## XML Advance Querying



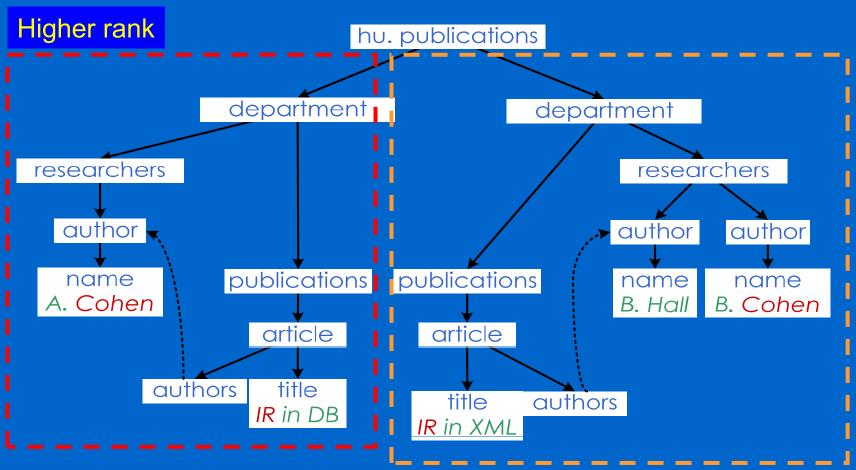
#### Introduction

- Queries may not always be precise and can return a large number of results, especially in large document collections.
- Rank the query results so that the most relevant results appear first
- XML Scoring and Ranking
  - Score elements with respect to their relevance to a query
  - Determine the appropriate level of component granularity to return to users

#### XML scoring and ranking:

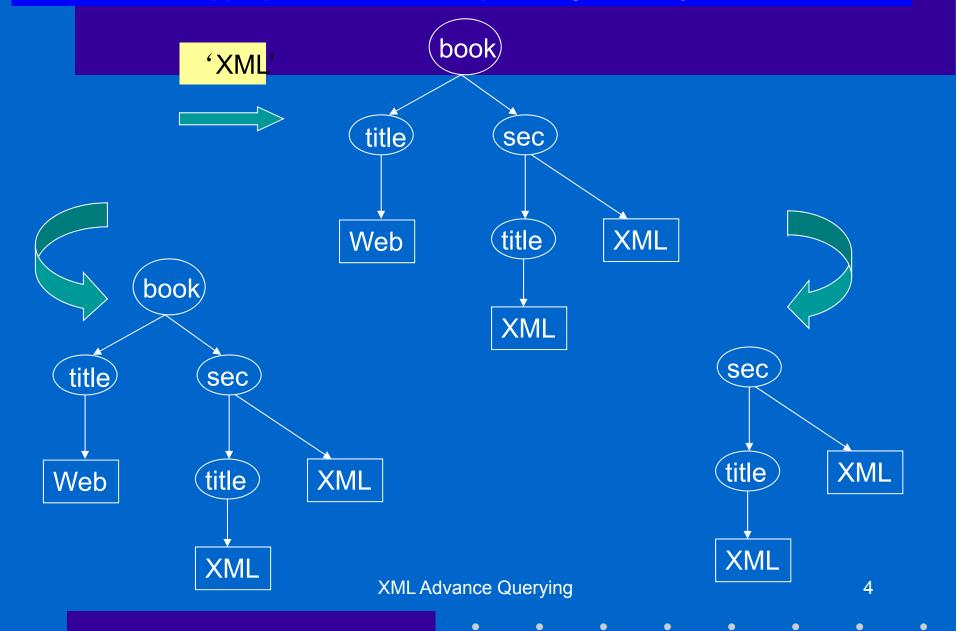
score elements wrt. their relevance to a query





#### XML scoring and ranking:

Determine the appropriate level of component granularity to return to users



## Scoring, Ranking and Querying

- Common method
  - Traditional weighting terms: TF-IDF values
- Challenges
  - Term and element statistics
    - Scoring at element level requires statistics at element level
    - XML elements are nested
  - Capture the structure of XML document
- Discussion
  - Vector-based scoring
  - XRank
  - XML Query Relaxation

#### Vector Space Model in Text Retrieval Task

- Given a query
  - According to the retrieval formula, compute the relevance score for each document;
  - Rank the documents according to relevance score.
- Vector Space Model
  - Represent doc/query by a vector of terms
  - Relevance between doc and query → distance between two vectors
  - Weighting terms (TF-IDF values)

$$\rho(q,d) = \frac{\sum_{t \in q \cap d} w_q(t) \times w_d(t)}{|q| \times |d|}$$

