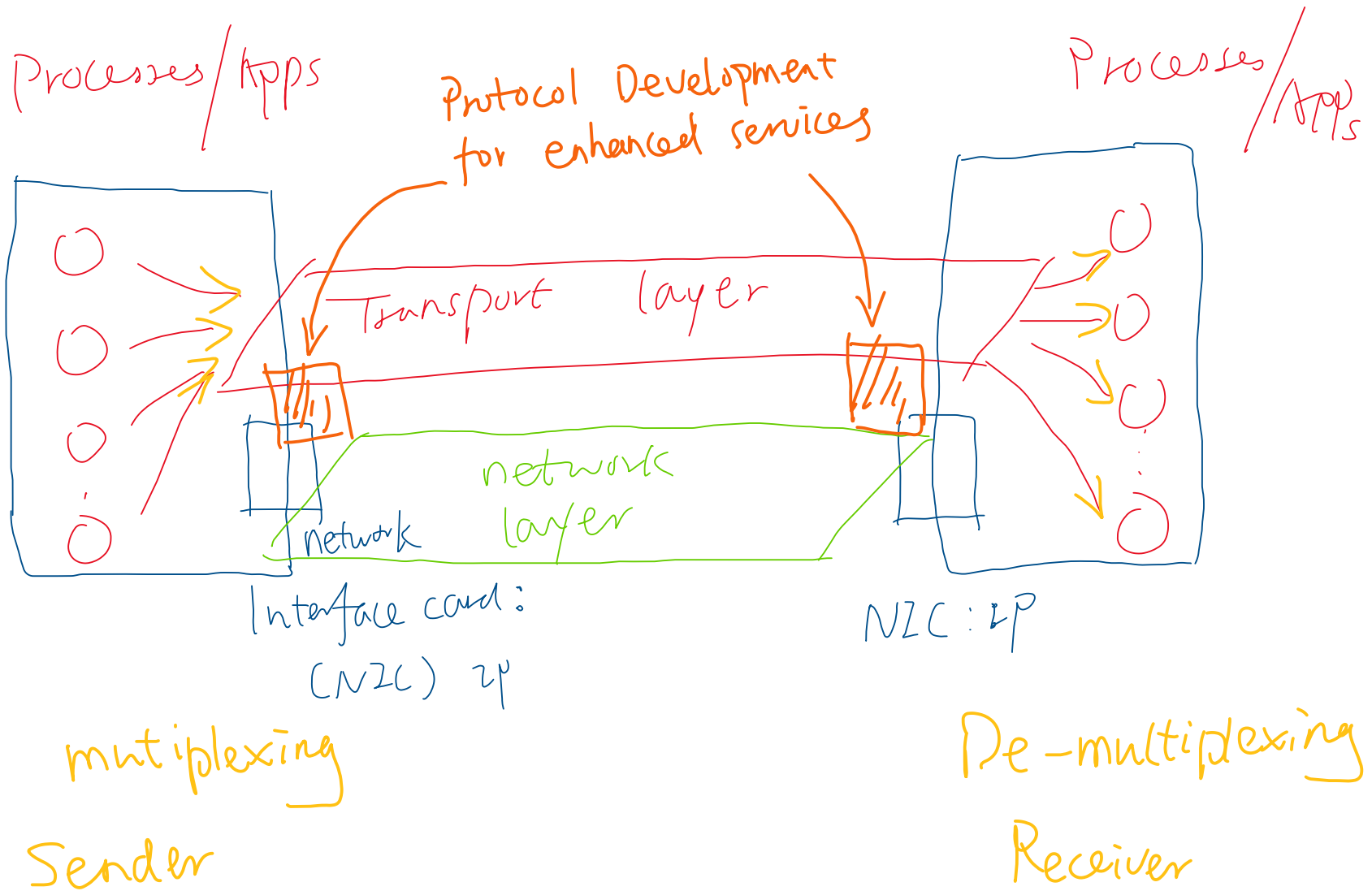


# Overview of last class

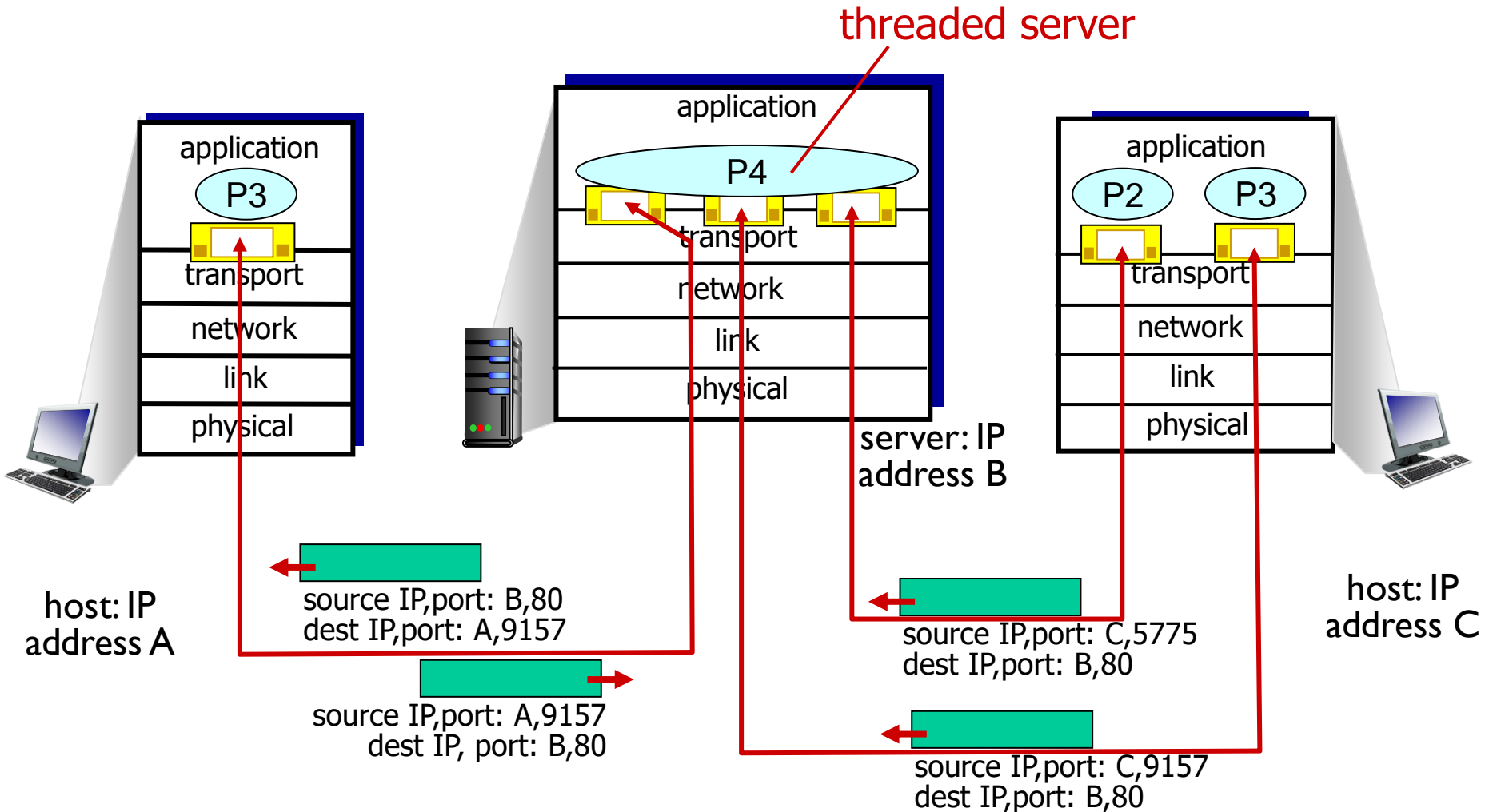
# Chapter 3: Transport Layer

## our goals:

- understand principles behind transport layer services:
  - multiplexing, demultiplexing
  - reliable data transfer
  - flow control
  - congestion control
- learn about Internet transport layer protocols:
  - UDP: connectionless transport
  - TCP: connection-oriented reliable transport
  - TCP congestion control

