Standard for the Subject-oriented Specification of Systems



### Albert Fleischmann Editor

# Standard for the Subject-oriented Specification of Systems

Working Document

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# **Preface**



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CHAPTER 1

## **Foundation**

To facilitate the understanding of the following sections we will introduce the philosophy of subject-orienting modeling which is based on the Parallel Activity Specification Scheme (PASS). Additional, we will give a short introduction to ontologies—especially the Web Ontology Language (OWL)—, and to Abstract State Machines (ASM) as underlying concepts of this standard document.

#### 1.1 SUBJECT ORIENTATION AND PASS

In this section, we lay the ground for PASS as a language for describing processes in a subject-oriented way. This section is not a complete description of all PASS features, but it gives the first impression about subject-orientation and the specification language PASS. The detailed concepts are defined in the upcoming chapters.

The term subject has manifold meanings depending on the discipline. In philosophy, a subject is an observer and an object is a thing observed. In the grammar of many languages, the term subject has a slightly different meaning. "According to the traditional view, the subject is the doer of the action (actor) or the element that expresses what the sentence is about (topic)." [Kee76]. In PASS the term subject corresponds to the doer of an action whereas in ontology description languages, like RDF (see section 1.2), the term subject means the topic what the "sentence" is about.

#### 1.1.1 Subject-driven Business Processes

Subjects represent the behavior of an active entity. A specification of a subject does not say anything about the technology used to execute the described behavior. This is different to other encapsulation approaches, such as multi-agent systems.

Subjects communicate with each other by exchanging messages. Messages have a name and a payload. The name should express the meaning of a message informally and the payloads are the data (business objects) transported. Internally, subjects execute local activities such as calculating a price, storing an address, etc.

A subject sends messages to other subjects, expects messages from other subjects, and executes internal actions. All these activities are done in sequences

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which are defined in a subject's behavior specification. Subject-oriented process specifications are always embedded in a context. A context is defined by the business organization and the technology by which a business process is executed.

Subject-oriented system development integrates established theories and concepts. It has been inspired by various process algebras (see e.g. [2], [3], [4]), by the basic structure of nearly all natural languages (Subject, Predicate, Object) and the systemic sociology developed by Niklas Luhmann (an introduction can be found in [5]). According to the organizational theory developed by Luhmann, the smallest organization consists of communication executed between at least two information processing entities [5]. The integrated concepts have been enhanced and adapted to organizational stakeholder requirements, such as providing a simple graphical notation, as detailed in the following sections.

#### 1.1.2 Subject Interaction and Behavior

We introduce the basic concepts of process modeling in S-BPM using a simple order process. A customer sends an order to the order handling department of a supplier. He is going to receive an order confirmation and the ordered product by the shipment company. Figure 1.3 shows the communication structure of that process. The involved subjects and the messages they exchange can easily be grasped.



Figure 1.1: The Communication Structure in the Order Process

Each subject has a so-called input pool which is its mailbox for receiving messages. This input pool can be structured according to the business requirements at hand. The modeler can define how many messages of which type and/or from which sender can be deposited and what the reaction is if these restrictions are violated. This means the synchronization through message exchange can be specified for each subject individually.

Messages have an intuitive meaning expressed by their name. A formal semantics is given by their use and the data which are transported with a message. Figure 1.2 depicts the behavior of the subjects "customer" and "order handling".

In the first state of its behavior, the subject "customer" executes the internal function "Prepare order". When this function is finished the transition "order prepared" follows. In the succeeding state "send order" the message "order" is sent to the subject "order handling". After this message is sent (deposited in the input pool of subject "order handling"), the subject "Customer" goes into the state "wait for confirmation". If this message is not in the input pool the subject stops its execution until the corresponding message arrives in the input pool. On arrival, the subject removes the message from the input pool and follows the transition into state "Wait for product" and so on.

The subject "Order Handling" waits for the message "order" from the subject "customer". If this message is in the input pool it is removed and the succeeding



Figure 1.2: The Behavior of Subjects

function "check order" is executed and so on.

The behavior of each subject describes in which order it sends messages, expects (receives) and performs internal functions. Messages transport data from the sending to the receiving subject and internal functions operate on internal data of a subject. These data aspects of a subject are described in section 1.1.3. In a dynamic and fast-changing world, processes need to be able to capture known but unpredictable events. In our example let us assume that a customer can change an order. This means the subject "customer" may send the message "Change order" at any time. Figure 1.3 shows the corresponding communication structure, which now contains the message "change order".



Figure 1.3: The Communication Structure with Change Message

Due to this unpredictable event, the behavior of the involved subjects needs also to be adapted. Figure 1.4 illustrates the respective behavior of the customer.

The subject "customer" may have the idea to change its order in the state "wait for confirmation" or in the state "wait for product". The flags in these states indicate that there is a so-called behavior extension described by a so-called non-deterministic event guard [12, 22]. The non-deterministic event created in the subject is the idea "change order". If this idea comes up, the current states, either "wait for confirmation" or "wait for product", are left, and the subject "customer" jumps into state "change order" in the guard behavior. In this state, the message "change order" is sent and the subject waits in the state "wait for reaction". In this state, the answer can either be "order change accepted" or "order change rejected". Independently of the received message the subject "customer" moves

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Figure 1.4: Customer is allowed to Change Orders

to the state "wait for product". The message "order change accepted" is considered as confirmation, if a confirmation has not arrived yet (state "wait for confirmation"). If the change is rejected the customer has to wait for the product(s) he/she has ordered originally. Similar to the behavior of the subject "customer" the behavior of the subject "order handling" has to be adapted.

#### 1.1.3 Subjects and Objects

Up to now, we did not mention data or the objects with their predicates, to get complete sentences comprising subject, predicate, and object. Figure ?? displays how subjects and objects are connected. The internal function "prepare order" uses internal data to prepare the data for the order message. This order data is sent as the payload of the message "order".

The internal functions in a subject can be realized as methods of an object or functions implemented in a service if a service-oriented architecture is available. These objects have an additional method for each message. If a message is sent, the method allows receiving data values sent with the message, and if a message is received the corresponding method is used to store the received data in the object [22]. This means either subject are the entities which use synchronous services as an implementation of functions or asynchronous services are implemented through subjects or even through complex processes consisting of several subjects. Consequently, the concept Service Oriented Architecture (SOA) is complementary to S-BPM: Subjects are the entities which use the services offered by SOAs (cf. [25]).

#### 1.2 Introduction to Ontologies and OWL

This short introduction to ontology, the Resource Description Framework and Web Ontology Language (OWL), should help to get an understanding of the PASS ontology outlined in section 2 and 3.



Figure 1.5: Subjects and Objects

Ontologies are a formal way to describe taxonomies and classification networks, essentially defining the structure of knowledge for various domains: the nouns representing classes of objects and the verbs representing relations between the objects of classes.

In computer science and information science, an ontology encompasses a representation, formal naming, and definition of the classes, properties, and relations between the data, and entities that substantiate considered domains.

The Resource Description Framework (RDF) provides a graph-based data model or framework for structuring data as statements about resources. A "resource" may be any "thing" that exists in the world: a person, place, event, book, museum object, but also an abstract concept like data objects. Figure 1.6 shows an RDF graph.



Figure 1.6: RDF graphic

RDF is based on the idea of making statements about resources (in particular web resources) in expressions of the form subject–predicate–object, known as triples. The subject denotes the resource, and the predicate denotes traits or aspects of the resource and expresses a relationship between the subject and the object. In the context of ontology, the term subject expresses what the sentence is about (topic) (see 1.1).

For describing ontologies several languages have been developed. One widely used language is OWL (worldwide web ontology language) which is based on

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the Resource Description Framework (RDF).

OWL has classes, properties, and instances. Classes represent terms also called concepts. Classes have properties and instances are individuals of one or more classes.

A class is a type of thing. A type of "resource" in the RDF sense can be person, place, object, concept, event, etc.. Classes and subclasses form a hierarchical taxonomy and members of a subclass inherit the characteristics of their parent class (superclass). Everything true for the parent class is also true for the subclass.

A member of a subclass "is a", or "is a kind of" its parent class. Ontologies define a set of properties used in a specific knowledge domain. In an ontology context, properties relate members of one class to members of another class or a literal.

Domains and ranges define restrictions on properties. A domain restricts what kinds of resources or members of a class can be the subject of a given property in an RDF triple. A range restricts what kinds of resources/members of a class or data types (literals) can be the object of a given property in an RDF triple.