#### **Searching XML Documents via XML Fragments**

- SIGIR 2003
- Utilize vector space models
  - Both documents and queries are expressed in free text.
  - Compare unstructured data to unstructured data
- Document collection:
  - XML documents
    - Each document is a hierarchical structure of nested elements
    - Markup in the document mainly serves for exposing the logical structure of a document.
- Query
  - content + explicit references to the XML structure
  - specifies the target element need to be returned

#### Extending the Vector Space Model

- Indexing unit:  $(t_i, c_i)$ 
  - E.g. ("Harry Potter", /book/title)
  - Can be matched with
    - ("Harry Potter",/book)
    - ("Harry Potter",/book/sec/title)
- Retrieval Formula

$$\rho(q,d) = \frac{\sum_{(t,c_i)\in q} \sum_{(t,c_k)\in q} w_q(t,c_i) \times w_d(t,c_k) \times cr(c_i,c_k)}{|q| \times |d|}$$

Perfect match:  $cr(c_i, c_k) = 1$ , when  $c_i = c_k$ ; 0, otherwise.

Partial match:  $cr(c_i, c_k) = \frac{1+|c_i|}{1+|c_k|}$ , when  $c_i$  subsequence of  $c_k$ ; 0, otherwise

Fuzzy match:  $cr(c_i, c_k) = StrSimilarity(c_i, c_k)$ 

Flat (ignore context):  $cr(c_i, c_k) = 1, \forall c_i, c_k$ XML Advance Querying Context resemblance

#### Extending the Vector Space

$$\rho(q,d) = \frac{\sum_{(t,c_i)\in q} \sum_{(t,c_k)\in q} w_q(t,c_i) \times w_d(t,c_k) \times cr(c_i,c_k)}{|q| \times |d|}$$

$$w_d(t,c_k) = tf_d(t,c_k) \times idf(t,c_k)$$
, where  $idf(t,c) = \log(\frac{|N|}{|N_{(t,c)}|})$ 

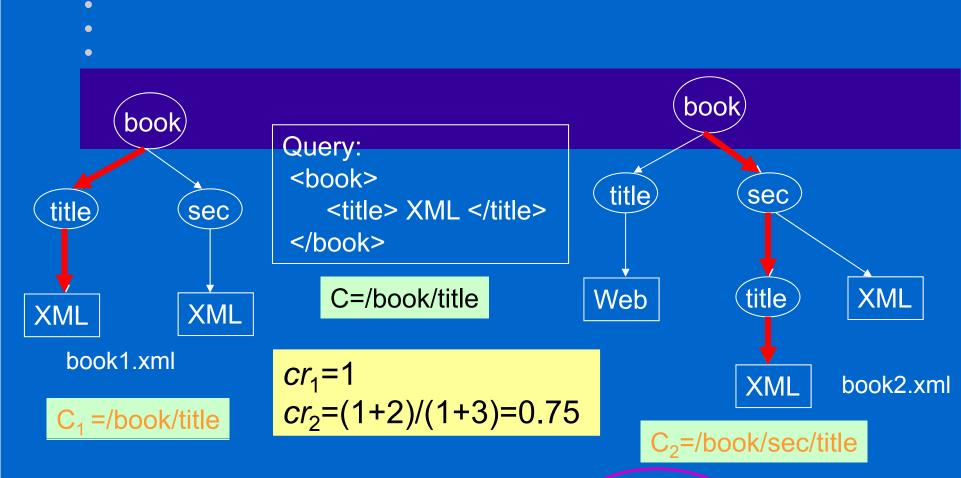
If c is rare, idf(t,c) would be high in spite of t being very common.

"Merge-idf" variant:

$$w_d(t,c_k) = tf_d(t,c_k) \times idf(t,C)$$
, where  $C = \bigcup_k c_k$  and  $cr(c_i,c_k) > 0$ 

"Merge" variant:

$$tf_d(t,C) \times idf(t,C) \times cr(c_i,C)$$
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$$\rho(q,d) = \frac{\sum_{(t,c_{i})\in q} \sum_{(t,c_{k})\in d} w_{q}(t,c_{i}) \times w_{d}(t,c_{k}) \times cr(c_{i},c_{k})}{|q| \times |d|}$$

$$cr(c_{i},c_{k}) = \frac{1 + |c_{i}|}{1 + |c_{k}|}$$

XML Advance Querying

# XRANK: Ranked Keyword Search over XML Documents

- *ElemRank* is similar to Google's *PageRank* but is computed at the granularity of an element and takes the nested structure of XML into account
- Algorithms
  - Naïve
  - Dewey Inverted List (DIL)
  - Ranked Dewey Inverted List (RDIL)
  - Hybrid Dewey Inverted List (HDIL)