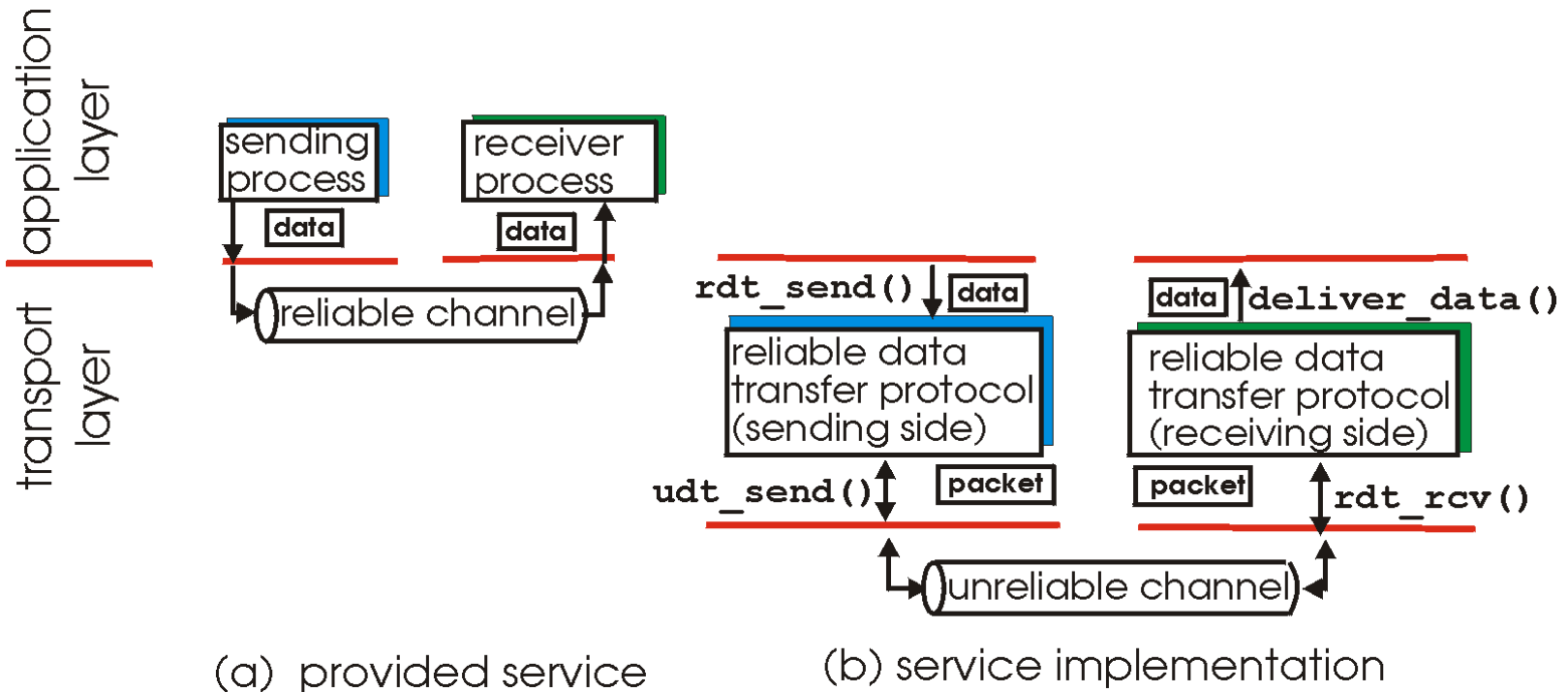


# Connection-oriented demux: example



# Principles of reliable data transfer

- important in application, transport, link layers
  - top-10 list of important networking topics!



- characteristics of unreliable channel will determine complexity of reliable data transfer protocol (rdt)

# mechanisms for reliable commun. protocol design

- o checksum

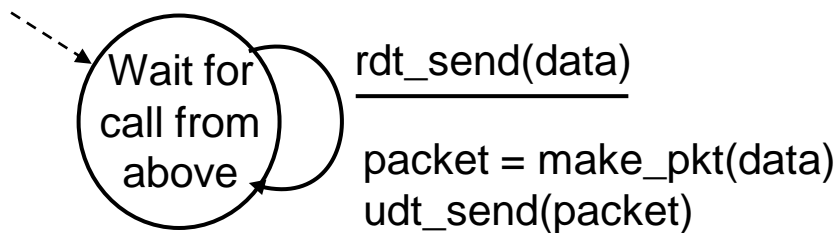
- o feedback: ACK/NACK

- o retransmission

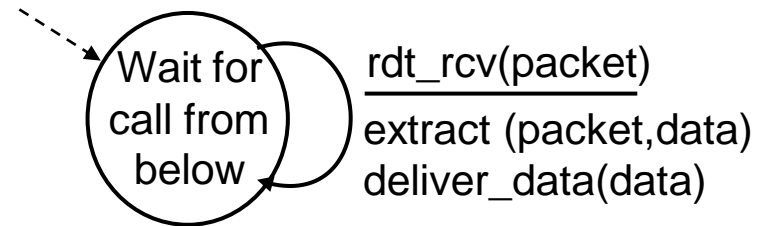
- o seq. number

# rdt1.0: reliable transfer over a reliable channel

- underlying channel perfectly reliable
  - no bit errors
  - no loss of packets
- separate FSMs for sender, receiver:
  - sender sends data into underlying channel
  - receiver reads data from underlying channel

