



University of
Pittsburgh

Algorithms and Data Structures 2

CS 1501



Spring 2023

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(Slides are adapted from Dr. Ramirez's and Dr. Farnan's CS1501 slides.)

Announcements

- Upcoming Deadlines
 - Homework 10: this Friday @ 11:59 pm
 - Lab 8: Tuesday 3/28 @ 11:59 pm
 - Assignment 3: Friday 3/31 @ 11:59 pm
 - Support video and slides on Canvas
 - Assignment 4: Friday 4/14 @ 11:59 pm
 - Support video and slides on Canvas

Previous lecture

- Repetitive Minimum Problem
- Priority Queue ADT

This Lecture

- Heap implementation of the Priority Queue ADT

Repetitive Highest Priority Problem

- Input:

- a (large) dynamic set of data items
 - each item has a priority
 - e.g., highest priority is minimum item
 - e.g., highest priority is maximum item
- a *stream* of zero or more of each of the following operations
 - Find a highest priority item in the set
 - Insert an item to the set
 - Remove a highest priority item from the set

- Examples

- Selection sort
 - Repeatedly, remove a minimum item from the array and insert it in its correct position in the sorted array
- Huffman trie construction
 - Each iteration: remove a minimum tree from the forest (**twice**) and insert a new tree

Let's create an ADT!

- The ADT Priority Queue (PQ)
- Primary operations of the PQ:
 - Insert
 - Find item with highest priority
 - e.g., findMin() or findMax()
 - Remove an item with highest priority
 - e.g., removeMin() or removeMax()

What are possible implementations of the PQ ADT?

	findMin	removeMin	insert
Unsorted Array	$O(n)$	$O(n)$	$O(1)$
Sorted Array	$O(1)$	$O(1)$	$O(n)$
Red-Black BST	$O(\log n)$	$O(\log n)$	$O(\log n)$


Example: Huffman Trie Construction

- K-1 iterations
 - K is the # unique characters in the file to be compressed
- Each iteration:
 - 2 removeMin calls
 - 1 insert call
- Unsorted Array: Total time Huffman Trie Construction $= (K-1) * [2 * K + 1 * 1] = O(K^2)$
- Sorted Array: Total time Huffman Trie Construction $= (K-1) * [2 * 1 + 1 * K] = O(K^2)$
- Balanced BST: Total time Huffman Trie Construction $= (K-1) * [2 * \log K + 1 * \log K] = O(K \log K)$

Is a BST overkill to implement ADT PQ?

- Balanced BST (e.g., RB-BST) provides $\log n$ runtime time for all operations
- Our find and remove operations only need the highest priority item, not to find/remove *any* item
 - Can we take advantage of this to improve our runtime?
 - Yes!

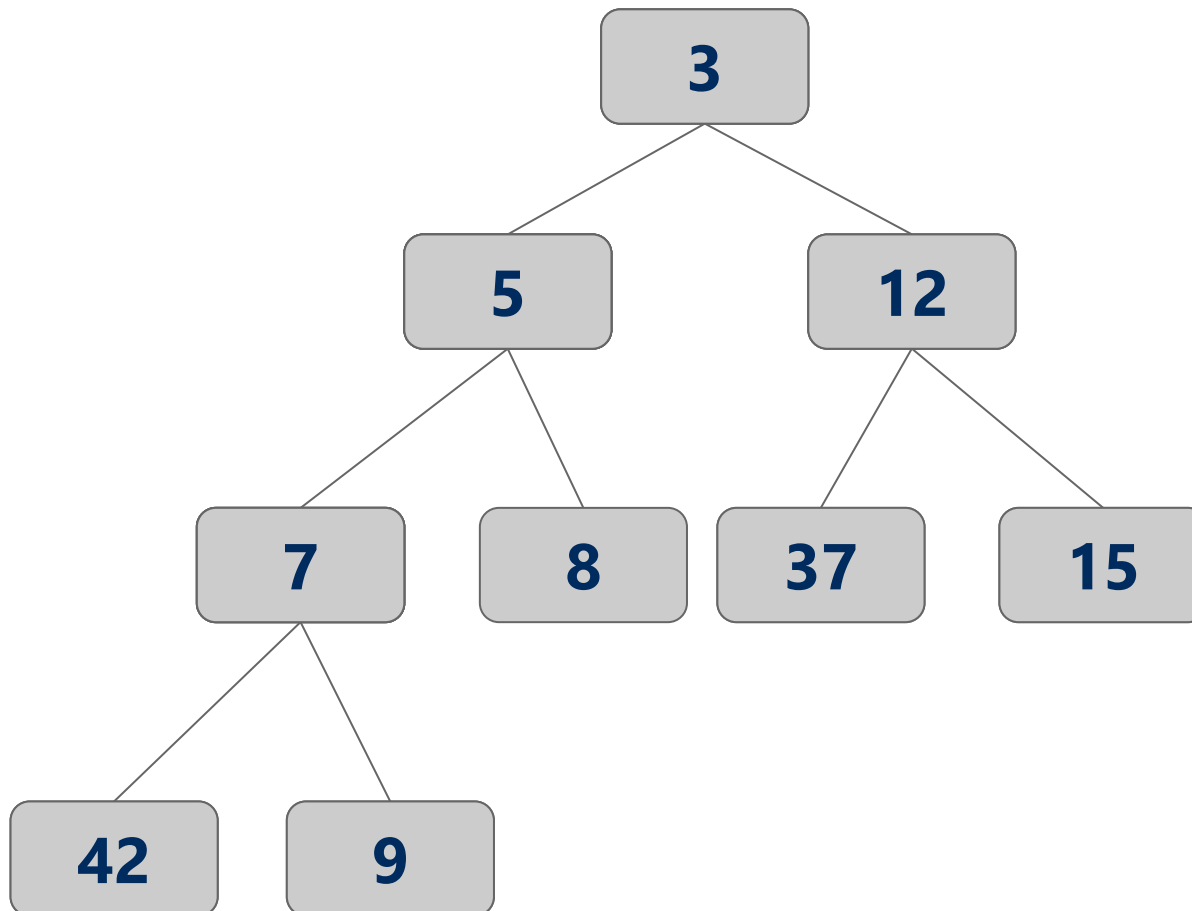
The heap

- 
- A heap is **complete** binary tree such that for each node T in the tree:
 - T.item is of a higher priority than T.right_child.item
 - T.item is of a higher priority than T.left_child.item
 - It does not matter how T.left_child.item relates to T.right_child.item
 - This is a relaxation of the approach needed by a BST