Entities belonging to a certain class are instances of this class or individuals. A simple ontology with various classes, properties and individual is shown below:

Ontology statement examples:

#### • Class definition statements:

- Parent is A Class
- Mother is A Class
- Mother subClassOf Parent
- Child is A Class

#### • Property definition statement:

• isMotherOf is a relation between the classes Mother and Child

#### • Individual/instance statements:

- MariaSchmidt is A Mother
- MaxSchmidt is A Child
- MariaSchmidt isMotherOf MaxSchmidt

#### 1.3 Introduction to Abstract State Machines

An abstract state machine (ASM) is a state machine operating on states that are arbitrary data structures (structure in the sense of mathematical logic, that is a nonempty set together with several functions (operations) and relations over the set).

The language of the so-called Abstract State Machine uses only elementary If-Then-Else-rules which are typical also for rule systems formulated in natural language, i.e., rules of the (symbolic) form

with arbitrary *Condition* and *ACTION*. The latter is usually a finite set of assignments of the form f(t1, ..., tn) := t. The meaning of such a rule is to perform in any given state the indicated action if the indicated condition holds in this state.

The unrestricted generality of the used notion of Condition and *ACTION* is guaranteed by using as ASM-states the so-called Tarski structures, i.e., arbitrary sets of arbitrary elements with arbitrary functions and relations defined on them. These structures are updatable by rules of the form above. In the case of business processes, the elements are placeholders for values of arbitrary type and the operations are typically the creation, duplication, deletion, or manipulation (value change) of objects. The so-called views are conceptually nothing else than projections (read: substructures) of such Tarski structures.

An (asynchronous, also called distributed) ASM consists of a set of agents each of which is equipped with a set of rules of the above form, called its program. Every agent can execute in an arbitrary state in one step all its executable rules, i.e., whose condition is true in the indicated state. For this reason, such an ASM, if it has only one agent, is also called sequential ASM. In general, each agent has its own "time" to execute a step, in particular, if its step is independent of the steps of other agents; in special cases, multiple agents can also execute their steps simultaneously (in a synchronous manner).

Without further explanations, we adopt usual notations, abbreviations, etc., for example:

if Cond then M1 else M2

instead of the equivalent ASM with two rules:

if Cond then M1

if not Cond then M2

Another notation used below is

let x=t in M

for M(x/a), where a denotes the value of t in the given state and M(x/a) is obtained from M by substitution of each (free) occurrence of x in M by a.

For details of a mathematical definition of the semantics of ASMs which justifies their intuitive (rule-based or pseudo-code) understanding, we refer the reader to the AsmBook Börger, E., Stärk R. Abstract State Machines. A Method for High-Level System Design and Analysis. Springer, 2003.



# Structure of a PASS Description

In this chapter, we describe the structure of a PASS specification. The structure of a PASS description consists of the subjects and the messages they exchange.

#### 2.1 Informal Description

#### 2.1.1 Subject

Subjects represent the behavior of an active entity. A specification of a subject does not say anything about the technology used to execute the described behavior. Subjects communicate with each other by exchanging messages. Messages have a name and a payload. The name should express the meaning of a message informally and the payloads are the data (business objects) transported. Internal subjects execute local activities such as calculating a price, storing an address, etc. External subjects represent interfaces for other business processes.

A subject sends messages to other subjects, receives messages from other subjects, and executes internal actions. All these activities are done in logical order which is defined in a subject's behavior specification.

In the following, we use an example of the informal definition of subjects. In the simple scenario of the business trip application, we can identify three subjects, namely the employee as the applicant, the manager as the approver, and the travel office as the travel arranger.

In general, there are the following types of subjects:

- Fully specified subjects
- Multi-subjects
- Single subjects
- Interface subjects

#### Fully specified Subjects

This is the standard subject type. A subject communicates with other subjects by exchanging messages. Fully specified subjects consist of the following components:

- Business Objects—Each subject has some business objects. A basic structure of business objects consists of an identifier, data structures, and data elements. The identifier of a business object is derived from the business environment in which it is used. Examples are business trip requests, purchase orders, packing lists, invoices, etc. Business objects are composed of data structures. Their components can be simple data elements of a certain type (e.g., string or number) or even data structures themselves.
- Sent messages—Messages which a subject sends to other subjects. Each
  message has a name and may transport some data objects as a payload.
  The values of these payload data objects are copied from internal business
  objects of a subject.
- Received messages—Messages received by a subject. The values of the payload objects are copied to business objects of the receiving subject.
- Input Pool—Messages sent to subjects are deposited in the input pool of the receiving subject. The input pool is a very important organizational and technical concept in this case.
- Behavior—The behavior of each subject describes in which logical order it sends messages, expects (receives) messages, and performs internal functions. Messages transport data from the sending to the receiving subject and internal functions operate on internal data of a subject.

#### Multsubjects and Multiprocesses

Multi-subjects are similar to fully specified subjects. If in a process model several identical subjects are required, e.g. to increase the throughput, this requirement can be modeled by a multi-subject. If several communicating subjects in a process model are multi-subjects they can be combined to a multi-process.

In a business process, there may be several identical sub-processes that perform certain similar tasks in parallel and independently. This is often the case in a procurement process when bids from multiple suppliers are solicited. A process or sub-process is therefore executed simultaneously or sequentially multiple times during overall process execution. A set of type-identical, independently running processes or sub-processes are termed multi-process. The actual number of these independent sub-processes is determined at runtime.

Multi-processes simplify process execution since a specific sequence of actions can be used by different processes. They are recommended for recurring structures and similar process flows.

An example of a multi-process can be illustrated as a variation of the current booking process. The travel agent should simultaneously solicit up to five bids before making a reservation. Once three offers have been received, one is selected and a room is booked. The process of obtaining offers from the hotels is identical for each hotel and is therefore modeled as a multi-process.

#### Single subjects

Single subjects can be instantiated only once. They are used if for the execution of a subject a resource is required which is only available once.

#### Interface Subjects

Interface subjects are used as interfaces to other process systems. If a subject of a process system sends or receives messages from a subject which belongs to another workflow system. These so-called interface subjects represent fully described subjects which belong to that other process system. Interface subjects specifications contain the sent messages, received messages and the reference to the fully described subject which they represent.

#### 2.1.2 Subject-to-Subject Communication

After the identification of subjects involved in the process (as process-specific roles), their interaction relationships need to be represented. These are the messages exchanged between the subjects. Such messages might contain structured information—so-called business objects.

The result is a model of the communication relationships between two or more subjects, which is referred to as a **Subject Interaction Diagram** (SID) or, synonymously, as a Communication Structure Diagram (CSD) (see figure 2.1).



Figure 2.1: Subject interaction diagram for the process 'business trip application'

Messages represent the interactions of the subjects during the execution of the process. We recommend naming these messages in such a way that they can be immediately understood and also reflect the meaning of each particular message for the process. In the sample 'business trip application', therefore, the messages are referred to as 'business trip request', 'rejection', and 'approval'.

Messages serve as a container for the information transmitted from a sending to a receiving subject. There are two options for the message content:

- Simple data types—Simple data types are string, integer, character, etc. In the business trip application example, the message 'business trip request' can contain several data elements of type string (e.g., destination, the reason for traveling, etc.), and of type number (e.g., duration of the trip in days).
- Business Objects—Business Objects in their general form are physical and logical 'things' that are required to process business transactions. We consider data structures composed of elementary data types, or even other data structures, as logical business objects in business processes. For instance, the business object 'business trip request' could consist of the data structures 'data on applicants', 'travel data', and 'approval data' with each of these in turn containing multiple data elements.

#### 2.1.3 Message Exchange

In the previous subsection, we have stated that messages are transferred between subjects and have described the nature of these messages. What is still missing is a detailed description of how messages can be exchanged, how the information they carry can be transmitted, and how subjects can be synchronized. These issues are addressed in the following sub-sections.

Synchronous and Asynchronous Exchange of Messages

In the case of an asynchronous exchange of messages, sender and receiver wait for each other until a message can be passed on. If a subject wants to send a message and the receiver (subject) is not yet in a corresponding receive state, the sender waits until the receiver can accept this message. Conversely, a recipient has to wait for the desired message until it is made available by the sender.

