Making Sense of the Noise: Leveraging Existing 16S rRNA Gene Surveys to Identify Key Community Members in Colorectal Tumors

Marc A Sze<sup>1</sup> and Patrick D Schloss<sup>1†</sup>

† To whom correspondence should be addressed: pschloss@umich.edu

1 Department of Microbiology and Immunology, University of Michigan, Ann Arbor, MI

### Co-author e-mails:

• marcsze@med.umich.edu

### Abstract

Background. An increasing body of literature suggests that both individual and collections of bacteria are associated with the progression of colorectal cancer. As the number of studies investigating these associations increases and the number of subjects in each study increases, a meta-analysis to identify the associations that are the most predictive of disease progression is warranted. For our meta-analysis, we analyzed previously published 16S rRNA gene sequencing data collected from feces (1737 individuals from 8 studies)

and colon tissue (492 total samples from 350 individuals from 7 studies).

Results. We quantified the odds ratios for individual bacterial taxa that were associated with an individual having tumors relative to a normal colon. Among the stool samples, there were no taxa that had a significant odds ratio associated with adenoma and there were 8 taxa with significant odds ratios (ORs) associated with carcinoma. Similarly, among the tissue samples, there were no taxa that had a significant odds ratio associated with adenoma and there were 3 taxa with significant odds ratios associated with carcinoma. Among the significant odds ratios, the association between individual taxa and tumor diagnosis was equal or below 7.11. Because individual taxa had limited association with 16 tumor diagnosis, we trained Random Forest classification models using only the taxa that 17 had significant ORs, using the entire collection of taxa found in each study, and using 18 operational taxonomic units defined based on a 97% similarity threshold. All training approaches yielded similar classification success as measured using the Area Under the Curve. The ability to correctly classify individuals with adenomas was poor and the ability 21 to classify individuals with carcinomas was considerably better using sequences from stool or tissue.

- <sup>24</sup> Importance. This meta-analysis confirms previous results indicating that individuals with
- <sup>25</sup> adenomas cannot be readily classified based on their bacterial community, but that those
- <sup>26</sup> with carcinomas can. Regardless of the dataset, we found a subset of the fecal community
- 27 that was associated with carcinomas was as predictive as the full community.

# 8 Keywords

microbiota; colorectal cancer; polyps; adenoma; tumor; meta-analysis.

## Background

Colorectal cancer (CRC) is a growing world-wide health problem in which the microbiota has been hypothesized to have a role in disease progression (1, 2). Numerous studies using murine models of CRC have shown the importance of both individual microbes (3-7) and the overall community (8-10) in tumorigenesis. Numerous case-control studies 34 have characterized the microbiota of individuals with colonic adenomas and carcinomas 35 in an attempt to identify biomarkers of disease progression (6, 11–17). Because current 36 CRC screening recommendations are poorly adhered to due to socioeconomic status, test 37 invasiveness, and frequency of tests, development and validation of microbiome-associated 38 biomarkers for CRC progression could further attempts to develop non-invasive diagnostics (18).40

Recently, there has been an intense focus on identifying microbiota-based biomarkers yielding a seemingly endless number of candidate taxa. Some studies point towards mouth-associated genera such as Fusobacterium, Peptostreptococcus, Parvimonas, and Porphyromonas that are enriched in people with carcinomas (6, 11–17). Other studies have identified members of Akkermansia, Bacteroides, Enterococcus, Escherichia, Klebsiella, 45 Mogibacterium, Streptococcus, and Providencia are also associated with carciomas (13–15). Additionally, Roseburia has been found in some studies to be more abundant in 47 people with tumors but in other studies it has been found to be either less abundant or no different than what is found in subjects with normal colons (14, 17, 19, 20). There are strong results from tissue culture and murine models that Fusobacterium nucleatum, pks-positive 50 strains of Escherichia coli, Streptococcus gallolyticus, and an entertoxin-producing strain 51 of Bacteroides fragilis are important in the pathogenesis of CRC (5, 14, 21–24). These 52 results point to a causative role for the microbiota in CRC pathogenesis as well as their potential as diagnostic biomarkers.

Most studies have focused on identifying biomarkers in patients with carcinomas but
there is a greater clinical need to identify biomarkers associated with adenomas. Studies
focusing on broad scale community metrics have found that measures such as the
total number of Operational Taxonomic Units (OTUs) are decreased in those with
adenomas versus controls (25). Other studies have identified *Acidovorax*, *Bilophila*, *Cloacibacterium*, *Desulfovibrio*, *Helicobacter*, *Lactobacillus*, *Lactococcus*, *Mogibacterium*,
and *Pseudomonas* to be enriched in those with adenomas (25–27). There are few genera
that are enriched in patients with adenoma or carcinoma tumors.

Confirming some of these previous findings, a recent meta-analysis found that 16S rRNA gene sequences from members of *Akkermansia*, *Fusobacterium*, and *Parvimonas* were fecal biomarkers for the presence of carcinomas (28). Contrary to previous studies they found sequences similar to members of *Lactobacillus* and *Ruminococcus* to be enriched in patients with adenoma or carcinoma relative to those with normal colons (12, 15, 16). In addition, they found 16S rRNA gene sequences from members of *Haemophilus*, *Methanosphaera*, *Prevotella*, *Succinovibrio* were enriched in patients with adenoma and *Pantoea* were enriched in patients with carcinomas. Although this meta-analysis was helpful for distilling a large number of possible biomarkers, the aggregate number of samples included in the analysis (n = 509) was smaller than several larger case-control studies that have since been published (12, 27)

Here we provide an updated meta-analysis using 16S rRNA gene sequence data from both feces (n = 1737) and colon tissue (492 samples from 350 individuals) from 14 studies (11–17, 19, 20, 23, 25–27, 29) [Table 1 & 2]. We expand both the breadth and scope of the previous meta-analysis to investigate whether biomarkers describing the bacterial community or specific members of the community can more accurately classify patients as having adenoma or carcinoma. Our results suggest that the bacterial community changes as disease severity worsens and that a subset of the microbial community can be used to

81 diagnose the presence of carcinoma.

### 32 Results

Lower bacterial diversity is associated with increased odds ratio (OR) of tumors.

We first assessed whether variation in broad community metrics like total number of operational taxonomic units (OTUs) (i.e. richness), the evenness of their abundance, and 85 the overall diversity was associated with disease stage after controlling for study and 86 variable region differences. In stool, there was a significant decrease in both evenness and 87 diversity as disease severity progressed from normal to adenoma to carcinoma (P-value = 0.025 and 0.043, respectively) [Figure 1]; there was no significant difference for richness 89 (P-value = 0.21). We next tested whether the decrease in these community metrics 90 translated into significant ORs for having an adenoma or carcinoma. For fecal samples, the ORs for richness were not significantly greater than 1.0 for adenoma or carcinoma (P-value 92 = 0.40) [Figure 2A]. The ORs for evenness were significantly higher than 1.0 for adenoma (OR = 1.3 (1.02 - 1.65)(95% Confidence Interval), P-value = 0.035) and carcinoma (OR = 1.66 (1.2 - 2.3), P-value = 0.0021) [Figure 2B]. The ORs for diversity were only significantly greater than 1.0 for carcinoma (OR = 1.61 (1.14 - 2.28), P-value = 0.0069), but not for adenoma (P-value = 0.11) [Figure 2C]. Although these OR are significantly greater than 1.0, it is doubtful that these are clinically meaningful values.