The heap property

Min Heap Example

- In a Min Heap, a highest priority item is a minimum item



Heap PQ runtimes

- Find is easy
 - Simply the root of the tree
 - $\Theta(1)$
- Remove and insert are not quite so trivial
 - The tree is modified and the heap property must be maintained

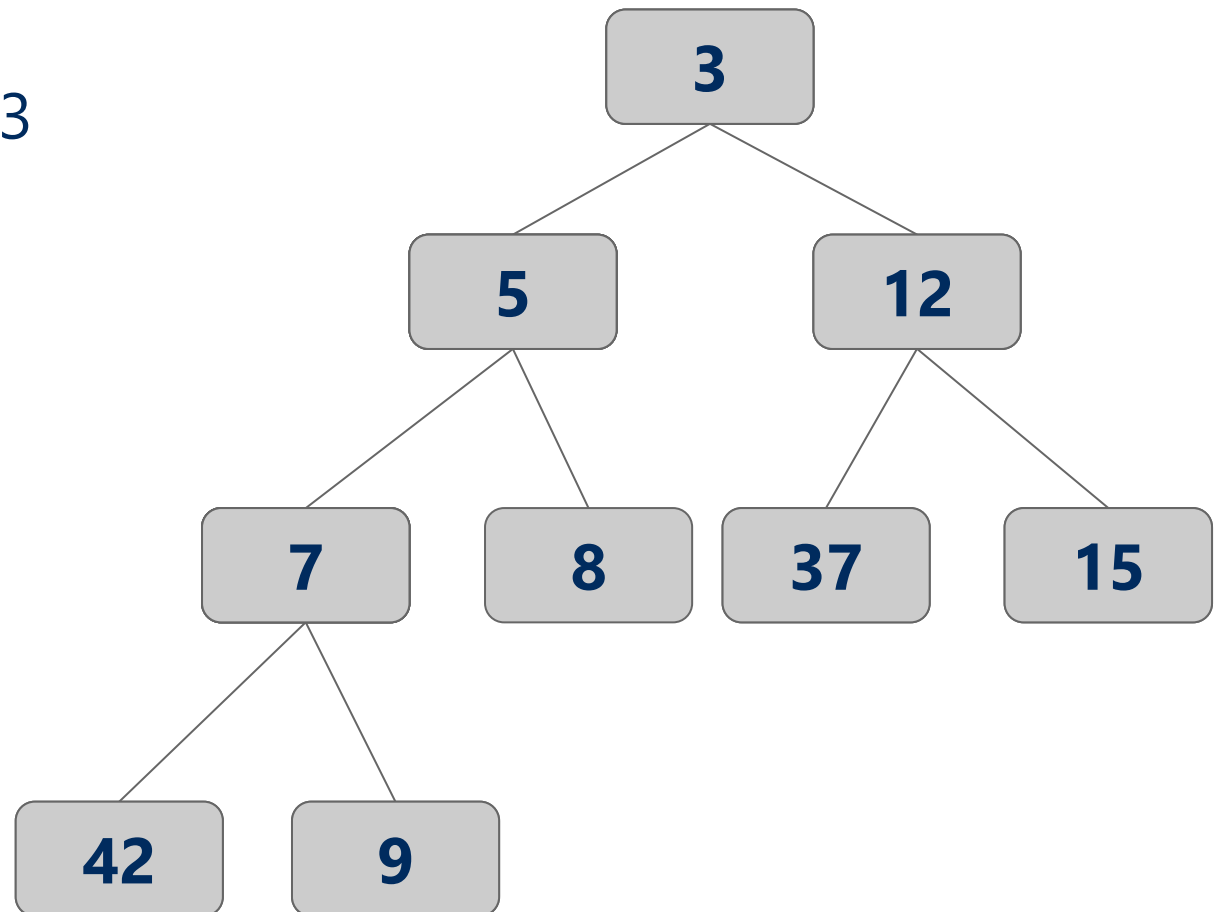
Heap insert

- Add a new node at the next available leaf
- Push the new node up the tree until it is supporting the heap property

Min heap insert

Insert:

