

## CH5.1

Definition [Dense]  $A$  is dense in  $X$  if and only if  $\bar{A} = X$ .

Comment: We may think of a dense subset  $A$  of a subspace  $X$  as a set that permeates the space. Every point in  $X$  can approach arbitrarily closely by points of  $A$ .

Theorem 5.1:  $A$  is dense in  $X$  iff every non-empty open set of  $X$  containing a point of  $A$ .

Proof:

$\Leftarrow$ :

- Suppose there is some non-empty open set  $A' \subset X$ .
- $\rightarrow$  We know for a set  $A$ ,  $\bar{A} = X$ , meaning either any point  $x \in A \subset X$  is a limit point of  $A$  or is a point in  $X$ .
- If  $A'$  doesn't contain any point in  $A$ , then it only contains a set of limit points.
- However by definition of limit points, every open set containing it must contain some other points in  $A$ . Contradiction.

$\Rightarrow$ :

- If  $A$  isn't dense,  $\bar{A} \neq X$ . Since obviously  $\bar{A} \subset X$ ,  $\exists x \in X$  s.t.  $x \notin \bar{A}$ .
- By construction  $x$  is neither a limit point nor a point of  $A$ .  
Hence  $x$  is an isolated point.
- $\rightarrow \exists U \subset T$  s.t. it doesn't contain any point in  $A$ .  $\square$

---

Definition [Separable]: A topological space  $X$  is separable iff  $X$  has a countable dense set.

Remark: It's not related to the separation property we defined, and isn't related to concept of separated sets defined in the previous chapter.

Theorem 5.2:  $\mathbb{R}^{\text{std}}$  is separable.

Proof:

- Claim:  $\mathbb{Q}$  is countable, we prove it's also dense.
- For every point in  $\mathbb{R}$ , we may write it as  $i_0.i_1i_2i_3\dots$   $i_0 \in \mathbb{Z}$ ,  $i_1 \in \{0, \dots, 9\}$

We may approach it with the rational sequence  $(a_n)$  s.t.  $a_n = \sum_{j=0}^n \frac{c_j}{10^j}$

- Hence every point is a limit point of  $\mathbb{Q}$ ,  $\overline{\mathbb{Q}} = \mathbb{R}$  s.t.d.  $\mathbb{Q}$  is dense.  $\square$
- In contrast, the discrete topology is not separable.

Proof: For any countable set  $D = \{x_1, x_2, x_3, \dots\}$ . The closure of  $D$  is always  $D$  itself. Therefore we know that  $\overline{D} \neq \mathbb{R}$  unless  $D = \mathbb{R}$ , but  $\mathbb{R}$  is uncountable.  $\square$

Comment: It's tempting to think if one space is separable, then its subspaces should also be separable. However, it's not the case.

- The example is the same example that we used to prove the fact that that  $\mathbb{R}_{LL} \times \mathbb{R}_{LL}$  is not a normal space.
- It's easy to see how  $\mathbb{Q} \times \mathbb{Q}$  is dense under  $\mathbb{R}_{LL}$
- However, the negative sloping line subspace inherits the discrete topology, which is not separable under any uncountable set it's put on.  $\square$

Theorem 5.5: If  $X$  and  $Y$  are separable spaces,  $X \times Y$  is separable

Proof:

- If both  $X$  and  $Y$  are separable, then  $\exists U \in X$  that's countable and dense  $\exists V \in Y$  that's countable and dense.
- Take  $U \times V$ , we prove it's both countable and dense.