Similar to our analysis of sequences obtained from stool samples, we repeated the analysis using sequences obtained from colon tissue. There were no significant changes in richness, evenness, or diversity as disease severity progressed from control to adenoma to carcinoma (P-value > 0.05). We next analyzed the OR, for matched (i.e. where unaffected tissue and tumors were obtained from the same individual) and unmatched (i.e. where unaffected tissue and tumor tissue were not obtained from the same individual) tissue samples. The ORs for adenoma and carcinoma by any measure were not significantly different from 1.0 (P-value > 0.05) [Figure S1 & Table S1]. This is likely due to the combination of a small effect size, as suggested from the results using stool, and the

relatively small number of studies and size of studies used in the analysis.

Disease progression is associated with community-wide changes in composition 109 and abundance. Based on the differences in evenness and diversity, we next asked 110 whether there were community-wide differences in the structure of the communities 111 associated with different disease stages. We identified significant bacterial community 112 differences in the stool of patients with adenomas relative to those with normal colons 113 in 1 of 4 studies and in patients with carcinomas relative to those with normal colons in 114 6 of 7 studies (PERMANOVA; P-value < 0.05) [Table S2]. Similar to the analyses using 115 stool samples, there were significant differences in bacterial community structure between subjects with normal colons and those with adenoma (1 of 2 studies) and carcinoma (1 of 3 studies) [Table S2]. For studies that used matched samples no differences in bacterial community structures were observed [Table S2]. Combined, these results indicate that there are consistent and significant community-wide changes in the fecal community structure of subjects with carcinomas. However, the signal observed in subjects with 121 adenomas or when using tissue samples was not as consistent. This is likely due to a 122 smaller effect size or the relatively small sample sizes among the studies that characterized 123 the tissue microbiota. 124

Individual taxa are associated with significant ORs for carcinomas. Next we identified those taxa that were associated with ORs that were significantly associated with having a normal colon or the presence of adenomas or carcinomas. No taxa had a significant OR for the presence of adenomas when we used data collected from stool or tissue samples (Table S3 & S4). In contrast, 8 taxa had significant ORs for the presence of carcinomas using data from stool samples. Of these, 4 are commonly associated with the oral cavity: Fusobacterium (OR = 2.74 (1.95 - 3.85)), Parvimonas (OR = 3.07 (2.11 - 4.46)), Porphyromonas (OR = 3.2 (2.26 - 4.54)), and Peptostreptococcus (OR = 7.11 (3.84 - 13.17)) [Table S3]. The other 4 were Clostridium XI (OR = 0.65 (0.49 - 0.86)),

Enterobacteriaceae (OR = 1.79 (1.33 - 2.41)), Escherichia (OR = 2.15 (1.57 - 2.95)), and Ruminococcus (OR = 0.63 (0.48 - 0.83)). Among the data collected from tissue samples, only unmatched carcinoma samples had taxa with a significant OR. Those included Dorea 136 (OR = 0.35 (0.22 - 0.55)), Blautia (OR = 0.47 (0.3 - 0.73)), and Weissella (OR = 5.15 (2.02))137 - 13.14)). Mouth-associated genera were not significantly associated with an increased 138 OR for carcinoma in tissue samples [Table S4]. For example, Fusobacterium had an 139 OR of 3.98 (1.19 - 13.24; however, due to the small number of studies and considerable 140 variation in the data, the Benjimani-Hochberg corrected P-value was 0.93 [Table S4]. It is 141 interesting to note that Ruminococcus and members of Clostridium XI in stool and Dorea 142 and Blautia in tissue had ORs that were significantly less than 1.0, which suggests that 143 these populations are protective against the development of carcinomas. Overall, there 144 was no overlap in the taxa with significant OR between stool and tissue samples. 145

Individual taxa with a significant OR do a poor job of differentiating subjects with normal colons and those with carcinoma. We next asked whether those taxa that had 147 a significant OR associated with having a normal colon or carcinomas could be used 148 individually, to classify subjects as having a normal colon or carcinomas. OR values 149 were caluclated based on whether the relative abundance for a taxon in a subject was 150 above or below the median relative abundance for that taxon across all subjects in a 151 study. To measure the ability of these taxa to classify individuals we instead generated 152 receiver operator characteristic (ROC) curves for each taxon in each study and calculated 153 the area under the curve (AUC). This allowed us to use a more fluid relative abundance 154 threshold for defining disease status. Using data from stool samples, the 8 taxa did 155 no better at classifying the subjects than one would expect by chance (i.e. AUC=0.50) 156 [Figure 3A]. The taxa that performed the best included *Clostridium XI*, *Ruminococcus*, 157 and Escherichia, however, these had median AUC values less than 0.588. Likewise, in unmatched tissue samples the 3 taxa with significant OR taxa were marginally better than one would expect by chance [Figure 3B]. The relative abundance of *Dorea* was the best predictor of carcinomas and its median AUC was only 0.62. These results suggest that although these taxa are associated with a decreased or increased OR for the presences of carcinomas, individually, they do a poor job of classifying a subject's disease status.

Combined taxa model classifies subjects better than using individual taxa. Instead 164 of attempting to classify subjects based on individual taxa, next we combined information 165 from the individual taxa and evaluated the ability to classify a subject's disease status using 166 Random Forest models. For data from stool samples, the combined model had an AUC of 0.75, which was significantly higher than any of the AUC values for the individual taxa 168 (P-value < 0.033). For the full taxa models using stool, Bacteroides and Lachnospiraceae 169 were the most common taxa in the top 10% mean decrease in accuracy (MDA) across studies [Figure S2]. Of the 3 taxa with significant ORs, all 3 were among the top 10% 171 most important taxa as measured by mean decrease in accuracy, in at least one study. Within the significant OR taxa only models the most important taxa across study for stool were Ruminococcus and Clostridium XI based on mean decrease in accuracy [Figure 4A]. Similarly, using data from the unmatched tissue samples, the combined model had an 175 AUC of 0.77, which was significantly higher than the AUC values for *Blautia* and *Weissella* 176 (P-value < 0.037). For the full taxa models using unmatched tissue, Lachnospiraceae, 177 Bacteroidaceae, and Ruminococcaceae were the most common taxa in the top 10% mean 178 decrease in accuracy across studies [Figure S3]. For the significant OR taxa unmatched 179 tissue models both *Dorea* and *Blautia* were the most important based on mean decrease 180 in accuracy [Figure 4B]. Pooling the information from the taxa with significant ORs results 181 in a model that outperforms classifications made using individual taxa. 182

