

# BASiCS workflow: a step-by-step analysis of expression variability using single cell RNA sequencing data

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**Abstract** Cell-to-cell gene expression variability is an inherent feature of complex biological systems, such as immunity and development. Single-cell RNA sequencing is a powerful tool to quantify this heterogeneity, but it is prone to strong technical noise. In this article, we describe a step-by-step computational workflow that uses the BASiCS Bioconductor package to robustly quantify expression variability within and between known groups of cells (such as experimental conditions or cell types). BASiCS uses an integrated framework for data normalisation, technical noise quantification and downstream analyses, whilst propagating statistical uncertainty across these steps. Within a single seemingly homogeneous cell population, BASiCS can identify highly variable genes that exhibit strong heterogeneity as well as lowly variable genes with stable expression. BASiCS also uses a probabilistic decision rule to identify changes in expression variability between cell populations, whilst avoiding confounding effects related to differences in technical noise or in overall abundance. Using a publicly available dataset, we guide users through a complete pipeline that includes preliminary steps for quality control, as well as data exploration using the scater and scanr Bioconductor packages. Data for the case study was generated using the Fluidigm® C1 system, in which extrinsic spike-in RNA molecules were added as a control. The workflow is accompanied by a Docker image that ensures the reproducibility of our results.

## Keywords

Single-cell RNA sequencing, expression variability, transcriptional noise, differential expression testing

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## Introduction

Single-cell RNA-sequencing (scRNA-seq) enables the study of genome-wide transcriptional heterogeneity in cell populations that is not captured by bulk experiments [1, 2, 3]. On the broadest level, this heterogeneity can reflect the presence of distinct cell subtypes or states. Alternatively, it can be due to gradual changes along biological processes, such as development and differentiation. Several clustering and pseudotime inference methods have been developed to characterise these types of heterogeneity [4, 5]. However, there is a limited availability of computational tools tailored to study more subtle variability within seemingly homogeneous cell populations. This variability can reflect deterministic or stochastic events that regulate gene expression and, among others, has been reported to increase prior to cell fate decisions [6] as well as during ageing [7].

Stochastic variability within a seemingly homogeneous cell population — often referred to as transcriptional *noise* — can arise from intrinsic and extrinsic sources [8, 9]. Extrinsic noise refers to stochastic fluctuations induced by different dynamic cellular states (e.g. cell cycle, metabolism, intra/inter-cellular signalling) [10, 11, 12]. In contrast, intrinsic noise arises from stochastic effects on biochemical processes such as transcription and translation [8]. Intrinsic noise can be modulated by genetic and epigenetic modifications (such as mutations, histone modifications, CpG island length and nucleosome positioning) [13, 14, 15] and usually occurs at the gene level [8]. Cell-to-cell gene expression variability estimates derived from scRNA-seq data capture a combination of these effects, as well as deterministic regulatory mechanisms [9]. Moreover, these variability estimates can also be inflated by the technical noise that is typically observed in scRNA-seq data [16].

Different strategies have been incorporated into scRNA-seq protocols to control or attenuate technical noise. For example, external RNA spike-in molecules (such as the set introduced by the External RNA Controls Consortium, ERCC [17]) can be added to each cell's lysate in a (theoretically) known fixed quantity. Spike-ins can assist quality control steps [18], data normalisation [19] and can be used to infer technical noise [16]. Another strategy is to tag individual cDNA molecules using unique molecular identifiers (UMIs) before PCR amplification [20]. Reads that contain the same UMI can be collapsed into a single molecule count, attenuating technical variability associated to cell-to-cell differences in amplification and sequencing depth (these technical biases are not fully removed unless sequencing to saturation [19]). However, despite the benefits associated to the use of spike-ins and UMIs, these are not available for all scRNA-seq protocols [21].

The Bioconductor package *BASiCS* aims to account for these sources of noise, both technical and biological. In particular, we encourage the use of UMIs when performing a scRNAseq study. It is well-established that scRNAseq data is distributed according to a negative binomial distribution when UMIs are used in the sequencing protocol [22, 23, 24]. Thus, the distributional assumptions of *BASiCS* are more likely to be valid for datasets wherein UMIs have been used. Furthermore, *BASiCS* leverages spike-in molecules to aid in normalisation when available, though a recent extension of the model allows the application of the model in the absence of spike-in molecules [25]. Moreover, *BASiCS* enables the quantification of variability within a population, while accounting for the overall mean-variance relationship in an scRNAseq dataset [25].

This article complements existing scRNA-seq workflows based on the Bioconductor ecosystem (e.g. [26, 27]), providing a detailed framework for transcriptional variability analyses. Firstly, we describe a step-by-step workflow that uses *scater* [18] and *scan* [26] to perform quality control (QC) as well as initial exploratory analyses. To robustly quantify transcriptional variability we use *BASiCS* [28, 29, 30] — a Bayesian hierarchical framework that jointly performs data normalisation, technical noise quantification and downstream analyses, whilst propagating statistical uncertainty across these steps. Our analysis pipeline includes practical guidance to assess the convergence of the Markov Chain Monte Carlo (MCMC) algorithm that is used to infer model parameters as well as recommendations to interpret and post-process the model outputs. Finally, through a case study in the context of immune cells, we illustrate how *BASiCS* can be used to identify highly and lowly variable genes within a cell population, as well as to compare expression profiles between experimental conditions or cell types.