#### Problem Overview

- Similar to Google
  - Text search
  - Hyperlink
    - May rank high
  - Keyword proximity
  - Ranking
- Different from Google
  - Hierarchical structure
  - Element as result

```
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                                                               <editors> David Carmel, Yoelle Maarek, Aya Soffer </editors>
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                                                                        <author> Ricardo Baeza-Yates </author>
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                                                                        <author> Gonzalo Navarro </author>
                                                                       <abstract> We consider the recently proposed language ...
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                                                                                  Searching on structured text is more important ...
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                                                                          <title> Querying XML in Xyleme </title>
XML Advance Que 28.
                                                                    </paper>
                                                              </proceedings>
                                                   30. </workshop>
```

#### Definitions

- Set of nodes:  $N = NE \bigcup NV$ 
  - NE is the set of elements
  - NV is the set of values (including element tags and attribute names)
- *CE* is the set of containment edges relating nodes:

 $(u, v) \in CE$  iff v is a value/nested sub-element of  $u \rightarrow u$  is the parent of node v.

```
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     <title> XML and IR: A SIGIR 2000 Workshop </title>
      <editors> David Carmel, Yoelle Maarek, Aya Soffer </editors>
     cproceedings>
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         <title> XOL and Proximal Nodes </title>
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         <abstract> We consider the recently proposed language ...
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11.
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12.
            <section name="Introduction">
              Searching on structured text is more important ...
14.
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16.
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                  At first sight, the XQL query language looks ...
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         </body>
        </paper>
        <paper id="2">
          <title> Querying XML in Xyleme </title>
        </paper>
     30. </workshop>
```

#### Definitions

- A node *u* is an ancestor of node *v* if there is a sequence of containment edges that lead from *u* to *v*.
- The predicate *contain*<sup>\*</sup>(*v*,*k*) is true if the node *v* directly of indirectly contains the keyword *k*.
- HE is the set of hyperlink edges related nodes: $(u,v) \in HE$  iff u contains a hyperlink reference to v.

## Keyword Query Results

- Query of *n* keywords:  $Q = \{k_1, ..., k_n\}$
- Let *R*<sub>o</sub> be the set of elements that directly or indirectly contain all the query keywords:
- The result of query Q:

```
result(Q) = \{v \mid \forall k \in Q, \exists c \in N((v, c) \in CE \land c \notin R_o \land contains *(c, k))\}
```

- return the sub-elements if possible for a more specific result
- result(Q) is a set of solution, but it does not cover all solutions!!!

## Ranking Keyword Query Results

- Ranking function depends on:
  - Result specificity
  - Keyword proximity
  - Hyperlink awareness
    - → ElemRank ~ PageRank of Google

## Ranking

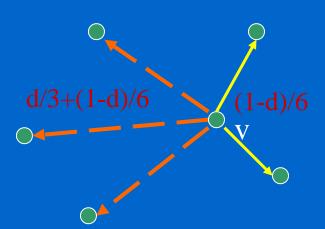
- Search query:  $Q = \{k_1, \dots, k_n\}$
- Assume  $v_1$  is an element solution
  - $\rightarrow$  for every keyword  $k_i$ , there is a sequence of containment edges in  $CE(v_1, v_2), (v_2, v_3), ..., (v_t, v_{t+1})$  such that  $v_{t+1}$  is a *value node* that directly contains the keyword  $k_i$

$$r(v_1, k_i) = ElemRank(v_t) \times decay^{t-1}$$

#### **Multiple matches**

$$\stackrel{\wedge}{r}(v_1,k_i) = f\left(r_1,r_2,...,r_m\right) \quad \text{f is an aggregate function, say sum} \\ R(v_1,Q) = \left(\sum_{1 \leq i \leq n} \stackrel{\wedge}{r}(v_1,k_i)\right) \times p(v_1,k_1,k_2,...,k_n) \\ \text{XML Advance Querying} \qquad \text{p is a proximity function}$$

#### PageRank [Brin & Page 1998]



---- : random jump

: probability of following hyperlink

-- : Hyperlink edge

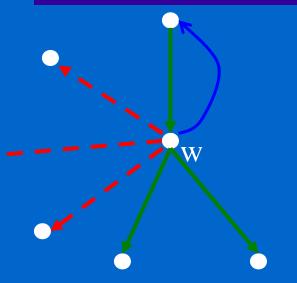
1-d: Probability of random jump

$$p(v) = d \times \sum_{(u,v) \in HE} \frac{p(u)}{N_h(u)} + \frac{1-d}{N_d}$$

the number of out-going hyperlinks from document *u* XML Advance Querying

total number of documents

#### From PageRank to ElemRank



---: Hyperlink edge

----: Containment edge (CE)