Performance of models based on taxa relative abundance in full community are
better than those based on taxa with significant ORs. Next, we asked whether a
Random Forest classification model built using all of the taxa found in the communities
would outperform the models generated using those taxa with a significant OR. Similar to

our inability to identify taxa associated with a significant OR for the presence of adenomas, the median AUCs to classify subjects as having normal colons or having adenomas using 188 data from stool or tissue samples were only marginally better than 0.5 for any study 189 (median AUC = 0.549 (0.367 - 0.971)(min-max)) [Figure 5A & S4A]. In contrast, the models 190 for classifying subjects as having normal colons or having carcinomas using data from 191 stool or tissue samples yielded AUC values meaningfully higher than 0.5 [Figure 5B & 192 S4B-C]. When we compared the models based on all of the taxa in a community to models 193 based on the taxa with significant ORs, the results were mixed. Using the data from stool 194 samples we found that the AUC for 6 of 7 studies increased by an average of 14.8%) and 195 AUC for the Flemer study decreased by 0.54%). The overall improvement in performance 196 was statistically significant (mean = 12.61%, one-tailed paired T-test; P-value = 0.005). 197 Similarly, using the data from unmatched tissue samples we found that the AUC of studies 198 increased by an average of 19.11% when we used all of the taxa rather than the 3 taxa 199 with significant ORs (one-tailed paired T-test; P-value = 0.03). Although the significant 200 OR taxa models can classify individuals with and without carcinoma tumors, they are still 201 missing taxa from the full community models that can increase the model accuracy. 202

Performance of models based on OTU relative abundance in full community are not significantly better than those based on taxa with significant ORs. The previous models were based on relative abundance data where sequences were classified to coarse taxonomic assignments (i.e. typically genus or family level). To determine whether model performance improved with finer scale classification, we assigned sequences to operational taxonomic units (OTUs) where the similarity among sequences within an OTU was more than 97%. We again found that classification models built using all of the sequence data for a community did a poor job of differentiating between subjects with normal colons and those with adenomas (median AUC: 0.53 (0.37- 0.56)), but did a good job of differentiating between subjects with normal colons and those with carcinomas (median AUC: 0.71 (0.5-0.9)). The OTU-based models performed similarly to those constructed using the taxa

203

204

205

206

207

208

209

210

211

with significant ORs (one-tailed paired T-test; P-value = 0.966) and those using all taxa (one-tailed paired T-test; P-value = 0.146) [Figure 4]. Among the OTUs that had the highest mean decrease in accuracy for the OTU-based models, we found that OTUs that affiliated with all of the 8 taxa that had a significant OR were within the top 10% for at least one study. This result was surprising as it indicated that a finer scale classification of the sequences and thus a larger number of features to select from, did not yield improved classification of the subjects.

Generalizability of taxon-based models trained on one dataset to the other datasets. Considering the good performance of the Random Forest models using taxa with a significant OR and using all of the taxa, we next asked how well the models would perform when given data from a different subject cohort. For instance, if a model was trained using data from the Ahn study, we wanted to know how well it would perform using the data from the Baxter study. We found the models trained using the taxa with a significant OR all had a higher median AUC than the models trained using all of the taxa when tested on the other datasets [Figure 6 & S5]. As might be expected, the difference between the performance of the modelling approaches appeared to vary with the size of the training cohort ( $R^2 = 0.66$ ) [Figure 6]. These data suggest that given a sufficient number of subjects with normal colons and carcinomas, Random Forest models trained using a small number of taxa can accurately classify individuals from a different cohort.

### 33 Discussion

We performed a meta-analysis to identify and validate microbiome-base biomarkers that could be used to classify individuals as having normal colons or colonic tumors using fecal or tissue samples. To our surprise, Random Forest classification models constructed to differentiate individuals with normal colons from those with carcinomas using a subset of the community performed well relative to models constructed using the full communities. When we applied the models trained on each dataset to the other datasets in our study, we found that the models trained using the subset of the communities performed better than those using the full communities. These models were trained using data in which sequences were assigned to bacterial taxa using a classifier that typically assigned sequences to the family or genus level. When we attempted to improve the specificity of the classification by using an OTU-based approach the resulting models performed as well as those constructed using coarse taxonomic assignments. These results are significant because they strengthen the growing literature indicating a role of the microbiome in tumorigenesis (9) and as a potential tool as a non-invasive diagnostic and for assessing risk of disease and recurrence (12, 30).

These results suggested that fine scale classification of sequences into OTUs does not improve our classification models. This has been suggested in previous literature where shotgun metagenomic data did not perform better than 16S rRNA gene sequencing data in classifying individuals with normal colons and those with carcinomas (31). We hypothesize that fine scale classification may not result in better classification because distribution of microbiota between individuals is patchy. In contrast, models using coarser taxonomic assignments will pool the fine scale diversity and resulting in less patchiness and better classification. Furthermore, the ability of models trained using a subset of the community to outperform those using the full community when testing the models on the other datasets may also be a product of the patchiness of human-associated microbiota. The models

based on the 8 taxa that had significant ORs used taxa that were found in every study and tended to have higher relative abundances. Similar to the OTU-based models, those models based on the full community taxonomy assignments were still sensitive to the patchy distribution of taxa. Regardless, it is encouraging that a collection of 8 taxa could reliably classify individuals as having carcinomas considering the differences in cohorts, DNA extraction procedures, regions of the 16S rRNA gene, and sequencing methods.

When used separately to classify individuals with carcinomas, the taxa with significant ORs could not reliably classify individuals [Figure 3]. This result further supports the hypothesis that carcinoma-associated microbiota have a patchy distribution. Two individuals may have had the same classification, based on the relative abundance of different populations within this group of 8 taxa. Although these results only reflect associations with disease, it is tempting to hypothesize that the patchiness represents distinct mechanisms of exacerbating tumorigenesis or that multiple taxa have the same mechanism of exacerbating tumorigenesis. For example, strains of *Escherichia coli* and *Fusobacterium nucleatum* have been shown to worsen inflammation in mouse models of tumorigenesis (5, 6, 21). In contrast to the patchiness of the taxa that were positively associated with carcinomas, potentially beneficial taxa had a more consistent association [Figure 6]. This result was particularly interesting because members of these taxa (i.e. *Ruminococcus* and *Clostridium XI* in stool and *Dorea* and *Blautia* in tissue) are thought to be beneficial due to their involvement in production of anti-inflammatory short chain fatty acids (32–34).

All of the adenoma classification models performed poorly. This result is not inconsistent with previous studies (27, 30). However, the classification results are at odds with results of the multitarget microbiota test (MMT) from Baxter, *et al.* (12) who observed an AUC of 0.755 when applied to individuals with adenomas. There are two major differences between the models generated in this meta-analysis and that analysis.

The MMT attempted to classify individuals as having a normal colon or having colonic

lesions (i.e. adenomas or carcinomas) and not adenomas alone. Further, the MMT incorporated fecal immunoglobulin test (FIT) data while our models only used 16S rRNA gene sequencing data. Because FIT data were not available for the other studies in our meta-analysis, it was not possible to validate the MMT approach. The ability to differentiate between individuals with and without adenomas is an important problem since early detection of tumors is critical. However, it is possible that we might have been able to detect differences in the bacterial community if individuals with non-advanced and advanced adenomas were separated. This is a clinically relevant distinction since advanced adenomas are at highest risk of progressing to a carcinoma. The initial changes of the microbiota during tumorigenesis could be focal to where the initial adenoma develops and would not be easily assessed using fecal samples from an individual with non-advanced adenomas. Unfortunately, distinguishing between individuals with advanced and non-advanced adenomas was not possible in our meta-analysis since the studies did not provide the clinical data needed to make that distinction.

