Making Sense of the Noise: Leveraging Existing 16S rRNA Gene Surveys to Identify Key Community Members in Colorectal Tumors

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### Abstract

- Background. An increasing body of literature suggests that both individual and collections
- of bacteria are associated with the progression of colorectal cancer. As the number of
- 4 studies investigating these associations increases and the number of subjects in each
- 5 study increases, a meta-analysis to identify the associations that are the most predictive of
- 6 disease progression is warranted. For our meta-analysis, we analyzed previously published
- <sub>7</sub> 16S rRNA gene sequencing data collected from feces (1737 individuals from 8 studies)
- and colon tissue (492 total samples from 350 individuals from 7 studies).
- **Results.** We quantified the odds ratios for individual bacterial genera that were associated with an individual having tumors relative to a normal colon. Among the stool samples, there were no genera that had a significant odds ratio associated with adenoma and there were 8 genera with significant odds ratios associated with carcinoma. Similarly, among the tissue samples, there were no genera that had a significant odds ratio associated with adenoma and there were 3 genera with significant odds ratios associated with carcinoma. Among the significant odds ratios, the association between individual taxa and tumor diagnosis was equal or below 7.11. Because individual taxa had limited association with 16 tumor diagnosis, we trained Random Forest classification models using the genera with 17 the five highest and lowest odds ratios, using the entire collection of genera found in each study, and using operational taxonomic units defined based on a 97% similarity threshold. All training approaches yielded similar classification success as measured using the Area Under the Curve. The ability to correctly classify individuals with adenomas was poor and 21 the ability to classify individuals with carcinomas was considerably better using sequences from stool or tissue.
- Conclusions. This meta-analysis confirms previous results indicating that individuals with adenomas cannot be readily classified based on their bacterial community, but that those

- with carcinomas can. Regardless of the dataset, we found a subset of the fecal community
- 27 that was associated with carcinomas was as predictive as the full community.

# 28 Keywords

<sup>29</sup> microbiota; colorectal cancer; polyps; adenoma; tumor; meta-analysis.

# Background

Colorectal cancer (CRC) is a growing world-wide health problem in which the microbiota
has been hypothesized to have a role in disease progression [1,2]. Numerous studies
using murine models of CRC have shown the importance of both individual microbes
[3–7] and the overall community [8–10] in tumorigenesis. Numerous case-control studies
have characterized the microbiota of individuals with colonic adenomas and carcinomas
in an attempt to identify biomarkers of disease progression [6,11–17]. Because current
CRC screening recommendations are poorly adhered to due to socioeconomic status, test
invasiveness, and frequency of tests, development and validation of microbiome-associated
biomarkers for CRC progression could further attempts to develop non-invasive diagnostics
[18].

Recently, there has been an intense focus on identifying microbiota-based biomarker yielding a seemingly endless number of candidate taxa. Some studies point towards mouth-associated genera such as Fusobacterium, Peptostreptococcus, Parvimonas, and Porphyromonas that are enriched in people with carcinomas [6,11–17]. Other studies have identified members of Akkermansia, Bacteroides, Enterococcus, Escherichia, Klebsiella, 45 Mogibacterium, Streptococcus, and Providencia are also associated with carciomas [13–15]. Additionally, Roseburia has been found in some studies to be more abundant in 47 people with tumors but in other studies it has been found to be either less abundant or no different than what is found in subjects with normal colons [14,17,19,20]. There are strong results from tissue culture and murine models that Fusobacterium nucleatum, pks-positive 50 strains of Escherichia coli, Streptococcus gallolyticus, and an entertoxin-producing strain of 51 Bacteroides fragilis are important in the pathogenesis of CRC [5,14,21-24]. These results 52 point to a causative role for the microbiota in CRC pathogenesis as well as their potential as diagnostic biomarkers.

Most studies have focused on identifying biomarkers in patients with carcinomas but
there is a greater clinical need to identify biomarkers associated with adenomas. Studies
focusing on broad scale community metrics have found that measures such as the
total number of Operational Taxonomic Units (OTUs) are decreased in those with
adenomas versus controls [25]. Other studies have identified *Acidovorax*, *Bilophila*, *Cloacibacterium*, *Desulfovibrio*, *Helicobacter*, *Lactobacillus*, *Lactococcus*, *Mogibacterium*,
and *Pseudomonas* to be enriched in those with adenomas [25–27]. There are few genera
that are enriched in patients with adenoma or carcinoma tumors.

