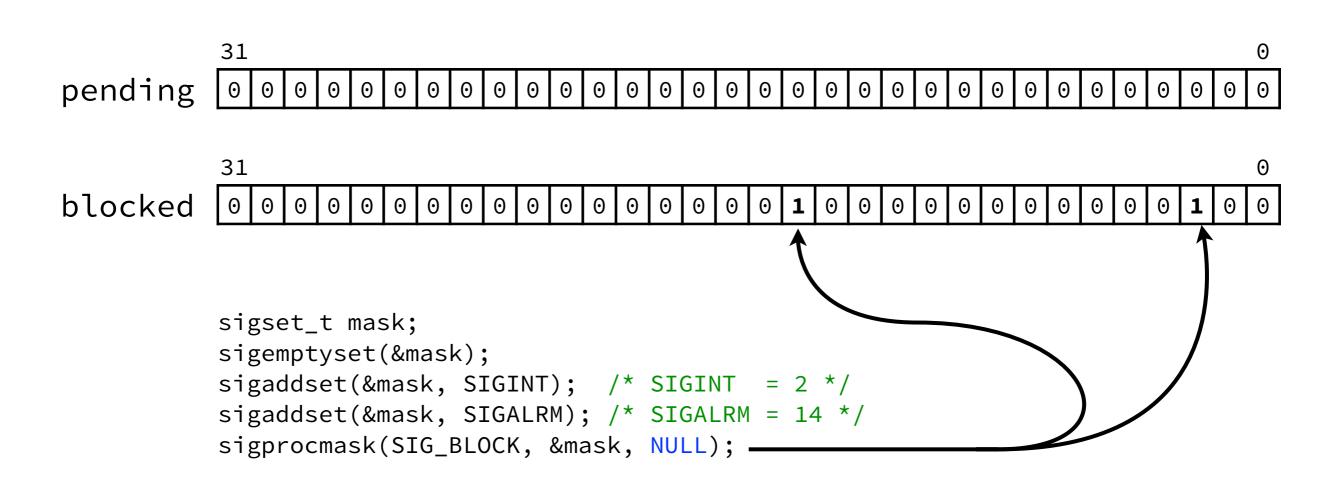
```
sigset_t mask;
sigemptyset(&mask);
sigaddset(&mask, SIGINT); /* SIGINT = 2 */
sigaddset(&mask, SIGALRM); /* SIGALRM = 14 */
sigprocmask(SIG_BLOCK, &mask, NULL);
```