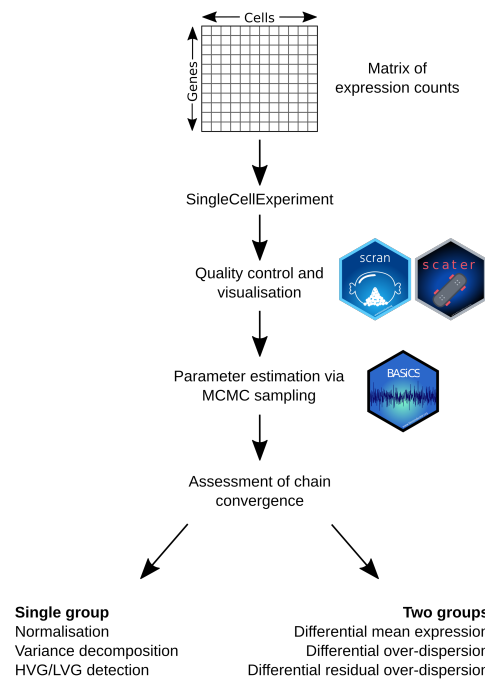
All source code used to generate the results presented in this article is available [on Github](#). To ensure the reproducibility of this workflow, the analysis environment and all software dependencies are provided as a Docker image [31]. The image can be obtained from [Docker Hub](#).

## Methods

This step-by-step scRNA-seq workflow is primarily based on the Bioconductor package ecosystem [32]. A graphical overview is provided in Figure 1 and its main components are described below.

### Input data

```
library("SingleCellExperiment")
```



**Figure 1.** Graphical overview for the scRNA-seq analysis workflow described in this manuscript. Starting from a matrix of expression counts, we use the *scater* and *scran* Bioconductor packages to perform QC and initial exploratory analyses. To robustly quantify transcriptional heterogeneity within seemingly homogeneous cell populations, we apply the *BASiCS* Bioconductor package and illustrate how *BASiCS* can be used to analyse a single or multiple pre-specified groups of cells.

We use *SingleCellExperiment* to convert an input matrix of raw read-counts (molecule counts for UMI-based protocols) into a *SingleCellExperiment* object that can also store its associated metadata, such as gene- and cell-specific information. Moreover, when available, the same object can also store read-counts for spike-in molecules (see `?altExp`). A major advantage of using a *SingleCellExperiment* object as the input for scRNA-seq analyses is the interoperability across a large number of Bioconductor packages [32].

### QC and exploratory data analysis

```
library("scater")
library("scran")
```

An critical step in scRNA-seq analyses is QC, removing low quality samples that may distort downstream analyses. In this step, we use QC diagnostics to identify and remove samples that correspond to broken cells, that are empty, or that contain multiple cells [33]. We also typically remove lowly expressed genes that represent less reliable information. The *OSCA* online book provides an extensive overview on important aspects of how to perform QC of scRNA-seq data, including exploratory analyses [32].

Here, we use the *scater* package [18] to calculate QC metrics for each cell (e.g. total read-count) and gene (e.g. percentage of zeroes across all cells), respectively. Moreover, we use the visualisation tools implemented in *scater* to explore the input dataset and its associated QC diagnostic metrics. For further exploratory data analysis we use the *scran* package [26]. The latter can perform *global scaling* normalisation, calculating cell-specific scaling factors that capture global differences in read-counts across cells (e.g. due to sequencing depth and PCR amplification) [34]. To quantify transcriptional variability, *scran* can be used to infer an overall trend between mean expression and the squared coefficient of variation ( $CV^2$ ) for each gene. To derive variability estimates that are not confounded by this overall trend, *scran* also defines gene-specific DM (distance to the mean) estimates as the distance between  $CV^2$  and a rolling median along the range of mean expression values [35]. DM estimates enable exploratory analyses of cell-to-cell gene expression variability, but a measure of statistical uncertainty is not readily available for these estimates. As such, gene-specific downstream inference (such as differential variability testing) is precluded.

## BASiCS - Bayesian Analysis of Single Cell Sequencing data

```
library("BASiCS")
```

The **BASiCS** package uses a Bayesian hierarchical framework that borrows information across all genes and cells to robustly quantify transcriptional variability [36]. Similar to the approach adopted in *scrn*, **BASiCS** infers cell-specific global scaling normalisation parameters. However, instead of inferring these as a pre-processing step, **BASiCS** uses an integrated approach wherein data normalisation and downstream analyses are performed simultaneously, thereby propagating statistical uncertainty. To quantify technical noise, the original implementation of **BASiCS** uses information from extrinsic spike-in molecules as control features, but the model has been extended to address situations wherein spike-ins are not available [25].