Confirming some of these previous findings, a recent meta-analysis found that 16S rRNA gene sequences from members of the *Akkermansia*, *Fusobacterium*, and *Parvimonas* were fecal biomarkers for the presence of carcinomas [28]. Contrary to previous studies they found sequences similar to members of *Lactobacillus* and *Ruminococcus* to be enriched in patients with adenoma or carcinoma relative to those with normal colons [12,15,16]. In addition, they found 16S rRNA gene sequences from members of *Haemophilus*, *Methanosphaera*, *Prevotella*, *Succinovibrio* were enriched in patients with adenoma and *Pantoea* were enriched in patients with carcinomas. Although this meta-analysis was helpful for distilling a large number of possible biomarkers, the aggregate number of samples included in the analysis (n = 509) was smaller than several larger case-control studies that have since been published [12,27]

Here we provide an updated meta-analysis using 16S rRNA gene sequence data from both feces (n = 1737) and colon tissue (492 samples from 350 individuals) from 14 studies [11–17,19,20,23,25–27,29] [Table 1 & 2]. We expand both the breadth and scope of the previous meta-analysis to investigate whether biomarkers describing the bacterial community or specific members of the community can more accurately classify patients as having adenoma or carcinoma. Our results suggest that the bacterial community can be as disease severity worsens and that that a subset of the microbial community can be

81	used to diagnose the presence of carcinoma.							

### 2 Results

Lower Bacterial Diversity is Associated with Increased OR of Tumors: To assess differences in broad scale community metrics as disease severity worsens Operational Taxonomic Unit (OTU) richness, evenness, and Shannon diversity measurements were 85 power transformed and Z-score normalized. These metrics are commonly used to assess 86 the total number of OTUs, the equality of their abundance, and the overall diversity, 87 respectively. Using linear mixed-effect models to control for study and variable region 88 we assessed whether OTU richness, evenness, or Shannon diversity changed in a 89 step-wise manner with disease severity. In stool, there was a significant decrease in 90 both evenness and Shannon diversity as disease severity moved from control to adenoma 91 to carcinoma (P-value = 0.025 and 0.043, respectively). We next tested whether the 92 detectable differences in community significantly increased in OR of having an adenoma or 93 carcinoma. For fecal samples, a decrease versus the overall median in evenness resulted in a significantly increased OR for carcinoma (OR = 1.66 (1.2 - 2.3), P-value = 0.0021) and adenoma (OR = 1.3 (1.02 - 1.65), P-value = 0.035) while a decrease versus the overall median in Shannon diversity only increased the OR for carcinoma (OR = 1.61 (1.14 - 2.28), 97 P-value = 0.0069) [Figure 1]. Using the Bray-Curtis distance metric and PERMANOVA, it was also possible to identify significant bacterial community changes, in specific studies, for both carcinoma-associated and adenoma-associated microbiota versus control [Table S1]. 101

Using similar transformations for tissue samples, linear mixed-effect models were used on the transformed combined data to control for study, re-sampling of the same individual, and 16S variable region to test whether OTU richness, evenness, or Shannon diversity changed in a step-wise manner as disease severity increased. For colon tissue, there were no significant changes in OTU richness, evenness, or Shannon diversity as disease severity progressed from control to adenoma to carcinoma (P-value > 0.05). We next

analyzed the OR, for matched (unaffected tissue and an adenoma or carcinoma from the same individual) and unmatched (control and adenoma or carcinoma tissue not from the 109 same individual) colon tissue samples. For individuals at either an adenoma or carcinoma stage of disease there was no significant change in OR based on lower than median values for OTU richness, evenness, and Shannon diversity [Figure S1 & Table S2]. Similar to 112 stool samples, significant differences in bacterial community, assessed by PERMANOVA, 113 were identified in unmatched tissue samples, for those at either adenoma or carcinoma 114 stage of CRC [Table S1]. For studies with matched samples no differences in bacterial 115 community were observed when assessed with PERMANOVA [Table S1]. These tissue 116 results suggest that the microbiota within an individual are similar to each other regardless 117 of disease status.

