CSE1729:

Introduction to Programming

Structured Data in SCHEME: Pairs and lists

Computer Science and Engineering, University of Connecticut

Our story thus far...

- * ...has focused on two "data-types:" numbers and functions. (In fact, numeric data types are rather more complicated than you might think at first: recall the difference between 4 and 4.0.)
- * However, we often want to construct and manipulate more complicated *structured* data objects:
 - pairs of objects,
 - lists of objects,
 - * trees, graphs, expressions, ...

Pairs

- Scheme has built-in support for *pairs* of objects. To maintain pairs, we require:
 - * A method for producing a pair from two objects: In SCHEME, this is the cons function. It takes two arguments and returns a pair containing the two values.
 - * A method of extracting the first (resp. second) object from a pair: In SCHEME, these are two chimerically named functions: car and cdr. Given a pair p, (car p) returns the first object in p; (cdr p) returns the second.

Examples; notation

```
> (cons 1 2)
(1.2)
> (define p (cons 1 2))
> (car p)
> (cdr p)
> (define q (cons p 3))
> (car q)
(1.2)
> (cdr q)
> (car (car q))
> (cdr (car q))
```

- * Note that the interpreter denotes the pair containing the two objects a and b as: (a . b).
- * Note that a coordinate of a pair can be...another pair! A natural diagram to represent this situation:

```
(cons 1 2) (cons (cons 1 2) 3)
```

1 2

1 2 3

A complex number datatype

- * Recall that a complex number can be written a + bi, where i is the a square root of -1. To express a complex, we need to maintain two numbers---the real part and the complex part. We'll use SCHEME pairs to represent complexes. The first coordinate will hold the real part; the second coordinate will hold the complex part. Thus:
- construct a new complex number

```
(define (make-complex a b) (cons a b))
```

Extract the real part of a complex

```
(define (real-coeff c) (car c))
```

• Extract the imaginary part of a complex

```
(define (imag-coeff c) (cdr c))
```

Operating on complexes

Adding complexes:

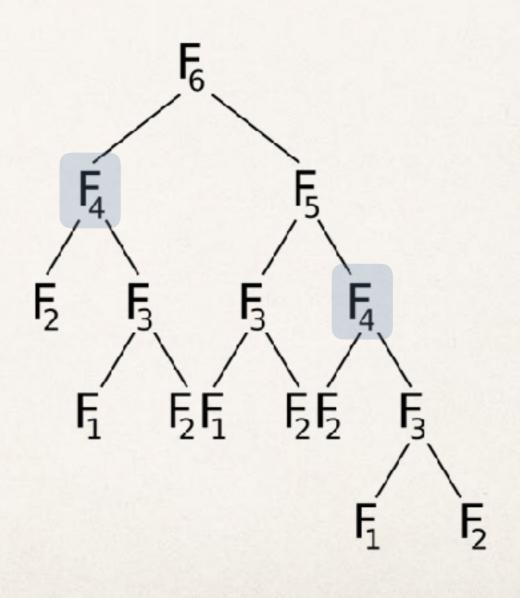
* Multiplying complexes $(a_1 + b_1i)(a_2 + b_2i) = (a_1a_2 - b_1b_2) + (a_1b_2 + b_1a_2)i$. Thus:

Other basic operations

```
* Conjugate (define (conjugate c)
            (make-complex (real-coeff c)
                            (*-1 (imag-coeff c)))
Modulus (length): two natural definitions:
(define (modulus c)
  (sqrt (real-coeff (mult-complex c (conjugate c)))))
                           or
(define (modulus-alt c)
  (define (square x) (* x x))
  (sqrt (+ (square (real-coeff c))
           (square (imag-coeff c)))))
```

Recall our program for computing the Fibonacci numbers...

- Problem. It's a nice, declarative program, but...it inefficient! It does the same work over and over...
- * See how (f 4) is called twice? The entire computation is done twice.
- * If only there was a better way...



Fast Fibonacci numbers, reinvented with pairs

- * We noted earlier that the naive definition of the Fibonacci numbers is costly, requiring a number of a recursive calls roughly equal to the number we are computing. In particular, is it not possible to compute F_{100} by this method on a modern computer.
- * Note, in contrast, that it is easy to compute the pair (F_{n+1}, F_n) from the pair (F_n, F_{n-1}) (since $F_{n+1} = F_n + F_{n-1}$).

 F_{n+1}

* This idea can be turned in to a fast definition for the Fibonacci sequence: the idea is for (fib-pair n) to return the pair (F_n , F_{n-1}).

Fast Fibonacci numbers

Note that the nth pair can be computed from the n-1st pair in a straightforward way. Then the nth Fibonacci number can be computed with approximately n additions!

Rational numbers are pairs

* A natural way to maintain a rational number is as a pair

```
(define (make-rat a b)
  (cons a b))

(define (denom r) (cdr r))
(define (numer r) (car r))
```

Then, to multiply two rationals:

Rational addition, reduced form

* To add, we implement the familiar rule:

$$\frac{a}{b} + \frac{c}{d} = \frac{ad + bc}{bd}$$

* Thus:

 Note that this implementation does not reduce fractions into reduced form.

Reducing a fraction

Note that

$$\frac{a}{b} = \frac{a/\alpha}{b/\alpha}$$
 if α evenly divides a and b

* And hence we can always reduce a fraction by the rule:

$$\frac{a}{b} \leadsto \frac{a/\gcd(a,b)}{b/\gcd(a,b)}$$

* We could make a simplify function, or just redefine make-rat, so that all rationals are automatically in reduced form:

```
(define (make-rat a b)
  (let ((d (gcd a b)))
     (cons (/ a d) (/ b d))))
```

Examples

Using this new, automatically reducing package:

```
> (define r (make-rat 2 6))
> r
(1 . 3)
> (define s (make-rat 6 15))
> s
(2 . 5)
> (add-rat r s)
(11 . 15)
> 1/3 + 2/5 = 11/15
```

Lists...so important that SCHEME's big sister is named after them

- * A *list* is an extremely flexible data structure that maintains an ordered list of objects, for example: *Ceres, Pluto, Makemake, Haumea, Eris,* a list of 5 extrasolar planets.
- * SCHEME implements lists **in terms of the pair structure** you have already met. However, pairs have only 2 slots, so we need a mechanism for using pairs to represent lists of arbitrary length.
- * Roughly, SCHEME uses the following recursive convention: the list of k objects a₁,..., a_k is represented as a pair where...
 - * The first element of the pair is the first element of the list a₁.
 - * The second element of the list is...a list containing the rest of the elements.