7, 42, 37, 5, 8, 15, 12, 9, 3

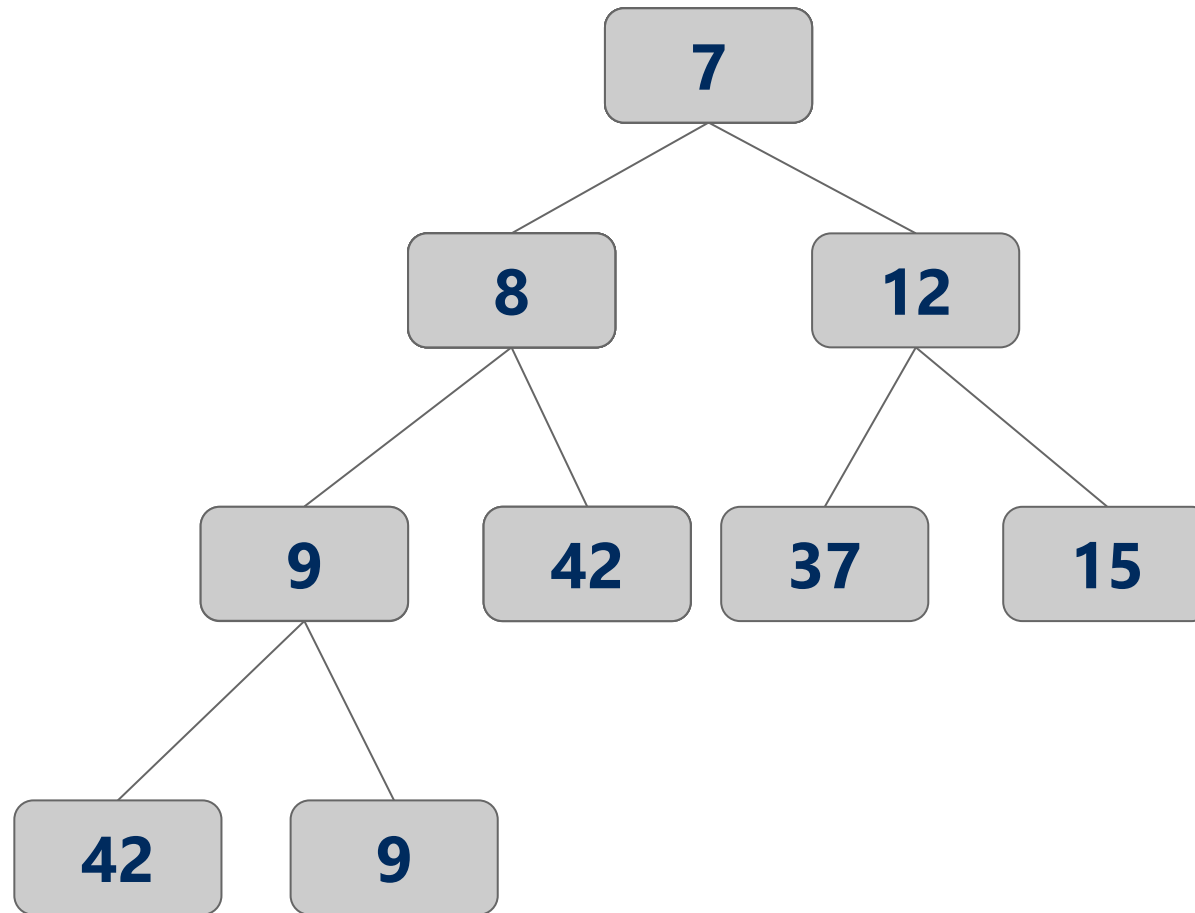


Heap remove

- Tricky to delete root...
 - So let's simply overwrite the root with the item from the last leaf and delete the last leaf
 - But then the root is violating the heap property...
 - So we push the root down the tree until it is supporting the heap property

Min heap removal

NO!



Heap runtimes

- Find
 - $\Theta(1)$
- Insert and remove
 - Height of a complete binary tree is $\lg n$
 - At most, upheap and downheap operations traverse the height of the tree
 - Hence, insert and remove are $\Theta(\lg n)$

Heap implementation

- Simply implement tree nodes like for BST
 - This requires overhead for dynamic node allocation
 - Also must follow chains of parent/child relations to traverse the tree
- Note that a heap will be a complete binary tree...
 - We can easily represent a complete binary tree using an array

Storing a heap in an array

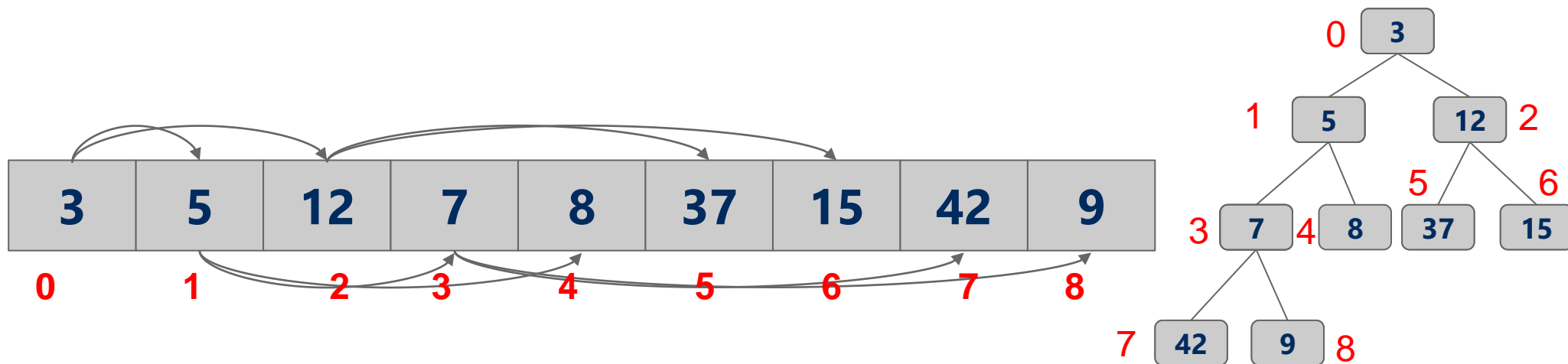
- Number nodes row-wise starting at 0
- Use these numbers as indices in the array
- Now, for node at index i

