# Investigating the Microbiota and Colorectal Cancer: The Importance of Community

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## Abstract

Background. An increasing body of literature suggests that there is a crucial role for the microbiota in colorectal cancer (CRC) pathogenesis. Important drivers within this context have ranged from individual microbes to the whole community. Our study expands on a recent meta-analysis investigating microbial biomarkers for CRC by testing the hypothesis that the bacterial community has important associations to both early (adenoma) and late (carcinoma) stage disease. To test this hypothesis we examined both feces (n = 1737) and

tissue (492 total samples from 350 individuals) across 14 different studies.

- Results. Fecal samples had a significant decrease from control to adenoma to carcinoma for both Shannon diversity and evenness after correcting for study effect and variable region sequenced (P-value < 0.05). This reduction in evenness resulted in small increases in relative risk for adenoma (P-value = 0.032) and carcinoma (P-value = 0.00034) while the reduction in Shannon diversity only resulted in an increased relative risk for carcinoma (P-value = 0.0047). Previously associated colorectal cancer genera (Fusobacterium, Parvimonas, Peptostreptococcus, or Porphyromonas) followed a similar pattern, with their presence significantly increasing the relative risk for carcinoma (P-value < 0.05) but not 16 adenoma (P-value check tables 0.05) with the exception of Porphyromonas (P-value 17 = 0.023). Using the whole community versus only CRC-associated genera to build a 18 prediction model resulted in higher classification success, based on Area Under the Curve (AUC), for both adenoma and carcinoma using fecal and tissue samples. The most important OTUs for these models consistently belonged to genera such as Ruminococcus, 21 Bacteroides, and Roseburia across studies. Overall, there were less associations between the microbiota and adenoma and one reason why this may be is that most studies were only adequately powered for large effect sizes.
- <sup>25</sup> Conclusions. This data provides support for the importance of the bacterial community to

- <sub>26</sub> both adenoma and carcinoma genesis. The evidence collected within this study on the role
- of the microbiota in CRC pathogenesis shows stronger associations between carcinoma
- 28 then adenoma. One reason for this may be in part due to the low power to detect more
- <sup>29</sup> subtle changes in the majority of studies that have been performed to date.

# **Keywords**

microbiota; colorectal cancer; polyps; adenoma; meta-analysis.

# Background

Colorectal cancer (CRC) is a growing world-wide health problem [1] in which the microbiota has been purported to play an active role in disease pathogenesis [2]. Numerous studies have shown the importance of both individual microbes [3–7] and the overall community [8–10] in tumorgenesis using mouse models of CRC. There have also been numerous case/control studies investigating the microbiota in the formation of both adenoma and carcinoma. A recent meta-analysis investigated whether specific biomarkers could be consistently identified using multiple data sets [11]. This meta-analysis focused on identifying biomarkers or individual microbes but did not critically investigate how the community changes in CRC.

Targeting the identification of biomarkers within stool seems logical since it offers an easy and cost-effective way to stratify risk and the current gold standard for diagnosis, a 43 colonoscopy, can be time-consuming and is not risk free. Although stool represents an easy and less invasive way to assess risk, it is not clear how reflective this sample is to the community on the adenoma or carcinoma. Some studies have tried to assess this in health 46 and disease but are limited by their sample size [12,13]. Sampling the tissue directly may 47 provide clearer answers but is not without limitations. Due to bowel prep the communities 48 left for sampling may not be reflective of the resident microbiota, but rather a collection of what is able to keep adhered to the mucosa. Additionally, these samples contain more 50 host DNA, potentially limiting the types of analysis that can be done. It is well known that 51 low biomass samples can be very difficult to work with and results can end up being study 52 dependent due to the randomness of contamination [14]. Due to these many differences 53 that could arise between stool and tissue, one question our meta-analysis aims to answer is whether there are consistent patterns that emerge across studies regardless of whether they used stool or tissue samples.