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Mouth-Associated Genera are Associated with an Increased OR of Tumor: Next, we asked if being higher than the median relative abundance, for any specific genera, resulted in an altered OR for adenoma or carcinoma, in stool and colon tissue, due to our previous observations of small increases in OR using OTU richness and Shannon diversity. To investigate this we analyzed all common genera across each study, in colon tissue or stool, and assessed whether a relative abundance higher than the median results in an increase or decrease in OR. For both tissue and stool samples only ORs associated with an increase or decrease in carcioma tumors were significant after multiple comparison correction [Table S3 & S4]. Out of the 8 taxa that had significant ORs in stool samples 4 were mouth-associated microbes. These mouth-associated genera significantly increased the ORs of carcinoma for stool samples and included Fusobacterium, Parvimonas, Porphyromonas, and Peptostreptococcus [Table S3]. Conversely, mouth-associated genera were not significantly associated with an increased OR for carcinoma in tissue samples [Table S4]. Only unmatched tissue samples had significant OR taxa and these were Dorea (OR = 0.35 (0.22 - 0.55)), Blautia (OR = 0.47 (0.3 - 0.73)), and Weissella (OR = 5.15 (2.02 - 13.14)). Overall, there was little direct overlap in increased or decreased OR taxa between both tumor type and sample site.

Select Community Models can Recapitulate Whole Community Models: Since 136 specific genera increased the OR for carcinoma over diversity metrics we assessed 137 whether the bacterial community was better at classifying disease versus only a select 138 group of genera. We selected these genera based on significance after multiple 139 comparison correction. If no taxa were significant after multiple comparison correction 140 then no model for that specific grouping (i.e. adenoma stool) was analyzed. We first tested three model AUCs. These models were created using Random Forest and where either all genera, all OTUs, or significant OR taxa only. Next, the all genera models and any 143 significant OR taxa models were tested across all studies that were not used to train the model. For stool, all models used had similar AUCs [Figure 2]. Although for adenoma and unmatched carcinoma this trend held, there were large differences in matched tissue based on whether all genera or OTUs were utilized [Figure S2]. When analyzing the 147 tests sets that were comprised of genera data from other studies, both the all genera and singificant OR taxa only models had a similar ability to detect individuals with carcinomas, 149 for both stool and tissue samples [Figure 3-S3]. 150

In stool, the most common genera in the top 10 most important variables, in the full community models using all genera-based models, were *Ruminococcus*, *Bacteroides*, and *Roseburia* [Figure 4]. Regardless of sample type, mouth-associated genera were present in models for carcinomas [Figure 4B & D]. Yet, none were present in the majority of studies and none were present in the adenoma models [Figure 4A & B]. For the full community OTU-based models, *Ruminococcaceae* was present in the top 10 consistently for both adenoma and carcinoma models while *Roseburia* was only present in many adenoma models and *Bacteroides* was present in the overwhelming majority of the carcinoma models [Figure 4C & 4D].

Unlike the stool-based Random Forest models, the tissue-based models, for the full

genera from the first approach, showed no consistent representation of *Ruminococcaceae*, Ruminococcus, Bacteroides, and Roseburia in the top 10 most important model variables 162 across study [Figure S4]. The vast majority of the top 10 model variables for the full 163 community genera- and OTU-based models using colon tissue tended to be study specific. 164 Further, there was very little overlap in the top 10 important variables between adenoma 165 and carcinoma stage models, regardless of whether colon tissue or stool was used 166 [Figure S4]. This discordance between stool and colon tissue samples also applies to 167 the mouth-associated genera with one noticeable skew being that Fusobacterium and 168 Fusobacteriaceae occur more often in the top 10 of matched versus unmatched colon 169 tissue Random Forest models [Figure S4B-C & S4E-F]. This suggests that either the colon 170 tissue microbiota is study or person dependent, that kit and/or other types of contamination 171 associated with low biomass samples may be skewing the results, or that multiple microbes 172 could act as the inflammatory stimulus needed to exacerbate mutations. 173