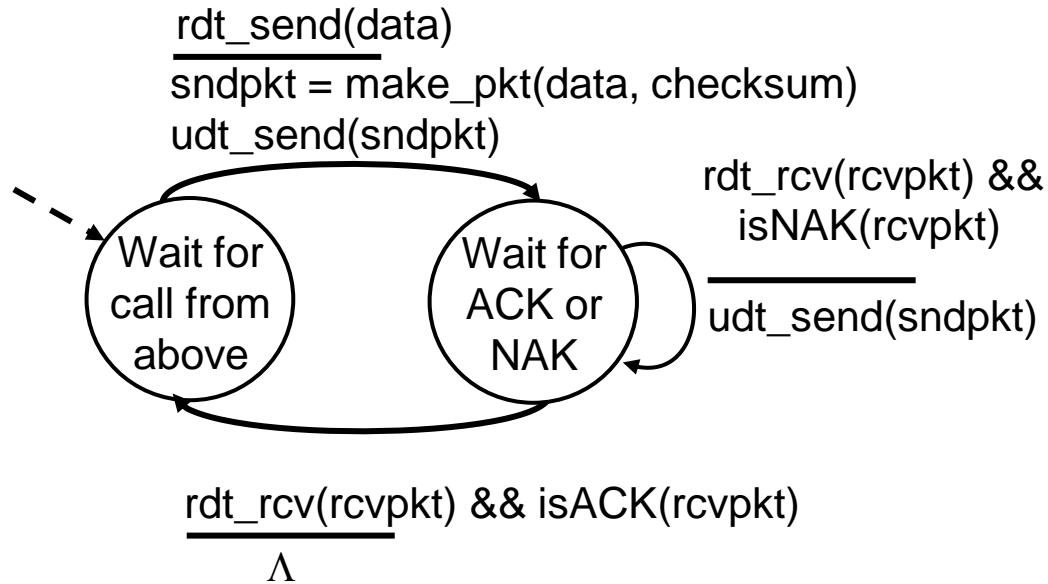


sender



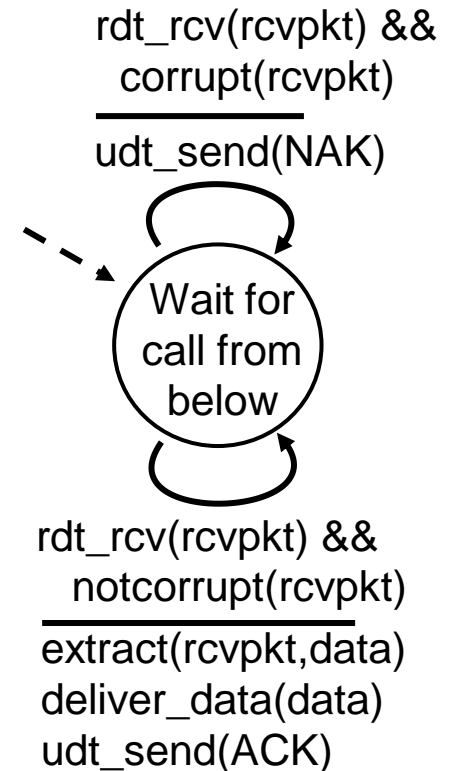
receiver

# rdt2.0: FSM specification



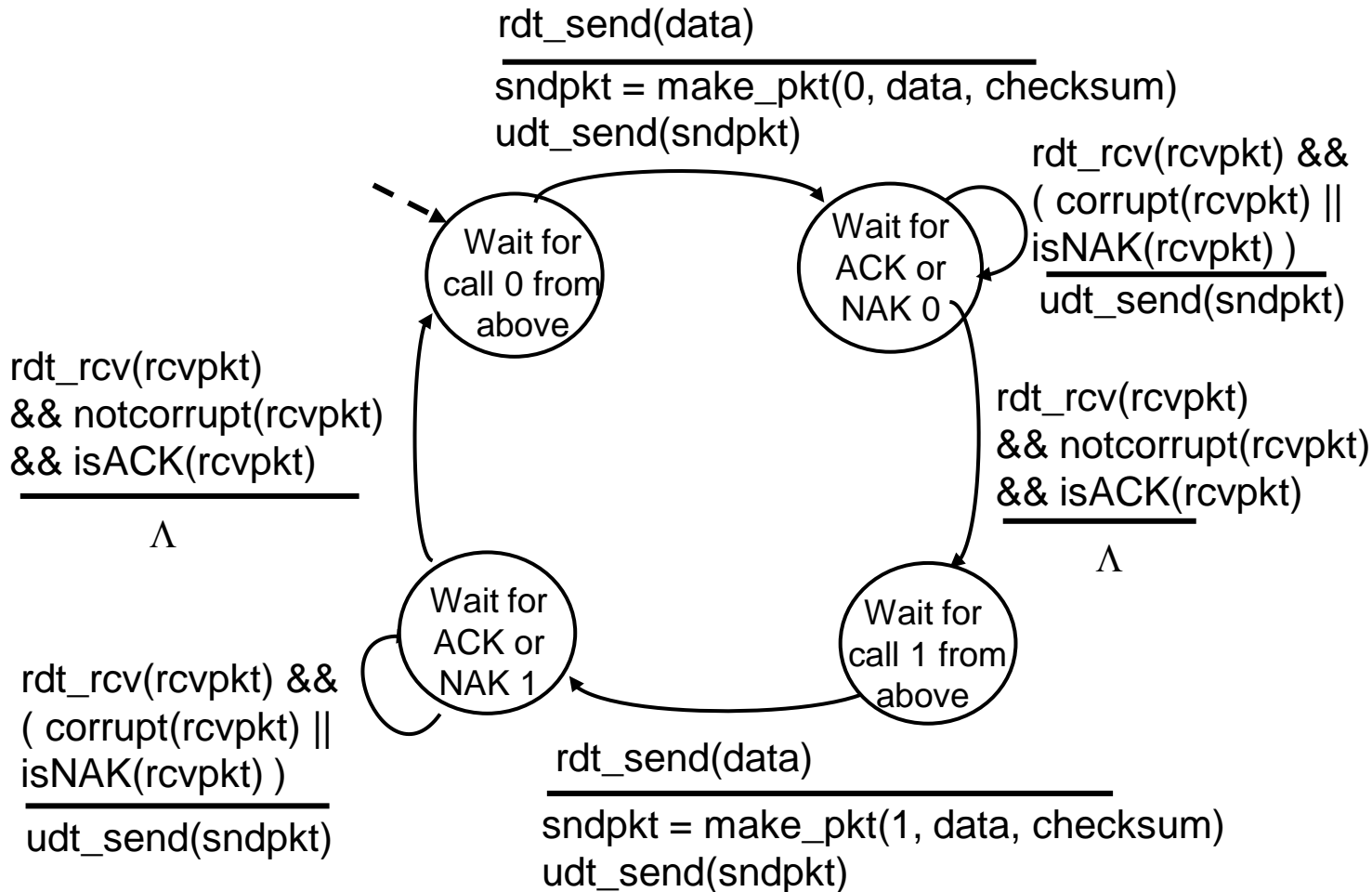
sender

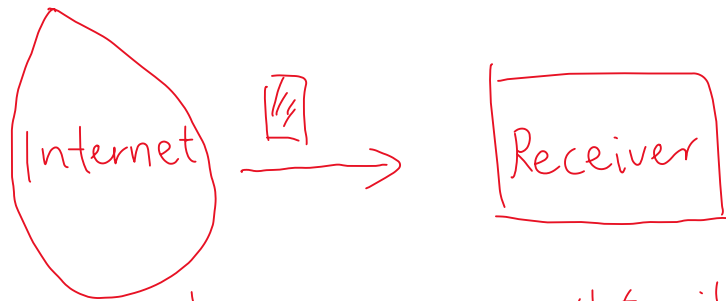
receiver



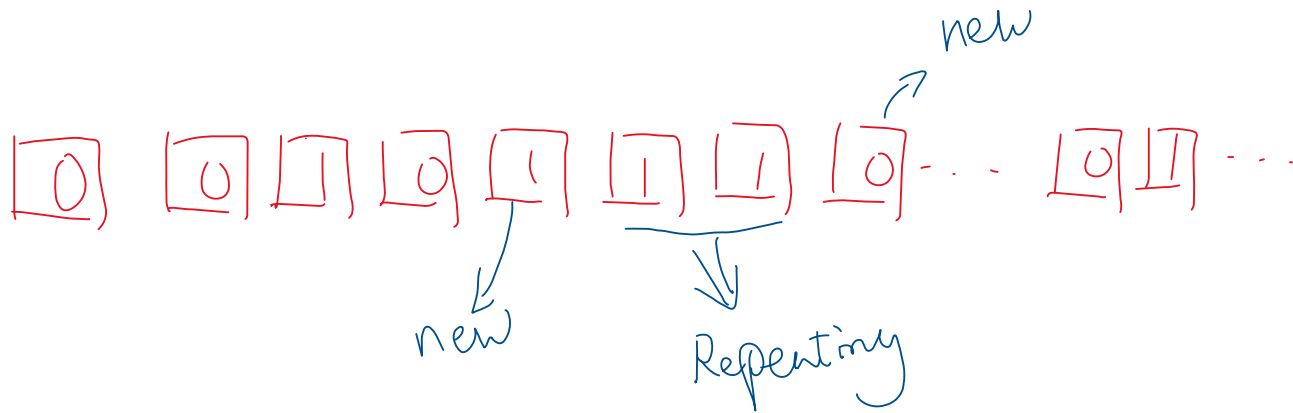


# rdt2.1: sender, handles garbled ACK/NAKs

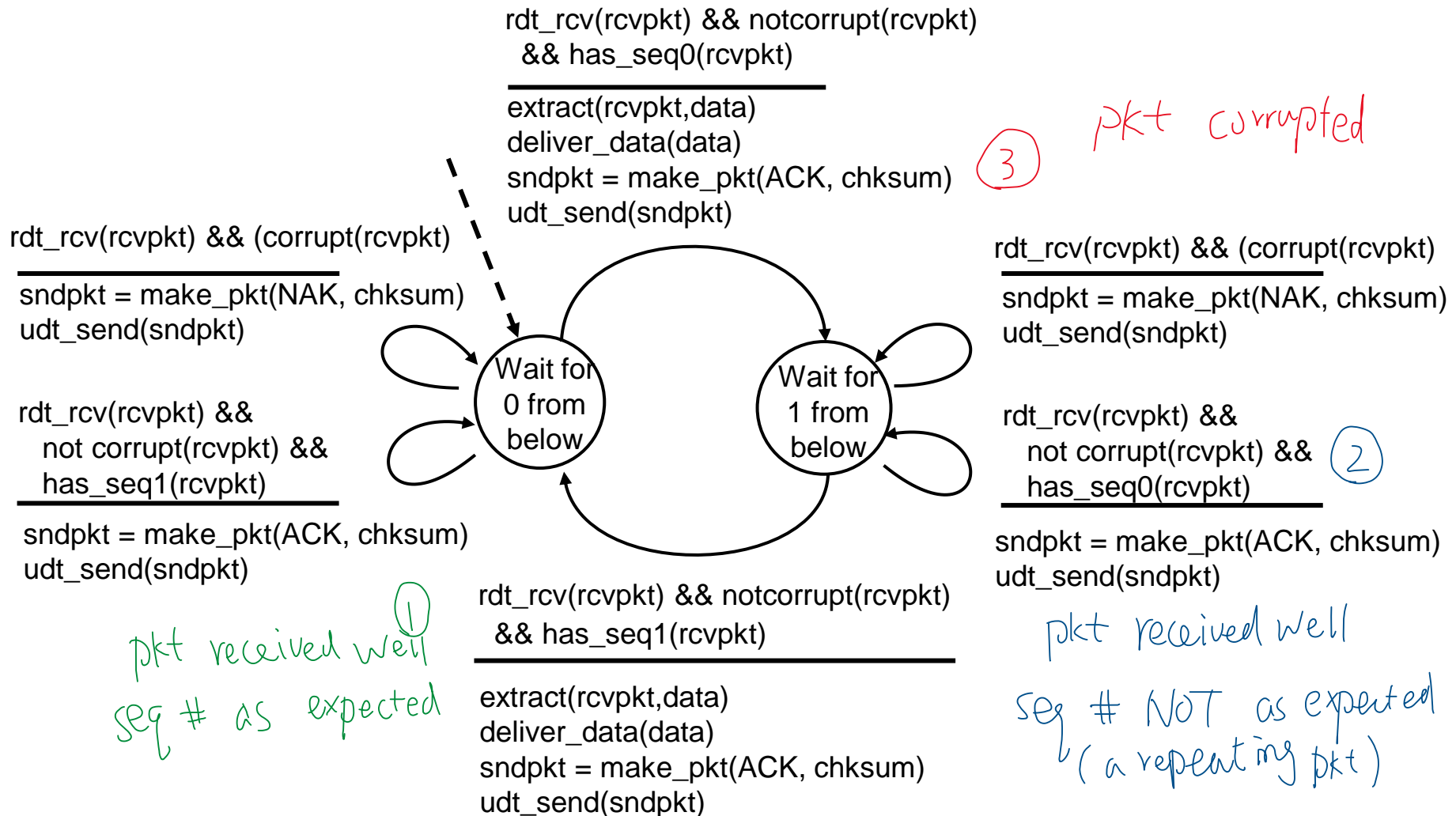




Case 1: a new pkt, if Ack was well received by sender  
Case 2: a repeating one, if Ack to sender was corrupted  
or NAK was returned



# rdt2.1: receiver, handles garbled ACK/NAKs



# Class Today

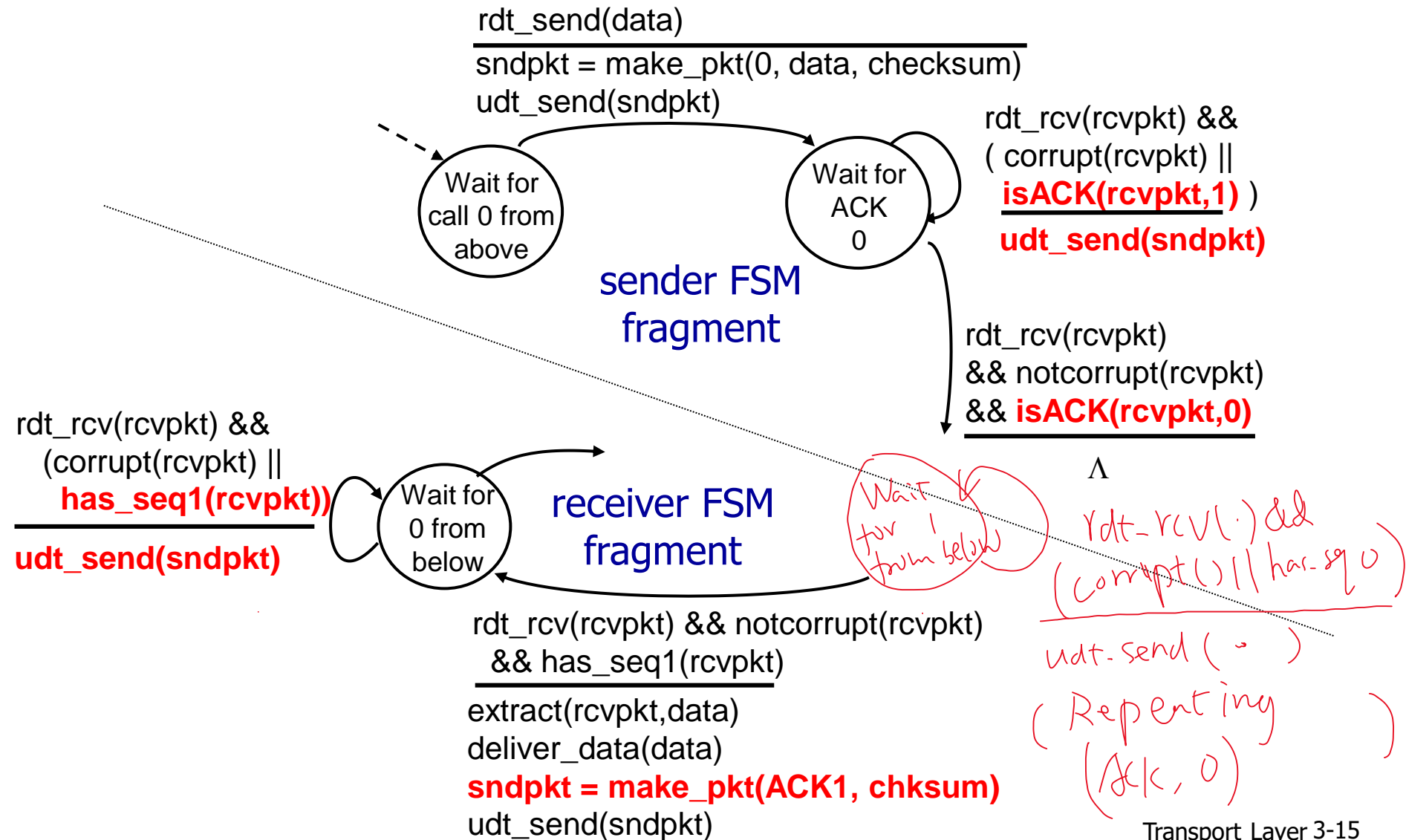
## rdt2.2: a NAK-free protocol

- same functionality as rdt2.1, using ACKs only
- instead of NAK, receiver sends ACK for last pkt received OK
  - receiver must *explicitly* include seq # of pkt being ACKed
- duplicate ACK at sender results in same action as NAK: *retransmit current pkt*