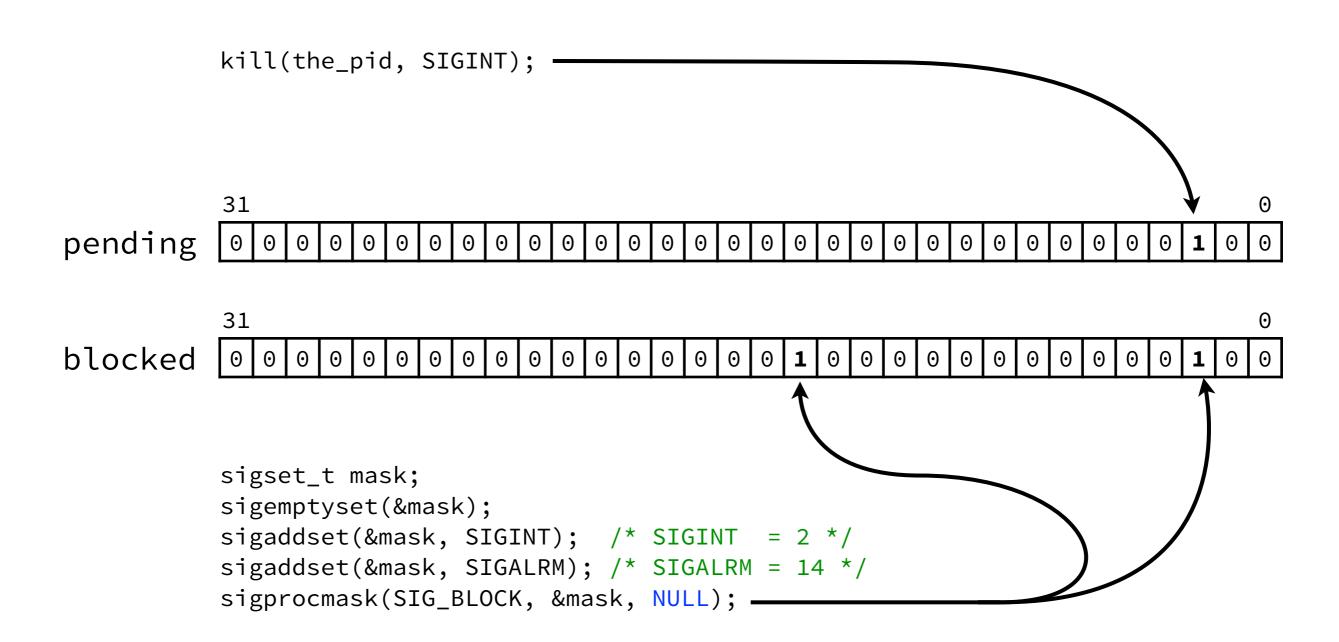




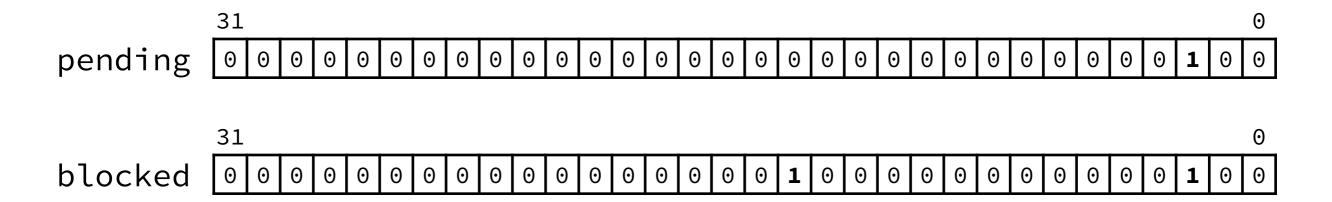
```
kill(the_pid, SIGINT);
```



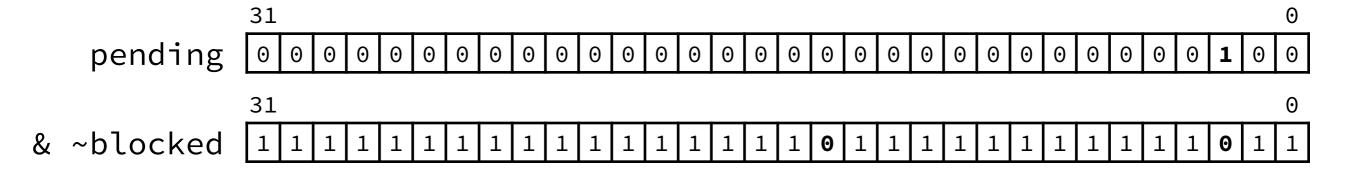


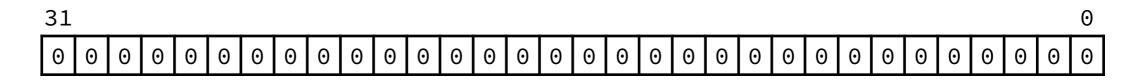