Building up lists with pairs

- * To be more precise: A *list* is either
 - * the *empty list*, or
 - * a pair, whose first coordinate is the first element of the list, and whose second coordinate is a list containing the remainder of the elements.
- * Note: the second element of the pair must be a list.

* For example, if • denotes the empty list, then...

()

(1) 1 •

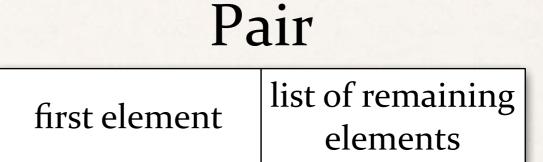
(12) 1 2 •

(123) 1 2 3 •

Some lists: (), (1), (12), (123)

A general list; SCHEME notation

* Thus, a list has the form:



* Since lists are used so frequently, SCHEME provides special notation for them:



Note: In SCHEME, lists are always terminated with the empty list.

If this looks familiar...

- ...that's good!
- Indeed, you have already been using SCHEME lists.
- SCHEME programs (and expressions) are lists!
- The details...

Quotation; entering lists in the Scheme interpreter

- * Recall the SCHEME evaluation rule for compound (list!) objects.
- * This means that the natural way to enter a list doesn't work: SCHEME wants to apply evaluation:

```
> ()
. #%app: missing procedure expression; probably originally (), which is an illegal empty application
in: (#%app)
> (1 2)
. procedure application: expected procedure, given: 1; arguments were: 2
```

* SCHEME provides the (quote <expr>) (or '<expr>) form, which evaluates to <expr> without further evaluation:

Examples; list construction

* It takes some practice to manipulate Scheme lists: the important thing to remember is that if enemies is a nonempty list, then (car enemies) is the first element of the list and (cdr enemies) is the list of all elements after the first.

```
Some examples:
```

```
> (cons 1 2)
                       A pair
(1.2)
> (cons 1 '())
                       A list
> (cons 1 '(2))
(12)
> (cons 1 (cons 2 '()))
(1 2)
> (car '(1 2))
                   A list is a pair!
> (cdr '(1 2))
(2)
```

Elements of lists can be pairs, functions, other lists, ...

- * For convenience, SCHEME provides a list constructor function: list.
- * Note that you can construct lists of arbitrary objects.

```
> (list 1 2 3)
(1 2 3)
> (list (list 1 2) (list 3 4))
((1 \ 2) \ (3 \ 4))
> (list (cons 1 2) (list 3 4))
((1.2)(3.4))
> (list 1 (cons 2 3) (list 4 5))
(1 (2 . 3) (4 5))
> (list 1 2 '())
(1 \ 2 \ ())
> (list)
```

List processing: Handle the first elements and, then,...handle the rest

- * (null? x) returns #t is x is the empty list.
- * list processing: handle the first element (the car) and, then, handle the remaining list (the cdr). Notice that these have different "types."
- Computing the length, for example...

```
(define (nlength xyz)
  (if (null? xyz)
      (+ 1 (nlength (cdr xyz)))))
              Then...
   > (nlength '(1 2 3))
     (nlength '())
     (nlength '((1 2) (3 4)))
```

length (1 2 3)

length (1 2 3)

length (2 3)

length (1 2 3)

length (2 3)

length (3)

```
length (1 2 3)
```

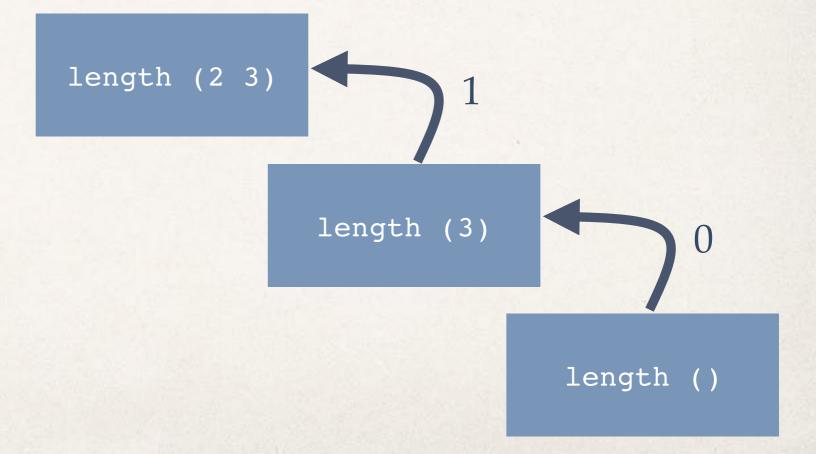
```
length (2 3)
```

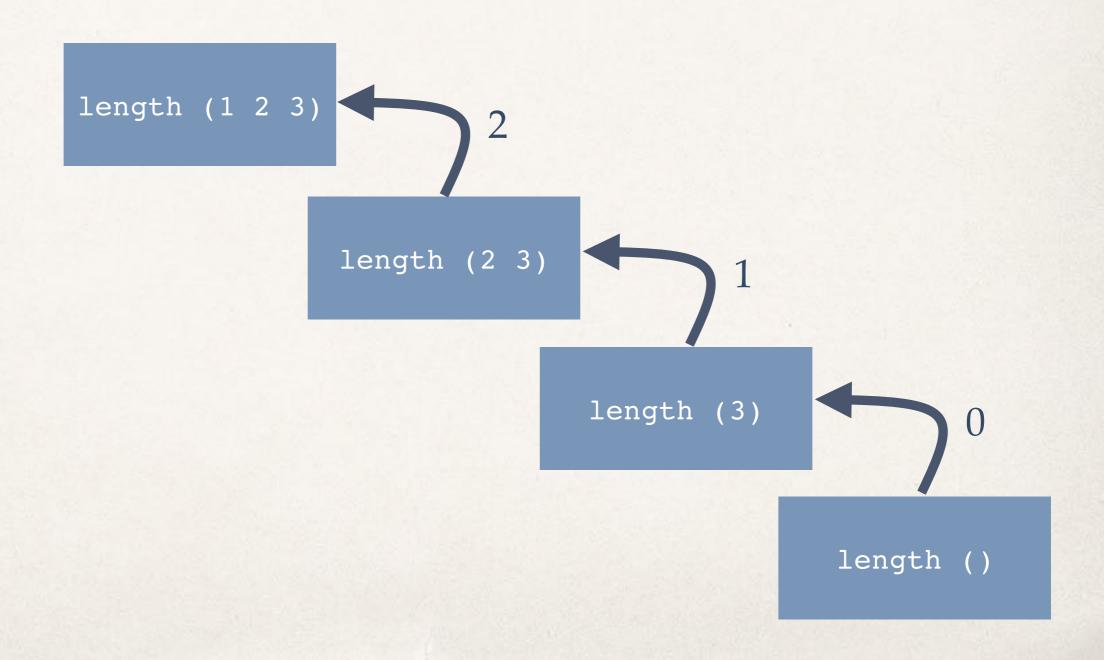
length (3)