**BASiCS** summarises the expression pattern for each gene through gene-specific *mean* and *over-dispersion* parameters. Mean parameters  $\mu_i$  quantify the overall expression for each gene  $i$  across the cell population under study. In contrast,  $\delta_i$  captures the excess of variability that is observed with respect to what would be expected in a homogeneous cell population, beyond technical noise. **BASiCS** uses  $\delta_i$  as a proxy to quantify transcriptional variability. To account for the strong relationship that is typically observed between gene-specific mean expression and over-dispersion estimates, Eling *et al.* [25] introduced a joint prior specification for these parameters. This joint prior formulation has been observed to improve posterior inference when the data is less informative (e.g. small sample size, lowly expressed genes), borrowing information across all genes to infer an overall trend that captures the relationship between mean and over-dispersion. The trend is then used to derive gene-specific *residual over-dispersion* parameters  $\epsilon_i$  that are not confounded by mean expression. Similar to DM values implemented in *scrn*, these are defined as deviations with respect to the overall trend.

Within a population of cells, **BASiCS** decomposes the total observed variability in expression measurements into technical and biological components [28]. This enables the identification of *highly variable genes* (HVGs) that capture the major sources of heterogeneity within the analysed cells [16]. HVG detection is often used as feature selection, to identify the input set of genes for subsequent analyses. **BASiCS** can also highlight *lowly variable genes* (LVGs) that exhibit stable expression across the population of cells. These may relate to essential cellular functions and can assist the development of new data normalisation or integration strategies [37].

**BASiCS** also provides a probabilistic decision rule to perform differential expression analyses between two (or more) pre-specified groups of cells [29, 25]. While several differential expression tools have been proposed for scRNA-seq data (e.g. [38, 39]), some evidence suggests that these do not generally outperform popular bulk RNA-seq tools [40]. Moreover, most of these methods are only designed to uncover changes in overall expression, ignoring the more complex patterns that can arise at the single cell level [41]. Instead, **BASiCS** embraces the high granularity of scRNA-seq data, uncovering changes in cell-to-cell expression variability that are not confounded by differences in technical noise or in overall expression.

### Case study: analysis of naive CD4<sup>+</sup> T cells

As a case study, we use scRNA-seq data generated for CD4<sup>+</sup> T cells using the C1 Single-Cell Auto Prep System (Fluidigm®). Martinez-Jimenez *et al.* profiled naive (hereafter also referred to as unstimulated) and activated (3 hours using *in vitro* antibody stimulation) CD4<sup>+</sup> T cells from young and old animals across two mouse strains to study changes in expression variability during ageing and upon immune activation [7]. They extracted naive or effector memory CD4<sup>+</sup> T cells from spleens of young or old animals, obtaining purified populations using either magnetic-activated cell sorting (MACS) or fluorescence activated cell sorting (FACS). External ERCC spike-in RNA [17] was added to aid the quantification of technical variability across all cells and all experiments were performed in replicates (hereafter also referred to as batches).

### Downloading the data

The matrix with raw read counts can be obtained from ArrayExpress under the accession number **E-MTAB-4888**. In the matrix, column names contain library identifiers and row names display gene Ensembl identifiers.

```
if (!file.exists("downloads/raw_data.txt")) {
  website <- "https://www.ebi.ac.uk/arrayexpress/files/E-MTAB-4888/"
  file <- "E-MTAB-4888.processed.1.zip"
  download.file(
    paste0(website, file),
    destfile = "downloads/raw_data.txt.zip"
  )
  unzip("downloads/raw_data.txt.zip", exdir = "downloads")
  file.remove("downloads/raw_data.txt.zip")
}
```

```
}

CD4_raw <- read.table("downloads/raw_data.txt", header = TRUE, sep = "\t")
CD4_raw <- as.matrix(CD4_raw)
```

The input matrix contains data for 1,513 cells and 31,181 genes (including 92 ERCC spike-ins). Information about experimental conditions and other metadata is available under the same accession number.

```
if (!file.exists("downloads/metadata_file.txt")) {
  website <- "https://www.ebi.ac.uk/arrayexpress/files/E-MTAB-4888"
  file <- "E-MTAB-4888.additional.1.zip"
  download.file(
    paste0(website, file),
    destfile = "downloads/metadata.txt.zip"
  )
  unzip("downloads/metadata.txt.zip", exdir = "downloads")
  file.remove("downloads/metadata.txt.zip")
}

CD4_metadata <- read.table(
  "downloads/metadata_file.txt",
  header = TRUE,
  sep = "\t"
)

# Save sample identifiers as rownames
rownames(CD4_metadata) <- CD4_metadata$X
```

The columns in the metadata file contain library identifiers (X), strain information (Strain; *Mus musculus castaneus* or *Mus musculus domesticus*), the age of the animals (Age; young or old), stimulation state of the cells (Stimulus; naive or activated), batch information (Individuals; associated to different mice), and cell type information (Celltype; via FACS or MACS purification).