: Reverse containment edge

$$p(v) = \frac{1-d}{N_d} + d \times \sum_{(u,v) \in HE} \frac{p(u)}{N_h(u)}$$

$$e(v) = \frac{1-d}{N_e} + d \times \sum_{(u,v)\in E} \frac{e(u)}{(N_h(u) + N_c(u) + 1)}$$

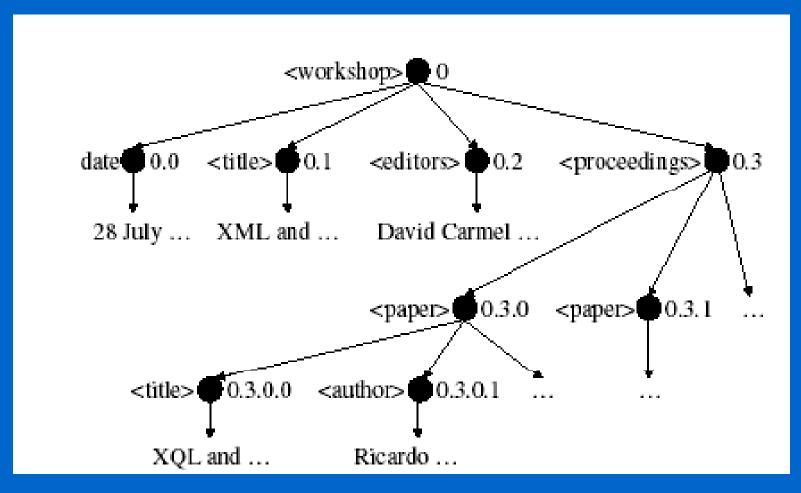
$$e(v) = \frac{1 - d_1 - d_2}{N_e} + d_1 \times \sum_{(u,v) \in HE} \frac{e(u)}{N_h(u)} + d_2 \times \sum_{(u,v) \in CE \cup CE^{-1}} \frac{e(u)}{N_c(u) + 1}$$

$$e(v) = \frac{1 - d_1 - d_2 - d_3}{N_e} + d_1 \times \sum_{(u,v) \in HE} \frac{e(u)}{N_h(u)} + d_2 \times \sum_{(u,v) \in CE} \frac{e(u)}{N_c(u)} + d_3 \sum_{(u,v) \in CE^{-1}} e(u)$$

## Naïve algorithm

- Naïve approach
  - Treat each element as a document
  - Build regular inverted list index structures over elements
- Naïve problems
  - Space overhead
  - False query results
  - Inaccurate ranking of results

## Dewey Index



#### Dewey Inverted List Index

Dewey Id

• Generate Dewey elements IDs

Build index

XQL

**Document ID** 

Unnecessary?

Always 0!

50.3.0.0 85 32

6.0.3.8.3 38 89 91

. . .

. . .