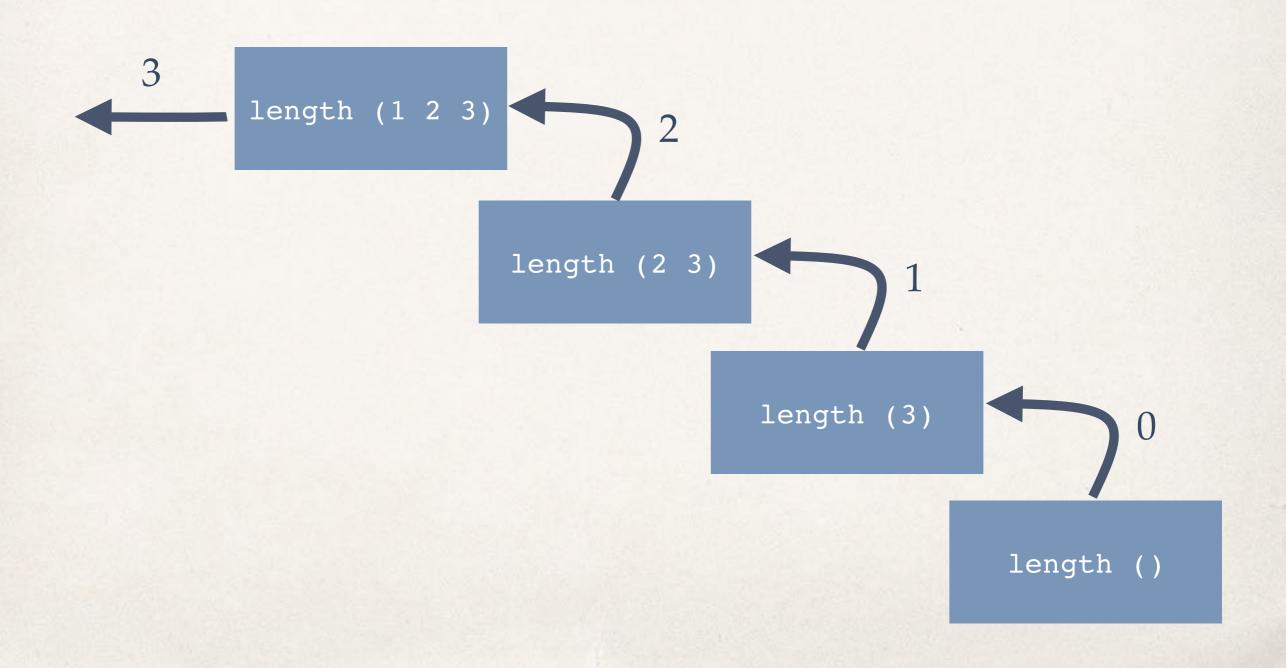
length ()

```
length (1 2 3)
               length (2 3)
                              length (3)
                                             length ()
```

length (1 2 3)







Another example: Summing the numbers of a list

Adding the elements of a list:

* Then...

```
> (sum-list '())
0
> (sum-list '(1 3 5 7))
16
```

Hey, these are great but...not all elements are created equal

* If list is a list, it is easy to get to the first element: (car list). The last element, however, takes more work to find. This is an inherent feature (and, sometimes, shortcoming) of this "data structure."

Append: Place one list after another.

* Basic operation on lists: place one after the other:

```
Append (11 12 13) to (1 2 3) (1 2 3 11 12 13)
  * It's easy: (define (append list1 list2)
             (if (null? list1)
                 list2
                 (cons (car list1)
                        (append (cdr list1) list2))))
 Then...
           >(append '(1 2 3) '(13 14 15))
           (1 2 3 13 14 15)
```

How long does this take?

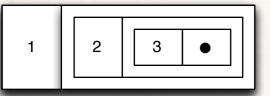
- * A good measure of the "time taken" by a Scheme function (without looping constructs, which we will discuss later) is simply the number of recursive calls it generates.
- * (append list1 list2) involves a total of length(lista) recursive calls. (Why? I needs to find the end of the list.)

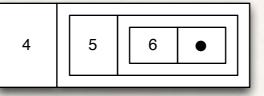
```
(append list1 list2)

(append (cdr list1) list2)

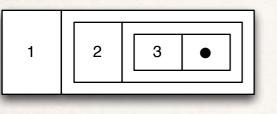
(append (cdr (cdr list1)) list2)
```

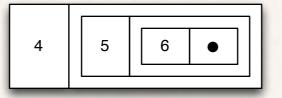
(append (list 1 2 3) (list 4 5 6))



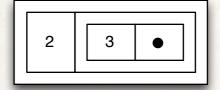


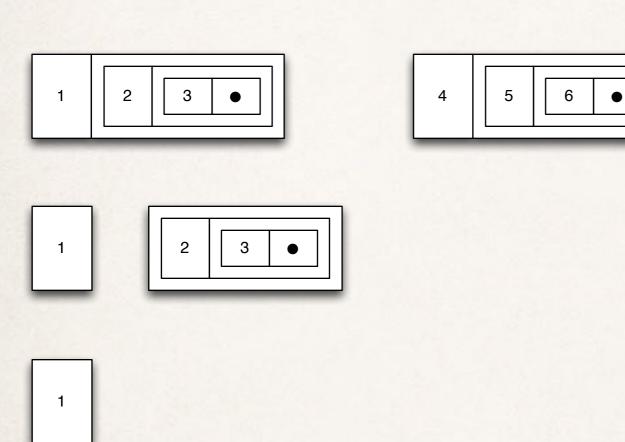
(append (list 1 2 3) (list 4 5 6))