The disadvantage of the synchronous method is a close temporal coupling between sender and receiver. This raises problems in the implementation of business processes in the form of workflows, especially across organizational borders. As a rule, these also represent system boundaries across which a tight coupling between sender and receiver is usually very costly. For long-running processes, sender and receiver may wait for days, or even weeks, for each other.

Using asynchronous messaging, a sender can send anytime. The subject puts a message into a message buffer from which it is picked up by the receiver. However, the recipient sees, for example, only the oldest message in the buffer (in case the buffer is implemented as FIFO or LIFO storage) and can only accept this particular one. If it is not the desired message, the receiver is blocked, even though the message may already be in the buffer, but in a buffer space that is not visible to the receiver. To avoid this, the recipient has the alternative to take all of the messages from the buffer and manage them by himself. In this way, the receiver can identify the appropriate message and process it as soon as he or she needs it. In asynchronous messaging, sender and receiver are only loosely coupled. Practical problems can arise due to the in reality limited physical size of the receive buffer, which does not allow an unlimited number of messages to be recorded. Once the physical boundary of the buffer has been reached due to high occupancy, this may lead to unpredictable behavior of workflows derived from a business process specification. To avoid this, the input-pool concept has been introduced in PASS. Nevertheless, the number of messages must always be limited, as a business process must have the capacity to handle all messages to maintain some sort of service level.

#### Exchange of Messages via the Input Pool

To solve the problems outlined in the asynchronous message exchange, the input pool concept has been developed. Communication via the input pool is considerably more complex than previously shown; however, it allows transmitting an unlimited number of messages simultaneously. Due to its high practical importance, it is considered as a basic construct of PASS.

Consider the input pool as a mailbox of work performers, the operation of which is specified in detail. Each subject has its input pool. It serves as a message buffer to temporarily store messages received by the subject, independent of the sending communication partner. The input pools are therefore inboxes for flexible configuration of the message exchange between the subjects. In contrast

to the buffer in which only the front message can be seen and accepted, the pool solution enables picking up (i.e. removing from the buffer) any message. For a subject, all messages in its input pool are visible.

The input pool has the following configuration parameters (see figure 2.2):

- Input-pool size—The input-pool size specifies how many messages can be stored in an input pool, regardless of the number and complexity of the message parameters transmitted with a message. If the input pool size is set to zero, messages can only be exchanged synchronously.
- Maximum number of messages from specific subjects—For an input pool, it can be determined how many messages received from a particular subject may be stored simultaneously in the input pool. Again, a value of zero means that messages can only be accepted synchronously.
- Maximum number of messages with specific identifiers—For an input pool, it can be determined how many messages of a specifically identified message type (e.g., invoice) may be stored simultaneously in the input pool, regardless of what subject they originate from. A specified size of zero allows only for synchronous message reception.
- Maximum number of messages with specific identifiers of certain subjects—
  For an input pool, it can be determined how many messages of a specific
  identifier of a particular subject may be stored simultaneously in the input
  pool. The meaning of the zero value is analogous to the other cases.



Figure 2.2: Configuration of Input Pool Parameters

By limiting the size of the input pool, its ability to store messages may be blocked at a certain point in time during process runtime. Hence, messaging synchronization mechanisms need to control the assignment of messages to the input pool. Essentially, there are three strategies to handle access to input pools:

• Blocking the sender until the input pool's ability to store messages has been reinstated—Once all slots are occupied in an input pool, the sender is blocked until the receiving subject picks up a message (i.e. a message is removed from the input pool). This creates space for a new message. In case several subjects want to put a message into a fully occupied input pool, the subject that has been waiting longest for an empty slot is allowed to send. The procedure is analogous if corresponding input pool parameters do not allow storing the message in the input pool, i.e., if the corresponding number of messages of the same name or from the same subject has been put into the input pool.

- Delete and release of the oldest message—In case all the slots are already
  occupied in the input pool of the subject addressed, the oldest message is
  overwritten with the new message.
- Delete and release of the latest message—The latest message is deleted from the input pool to allow depositing of the new incoming message. If all the positions in the input pool of the addressed subject are taken, the latest message in the input pool is overwritten with the new message. This strategy applies analogously when the maximum number of messages in the input pool has been reached, either concerning sender or message type.

#### 2.2 OWL DESCRIPTION

The various building blocks of a PASS description and their relations are defined in an ontology. The following figure 2.3 gives an overview of the structure of the PASS specifications.



Figure 2.3: Elements of PASS Process Models

The class PASSProcessModelElement has five subclasses (subclass relations 084, 055, 069, 001 and 085 in figure 2.3). Only the classes PASSProcess-Model, DataDescriptionCOmponent, InteractionDescribingComponent are used for defining the structural aspects of a process specification in PASS. The classes BehaviorDescribingComponent and SubjectBehavior define the dynamic aspects. In which sequences messages are sent and received or internal actions are executed. These dynamic aspects are considered in detail in the next chapter.

#### 2.2.1 PASS Process Model

The central entities of a PASS process model are subjects which represent the active elements of a process and the messages they exchange. Messages transport data from one subject to others (payload). Figure 2.4 shows the corresponding ontology for the PASS process models.

PASSProcessModelElements and PASSProcessModells have a name. This is described with the property hasAdditionalAttribute (property 208 in 2.3). The class subject and the class MessageExchange have the relation hasRelation toModelComponent to the class PASSProcessModel (property 226 in 2.3). The properties hasReceiver and hasSender express that a message has a sending and receiving subject (properties 225 and 227 in 2.3) whereas the properties hasOutgoingMessageExchange and hasIncomingMessageExchange



Figure 2.4: PASS Process Modell

define which messages are sent or received by a subject. Property hasStart-Subject (property 229 in 2.3) defines a start subject for a PASSProcessModell. A start subject is a subclass of the class subject (subclass relation 122 in 2.3).

#### 2.2.2 Data Describing Component

Each subject encapsulates data (business objects). The values of these data elements can be transferred to other subjects. The following figure 2.5 shows the ontology of this part of the PASS-ontology.



Figure 2.5: Data Description Component

Three subclasses are derived from the class DtadescribingCombonent (in

figure 2.5 are these the relations 060, 056 and 066). The subclass PayLoadDescription defines the data transported by messages. The relation of Payload-Descriptions to messages is defined by the property ContainsPayloadDescription (in figure 2.5 number 204).

There are two types of payloads. The class PayloadPhysicalObjectDescription is used if a message will be later implemented by a physical transport like a parcel. The class PayLoadDataObjectDefinition is used to transport normal data (Subclass relations 068 and 67 in figure 2.5). These payload objects are also a subclass of the class DataObjectDefinition (Subclass relation 058 in figure 2.5).

Data objects have a certain type. Therefore class DataObjectDefinition has the relation hasDatatype to class DataTypeDefinition (property 212 in figure 2.5). Class DataTypeDefinition has two subclasses (subclass relations 061 and 065 in figure 2.5). The subclass ModelBuiltInDataTypes are user defined data types whereas the class CustomOfExternalDataTypeDefinition is the superclass of JSON, OWL or XML based data type definitions(subclass relations 062, 63 and 064 in figure 2.5).

#### 2.2.3 Interaction Describing Component

The following figure 2.6 shows the subset of the classes and properties required for describing the interaction of subjects.



Figure 2.6: Subject Interaction Diagram

The central classes are Subject and MessageExchange. Between these classes are defined the properties hasIncomingTransition (in figure 2.6 number 217) and hasOutgoingTransition (in figure 2.6 number 224). This properties defines that subjects have incoming and outgoing messages. Each message has a sender and a receiver (in figure 2.6 number 227 and number 225). Messages have a type. This is expressed by the property hasMessageType (in figure 2.6 number 222). Instead of the property 222 a message exchange may have the property 201 if a list of messages is used instead of a single message.

Each subject has an input pool. Input pools have three types of constraints (see section 2.1.3). This is expressed by the property references (in figure 2.6 number 236) and InputPoolConstraints (in figure 2.6 number 219). Con-

straints which are related to certain messages have references to the class MessageSpecification.

There are four subclasses of the class subject (in figure 2.6 number 079, 080, 081 and 082). The specialties of these subclasses are described in section 2.1.1. A class StartSubject (in figure 2.6 number 83) which is a subclass of class subject denotes the subject in which a process instance is started.

All other relations are subclass relations. The class PASSProcessModelElement is the central PASS class. From this class, all the other classes are derived (see next sections). From class InteractionDescribingCOmponent all the classes required for describing the structure of a process system are derived.

