



University of  
Pittsburgh

# Algorithms and Data Structures 2

## CS 1501

Fall 2022

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(Slides are adapted from Dr. Ramirez's and Dr. Farnan's CS1501 slides.)

# Contact Info

- **Course website:** <http://www.cs.pitt.edu/~skhattab/cs1501/>
- **Instructor:** Sherif Khattab [ksm73@pitt.edu](mailto:ksm73@pitt.edu)
- **My Student Support Hours:** <https://khattab.youcanbook.me>
  - MW: 10:00-12:00; TuTh: 13:00-15:00; F by appointment
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- **Teaching Team:**
  - Junshang Jia, [juj22@pitt.edu](mailto:juj22@pitt.edu)
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  - Connor Sweeney, [cps43@pitt.edu](mailto:cps43@pitt.edu)
  - More TAs to come
- No recitations this week, but you got some work to do!
- **Communication**

Piazza (**Please expect a response within 72 hours**)

Email not recommended!

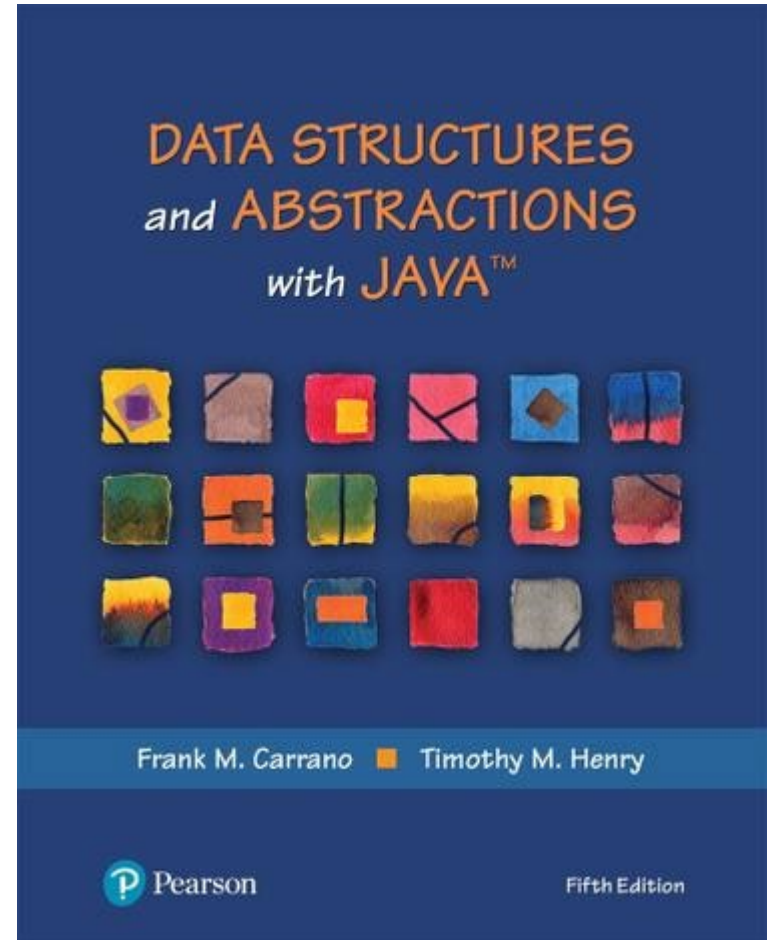
# Textbooks



## **Algorithms (4th Edition)**

Robert Sedgwick and Kevin Wayne

Online Resources: <https://algs4.cs.princeton.edu/>



## **Data Structures and Abstractions with Java (5th Edition)**

Frank M. Carrano and Timothy M. Henry

# Grades

- 40% on best four out of five **programming assignments**; mostly autograded
  - posted on Canvas, distributed using Github, and submitted on **Gradescope** from Github
- 20% on **homework assignments** on Gradescope
- 20% on **exams**: 12% on higher grade and 8% on lower
- 10% on **lab exercises**; mostly autograded
- 10% on in-class **Top Hat** questions

# Canvas Walkthrough

- Lectures posted on Tophat
  - Draft slides available on Github
- Lecture and recitation recordings
  - under **Panopto Video**
- **RedShelf** Inclusive Access for the Sedgewick Textbook
  - You can cancel before Add/Drop
- **Piazza** for discussion and communication
- **Gradescope** and autograding policies
- Academic Integrity
- NameCoach