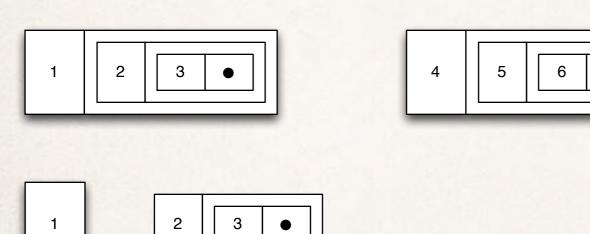




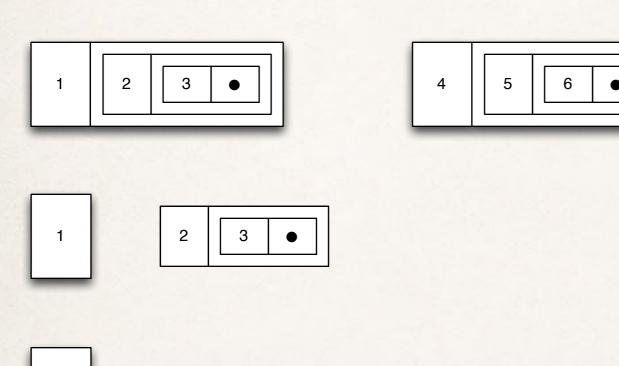
1

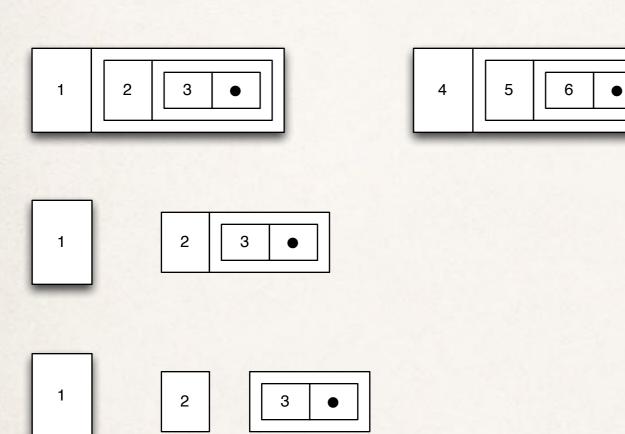


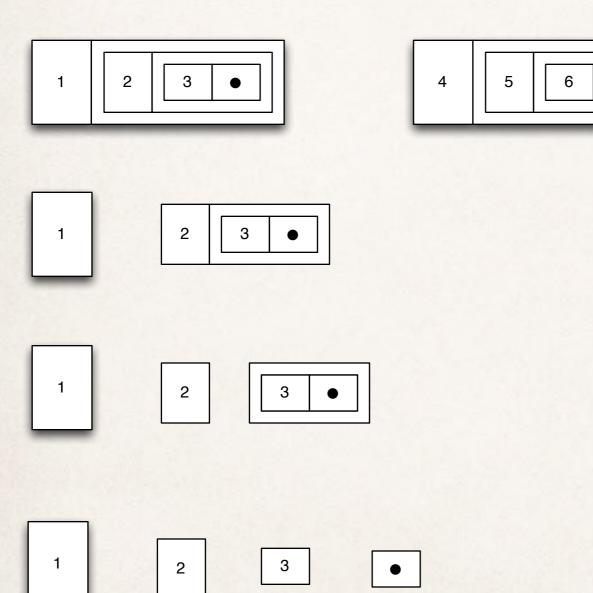


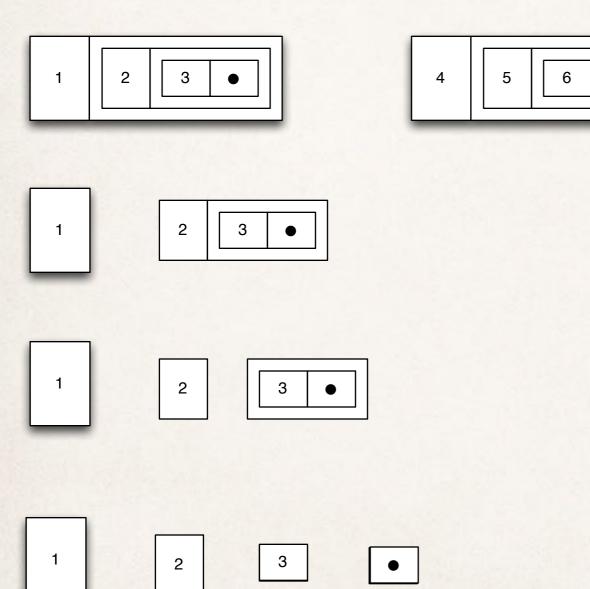


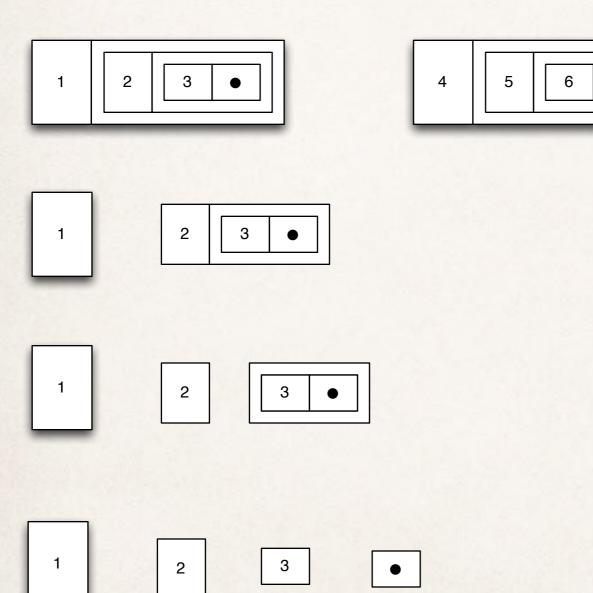
1

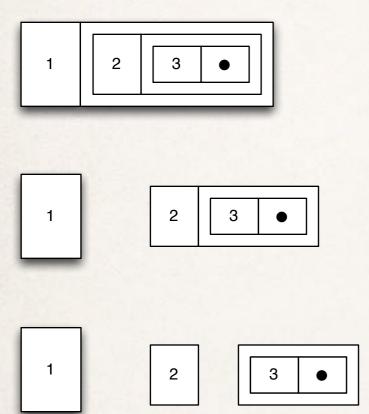






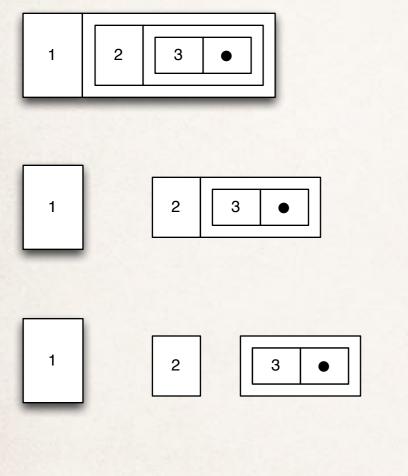




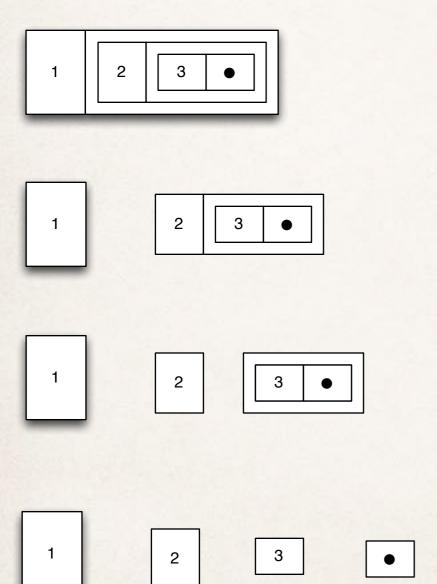


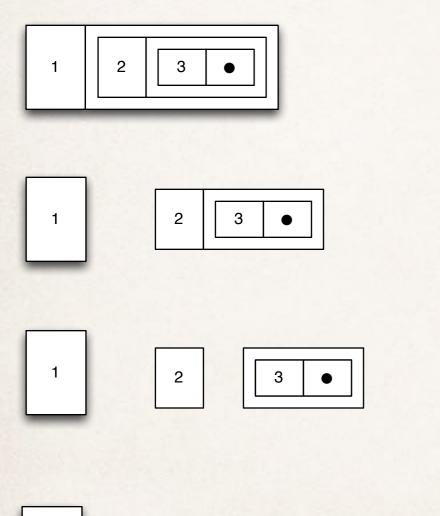
1 2 3

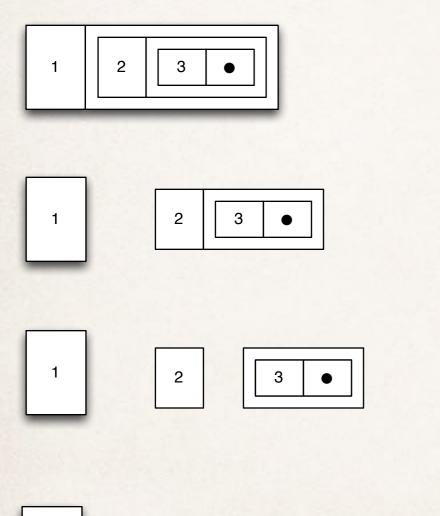
4 5 6 •

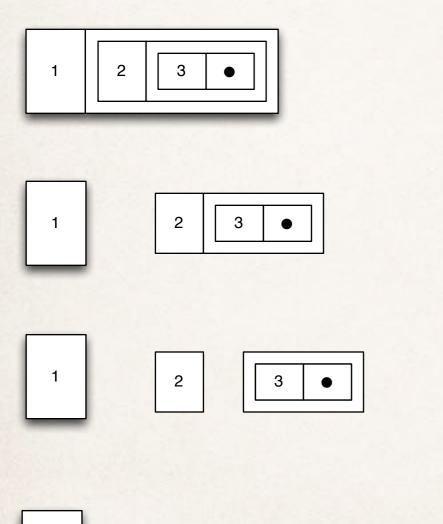


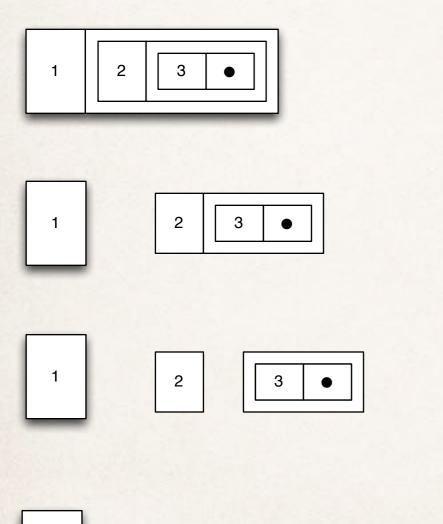
1 2 3

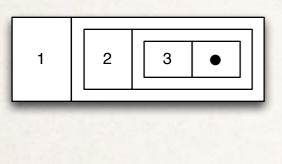


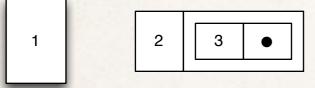






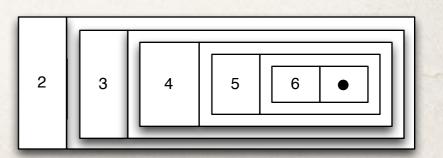


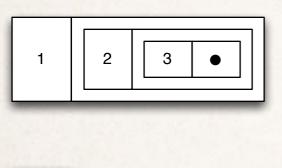