PL: the position of keyword k inside the element

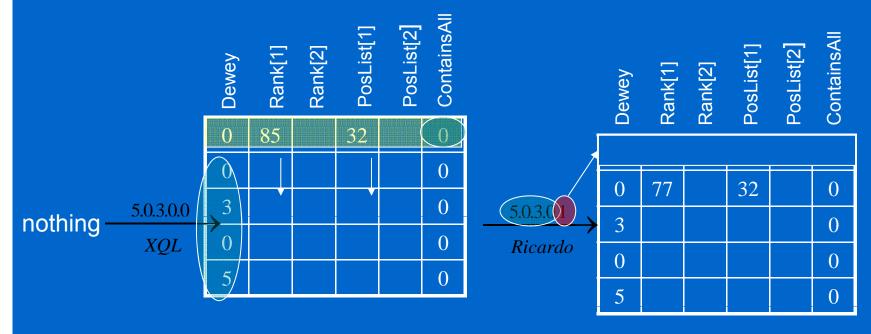
Sorted by Dewey Id

Ricardo — 5.0.3.0.1 82 38 8.0.1.4.2 99 52

Sorted by Dewey Id

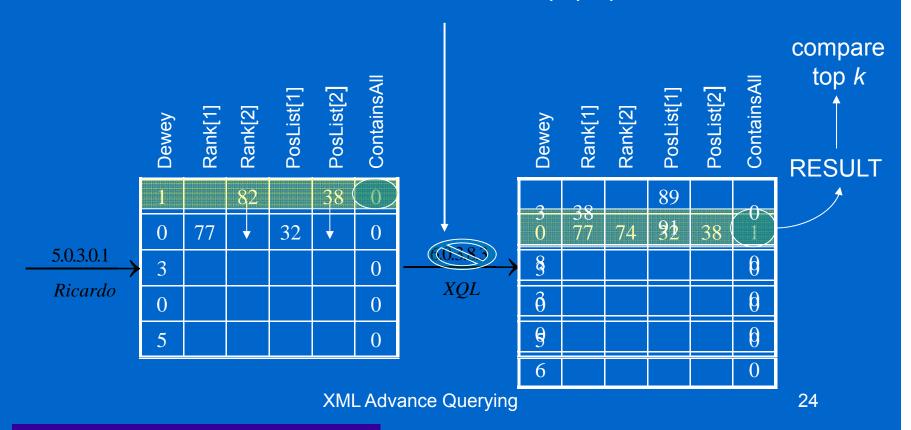
#### DIL Query Process

- Key idea: merge the query keyword inverted lists, and compute the longest prefix
- Sort all Dewey IDs  $\rightarrow$  start from the smallest



## DIL Query Process

6.0.3.8.3: Prefix not the same, pop up the stack



### DIL Challenge

- Merging multiple inverted lists
  - Simple equality merge not sufficient
  - Need to infer "most specific" result
- Suppress spurious results
- Two-dimensional proximity
- Algorithm that addresses above issues in a single but FULL scan over inverted lists

### RDIL Algorithm

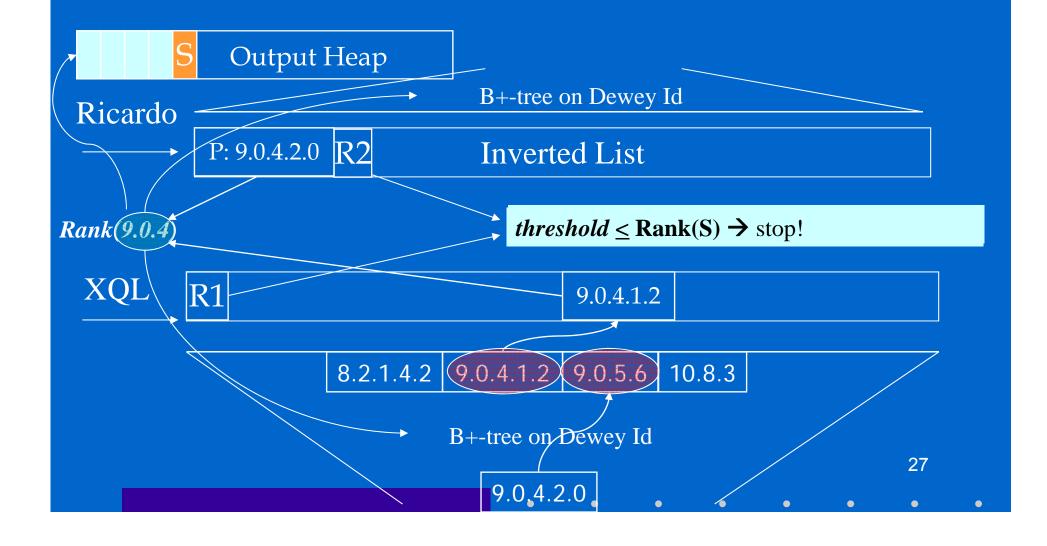
- Advantages
  - Higher ranked results likely to appear first
  - Query processing can be terminated early
- Indexing: order inverted lists by ElemRank instead of DeweyID

B+-tree On Dewey Id

XQL — Inverted List ...

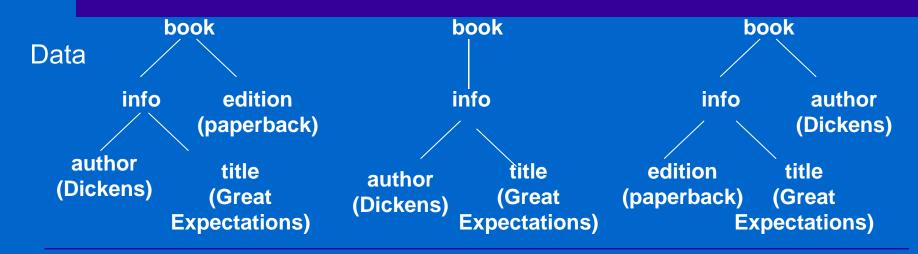
→Sorted by *ElemRank* 

## RDIL Query Process



#### :XML Query Relaxation [VLDB 2005]

Motivations: XML Data Heterogeneity



#### Query:

book[./info[./title="Great Expectations" and ./author="Dickens"] and ./edition="paperback"]