Here, we convert the data and metadata described above into a `SingleCellExperiment` object. For this purpose, we first separate the input matrix of expression counts into two matrices associated to intrinsic genes and external spike-ins, respectively. Within the `SingleCellExperiment` object, the latter is stored separately as an *alternative experiment* (see `?altExp`).

```
# Separate intrinsic from ERCC counts
bio_counts <- CD4_raw[!grepl("ERCC", rownames(CD4_raw)), ]
spike_counts <- CD4_raw[grepl("ERCC", rownames(CD4_raw)), ]
# Generate the SingleCellExperiment object
sce_CD4_all <- SingleCellExperiment(
  assays = list(counts = as.matrix(bio_counts)),
  colData = CD4_metadata[colnames(CD4_raw), ]
)
# Add read-counts for spike-ins as an alternative experiment
altExp(sce_CD4_all, "spike-ins") <- SummarizedExperiment(
  assays = list(counts = spike_counts)
)
```

Hereafter, our analysis focuses on naive and activated CD4<sup>+</sup> T cells obtained from young *Mus musculus domesticus* animals, purified using MACS-based cell sorting. Here, we extract these 146 samples.

```
ind_select <- sce_CD4_all$Strain == "Mus musculus domesticus" &
  sce_CD4_all$Age == "Young" &
  sce_CD4_all$Celltype == "MACS-purified Naive"
sce_naive_active <- sce_CD4_all[, ind_select]
sce_naive_active

## class: SingleCellExperiment
## dim: 31089 146
## metadata(0):
## assays(1): counts
```

```
## rownames(31089): ENSMUSG000000000001 ENSMUSG000000000003 ...
## ENSMUSG000000106668 ENSMUSG000000106670
## rowData names(0):
## colnames(146): do6113 do6118 ... do6493 do6495
## colData names(6): X Strain ... Individuals Celltype
## reducedDimNames(0):
## altExpNames(1): spike-ins
```

### QC and exploratory data analysis

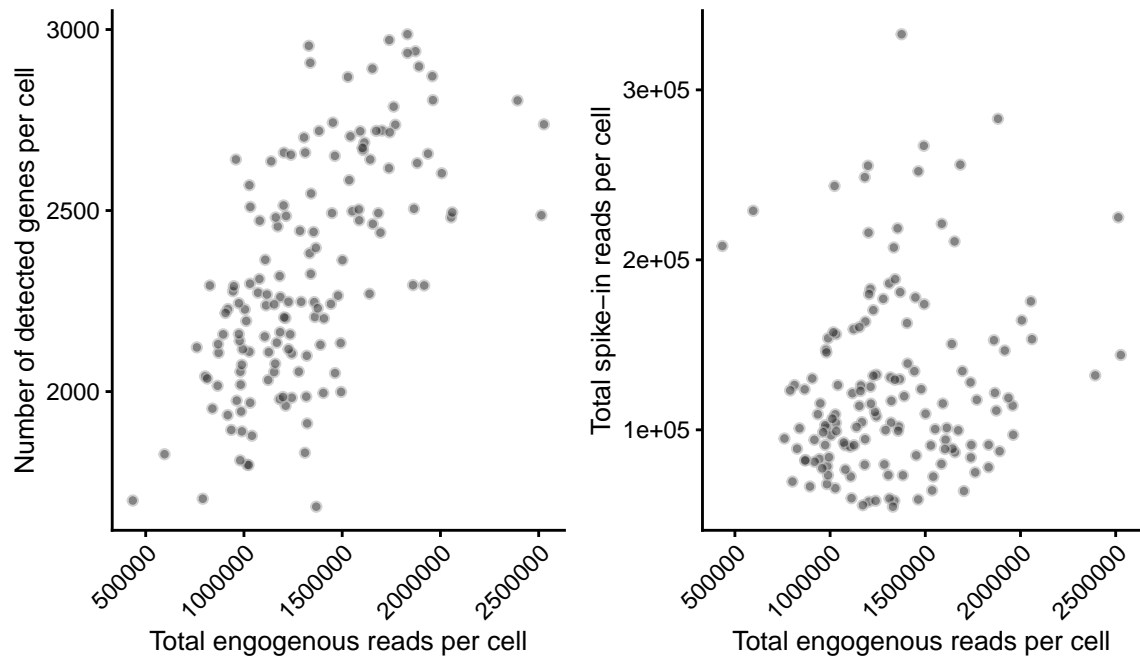
The data available at [E-MTAB-4888](#) have been already filtered to remove poor quality samples. The QC applied in [7] removed cells with: (i) fewer than 1,000,000 total reads, (ii) less than 20% of reads mapped to endogenous genes, (iii) less than 1,250 or more than 3,000 detected genes and (iv) more than 10% or fewer than 0.5% of reads mapped to mitochondrial genes. As an illustration, we visualise some of these metrics. We also include another widely used QC diagnostic plot that compares the total number (or fraction) of spike-in counts versus the total number (or fraction) of endogeneous counts. In such a plot, low quality samples are characterised by a high fraction of spike-in counts and a low fraction of endogeneous counts (see Figure 2).

```
# Calculate and plot per cell QC metrics
sce_naive_active <- addPerCellQC(sce_naive_active, use_altexps = TRUE)
p_cellQC1 <- plotColData(
  sce_naive_active,
  x = "sum",
  y = "detected") +
  xlab("Total engogenous reads per cell") +
  ylab("Number of detected genes per cell") +
  theme(axis.text.x = element_text(hjust = 1, angle = 45))
p_cellQC2 <- plotColData(
  sce_naive_active,
  x = "sum",
  y = "altexps_spike-ins_sum") +
  xlab("Total engogenous reads per cell") +
  ylab("Total spike-in reads per cell") +
  theme(axis.text.x = element_text(hjust = 1, angle = 45))

library(cowplot)
plot_grid(p_cellQC1, p_cellQC2, ncol = 2)
```