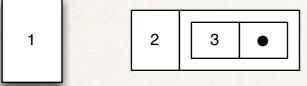






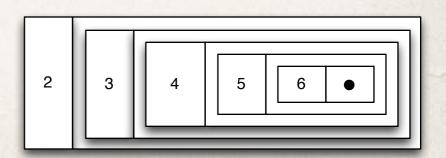


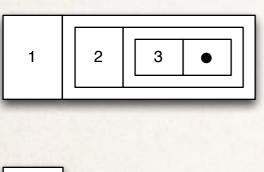


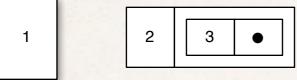


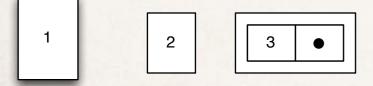




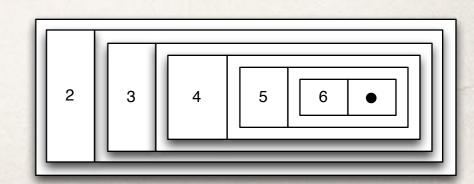


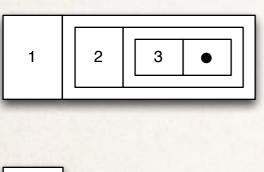


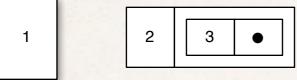


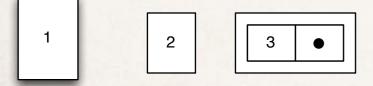




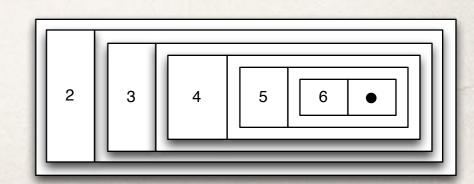


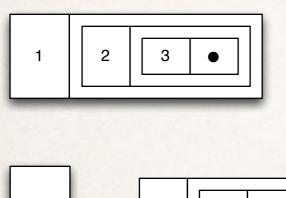


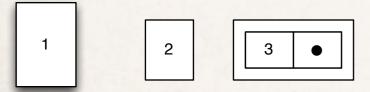


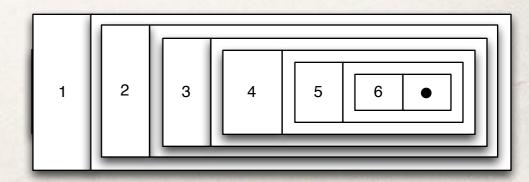












Creating lists...of squares

* The perfect squares:

Note: element here, but list here

* Hmm...it lists them backwards!

```
> (square-list 4)
(16 9 4 1 0)
```

A list user's guide...