CRC Studies are Underpowered for Detecting Small Effect Sizes: Next, we assessed how much confidence should be placed in the reported outcomes from each individual 175 study by calculating the ability to detect a difference (power) and sample size needed 176 for small, medium, and large effect size differences between cases and controls. When 177 assessing the power of each study at different effect sizes the majority of studies achieved 178 80% power to detect a 30% or greater difference between groups [Figure 5A & B]. No 179 study that we analyzed had the standard 80% power to detect an effect size difference 180 equal to or below 10% [Figure 5A & B]. In order to achieve a power of 80%, for small effect 181 sizes, studies used in our meta-analysis would need to recruit over 1000 individuals for 182 both the case and control arms [Figure 5C]

## **Discussion**

Targeting the identification of tumor microbial biomarkers within stool seems logical since it offers an easy and cost-effective way to stratify risk of disease. The current gold 186 standard for diagnosis, a colonoscopy, can be time-consuming and is not without risk 187 of complications. Although stool represents an easy and less invasive way to assess 188 risk, it is not clear how well this sample reflects adenoma- and carcinoma- associated 189 microbial communities. Some studies have tried to assess this in health and disease but 190 are limited by their sample size [17,30]. Sampling the microbiota directly associated with 191 colon tissue may provide clearer answers but is not without their own limitations. After the 192 colonoscopy bowel prep the bacterial community sampled may reflect the better adhered 193 microbiota versus the resident community. Additionally, these samples contain more host 194 DNA, potentially limiting the types of analysis that can be done. It is well known that low 195 biomass samples can be very difficult to work with and results can be study dependent 196 due to the randomness of contamination [31]. 197

Our study identifies clear but small differences in diversity at the community level and larger 198 differences for individual genera, present in patients with tumors versus controls [Figure 199 1-3]. Although there was a step-wise decrease in diversity as disease progressed from 200 control to adenoma to carcinoma, this did not translate into large effect size increases in OR 201 for either adenoma or carcinoma tumors. Even though mouth-associated genera increased 202 individual's OR of having a carcinoma for certain sample types, they did not consistently 203 increase the OR of having an adenoma. By using these taxa that had significant ORs 204 after multiple comparison correction we found that we could classify indviduals with either 205 adenoma or carcinoma as well as models that use either all genera or all OTUs. Finally, 206 many studies were individually under powered to be able to reject the null hypothesis and 207 this could one reason only the comparison between control and carcinoma individuals for 208 stool samples had relible detectable differences. 209

The data presented herein support the importance of specific taxa for carcinoma, but not necessarily adenoma, tumor formation. The results that we have presented show that the significant OR taxa model and both the full genera and OTU models, for indviduals with carcinoma, had similar AUCs [Figure 2 & 3]. This suggests that an interplay between a select number of potentially protective and exacerbating microbes within the GI community could be crucial for carcinoma formation. Importantly, it suggests that there may be key members of the GI community that should be studied further to potentially help reduce the risk of carcinoma tumor formation. Conversely, using the present data, it is clear that new approaches may be needed to identify members of the community associated with adenoma tumors. Regardless of sample type and whether a full genera- or OTU-based model was used, our Random Forest models consistently performed poorly. Yet, the step-wise decrease in diversity suggests that the adenoma-associated community is not normal but has changed subtly. This change in diversity, at this early stage of disease, could be focal to the adenoma itself. How the host interacts with these subtle changes at early stages of the disease could be what leads to a thoroughly dysfunctional community that is supportive of tumorgenesis.

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For the full genera- and OTU-based models within stool, common GI microbes were most consistently present in the top 10 genera or OTUs across studies [Figure 4]. Changes in *Bacteroides, Ruminococcaceae, Ruminococcus*, and *Roseburia* were consistently found to be in the top 10 most important variables across the different studies for both individuals with adenoma and carcinoma [Figure 4]. These data suggest that whether the non-resident bacterium is *Fusobacteria* or *Peptostreptococcus* may not be as important as how these bacteria interact with the changing resident community. Based on these observations, it is possible to hypothesize that small changes in community structure lead to new niches in which any one of the mouth-associated or general inflammatory genera can gain a foothold, exacerbating the initial changes in community and facilitating the transition from adenoma to carcinoma stage of disease.