This intense focus on identifying biomarkers has found strong associations with resident mouth microbes as potential CRC-associated microbes in the gastrointestinal (GI) tract [15–17]. The main bacteria of interest, arising from this set of microbes, has been those within the *Fusobacterium* genus. Yet, the question remains as to whether or not this is indeed the most important genera to be focusing on, since many microbiota-based studies typically have identified a collection of oral microbes rather than single species from a single genera [16,17]. Based on this discrepancy, the second question we can answer with this meta-analysis is if there is one dominant CRC-associated genera that can be identified across studies.

The identification of microbial biomarkers has mostly dominated the study of CRC and has had an unattended consequence of reducing the focus on changes that occur within the underlying resident community. This has been borne out by the majority of previous studies, within stool, tissue, and the only meta-analysis investigating this area to date, that focus predominately on biomarker identification. Yet, how these CRC-associated microbes interact with their community may be an important component to consider, and has not been investigated in great detail by other meta-analyses. In response to this gap, our study aims to answer whether there are consistent detectable community differences as disease severity increases.

In comparison to the previous meta-analysis, this study significantly increases the total stool samples investigated, examines differences between stool and tissue microbiota in the context of CRC, and takes a more community centric approach rather than a biomarker focused approach to investigating commonalities across study for the microbiota and CRC. Importantly, this community centric approach could provide valuable insights into the importance of accounting for the community in CRC disease not previously provided by earlier meta-analysis studies [11].

Using both feces (n = 1737) and tissue (492 samples from 350 individuals) totaling over

2229 total samples across 14 studies [12,16-28] [Table 1 & 2], we expand both the breadth and scope of the previous meta-analysis to investigate whether the bacterial community is an important risk factor for both adenoma and carcinoma. To accomplish this we first assessed whether diversity changes throughout disease (control to adenoma to 86 carcinoma) and if it results in an increased relative risk (RR) for adenoma or carcinoma. 87 We then assessed how common CRC-associated genera (Fusobacterium, Parvimonas, Peptostreptococcus, or Porphyromonas) affect the RR of adenoma or carcinoma. Next, 89 using Random Forest models, we analyzed whether the full community or only the 90 CRC-associated genera resulted in better model classification based on the area under 91 the curve (AUC). Our results suggest that the community changes as disease severity 92 worsens and that this community is important for disease classification. However, since the 93 changes in community were subtle for adenoma we also examined what effect and sample 94 size the studies that were used were adequately powered for. Although we analyzed data sets that sampled large numbers of individuals, our results indicate the individual studies were underpowered for detecting effect size differences of 10% or below between the case and control groups.

# **Results**

Lower Community Diversity is Associated with Increased RR of Carcinomas: By 100 power transforming and Z-score normalizing the  $\alpha$ -diversity metrics for the entire data set 101 we assessed whether there were any broad scale community differences that could be 102 detected as disease severity worsened. Using linear mixed-effect models to control for 103 study, re-sampling of the same individual (tissue studies only), and variable region, there 104 was a significant decrease from control to adenoma to carcinoma for both evenness and 105 Shannon diversity in stool (P-value = 0.025 and 0.043, respectively) and no  $\alpha$ -diversity 106 correlations in tissue (P-value > 0.05) [Figure 1]. We next tested whether these detectable 107 differences in community resulted in significant increases in RR. For fecal samples, a 108 decrease in evenness resulted in a significantly increased RR for carcinoma (RR = 1.36 109 (1.15 - 1.61), P-value = 0.00034) and adenoma (RR = 1.16 (1.01 - 1.34), P-value = 110 0.032) while a decrease in Shannon diversity only increased the RR for carcinoma (RR 111 = 1.33 (1.09 - 1.62), P-value = 0.0047) [Figure 2]. Interestingly, for both adenoma and 112 carcinoma there was no increase in RR within tissue samples for any alpha diversity metric investigated [Table S1-S3].

Using the Bray-Curtis distance metric, there was a significant difference across study in the bacterial community of fecal samples between carcinoma and controls, but not adenoma and controls [Table S4 & S5]. For studies with unmatched tissue samples a similar trend was observed [Table S3 & S4] while studies with tissue samples from the same individual (matched) had no differences [Table S6 & S7].