- * Suppose that L is a list in Scheme;
 - then you can tell if it is empty by testing (null? L); if not...
 - * its first element is (car L);
 - the "rest" of the elements are (cdr L) (this is a list, and might be empty).

- Suppose that L is a list in Scheme and x is a value;
 - * '() or (list) is the empty list.
 - * (cons x L) is a new list—its first element is x; the rest of the elements are those of L.
 - * The list containing only the value x? Same idea, but use the empty list for L:

```
(cons x '()) or (list x).
```

Squares in the right order

* It's easy if both ends of a range are given: (why did this make it easy?)

* We can wrap this in a definition that starts at zero:

Mapping a function over a list

* Applying function to each element of a list is called *mapping*. It's a powerful tool.

* Then, for example:

```
> (map (lambda (x) (* x x)) '(0 1 2 3 4 5 6))
'(0 1 4 9 16 25 36)
> (map (lambda (x) (* x x)) '())
'()
```

Back to asymmetry: Reversing a list. Not as easy as you thought...

* Reversing a list. One strategy: peel off the first element; reverse the rest; append the first element to the end. This yields:

```
(define (reverse items)
        (define (append list1 list2)
          (if (null? list1)
               list2
               (cons (car list1)
                     (append (cdr list1) list2))))
        (if (null? items)
             (append (reverse (cdr items))
                     (list (car items)))))
Then...
      > (reverse '(1 2 3 4 5))
      (5 \ 4 \ 3 \ 2 \ 1)
```

Even after all that work: This reverse has a serious problem

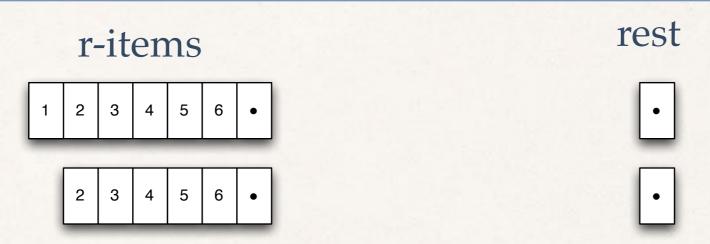
- * How long does it take to reverse a list? (One good way to measure the running time of a SCHEME function is to measure the total number of procedure calls it generates.)
- * If the list has n elements, reverse is called on each prefix. There are about n of these, which looks OK.
- * However, each reverse also calls append. If reverse is called with a list of k elements, the append needs to step all the way through this list in order to get to the end, generating k total calls to append.
- * All in all, this is roughly n + (n-1) + ... + 1 calls; about $n^2/2$. Surely we can reverse a list in roughly n steps!

Appending the car once the rest of the list is reversed is costly...

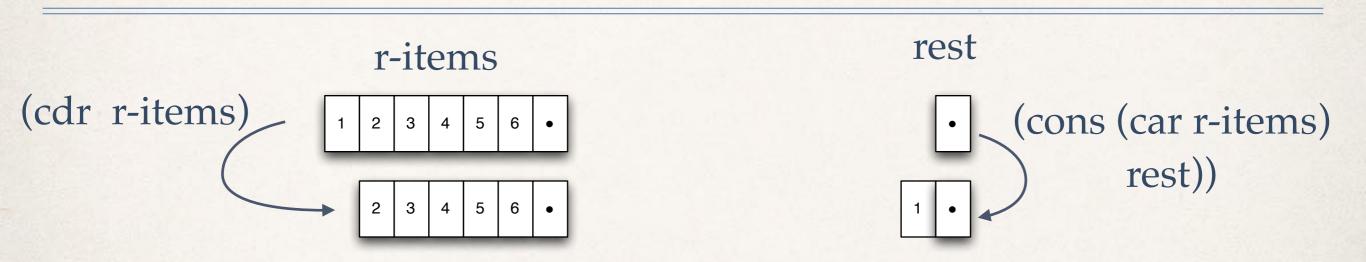
- * ...what if we pass the car along as a parameter, asking our next-inline to take care of the job of appending it to the resulting list?
- * Specifically, consider the function (reverse-and-append list rest): it should reverse list, append rest onto the end, and return the result.

Note: this simply generates ~n recursive calls!