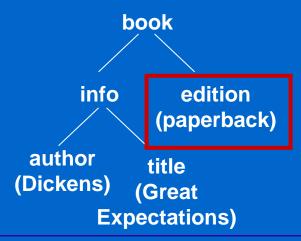


### XML Query Relaxation

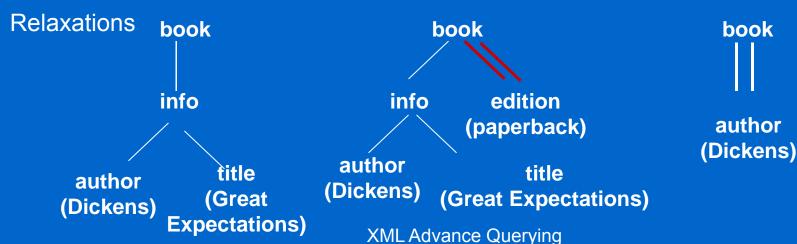
[Amer-Yahia et al. EDBT'02]

- Tree pattern relaxations:
  - Leaf node deletion
  - Edge generalization
  - Subtree promotion

Query



29

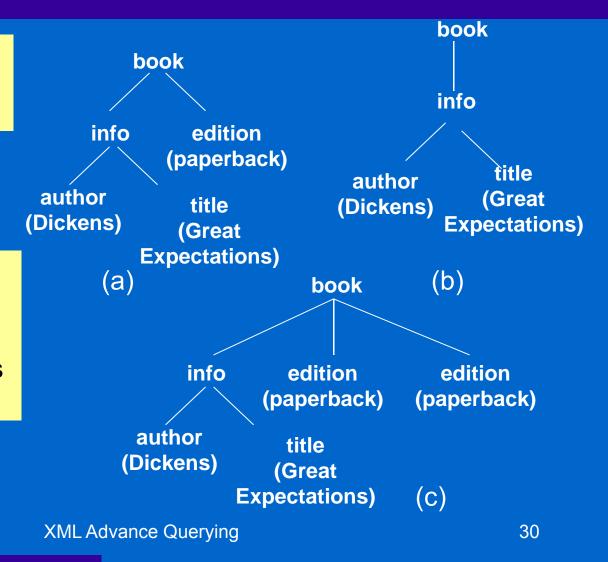


#### Scoring Function for XML Approximate Matches

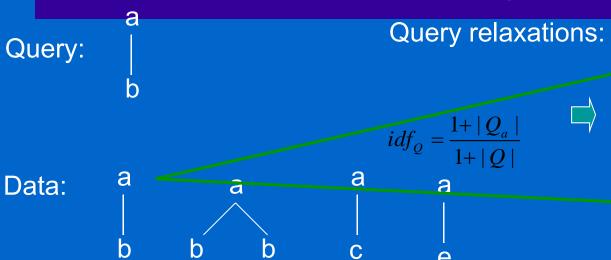
Exact matches should be scored higher than relaxed matches (*idf*)

Distinguished nodes with several matches should be ranked higher than those with fewer matches (tf)