Stool samples represent a non-invasive approach to assessing the structure of the gut microbiota and are potentially useful for diagnosing individuals as having colonic tumors. However, they do not reflect the structure of the mucosal microbiota (35). Regardless, the taxa that were the most important in the stool-based models overlapped with those from the models trained using the data from unmatched and matched colon tissue samples [Figure S3]. Mucosal biopsies are preferred for focused mechanistic studies and have offered researchers the opportunity to sample healthy and diseased tissue from the same individuals (i.e. matched) using each individual as their own control or in a cross sectional design (i.e. unmatched). Because obtaining these samples is invasive, carries risks to the individual, and is expensive, studies investigating the structure of the mucosal microbiota generally have a limited number of participants. Thus, it was not surprising that tissue-based studies did not provide clearer associations between the mucosal microbiota and the presence of tumors. Interestingly, Fusobacterium, which

has received increased recent attention for its potential role in tumorigenesis (6) was not consistently identified across the studies in our meta-analysis. This could be due to the relatively small number of individuals in the limited number of studies. The classification models trained using the tissue-based data performed well when tested with the training 315 data (Figure S4), but performed poorly when tested on the other tissue-associated datasets 316 (Figure S5). Disturbingly, taxa that are commonly associated with reagent contamination 317 (e.g. Novosphingobium, Acidobacteria Gp2, Sphingomonas, etc.) were detected within the 318 tissue datasets. Such contamination is common in studies where there is relatively low 319 bacterial biomass (36). The lack of replication among the tissue-based biomarkers may be 320 a product of the relatively small number of studies and individuals per study and possible 321 reagent contamination. 322

Among our stool samples, we failed to identify several notable populations that are commonly associated with carcinomas including an enterotoxigenic strain of Bacteroides fragilis (ETBF) and Streptococcus gallolyticus subsp. gallolyticus (22, 24). ETBF have 325 been found in tumors in the proximal colon where they tend to form biofilms (20, 37). 326 Considering DNA from bacteria that are more prevalent in the proximal colon may be 327 degraded by the time it leaves the body, it is not surprising that we failed to identify a 328 significant OR for Bacteroides with carcinomas. In addition, since our approach could only 329 classify sequences to the genus level and there are likely multiple Bacteroides populations 330 in the colon, it is possible that sequences from ETBF and non-oncogenic Bacteroides 331 were pooled. This would then reduce the OR between Bacterioides and whether an 332 individual had carcinomas. It is also necessary to distinguish between populations that 333 are biomarkers for a disease and those that are known to cause disease. The former may 334 also have a causative role in the disease. Although the latter have been shown to have a 335 causative role, they may appear at low relative abundance, be found in specific locations, or may have a highly patchy distribution among affected individuals. 337

Meta-analyses are a useful tool in microbiome research because they can demonstrate whether a result can be replicated and facilitate new discoveries by pooling multiple independent investigations. There have been several meta-analyses similar to this study that have sought biomarkers for obesity (38-40), inflammatory bowel disease (39), and colorectal cancer (28). Considering microbiome research is particularly prone to hype and overgeneralization of results, these analyses are critical. For example, previous meta-analyses have demonstrated that there are no clear fecal biomarkers for obesity (39, 40). Such meta-analyses are difficult to perform because the underlying 16S rRNA gene seguence data are not publicly available, metadata are missing, incomplete, or vague, sequence data are of poor quality or derived by non-standard approaches, and the original studies were significantly underpowered. Reluctance to publish negative results (i.e. the "file drawer effect") is also likely to skew our understanding of the relationship between microbiota and disease. Better attention to these specifics will increase the reproducibility and replicability of microbiota studies and make it easier to perform these crucial meta-analyses. Moving forward, meta-analyses will be important tools to help aggregate and find commonalities across studies when investigating the microbiota in the context of a specific disease (28, 38-40).

341

342

343

344

345

346

347

348

349

350

351

352

353

354

355

356

357

358

359

360

361

362

363

Our meta-analysis suggests a strong association between the gut microbiota and colon tumorigenesis. By aggregating the results from studies that sequenced the 16S rRNA gene from fecal and tissue samples, we are able to provide evidence supporting the use of microbial biomarkers to diagnose the presence of colonic tumors. Further development of microbial biomarkers should focus on including other biomarkers (e.g. FIT), better categorizing of people with adenomas, and expanding datasets to include larger numbers of individuals. Based on prior research into the physiology of the biomarkers we identified, it is likely that they have a causative role in tumorigenesis. Their patchy distribution across individuals suggests that there are either multiple mechanisms causing disease or a single mechanism (e.g. inflammation) that can be mediated by multiple, diverse bacteria.

### 5 Methods

**Datasets.** The studies used for this meta-analysis were identified through the review articles written by Keku, et al. (41) and Vogtmann, et al. (42). Additional studies, not 367 mentioned in those reviews were obtained based on the authors' knowledge of the literature. 368 Studies were included that used tissue or feces as their sample source for 454 or Illumina 369 16S rRNA gene sequencing. Some studies (N = 12) were excluded because they did not 370 have publicly available sequences or did not have metadata in which the authors were 371 able to share. We were able to obtain sequence data and metadata from the following 372 studies: Ahn, et al. (11), Baxter, et al. (12), Brim, et al. (29), Burns, et al. (15), Chen, et al. 373 (13), Dejea, et al. (20), Flemer, et al. (17), Geng, et al. (19), Hale, et al. (27), Kostic, et 374 al. (43), Lu, et al. (26), Sanapareddy, et al. (25), Wang, et al. (14), Weir, et al. (23), and 375 Zeller, et al. (16). The Zackular (44) study was excluded because their 90 individuals were 376 contained within the larger Baxter study (12). The Kostic study was excluded because 377 after we processed the sequences, all of the case samples had 100 or fewer sequences. 378 The final analysis included 14 studies (Tables 1 and 2). There were seven studies with 379 only fecal samples (Ahn, Baxter, Brim, Hale, Wang, Weir, and Zeller), five studies with only 380 tissue samples (Burns, Dejea, Geng, Lu, Sanapareddy), and two studies with both fecal 381 and tissue samples (Chen and Flemer). After curating the sequences, 1737 stool samples 382 and 492 tissue samples remained in the analysis [Tables 1 and 2].

Sequence Processing. Raw sequence data and metadata were primarily obtained from the Sequence Read Archive (SRA) and dbGaP. Other sequence and metadata were obtained directly from the authors (n = 4, (17, 23, 25, 27)). Each dataset was processed separately using mothur (v1.39.3) (45) using the default quality filtering methods for both 454 and Illumina sequence data. If it was not possible to use the defaults because the sequences were trimmed too much, then the stated quality cut-offs from the original study were used. Chimeric sequences were identified and removed using VSEARCH (46). The

curated sequences were assigned to OTUs at 97% similarity using the OptiClust algorithm
(47) and classified to the deepest taxonomic level that had 80% support using the naïve
Bayesian classifier trained on the RDP taxonomy outline (version 14, (48)).