- $\text{parent}(i) = \lfloor (i - 1) / 2 \rfloor$

- $\text{left_child}(i) = 2i + 1$

- $\text{right_child}(i) = 2i + 2$

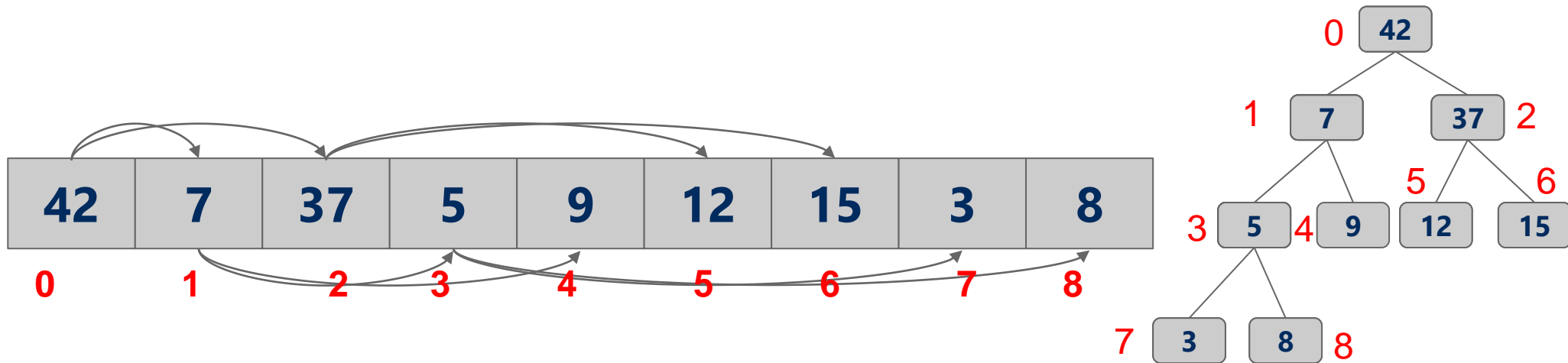
For arrays indexed from 0



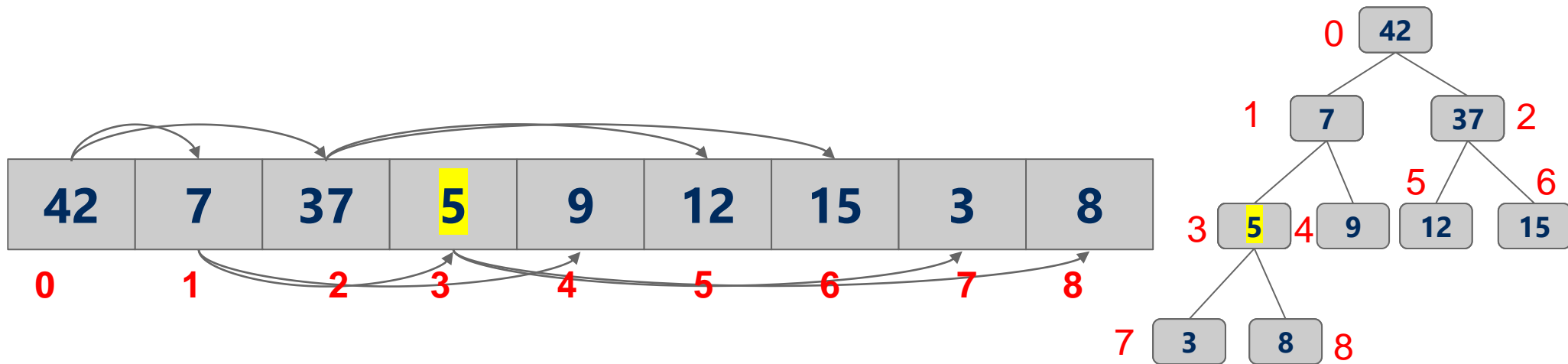
Can we turn any array into a heap?

- Yes!
- Any array can be thought of as a complete tree!
- We can change it into a heap using the following algorithm
- Scan through the array **right to left** starting from the rightmost non-leaf
 - the largest index i such that $\text{left_child}(i)$ is a valid index (i.e., $< n$)
 - $2i+1 < n \rightarrow i < (n-1)/2$
 - push the node down the tree until it is supporting the heap property
- This is called the **Heapify** operation