Countable:

→ Since  $U$  &  $V$  are both countable, they both have the same cardinality as  $\mathbb{N}$ , therefore we may enumerate  $U$  &  $V$  as

$$U = \{u_0, u_1, u_2, u_3, \dots\}$$

$$V = \{v_0, v_1, v_2, v_3, \dots\}$$

→ And now we may use element from  $\mathbb{N}$  to match 1 by 1, by the same proof how we prove  $\mathbb{Q}$  is countable:

$$\begin{array}{c} \mathbb{N} \times \mathbb{N} \\ \begin{array}{cccc} u_0 & u_1 & u_2 & u_3 \end{array} \end{array}$$

$$u_0 \quad (u_0, u_0) \quad (u_0, u_1) \quad (u_0, u_2) \quad (u_0, u_3)$$

$$u_1 \quad (u_1, u_0) \quad (u_1, u_1) \quad (u_1, u_2) \quad (u_1, u_3)$$

$$u_2 \quad (u_2, u_0) \quad (u_2, u_1) \quad (u_2, u_2) \quad (u_2, u_3)$$

• Taking this line matching the sets of 2-tuple together with each natural number.

• Thus  $U \times V$  is countable.

Dense:

- For every open set in  $X \times Y$ , because the fact that  $U$  and  $V$  are both dense,  $\exists x \in U$  and  $y \in V$  s.t.  $(x, y)$  inside the open set.
  - Thus we know that for every open set in  $X \times Y$ ,  $\exists$  an element in  $U \times V$ .
- Hence  $U \times V$  is dense.  $\square$

Theorem 5.6: The space  $2^{\mathbb{R}}$  is separable.

• Remark: We may consider  $2^{\mathbb{R}}$  as the power set as its elements are essentially "sets" in  $x$  that are "1" or "included" & "0" or "excluded".

• Define the set with the open set like the following

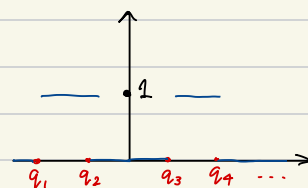
$$E = \{n \in \mathbb{N}^+, \text{ define } \{q_1, \dots, q_n\} \in \mathbb{Q}^{\mathbb{N}} : q_1 < \dots < q_n\}$$

$$e = \forall x \in (-\infty, q_1), x^{\text{th}} \text{ coordinate has value } 0$$

$$\forall x \in (q_i \in \text{odd}, q_i \in \text{even}), x^{\text{th}} \text{ coordinate has value } 1$$

$$\forall x \in (q_i \in \text{even}, q_i \in \text{odd}), x^{\text{th}} \text{ coordinate has value } 0.$$

$$\forall x \in (q_n, \infty), x^{\text{th}} \text{ coordinate has value } 0.$$



$G = \{ \text{The set of all } E \text{ and their corresponding } e. \in 2^X \}$ . We claim  $G$  is the set.

• That's countable and dense in  $E$ .

→ For any basic open set, there are only finite element that not  $\{0, 1\}$ . At their coordinates, we may always find an  $E = \{q_1, \dots, q_n\}$  that satisfy the limit condition (being either 1 or 0).

• Hence for any basic open set, we can always find a  $G$ -point contained in it.

• Thus  $G$  is dense in  $2^{\mathbb{R}}$ , where  $E$ , hence  $G$  is obviously countable.  $\square$

## CH5.2

Definition [ $2^{\text{nd}}$  Countable Space]: A space  $X$  is  $2^{\text{nd}}$  countable iff it has a countable basis.

Comment: In a  $2^{\text{nd}}$  countable space every open set can be built from a countable set. It's defined by Hausdorff in Mengenlehre.

• Examples:

1) The real line  $\mathbb{R}$  has a countable basis, the collection of all open interval

$(a, b)$  with rational endpoint. In fact,  $\mathbb{R}^n$  &  $\mathbb{R}^\omega$  all have a countable basis.

- The  $\mathbb{R}^n$ 's countable basis is the subsets in  $\mathbb{R}^n$  where each coordinate has the open set with rational endpoints.
  - The  $\mathbb{R}^\omega$ 's countable basis: subsets of  $\mathbb{R}^\omega$  where each coordinate  $= \mathbb{R}$  except for finitely many coordinate with rational endpoints.
- 

Theorem 5.9 Let  $X$  be 2<sup>nd</sup> countable. Then  $X$  is separable.