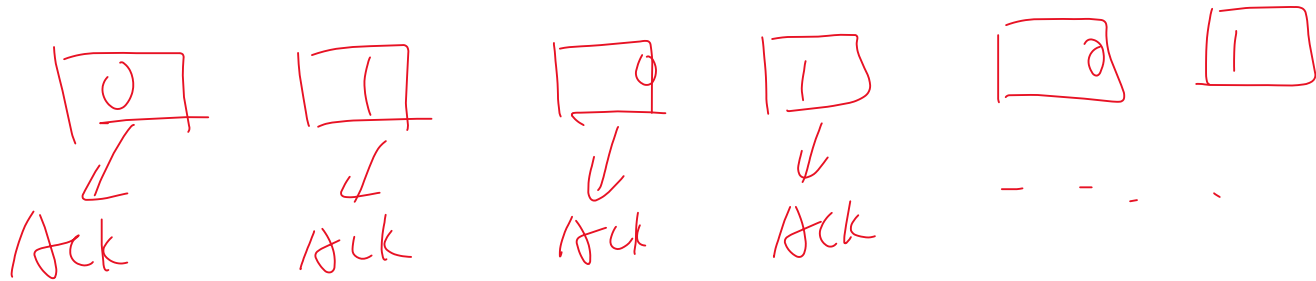
# Benefits of NAK-free protocol

- No need to define two types of message; support general scenario
- ACK/NAK handles stop-and-wait is fine, difficult for pipeline case
  - Multiple new packets in transmission
  - Which one to be acknowledged?

# rdt2.2: sender, receiver fragments



# Receiver



Ack/  
~~Nak~~

X  
N/Ak

N/Ak-Free

Ack, 0

Ack, 0

Ack, 1  
X  
Ack, 0  
Ack 1

Ack 0

Ack 1



# rdt3.0: channels with errors *and* loss

## new assumption:

underlying channel can also lose packets (data, ACKs)

- checksum, seq. #, ACKs, retransmissions will be of help ... but not enough

approach: sender waits “reasonable” amount of time for ACK

- retransmits if no ACK received in this time
- if pkt (or ACK) just delayed (not lost):
  - retransmission will be duplicate, but seq. #'s already handles this
  - receiver must specify seq # of pkt being ACKed
- requires countdown timer