score(a)<=score(c)</pre>



## Scoring



(1+4)/(1+2)=1.67

(1+4)/(1+3)=1.25

a

31

$$idf=1.25$$

$$tf=1$$

$$d \le d' \text{ if } idf(d) < idf(d')$$

tf=2

idf=1.67

or idf(d)=idf(d') and  $tf(d) \le tf(d')$ 

idf=1.67

tf=1

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idf=1

tf=1

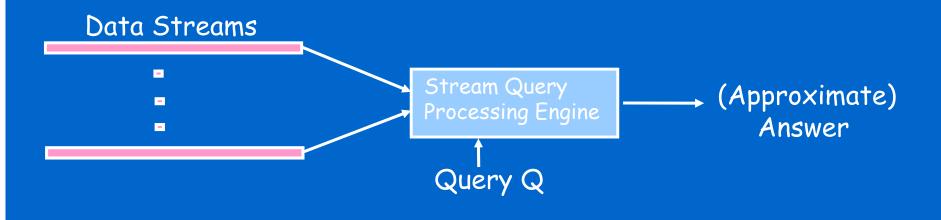
#### Summary

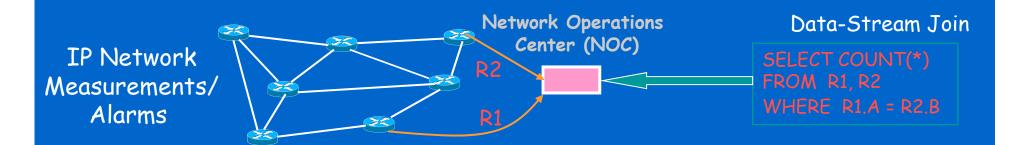
- Score value should reflect relevance of answer to user query.
  - Higher scores imply a higher degree of relevance.
- Queries return document fragments.
  - Granularity of returned results affects scoring.
- For queries containing conditions on structure
  - structural conditions may affect scoring.
- Existing proposals extend common scoring methods:
  - PageRank or vector-based similarity.
- Scoring for keyword search
  - No structure constraint in query
  - How to take document structure into account
  - How about semantic information

#### XML Data Streams

- A data stream is a massive, continuous sequence of data records
- Requirements for stream query processing
  - -Single Pass: Each record is examined at most once, in fixed (arrival) order
  - -Small Space: Log or poly-log in data stream size
  - -Real-time: Per-record processing time must be low
- Example Application: Network Management
- Correlating XML Data Streams Using Tree-Edit Distance Embeddings
  - -Minos Garofalakis & Amit Kumar, PDOS 2003

## Query Processing over Data Streams



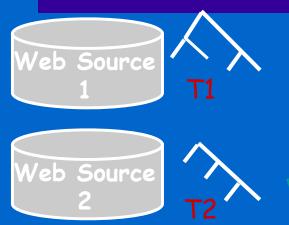


## Processing XML Data Streams

- XML: Much richer, (semi)structured data model
  - Ordered, node-labeled data trees
- Bulk of work on XML streaming: *Content-based filtering of XML documents* (publish/subscribe systems)
  - Quickly match incoming documents against standing XPath subscriptions



#### XML stream correlation query: Similarity-Join

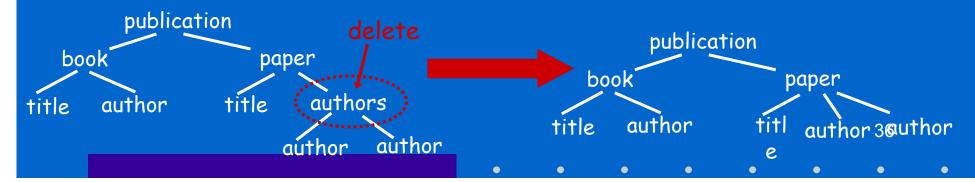


Different data representation for same information (DTDs, optional elements)

|SimJoin(S1, S2)| = $|\{(T1,T2) \in S1xS2: dist(T1,T2) \leq \theta \}|$ 

Degree of content similarity between streaming XML sources

- Correlation metric: *Tree-edit distance* ed(T1,T2)
  - Node relabels, inserts, deletes also, allow for *subtree moves*



## Approach

- Construct low-distortion embedding for tree-edit distance over streaming XML documents -- Requirements:
  - Small space/time
  - Oblivious: Can compute V(T) independent of other trees in the stream(s)
- An algorithm for low-distortion, oblivious embedding of the tree-edit distance metric in small space/time
  - Fully deterministic, embed into L1 vector space
  - Bound of  $O(\log^2 n \log^* n)$  on distance distortion for trees with n nodes

$$||V(S)-V(T)||_1 = \sum |V(S)[i]-V(T)[i]| = O(\log^2 n \log^* n) \cdot ed(S,T)$$

## Approach

- Applications in XML stream query processing
  - Combine the embedding with existing pseudo-random sketching techniques
  - Build a small-space sketch synopsis for a massive, streaming XML data tree
    - Concise surrogate for tree-edit distance computations
  - Approximating tree-edit distance similarity joins over XML streams in small space/time

- *Key Idea:* Given an XML tree T, build a *hierarchical parsing structure* over T by intelligently grouping nodes and contracting edges in T
  - At parsing level i: T(i) is generated by grouping nodes of T(i-1) (T(0) = T)
  - Each node in the parsing structure (T(i), for all i = 0, 1, ...) corresponds to a connected subtree of T
  - Vector image V(T) is basically the *characteristic vector* of the resulting multiset of subtrees (in the entire parsing structure)