Mouth-Associated Genera are Associated with an Increased RR of Lesion: Based on the small increase in RR using  $\alpha$ -diversity metrics, we assessed if being higher than the median for any specific genera resulted in an altered RR for adenoma or carcinoma in stool and tissue. To investigate this we analyzed all common genera across each

study, in tissue or stool, and assessed whether a relative abundance higher than the median results in an increase or decrease in RR. For both stool and tissue none of the genera in the top 5 most significantly increased RR were the same between adenoma and carcinoma [Figure 3]. Mouth-associated genera were in the top 5 genera associated 127 with an increased RR of adenoma (Pyramidobacter [Figure 3A] and Rothia [Figure 3C]) 128 and carcinoma (Fusobacterium, Parvimonas, Porphyromonas, and Peptostreptococcus 129 [Figure 3B] and Fusobacterium [Figure 3D]) for both stool and tissue. Conversely, genera 130 commonly associated with a normal gastrointestinal tract were correlated with a decreased 131 RR for both adenoma and carcinoma for both stool and tissue [Figure 3]. Overall, there 132 was little direct overlap of the top 5 increased or decreased RR genera between stool and 133 tissue. 134

For adenoma, there was almost no overlap between genera with a RR P-value of less than 0.05 and when they were similar the RR was in opposite directions (e.g. *Lactococcus*) [Table S8 & S9]. Many of the genera that had a P-value under 0.05 for either an increased or dereased RR are also highly prevalent in contamination, specifically, *Novosphingobium*, *Selemonas*, and *Achromobacter* [Figure 3 & Table S8-S9]. For carcinoma, certain mouth-associated genera (*Fusobacterium*, *Parvimonas*, *Peptostreptococcus*) had a high RR for both tissue and stool [Table S10 & S11]. Although the most significant increased RR genera for tissue was *Camplyobacter* while in stool it was *Peptostreptococcus* [Table S10 & S11].

Whole Community Models Add Important Context: Since CRC-associated genera increased RR for carcinoma over diversity metrics we assessed whether the bacterial community was better at classifying disease versus only CRC-associated genera and tested this using two approaches. The first approach used genus level data and tested whether there were any differences in AUC between all genera and CRC-associated genera only during training on one study or testing on all other studies. The second approach

used OTU level data and tested whether there was a generalized decrease in the 10-fold cross validation (CV) model AUC across studies when comparing all OTUs versus only
OTUs that taxonomically classified to CRC-associated genera.

For the genera-based models both tissue and stool studies had a median AUC decrease in model classification when only CRC-associated genera versus all genera were included [Figure S2-S3]. With respect to the test sets, comprised of genera data from other studies, both all and CRC-associated genera models had a similar ability to detect adenomas or carcinomas [Figure S4-S6]. The OTU-based models showed a similar trend to the genera models where a generalized decrease in median AUC was observed when only OTUs from the CRC-associated genera were used versus the full community of OTUs for both adenoma and carcinoma, with the largest median change for stool in carcinoma models and for tissue in adenoma models [Figure 4 & 5].

In stool the most common genera in the genus based models belonged to genera such as *Ruminococcus*, *Bacteroides*, and *Roseburia* [Figure 6A & B]. Although all four CRC-associated genera were present in carcinoma, none were present in the majority of studies and *Fusobacterium* was the only genus present in adenoma [Figure 6A & B]. For the OTU based models, *Ruminococcaceae* was present in the top 10 consistently for both adenoma and carcinoma models while *Roseburia* was only present in many adenoma models and *Bacteroides* was present in the overwhelming majority of the carcinoma models [Figure 6C & 6D].

Unlike stool, tissue based Random Forest models showed no consistent representation of *Ruminococcaceae*, *Ruminococcus*, *Bacteroides*, and *Roseburia* in the top 10 across study [Figure S7]. The vast majority of the top 10 genera and OTUs occured in a study specific manner for these tissue based Random Forest models. For both the genera and OTU Random Forest models of adenoma and carcinoma, there appears to be very little overlap in the top 10 most important variables between stool and tissue [Figure 6 & S7].