#### 2.3 ASM DESCRIPTION

In this chapter, only the structure of a PASS model is considered. Execution has not been considered. Because ASM only considers execution aspects in this chapter an ASM specification of the structural aspects does not make sense. The execution semantics is part of chapter 4.



## **Execution of a PASS Model**

#### 3.1 Informal Description of Subject Behavior and its Execution

The execution of the subject means sending and receiving messages and executing internal activities in the defined order. In the following sections, it is described what sending and receiving messages and executing internal functions means.

#### 3.1.1 Sending Messages

Before sending a message, the values of the parameters to be transmitted need to be determined. In case the message parameters are simple data types, the required values are taken from local variables or business objects of the sending subject, respectively. In the case of business objects, a current instance of a business object is transferred as a message parameter.

The sending subject attempts to send the message to the target subject and store it in its input pool. Depending on the described configuration and status of the input pool, the message is either immediately stored or the sending subject is blocked until delivery of the message is possible.

In the sample business trip application, employees send completed requests using the message 'send business trip request' to the manager's input pool. From a send state, several messages can be sent as an alternative. The following example shows a send state in which the message M1 is sent to the subject S1, or the message M2 is sent to S2, therefore referred to as alternative sending (see Figure 3.1). It does not matter which message is attempted to be sent first. If the send mechanism is successful, the corresponding state transition is executed. In case the message cannot be stored in the input pool of the target subject, sending is interrupted automatically, and another designated message is attempted to be sent. A sending subject will thus only be blocked if it cannot send any of the provided messages.

By specifying priorities, the order of sending can be influenced. For example, it can be determined that the message M1 to S1 has a higher priority than the message M2 to S2. Using this specification, the sending subject starts with sending message M1 to S1 and then tries only in case of failure to send message M2 to S2. In case of message M2 can also not be sent to the subject S2, the attempts to send start from the beginning.



Figure 3.1: Example of alternative sending

The blocking of subjects when attempting to send can be monitored over time with the so-called timeout. The example in Figure 3.2 shows with 'Timeout: 24 h' an additional state transition which occurs when within 24 hours one of the two messages cannot be sent. If a value of zero is specified for the timeout, the process immediately follows the timeout path when the alternative message delivery fails.



Figure 3.2: Send using time monitoring

#### 3.1.2 Receiving Messages

Analogously to sending, the receiving procedure is divided into two phases, which run inversely to send.

The first step is to verify whether the expected message is ready for being picked up. In the case of synchronous messaging, it is checked whether the sending subject offers the message. In the asynchronous version, it is checked whether the message has already been stored in the input pool. If the expected message is accessible in either form, it is accepted, and in a second step, the corresponding state transition is performed. This leads to a takeover of the message parameters of the accepted message to local variables or business objects of the receiving subject. In case the expected message is not ready, the receiving subject is blocked until the message arrives and can be accepted.

In a certain state, a subject can expect alternatively multiple messages. In this case, it is checked whether any of these messages are available and can be accepted. The test sequence is arbitrary unless message priorities are defined. In this case, an available message with the highest priority is accepted. However, all other messages remain available (e.g., in the input pool) and can be accepted in other receive states.

Figure 3.3 shows a receive state of the subject 'employee' which is waiting for the answer regarding a business trip request. The answer may be an approval or a rejection.



Figure 3.3: Example of alternative receiving

Just as with sending messages, also receiving messages can be monitored over time. If none of the expected messages are available and the receiving subject is therefore blocked, a time limit can be specified for blocking. After the specified time has elapsed, the subject will execute the transition as it is defined for the timeout period. The duration of the time limit may also be dynamic, in the sense that at the end of a process instance the process stakeholders assigned to the subject decide that the appropriate transition should be performed. We then speak of a manual timeout.



Figure 3.4: Time monitoring for message reception

Figure 3.4 shows that, after waiting three days for the manager's answer, the employee sends a corresponding request.

Instead of waiting for a message for a certain predetermined period of time, the waiting can be interrupted by a subject at all times. In this case, a reason for abortion can be appended to the keyword 'breakup'. In the example shown in Figure 3.5, the receiving state is left due to the impatience of the subject.

#### 3.1.3 Standard Subject Behavior

The possible sequences of a subject's actions in a process are termed subject behavior. States and state transitions describe what actions a subject performs and how they are interdependent. In addition to the communication for sending and receiving, a subject also performs so-called internal actions or functions.

States of a subject are therefore distinct: There are actions on the one hand, and communication states to interact with other subjects (receive and send) on the other. This results in three different types of states of a subject. Figure 3.6 shows the different types of states with the corresponding symbols.



Figure 3.5: Message reception with manual interrupt



Figure 3.6: State types and coresponding symbols

In S-BPM, work performers are equipped with elementary tasks to model their work procedures: sending and receiving messages and immediate accomplishment of a task (function state).

In case an action associated with a state (send, receive, do) is possible, it will be executed, and a state transition to the next state occurs. The transition is characterized through the result of the action of the state under consideration: For a send state, it is determined by the state transition to which subject what information is sent. For a receive state, it becomes evident in this way from what subject it receives which information. For a function state, the state transition describes the result of the action, e.g., that the change of a business object was successful or could not be executed.

The behavior of subjects is represented by modelers using Subject Behavior Diagrams (SBD). Figure 3.7 shows the subject behavior diagram depicting the behavior of the subjects 'employee', 'manager', and 'travel office', including the associated states and state transitions.



Figure 3.7: Subject behavior diagram for the subjects 'employee', 'manager', and 'travel office'

#### 3.1.4 Extended Behavior

To reduce description efforts some additional specification constructs have been added to PASS. These constructs are informally explained in the following sections.

#### Macros

Quite often, a certain behavior pattern occurs repeatedly within a subject. This happens in particular when in various parts of the process identical actions need to be performed. If only the basic constructs are available to this respect, the same subject behavior needs to be described many times.

Instead, this behavior can be defined as a so-called behavior macro. Such a macro can be embedded at different positions of a subject behavior specification as often as required. Thus, variations in behavior can be consolidated, and the overall behavior can be significantly simplified.

The brief example of the business trip application is not an appropriate scenario to illustrate here the benefit of the use of macros. Instead, we use an example of order processing. Figure 3.8 contains a macro for the behavior to process customer orders. After placing the 'order', the customer receives an order confirmation; once the 'delivery' occurs, the delivery status is updated.

As with the subject, the start and end states of a macro also need to be identified. For the start states, this is done similarly to the subjects by putting black triangles in the top left corner of the respective state box. In our example, 'order' and 'delivery' are the two correspondingly labeled states. In general, this means that a behavior can initiate a jump to different starting points within a macro.

The end of a macro is depicted by gray bars, which represent the successor states of the parent behavior. These are not known during the macro definition.



Figure 3.8: Behavior macro class 'request for approval'

Figure 3.9 shows a subject behavior in which the modeler uses the macro 'order processing' to model both a regular order (with purchase order), as well as a call order.

The icon for a macro is a small table, which can contain multiple columns in the first line for different start states of the macro. The valid start state for a specific case is indicated by the incoming edge of the state transition from the calling behavior. The middle row contains the macro name, while the third row again may contain several columns with possible output transitions, which end in states of the surrounding behavior.

The left branch of the behavioral description refers to regular customer orders. The embedded macro is labeled correspondingly and started with the status 'order', namely through linking the edge of the transition 'order accepted' with this start state. Accordingly, the macro is closed via the transition 'delivery status updated'.

The right embedding deals with call orders according to organizational frameworks and frame contracts. The macro starts therefore in the state 'delivery'. In this case, it also ends with the transition 'delivery status updated'.



Figure 3.9: Subject behavior for order processing with macro integration

Similar subject behavior can be combined into macros. When being specified, the environment is initially hidden, since it is not known at the time of modeling.

Guards: Exception Handling and Extensions

**Exception Handling**— Handling of an exception (also termed message guard, message control, message monitoring, message observer) is a behavioral description of a subject that becomes relevant when a specific, exceptional situation occurs while executing a subject behavior specification. It is activated when a corresponding message is received, and the subject is in a state in which it can respond to the exception handling. In such a case, the transition to exception handling has the highest priority and will be enforced.

Exception handling is characterized by the fact that it can occur in a process in many behavior states of subjects. The receipt of certain messages, e.g., to abort the process, always results in the same processing pattern. This pattern would

have to be modeled for each state in which it is relevant. Exception handling causes high modeling effort and leads to complex process models since from each affected state a corresponding transition has to be specified. To prevent this situation, we introduce a concept similar to exception handling in programming languages or interrupt handling in operating systems.