V(T)[x] = no. of times subtree x appears in the parsing structure for T

- The parsing guarantees
  - O(log|T|) parsing levels (constant-fraction reduction at each level)
  - V(T) is *very sparse*: Only O(|T|) non-zero components in V(T)
    - Even though dimensionality =  $O((4|\sigma|)^n)$  ( $\sigma$  = label alphabet)
    - Allows for effective sketching
  - V(T) is constructed in time  $O(|T| \log^* |T|)$

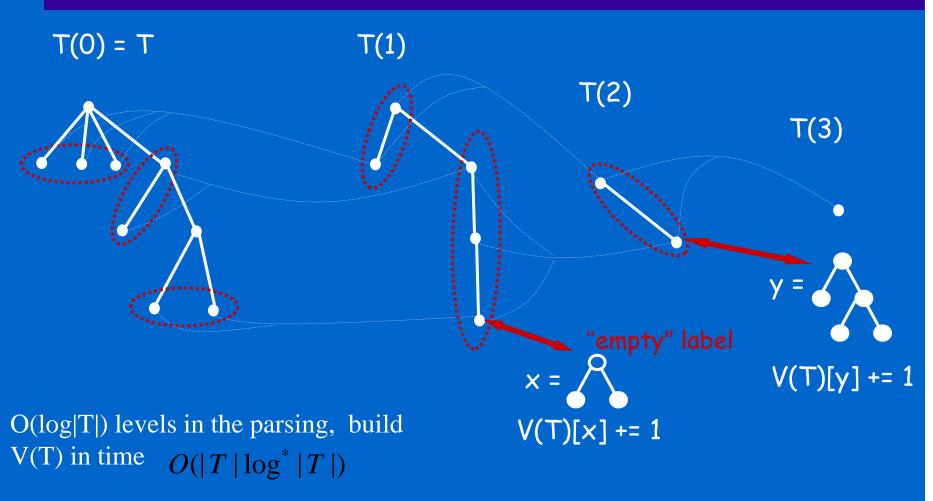
- Node grouping at a given parsing level T(i): Create groups of 2 or 3 nodes of T(i) and merge them into a single node of T(i+1)
  - 1. Group maximal sequence of contiguous leaf children of a node
  - 2. Group maximal sequence of contiguous nodes in a chain





- Grouping for Cases 1,2: Deterministic coin-tossing process of Cormode and Muthukrishnan [SODA'02]
  - *Key property:* Insertion/deletion in a sequence of length k only affects the grouping of nodes in a radius of  $\log^* k + 5$  from the point of change

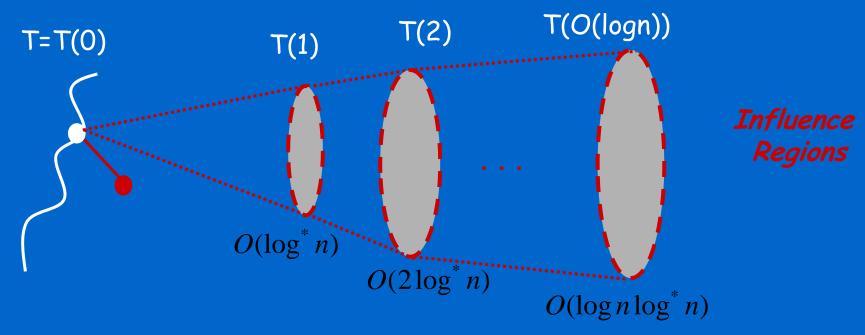
#### Hierarchical Tree Parsing Example



#### Sketching a Massive, Streaming XML Tree

- *Input:* Massive XML data tree T (n = |T| >> available memory), seen in preorder
- *Output:* Small space surrogate (vector) for high-probability, approximate tree-edit distance computations
- Key Ideas
  - Incrementally parse T to produce V(T) as elements stream in
  - Just need to retain the *influence region* nodes for each parsing level and for each node in the current root-to-leaf path

#### Sketching a Massive, Streaming XML Tree



While updating V(T), also produce an L1 sketch of the V(T) vector using the techniques of Indyk

#### Approximate Similarity Joins over XML Streams

52:

 $|SimJoin(S1, S2)| = \\ |\{(T1,T2) \in S1xS2: ed(T1,T2) \leq \theta \}|$ 

- *Input*: Long streams S1, S2 of N (short) XML documents (≤ b nodes)
- *Output:* Estimate for |SimJoin(S1, S2)|

#### Approximate Similarity Joins over XML Streams

- Key Ideas
  - The embedding of streaming document trees, plus two distinct levels of sketching
    - One to reduce L1 dimensionality, one to capture the data distribution (for joining)
    - Finally, similarity join in lower-dimensional L1 space