The colon tissue-based studies did not provide a clearer understanding of how the microbiota may be associated with tumors. Generally, the full OTU-based models of unmatched and matched colon tissue samples were concordant with stool samples showing that GI resident microbes were the most prevalent in the top 10 most important 240 variables across study [Figure S4E & F]. Unlike in stool, Fusobacterium was the only 241 mouth-associated bacteria consistently present in the top 10 most important variables 242 of the full carcinoma stage models [Figure S4B-C & E-F]. The majority of the colon 243 tissue-based results seem to be study specific with many of the top 10 taxa being present 244 only in a single study. Additionally, the presence of genera associated with contamination, 245 within the top 10 most important variables for the genera and OTU models is worrying. 246 The low bacterial biomass of tissue samples coupled with potential contamination could 247 explain why these results seem to be more sporadic than the stool results. 248

One important caveat to this study is that even though genera associated with certain species such as Bacteroides fragilis and Streptococcus gallolyticus subsp. gallolyticus were 250 not identified, it does not necessarily mean that these specific species are not important 251 in human CRC [22,24]. Since we are limited in our aggregation of the data to the genus 252 level, it is not possible to clearly delineate which species are contributing to overall disease 253 progression. Our observations are not inconsistent with the previous literature on either 254 Bacteroides fragilis or Streptococcus gallolyticus subsp. gallolyticus. As an example, the 255 stool-based full community models consistently identified the genus *Bacteroides*, as well 256 as OTUs that classified as *Bacteroides*, to be important model components across studies. 257 This suggests that even though *Bacteroides* may not increase the OR of individuals having 258 an adenoma or carcinoma and may not vary in relative abundance, like Fusobacterium, 259 it is still important in CRC. Additionally, Streptococcus gallolyticus subsp. gallolyticus is 260 a mouth-associated microbe, and the results from this study suggest that regardless of 261 sample type, mouth-associated genera are commonly associated with an increased OR 262 for individuals to have a carcinoma tumor. 263

The associations between the microbiota and individuals with adenoma tumors are inconclusive, in part, because many studies may not be powered effectively to observe 265 small effect sizes. None of the studies analyzed were properly powered to detect a 10% or 266 lower change between cases and controls. The results within our meta-analysis suggest 267 that a small effect size may well be the scope in which differences consistently occur 268 between controls and those with adenomas. Future studies investigating adenoma tumors 269 and the microbiota need to take power into consideration to reproducibly study whether 270 the microbiota contributes to adenoma formation. In contrast to adenoma stage of disease, 271 our observations suggest that most studies analyzed have sufficient power to detect many 272 changes in the carcinoma-associated microbiota because of large effect size differences 273 between cases and controls [Figure 5].

## **Conclusion**

By aggregating together a large collection of studies analyzing both fecal and colon tissue samples, we are able to provide evidence supporting the importance of the bacterial 277 community in colorectal tumors. The data presented here suggests that mouth-associated 278 microbes can gain a foothold within the colon and are commonly associated with the 279 greatest OR of individuals having a carcinoma. Conversely, no conclusive signal with 280 these mouth-associated microbes could be detected for individuals with an adenoma. Our 281 observations also highlight the importance of power and sample number considerations 282 when investigating the microbiota and adenoma tumors due to possible subtle changes 283 in the community. Overall, the associations between the microbiota and individuals with 284 carcinomas were much stronger than with those with adenomas.

### 86 Methods

**Obtaining Data Sets:** The studies used for this meta-analysis were identified through the review articles written by Keku, et al. and Vogtmann, et al. [32,33] and additional 288 studies not mentioned in the reviews were obtained based on the authors' knowledge of the 289 literature. Studies that used tissue or feces as their sample source for 454 or Illumina 16S 290 rRNA gene sequencing analysis and had data sets with sequences available for analysis 291 were included. Some studies were excluded because they did not have publicly available 292 sequences or did not have metadata in which the authors were able to share. After these 293 filtering steps, the following studies remained: Ahn, et al. [11], Baxter, et al. [12], Brim, et 294 al. [29], Burns, et al. [15], Chen, et al. [13], Dejea, et al. [20], Flemer, et al. [17], Geng, et 295 al. [19], Hale, et al. [27], Kostic, et al. [34], Lu, et al. [26], Sanapareddy, et al. [25], Wang, 296 et al. [14], Weir, et al. [23], and Zeller, et al. [16]. The Zackular [35] study was not included 297 because the 90 individuals analyzed within the study are contained within the larger Baxter 298 study [12]. After sequence processing, all the case samples for the Kostic study had 100 299 or less sequences remaining and was excluded, leaving a total of 14 studies that analysis 300 could be completed on. 301