# Expectations

- Your continuous feedback is important!
  - Anonymous Qualtrics survey
  - Midterm and Final OMET
- Your engagement is valued and expected with
  - classmates
  - teaching team
  - material

# Lecture structure (mostly)

Time	Description
~5 min before and after class	Informal chat
~25 min	Announcements, review of muddiest points on previous lecture, and QA on assignments/labs/homework problems
~45 min	Lecturing with Tophat questions and/or activities
~5 minutes	QA and muddiest points/reflections

# Why is this class (notoriously) hard?

- **Lots of concepts**
  - Attend lectures and recitations (if you absolutely cannot attend, watch the video recordings)
  - Study often!
  - Put effort into the weekly homework assignments
- **Programming Assignments are relatively hard**
  - Refresh your Java programming (CS 0445) and **debugging skills**
  - Start early and show up to student support hours!



# Goals of the course

- To convert non-trivial *algorithms* and *data structures* into *programs*
  - Various issues will always pop-up during *implementation*
    - Such as?...
- To *analyze* algorithms and how they affect the *run-times* of the associated programs
  - Different solutions can be compared using many metrics

# Announcements

- Lab 0 is due this Friday (not graded)
- Recitations start next week
- Homework 1 will be assigned this Friday
- JDB Example will be available on Canvas
- Draft slides and handouts available on Canvas

# Today's Agenda

- A technique for modeling runtime of algorithms
  - $\sum_{all\ statements} Cost * frequency$
- Determining the order of growth of a function
  - Ignoring lower-order terms and multiplicative constants
  - The Big O family

# Let's consider the ThreeSum problem

- 3-sum Problem
  - Given a set of arbitrary integers find out how many **distinct** triples sum to exactly zero
  - do you have questions on the problem specification?
- Example input:
  - 5, -1, 2, -3, -2, 1, 0
  - what should the output be?

# Brute-force solution

Enumerate all possible distinct triples and check their sums

cnt = 0

for each distinct triple

if sum of triple equals zero

increment cnt

- How would you enumerate all distinct triples?
- What if all the input integers are unique?

# Brute-force solution

```
public static int count(int[] a) {  
    int n = a.length;  
    int cnt = 0;  
    for (int i = 0; i < n; i++) {  
        for (int j = i+1; j < n; j++) {  
            for (int k = j+1; k < n; k++) {  
                if (a[i] + a[j] + a[k] == 0) {  
                    cnt++;  
                }  
            }  
        }  
    }  
    return cnt;  
}
```

- Why is it correct to start the j loop from i+1?
  - Would we miss a triple if we do so?
  - Is it correct to start the j loop from 0?

# ThreeSum: brute-force, 3-loop solution

```
public static int count(int[] a) {  
    int n = a.length;  
    int cnt = 0;  
    for (int i = 0; i < n; i++) {  
        for (int j = i+1; j < n; j++) {  
            for (int k = j+1; k < n; k++) {  
                if (a[i] + a[j] + a[k] == 0) {  
                    cnt++;  
                }  
            }  
        }  
    }  
    return cnt;  
}
```

Would that solution be correct if the input integers are not distinct?

# Mathematically modelling runtime

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# What is the runtime?

A technique for modeling runtime of algorithms

- $\sum_{all\ statements} Cost * frequency$
- Split the algorithm into blocks such that
  - the code statements in each block have the same frequency
- $\sum_{all\ blocks} Cost * frequency$

# Algorithm Analysis Example 1

for ( $\overset{1}{i=0}$ ;  $\overset{n+1}{i < n}$ ;  $\overset{n}{i++}$ )  
     $a[i] = i;$

# Algorithm Analysis Example 2

1

```
if (x > 0) {  
    for (i = 0; i < n; i++)  
        a[i] = i;  
}
```

0 or 1

0 or n

2

# Algorithm Analysis Example 3

1

for ( $i = n$ ;  $i \geq 1$ ;  $i = i/2$ )  
     $a[i] = i$ ;  $\log n$

# What is the runtime?

```
public static int count(int[] a) {  
    int n = a.length;  
    int cnt = 0;  
    for (int i = 0; i < n; i++) {  
        for (int j = i+1; j < n; j++) {  
            for (int k = j+1; k < n; k++) {  
                if (a[i] + a[j] + a[k] == 0) {  
                    cnt++;  
                }  
            }  
        }  
    }  
    return cnt;  
}
```