Community analysis. We calculated alpha diversity metrics (i.e. OTU richness, evenness, 394 and Shannon diversity) for each sample. Within each dataset, we ensured that the data 395 followed a normal distribution using power transformations. Using the transformed data, 396 we tested the hypothesis that individuals with normal colons, adenomas, and carcinomas 397 had significantly different alpha diversity metrics using linear mixed-effect models. We 398 also calculated the OR for each study and metric by considering any value above the median alpha diversity value to be positive. We measured the dissimilarity between individuals by calculating the pairwise Bray-Curtis index and used PERMANOVA (49) to 401 test whether individuals with normal colons were significantly different from those with adenomas or carcinomas. Finally, after binning sequences into the deepest taxa that the naïve Bayesian classifier could calssify the sequences, we quantified the ORs for individuals having an adenoma or carcinoma and corrected for multiple comparisons using 405 the Benjamini-Hochberg method (50). Again, for each taxon, if the relative abundance was 406 greater than the median relative abundance for that taxon in the study, the individual was 407 considered to be positive. 408

Random Forest classification analysis. To classify individuals as having normal colons or tumors, we built Random Forest classification models for each dataset and comparison using taxa with significant ORs (after multiple comparison correction), all taxa, or OTUs.

Because no taxa were identified as having a significant OR associated with adenomas using stool samples or tissue samples, classification models based on OR data were not constructed to classify individuals as having normal colons or adenomas. Within the training dataset, 10-fold cross validation (5-fold cross validation for small datasets) was used to build a model that was then evaluated on the testing set. For the models

constructed using the taxa with significant ORs, the default mtry setting was used to train the model and this model was tested on the other datasets in the meta-analysis. The reported AUC values are the AUCs for the application of the model on the test sets. For the OTU-based models, the dataset was split into training (80% of samples) and testing 420 (20%) sets and 10-fold cross validation (5-fold cross validation for small datasets) on the 421 training set was used to generate the model for the testing set. The original 80/20 split and 422 fitting was repeated 100 times and the average AUC from these 100 repeats was reported. 423 The Mean Decrease in Accuracy (MDA), a measure of the importance of each taxon to the 424 overall model was used to rank the taxa used in each model. For all models, the default 425 setting used was  $\sqrt{p}$ , where p is all the variables used in the respective model. Normally, 426  $\sqrt{p}$  has been found to be what is chosen as the ideal mtry (51). 427

Statistical Analysis. All statistical analysis after sequence processing utilized the R (v3.4.3) software package (52). For OTU richness, evenness, and Shannon diversity analysis, values were power transformed using the rcompanion (v1.11.1) package (53) and 430 then Z-score normalized using the car (v2.1.6) package (54). Testing for OTU richness, 431 evenness, and Shannon diversity differences utilized linear mixed-effect models created 432 using the lme4 (v1.1.15) package (55) to correct for study, repeat sampling of individuals 433 (tissue only), and 16S hyper-variable region used. Odds ratios (OR) were analyzed using 434 both the epiR (v0.9.93) and metafor (v2.0.0) packages (56, 57) by assessing how many 435 individuals with and without disease were above and below the overall median value 436 within each specific study. OR significance testing utilized the chi-squared test. Diversity 437 differences measured by the Bray-Curtis index utilized the creation of distance matrix and 438 testing with PERMANOVA executed with the vegan (v2.4.5) package (58). Random Forest 439 models were built using both the caret (v6.0.78) and randomForest (v4.6.12) packages (59, 60). All figures were created using both ggplot2 (v2.2.1) and gridExtra (v2.3) packages (61, 62).

Reproducible Methods. The code and analysis can be found at https://github.com/
SchlossLab/Sze\_CRCMetaAnalysis\_Microbiome\_2017. Unless otherwise mentioned, the
accession number of raw sequences from the studies used in this analysis can be found
directly in the respective batch file in the GitHub repository or in the original manuscript.

## 47 Acknowledgements

The authors would like to thank all the study participants who were a part of each of the individual studies analyzed. We would also like to thank each of the study authors for making their sequencing reads and metadata available for use. Finally, we would like to thank the members of the Schloss lab for their valuable feedback and proofreading during the formulation of this manuscript.

### **References**

462

- 1. **Siegel, R. L., K. D. Miller**, and **A. Jemal**. 2016. Cancer statistics, 2016. CA: a cancer journal for clinicians **66**:7–30.
- 2. Flynn, K. J., N. T. Baxter, and P. D. Schloss. 2016. Metabolic and Community Synergy
   of Oral Bacteria in Colorectal Cancer. mSphere 1.
- Goodwin, A. C., C. E. Destefano Shields, S. Wu, D. L. Huso, X. Wu, T. R.
   Murray-Stewart, A. Hacker-Prietz, S. Rabizadeh, P. M. Woster, C. L. Sears, and R.
   A. Casero. 2011. Polyamine catabolism contributes to enterotoxigenic Bacteroides
   fragilis-induced colon tumorigenesis. Proceedings of the National Academy of Sciences of

the United States of America 108:15354–15359.

- 4. Abed, J., J. E. M. Emgård, G. Zamir, M. Faroja, G. Almogy, A. Grenov, A. Sol, R.
   Naor, E. Pikarsky, K. A. Atlan, A. Mellul, S. Chaushu, A. L. Manson, A. M. Earl, N. Ou,
   C. A. Brennan, W. S. Garrett, and G. Bachrach. 2016. Fap2 Mediates Fusobacterium
   nucleatum Colorectal Adenocarcinoma Enrichment by Binding to Tumor-Expressed
   Gal-GalNAc. Cell Host & Microbe 20:215–225.
- 5. Arthur, J. C., E. Perez-Chanona, M. Mühlbauer, S. Tomkovich, J. M. Uronis, T.-J.
   Fan, B. J. Campbell, T. Abujamel, B. Dogan, A. B. Rogers, J. M. Rhodes, A. Stintzi,
   K. W. Simpson, J. J. Hansen, T. O. Keku, A. A. Fodor, and C. Jobin. 2012. Intestinal
   inflammation targets cancer-inducing activity of the microbiota. Science (New York, N.Y.)
   338:120–123.
- 6. Kostic, A. D., E. Chun, L. Robertson, J. N. Glickman, C. A. Gallini, M. Michaud, T. E. Clancy, D. C. Chung, P. Lochhead, G. L. Hold, E. M. El-Omar, D. Brenner, C. S. Fuchs, M. Meyerson, and W. S. Garrett. 2013. Fusobacterium nucleatum potentiates intestinal tumorigenesis and modulates the tumor-immune microenvironment. Cell Host &