This discordance between stool and tissue also applies to the CRC-associated genera with one noticeable skew being that *Fusobacterium* and *Fusobacteriaceae* occur more often in the top 10 of Random Forest models using matched versus unmatched tissue samples [Figure S7B-C & S7E-F].

cRC Studies are Underpowered for Detecting Small Effect Sizes: Based on our reported results we assessed whether the studies analyzed were realistically powered to identify small, medium, and large scale differences between cases and controls. When assessing the power of each study at different effect sizes the majority of studies, for both adenoma and carcinoma, acheived 80% power to detect a 30% or greater difference between groups [Figure 7A & B]. No study that was analyzed had the standard 80% power to detect an effect size difference that was equal to or below 10% [Figure 7A & B]. In order to achieve a power of 80%, for small effect sizes, studies used in our meta-analysis would need to recruit over 1000 individuals for each arm [Figure 7C].

# **Discussion**

Our study identifies clear differences in diversity, both at the community level and for individual genera, that are present in patients with and without CRC [Figure 1-3]. Although 19 there was a step-wise decrease in diversity from control to adenoma to carcinoma, this did 192 not translate into large effect sizes for the RR of lesion. Even though mouth-associated 193 genera increased the RR of carcinoma, they did not consistently increase the RR 194 These mouth-associated genera are clearly important to carcinoma of adenoma. 195 classification but our observations suggest that accounting for the community in which 196 these microbes exist can increase the ability of models to make predictions. 197

The data presented herein supports the importance of mouth-associated genera for 198 carcinoma but not necessarily adenoma formation. A proposed mechanism by which these mouth-associated microbes cause CRC stems from the creation of a vicious cycle 200 involving host inflammation and repair. Both the drastically increased carcinoma RR of mouth-associated genera versus  $\alpha$ -diversity metrics and increasing RR with more 202 mouth-associated genera positivity [Figure 3C], are highly supportive of their importance. 203 However, our observations show that when adding the community context to our Random 204 Forest models along with the mouth-associated genera, the model AUC increases [Figure 4 205 & 5]. This suggests the resident community cannot be ignored completely and needs to be 206 accounted for when investigating mouth-associated microbes and carcinoma. Conversely, 207 using the present data, it is less likely that mouth-associated microbes are correlated with 208 adenoma development. The step-wise decrease in diversity suggests that the adenoma 209 community is not normal but has changed subtly [Figure 1]. Yet, this change in diversity, at 210 this early stage of disease, could be focal to the adenoma itself. The poor performance of 211 the Random Forest models for classifying adenoma, based only on the stool microbiota, 212 would suggest that this is the case. One potential hypothesis from these observations is 213 that at early stages of the disease, how the host interacts with these subtle changes is

what ultimately leads to a thoroughly dysfunctional community that is supportive of CRC genesis.

Within stool, common resident microbes were most consistently present in the top 10 217 genera or OTUs across study [Figure 6]. Changes in Bacteroides, Ruminococcaceae, 218 Ruminococcus, and Roseburia were consistently found to be disciminative across the 219 different studies for both adenoma and carcinoma [Figure 6]. This data would suggest 220 that whether the non-resident bacterium is Fusobacteria or Peptostreptococcus is not as 221 important as how these bacteria interact with the changing resident community. Based 222 on these observations, it is possible to hypothesize that tsmall changes in community 223 structure lead to new niches in which any one of the CRC-associated genera can gain a foothold, exacerbating the initial changes in community and facilitating the transition from adenoma to carcinoma.

The tissue studies did not provide a clearer understanding of how the microbiota may be associated with lesion. Generally, the OTU models of unmatched and matched [Figure S7E & F] tissue samples were concordant with stool showing that resident microbes were the most prevalent in the top 10 across study. Unlike in stool, *Fusobacterium* was the only mouth-associated bacteria consistently present in the top 10 of the CRC models [Figure S7B-C & E-F]. The majority of the tissue results seem to be study specific with many top 10 taxa being present only in a single study. Additionally, the presence of genera associated with contamination, within the top 10 most important variables for the genera and OTU models, is worrying because it is commonly a marker of contamination. The low bacterial biomass of tissue samples coupled with potential contaminationcould ultimately explain why the these results seem to be more sporadic than the stool results.