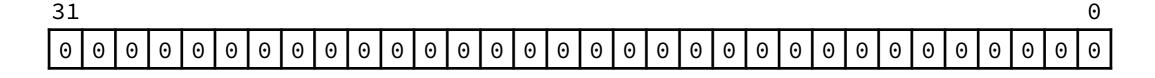


# before resuming this process, kernel computes pending & ~blocked





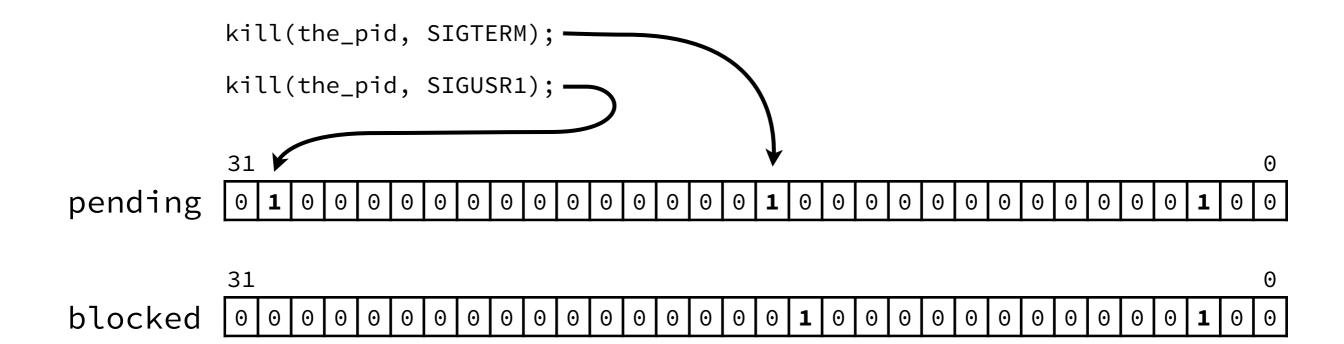




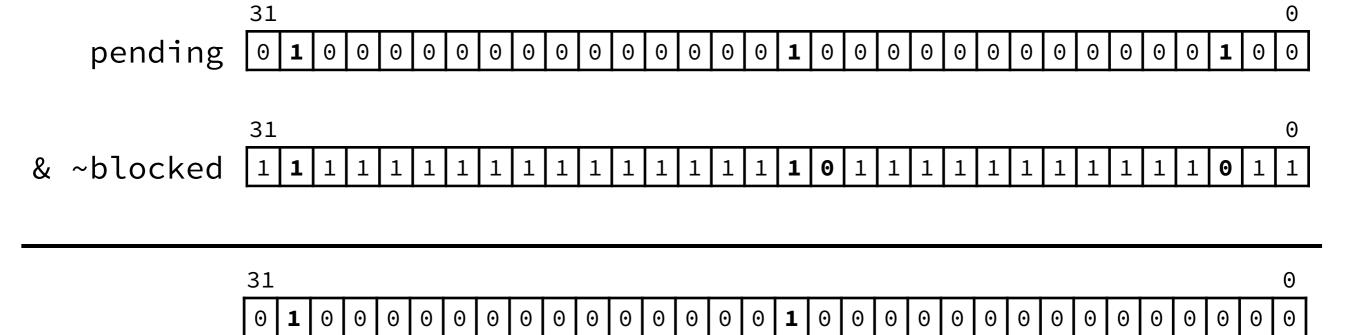
(pending & ~blocked) ⇒ 0