Proof:

- If  $X$  is 2<sup>nd</sup> countable, then there exists a countable basis.
  - Pick a basic element  $B_i$ , choose an element  $b_i \in B_i$ , now for any  $i$  we may always pick such an element.
- Thus if we construct the countable set  $\{b_i\}$ , it's dense in  $X$ . Since every open set is a union of basic open sets. It has to contain some  $\{b_i\}$ .  $\square$
- 

Example:

(1)  $\mathbb{R}_{\text{LL}}$  is separable but not 2<sup>nd</sup> countable.

- The countable dense subset is  $\mathbb{Q}$ . Each open set  $(a, b)$  must contain a  $\mathbb{Q}$ .
- Why it doesn't have a countable basis?

Suppose it has a countable basis  $B = \{B_1, B_2, B_3, \dots\}$

→  $\forall x \in \mathbb{R}$ ,  $[x, x+1)$ , there must exist subset of  $B$ , say  $B_{k_x}$  s.t.  
 $x \in B_{k_x} \subseteq [x, x+1)$

- Consider the map  $x \rightarrow B_{k_x}$ . It is injective b.c.  $x \neq x' \rightarrow B_{k_x} \subseteq [x, x+1)$  and  $B_{k_{x'}} \subseteq [x', x'+1)$ ,  $B_{k_x} \neq B_{k_{x'}}$

→ But the set of  $x \in \mathbb{R}$  is uncountable. Hence  $\mathbb{R}_{\text{LL}}$  is not 2<sup>nd</sup> countable.

(Reason why  $(a, b)$ ,  $a, b \in \mathbb{Q}$  doesn't work like  $\mathbb{R}_{\text{std}}$ : consider  $[\sqrt{2}, \sqrt{2}+1)$ ).

(2)  $\mathbb{H}_{\text{ub}}$  is separable but not 2<sup>nd</sup> countable.

- The countable dense set is  $(a, b)$ ,  $b > 0$ ,  $a, b \in \mathbb{Q}$ .

- If it has a countable basis  $B = \{B_1, B_2, B_3, \dots\}$ , we can find an injective map between  $\mathbb{R}$  and  $B$  as last example, by making each element on the real line with an open set in  $\mathbb{H}_{\text{ub}}$  that's tangential to it.  $\square$
-

Theorem 5.11 Every Uncountable set in a  $2^{\text{nd}}$  countable space has a limit point.  
Proof:

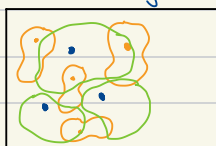
- We use a contrapositive argument: suppose a set  $S$  in a  $2^{\text{nd}}$  countable space  $X$  doesn't have a limit point, then it's a countable set.
- By definition of  $2^{\text{nd}}$  countable space, it has a countable basis. We call it  $B = \{B_1, B_2, \dots\}$

→ As the set doesn't have a limit point:

$$\neg (\exists x \in X \text{ s.t. } \forall U \in \mathcal{T}_x \text{ s.t. } x \in U, \exists x' \neq x \text{ s.t. } x' \in S, x' \in U)$$

$$\Leftrightarrow \forall x \in X \text{ s.t. } \exists U \in \mathcal{T}_x \text{ s.t. } x \in U, \forall x' \neq x \text{ s.t. } x' \in S, x' \notin U)$$

(1<sup>st</sup> line,  $S$  has one limit point, 2<sup>nd</sup> line,  $S$  has 0 limit point).



• It means for every point in  $x \in S$ , we may find a open set that contains  $x$  but excludes every other points in  $S$ .

• We know this open set  $= \bigcup_{i \in I} B_i$ ,  $B_i \in B$ .

→ Thus for some  $i_x \in I$ ,  $x \in B_{i_x}$ , obviously  $B_i$  disjoint from  $S \setminus \{x\}$

→ Due to the disjointness, we may designate a map  $f: x \rightarrow B_{i_x}$ . It's obviously injective. (As no two  $x \in S$  can share a same  $B_{i_x}$ ).

• If  $S$  is an uncountable set, then there are uncountably many  $B_{i_x}$  that are distinct, which contradicts the assumption that  $B$  is countable.  $\square$

Theorem 5.13. If  $X$  and  $Y$  are  $2^{\text{nd}}$  Countable, then the product space  $X \times Y$  is  $2^{\text{nd}}$  countable.