# mechanisms for reliable commun. protocol design

- o checksum

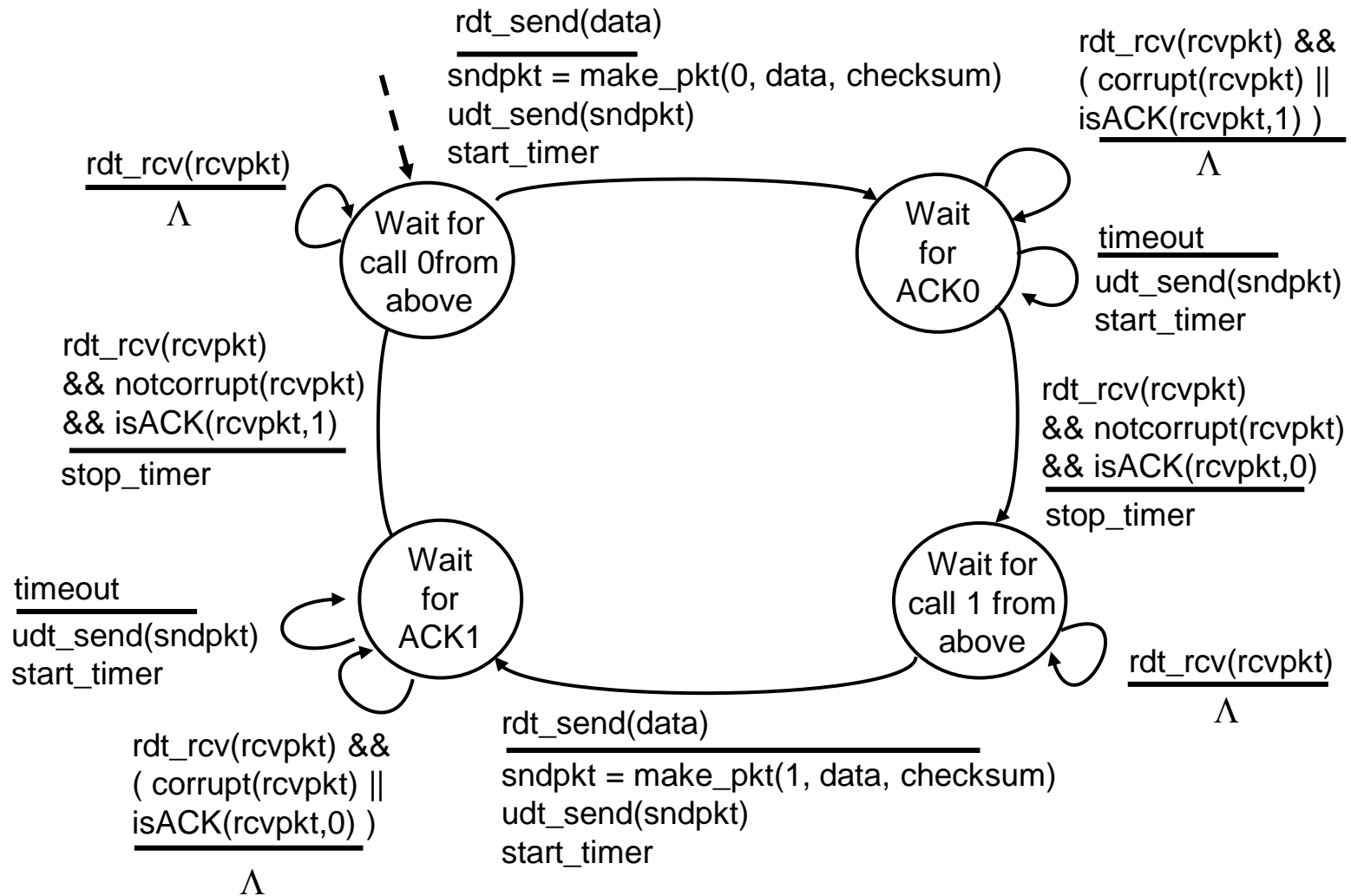
- o feedback : ~~ACK/NAK~~

- o retransmission

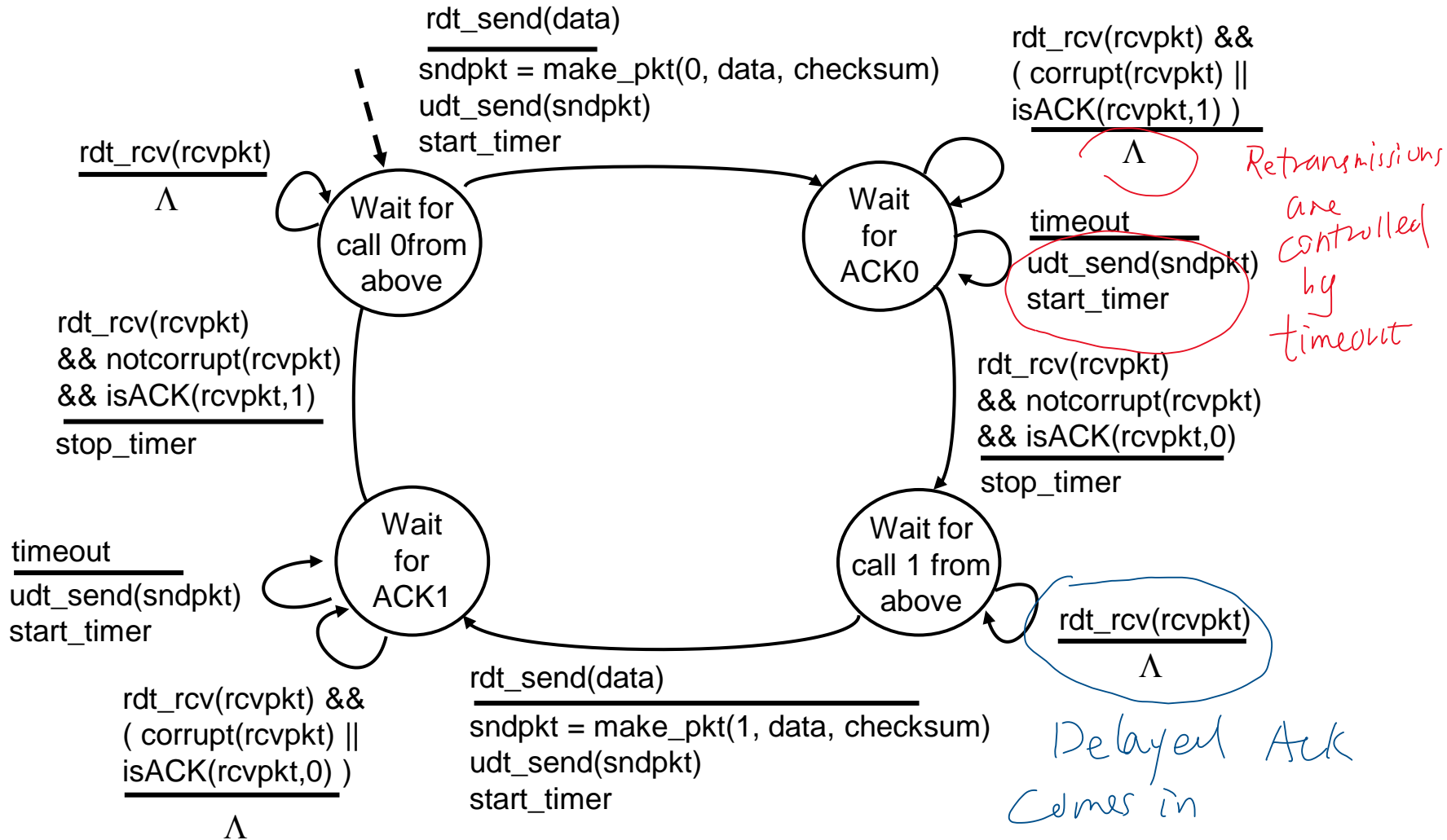
- o seq. number

- o Timeout

# rdt3.0 sender

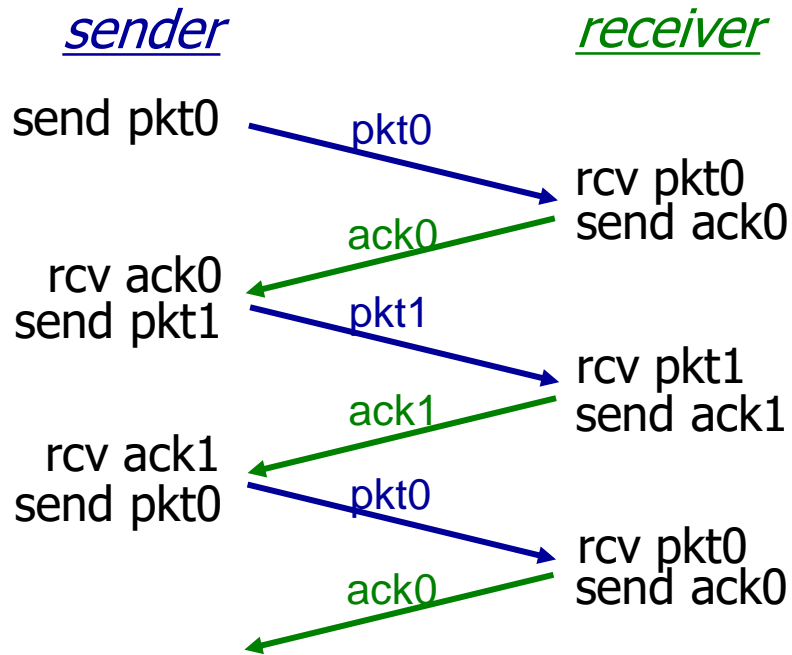


# rdt3.0 sender

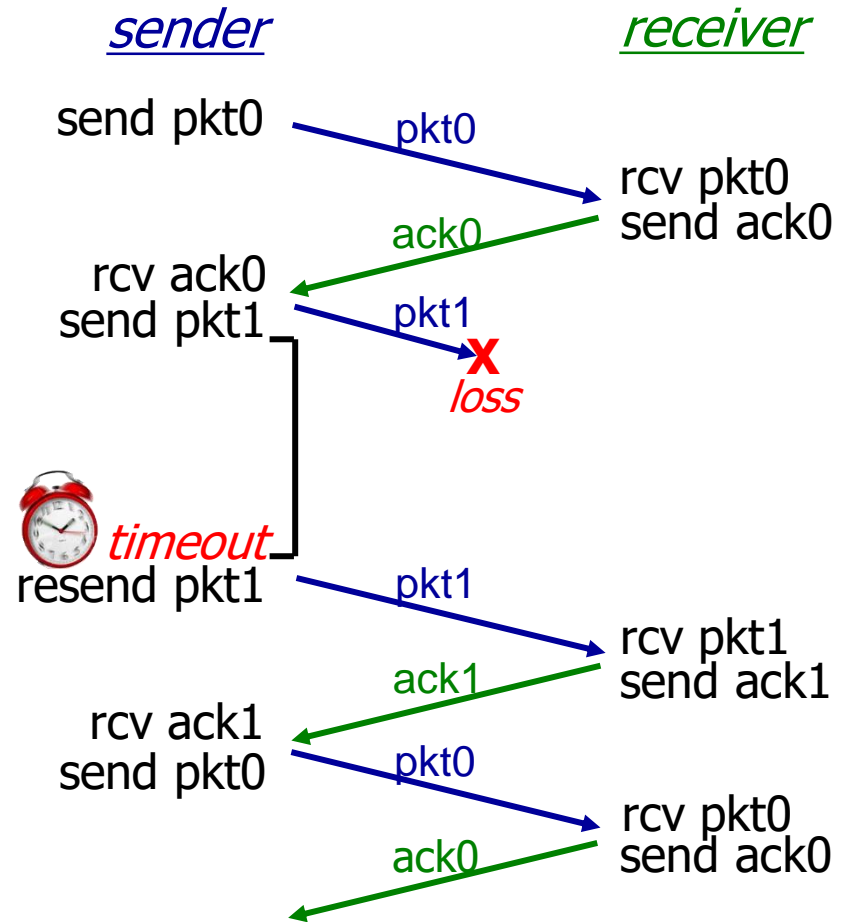


The receiver FSM is the same as rdt 2.2

# rdt3.0 in action

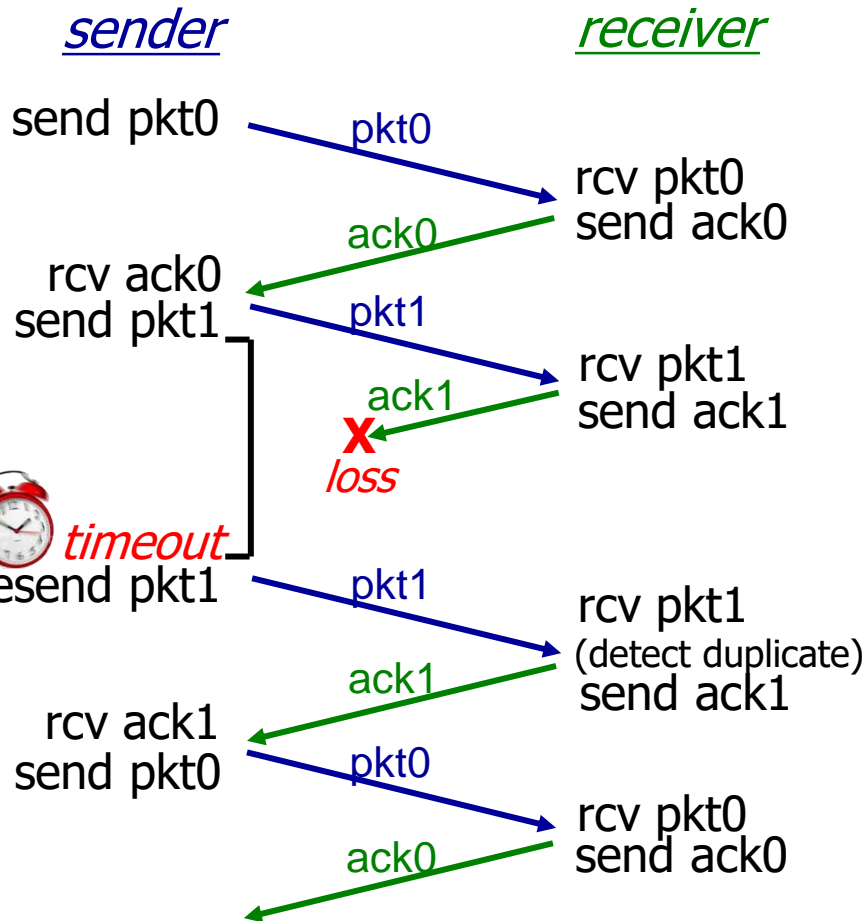


(a) no loss

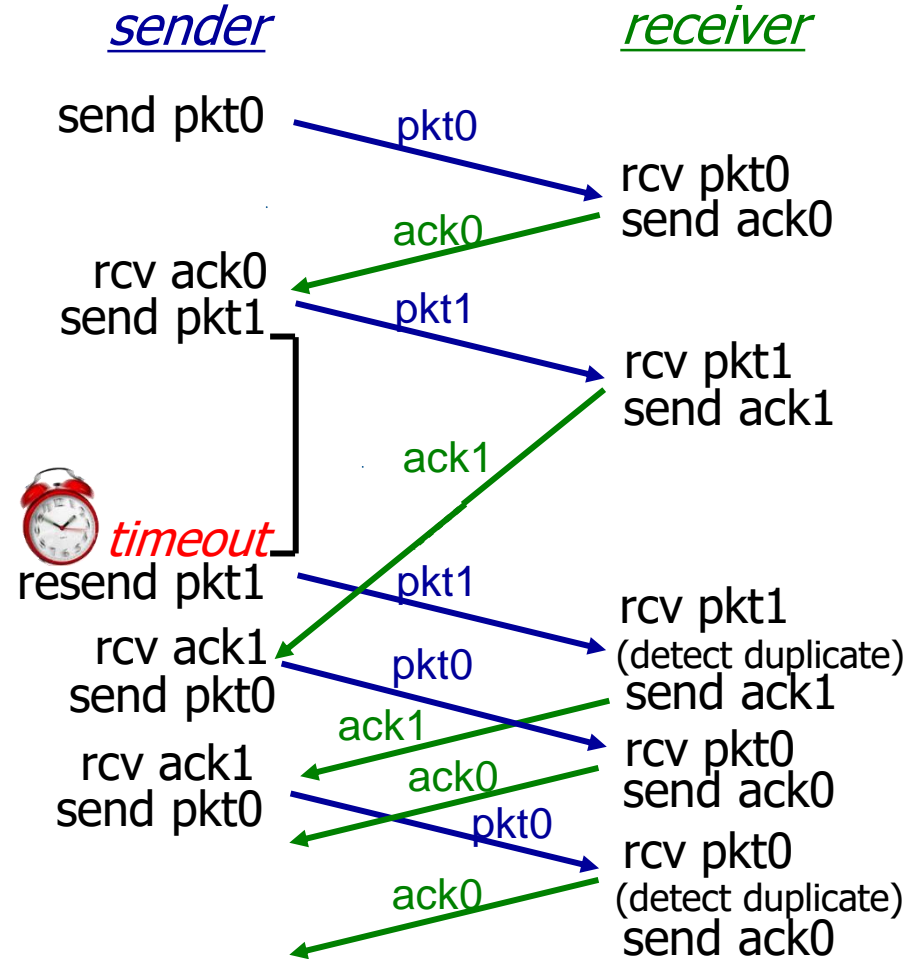


(b) packet loss

# rdt3.0 in action



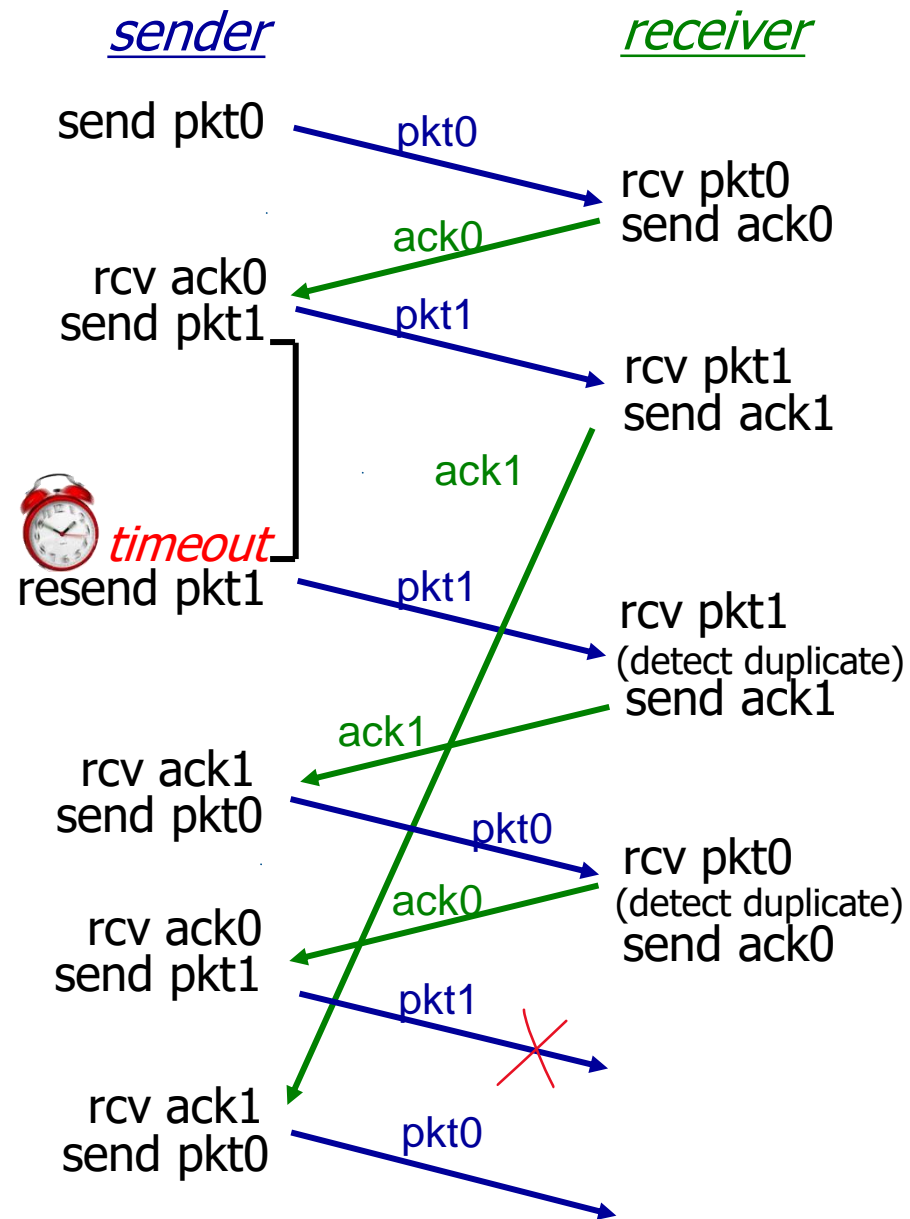
(c) ACK loss



(d) premature timeout/ delayed ACK