i.e., no signals to deliver — resume regular control flow

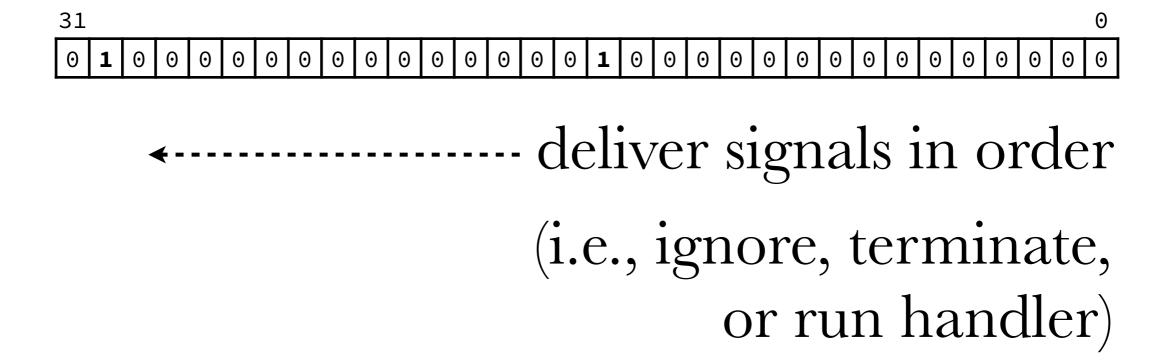




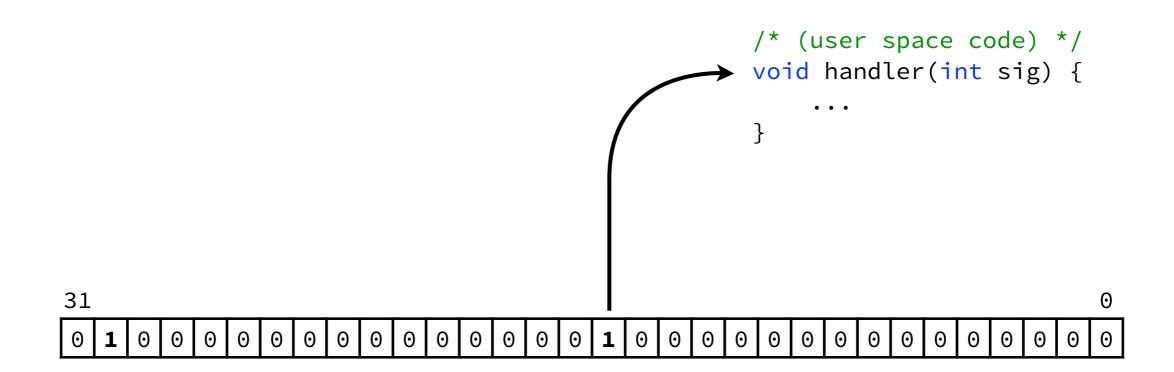




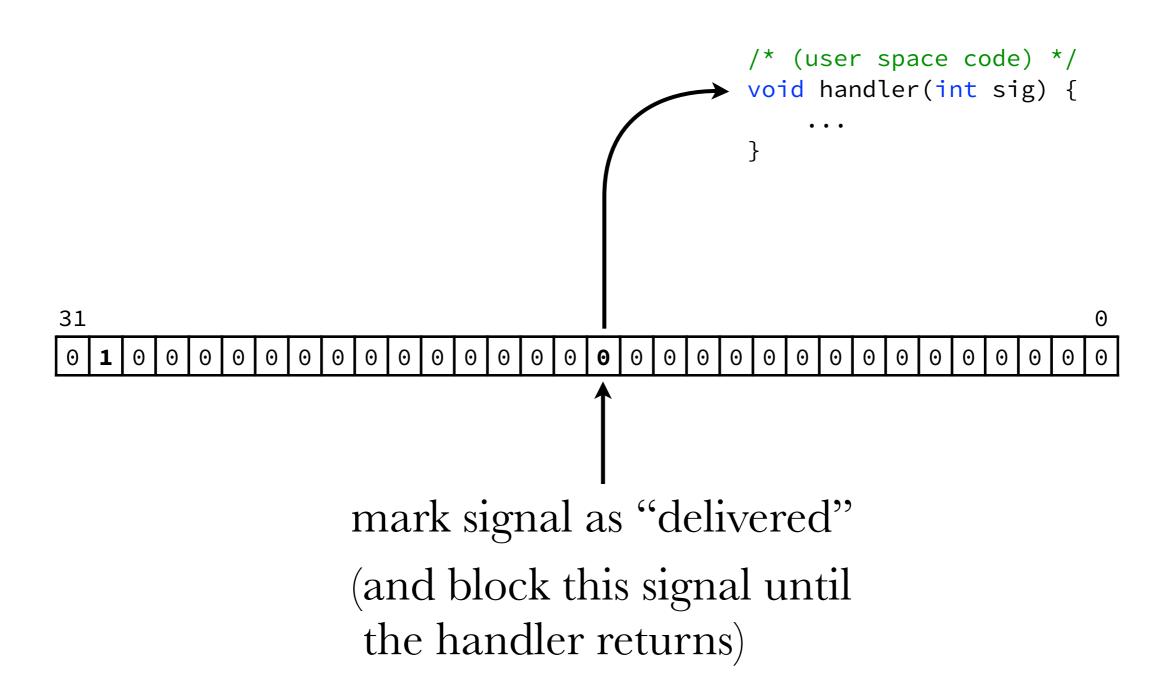




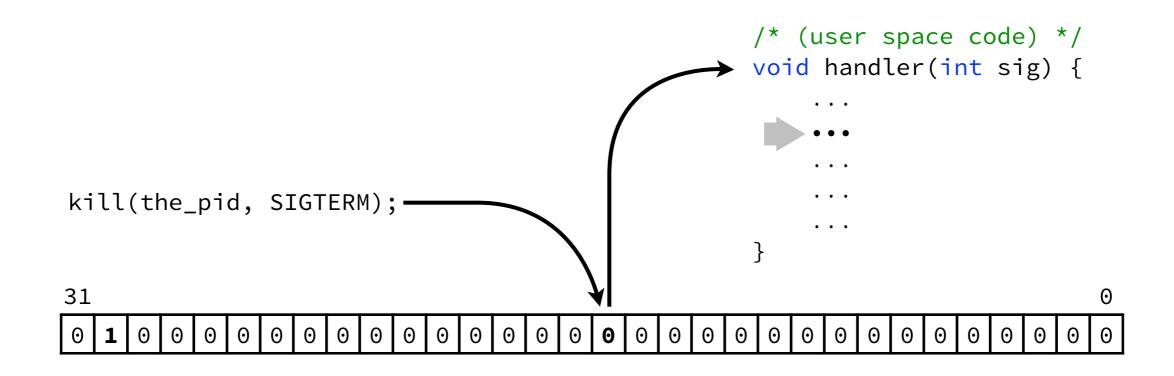