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The associations between the microbiota and adenoma are inconclusive, in part, because many studies may not be powered effectively to observe small effect sizes. None of the studies analyzed were properly powered to detect a 10% or lower change between cases

and controls. A small effect size may well be the scope in which differences consistently occur in adenoma based on the results observed within our meta-analysis. Future studies investigating adenoma and the microbiota need to take power into consideration if we are to reproducibly study whether the microbiota contributes to poly formation. In contrast to adenoma, our observations suggest that most studies analyzed have sufficient power to detect many changes in carcinoma because of large effect size differences between cases and controls [Figure 7].

# 48 Conclusion

By aggregating together a large collection of studies from both feces and tissue, we are able to provide evidence in support of the importance of the bacterial community in CRC. Further, 250 the data presented here suggests that non-resident mouth-associated microbes can gain 251 a foothold within the colon and the more of these microbes that are present the greater the 252 RR of carcinoma. Conversely, no clear signal with these mouth-associated micobes could 253 be detected for adenoma. Our observations also highlight the importance of power and 254 sample number considerations when undertaking investigations into the microbiota and 255 adenoma due to the subtle changes in the community. Overall, the microbiota associations 256 with carcinoma are much stronger than the associations with adenoma. 257

## **Methods**

**Obtaining Data Sets:** The studies used for this meta-analysis were identified through the review articles written by Keku, et al. and Vogtmann, et al. [29,30] and additional 260 studies not mentioned in the reviews were obtained based on the authors' knowledge of 26 the literature. Studies that used tissue or feces as their sample source for 454 or Illumina 262 16S rRNA gene sequencing analysis were included and only data sets that had sequences 263 available for analysis were included. Some studies were excluded because they did not 264 have publicly available sequences or did not have metadata in which the authors were able 265 to share and were excluded. After these filtering steps, the following studies remained: 266 Ahn, et al. [26], Baxter, et al. [16], Brim, et al. [22], Burns, et al. [27], Chen, et al. [19], 267 Dejea, et al. [24], Flemer, et al. [12], Geng, et al. [28], Hale, et al. [18], Kostic, et al. [15], 268 Lu, et al. [21], Sanapareddy, et al. [25], Wang, et al. [20], Weir, et al. [23], and Zeller, et al. 269 [17]. The Zackular [31] study was not included because the 90 individuals analyzed within 270 the study are contained within the larger Baxter study [16]. After sequence processing all 271 the case samples for the Kostic study had 100 or less sequences remaining and was not used which left a total of 14 studies that analysis could be completed on. 273

Data Set Breakdown: In total, there were seven studies with only fecal samples (Ahn, Baxter, Brim, Hale, Wang, Weir, and Zeller), five studies with only tissue samples (Burns, Dejea, Geng, Lu, Sanapareddy), and two studies with both fecal and tissue samples (Chen and Flemer). The total number of individuals that were analyzed after sequence processing for feces was 1737 [Table 1]. The total number of matched and unmatched tissue samples that were analyzed after sequence processing was 492 [Table 2].

Sequence Processing: For the majority of studies, raw sequences were downloaded from the Sequence Read Archive (SRA) (ftp://ftp-trace.ncbi.nih.gov/sra/sra-instant/reads/
ByStudy/sra/SRP/) and metadata was obtained by searching the respective accession

number of the study at the following website: http://www.ncbi.nlm.nih.gov/Traces/study/. Of
the studies that did not have sequences and metadata on the SRA, data was obtained from
DBGap (n = 1, [26]) and directly from the authors (n = 4, [12,18,23,25]). Each study was
processed using the mothur (v1.39.3) software program [32] and quality filtering utilized
the default methods, used in mothur, for either 454 or Illumina based sequencing. If it was
not possible to use the defaults, the stated quality cut-offs, from the study itself, were used
instead. Chimeras were identified and removed using VSEARCH [33] before *de novo* OTU
clustering at 97% similarity was completed using the OptiClust algorithm [34].