To illustrate the compact description of exception handling, we use again the service management process with the subject 'service desk' introduced in section 5.6.5. This subject identifies a need for a business trip in the context of processing a customer order—an employee needs to visit the customer to provide a service locally. The subject 'service desk' passes on a service order to an employee. Hence, the employee issues a business trip request. In principle, the service order may be canceled at any stage during processing up to its completion. Consequently, this also applies to the business trip application and its subsequent activities.

Below, it is first shown how the behavior modeling looks without the concept of exception handling. The cancellation message must be passed on to all affected subjects to bring the process to a defined end. Figure 3.10 shows the communication structure diagram with the added cancellation messages to the involved subjects.



Figure 3.10: Communication structure diagram (CSD) of the business trip application

A cancellation message can be received by the employee either while filling out the application or while waiting for the approval or rejection message from the manager. Concerning the behavior of the subject 'employee', the state 'response received from manager' must also be enriched with the possible input message containing the cancellation and the associated consequences (see Figure 3.11). The verification of whether filing the request is followed by a cancellation is modeled through a receive state with a timeout. In case the timeout is zero, there is no cancellation message in the input pool and the business trip request is sent to the manager. Otherwise, the manager is informed of the cancellation and the process terminates for the subject 'employee'.

A corresponding adjustment of the behavior must be made for each subject which can receive a cancellation message, including the manager, the travel office, and the interface subject 'travel agent'.

This relatively simple example already shows that taking such exception messages into account can quickly make behavior descriptions confusing to understand. The concept of exception handling, therefore, should enable supplementing exceptions to the default behavior of subjects in a structured and compact form.

Instead of, as shown in Figure 3.11, modeling receive states with a timeout zero and corresponding state transitions, the behavioral description is enriched with the exception handling 'service cancellation'. Its initial state is labeled with the states from which it is branched to, once the message 'service cancellation' is received. In the example, these are the states 'fill out Bt-request' and 'receive



Figure 3.11: Handling the cancelation message using existing constructs



Figure 3.12: Behavior of subject 'employee' with exception handling

answer from manager'. Each of them is marked by a triangle on the right edge of the state symbol. The exception behavior leads to an exit of the subject after the message 'service cancellation' has been sent to the subject 'manager'.

A subject behavior does not necessarily have to be brought to an end by an exception handling; it can also return from there to the specified default behavior. Exception handling behavior in a subject may vary, depending on from which state or what type of message (cancellation, temporary stopping of the process, etc.) it is called. The initial state of exception handling can be a receive state or a function state.

Messages, like 'service cancellation', that lead to exception handling always have higher priority than other messages. This is how modelers express that specific messages are read in a preferred way. For instance, when the approval message from the manager is received in the input pool of the employee, and

shortly thereafter the cancellation message, the latter is read first. This leads to the corresponding abort consequences.

Since now additional messages can be exchanged between subjects, it may be necessary to adjust the corresponding conditions for the input-pool structure. In particular, the input-pool conditions should allow storing an interrupt message in the input pool. To meet organizational dynamics, exception handling and extensions are required. They allow taking not only discrepancies but also new patterns of behavior, into account.

**Behavior Extensions**— When exceptions occur, currently running operations are interrupted. This can lead to inconsistencies in the processing of business objects. For example, the completion of the business trip form is interrupted once a cancelation message is received, and the business trip application is only partially completed. Such consequences are considered acceptable, due to the urgency of cancelation messages. In less urgent cases, the modeler would like to extend the behavior of subjects in a similar way, however, without causing inconsistencies. This can be achieved by using a notation analogous to exception handling. Instead of denoting the corresponding diagram with 'exception', it is labeled with 'extension'.

Behavior extensions enrich a subject's behavior with behavior sequences that can be reached from several states equivocally.

For example, the employee may be able to decide on his own that the business trip is no longer required and withdraw his trip request. Figure 3.13 shows that the employee can cancel a business trip request in the states 'send business trip request to manager' and 'receive answer from manager'. If the transition 'withdraw business trip request' is executed in the state 'send business trip request to manager', then the extension 'F1' is activated. It leads merely to canceling of the application. Since the manager has not yet received a request, he does not need to be informed.

In case the employee decides to withdraw the business trip request in the state 'receive answer from manager', then extension 'F2' is activated. Here, first the supervisor is informed, and then the business trip is canceled.



Figure 3.13: Subject behavior of employee with behavior extensions

Alternative Actions (Freedom of Choice)

So far, the behavior of subjects has been regarded as a distinct sequence of internal functions, send and receive activities. In many cases, however, the sequence of internal execution is not important.

Certain sequences of actions can be executed overlapping. We are talking about freedom of choice when accomplishing tasks. In this case, the modeler does not specify a strict sequence of activities. Rather, a subject (or concrete entity assigned to a subject) will organize to a particular extent its own behavior at runtime.

The freedom of choice with respect to behavior is described as a set of alternative clauses which outline several parallel paths. At the beginning and end of each alternative, switches are used: A switch set at the beginning means that this alternative path is mandatory to get started, a switch set at the end means that this alternative path must be completely traversed. This leads to the following constellations:

- Beginning is set/end is set: Alternative needs to be processed to the end.
- Beginning is set/end is open: Alternative must be started but does not need to be finished.
- Beginning is open/end is set: Alternative may be processed, but if so must be completed.
- Beginning is open/end is open: Alternative may be processed but does not have to be completed.

The execution of an alternative clause is considered complete when all alternative sequences, which were begun and had to be completed, have been entirely processed and have reached the end operator of the alternative clause.

Transitions between the alternative paths of an alternative clause are not allowed. An alternate sequence starts in its start point and ends entirely within its endpoint.

Figure 3.14 shows an example for modeling alternative clauses. After receiving an order from the customer, three alternative behavioral sequences can be started, whereby the leftmost sequence, with the internal function 'update order' and sending the message 'deliver order' to the subject 'warehouse', must be started in any case. This is determined by the 'X' in the symbol for the start of the alternative sequences (the gray bar is the starting point for alternatives). This sequence must be processed through to the end of the alternative because it is also marked in the end symbol of this alternative with an 'X' (gray bar as the endpoint of the alternative).

The other two sequences may, but do not have to be, started. However, in case the middle sequence is started, i.e., the message 'order arrived' is sent to the sales department, it must be processed to the end. This is defined by an appropriate marking in the end symbol of the alternatives ('X' in the lower gray bar as the endpoint of the alternatives). The rightmost path can be started but does not need to be completed.

The individual actions in the alternative paths of an alternative clause may be arbitrarily executed in parallel and overlapping, or in other words: A step can be executed in an alternative sequence, and then be followed by an action in any



Figure 3.14: Example of Process Alternatives

other sequence. This gives the performer of a subject the appropriate freedom of choice while executing his actions.

In the example, the order can thus first be updated, and then the message 'order arrived' sent to sales. Now, either the message 'deliver order' can be sent to the warehouse or one of the internal functions, 'update sales status' or 'collect data for statistics', can be executed.

The left alternative must be executed completely, and the middle alternative must also have been completed, if the first action ('inform sales' in the example) is executed. Only the left alternative can be processed because the middle one was never started. Alternatively, the sequence in the middle may have already reached its endpoint, while the left is not yet complete. In this case, the process waits until the left one has reached its endpoint. Only then will the state 'confirmation' be reached in the alternative clause. The right branch neither needs to be started, nor to be completed. It is therefore irrelevant for the completion of the alternative construct.

The leeway for freedom of choice with regards to actions and decisions associated with work activities can be represented through modeling the various alternatives—situations can thus be modeled according to actual regularities and preferences.

# 3.2 Ontology of Subject Behavior Description

Each subject has a base behavior (see property 202 in 3.15) and may have additional subject behaviors (see class SubjectBehavior in 3.15) for macros and guards. All these behaviors are subclasses of the class SubjectBehavior. The

details of these behaviors are defined as state transition diagrams (PASS behavior diagrams). These behavior diagrams are represented in the ontology with the class BehaviorDescribingComponent (see figure 3.15). The behavior diagrams have the relation belongsTo to the class SubjectBehavior. The other classes are needed for embeddingsubjects into the subject interaction diagram (SID) of a PASS specification (see chapter 2.2).