# rdt3.0 in action

- Possible mistaken action due to long delay



To be addressed by a larger seq. number

# Performance of rdt3.0

- rdt3.0 is correct, but performance stinks
- e.g.: 1 Gbps link, 15 ms prop. delay, 8000 bit packet:

$$D_{trans} = \frac{L}{R} = \frac{8000 \text{ bits}}{10^9 \text{ bits/sec}} = 8 \text{ microseconds}$$

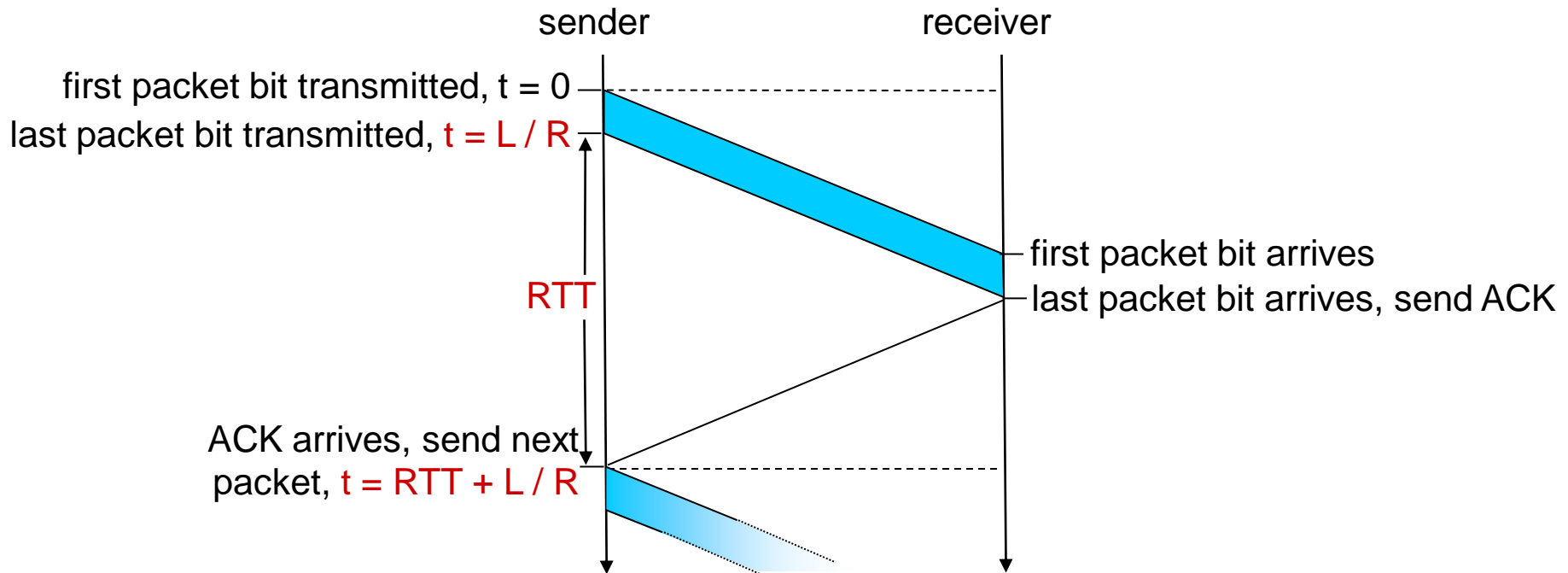
- $U_{\text{sender}}$ : **utilization** – fraction of time sender busy sending

$$U_{\text{sender}} = \frac{L / R}{RTT + L / R} = \frac{.008}{30.008} = 0.00027$$

- if RTT=30 msec, 1KB pkt every 30 msec: 33kB/sec (roughly 270 kbps) thrupt over 1 Gbps link
- network protocol limits use of physical resources!