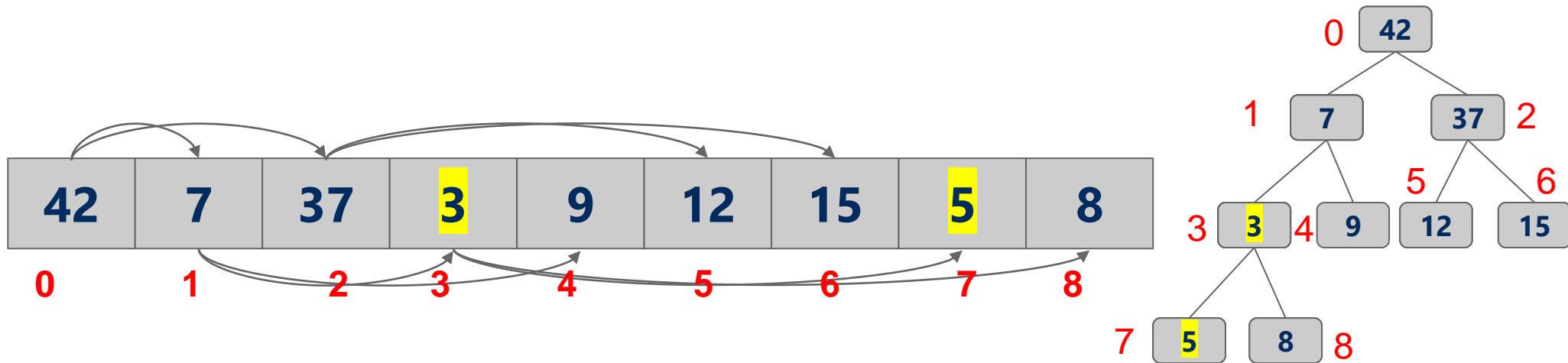
Heapify Example: Building a Min Heap



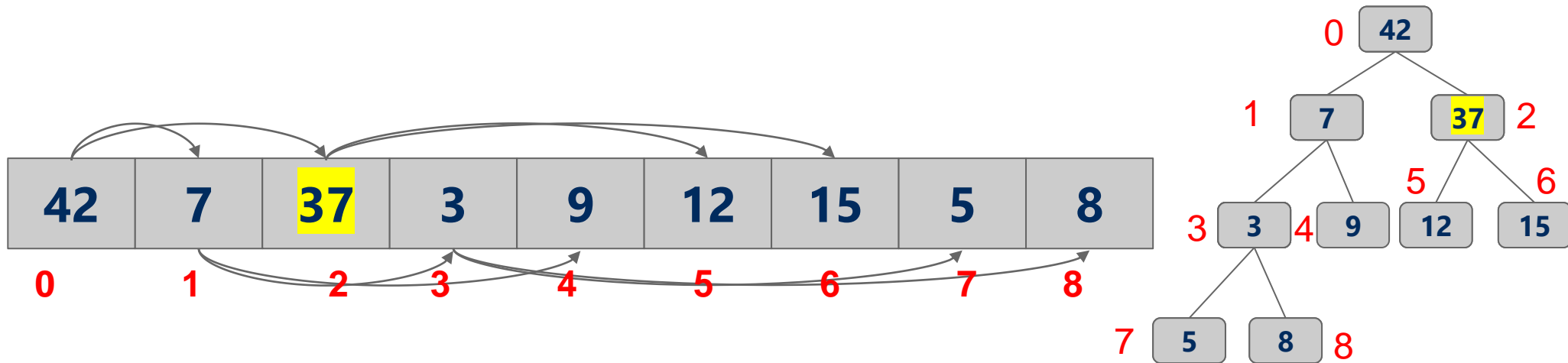
Heapify Example: Building a Min Heap



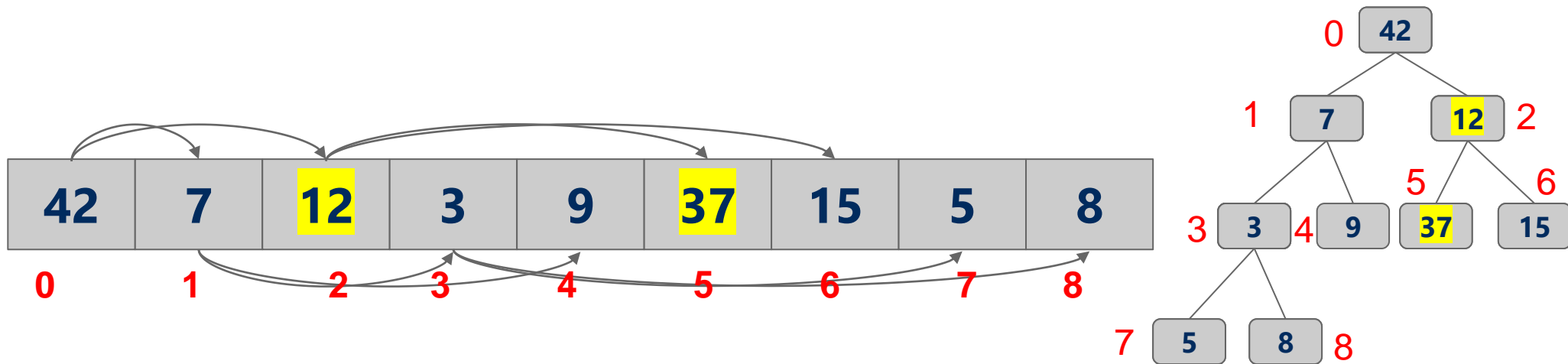
Heapify Example: Building a Min Heap



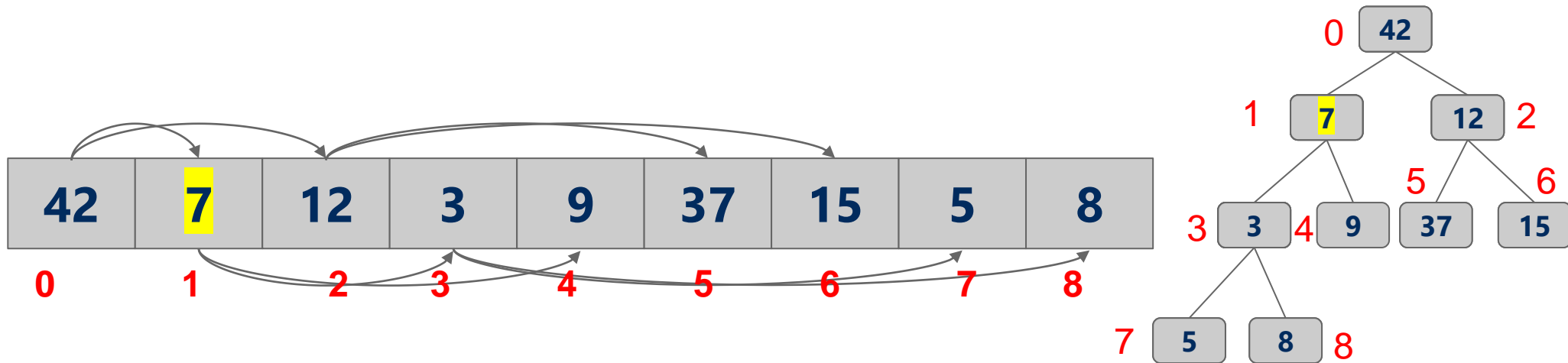
Heapify Example: Building a Min Heap



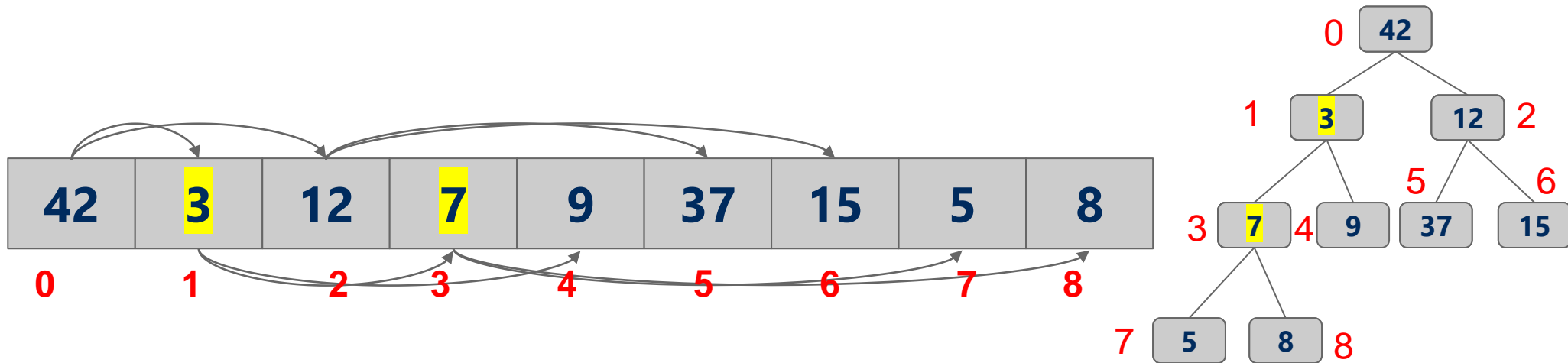