- 477 Microbe **14**:207–215.
- 7. Wu, S., K.-J. Rhee, E. Albesiano, S. Rabizadeh, X. Wu, H.-R. Yen, D. L. Huso, F. L.
- Brancati, E. Wick, F. McAllister, F. Housseau, D. M. Pardoll, and C. L. Sears. 2009. A
- 480 human colonic commensal promotes colon tumorigenesis via activation of T helper type
- <sup>481</sup> 17 T cell responses. Nature Medicine **15**:1016–1022.
- 8. Zackular, J. P., N. T. Baxter, G. Y. Chen, and P. D. Schloss. 2016. Manipulation of
- the Gut Microbiota Reveals Role in Colon Tumorigenesis. mSphere 1.
- 9. Zackular, J. P., N. T. Baxter, K. D. Iverson, W. D. Sadler, J. F. Petrosino, G. Y. Chen,
- and **P. D. Schloss**. 2013. The gut microbiome modulates colon tumorigenesis. mBio
- 486 **4**:e00692-00613.
- 10. Baxter, N. T., J. P. Zackular, G. Y. Chen, and P. D. Schloss. 2014. Structure of the
- gut microbiome following colonization with human feces determines colonic tumor burden.
- 489 Microbiome **2**:20.
- 490 11. Ahn, J., R. Sinha, Z. Pei, C. Dominianni, J. Wu, J. Shi, J. J. Goedert, R. B. Hayes,
- and L. Yang. 2013. Human gut microbiome and risk for colorectal cancer. Journal of the
- 492 National Cancer Institute **105**:1907–1911.
- 493 12. Baxter, N. T., M. T. Ruffin, M. A. M. Rogers, and P. D. Schloss. 2016.
- Microbiota-based model improves the sensitivity of fecal immunochemical test for detecting
- colonic lesions. Genome Medicine 8:37.
- 13. Chen, W., F. Liu, Z. Ling, X. Tong, and C. Xiang. 2012. Human intestinal lumen and
- mucosa-associated microbiota in patients with colorectal cancer. PloS One **7**:e39743.
- <sup>498</sup> 14. Wang, T., G. Cai, Y. Qiu, N. Fei, M. Zhang, X. Pang, W. Jia, S. Cai, and L. Zhao.
- <sup>499</sup> 2012. Structural segregation of gut microbiota between colorectal cancer patients and

- healthy volunteers. The ISME journal **6**:320–329.
- 15. **Burns, M. B., J. Lynch, T. K. Starr, D. Knights**, and **R. Blekhman**. 2015. Virulence genes are a signature of the microbiome in the colorectal tumor microenvironment.

  Genome Medicine **7**:55.
- 16. Zeller, G., J. Tap, A. Y. Voigt, S. Sunagawa, J. R. Kultima, P. I. Costea, A. Amiot,
   J. Böhm, F. Brunetti, N. Habermann, R. Hercog, M. Koch, A. Luciani, D. R. Mende,
   M. A. Schneider, P. Schrotz-King, C. Tournigand, J. Tran Van Nhieu, T. Yamada, J.
   Zimmermann, V. Benes, M. Kloor, C. M. Ulrich, M. von Knebel Doeberitz, I. Sobhani,
   and P. Bork. 2014. Potential of fecal microbiota for early-stage detection of colorectal
   cancer. Molecular Systems Biology 10:766.
- 17. Flemer, B., D. B. Lynch, J. M. R. Brown, I. B. Jeffery, F. J. Ryan, M. J. Claesson,
  M. O'Riordain, F. Shanahan, and P. W. O'Toole. 2017. Tumour-associated and
  non-tumour-associated microbiota in colorectal cancer. Gut 66:633–643.
- 18. García, A. Z. G. 2012. Factors influencing colorectal cancer screening participation.
   Gastroenterology Research and Practice. Hindawi Limited 2012:1–8.
- 19. **Geng, J.**, **H. Fan, X. Tang**, **H. Zhai**, and **Z. Zhang**. 2013. Diversified pattern of the human colorectal cancer microbiome. Gut Pathogens **5**:2.
- 20. Dejea, C. M., E. C. Wick, E. M. Hechenbleikner, J. R. White, J. L. Mark Welch,
  B. J. Rossetti, S. N. Peterson, E. C. Snesrud, G. G. Borisy, M. Lazarev, E. Stein,
  J. Vadivelu, A. C. Roslani, A. A. Malik, J. W. Wanyiri, K. L. Goh, I. Thevambiga, K.
  Fu, F. Wan, N. Llosa, F. Housseau, K. Romans, X. Wu, F. M. McAllister, S. Wu, B.
  Vogelstein, K. W. Kinzler, D. M. Pardoll, and C. L. Sears. 2014. Microbiota organization
  is a distinct feature of proximal colorectal cancers. Proceedings of the National Academy

- of Sciences of the United States of America 111:18321–18326.
- 21. Arthur, J. C., R. Z. Gharaibeh, M. Mühlbauer, E. Perez-Chanona, J. M. Uronis,
  J. McCafferty, A. A. Fodor, and C. Jobin. 2014. Microbial genomic analysis reveals
  the essential role of inflammation in bacteria-induced colorectal cancer. Nature
  Communications. Springer Nature 5:4724.
- Aymeric, L., F. Donnadieu, C. Mulet, L. du Merle, G. Nigro, A. Saffarian, M.
   Bérard, C. Poyart, S. Robine, B. Regnault, P. Trieu-Cuot, P. J. Sansonetti, and S.
   Dramsi. 2017. Colorectal cancer specific conditions promoteStreptococcus gallolyticusgut
   colonization. Proceedings of the National Academy of Sciences. Proceedings of the
   National Academy of Sciences 115:E283–E291.
- 23. Weir, T. L., D. K. Manter, A. M. Sheflin, B. A. Barnett, A. L. Heuberger, and E. P. Ryan. 2013. Stool microbiome and metabolome differences between colorectal cancer patients and healthy adults. PloS One 8:e70803.
- 24. Boleij, A., E. M. Hechenbleikner, A. C. Goodwin, R. Badani, E. M. Stein, M. G.
  Lazarev, B. Ellis, K. C. Carroll, E. Albesiano, E. C. Wick, E. A. Platz, D. M. Pardoll,
  and C. L. Sears. 2014. The bacteroides fragilis toxin gene is prevalent in the colon mucosa
  of colorectal cancer patients. Clinical Infectious Diseases. Oxford University Press (OUP)
  60:208–215.
- 25. Sanapareddy, N., R. M. Legge, B. Jovov, A. McCoy, L. Burcal, F. Araujo-Perez, T.
   A. Randall, J. Galanko, A. Benson, R. S. Sandler, J. F. Rawls, Z. Abdo, A. A. Fodor,
   and T. O. Keku. 2012. Increased rectal microbial richness is associated with the presence
   of colorectal adenomas in humans. The ISME journal 6:1858–1868.
- <sup>545</sup> 26. Lu, Y., J. Chen, J. Zheng, G. Hu, J. Wang, C. Huang, L. Lou, X. Wang, and Y. <sup>546</sup> Zeng. 2016. Mucosal adherent bacterial dysbiosis in patients with colorectal adenomas.