Figure 3.15: Structure of Subject Behavior Specification

# 3.2.1 Behavior Describing Component

The following figure shows the details of the class BehaviorDescribingComponent. This class has the subclasses State, Transition and TranssitionCondition (see figure 3.16). The subclasses of the state represent the various types of states (class relations 025, 014 und 024 in 3.16). The standard states DoSTates, SendState and ReceiveState are subclasses of the class StandardPASSState (class relations 114, 115 und 116 in 3.16). The subclass relations 104 and 020 allow that there exist a start state (class InitialStatOfBehavior in 3.16) and none or several end states (see subclass relation 020 in figure 3.16) The fact that there must be at least one start state and none or several end states is defined by so called axioms which are not shown in figure 3.16.

States can be starting and/or endpoints of transitions (see properties 228 and 230 in figure 3.16). This means a state may have outgoing and/or incoming transitions (see properties 224 and 217 in figure 3.16). Each transition is controlled by a transition condition which must be true before a behavior follows a transition from the source state to the target state.

# States

As shown in figure 3.17 the class state has a subclass StandardPASSState (subclass relation 025) which have the subclasses ReceiveState, SendState and DoState(subclass relations 027, 026, 025). A state can be a start state (subclass InitialStateOfBehavior subclass relation 022). Besides these standard states there are macro states (subclass 024). Macro states contain a reference (subclass 029) to the corresponding macro (Property 201).

More complex states are choice segments (subclass relation 014). A choice segment contains choice segment paths (subclass 015 and property 200). Each choice segment path can be of one of four types. If a segment path is started



Figure 3.16: Subject Behavior describingComponent



Figure 3.17: Details of States

than it must be finished or not or a segment path must be started and must be finished or not (subclass relations 16, 17, 18 and 19).

#### **Transitions**

Transitions connect the source state with the target state (see figure 3.16). A transition can be executed if the transition condition is valid. This means the state of a behavior changes from the current state which is the source state to the target state. In PASS there are two basic types of transitions, DoTransitions and CommunicationTransitions (subclasses 34 and 31 in figure 3.18). The class CommunicationTransition is divided into the subclasses ReceiveTransition and SendTransition (subclasses 32 and 33 in figure 3.18). Each transition has depending from its type a corresponding transition condition (property 231 in figure 3.18) which defines a data condition which must be valid in in order to execute a transition.

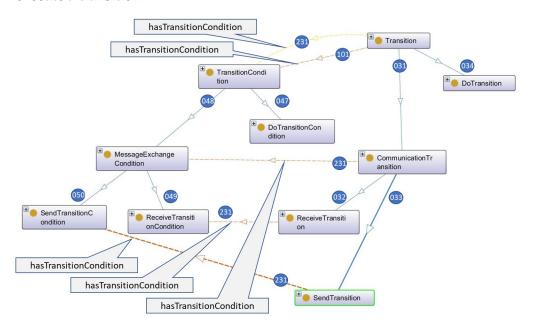


Figure 3.18: Details of transitions

#### 3.3 ASM Definition of Subject Execution

This section provides a commented overview of the CoreASM PASS Reference Implementation provided in Appendix C. Only a reduced set of language elements are shown here, advanced Functions and implementation details are left out, which allowed it to shorten various rules for a focus on their core semantics. Therefore all contents of this section are a non-normative introduction to the topic of formal execution semantics.

There are some conceptional differences between the reference implementation and the OWL description. The End State is a distinguished state instead a property on a Do State or Receive State. Choice Segment Paths are always both mandatory to start and end, also they have to be started with the Modal Split Function and joined with the Modal Join Function. Furthermore the reference implementation supports advanced features that are not covered by the OWL

description, for example the Mobility of Channels concept and CorrelationIDs. A complete comparision of the conceptual differences is given in C.1.

#### 3.3.1 Architecture

The reference implementation is composed by three main components. The specification of the execution semantics is defined with Abstract State Machines (ASM) and written in the CoreASM dialect. The specification is interpreted by the CoreASM Engine, which is part of the open-source CoreASM Framework and written for the Java Virtual Machine. The third component is a console application that is connected to the CoreASM Engine and enables an interactive abstract process evaluation. A detailed description of the architecture is given in C.2.

#### 3.3.2 Foundation

The specification makes use of asynchronous multi-agent Turbo ASMs, executing each Subject instance with one ASM-agent each. It supports the concurrent execution of multiple process models and multiple instances of each process model. Each instance has a unique *ProcessInstanceID* (short: PI) assigned. Within a process instance multiple instances of a subject can occur (MultiSubject concept). Each subject instance has an agent assigned, to distinguish the subject instances. This agent is identified by it name. Therefore a subject instance is identified by the tuple (Process Model ID, Process Instance ID, Subject ID, Agent Name). This tuple is called *Channel* (short: ch), as it used in the mobility of channels concept in order to support distributed communication patterns.

In the interpreter, each subject instance has an ASM Agent assigned, that keeps track of its current state, where the meaning of state is in the sense of which subject data is present, the content of the inputpool queues and also the active SBD states. This state is stored in ASM functions and assigned to the *Channel*.

```
// ASM Agent -> Channel
function channelFor : Agents -> LIST

derived processIDFor(a) = processIDOf(channelFor(a))
derived processInstanceFor(a) = processInstanceOf(channelFor(a))
derived subjectIDFor(a) = subjectIDOf(channelFor(a))
derived agentFor(a) = agentOf(channelFor(a))

derived processIDOf(ch) = nth(ch, 1)
derived processInstanceOf(ch) = nth(ch, 2)
derived subjectIDOf(ch) = nth(ch, 3)
derived agentOf(ch) = nth(ch, 4)
```

Listing 1: Channel definitions

In the function channelFor the assignment from the ASM Agents to their *Channels* is stored. The derived functions are used to lookup certain tuple elements of the channel.

Analogous to programming languages Data Objects are called *Variables*. Their scope is either bound to a Subject or a Macro Instance and can only be accessed

by the Subject. Variables are identified by their name and have an explicit data type and a value.

Variables are stored in the variable function which maps from the Subject's Channel, the scoped Macro Instance ID and the Variable's name to a pair of the data type and value. For Variables that are not scoped to a Macro Instance, and are therefore accessible for any state in any Macro Instance of the Subject, the unused Macro Instance ID 0 is used.

The function variableDefined is used to keep track of Variables that are in use, so that their content can be reset upon the termination of a Macro Instance or the Subject, respectively.

```
// Channel * macroInstanceNumber * varname -> [vartype, content]
function variable : LIST * NUMBER * STRING -> LIST

// Channel -> Set[(macroInstanceNumber, varname)]
function variableDefined : LIST -> SET
```

Listing 2: variable

# 3.3.3 Interaction Definitions

The interaction between Subjects is implemented as asynchronous Message exchange. Messages are placed into the Inputpool of the receiver where they are then retrieved from when the receiver is in a Receive state.

# Messages

The message content consists of the actual payload and its data type. As the reference implementation is intended only for an abstract process execution the payload / business objects are abstracted to be just a text given as string.

For the communication with MultiSubjects, i.e. sending the same Message to multiple Agents of one Subject, the *all-or-none* strategy is used. This is accomplished by separating the sending of a Message into two phases: first a reservation Message is placed at each receiver into the Inputpool. Only after all reservations could be placed they are then replaced with the actual Message.

# Inputpool

The Inputpool is structured into multiple FIFO queues per Subject ID of the sender, the Messagetype and the CorrelationID.

The Inputpool can be limited to allow a maximum number of Messages and reservations per sender Subject ID and Messagetype; in case the Inputpool Limit is reached no additional reservation can be placed. To support the proper termination of a Subject a specific queue (and also the complete Inputpool) can be closed, in which case no additional reservation can be placed into it, too.

The queues of the Inputpool are stored in the inputPool function. The function inputPoolDefined is used to keep track of the locations of the queues that are in use, so that their content can be checked upon termination. The function inputPoolClosed is used to store whether a queue is closed. The special location inputPoolClosed(ch, undef, undef, undef) is used to store whether the complete Inputpool is closed.

