



University of  
Pittsburgh

# Algorithms and Data Structures 2

## CS 1501

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# Announcements

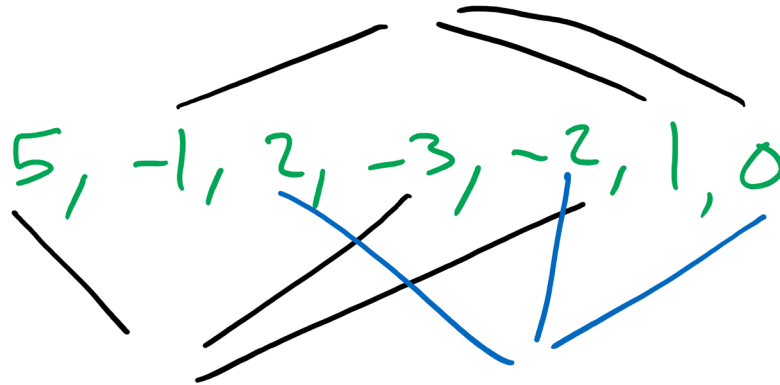
- Lab 0 is due this Friday (not graded)
- Recitations start this week
- Homework 1 will be assigned this Friday
- JDB Example available on Canvas
- Draft slides and handouts available on Canvas
- CourseMIRROR pre-survey and consent form

# Today's Agenda

- A technique for modeling runtime of algorithms
  - $\sum_{all\ statements} Cost * frequency$
- Extracting the rate of growth of a function
  - Ignoring lower-order terms and multiplicative constants
  - The Big O family
- Backtracking algorithm
  - Overall (recursive) structure
  - Relationship to the search space tree
- Examples
  - PIN/Password Cracking
  - 8 Queens
  - Boggle game

# Let's consider ThreeSum problem from text

- 3-sum Problem:
  - Given a set of arbitrary integers find out how many **distinct** triples sum to exactly zero
  - do you have questions on the problem specification?
- Example input:
  - 5, -1, 2, -3, -2, 1, 0
  - what should the output be?



# Brute-force solution

Enumerate all possible distinct triples and check their sums

cnt = 0

for each distinct triple

if sum of triple equals zero

increment cnt

# Brute-force solution

```
public static int count(int[] a) {  
    int n = a.length;  
    int cnt = 0;  
    for (int i = 0; i < n; i++) {  
        for (int j = i+1; j < n; j++) {  
            for (int k = j+1; k < n; k++) {  
                if (a[i] + a[j] + a[k] == 0) {  
                    cnt++;  
                }  
            }  
        }  
    }  
    return cnt;  
}
```

# Mathematically modelling runtime

- Runtime primarily determined by two factors:
  - **Cost** of executing each statement
    - Determined by machine used, environment running on the machine
  - **Frequency** of execution of each statement
    - Determined by program and input

# What is the runtime?

A technique for modeling runtime of algorithms

- $\sum_{all\ statements} Cost * frequency$
- Split the algorithm into blocks such that
  - the code statements in each block have the same frequency
- $\sum_{all\ blocks} Cost * frequency$



# Algorithm Analysis Example 1

for ( $\overset{1}{i=0}$ ;  $\overset{n+1}{i < n}$ ;  $\overset{n}{i++}$ )  
     $a[i] = i;$

# Algorithm Analysis Example 2

1

```
if (x > 0) {  
    for (i = 0; i < n; i++)  
        a[i] = i;  
}
```

0 or 1

0 or n

2

# Algorithm Analysis Example 3

1

for ( $i = n$ ;  $i \geq 1$ ;  $i = i/2$ )  
     $a[i] = i$ ;  $\log n$

# What is the runtime?

```
public static int count(int[] a) {  
    int n = a.length;  
    int cnt = 0;  
    for (int i = 0; i < n; i++) {  
        for (int j = i+1; j < n; j++) {  
            for (int k = j+1; k < n; k++) {  
                if (a[i] + a[j] + a[k] == 0) {  
                    cnt++;  
                }  
            }  
        }  
    }  
    return cnt;  
}
```