- Scientific Reports 6:26337.
- <sup>548</sup> 27. Hale, V. L., J. Chen, S. Johnson, S. C. Harrington, T. C. Yab, T. C. Smyrk, H.
- Nelson, L. A. Boardman, B. R. Druliner, T. R. Levin, D. K. Rex, D. J. Ahnen, P. Lance,
- 550 **D. A. Ahlquist**, and **N. Chia**. 2017. Shifts in the Fecal Microbiota Associated with
- Adenomatous Polyps. Cancer Epidemiology, Biomarkers & Prevention: A Publication of
- the American Association for Cancer Research, Cosponsored by the American Society of
- 553 Preventive Oncology **26**:85–94.
- 28. Shah, M. S., T. Z. DeSantis, T. Weinmaier, P. J. McMurdie, J. L. Cope, A. Altrichter,
- 555 J.-M. Yamal, and E. B. Hollister. 2017. Leveraging sequence-based faecal microbial
- community survey data to identify a composite biomarker for colorectal cancer. Gut.
- 29. Brim, H., S. Yooseph, E. G. Zoetendal, E. Lee, M. Torralbo, A. O. Laiyemo, B.
- 558 Shokrani, K. Nelson, and H. Ashktorab. 2013. Microbiome analysis of stool samples
- from African Americans with colon polyps. PloS One **8**:e81352.
- 30. Sze, M. A., N. T. Baxter, M. T. Ruffin, M. A. M. Rogers, and P. D. Schloss. 2017.
- Normalization of the microbiota in patients after treatment for colonic lesions. Microbiome.
- 562 Springer Nature 5.
- 563 31. Hannigan, G. D., M. B. Duhaime, M. T. Ruffin, C. C. Koumpouras, and P. D.
- 564 **Schloss**. 2017. Diagnostic potential & the interactive dynamics of the colorectal cancer
- virome. Cold Spring Harbor Laboratory.
- 32. Venkataraman, A., J. R. Sieber, A. W. Schmidt, C. Waldron, K. R. Theis, and T. M.
- **Schmidt**. 2016. Variable responses of human microbiomes to dietary supplementation
- with resistant starch. Microbiome. Springer Nature 4.
- 33. Herrmann, E., W. Young, V. Reichert-Grimm, S. Weis, C. Riedel, D. Rosendale,
- H. Stoklosinski, M. Hunt, and M. Egert. 2018. In vivo assessment of resistant starch

- degradation by the caecal microbiota of mice using RNA-based stable isotope probingA proof-of-principle study. Nutrients. MDPI AG **10**:179.
- 34. Reichardt, N., M. Vollmer, G. Holtrop, F. M. Farquharson, D. Wefers, M. Bunzel,

  S. H. Duncan, J. E. Drew, L. M. Williams, G. Milligan, T. Preston, D. Morrison, H. J.

  Flint, and P. Louis. 2017. Specific substrate-driven changes in human faecal microbiota

  composition contrast with functional redundancy in short-chain fatty acid production. The
- ISME Journal. Springer Nature **12**:610–622.
- of the native colon microbiota in healthy adults. Cold Spring Harbor Laboratory.
- 36. Salter, S. J., M. J. Cox, E. M. Turek, S. T. Calus, W. O. Cookson, M. F. Moffatt,

  P. Turner, J. Parkhill, N. J. Loman, and A. W. Walker. 2014. Reagent and laboratory

  contamination can critically impact sequence-based microbiome analyses. BMC Biology.

  Springer Nature 12.
- 37. Purcell, R. V., J. Pearson, A. Aitchison, L. Dixon, F. A. Frizelle, and J. I. Keenan.
  2017. Colonization with enterotoxigenic bacteroides fragilis is associated with early-stage
  colorectal neoplasia. PLOS ONE. Public Library of Science (PLoS) 12:e0171602.
- 38. **Sze, M. A.**, and **P. D. Schloss**. 2016. Looking for a signal in the noise: Revisiting obesity and the microbiome. mBio. American Society for Microbiology **7**:e01018–16.
- 39. **Walters, W. A.**, **Z. Xu**, and **R. Knight**. 2014. Meta-analyses of human gut microbes associated with obesity and IBD. FEBS Letters. Wiley-Blackwell **588**:4223–4233.
- 40. Finucane, M. M., T. J. Sharpton, T. J. Laurent, and K. S. Pollard. 2014. A taxonomic
   signature of obesity in the microbiome? Getting to the guts of the matter. PLoS ONE.

- Public Library of Science (PLoS) 9:e84689.
- 41. Keku, T. O., S. Dulal, A. Deveaux, B. Jovov, and X. Han. 2015. The gastrointestinal
   microbiota and colorectal cancer. American Journal of Physiology Gastrointestinal and
   Liver Physiology 308:G351–G363.
- <sup>597</sup> 42. **Vogtmann, E.**, and **J. J. Goedert**. 2016. Epidemiologic studies of the human microbiome and cancer. British Journal of Cancer **114**:237–242.
- 43. Kostic, A. D., D. Gevers, C. S. Pedamallu, M. Michaud, F. Duke, A. M. Earl, A. I.
   Ojesina, J. Jung, A. J. Bass, J. Tabernero, J. Baselga, C. Liu, R. A. Shivdasani, S.
   Ogino, B. W. Birren, C. Huttenhower, W. S. Garrett, and M. Meyerson. 2012. Genomic
   analysis identifies association of Fusobacterium with colorectal carcinoma. Genome
   Research 22:292–298.
- 44. Zackular, J. P., M. A. M. Rogers, M. T. Ruffin, and P. D. Schloss. 2014. The human
   gut microbiome as a screening tool for colorectal cancer. Cancer Prevention Research
   (Philadelphia, Pa.) 7:1112–1121.
- 45. Schloss, P. D., S. L. Westcott, T. Ryabin, J. R. Hall, M. Hartmann, E. B. Hollister,
  R. A. Lesniewski, B. B. Oakley, D. H. Parks, C. J. Robinson, J. W. Sahl, B. Stres, G.
  G. Thallinger, D. J. Van Horn, and C. F. Weber. 2009. Introducing mothur: Open-Source,
  Platform-Independent, Community-Supported Software for Describing and Comparing
  Microbial Communities. Appl.Environ.Microbiol. 75:7537–7541.
- 46. Rognes, T., T. Flouri, B. Nichols, C. Quince, and F. Mahé. 2016. VSEARCH: A versatile open source tool for metagenomics. PeerJ 4:e2584.
- 47. Westcott, S. L., and P. D. Schloss. 2017. OptiClust, an Improved Method for

- Assigning Amplicon-Based Sequence Data to Operational Taxonomic Units. mSphere 2.
- 48. Wang, Q., G. M. Garrity, J. M. Tiedje, and J. R. Cole. 2007. Naive bayesian classifier
- for rapid assignment of rRNA sequences into the new bacterial taxonomy. Applied and
- 618 Environmental Microbiology. American Society for Microbiology **73**:5261–5267.
- 49. Anderson, M. J., and D. C. I. Walsh. 2013. PERMANOVA, ANOSIM, and the mantel
- test in the face of heterogeneous dispersions: What null hypothesis are you testing?
- 621 Ecological Monographs. Wiley-Blackwell 83:557–574.
- 50. Benjamini, Y., and Y. Hochberg. 1995. Controlling the false discovery rate: A
- practical and powerful approach to multiple testing. Journal of the Royal Statistical Society.
- Series B (Methodological) **57**:289–300.
- 51. **Breiman, L.** 2001. Machine Learning. Springer Nature **45**:5–32.
- 52. R Core Team. 2017. R: A language and environment for statistical computing. R
- Foundation for Statistical Computing, Vienna, Austria.
- 53. **Mangiafico**, **S.** 2017. Rcompanion: Functions to support extension education program
- 629 evaluation.
- 54. **Fox, J.**, and **S. Weisberg**. 2011. An R companion to applied regressionSecond. Sage,
- 631 Thousand Oaks CA.
- 55. Bates, D., M. Mächler, B. Bolker, and S. Walker. 2015. Fitting linear mixed-effects
- models using Ime4. Journal of Statistical Software **67**:1–48.
- 56. Telmo Nunes, M. S. with contributions from, C. Heuer, J. Marshall, J. Sanchez,
- R. Thornton, J. Reiczigel, J. Robison-Cox, P. Sebastiani, P. Solymos, K. Yoshida, G.
- Jones, S. Pirikahu, S. Firestone, and R. Kyle. 2017. EpiR: Tools for the analysis of