Heapify Example: Building a Min Heap



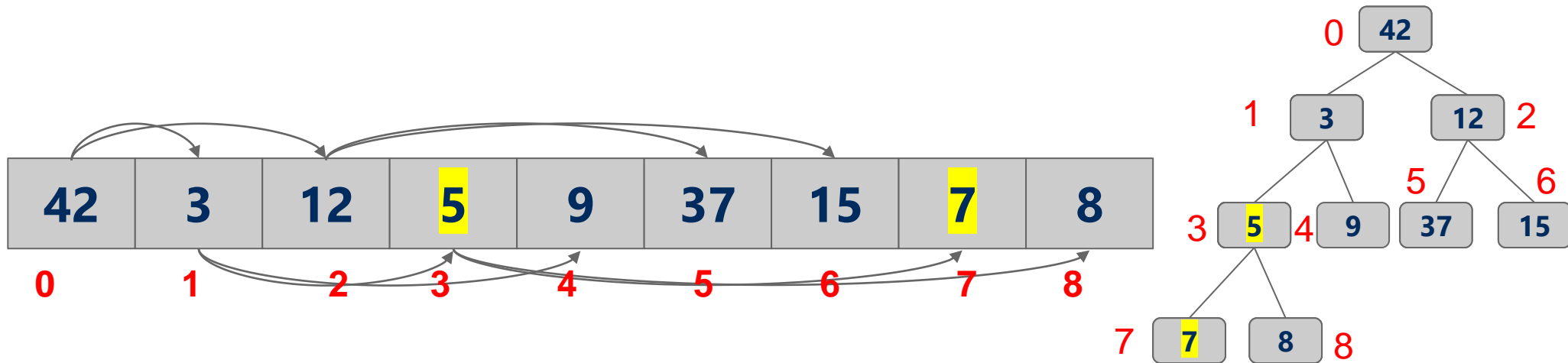
Heapify Example: Building a Min Heap



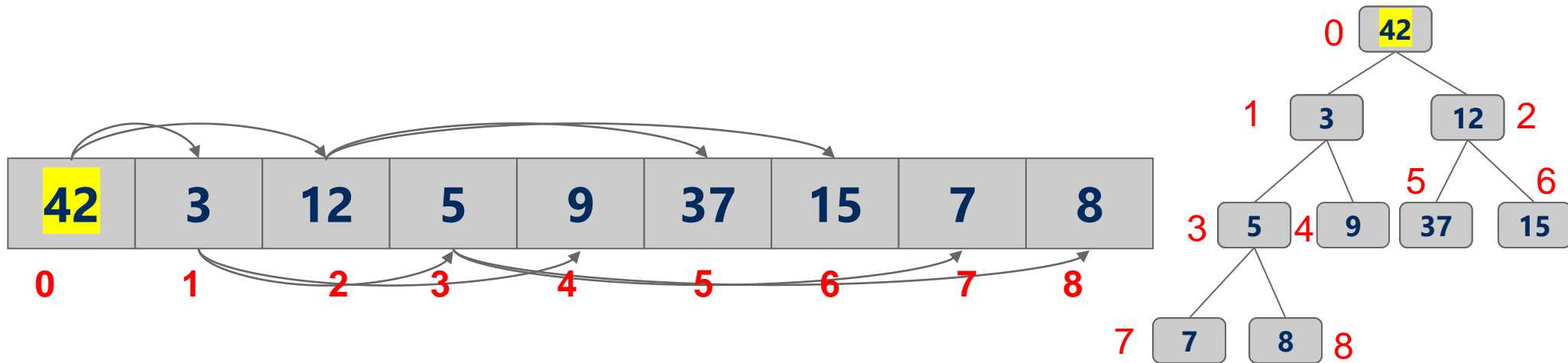
Heapify Example: Building a Min Heap



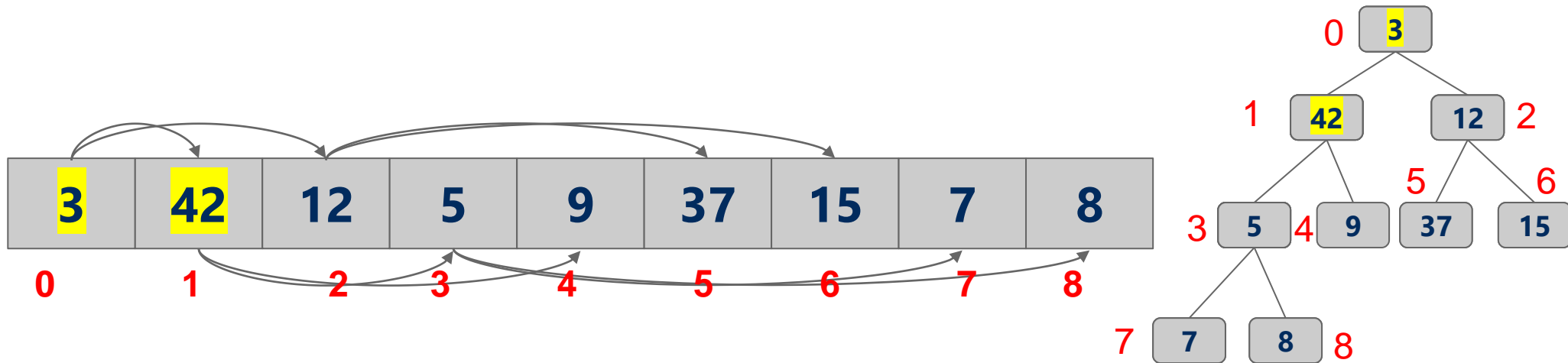
Heapify Example: Building a Min Heap



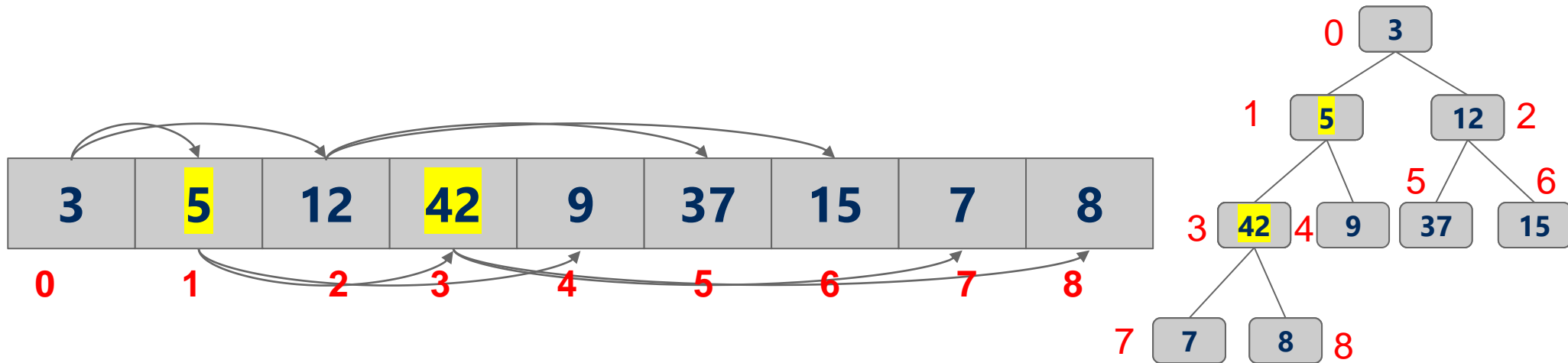
Heapify Example: Building a Min Heap