We can also visualise these metrics with respect to cell-level metadata, such as the experimental conditions (active vs unstimulated) and the different mice that cells were collected from (see Figure 3).

```
p_stimulus <- plotColData(
  sce_naive_active,
  x = "sum",
  y = "detected",
  colour_by = "Stimulus"
) +
  xlab("Total engogenous reads per cell") +
  ylab("Number of detected genes per cell") +
  theme(
    legend.position = "bottom",
    axis.text.x = element_text(angle = 45, hjust = 1)
  )
p_batch <- plotColData(
  sce_naive_active,
  x = "sum",
  y = "detected",
  colour_by = "Individuals"
) +
  xlab("Total engogenous reads per cell") +
  ylab("Number of detected genes per cell") +
  theme(
    legend.position = "bottom",
    axis.text.x = element_text(angle = 45, hjust = 1)
```



**Figure 2.** Cell-level QC metrics. The total number of endogenous read-counts (excludes non-mapped and intronic reads) is plotted against the total number of detected genes (left) and the total number of spike-in read-counts (right).

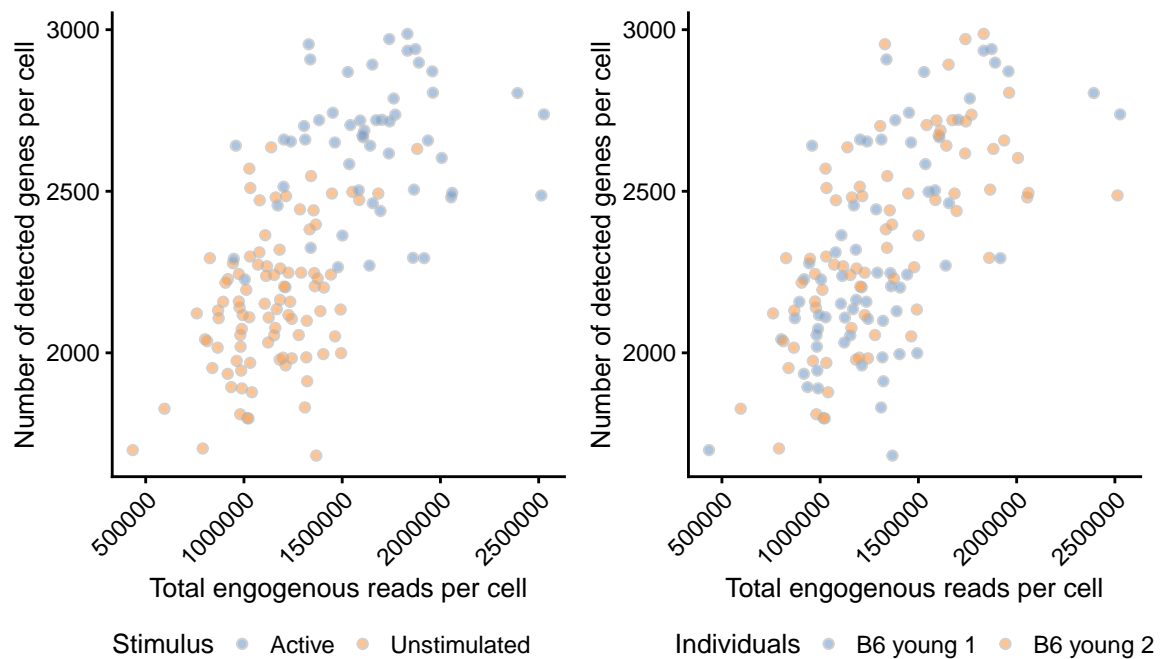
```
)
plot_grid(p_stimulus, p_batch, ncol = 2)
```

To further explore the underlying structure of the data, we compute global scaling normalisation factors using *scran* and perform a principal component analysis (PCA) of log-transformed normalised expression counts using *scater*. As seen in Figure 4, this analysis suggests the absence of strong batch effects. It should be noted that *scran* normalisation is not strictly necessary in the *BASiCS* workflow and we only use it here as part of the exploratory data analysis. Moreover, count-based models for dimensionality reduction (e.g. [24, 42]) could be used as an alternative to PCA, removing the need for log normalisation.