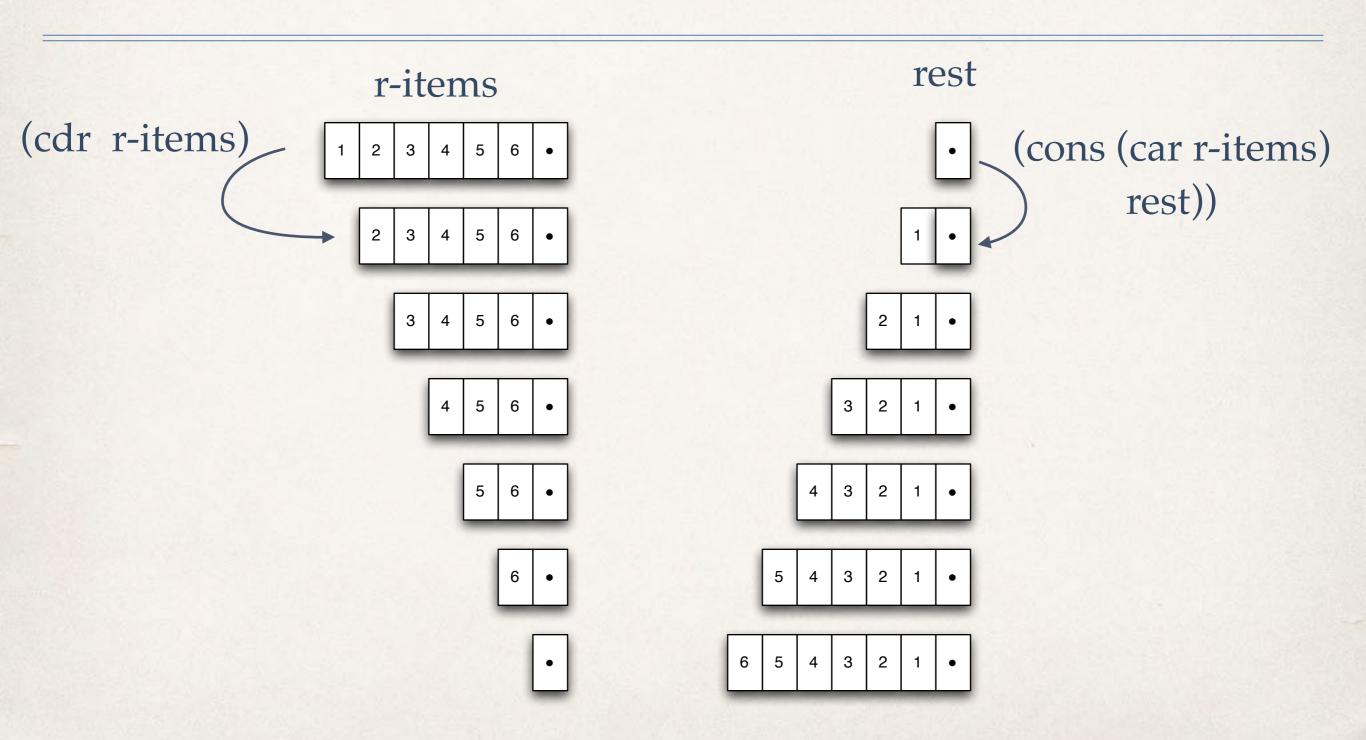
Visually



Visually



Visually



Sorting a list of numbers: Insertion Sort

Sorting a list of numbers: Insertion Sort

- * Goal
 - Sort a list of value in increasing order

Sorting a list of numbers: Insertion Sort

- * Goal
 - Sort a list of value in increasing order
- * Idea
 - Find the minimum,
 - Extract it (remove it from the list),
 - Sort the remaining elements,
 - * Add the minimum back in front!

Finding the smallest

- Objective
 - * Write a function that finds the smallest element in a list
- Inductive definition
 - * Base case?
 - * List of one element....
 - * Induction?
 - * Smallest between the head and smallest in tail

The Scheme code

- One auxiliary function to choose the smallest among 2
- One plain induction on the list.

```
(define (smallest 1)
  (define (smaller a b) (if (< a b) a b))
  (if (null? (cdr l))
        (car l)
        (smaller (car l) (smallest (cdr l)))))</pre>
```

Removing from a list

- * Goal
 - * Remove a *single occurrence* of a value from a list
- Inductive definition
 - * Base case:
 - Easy: empty list
 - * Induction:
 - * If we have a match: done! Just return the tail.
 - * If we don't: remove from the tail and preserve the head.

The Scheme code

- One plain induction on the list.
 - * v: the value to remove
 - * *elements*: the list to remove it from

Putting the pieces together to sort

* Use smallest and remove!

- * Use a let*
 - * To first bind first to the smallest element of the list;
 - * Then use first's value to trim the list.

And...to minimize clutter

```
(define (selSort 1)
  (define (smallest 1)
    (define (smaller a b) (if (< a b) a b))
    (if (null? (cdr 1))
        (car 1)
        (smaller (car 1) (smallest (cdr 1)))))
  (define (remove v 1)
    (if (null? 1)
        (if (equal? v (car l))
            (cdr 1)
            (cons (car 1) (remove v (cdr 1)))))
  (if (null? 1)
      '()
      (let* ((first (smallest 1))
             (rest (remove first 1)))
        (cons first (selSort r)))))
```

No need to traverse the list twice; one pass extraction & minimization

- Goal
 - * Find and Extract the smallest element from a list (in one pass!).
- * Idea
 - * Return *two* things (a pair!)
 - The extracted element
 - * The list without the extracted element.

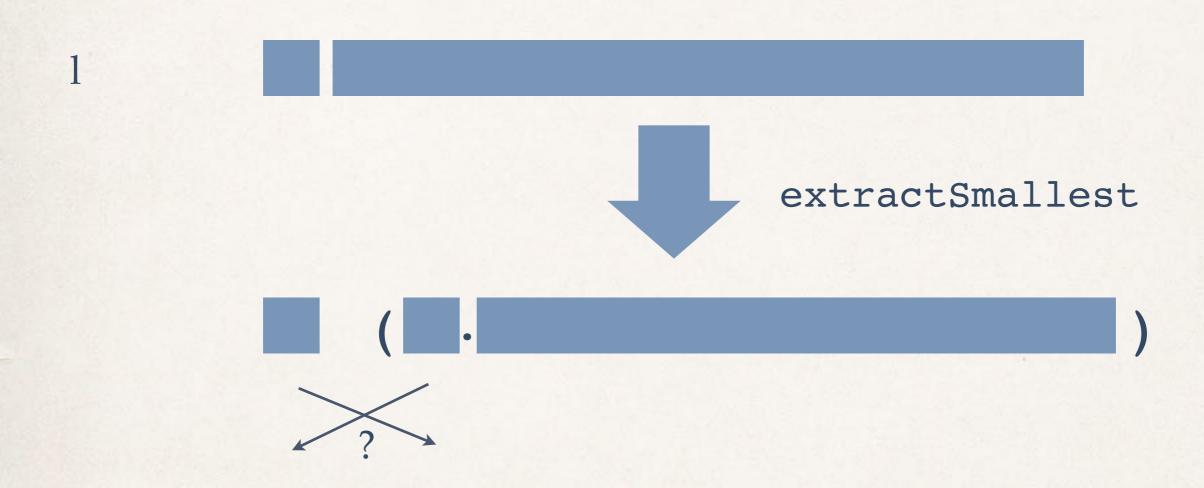
Minimization and Extraction in One Sweep

- * To improve readability, we introduce *convenience* functions to make & consult pairs.
- * Reserve cons/car/cdr for list operations

Minimization and Extraction in One Sweep

- * To improve readability, we introduce *convenience* functions to make & consult pairs.
- * Reserve cons/car/cdr for list operations

The Picture



Reassemble, depending on which is smaller...

Then Selection Sort is easy...

Use the combined find and extract

- extractSmallest returns a pair
 - Pick the first as the value to place in front
 - * Pick the second as the trimmed list to recur on.

Accumulators

- * We've seen some example of computing in Scheme with "accumulators." This is a particular way to organize Scheme programs that can be useful.
- * The idea: Recursive calls are asked to return the FULL value of the whole computation, you pass some PARTIAL results down to the calls.

(define (sort unexamined sorted)

A Solution using Accumulators

```
Shall say of the state of the s
(define (alt-extract elements)↓
             (define (extract-acc smallest dirty clean)
                           (cond ((null? dirty) (make-pair smallest clean))
                                                                     ((< smallest (car dirty)) (extract-acc smallest
                                                                                                                                                                                                                                                                                                                                                        (cdr dirty)
                                                                                                                                                                                                                                                                                                                                                        (cons (car dirty)
                                                                                                                                                                                                                                                                                                                                                                                                clean)))
                                                                     (else (extract-acc (car dirty)
                                                                                                                                                                                                          (cdr dirty)
                                                                                                                                                                                                          (cons smallest clean)))))
              (extract-acc (car elements) (cdr elements) '()))
```

What's the difference?

