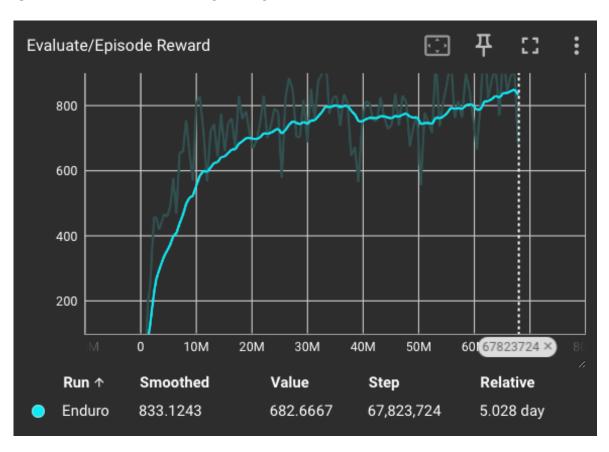
### **RL Lab3 Report**

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#### Experiment Results (30%)



#### Bonus

#### 1. PPO is an on-policy or an off-policy algorithm? Why? (5%)

PPO is an on-policy algorithm. Because it learns directly from the current policy that it is improving, rather than from past experiences stored in a replay buffer.

## 2. Explain how PPO ensures that policy updates at each step are not too large to avoid destabilization. (5%)

PPO ensures that policy updates at each step are not too large to avoid destabilization by using a clipped surrogate objective function. The objective function compares the ratio of the new policy probability to the old policy probability and takes the minimum with a clipping parameter (typically set to  $1 + \epsilon$  or  $1 - \epsilon$ ). This helps prevent large policy updates that could lead to policy oscillations or destabilization during training. The clipped surrogate objective ensures that the policy update is within a controlled range, providing stability to the learning process

# 3. Why is GAE-lambda used to estimate advantages in PPO instead of just one-step advantages? How does it contribute to improving the policy learning process? (5%)

GAE-lambda is used in PPO to estimate advantages instead of just one-step advantages because it provides a trade-off between bias and variance in advantage estimation. GAE-lambda incorporates information from multiple time steps by using a parameter lambda ( $\lambda$ ), which allows it to capture the influence of both short-term and long-term effects. This can lead to more accurate advantage estimates and can improve the overall policy learning process by reducing the variance associated with estimating advantages.

#### 4. Please explain what the lambda parameter represents in GAElambda, and how adjusting the lambda parameter affects the training process and performance of PPO? (5%)

The lambda parameter in GAE-lambda represents the weighting of the exponentially decaying sum of future advantages. A lambda value of 0 reduces the GAE-lambda to one-step advantages, while a value of 1 includes advantages from all future time steps. Adjusting the lambda parameter affects the training process and performance of PPO by controlling the balance between bias and variance in advantage estimation. A higher lambda value increases the influence of long-term effects, potentially reducing variance but introducing more bias. Conversely, a lower lambda value may reduce bias but increase variance. The choice of lambda depends on the specific characteristics of the environment and the trade-off between bias and variance that the practitioner is willing to accept for improved policy learning.