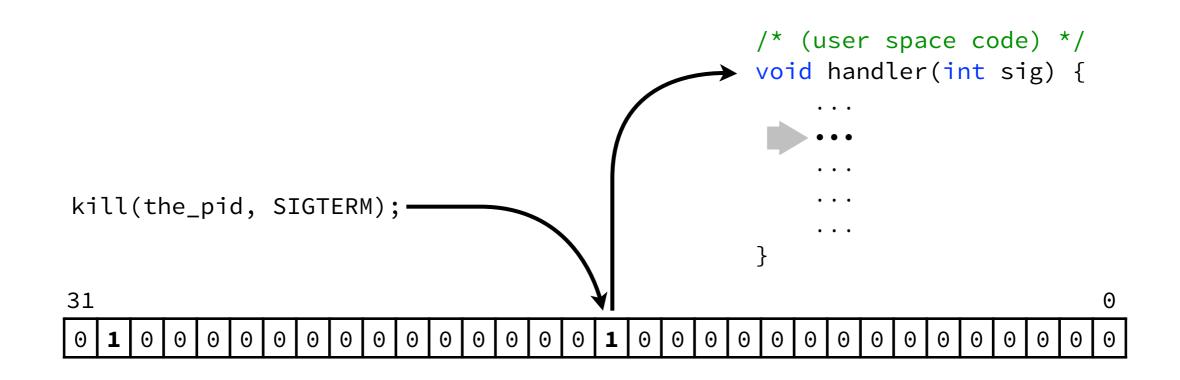








Q: what happens if a signal is received as its handler is running?



A: mark it as pending, but don't run the handler again! (signal currently blocked)