Statistical Analysis: All statistical analysis after sequence processing utilized the R 291 (v3.4.3) software package [35]. For the  $\alpha$ -diversity analysis, values were power transformed using the rcompanion (v1.10.1) package [36] and then Z-score normalized using the car (v2.1.5) package [37]. Testing for  $\alpha$ -diversity differences utilized linear mixed-effect models created using the lme4 (v1.1.14) package [38] to correct for study, repeat sampling of individuals (tissue only), and 16S hypervariable region used. Relative risk was analyzed using both the epiR (v0.9.87) and metafor (v2.0.0) packages [39,40] by assessing how 297 many individuals with and without disease were above and below the overall median value 298 within each specific study. Relative risk significance testing utilized the chi-squared test. 299 β-diversity differences utilized a Bray-Curtis distance matrix and PERMANOVA executed 300 with the vegan (v2.4.4) package [41]. Random Forest models were built using both the caret 301 (v6.0.77) and randomForest (v4.6.12) packages [42,43]. Power analysis and estimations 302 were made using the pwr (v1.2.1) and statmod (v1.4.30) packages [44,45]. All figures were 303 created using both ggplot2 (v2.2.1) and gridExtra (v2.3) packages [46,47]. 304

Study Analysis Overview:  $\alpha$ -diversity was first assessed for differences between controls, adenoma, and carcinoma using both linear mixed-effect models and RR.  $\beta$ -diversity was then assessed for each individual study for differences between control-adenoma and control-carcinoma. Next, four specific CRC-associated genera (*Fusobacterium*,

Parvimonas, Peptostreptococcus, and Porphyromonas) were assessed for differences in RR for adenoma and carcinoma. We then built Random Forest models based on all or CRC-associated genera and these models were trained on one study then tested on the remaining studies, for every study. A similar approach was then applied at the OTU level with the exception that a 10-fold CV over 100 different models, based on random 80/20 splitting of the data, was used to generate a range of expected AUCs. For these OTU based models, the CRC-associated genera included all OTUs that had a taxonomic classification to Fusobacterium, Parvimonas, Peptostreptococcus, or Porphyromonas. Finally, the power of each study was assessed for an effect size ranging from 1% to 30% and an estimated sample size, for these effect sizes, was generated based on 80% power. For comparisons in which normal versus adenoma were made the carcinoma samples were excluded from each respective study. Similarly, for comparisons in which normal versus carcinoma were made the adenoma samples were excluded from each respective study. The data was split between feces and tissue samples. Within the tissue groups the data was further divided between samples from the same individual (matched) and those from different individuals (unmatched). Where applicable for each study, predictions for adenoma and carcinoma were then tested for feces, matched tissue, and unmatched tissue.

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**Obtaining CRC-associated Genera:** For the CRC-associated genera analysis of the RR, 326 the total average counts, for 100 different subsamplings, were collected for each respective 327 OTU that had a genus level taxonomic classification to Fusobacterium, Parvimonas, 328 Peptostreptococcus, and Porphyromonas. The OTU based Random Forest Models 329 using CRC-associated genera utilized a similar approach except that the OTUs were 330 not aggregated together by genus but kept as separate OTUs. OTU Random Forest 331 models using the full community included all OTUs while those using CRC-associated 332 genera included only those OTUs that had a genus level taxonomic classification to 333 Fusobacterium, Parvimonas, Peptostreptococcus, and Porphyromonas.

Matched versus Unmatched Tissue Samples: In general, tissue samples that had control and lesion samples, that did not belong to the same individual, were classified as unmatched while samples, that belonged to the same individual, were classified as matched. Studies with matched data included Burns, Dejea, Geng, and Lu while those with unmatched data were from Burns, Flemer, Chen, and Sanapareddy. For some studies 339 samples became unmatched due to one of the corresponding matched samples not making 340 it through sequence processing. All samples, from both tissue sample types, were analyzed together for the linear mixed-effect models with samples from the same individual corrected 342 for. For all other analysis, not mentioned herein, matched and unmatched samples were 343 analyzed separately using the statistical approaches mentioned in the Statistical Analysis 344 section. 345