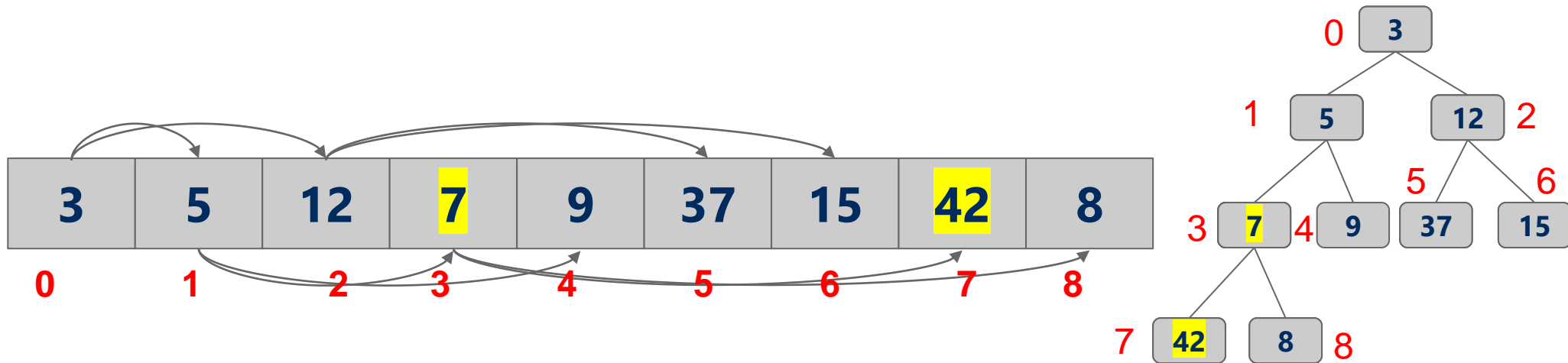
Heapify Example: Building a Min Heap



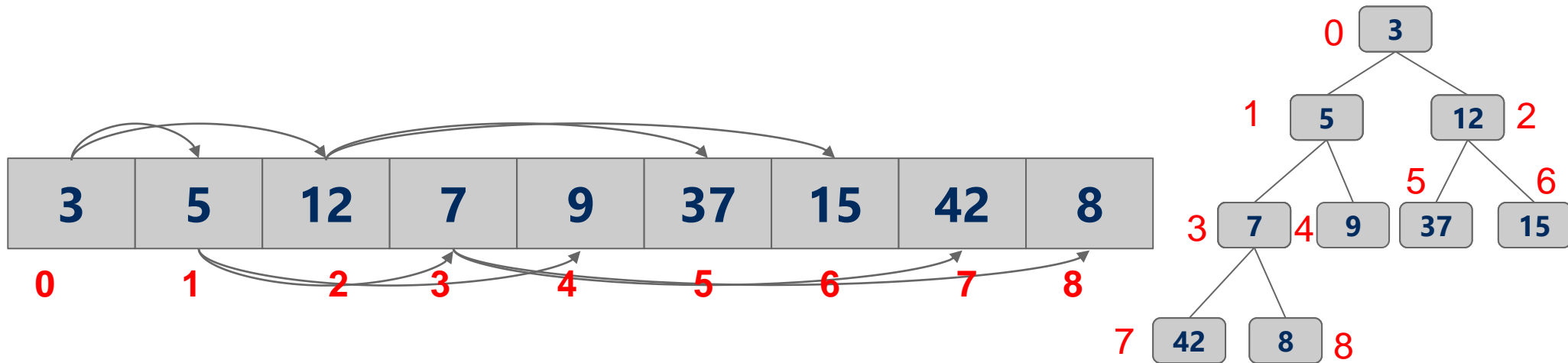
Heapify Example: Building a Min Heap



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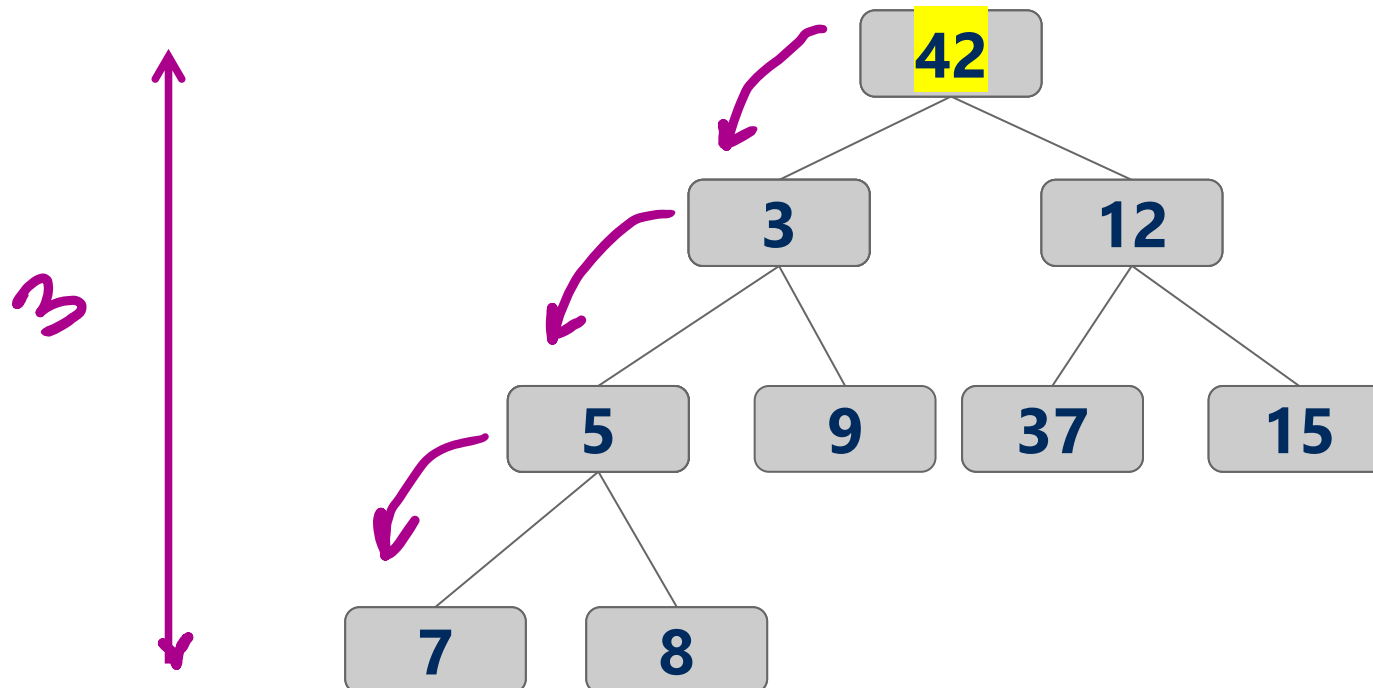


Heapify Running time

- Upper bound analysis:
 - We make about $n/2$ downheap operations
 - $\log n$ each
 - So, $O(n \log n)$