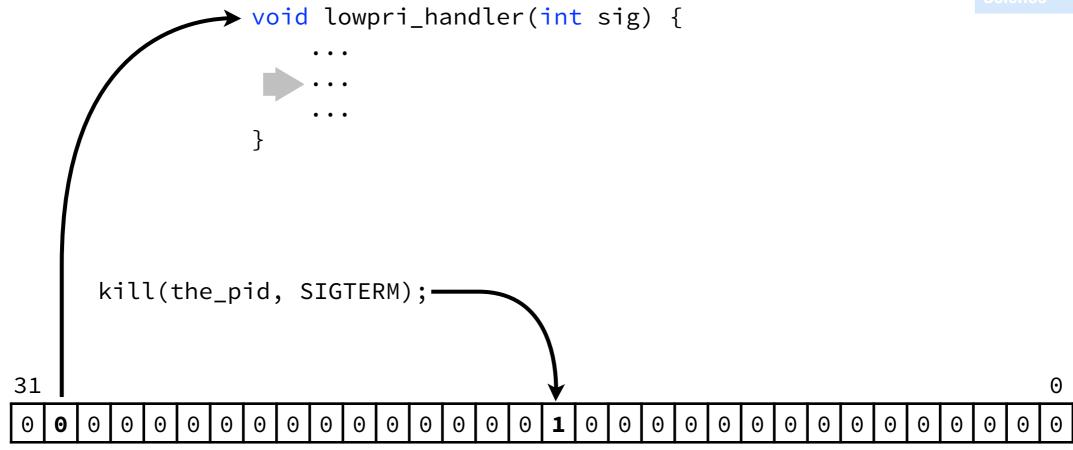


Q: what happens if a signal is sent many times before its handler is run?

```
/* (user space code) */
kill(the_pid, SIGTERM);
                                                void handler(int sig) {
kill(the_pid, SIGTERM); =
                                                }
kill(the_pid, SIGTERM);
31
                0 0
                     0
                       0
                          0
                            0 0
                                0
                                                    0
                                                      0 0
                                                           0
                                                 0
```

Q: what can we do?

A: nothing. (we can't queue signals!)



Q: what happens if a signal is received as a handler for a lower priority one is already running?



```
void lowpri_handler(int sig) {
                                                  void highpri_handler(int sig) {
31
                        0
                           0
                             0 0
                                  0
                                    0
                                                   0
                                                     0
                                                        0
                                                          0
                                         0
                      0
                                       0
                                            0
                                              0
                                                0
                                                             0
```

A: we *preempt* the lower priority handler (and resume it — if possible — later)

## 4. designing a signal handler



Q: what can go wrong?



```
struct foo { int x, y, z; } f;
int main () {
    int i = 1;
    f = (struct foo){ 0, 0, 0 };
    signal(SIGALRM, tick);
    alarm(1); /* send SIGALRM in 1s */
    while(1) {
        f = (struct foo){ i, i, i };
        i = (i + 1) \% 100;
}
void tick(int s) {
    printf("%d %d %d\n", f.x, f.y, f.z);
    alarm(1); /* send SIGALRM in 1s */
}
```

```
80 80 80
77 77 77
24 24 24
19 19 19
64 64 64
1 1 0
94 94 94
44 44 44
97 97 97
70 70 70
18 18 18
5 5 5
91 91 91
9 9 9
81 81 80
4 4 4
78 78 78
74 74 74
\odot \odot \odot
32 32 32
55 55 55
71 71 71
7 7 7
69 69 69
3 2 2
80 80 80
```



```
int main () {
    int i;
    signal(SIGUSR1, handler);
    signal(SIGUSR2, handler);
    for (i=0; i<10; i++) {
        if (fork() == 0) {
            while (1) {
                kill(getppid(), SIGUSR1);
                kill(getppid(), SIGUSR2);
    while(1) pause();
}
void handler(int s) {
    static int x = 10, y = 20;
    int tmp = x;
    x = y;
    y = tmp;
    printf("%d %d\n", x, y);
}
```

```
10 20
20 10
10 20
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10 10
10 10
10 10
10 10
10 10
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10 10
```

```
int x = 10, y = 20;
int main () {
    int i;
    signal(SIGUSR1, handler1);
    signal(SIGUSR2, handler2);
    for (i=0; i<10; i++) {
        if (fork() == 0)
            while (1) {
                kill(getppid(), SIGUSR1);
                kill(getppid(), SIGUSR2);
            }
    while(1) pause();
}
void handler1(int s) { swapglobs(); }
void handler2(int s) { swapglobs(); }
void swapglobs() {
    int tmp = x;
    x = y;
    y = tmp;
    printf("%d %d\n", x, y);
}
```

```
10 20
20 10
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20 20
```



## lesson 1: signals can be delivered at any time

- may interrupt any nonatomic operation
- problematic if using global variables!



design goal 1: minimize use of global variables in sighandlers

- if needed, ideally use data that can be read/written atomically (*most* primitives)



## lesson 2: a sighandler may execute in overlapping fashion (with itself)

- when used to handle multiple signals



design goal 2: prefer separate handlers for different signals

- otherwise, must design handlers to be reentrant — i.e., able to be called again (re-entered) when already executing lesson 3: execution of sighandlers for separate signals may overlap

- any functions they call may have overlapping execution



design goal 3: keep sighandlers simple; minimize calls to other functions

- any functions called by sighandlers should be reentrant!