Data Set Breakdown: In total, there were seven studies with only fecal samples (Ahn,
Baxter, Brim, Hale, Wang, Weir, and Zeller), five studies with only tissue samples (Burns,
Dejea, Geng, Lu, Sanapareddy), and two studies with both fecal and tissue samples (Chen
and Flemer). The total number of individuals analyzed after sequence processing for feces
was 1737 [Table 1]. The total number of matched and unmatched tissue samples that were
analyzed after sequence processing was 492 [Table 2].

Sequence Processing: For the majority of studies, raw sequences were downloaded from the Sequence Read Archive (SRA) (ftp://ftp-trace.ncbi.nih.gov/sra/sra-instant/reads/
ByStudy/sra/SRP/) and metadata were obtained by searching the respective accession

number of the study at the following website: http://www.ncbi.nlm.nih.gov/Traces/study/. Of the studies that did not have sequences and metadata on the SRA, data was obtained from DBGap (n = 1, [11]) and directly from the authors (n = 4, [17,23,25,27]). Each study was processed using the mothur (v1.39.3) software program [36] and quality filtering utilized the 314 default methods for both 454 and Illumina based sequencing. If it was not possible to use 315 the defaults, the stated quality cut-offs, from the study itself, were used instead. Sequences 316 that were made up of an artificial combination of two or more different sequences and 317 commonly known as chimeras were identified and removed using VSEARCH [37] before 318 de novo OTU clustering at 97% similarity was completed using the OptiClust algorithm 319 [38]. 320

Statistical Analysis: All statistical analysis after sequence processing utilized the R (v3.4.3) software package [39]. For OTU richness, evenness, and Shannon diversity 322 analysis, values were power transformed using the rcompanion (v1.11.1) package [40] and then Z-score normalized using the car (v2.1.6) package [41]. Testing for OTU richness, evenness, and Shannon diversity differences utilized linear mixed-effect models created 325 using the lme4 (v1.1.15) package [42] to correct for study, repeat sampling of individuals 326 (tissue only), and 16S hyper-variable region used. Odds ratios (OR) were analyzed using 327 both the epiR (v0.9.93) and metafor (v2.0.0) packages [43,44] by assessing how many 328 individuals with and without disease were above and below the overall median value 329 within each specific study. OR significance testing utilized the chi-squared test. Diversity 330 differences measured by the Bray-Curtis index utilized the creation of distance matrix and 331 testing with PERMANOVA executed with the vegan (v2.4.5) package [45]. Random Forest 332 models were built using both the caret (v6.0.78) and randomForest (v4.6.12) packages 333 [46,47]. Power analysis and estimations were made using the pwr (v1.2.1) and statmod 334 (v1.4.30) packages [48,49]. All figures were created using both ggplot2 (v2.2.1) and 335 gridExtra (v2.3) packages [50,51]. 336

Study Analysis Overview: OTU richness, evenness, and Shannon diversity were first assessed for differences between controls, adenoma tumors, and carcinoma turmors using both linear mixed-effect models and ORs. For each individual study the Bray-Curtis 339 index was used to assess differences between control-adenoma and control-carcinoma 340 individuals. Next, all common genera were assessed for differences in ORs for individuals 341 having an adenoma or carcinoma and corrected for multiple comparisons using the 342 Benjamini-Hochberg method [52]. We then built Random Forest models based on all 343 genera, all OTUs, or significant OR taxa (if any were present after multiple comparison 344 correction). For both the full genera and significant OR taxa, models were trained on one 345 study then tested on the remaining studies using genera-based relative abundances. The 346 OTU-based models were built using OTU level data and a 10-fold CV over 100 different 347 iterations, based on random 80/20 splitting of the data, was used to generate a range 348 of expected AUCs. This process was repeated for every study in the meta-analysis. 349 Comparisons of the initial trained model AUCs for the full genera and significant OR taxa 350 were made to the mean AUC generated from the 100 different 10-fold CV runs of the 351 respective OTU-based model. Finally, the power of each study was assessed for an effect 352 size ranging from 1% to 30% and an estimated sample size, for these effect sizes, was 353 generated based on 80% power. For comparisons in which only control versus adenoma individuals were made, the carcinoma individuals were excluded from each respective study. Similarly, for comparisons in which control versus carcinoma individuals were made the adenoma individuals were excluded from each respective study. For all analysis 357 completed fecal and tissue samples were kept separate. Within the tissue groups the data 358 were further divided between samples from the same individual (matched) and those from 359 different individuals (unmatched). 360