- epidemiological data.
- 57. Viechtbauer, W. 2010. Conducting meta-analyses in R with the metafor package.
- Journal of Statistical Software 36:1–48.
- 58. Oksanen, J., F. G. Blanchet, M. Friendly, R. Kindt, P. Legendre, D. McGlinn, P. R.
- Minchin, R. B. O'Hara, G. L. Simpson, P. Solymos, M. H. H. Stevens, E. Szoecs, and
- 642 **H. Wagner**. 2017. Vegan: Community ecology package.
- 59. Jed Wing, M. K. C. from, S. Weston, A. Williams, C. Keefer, A. Engelhardt, T.
- 644 Cooper, Z. Mayer, B. Kenkel, the R Core Team, M. Benesty, R. Lescarbeau, A. Ziem,
- L. Scrucca, Y. Tang, C. Candan, and T. Hunt. 2017. Caret: Classification and regression
- 646 training.
- 60. Liaw, A., and M. Wiener. 2002. Classification and regression by randomForest. R
- 648 News 2:18-22.
- 61. Wickham, H. 2009. Ggplot2: Elegant graphics for data analysis. Springer-Verlag New
- 650 York.
- 62. **Auguie, B.** 2017. GridExtra: Miscellaneous functions for "grid" graphics.

Table 1: Total Individuals in each Study Included in the Stool Analysis

Study	Data Stored	Region	Control (n)	Adenoma (n)	Carcinoma (n)
Ahn	DBGap	V3-4	148	0	62
Baxter	SRA	V4	172	198	120
Brim	SRA	V1-3	6	6	0
Flemer	Author	V3-4	37	0	43
Hale	Author	V3-5	473	214	17
Wang	SRA	V3	56	0	46
Weir	Author	V4	4	0	7
Zeller	SRA	V4	50	37	41

Table 2: Studies with Tissue Samples Included in the Analysis

Study	Data Stored	Region	Control (n)	Adenoma (n)	Carcinoma (n)
Burns	SRA	V5-6	18	0	16
Chen	SRA	V1-3	9	0	9
Dejea	SRA	V3-5	31	0	32
Flemer	Author	V3-4	103	37	94
Geng	SRA	V1-2	16	0	16
Lu	SRA	V3-4	20	20	0
Sanapareddy	Author	V1-2	38	0	33

- Figure 1: Significant Bacterial Community Metrics for Adenoma or Carcinoma in

  Stool. A) Adenoma evenness. B) Carcinoma evenness. C) Carcinoma Shannon diversity.

  Blue represents controls and red represents either adenoma (panel A) or carcinoma (panel

  B and C). The black lines represent the median value for each repsective group.
- Figure 2: Odds Ratio for Adenoma or Carcinoma based on Bacterial Community

  Metrics in Stool. A) Community-based odds ratio for adenoma. B) Community-based

  odds ratio for carcinoma. Colors represent the different variable regions used within the

  respective study.
- Figure 3: The AUC of Indivdiual Significant OR Taxa to classify Carcinoma. A) Stool samples. B) Unmatched tissue samples. The larger circle represents the median AUC of all studies and the smaller circles represent the individual AUC for a particular study. The dotted line denotes an AUC of 0.5.
- Figure 4: Stool Random Forest Model Train AUCs. A) Adenoma random forest model
  AUCs between all genera, all OTU, and select model based on significant OR taxa. B)
  Carcinoma random forest model AUCs between all genera, all OTU, and select model
  based on significant OR taxa. The black line represents the median AUC for the respective
  group. If no values are present in the singificant OR taxa group then there were no
  significant taxa identified and no model was tested.
- Figure 5: Most Important Members in Significant OR Taxa Carcinoma Models. A)

  Common taxa in the top 10 percent for carcinoma Random Forest stool-based models. B)

  Common taxa in the top 10 percent for carcinoma Random Forest unmatched tissue-based

  models. Blue represents less important and red represents more important to the Random

  Forest Model. White means that particular taxa was not in the top 10%.
- Figure 6: Stool Random Forest Genus-Based Model Test AUCs. A) Test AUCs of adenoma models using all genera across study. B) Test AUCs of carcinoma models using

all genera or significant OR taxa only. The black line represents the AUC at 0.5. The red

80 lines represent the median AUC of all test AUCs for a specific study.

Figure S1: Odds Ratio for Adenoma or Carcinoma based on Bacterial Community

Metrics in Tissue. A) Community-based odds ratio for adenoma. B) Community-based

odds ratio for carcinoma. Colors represent the different variable regions used within the

respective study.

Figure S2: Most Common Taxa Across Carcinoma Full Community Stool Study
Models. A) Common taxa in the top 10 percent for carcinoma Random Forest all
taxa-based models. B) Common taxa in the top 10 percent for carcinoma Random
Forest all OTU-based models. Blue represents less important and red represents more
important to the Random Forest Model. White means that particular taxa was not in the
top 10%.

### **Figure S3: Most Common Genera Across Full Community Tissue Study Models.**

A) Common genera in the top 10 percent for matched carcinoma Random Forest all genera-based models. B) Common genera in the top 10 percent for unmatched carcinoma Random Forest all genera-based models. C) Common genera in the top 10 percent for matched carcinoma Random Forest all OTU-based models. D) Common genera in the top 10 percent for unmatched carcinoma Random Forest all OTU-based models. Blue represents less important and red represents more important to the Random Forest Model.

White means that particular taxa was not in the top 10%.

Figure S4: Tissue Random Forest Model Train AUCs. A) Adenoma random forest model AUCs between all genera, all OTU, and select model based on significant OR taxa in unmatched and matched tissue. B) Carcinoma random forest model AUCs between all genera, all OTU, and select model based on significant OR taxa in unmatched and matched tissue. The black line represents the median AUC for the respective group. If no values are present in the singificant OR taxa group then there were no significant taxa identified and no model was tested.

Figure S5: Tissue Random Forest Genus-Based Model Test AUCs. A) Test AUCs of adenoma models using all genera across study. B) Test AUCs of carcinoma models using all genera for matched tissue studies. C) Test AUCs of carcinoma models using all genera or significant OR taxa only for unmatched tissue studies The black line represents the AUC at 0.5. The red lines represent the median AUC of all test AUCs for a specific study.