Back to background job reaping ...



```
int main () {
  while (1) {
    fgets(buf, 100, stdin);
    if ((pid = fork()) == 0) {
      if (execvp(argv[0], argv) < 0) {</pre>
        printf("Command not found\n");
        exit(0);
    if (!bg) {
      waitpid(pid, NULL, 0);
  }
}
```



```
int main () {
  signal(SIGCHLD, sigchld_handler);
  while (1) {
    if ((pid = fork()) == 0) {
   if (!bg) {
     waitpid(pid, NULL, 0); ←··.
  }
                           reaps before
                          handler is called!
}
void sigchld_handler(int sig) {
  pid_t pid;
  printf("sigchld handler called\n");
 while ((pid = waitpid(-1, NULL, WNOHANG)) > 0) {
   /* Q: why a loop? */
    printf("Reaping in sigched handler\n");
  }
}
```

```
$ sleep 1 &
$ sigched handler called
Reaping in sigched handler
$ sleep 1
sigched handler called
$
```



```
pid_t fg_pid = -1;
int main () {
  signal(SIGCHLD, sigchld_handler);
 while (1) {
 1 if ((pid = fork()) == 0) {
   if (!bg) {
  fg_pid = pid;
     while (fg_pid != -1)
       sleep(1);
   }
  }
                    correct path
```

```
3 void sigchld_handler(int sig) {
    pid_t pid;
    printf("sigchld handler called\n");
    while ((pid = waitpid(-1, NULL, WNOHANG)) > 0) {
        printf("Reaping in sigchld handler\n");
        if (fg_pid == pid)
        4 fg_pid = -1;
     }
}
```

```
$ sleep 1 &
$ sigchld handler called
Reaping in sigchld handler
$ sleep 1
sigchld handler called
Reaping in sigchld handler
$
```



```
pid_t fg_pid = -1;
int main () {
 signal(SIGCHLD, sigchld_handler);
 while (1) {
 1 if ((pid = fork()) == 0) {
   if (!bg) {
  4 fg_pid = pid;
     while (fg_pid != -1)
       sleep(1);
  }
                 problem path
```

```
void sigchld_handler(int sig) {
   pid_t pid;
   printf("sigchld handler called\n");
   while ((pid = waitpid(-1, NULL, WNOHANG)) > 0) {
      printf("Reaping in sigchld handler\n");
      if (fg_pid == pid)
            fg_pid = -1;
      }
}
```

\$ echo hello
hello
sigchld handler called
Reaping in sigchld handler
(hangs)



insidious *race condition* caused by *concurrency* (can't predict when child will terminate / when signal will arrive)

need to ensure that certain sequences of events cannot be interrupted



direct approach: block signals



```
int main () {
     sigset_t mask;
     sigemptyset(&mask);
                                        (should also unblock
     sigaddset(&mask, SIGCHLD);
                                         signals in child)
     while (1) {
      sigprocmask(SIG_BLOCK, &mask, NULL);
    1 if ((pid = fork()) == 0) {
                                                       SIGCHLD is blocked!
      if (!bg) {
      2 fg_pid = pid;
        sigprocmask(SIG_UNBLOCK, &mask, NULL); ...
        while (fg_pid != -1)
          sleep(1);
                           ensures 1,2 cannot be interrupted by 3
3 void sigchld_handler(int sig) {
    while ((pid = waitpid(-1, NULL, WNOHANG)) > 0) {
      if (fg_pid == pid)
        fg_pid = -1;
     }
```

}



† can also block signals when forced to call non-reentrant functions from sighandlers