# rdt3.0: stop-and-wait operation

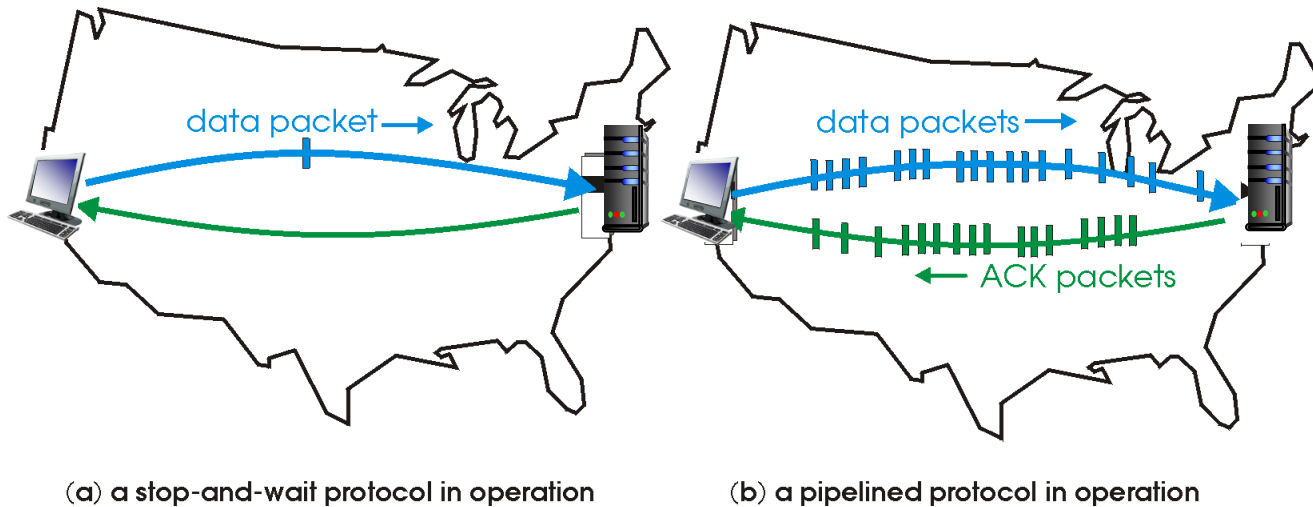


$$U_{\text{sender}} = \frac{L / R}{RTT + L / R} = \frac{.008}{30.008} = 0.00027$$

# Pipelined protocols

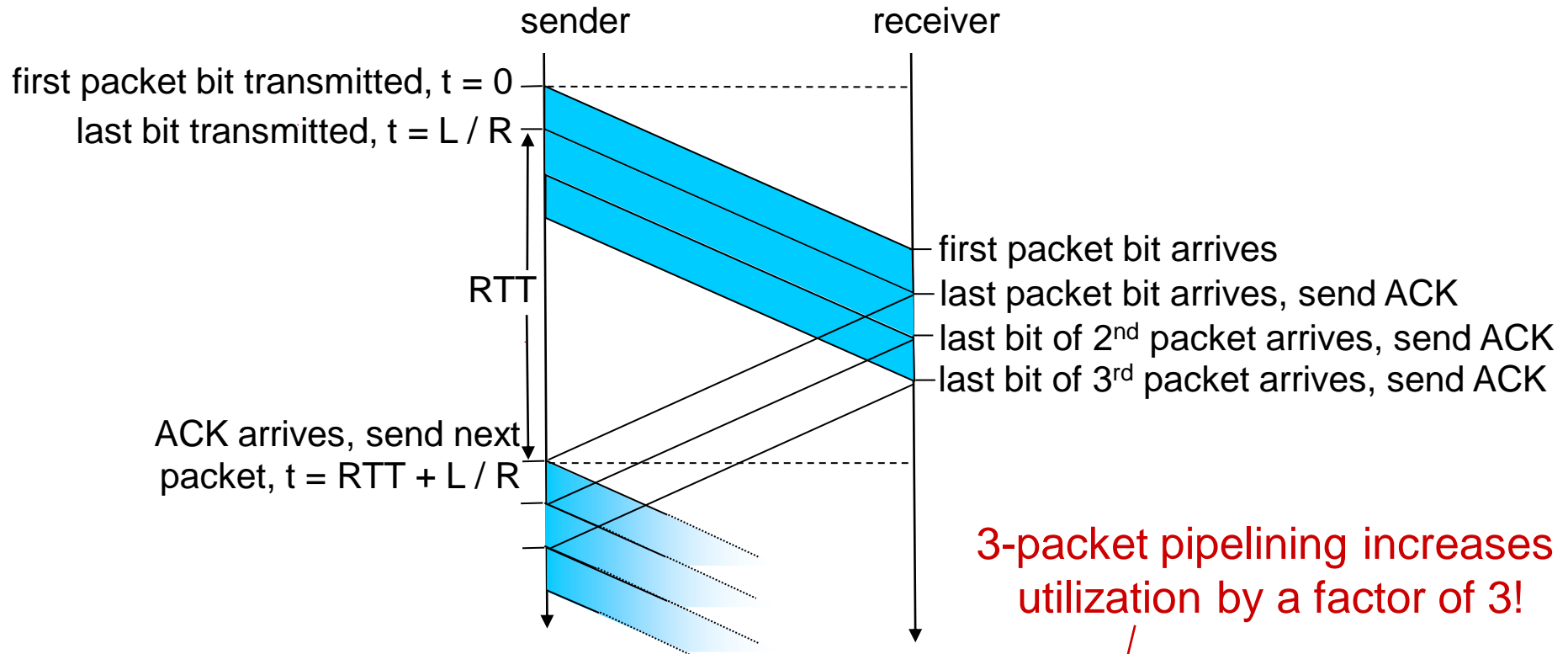
**pipelining:** sender allows multiple, “in-flight”, yet-to-be-acknowledged pkts

- range of sequence numbers must be increased
- buffering at sender and/or receiver



- two generic forms of pipelined protocols: *go-Back-N*, *selective repeat*

# Pipelining: increased utilization



3-packet pipelining increases utilization by a factor of 3!

$$U_{\text{sender}} = \frac{3L / R}{RTT + L / R} = \frac{.0024}{30.008} = 0.00081$$

# Pipelined protocols: overview

## Go-back-N:

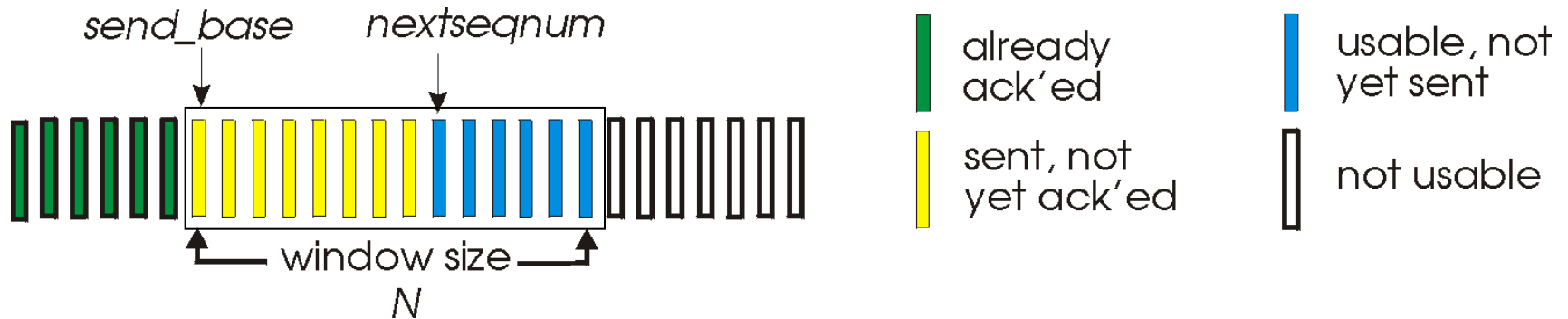
- sender can have up to N unacked packets in pipeline
- receiver only sends *cumulative ack*
  - doesn't ack packet if there's a gap
- sender has timer for oldest unacked packet
  - when timer expires, retransmit *all* unacked packets

## Selective Repeat:

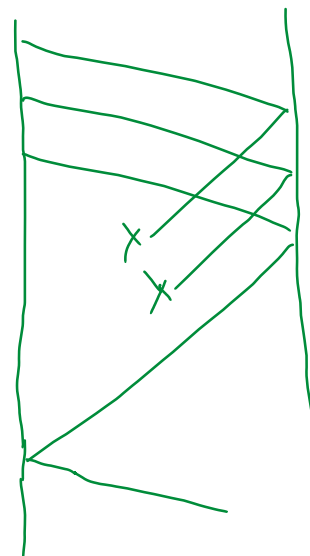
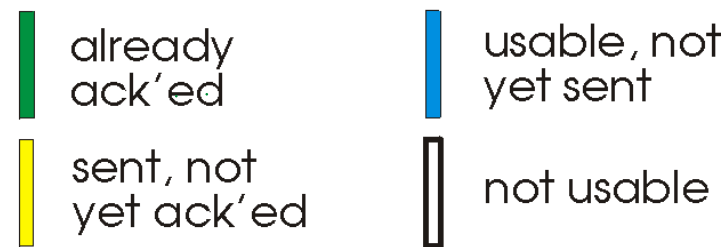
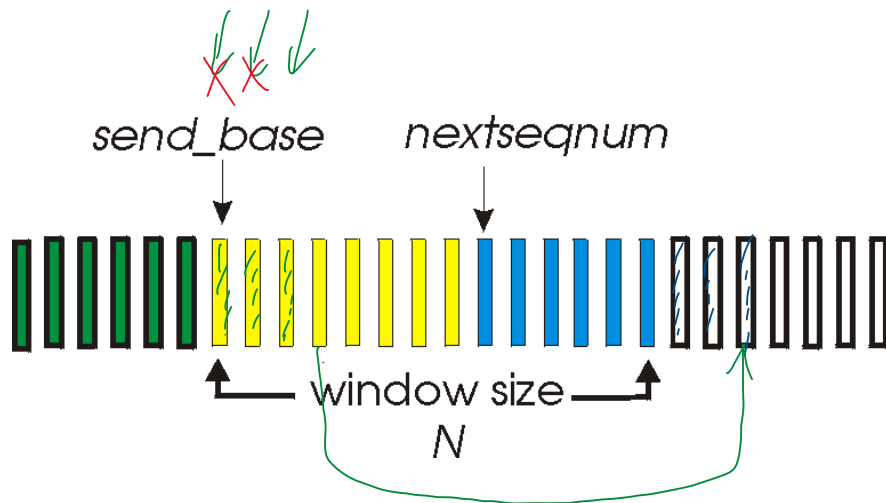
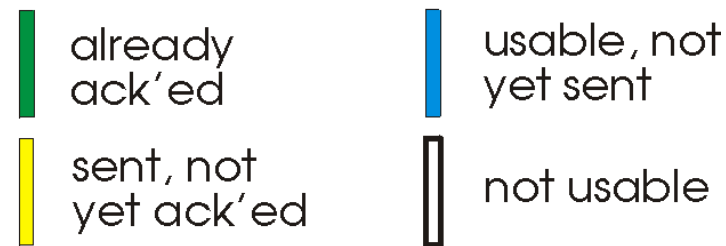
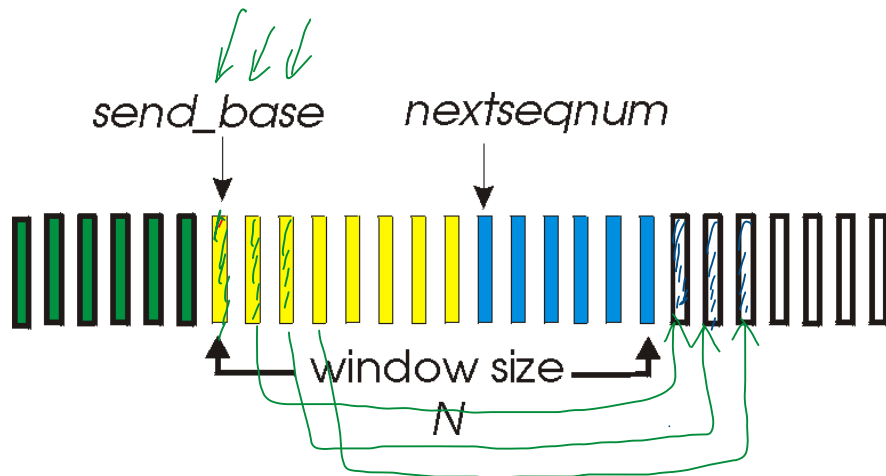
- sender can have up to N unack'ed packets in pipeline
- rcvr sends *individual ack* for each packet
- sender maintains timer for each unacked packet
  - when timer expires, retransmit only that unacked packet