Heapify Running time

- A tighter analysis
 - for each node that we start from, we make at most $height[node]$ swaps

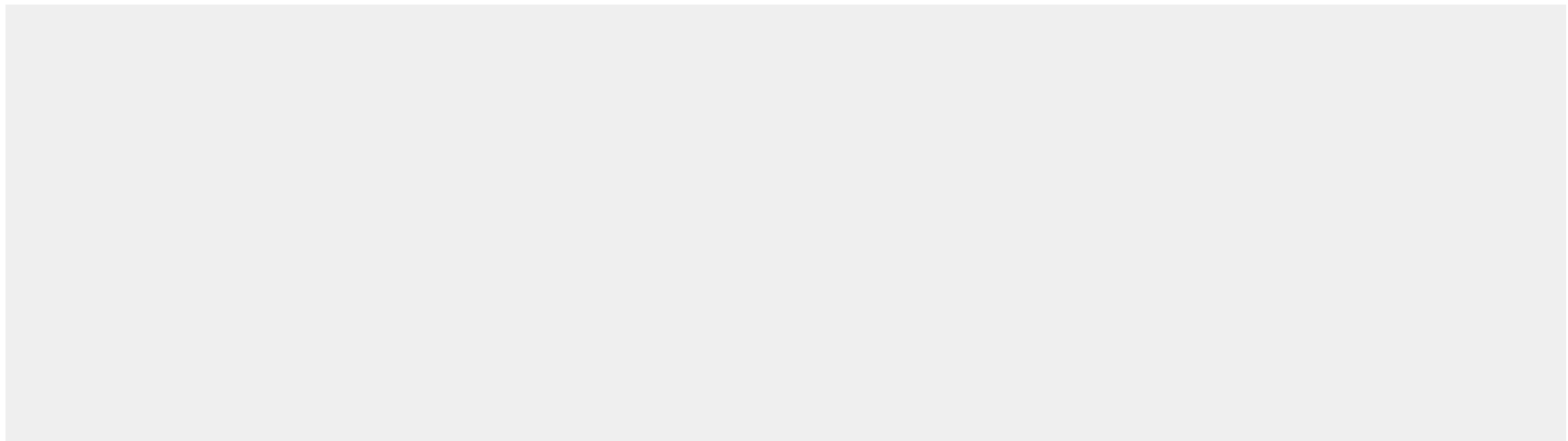


Heapify Running time: A tighter analysis

- $Runtime = \sum_{i=1}^n height[n]$
- $= \sum_{i=0}^{\log n} \text{number of nodes with height } i$
- Assume a full tree
 - A node with height i has 2^i nodes in its subtree including itself
 - Assume k nodes with height i :
 - they will have $k2^i$ nodes in their subtrees
 - $k2^i \leq n \rightarrow k \leq n/2^i$
- So, at most $n/2^i$ nodes exist with height i
- $\sum_{i=0}^{\log n} \frac{n}{2^i} = n + \frac{n}{2} + \frac{n}{4} + \dots$
- $= \theta(\text{largest term}) = \theta(n)$

Heap Sort

- Heapify the numbers
 - MAX heap to sort ascending
 - MIN heap to sort descending
- "Remove" the root
 - Don't actually delete the leaf node
- Consider the heap to be from 0 .. length - 1
- Repeat



Heap sort analysis

- Runtime:
 - Worst case:
 - $n \log n$
- In-place?
 - Yes
- Stable?
 - No

Storing Objects in PQ

- What if we want to update an Object in the heap?
 - What is the runtime to find an arbitrary item in a heap?
 - $\Theta(n)$
 - Hence, updating an item in the heap is $\Theta(n)$
 - Can we improve of this?
 - Back the PQ with something other than a heap?
 - Develop a clever workaround?

Indirection

- Maintain a second data structure that maps item IDs to each item's current position in the heap
- This creates an *indexable* PQ

Indirection example setup

- Let's say I'm shopping for a new video card and want to build a heap to help me keep track of the lowest price available from different stores.
- Keep objects of the following type in the heap:

```
class CardPrice implements Comparable<CardPrice>{  
    public String store;  
    public double price;  
    public CardPrice(String s, double p) { ... }  
    public int compareTo(CardPrice o) {  
        if (price < o.price) { return -1; }  
        else if (price > o.price) { return 1; }  
        else { return 0; }  
    }  
}
```

Indirection example

- `n = new CardPrice("NE", 333.98);`
 - `a = new CardPrice("AMZN", 339.99);`
 - `x = new CardPrice("NCIX", 338.00);`
 - `b = new CardPrice("BB", 349.99);`
-
- Update price for NE: 340.00
 - Update price for NCIX: 345.00
 - Update price for BB: 200.00

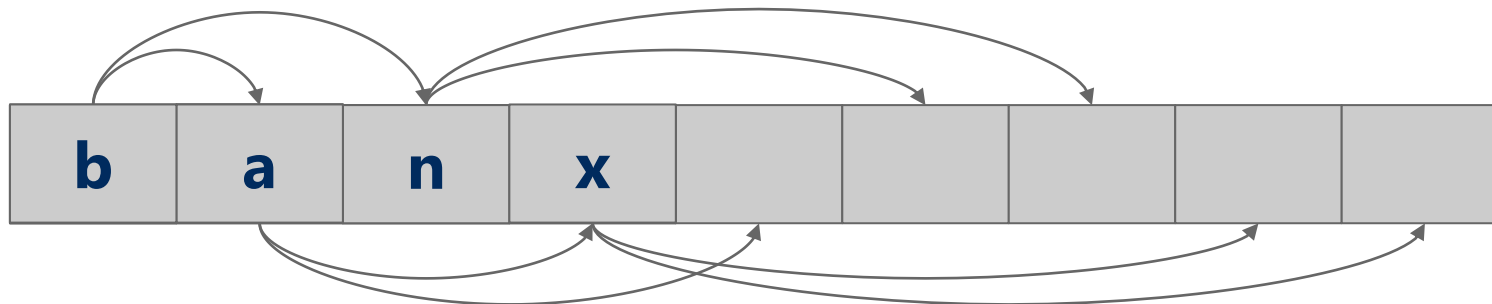
Indirection

"NE":2

"AMZN":1

"NCIX":3

"BB":0



Indexable PQ Discussion

- How are our runtimes affected?
- space utilization?
- how should we implement the indirection?
- what are the tradeoffs?