Obtaining Genera Relative Abundance and Significant OR Taxa Models: For the
genera analysis of the ORs, OTUs were added together based on the genus or lowest
available taxonomic classification level and the total average counts, for 100 different

subsamplings was obtained. The significant OR taxa models for the Random Forest models utilized all taxa that had significant ORs after multiple comparison correction. This meant only models for the carcinoma stool (8 variables) and carcinoma unmatched (3 variables) samples were possible to be created and analyzed.

Matched versus Unmatched Tissue Samples: In general, tissue samples with control and tumor samples from different individuals were classified as unmatched while samples that belonged to the same individual were classified as matched. Studies with matched data included Burns, Dejea, Geng, and Lu while those with unmatched data were from Burns, Flemer, Chen, and Sanapareddy. For some studies samples became unmatched when a corresponding matched sample did not make it through sequence processing. All samples, from both matched and unmatched tissue samples, were analyzed together for the linear mixed-effect models with samples from the same individual being corrected for. All other analysis, where it is not specified explicitly, matched and unmatched samples were analyzed separately using the statistical approaches mentioned in the Statistical Analysis section.

Assessing Important Random Forest Model Variables: Using Mean Decrease in Accuracy (MDA) the top 10 most important variables to the Random Forest model were 380 obtained for the full models of the two different approaches used. For the first approach 381 utilizing genus-based models, the number of times that a specific taxa showed up in the 382 top 10 of the training set across each study was counted. For the second approach, that utilized the OTU-based models, the medians for each OTU across 100 different 80/20 splits of the data was generated and the top 10 OTUs then counted for each study. Common taxa were then identified by using the lowest classification for each of the specific OTUs 386 obtained from these counts and the number of times this classification occurred across the 387 top 10 of each study was recorded. Finally, the two studies that had adenoma tumor tissue 388 (Lu and Flemer) were equally divided between matched and unmatched studies and were

- grouped together for the counting of the top 10 genera and OTUs for both Random Forest approaches.
- Reproducible Methods: The code and analysis can be found at https://github.com/
  SchlossLab/Sze\_CRCMetaAnalysis\_Microbiome\_2017. Unless otherwise mentioned, the
  accession number of raw sequences from the studies used in this analysis can be found
  directly in the respective batch file in the GitHub repository or in the original manuscript.

## **Declarations**

#### 397 Ethics approval and consent to participate

Ethics approval and informed consent for each of the studies used is mentioned in the respective manuscripts used in this meta-analysis.

### 400 Consent for publication

401 Not applicable.

### 402 Availability of data and material

A detailed and reproducible description of how the data were processed and analyzed for each study can be found at https://github.com/SchlossLab/Sze\_CRCMetaAnalysis\_
Microbiome\_2017. Raw sequences can be downloaded from the SRA in most cases and can be found in the respective study batch file in the GitHub repository or within the original publication. For instances when sequences are not publicly available, they may be accessed by contacting the corresponding authors from whence the data came.

#### 409 Competing Interests

410 All authors declare that they do not have any relevant competing interests to report.

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#### 414 Authors' contributions

All authors helped to design and conceptualize the study. MAS identified and analyzed the data. MAS and PDS interpreted the data. MAS wrote the first draft of the manuscript and both he and PDS reviewed and revised updated versions. All authors approved the final manuscript.