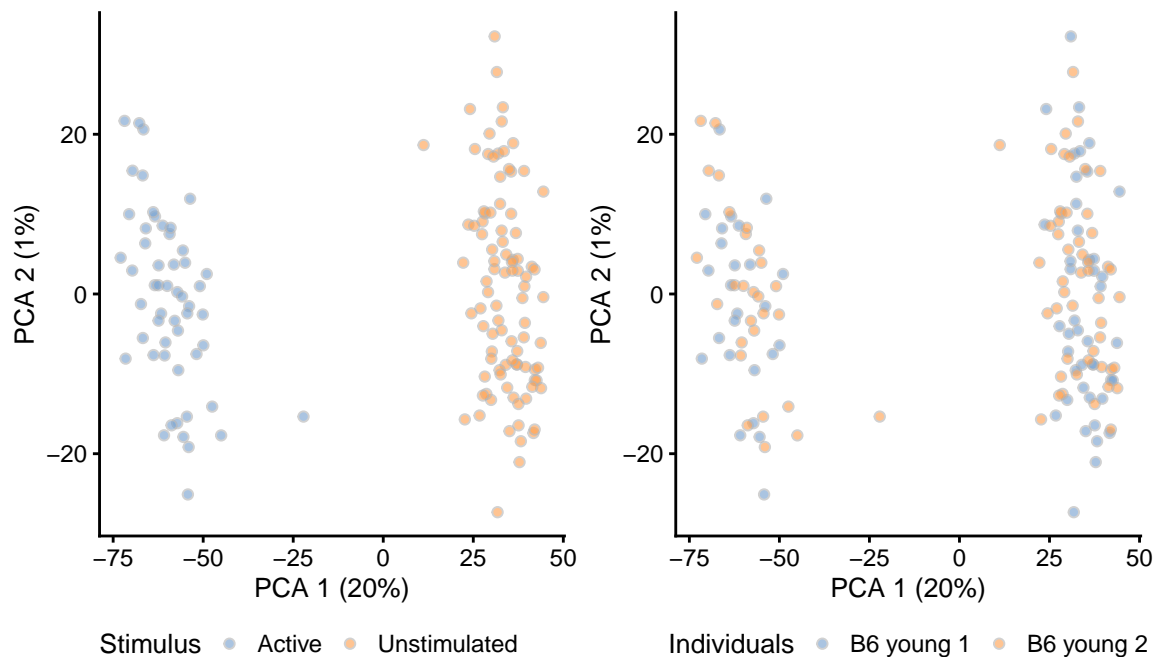
```
# Global scaling normalisation + log transformation + PCA
sce_naive_active <- computeSumFactors(sce_naive_active)
sce_naive_active <- logNormCounts(sce_naive_active)
sce_naive_active <- runPCA(sce_naive_active)
p_stimulus <- plotPCA(sce_naive_active, colour_by = "Stimulus") +
  theme(legend.position = "bottom")
p_batch <- plotPCA(sce_naive_active, colour_by = "Individuals") +
  theme(legend.position = "bottom")
plot_grid(p_stimulus, p_batch, ncol = 2)
```

In addition to cell-specific QC, we also recommend the use of a gene filtering step prior to the use of *BASiCS*. The purpose of this filter is to remove lowly expressed genes that were largely undetected through sequencing, making reliable variability estimates difficult to obtain. Here, we remove all genes that are not detected in at least 5 cells across both experimental conditions or that have an average read count below 1. These thresholds can vary across datasets and should be informed by gene-specific QC metrics such as those shown in Figure 5.

```
# Calculate per gene QC metrics
sce_naive_active <- addPerFeatureQC(sce_naive_active, exprs_values = "counts")
# Remove genes with zero total counts across all cells
sce_naive_active <- sce_naive_active[rowData(sce_naive_active)$detected != 0, ]
# Transform 'detected' metadata into number of cells
rowData(sce_naive_active)$detected_cells <-
  rowData(sce_naive_active)$detected * ncol(sce_naive_active) / 100
# Define inclusion criteria for genes
rowData(sce_naive_active)$include_gene <- rowData(sce_naive_active)$mean >= 1 &
```