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Assessing Important Random Forest Model Variables: Using Mean Decrease in Accuracy (MDA) the top 10 most important variables were obtained in two different ways depending on whether the model used genera or OTU data. For the genus based models, 348 the number of times that a genus showed up in the top 10 of the training set across each 349 study was counted while, for the OTU based models, the medians for each OTU across 350 100 different 80/20 splits of the data was generated and the top 10 OTUs then counted 351 for each study. Common taxa, for the OTU based models, were identified by using the 352 lowest classification within the RDP database for each of the specific OTUs obtained from 353 the previous counts and the number of times this classification occurred in the top 10, in 354 each study, was recorded. The two studies that had adenoma tissue were equally divided 355 between matched and unmatched groups and were grouped together for the counting of 356 the top 10 genera and OTUs. 357

Reproducible Methods: The code and analysis can be found here at https://github.com/ SchlossLab/Sze CRCMetaAnalysis Microbiome 2017. Unless otherwise mentioned, the 359 accession number for the raw sequences for the studies used in this analysis can be found 360

361	directly in the respective batch file in the GitHub repository or in the original manuscript.							

# **Declarations**

### Ethics approval and consent to participate

Ethics approval and informed consent for each of the studies used is mentioned in the respective manuscripts used in this meta-analysis.

## 366 Consent for publication

Not applicable.

#### 368 Availability of data and material

A detailed and reproducible description of how the data were processed and analyzed for each study can be found at https://github.com/SchlossLab/Sze\_CRCMetaAnalysis\_
Microbiome\_2017. Raw sequences can be downloaded from the SRA in most cases and can be found in the respective study batch file in the GitHub repository or within the original publication. For instances when sequences are not publicly available, they may be accessed by contacting the corresponding authors from whence the data came.

#### 375 Competing Interests

All authors declare that they do not have any relevant competing interests to report.

## 377 Funding

MAS is supported by a Canadian Institute of Health Research fellowship and a University of Michigan Postdoctoral Translational Scholar Program grant.

#### Authors' contributions

All authors helped to design and conceptualize the study. MAS identified and analyzed the data. MAS and PDS interpreted the data. MAS wrote the first draft of the manuscript and both he and PDS reviewed and revised updated versions. All authors approved the final manuscript.

## 85 Acknowledgements

The authors would like to thank all the study participants who were a part of each of the individual studies utilized. We would also like to thank each of the study authors for making their data available for use. Finally, we would like to thank the members of the Schloss lab for valuable feed back and proof reading during the formulation of this manuscript.

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Table 1: Total Individuals in each Study Included in the Stool Analysis

Study	Data Stored	16S Region	Control (n)	Adenoma (n)	Carcinoma (n)
Ahn	DBGap	V3-4	148	0	62
Baxter	SRA	V4	172	198	120
Brim	SRA	V1-3	6	6	0
Flemer	Author	V3-4	37	0	43
Hale	Author	V3-5	473	214	17
Wang	SRA	V3	56	0	46
Weir	Author	V4	4	0	7
Zeller	SRA	V4	50	37	41

Table 2: Studies with Tissue Samples Included in the Analysis

Study	Data Stored	16S Region	Control (n)	Adenoma (n)	Carcinoma (n)
Burns	SRA	V5-6	18	0	16
Chen	SRA	V1-V3	9	0	9
Dejea	SRA	V3-5	31	0	32
Flemer	Author	V3-4	103	37	94
Geng	SRA	V1-2	16	0	16
Lu	SRA	V3-4	20	20	0
Sanapareddy	Author	V1-2	38	0	33

- Figure 1:  $\alpha$ -Diversity Differences between Control, Adenoma, and Carcinoma Across Sampling Site. A)  $\alpha$ -diversity metric differences by group in stool samples. B)  $\alpha$ -diversity metric differences by group in unmatched tissue samples. C)  $\alpha$ -diversity metric differences by group in matched tissue samples. The dashed line represents a Z-score of 0 or no difference from the median.
- Figure 2: Relative Risk for Adenoma or Carcinoma based on  $\alpha$ -Diversity Metrics in Stool. A)  $\alpha$ -metric relative risk for adenoma. B)  $\alpha$ -metric relative risk for carcinoma. Colors represent the different variable regions used within the respective study.
- Figure 3: CRC-Associated Genera Relative Risk for Adenoma and Carcinoma in

  Stool and Tissue. A) Adenoma relative risk in stool. B) Carcinoma relative risk in stool.