# A couple useful Math formulae

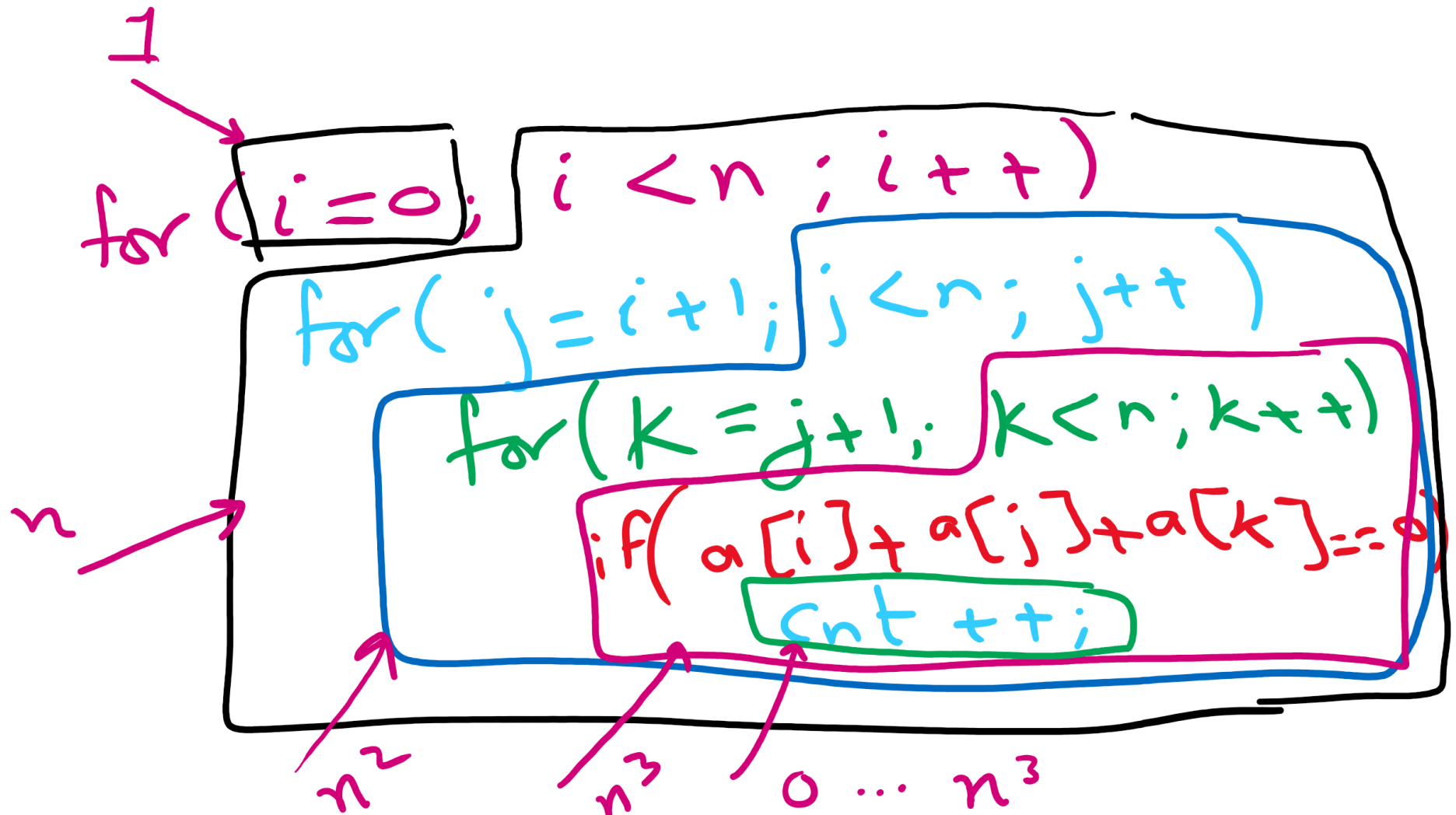
$\Sigma$  arithmetic Series :

$$1 + 2 + 3 + 4 + \dots + n$$
$$= \# \text{terms} \left( \frac{\text{first term} + \text{last term}}{2} \right)$$
$$= \Theta(\# \text{terms} * \text{largest term})$$

$\Sigma$  geometric Series :

$$1, 2, 4, 8, 16, \dots$$
$$1, \frac{1}{2}, \frac{1}{4}, \frac{1}{8}, \dots$$
$$= \Theta(\text{largest term})$$