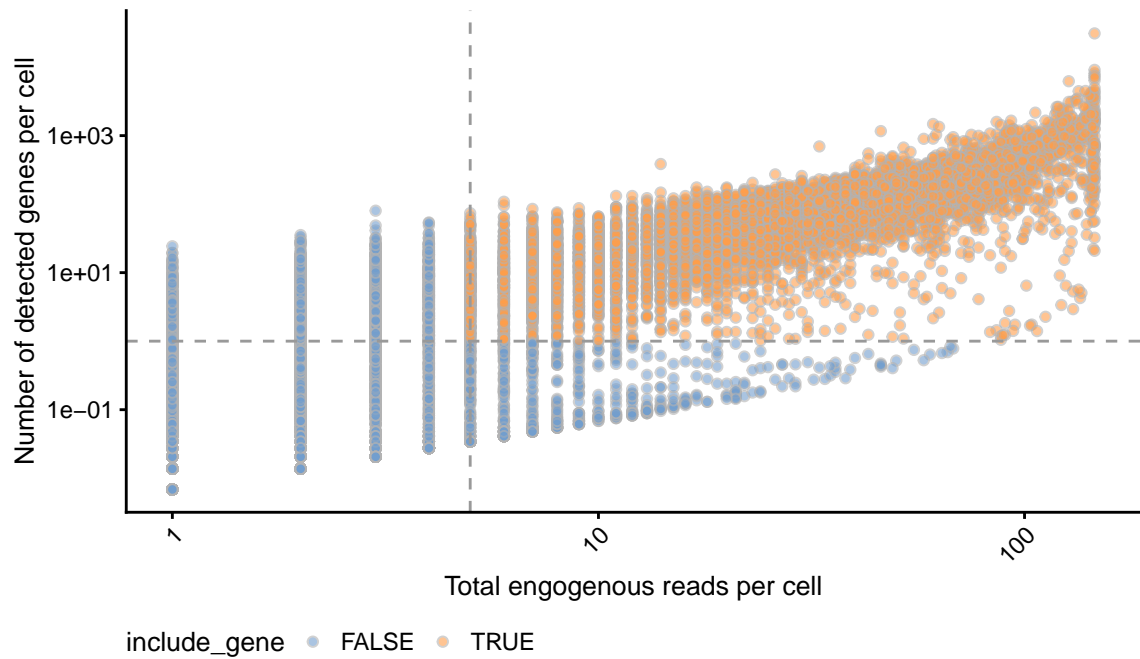


**Figure 3.** Cell-level QC metrics according to cell-level metadata. The total number of endogenous reads (excludes non-mapped and intronic reads) is plotted against the total number of detected genes. Colour indicates the experimental condition (left) and animal of origin (right) for each cell.



**Figure 4.** First two principal components of log-transformed expression counts after scran normalisation. Colour indicates the experimental condition (left) and animal of origin (right) for each cell.





**Figure 5.** Average read-count for each gene is plotted against the number of cells in which that gene was detected. Dashed grey lines are shown at the thresholds below which genes are removed.

```
rowData(sce_naive_active)$detected_cells >= 5
plotRowData(
  sce_naive_active,
  x = "detected_cells",
  y = "mean",
  colour_by = "include_gene") +
  xlab("Total endogenous reads per cell") +
  ylab("Number of detected genes per cell") +
  scale_x_log10() +
  scale_y_log10() +
  theme(
    legend.position = "bottom",
    axis.text.x = element_text(angle = 45, hjust = 1)
  ) +
  geom_vline(xintercept = 5, linetype = "dashed", col = "grey60") +
  geom_hline(yintercept = 1, linetype = "dashed", col = "grey60")
```

```
# Apply gene filter
sce_naive_active <- sce_naive_active[rowData(sce_naive_active)$include_gene, ]
```

Subsequently, we also require users to remove spike-in molecules that were not captured through sequencing. We will do this separately for naive and active cells separately.

```
ind_active <- sce_naive_active$Stimulus == "Active"
ind_naive <- sce_naive_active$Stimulus == "Unstimulated"
spikes <- assay(altExp(sce_naive_active))
detected_spikes_active <- rowSums(spikes[, ind_active] > 0) > 0
detected_spikes_naive <- rowSums(spikes[, ind_naive] > 0) > 0
detected_spikes <- detected_spikes_naive & detected_spikes_active
altExp(sce_naive_active) <- altExp(sce_naive_active)[detected_spikes, ]
```

The final dataset used in subsequent analyses contains 146 cells, 8953 genes and 49 spike-ins.

## Input data for BASiCS

Here, we apply the *BASiCS* model separately to cells from each experimental condition (93 naive and 53 activated cells). We create separate `SingleCellExperiment` objects for each group of cells.

```
sce_naive <- sce_naive_active[, ind_naive]
sce_active <- sce_naive_active[, ind_active]
```

*BASiCS* requires the user to update these objects with additional information, using a specific format. Firstly, if multiple batches of sequenced cells are available (e.g. multiple donors that cells were extracted from, or multiple sequencing batches from the same experimental condition), this information must be included under the `BatchInfo` label as part of the cell-level metadata.

```
colData(sce_naive)$BatchInfo <- colData(sce_naive)$Individuals
colData(sce_active)$BatchInfo <- colData(sce_active)$Individuals
```

If spike-ins will be used to aid data normalisation and technical noise quantification, *BASiCS* also requires the number of spike-in molecules that were added to each well. For each spike-in gene  $i$ , this corresponds to:

$$\mu_i = C_i \times 10^{-18} \times (6.022 \times 10^{23}) \times V \times D \quad \text{where,}$$

- $C_i$  is the concentration for the spike-in  $i$  (measured in  $aM\mu l^{-1}$ ),
- $V$  is the volume added into each well (measure in  $nl$ ) and
- $D$  is a dilution factor.

The remaining factors in the equation above are unit conversion constants (e.g. from moles to molecules). For the  $CD4^+$  T cell data, the authors added a 1:50,000 dilution of the ERCC spike-in mix 1 and a volume of 9nl was added into each well (see <https://www.fluidigm.com/faq/ifc-9>). Finally, input concentrations  $C_i$  can be downloaded from <https://assets.thermofisher.com/TFS-Assets/LSG/manuals>.

```
if (!file.exists("downloads/spike_info.txt")) {
  website <- "https://assets.thermofisher.com/TFS-Assets/LSG/manuals"
  file <- "cms_095046.txt"
  download.file(
    paste0(website, file),
    destfile = "downloads/spike_info.txt"
  )
}

ERCC_conc <- read.table("downloads/spike_info.txt", sep = "\t", header = TRUE)
```

Based on this information, the calculation above proceeds as follows

```
# Moles per micro litre
ERCC_mmol <- ERCC_conc$concentration.in.Mix.1..attomoles.ul. * (10^(-18))
# Molecule count per micro litre (1 mole comprises 6.02214076 x 10^{23} molecules)
ERCC_countmul <- ERCC_mmol * (6.02214076 * (10^23))
# Application of the dilution factor (1:50,000)
ERCC_count <- ERCC_countmul / 50000
# Multiplying by the volume added into each well
ERCC_count_final <- ERCC_count * 0.009
```