The function derived availableMessages (receiverChannel, sender-SubjectID, msgType, ipCorrelationID) returns the Messages from the

```
// Channel * senderSubjID * msgType * correlationID
// -> [msg1, msg2, ...]
function inputPool : LIST * STRING * STRING * NUMBER -> LIST
/* stores all locations where an inputPool was defined */
// Channel -> {[senderSubjID, msgType, correlationID], ...}
function inputPoolDefined : LIST -> SET
// Channel * senderSubjID * msgType * correlationID
function inputPoolClosed : LIST * STRING * STRING * NUMBER
-> BOOLEAN
```

Listing 3: inputPool

location inputPool(receiverChannel, senderSubjectID, msgType, ip-CorrelationID) that can be received, i.e. that it filters out reservations and reduces Messages from the same sender to only the oldest one.

# 3.3.4 Subject Behavior

The Subject Behavior consists at least of one *Main Macro* and might have an arbitrary number of minor Macros, called *Additional Macros*.

```
rule SubjectBehavior =
  MacroBehavior(1)
```

Listing 4: SubjectBehavior

The MacroBehavior rule controls the evaluation of all active states for the given Macro Instance ID MI.

```
rule MacroBehavior(MI) =
  let ch = channelFor(self) in
  choose stateNumber in activeStates(ch, MI) do
    Behavior(MI, stateNumber)
```

Listing 5: MacroBehavior

From that list a state stateNumber is chosen to be evaluated with the Behavior rule.

The evaluation of a state is structured into three main phases: initialization, the state function and an optional transition behavior.

The state function is responsible for the selection of an outgoing transition and has to supervise the Timeout. It also has to enable and disable its outgoing transitions, meaning that transitions can be available depending on some dynamic state, for example whether a certain Message is present in the Inputpool. Usually the outgoing transition will be selected by the environment, however with auto-transitions it is possible that such an transition is automatically selected as soon as it becomes enabled and as long as there are no other transitions to select from.

In the beginning the Behavior rule initializes the state with the StartState rule, which will set initializedState to true. If the function should not be

```
rule Behavior(MI, currentStateNumber) =
 let s = currentStateNumber,
    ch = channelFor(self) in
  if (initializedState(ch, MI, s) != true) then
    StartState(MI, s)
  else if (abortState(MI, s) = true) then
    AbortState(MI, s)
  else if (completed(ch, MI, s) != true) then
    Perform(MI, s)
  else if (initializedSelectedTransition(ch, MI, s) != true) then
    StartSelectedTransition(MI, s)
  let t = selectedTransition(ch, MI, s) in
    if (transitionCompleted(ch, MI, t) != true) then
      PerformTransition(MI, s, t)
    else
      Proceed(MI, s, targetStateNumber(processIDFor(self), t))
```

Listing 6: Behavior

aborted the Perform rule calls the state behavior of the underlying function until it is completed. In the next phase the selected transition will be initialized by the StartSelectedTransition rule and the transition behavior will be performed with the PerformTransition rule until it is completed as well. As last step the Proceed rule removes the current state and adds the selected transition's target state.

The environment has full read access to all functions of this semantics and knows therefore each running Subject, their Macro Instances and their active states.

To define a homogeneous interface between the Function semantics and the environment we define the function wantInput to be written by an Function when it requires an external input, for example if an outgoing transition has to be chosen.

```
// Channel * MacroInstanceNumber * StateNumber -> Set[String]
function wantInput : LIST * NUMBER * NUMBER -> SET
```

Listing 7: wantInput

This function is read by our console application for all active states to present the user a list of possible decisions that can be made.

The environment then writes its external input in a corresponding function, for example for a transition decision into the selectedTransition function, and clears the wantInput function of that state.

The SelectTransition rule adds the "TransitionDecision" requirement into the wantInput function.

If the wantInput function already contains the "TransitionDecision" requirement nothing needs to be done and another state can be evaluated by the MacroBehavior rule. The same applies if there are no outgoing transitions enabled. Otherwise the requirement is added to the wantInput function.

Listing 8: SelectTransition

#### 3.3.5 Internal Action

The *Internal Action* is used to model DoStates, Subject-internal activities and decisions. It is labeled with a textual description of the activity that the Agent should perform. The outgoing transitions are labeled with a textual description of the possible execution results. Since the activity is performed outside of the interpreter all outgoing transitions are enabled from the beginning on and no transition rule has to be defined. Therefore the state function only consists of the timeout check and transition selection.

```
rule StartInternalAction(MI, currentStateNumber) = {
   StartTimeout(MI, currentStateNumber)

EnableAllTransitions(MI, currentStateNumber)
}
```

Listing 9: StartInternalAction

The initialization of the Internal Action starts the Timeout and enables all outgoing transitions.

```
rule PerformInternalAction(MI, currentStateNumber) =
  let ch = channelFor(self),
      s = currentStateNumber in
  if (shouldTimeout(ch, MI, s) = true) then {
     SetCompleted(MI, s)
      ActivateTimeout(MI, s)
  }
  else if (selectedTransition(ch, MI, s) != undef) then
     SetCompleted(MI, s)
  else
     SelectTransition(MI, s)
```

Listing 10: PerformInternalAction

The state function checks if a Timeout should be activated; otherwise the SelectTransition rule is called until the selectedTransition function has been set.

#### 3.3.6 Send Function

The Send Function sends a Message. Disregarding the optional Timeout and Cancel transitions it must have exactly one outgoing transition which has to have parameters that define at least the Messagetype and the receiver's Subject ID.

An *all-or-none* strategy is used to send Messages to MultiSubjects, which means that the actual Message is only send when all receivers are able to store it in their Inputpool. Therefore the Send Function is structured into two phases: in the first phase, realized as state function, reservation Messages are placed and in the second phase, realized as transition function, these reservations are replaced with the actual Message.

To place a reservation in a receiver's Inputpool there must be space available in the corresponding queue and the receiver must not be *non-proper* terminated.

```
// Channel * MacroInstanceNumber * StateNumber -> Set[Messages]
function receivedMessages : LIST * NUMBER * NUMBER -> SET

// Channel * MacroInstanceNumber * StateNumber -> Set[Channel]
function receivers : LIST * NUMBER * NUMBER -> SET

// Channel * MacroInstanceNumber * StateNumber
function messageContent : LIST * NUMBER * NUMBER -> LIST
function messageCorrelationID : LIST * NUMBER * NUMBER -> NUMBER
function messageReceiverCorrelationID : LIST * NUMBER * NUMBER
-> NUMBER

// Channel * MacroInstanceNumber * StateNumber -> Set[Channel]
function reservationsDone : LIST * NUMBER * NUMBER -> SET

function nextCorrelationID : -> NUMBER
function nextCorrelationID : -> NUMBER
function nextCorrelationIDUsedBy : NUMBER -> Agents
```

Listing 11: receivedMessages

The Send Function stores the message content it has to send in the messageContent function. The receivers function is used to store the required receivers. When a reservation message has been placed at a receiver its Channel is added to the reservationsDone function.

The global function nextCorrelationID is used to increment Correlation-IDs. To ensure the uniqueness of a CorrelationID the nextCorrelationIDUsedBy function is used to store the ASM agent that used the given CorrelationIDs.

The initialization of the Send Function resets / initializes the receivers and reservationsDone functions. If the communication transition has a message-ContentVar parameter given the content of that Variable is loaded and stored in the messageContent function. If it has a messageNewCorrelationVar parameter given a new CorrelationID is created and stored in the messageCorrelationID function. It will be stored in the Variable after the messages have been send. If the message that will be send correlates to a previous message from the receiver the CorrelationID from the Variable in messageWithCorrelationVar will be loaded so that the message is stored in the corresponding inputpool queue of the receiver.

```
rule StartSend(MI, currentStateNumber) =
  let ch = channelFor(self),
     pID = processIDFor(self),
       s = currentStateNumber in
  // there must be exactly one transition
  let t = first_outgoingNormalTransition(pID, s) in {
    receivers(ch, MI, s) := undef
    reservationsDone(ch, MI, s) := {}
    let mcVName = messageContentVar(pID, t) in
      messageContent(ch, MI, s) := loadVar(MI, mcVName)
    // generate new CorrelationID now, it will be stored
    // in a Variable once the message(s) are send
    let cIDVName = messageNewCorrelationVar(pID, t) in
      if (cIDVName != undef and cIDVName != "") then {
        messageCorrelationID(ch, MI, s) := nextCorrelationID
        nextCorrelationID := nextCorrelationID + 1
        // ensure no other agent uses this same correlationID
        nextCorrelationIDUsedBy(nextCorrelationID) := self
      }
      else
        messageCorrelationID(ch, MI, s) := 0
    // load receiver IP CorrelationID now, to avoid
    // influences of any changes of that variable
    let cIDVName = messageWithCorrelationVar(pID, t) in
    let cID = loadCorrelationID(MI, cIDVName) in
      messageReceiverCorrelationID(ch, MI, s) := cID
  }
```