- * In our original solution, "partial problems" are passed as parameters; "partial solutions" are passed back as values.
- * In our accumulator solution, "partial solutions" are passed as parameters; complete solutions are passed back as values.
- Trace a short evaluation!
- * Both of these are good techniques to keep in mind; some problems can be more elegantly factored one way or the other.

What about another ordering?

- * For instance....
 - Get the sorted list in decreasing order!
- Wish
 - * Do not duplicate all the code.

Idea

- Externalize the ordering!
- * Pass a function that embodies the order we wish to use.
- Examples

```
Output: (012345678912)
(129876543210)
```

Selection Sort with an Externalized Ordering

```
(define (selSort before? 1)
  (define (smallest 1)
    (define (smaller a b) (if (before? a b) a b))
    (if (null? (cdr 1))
        (car 1)
        (smaller (car 1) (smallest (cdr 1)))))
  (define (remove v 1)
    (if (null? 1)
        (if (equal? v (car l))
            (cdr 1)
            (cons (car 1) (remove v (cdr 1)))))
  (if (null? 1)
      '()
      (let* ((f (smallest 1))
             (r (remove f l)))
        (cons f (selSort r)))))
```

Selection Sort with an Externalized Ordering

```
(define (selSort before? 1)
  (define (smallest 1)
    (define (smaller a b) (if (before? a b) a b))
    (if (null? (cdr 1))
        (car 1)
        (smaller (car 1) (smallest (cdr 1)))))
  (define (remove v 1)
    (if (null? 1)
                            That's it! No other changes needed!
        (if (equal? v (car 1))
            (cdr 1)
            (cons (car 1) (remove v (cdr 1)))))
  (if (null? 1)
      '()
      (let* ((f (smallest l))
             (r (remove f l)))
        (cons f (selSort r)))))
```

Selection Sort with an Externalized Ordering

```
(define (selSort before? 1)
  (define (smallest 1)
    (define (smaller a b) (if (before? a b) a b))
    (if (null? (cdr 1))
        (car 1)
        (smaller (car 1) (smallest (cdr 1)))))
  (define (remove v 1)
    (if (null? 1)
                            That's it! No other changes needed!
        (if (equal? v (car !))
            (cdr 1)
                              Yet.... before is used from choose
            (cons (car 1) (:
                                     not from selSort.
  (if (null? 1)
                                   How does this work?
      (let* ((f (smallest l))
             (r (remove f l)))
        (cons f (selSort r)))))
```

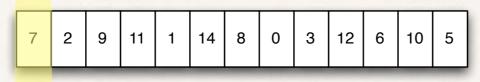
Closure

- It's all about the environments!
 - * When entering **selSort**, the environment has a binding for **before?**
 - * When defining smallest, scheme uses the current environment
 - * Therefore **before?** *is still in the environment*.
 - * When defining **choose** scheme evaluates **before?** and picks up its definition from the current environment!

The definition of choose has captured a reference to before?

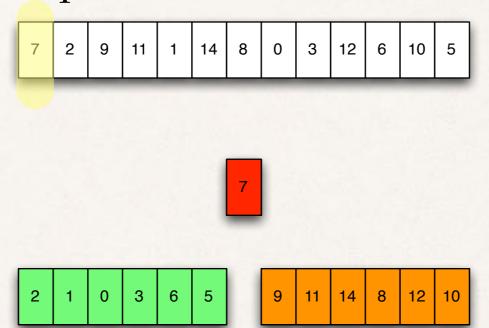
- Algorithm design idea
 - Divide and Conquer!

- Algorithm design idea
 - Divide and Conquer!

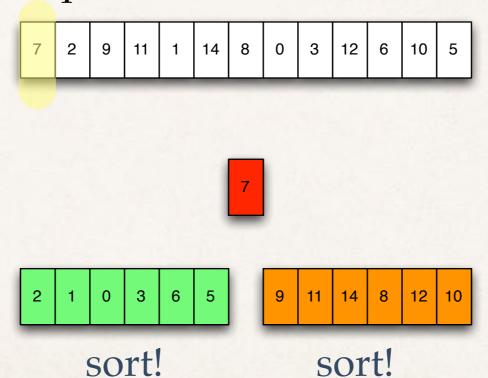




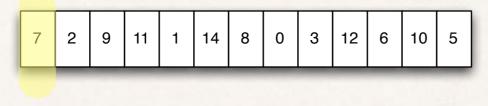
- Algorithm design idea
 - Divide and Conquer!

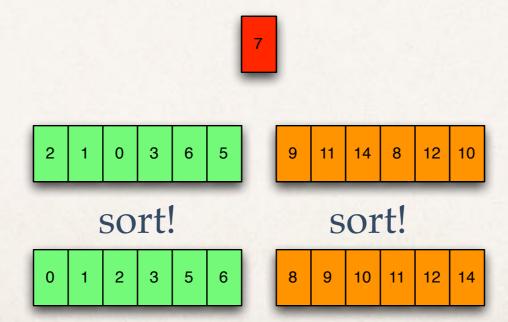


- Algorithm design idea
 - Divide and Conquer!



- Algorithm design idea
 - Divide and Conquer!

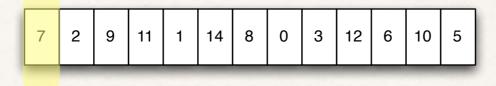




Algorithm design idea

combine

Divide and Conquer!



7

2 1 0 3 6 5 9 11 14 8 12 10

Sort!

0 1 2 3 5 6 7 8 0 10 11 12 14

Key Ingredients

- Partitioning
 - * Use a *pivoting* element
 - * Throw the smaller than *pivot* on left
 - * Throw larger than *pivot* on right
- Sorting
 - Pick a pivot
 - Partition
 - Sort partitions recursively (What is the base case?)
 - Combine answers

Partitioning

- * Recursive definition
 - * Base case: empty list
 - * Induction: Deal with one element from the list
 - * Returns: a pair (the two partitions)

Partitioning

- * Recursive definition
 - * Base case: empty list
 - * Induction: Deal with one element from the list
 - * Returns: a pair (the two partitions)

Why are we using

accumulators for left/right?

QuickSort

- * Also Recursive
 - Base case: empty list
 - Induction: partition & sort

QuickSort

- * Also Recursive
 - Base case: empty list
 - * Induction: partition & sort

Why are we using let*?

Cleanup

- * Once again, you can hide partition inside quickSort
 - * After all, it is used only by quickSort....
- * Once again, you can externalize the ordering
 - Use a function for comparisons.
 - Pass it down to quickSort!