# Runtime Analysis of 3-loop Algorithm for ThreeSum



Best Case:  $1 + n + n^2 + n^3 + 0 = \Theta(n^3)$

Worst Case:  $1 + n + n^2 + n^3 + n^3 = \Theta(n^3)$

# Enter Asymptotic Analysis

## Algorithm Analysis

- Determine *resource usage* as a function of *input size*
- Measure ***asymptotic*** performance
  - Performance as input size increases to infinity

# Asymptotic performance

Focus on the **order of growth** not on exact values

- How fast the function value increases when the input increases



# Common orders of growth

- Constant - 1
- Logarithmic -  $\log n$
- Linear -  $n$
- Linearithmic -  $n \log n$
- Quadratic -  $n^2$
- Cubic -  $n^3$
- Exponential -  $2^n$
- Factorial -  $n!$

# Side note

What does  $\log_2 n$  mean?

# Quick algorithm analysis

- How can we determine the order of growth of a function?
  - Ignore lower-order terms
  - Ignore multiplicative constants

# Example

$$5n^3 + 53n + 7 \rightarrow n^3$$

- Can we say  $5n^3 + 53n + 7 = n^3$ ?
- No! We need a mathematical notation
- $5n^3 + 53n + 7 = O(n^3)$
- It means the order of growth of  $5n^3 + 53n + 7$  is no more than ( $\leq$ ) the order of growth of  $n^3$

# The Big O Family

- $O$  roughly means  $\leq$ 
  - Big O
- $o$  roughly means  $<$ 
  - Little O or O-micron
- $\Omega$  roughly means  $\geq$ 
  - Big Omega
- $\omega$  roughly means  $>$ 
  - Little Omega
- $\Theta$  roughly means  $=$ 
  - Theta
- Relationships are between orders of growth, not between exact values!

# Formal Definitions

- May also see:
  - $f(x) \in O(g(x))$  or
  - $f(x) = O(g(x))$
- used to mean that  $f(x)$  is  $O(g(x))$
- Same for the other functions

# Formal definitions

$O(n)$

Handwritten examples of functions that are  $O(n)$  (circled in green):

- $10n$
- $\log n$
- $50n + 1$
- $3\sqrt{n} + 10$
- $7$

Handwritten examples of functions that are not  $O(n)$  (written in red):

- $n^2$
- $n^2 \notin O(n)$

Formal definitions of  $O(n)$ :

$$10n \in O(n)$$
$$\log n \in O(n)$$

# Theta vs. Tilde

## Tilde approximation ( $\sim$ )

- Same as Theta but keeps constant factors
- Two functions are Tilde of each other if they have the same order of growth and the same constant of the largest term

$$5n = \Theta(5,000,000,000 n)$$
$$\neq \sim 5,000,000,000 n$$



# Wait...

- Assuming that definition...
  - Is ThreeSum  $O(n^4)$ ?
  - What about  $O(n^5)$ ?
  - What about  $O(3^n)$ ??
- If all of these are true, why was  $O(n^3)$  what we jumped to to start?

# A better algorithm for ThreeSum

- What if we sorted the array first?
  - Pick two numbers, then binary search for the third one that will make a sum of zero
    - $a[i] = 10$ ,  $a[j] = -7$ , binary search for  $-3$
    - Still have two for loops, but we replace the third with a binary search
      - Runtime now?
    - What if the input data isn't sorted?
  - What about the sorting time?

$$\underbrace{n^2}_{\text{all Pairs}} \underbrace{\log n}_{\text{Binary Search}} + \cancel{\underbrace{n \log n}_{\text{Sorting}}}$$

# Another problem: Boggle

- Words at least 3 adjacent letters long must be assembled from a 4x4 grid
- Adjacent letters are horizontally, vertically, or diagonally neighboring
- Any cube in the grid can only be used once per word