# A couple useful Math formulae

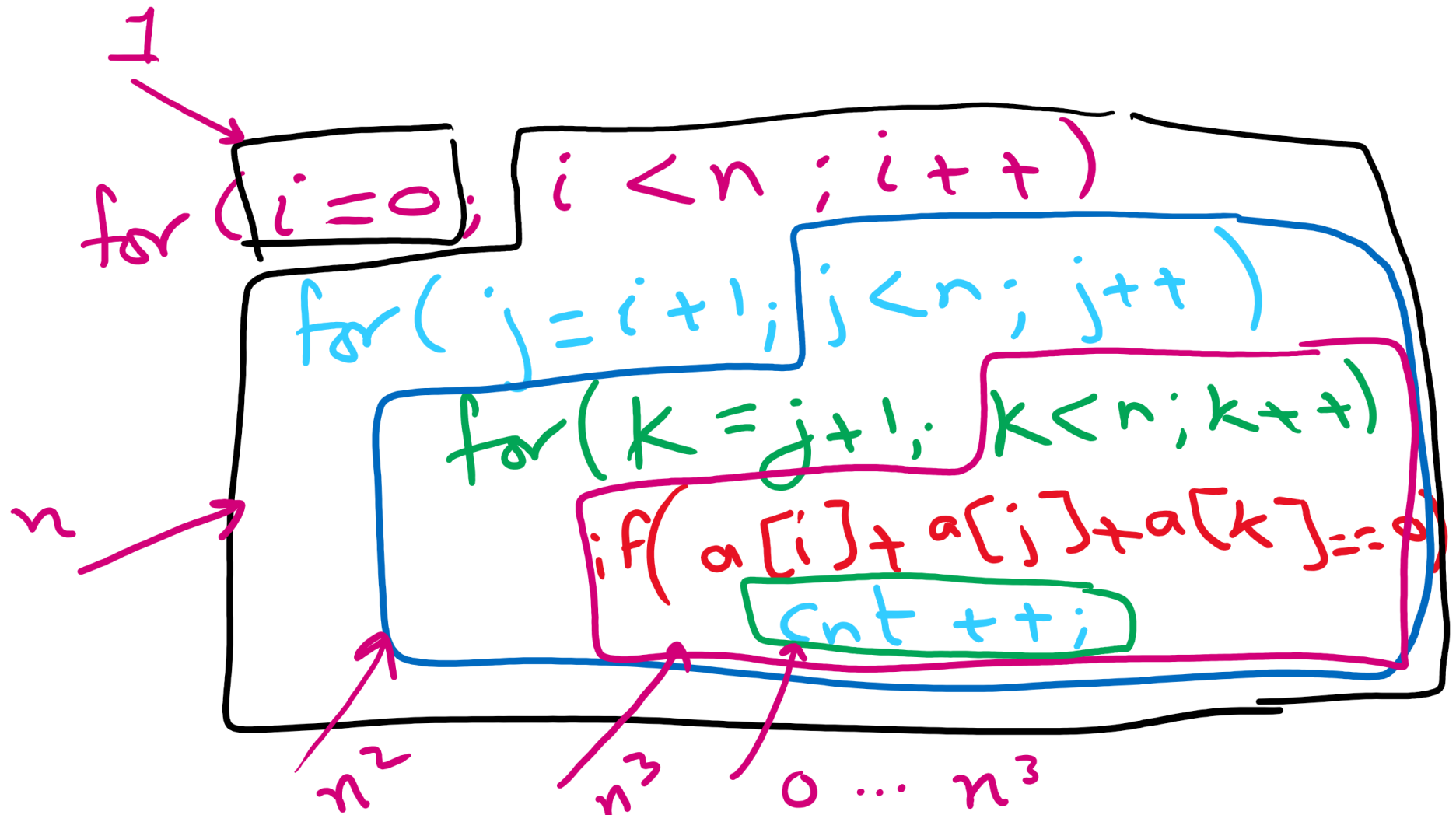
$\sum$  arithmetic Series :

$$1 + 2 + 3 + 4 + \dots + n$$
$$= \# \text{ terms } \left( \frac{\text{first term} + \text{last term}}{2} \right)$$
$$= \Theta(\# \text{ terms} * \text{largest term})$$

