Overview of the Ethics Approval Form

Please note: This document is intended to provide you with an overview of the Ethics Approval Form available online on Turing Complete here. It is the responsibility of researchers that all research projects, whose principal investigator receives funding by or through The Alan Turing Institute, are submitted for an ethical review through the form available on the Turing Complete platform. Please see Section 2 below to determine whether your research has to undergo ethical approval by the Ethics Advisory Group.
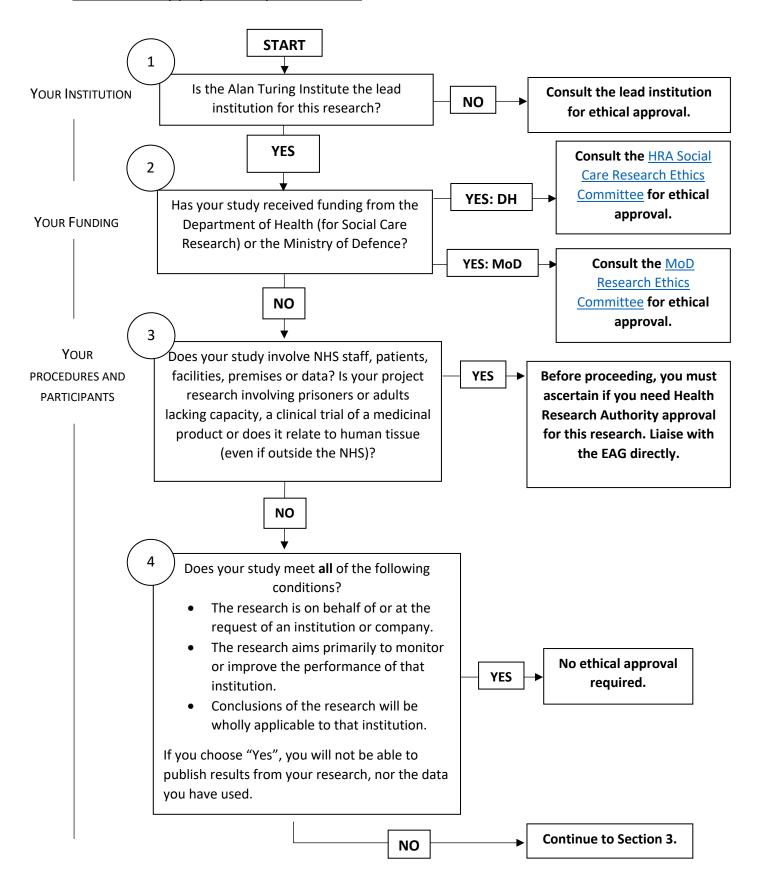
The time needed for an ethics review of a project typically takes 2-3 weeks, however, this will be longer if further information or clarification is required from you. In exceptional cases, you may be asked to resubmit your application entirely.

Please retain a copy of the text of your application for your records and to help you in the event a clarification or resubmission is required. We will only be able to provide you with a PDF version of your application.

Section 1: Basic information

Name of principal investigator/research lead: ………………………………………….………..…………..

Contact email: …………………………………………………………………………………….…………

Title of project: …………………………………………………………………………………………………

………..

Project description (includes ability to upload file):

………

………

………

………

Section 2: Is my project in scope of the EAG?

START

1 YOUR INSTITUTION

Is the Alan Turing Institute the lead institution for this research?

→ **NO** → **Consult the lead institution for ethical approval.**

YES

2 YOUR FUNDING

Has your study received funding from the Department of Health (for Social Care Research) or the Ministry of Defence?

→ **YES: DH** → **Consult the HRA Social Care Research Ethics Committee for ethical approval.**

→ **YES: MoD** → **Consult the MoD Research Ethics Committee for ethical approval.**

NO

3 YOUR PROCEDURES AND PARTICIPANTS

Does your study involve NHS staff, patients, facilities, premises or data? Is your project research involving prisoners or adults lacking capacity, a clinical trial of a medicinal product or does it relate to human tissue (even if outside the NHS)?

→ **YES** → **Before proceeding, you must ascertain if you need Health Research Authority approval for this research. Liaise with the EAG directly.**

NO

4

Does your study meet **all** of the following conditions?

- The research is on behalf of or at the request of an institution or company.
- The research aims primarily to monitor or improve the performance of that institution.
- Conclusions of the research will be wholly applicable to that institution.

If you choose "Yes", you will not be able to publish results from your research, nor the data you have used.

→ **YES** → **No ethical approval required.**

→ **NO** → **Continue to Section 3.**

Section 3: What are the ethical implications of my research?

(1)

Consent

Please comment on any issues around securing consent raised by your research.

Questions to consider:

- If your study involves collecting data about, with, or from human participants, will they receive all relevant information about what their participation will involve, prior to providing consent?
- If your study involves <u>using existing data about people</u>, was their consent given for the original data collection? Did they consent to this data being reused?

(2)

Privacy and security

Please comment on any issues of privacy and security raised by your research.

Questions to consider:

- If you use data about people in your study, is this data anonymous, or anonymised? Could it be associated with identifiable individuals, including by triangulating with other publicly available datasets?
- How do you plan to keep sensitive data safe and secure? What will you do with sensitive data after the study is completed?

(3)

Other harms

Please comment on the potential for individual, societal or ecological harms to arise from your research, beyond what is described above.

Questions to consider:

- Could any harms arise to the people involved in conducting this research?
- Could conducting or promoting this research create unintended negative outcomes, such as environmental damage, new power imbalances, or the misuse of technology?
- What benefits could your research contribute that would balance or outweigh any potentially negative impacts that could arise?