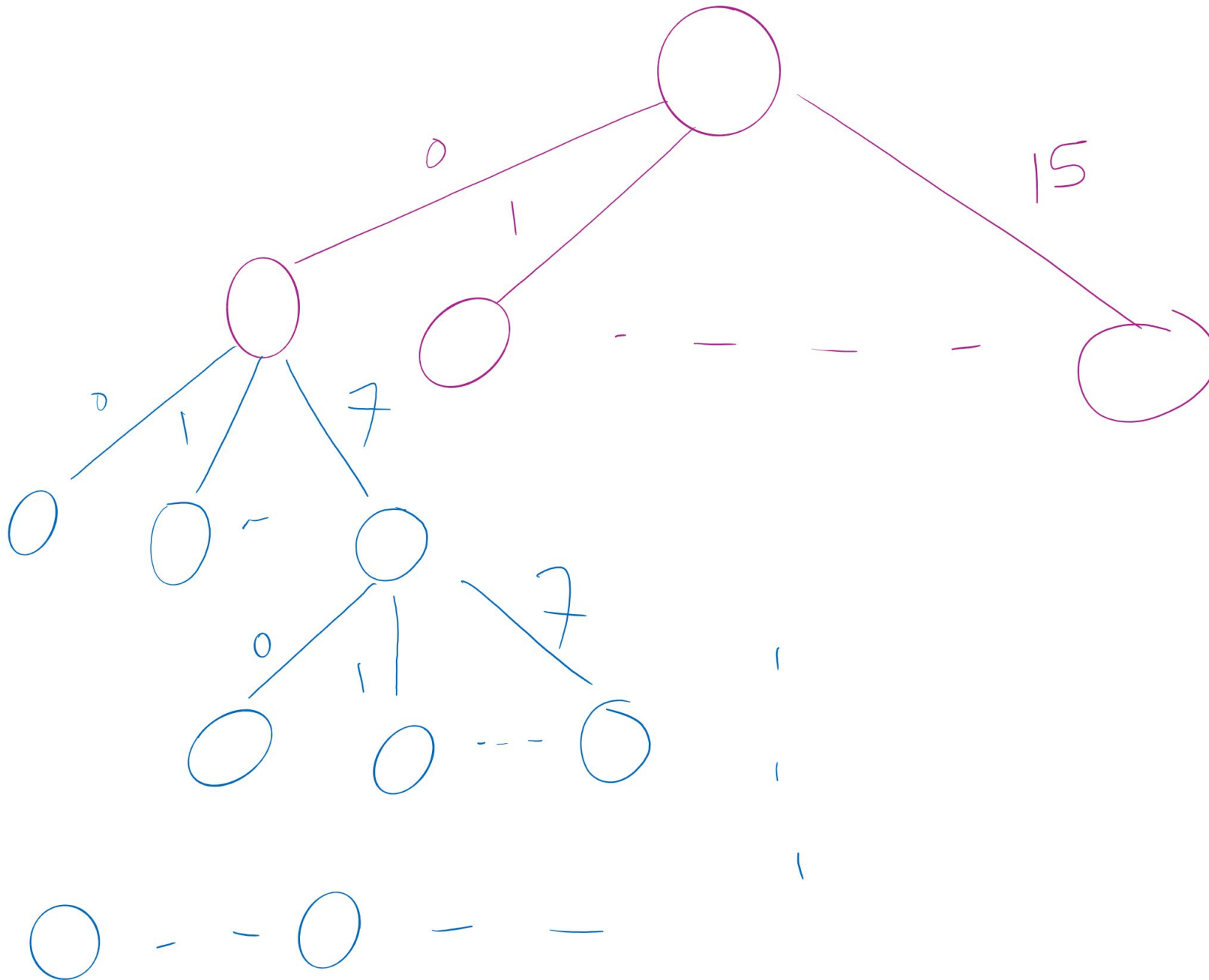


# Recurring through Boggle letters

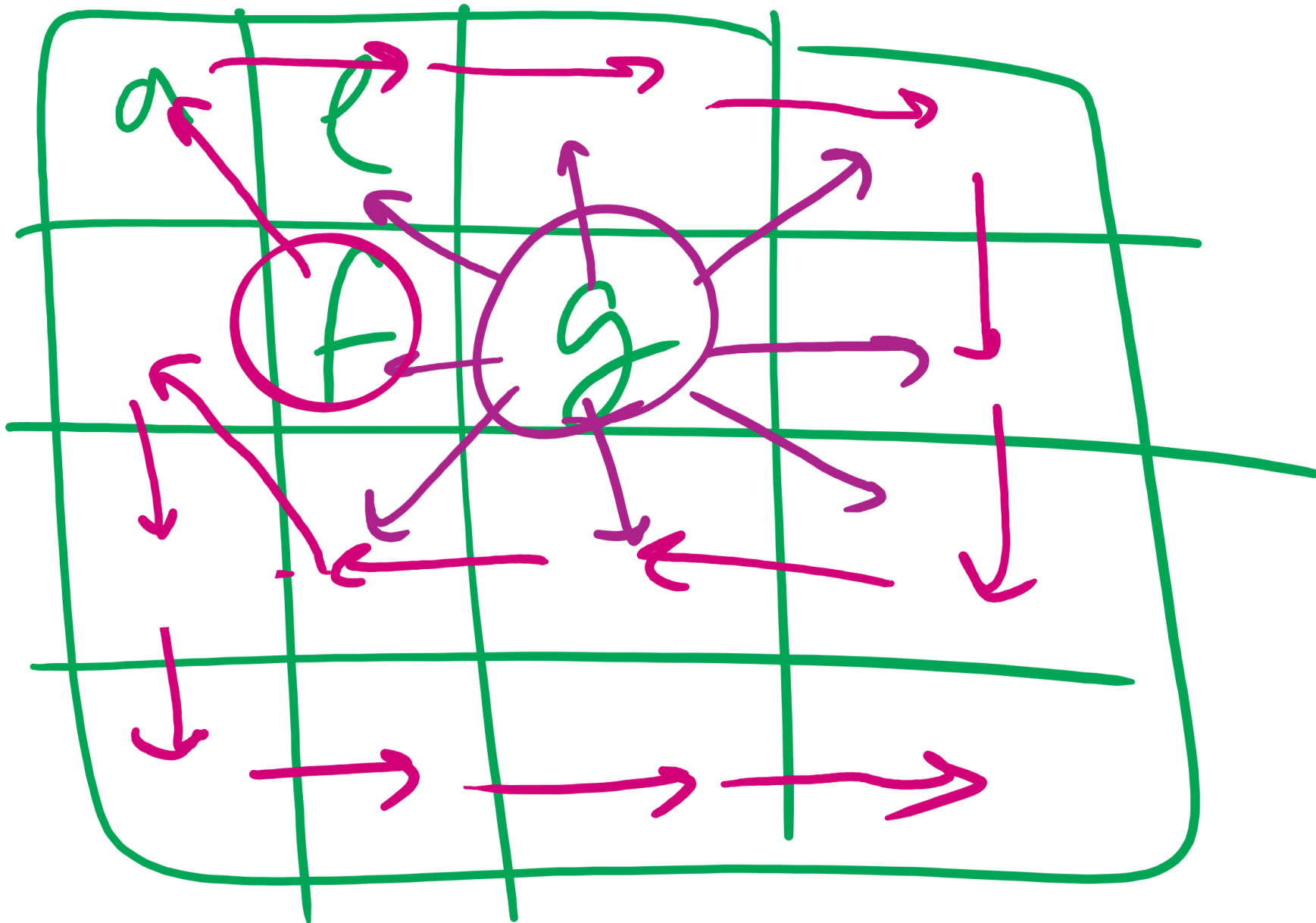
- Have 16 different options to start from
- Have 8 different options from each cube
  - From  $B[i][j]$ :
    - $B[i-1][j-1]$
    - $B[i-1][j]$
    - $B[i-1][j+1]$
    - $B[i][j-1]$
    - $B[i][j+1]$
    - $B[i+1][j-1]$
    - $B[i+1][j]$
    - $B[i+1][j+1]$



# Search Space



# Boggle Question



# Are all 8 options valid?

- Can't go past the edge of the board
- Can't reuse the same cells in the board
- Each letter added must lead to the prefix of an actual word
  - Check the dictionary as we add each letter, if there is no word with the currently constructed string as a prefix, don't go further down this way
  - Practically, this can be used for huge savings
  - This is called pruning!



# Please submit your reflections by using the CourseMIRROR App

If you are having a problem with CourseMIRROR, please send an email to [coursemirror.development@gmail.com](mailto:coursemirror.development@gmail.com)

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