Proof:

• If  $X$  &  $Y$  both exists a countable basis

$$\begin{cases} X\text{'s basis} : \{X_1, X_2, X_3, \dots\} \\ Y\text{'s basis} : \{Y_1, Y_2, Y_3, \dots\} \end{cases}$$

→ We claim the set  $\{X_i \times Y_j : i, j \in \mathbb{Z}^+\}$  is a basis. Since for any open set takes the form  $U \times V$  where  $U \subset X$  and  $V \subset Y$ .

• We may built the construction by 1. Take the  $\alpha \in \mathbb{Z}^+$  s.t.  $\bigcup_{i \in \alpha} X_i = U$  and  $\beta \in \mathbb{Z}^+$   $\bigcup_{j \in \beta} Y_j = V$ . Then  $\bigcup_{i \in \alpha, j \in \beta} X_i \times Y_j = U \times V$ .  $\square$

## CH5.3

Definition [Neighborhood Basis]: Let  $p$  a point in a space  $X$ . A collection of open set  $\{U_\alpha\}_{\alpha \in \lambda}$  in  $X$  is a neighborhood basis for  $p$  iff

(i) Each  $U_\alpha$  contains  $p$  and

(ii) Every open set containing  $p$  contains some  $U_\alpha$ .

Definition [1<sup>st</sup> Countable Space]: A topological space is 1<sup>st</sup> countable iff every point in  $X$  has a countable neighborhood basis.

Theorem 5.14: Let  $X$  be 2<sup>nd</sup> Countable Space. Then  $X$  is 1<sup>st</sup> countable.

Proof: The theorem is trivial.

(Comment: 2<sup>nd</sup> Countability refers to countability of basis for whole topology, 1<sup>st</sup> countable refers to a local version of the basis).

Theorem 5.15: If  $X$  is a topological space  $p \in X$ , and  $p$  has a countable neighborhood basis, then  $p$  has a countable neighborhood basis.

Proof:

• Suppose  $X$  has a countable neighborhood basis name it  $\{U_1, U_2, U_3, \dots\}$

Let  $\{V_n : V_n = \bigcap_{i=1}^n U_i\}$  and we claim it's a countable neighborhood basis.

• First, of course it's countable and nested by construction.

• For every open neighborhood that contains  $p$ , it can be written as a union of  $U_i$ . Suppose some  $V_j$  is within the union, the set  $\bigcap_{i=1}^j U_i \subset U_j$  is also within the union.

→ Thus the set  $\{V_n\}$  is a countable nested neighborhood basis. □

Example:

(1)  $\mathbb{R}_{LL}$  is 1<sup>st</sup> Countable:

• For every  $p$ , we may define the set of neighborhood of  $p$   $[p, p + \frac{1}{n})$ .

Now for any open neighborhood of  $p$ , we may always find a  $[p, p + \frac{1}{n})$  contained in the neighborhood.

(2)  $2^{\mathbb{R}}$  is not 1<sup>st</sup> Countable: Consider  $\overbrace{(0, 0, 0, \dots)}^{\mathbb{R} \text{ coord}}$ , name it  $\vec{0}$  from now on. WTS it doesn't have a nbhd basis.

• Let  $U_{\alpha_0} = \{0\} \times \prod_{\alpha \neq \alpha_0} \{0, 1\}$ . Every  $U_{\alpha_0}$  contains  $\vec{0}$   
↓  
α<sub>0</sub>th coordinate.