$\sum$  geometric Series :

$$1, 2, 4, 8, 16, \dots$$
$$1, \frac{1}{2}, \frac{1}{4}, \frac{1}{8}, \dots$$
$$= \Theta(\text{largest term})$$

# Runtime Analysis of 3-loop Algorithm for ThreeSum



$$\text{Best Case: } 1 + n + n^2 + n^3 + 0 = \Theta(n^3)$$

$$\text{Worst Case: } 1 + n + n^2 + n^3 + n^3 = \Theta(n^3)$$

# Enter Asymptotic Analysis

## Algorithm Analysis

- Determine *resource usage* as a function of *input size*
- Measure ***asymptotic*** performance
  - Performance as input size increases to infinity



# Asymptotic performance

Focus on the **order of growth** not on exact values

- How fast the function value increases when the input increases

# Common orders of growth

- Constant - 1
- Logarithmic -  $\log n$
- Linear -  $n$
- Linearithmic -  $n \log n$
- Quadratic -  $n^2$
- Cubic -  $n^3$
- Exponential -  $2^n$
- Factorial -  $n!$

# Side note

What does  $\log_2 n$  really mean?

# Quick algorithm analysis

- How can we determine the order of growth of a function?
  - Ignore lower-order terms
  - Ignore multiplicative constants
- Warning: this is a simplification. It works for most of the algorithms in this course.
- In some cases, it is difficult to determine the highest-order term
- In some cases, the constant factors play a significant role
  - e.g., small or medium-size input and large constant factors

# Example

$$5n^3 + 53n + 7 \rightarrow n^3$$

- Can we say  $5n^3 + 53n + 7 = n^3$ ?
- No! We need a mathematical notation
- $5n^3 + 53n + 7 = O(n^3)$
- It means the order of growth of  $5n^3 + 53n + 7$  is no more than ( $\leq$ ) the order of growth of  $n^3$

# The Big O Family

- $O$  roughly means  $\leq$ 
  - Big O
- $o$  roughly means  $<$ 
  - Little O or O-micron
- $\Omega$  roughly means  $\geq$ 
  - Big Omega
- $\omega$  roughly means  $>$ 
  - Little Omega
- $\Theta$  roughly means  $=$ 
  - Theta
- Relationships are between orders of growth, not between exact values!

# Notations

- May also see:
  - $f(x) \in O(g(x))$  or
  - $f(x) = O(g(x))$
- used to mean that  $f(x)$  is  $O(g(x))$
- Same for the other functions

# Notations

$O(n)$

Inside a green circle:

- $\times 10n$
- $\times \log n$
- $\times 50n + 1$
- $\times 3\sqrt{n} + 10$
- $\times 7$

Outside the circle:

- $\times n^2$

Below the circle:

- $10n \in O(n)$
- $\log n \in O(n)$
- $n^2 \notin O(n)$



# Theta vs. Tilde

## Tilde approximation ( $\sim$ )

- Same as Theta but keeps constant factors
- Two functions are Tilde of each other if they have the same order of growth and the same constant of the largest term

$$5n = \Theta(5,000,000,000 n)$$
$$\neq \sim 5,000,000,000 n$$

# Wait...

- Assuming that definition...
  - Is ThreeSum  $O(n^4)$ ?
  - What about  $O(n^5)$ ?
  - What about  $O(3^n)$ ??
- If all of these are true, why was  $O(n^3)$  what we jumped to to start?

# A faster algorithm for 3-sum

- What if we sorted the array first?
  - Pick two numbers, then binary search for the third one that will make a sum of zero
    - e.g.,  $a[i] = 10$ ,  $a[j] = -7$ , binary search for -3
    - Still have two for-loops, but we replace the third with a binary search
      - Runtime now?
  - What if the input data isn't sorted?
- What about the sorting time?

Handwritten complexity analysis:

$$\underbrace{n^2 \log n}_{\text{all pairs}} + \cancel{\underbrace{n \log n}_{\text{Sorting}}}$$

# The 3-sum problem: can we do better?

- There is an  $O(n^2)$  algorithm
- There is also an  $O(n \log n)$  algorithm under special cases
- **Unsolved problem:** Is there an  $O(n^{2-\varepsilon})$  algorithm for some  $\varepsilon > 0$ ?