# Go-Back-N: sender

- k-bit seq # in pkt header
- “window” of up to N, consecutive unack’ed pkts allowed



- ACK(n): ACKs all pkts up to, including seq # n - “*cumulative ACK*”
  - may receive duplicate ACKs (see receiver)
- timer for oldest in-flight pkt
- *timeout(n)*: retransmit packet n and all higher seq # pkts in window



# GBN in action

sender window (N=4)

0 1 2 3 4 5 6 7 8  
0 1 2 3 4 5 6 7 8  
0 1 2 3 4 5 6 7 8  
0 1 2 3 4 5 6 7 8

0 1 2 3 4 5 6 7 8  
0 1 2 3 4 5 6 7 8

0 1 2 3 4 5 6 7 8  
0 1 2 3 4 5 6 7 8  
0 1 2 3 4 5 6 7 8  
0 1 2 3 4 5 6 7 8

sender

send pkt0  
send pkt1  
send pkt2  
send pkt3  
(wait)

rcv ack0, send pkt4  
rcv ack1, send pkt5

ignore duplicate ACK



*pkt 2 timeout*

send pkt2  
send pkt3  
send pkt4  
send pkt5

receiver

receive pkt0, send ack0  
receive pkt1, send ack1

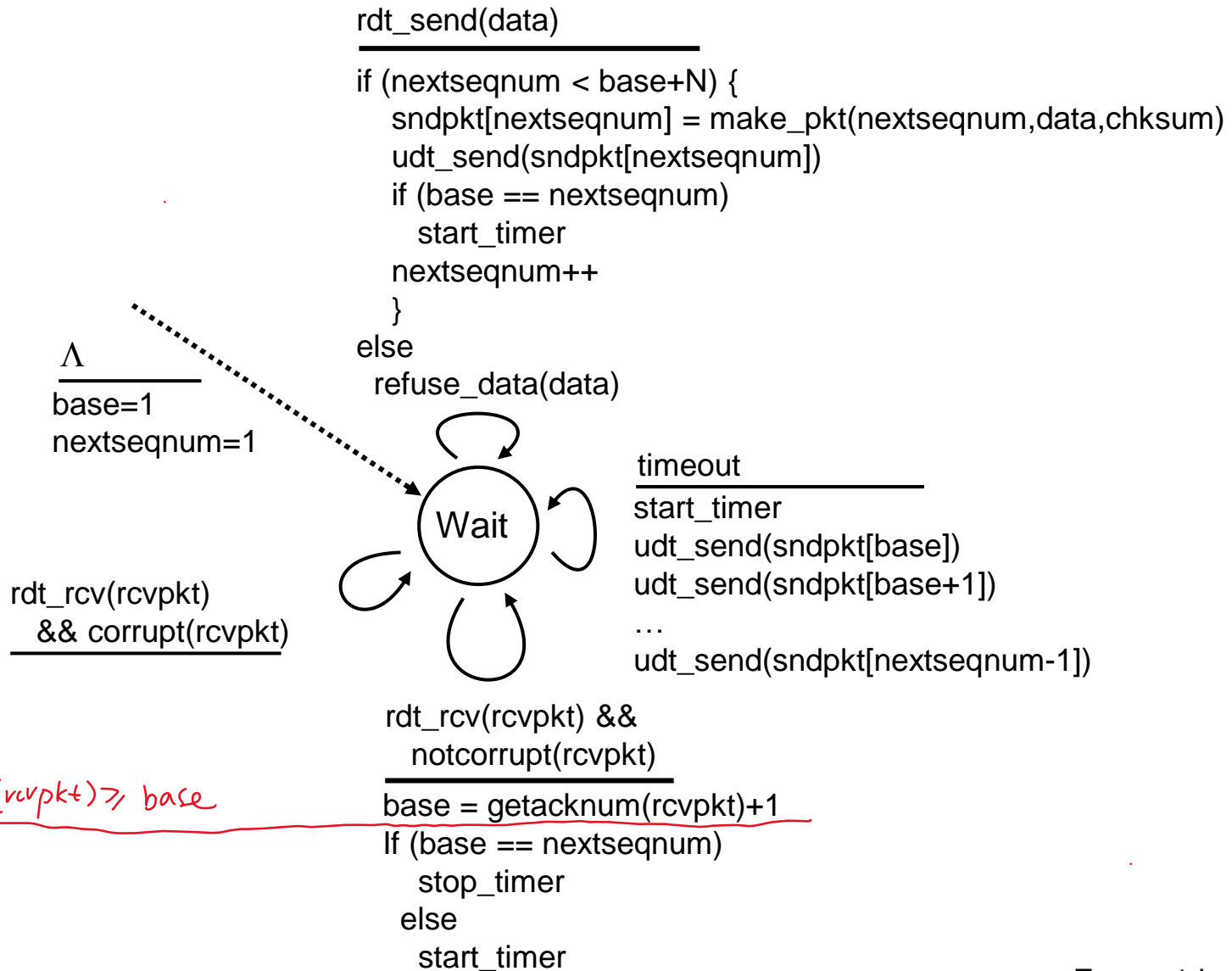
receive pkt3, discard,  
(re)send ack1

receive pkt4, discard,  
(re)send ack1

receive pkt5, discard,  
(re)send ack1

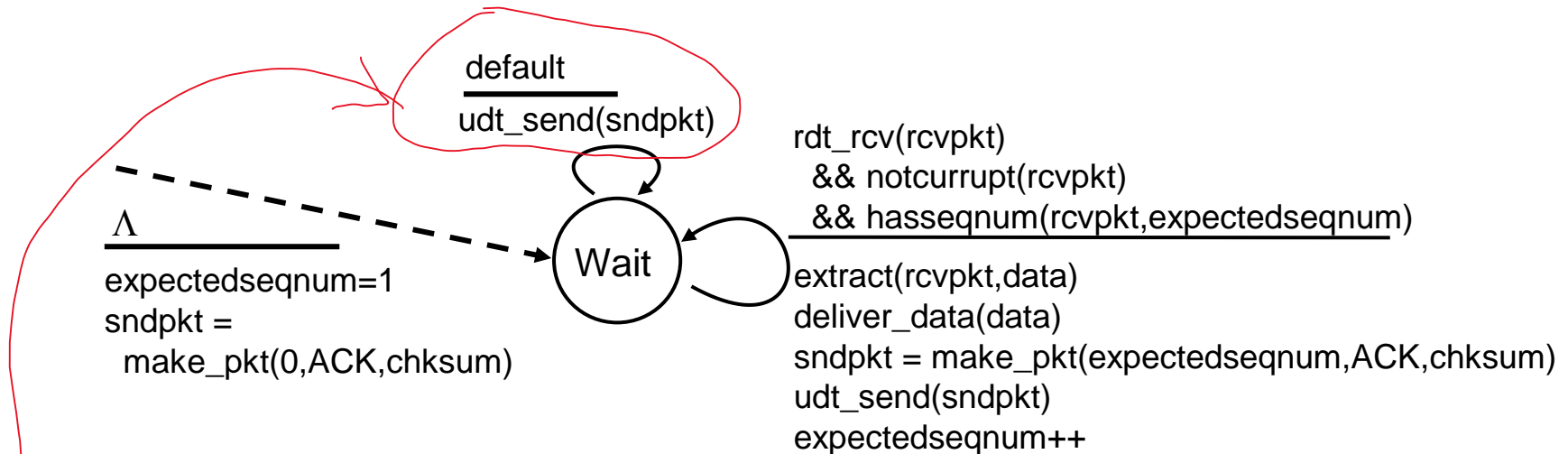
rcv pkt2, deliver, send ack2  
rcv pkt3, deliver, send ack3  
rcv pkt4, deliver, send ack4  
rcv pkt5, deliver, send ack5

# GBN: sender extended FSM





# GBN: receiver extended FSM



ACK-only: always send ACK for correctly-received pkt with highest *in-order* seq #

- may generate duplicate ACKs
- need only remember **expectedseqnum**

## ■ out-of-order pkt:

- discard (don't buffer): *no receiver buffering!*
- re-ACK pkt with highest in-order seq #