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<sub>572</sub> Table 1: Total Individuals in each Study Included in the Stool Analysis

Study	Data Stored	16S Region	Control (n)	Adenoma (n)	Carcinoma (n)
Ahn	DBGap	V3-4	148	0	62
Baxter	SRA	V4	172	198	120
Brim	SRA	V1-3	6	6	0
Flemer	Author	V3-4	37	0	43
Hale	Author	V3-5	473	214	17
Wang	SRA	V3	56	0	46
Weir	Author	V4	4	0	7
Zeller	SRA	V4	50	37	41

Table 2: Studies with Tissue Samples Included in the Analysis

Study	Data Stored	16S Region	Control (n)	Adenoma (n)	Carcinoma (n)
Burns	SRA	V5-6	18	0	16
Chen	SRA	V1-V3	9	0	9
Dejea	SRA	V3-5	31	0	32
Flemer	Author	V3-4	103	37	94
Geng	SRA	V1-2	16	0	16
Lu	SRA	V3-4	20	20	0
Sanapareddy	Author	V1-2	38	0	33

- Figure 1: Odds Ratio for Adenoma or Carcinoma based on Bacterial Community

  Metrics in Stool. A) Community-based odds ratio for adenoma. B) Community-based

  odds ratio for carcinoma. Colors represent the different variable regions used within the

  respective study.
- Figure 2: Stool Random Forest Model Train AUCs. A) Adenoma random forest model
  AUCs between all genera, all OTU, and select model based on significant OR taxa. B)
  Carcinoma random forest model AUCs between all genera, all OTU, and select model
  based on significant OR taxa. The black line represents the median AUC for the respective
  group. If no values are present in the singificant OR taxa group then there were no
  significant taxa identified and no model was tested.
- Figure 3: Stool Random Forest Genus-Based Model Test AUCs. A) Test AUCs of adenoma models using all genera across study. B) Test AUCs of carcinoma models using all genera or significant OR taxa only. The black line represents the AUC at 0.5. The red lines represent the median AUC of all test AUCs for a specific study.
- Figure 4: Most Common Taxa Across Full Community Stool Study Models. A)
  Common taxa in the top 10 for adenoma Random Forest all genera-based models. B)
  Common taxa in the top 10 for carcinoma Random Forest all genera-based models. C)
  Common taxa in the top 10 for adenoma Random Forest all OTU-based models. D)
  Common genera in the top 10 for carcinoma Random Forest all OTU-based models.
- Figure 5: Power and Effect Size Analysis of Studies Included. A) Power based on
  effect size for studies with adenoma individuals. B) Power based on effect size for studies
  with carcinoma individuals. C) The estimated sample number needed for each arm of each
  study to detect an effect size of 1-30%. The dotted red lines in A) and B) represent a power
  of 0.8.

Figure S1: Odds Ratio for Adenoma or Carcinoma based on Bacterial Community

Metrics in Tissue. A) Community-based odds ratio for adenoma. B) Community-based

odds ratio for carcinoma. Colors represent the different variable regions used within the

respective study.

Figure S2: Tissue Random Forest Model Train AUCs. A) Adenoma random forest model AUCs between all genera, all OTU, and select model based on significant OR taxa in unmatched and matched tissue. B) Carcinoma random forest model AUCs between all genera, all OTU, and select model based on significant OR taxa in unmatched and matched tissue. The black line represents the median AUC for the respective group. If no values are present in the singificant OR taxa group then there were no significant taxa identified and no model was tested.

Figure S3: Tissue Random Forest Genus-Based Model Test AUCs. A) Test AUCs of adenoma models using all genera across study. B) Test AUCs of carcinoma models using all genera for matched tissue studies. C) Test AUCs of carcinoma models using all genera or significant OR taxa only for unmatched tissue studies The black line represents the AUC at 0.5. The red lines represent the median AUC of all test AUCs for a specific study.

#### Figure S4: Most Common Genera Across Full Community Tissue Study Models.

A) Common genera in the top 10 for adenoma Random Forest for all genera-based models. B) Common genera in the top 10 for unmatched carcinoma Random Forest for all genera-based models. B) Common genera in the top 10 for matched carcinoma Random Forest for all genera-based models. D) Common genera in the top 10 for adenoma Random Forest for all OTU-based models. E) Common genera in the top 10 for unmatched carcinoma Random Forest for all OTU-based models. F) Common genera in the top 10 for matched carcinoma Random Forest for all OTU-based models.