  C) Adenoma relative risk in tissue. D) Carcinoma relative risk in tissue. For all panels the
  relative risk was also compared to whether one, two, three, or four of the CRC-associated
  genera were present.
- Figure 4: OTU Random Forest Model of Stool Across Studies. A) Adenoma random forest model between the full community and CRC-associated genera OTUs only. B)
  Carcinoma random forest model between the full community and CRC-associated genera
  OTUs only. The dotted line represents an AUC of 0.5 and the lines represent the range in which the AUC for the 100 different 80/20 runs fell between. The solid red line represents the median AUC of all the studies for either the full community or CRC-associated genera
  OTUS only model.
- Figure 5: OTU Random Forest Model of Tissue Across Studies. A) Adenoma random forest model between the full community and CRC-associated genera OTUs only. B)
  Carcinoma random forest model between the full community and CRC-associated genera
  OTUs only. The dotted line represents an AUC of 0.5 and the lines represent the range in
  which the AUC for the 100 different 80/20 runs fell between. The solid red line represents

the median AUC of all the studies for either the full community or CRC-associated genera
OTUS only model.

Figure 6: Most Common Genera Across Full Community Stool Study Models. A)
Common genera in the top 10 for adenoma Random Forest genus models. B) Common
genera in the top 10 for carcinoma Random Forest genus models. C) Common genera in
the top 10 for adenoma Random Forest OTU models. D) Common genera in the top 10 for
carcinoma Random Forest OTU models.

Figure 7: Power and Effect Size Analysis of Studies Included. A) Power based on
effect size for studies with adenoma individuals. B) Power based on effect size for studies
with carcinoma individuals. C) The estimated sample number needed for each arm of each
study to detect an effect size of 1-30%. The dotted red lines in A) and B) represent a power
of 0.8.

- Figure S1: Relative Risk for Adenoma or Carcinoma based on  $\alpha$ -Diversity Metrics in Tissue. A)  $\alpha$ -metric relative risk for adenoma. B)  $\alpha$ -metric relative risk for carcinoma. Colors represent the different variable regions used within the respective study.
- Figure S2: Random Forest Genus Model AUC for each Stool Study. A) AUC of adenoma models using all genera or CRC-associated genera only. B) AUC of carcinoma models using all genera or CRC-associated genera only. The black line represents the median within each group.
- Figure S3: Random Forest Genus Model AUC for each Tissue Study. A) AUC of adenoma models using all genera or only CRC-associated genera divided between matched and unmatched tissue. B) AUC of carcinoma models using all genera or CRC-associated genera only. The black line represents the median within each group divided between matched and unmatched tissue.
- Figure S4: Random Forest Prediction Success Using Genera for each Stool Study.

  A) AUC for prediction in adenoma using all genera or CRC associated genera only. B)

  AUC for prediction in carcinoma using all genera or CRC-associated genera only. The

  dotted line represents an AUC of 0.5. The x-axis is the data set in which the model was

  initially trained on. The red lines represent the median AUC using that specific study as

  the training set.
- Figure S5: Random Forest Prediction Success of Carcinoma Using Genera for each
  Tissue Study. A) AUC for prediction in unmatched tissue for all genera or CRC-associated
  genera only. B) AUC for prediction in matched tissue using all genera or CRC-associated
  genera only. The dotted line represents an AUC of 0.5. The x-axis is the data set in which
  the model was initially trained on. The red lines represent the median AUC using that
  specific study as the training set.
- 83 Figure S6: Random Forest Prediction Success of Adenoma Using Genera for each

Tissue Study. The red lines represent the median AUC using that specific study as the training set.

Figure S7: Most Common Genera Across Full Community Tissue Study Models. A)
Common genera in the top 10 for adenoma Random Forest genus models. B) Common
genera in the top 10 for unmatched carcinoma Random Forest genus models. B) Common
genera in the top 10 for matched carcinoma Random Forest genus models. D) Common
genera in the top 10 for adenoma Random Forest OTU models. E) Common genera in the
top 10 for unmatched carcinoma Random Forest OTU models. F) Common genera in the
top 10 for matched carcinoma Random Forest OTU models.