To update the `sce_naive` and `sce_active` objects, the user must create a `data.frame` whose first column contains the labels associated to the spike-in molecule (e.g. ERCC-00130) and whose second column contains the input number of molecules calculated above. We add this information as metadata for `altExp(sce_naive)` and `altExp(sce_active)`, respectively.

```
SpikeInput <- data.frame(
  Names = ERCC_conc$ERCC.ID,
  count = ERCC_count_final
)
```

```
# Exclude spike-ins not included in the input SingleCellExperiment objects
# and ensure the order of the rows is identical
SpikeInput <- SpikeInput[match(rownames(altExp(sce_naive)), SpikeInput$Names), ]

metadata(sce_naive)$SpikeInput <- SpikeInput
metadata(sce_active)$SpikeInput <- SpikeInput
```

### Parameter estimation using BASiCS

Parameter estimation is implemented in the `BASiCS_MCMC` function using an adaptive Metropolis within Gibbs algorithm [43]. The primary inputs for `BASiCS_MCMC` correspond to:

- **Data:** a `SingleCellExperiment` object created as described in the previous sections.
- **N:** the total number of MCMC iterations.
- **Thin:** thinning period for output storage (only the Thin-th MCMC draw is stored).
- **Burn:** the initial number of MCMC iterations to be discarded.
- **Regression:** if `TRUE` a joint prior is assigned to  $\mu_i$  and  $\delta_i$  [25], and residual over-dispersion values  $\epsilon_i$  are inferred. Alternatively, independent log-normal priors are assigned to  $\mu_i$  and  $\delta_i$  [29].
- **WithSpikes:** if `TRUE` information from spike-in molecules is used to aid data normalisation and to quantify technical noise.

As a default, we recommend to use `Regression = TRUE` as we have observed that the joint prior introduced by Eling *et al.* leads to more stable, particularly for small sample sizes and lowly expressed genes. Moreover, the joint prior formulation enables users to obtain a measure of transcriptional variability that is not confounded by mean expression. Additional optional parameters can be used to store the generated output (`StoreChains`, `StoreDir`, `RunName`) and to monitor the progress of the algorithm (`PrintProgress`).

Here, we run the MCMC sampler separately for naive and activated cells. We use 40,000 iterations, discarding the initial 20,000 iterations. We recommend this setting as a default choice, as we have observed it to ensure good convergence for the algorithm across multiple datasets. However, for large datasets and less sparse datasets, a lower number of iterations may be sufficient. Practical guidance about the diagnostics criteria required to assess the performance of the MCMC algorithm is provided in the next section.

```
chain_naive <- BASiCS_MCMC(
  Data = sce_naive,
  N = 40000,
  Thin = 20,
  Burn = 20000,
  Regression = TRUE,
  WithSpikes = TRUE,
  StoreChains = TRUE,
  StoreDir = "rds/",
  RunName = "naive"
)

chain_active <- BASiCS_MCMC(
  Data = sce_active,
  N = 40000,
  Thin = 20,
  Burn = 20000,
  Regression = TRUE,
  WithSpikes = TRUE,
  StoreChains = TRUE,
  StoreDir = "rds/",
  RunName = "active"
)
```

This first of these samplers takes 127 minutes to complete on a 3.4 GHz Intel Core i7 4770k processor with 16GB RAM, while the second takes 75 minutes. For convenience, these can be obtained online at <https://git.ecdf.ed.ac.uk/vallejosgroup/basicsworkflow2020>.

```

if (!file.exists("rds/chain_naive.Rds")) {
  website <- "https://git.ecdf.ed.ac.uk/vallejosgroup/basicsworkflow2020/raw/master/"
  file <- "chain_naive.Rds"
  download.file(
    paste0(website, file),
    destfile = "rds/chain_naive.Rds"
  )
}
if (!file.exists("rds/chain_active.Rds")) {
  website <- "https://git.ecdf.ed.ac.uk/vallejosgroup/basicsworkflow2020/raw/master/"
  file <- "chain_active.Rds"
  download.file(
    paste0(website, file),
    destfile = "rds/chain_active.Rds"
  )
}
chain_naive <- readRDS("rds/chain_naive.Rds")
chain_active <- readRDS("rds/chain_active.Rds")

```

The output from BASiCS\_MCMC is a BASiCS\_Chain object that contains the draws associated to all model parameters (as  $N = 40,000$ , Thin = 20 and Burn = 20,000, the object contains 1,000 draws for each parameter). These can be accessed using the `displayChainBASiCS` function. For example, the following code displays the first 2 draws for mean expression parameters  $\mu_i$  associated to the first 3 genes.

```
displayChainBASiCS(chain_naive, Param = "mu")[1:2, 1:3]
```

```

##      ENSMUSG000000000001 ENSMUSG000000000056 ENSMUSG000000000085
## [1,]          11.90910          1.854180          0.9695263
## [2,]          14.97279          1.625154          0.8400265

```

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