- Suppose  $\{V_\beta\}$  is a local countable basis.
  - Obviously  $\forall \alpha_0, U_{\alpha_0} \supset V_\beta$  for some  $\beta$ . Then  $\exists \beta$  st.  $U_{\alpha_0} \supset V_\beta$  for uncountable many coordinates.
  - Since this mapping  $\alpha_0 \rightarrow \beta$  is injective. Say the  $\beta$  that  $\alpha_0$  maps to is  $\beta_{\alpha_0}$ ,  $V_{\beta_{\alpha_0}} \subset U_{\alpha_0}$
  - It can't be in any other  $U_\alpha$  since it's having 0 on  $\alpha$ th coordinate. But any other  $U_\alpha$  has  $\{0,1\}$  on  $\alpha_0$ th coordinate.
  - Hence because  $\alpha_0$  is uncountable,  $\beta$  also has to be uncountable.  $\square$
- 

Theorem 5.18 Suppose  $x$  is a limit point of  $A$  in a 1<sup>st</sup> countable space  $X$ . Then there is a sequence of points  $\{a_i\}_{i \in \mathbb{N}}$  in  $A$  that converge to  $x$ .

Comment: This property is essentially matching 1<sup>st</sup> countable space to metric space we are familiar of.

Proof:

- Suppose  $A$  has a limit point in 1<sup>st</sup> countable space  $X$ . Call it  $p$ .
  - Since  $X$  is 1<sup>st</sup> countable, there is a nested neighborhood basis around  $p$ .  $\{B_i\}_{i \in \mathbb{Z}^+}$  is the neighborhood basis.
  - Since  $p$  is a limit point, thus  $\forall i \in \mathbb{Z}^+, \exists a_i \in A \cap B_i \setminus \{p\}$
  - The sequence converge to  $p$  because  $\forall$  open set that contains  $p$ , there  $\exists i \in \mathbb{Z}$  that  $B_i \subset$  the open.
  - Now since the open sets are nest,  $\forall j > i, B_j$  is also nested.  $\square$
- 

Example: First Countability of  $\mathbb{R}^n$  under different topologies.

(Recall at end of Chapter 3).

1) Box topology: Not First Countable

- Say the point  $\vec{x}$ , assume it has a local countable basis. The local countable basis is open in every coordinate.
- The local basis is given by  $\{B_n : n \in \mathbb{N}\}$  at  $\vec{x}$ . Each basis element is written as  $B_n = \bigcap_{k=1}^n U_{n,k}$

→ Consider the basic element  $k, k$ . Let  $x_k \in J_k \not\subset U_{k,k}$

• Now let  $W = \prod_{k=1}^{\infty} J_k$ . It's an open neighborhood that contains none of the countable basis.

## 2) Product Topology : 1<sup>st</sup> Countable

• Still consider the local basis at  $\vec{x}$ , defined as

$$B_{k,m} = N_{\frac{1}{m}}(x_1) \times N_{\frac{1}{m}}(x_2) \times \dots \times N_{\frac{1}{m}}(x_k) \times \prod_{i \in \mathbb{Z} \setminus \{1, \dots, k\}} \mathbb{R}$$

•  $k \in \mathbb{Z}$ ,  $m \in \mathbb{Z}$  hence  $\text{Card}(B) = \text{Card}(\mathbb{Z} \times \mathbb{Z})$  is countable.

• For every open set that contains  $x$  in product topology,  $\exists$  basic open set  $U = \bigcap_{n=1}^{\infty} U_n$ .  $U_n$  is open but not  $\mathbb{R}$  all but finitely many coordinate.

• There is a maximum distance  $a_k$  s.t.  $N_{a_k}(x_k) \subset U_k$ .

• Let  $m$  be big enough so that  $\frac{1}{m} < \inf_k \{a_k : k\text{th coordinate of } U_k \neq \mathbb{R}\}$

Then  $B_{k,m}$  is contained in  $U$ . Hence the open set.

(Because Product has only finitely many coordinates we may modify - hence we can manually fit every coordinate into the product.)

## 3) Uniform Topology

• Consider the local basis at  $\vec{x} = F(\vec{x}, q)$  where  $q \in \mathbb{Q}$  is countable.

• For every open set containing  $\vec{x}$ ,  $F(\vec{x}, \varepsilon) = \bigcup_{0 < \delta < \varepsilon} E(\vec{x}, \delta)$ , we may find  $0 < q < \varepsilon$ . And it fits in the open set.

→ It fulfills definition of local countable basis.

---