Listing 12: StartSend

```
rule PerformSend(MI, currentStateNumber) =
  let ch = channelFor(self),
       s = currentStateNumber in
  if (receivers(ch, MI, s) = undef) then
    SelectReceivers(MI, s)
  else if (messageContent(ch, MI, s) = undef) then
    SetMessageContent(MI, s)
  else if (startTime(ch, MI, s) = undef) then
    StartTimeout(MI, s)
  else if (|receivers(ch, MI, s)| =
           |reservationsDone(ch, MI, s)|) then
    TryCompletePerformSend(MI, s)
  else if (shouldTimeout(ch, MI, s) = true) then {
    SetCompleted(MI, s)
    ActivateTimeout(MI, s)
  }
  else
    DoReservations(MI, s)
```

Listing 13: PerformSend

In the state function the SelectReceivers rule is called until the receivers have been selected. The SelectReceivers rule interacts with the environment through the Selection and SelectAgent Functions in order to either select existing Channels from a Variable, given as parameter on the communication edge, or to select new assignments of Agents for the receiver Subject.

The Timeout is started only when the receivers and the messageContent functions are defined. Until all reservations are placed, and if no Timeout occurs, the DoReservations rule attempts to place further reservation messages. If all reservations are placed the TryCompletePerformSend rule completes the state function, depending on whether no receiver is *non-proper* terminated.

Listing 14: SetMessageContent

The SetMessageContent rule is called if no message content is given as parameter on the communication transition until the messageContent function is written by the environment.

Listing 15: DoReservations

The DoReservations rule iterates over all receivers that did not already receive a reservation message. The DoReservation rule then tries to place a reservation message for such receiver.

The DoReservation rule does not try to place a reservation message if the receiver is *non-proper* terminated. Otherwise it determines the necessary parameters of the queue to use and builds the reservation message. To support sending to an External Subject a translation of the sender's original Subject ID to the Subject ID used in the External Process is performed by the searchSenderSubjectID function.

When the queue at inputPool(rCh, xSID, msgType, dstCorr) was not created yet a new queue is assigned to that location and remembered in the inputPoolDefined function on the receiver's side. If the queue is either closed or full no reservation message can be placed, otherwise it is enqueued at the end.

After all reservations could be placed the TryCompletePerformSend rule has to ensure that no receiver terminated *non-proper* in the meantime. In that case

```
// handle single reservation
// result true if hasPlacedReservation, adds to reservationsDone
rule DoReservation(MI, currentStateNumber, receiverChannel) =
  if (properTerminated(receiverChannel) = true) then
    let ch = channelFor(self),
       pID = processIDFor(self),
       sID = subjectIDFor(self),
         s = currentStateNumber in
    let Rch = receiverChannel,
       RpID = processIDOf(receiverChannel) in
    let sIDX = searchSenderSubjectID(pID, sID, RpID) in
    let msgCID = messageCorrelationID(ch, MI, s),
               = messageReceiverCorrelationID(ch, MI, s) in
    // there must be exactly one transition
    let t = first_outgoingNormalTransition(pID, s) in
    let mT = messageType(pID, t) in
    let resMsg = [ch, mT, {}, msgCID, true] in
      seq
        // prepare receiver IP
        if (inputPool(Rch, sIDX, mT, RCID) = undef) then {
          add [sIDX, mT, RCID] to inputPoolDefined(Rch)
          inputPool(Rch, sIDX, mT, RCID) := []
        }
      next
        if (inputPoolIsClosed(Rch, sIDX, mT, RCID) != true) then
          if (inputPoolGetFreeSpace(Rch, sIDX, mT) > 0) then {
            enqueue resMsg into inputPool(Rch, sIDX, mT, RCID)
            add Rch to reservationsDone(ch, MI, s)
          }
          else
            skip // BLOCKED: no free space!
          skip // BLOCKED: inputPoolIsClosed
  else
    skip // BLOCKED: non-properTerminated
```

Listing 16: DoReservation

the Send Function blocks and can only be left by a Timeout or Cancel transition. Otherwise the state function is completed and the behavior can continue with the transition function.

The transition function calls the ReplaceReservation rule to replace all reservations with the actual Message. The EnsureRunning rule (re)starts a receiver if it is not already running. If the communication transition has the parameter messageStoreReceiverVar defined the used receivers of the Message are stored in that Variable. Also, if the communication transition has the parameter messageNewCorrelationVar defined the CorrelationID that was created in StartSend and used for the send messages will be stored in the given Variable.

Analogous to the DoReservation rule the ReplaceReservation rule has to determine the queue and build both the reservation message and the actual Message. It then can replace the reservation in the queue with the actual message.

```
rule TryCompletePerformSend(MI, currentStateNumber) =
  let ch = channelFor(self),
    pID = processIDFor(self),
       s = currentStateNumber in
  if (anyNonProperTerminated(receivers(ch, MI, s)) = true) then
    if (shouldTimeout(ch, MI, s) = true) then {
      SetCompleted(MI, s)
      ActivateTimeout(MI, s)
    }
    else
      // BLOCKED: a receiver where a reservation was placed has
      // terminated non-proper in the meantime
      skip
  else {
    // there must be exactly one transition
    let t = first_outgoingNormalTransition(pID, s) in
      selectedTransition(ch, MI, s) := t
    SetCompleted(MI, s)
  }
```

Listing 17: TryCompletePerformSend

```
rule PerformTransitionSend(MI, currentStateNumber, t) =
  let ch = channelFor(self),
     pID = processIDFor(self),
       s = currentStateNumber in {
    foreach r in reservationsDone(ch, MI, s) do {
      ReplaceReservation(MI, s, r)
      EnsureRunning(r)
    }
    let storeVName = messageStoreReceiverVar(pID, t) in
      if (storeVName != undef and storeVName != "") then
        SetVar(MI, storeVName, "ChannelInformation",
               reservationsDone(ch, MI, s))
    let cIDVName = messageNewCorrelationVar(pID, t) in
      if (cIDVName != undef and cIDVName != "") then
        SetVar(MI, cIDVName, "CorrelationID",
               messageCorrelationID(ch, MI, s))
    SetCompletedTransition(MI, s, t)
```

Listing 18: PerformTransitionSend

```
rule ReplaceReservation(MI, currentStateNumber, receiverChannel) =
  let ch = channelFor(self),
    pID = processIDFor(self),
     sID = subjectIDFor(self),
       s = currentStateNumber in
  let Rch = receiverChannel,
     RpID = processIDOf(receiverChannel) in
  let t = first_outgoingNormalTransition(pID, s) in
  let mT = messageType(pID, t) in
  let sIDX
           = searchSenderSubjectID(pID, sID, RpID),
     msgCID = messageCorrelationID(ch, MI, s),
           = messageReceiverCorrelationID(ch, MI, s) in
  let resMsg = [ch, mT, {}, msgCID, true],
             = [ch, mT, messageContent(ch, MI, s), msgCID, false],
      IPold = inputPool(Rch, sIDX, mT, RCID) in
  let IPnew = setnth(IPold, head(indexes(IPold, resMsg)), msg) in
      inputPool(Rch, sIDX, mT, RCID) := IPnew
```

Listing 19: ReplaceReservation

```
rule AbortSend(MI, currentStateNumber) =
  let ch = channelFor(self),
     s = currentStateNumber in {
    foreach r in reservationsDone(ch, MI, s) do
        CancelReservation(MI, s, r)

    SetAbortionCompleted(MI, s)
}
```

Listing 20: AbortSend

To abort the Send Function all placed reservations have to be removed.

Just like the ReplaceReservation rule the CancelReservation rule determines the location of the queue and rebuilds the reservation message. It then removes the reservation from the queue.

## 3.3.7 Receive Function

The Receive Function retrieves Messages from the Inputpool. The state function updates the enabled outgoing transitions according to the available Messages in the corresponding queue. When an outgoing transition is selected the Messages are removed from the queue by the transition function.

In the beginning of the state function the Timeout is started and then checked each time. If the Timeout doesn't need to be activated each outgoing transition is either set to be enabled or disabled by the CheckIP rule.

When exactly one auto transition is enabled, and no transition had been selected, an automatic selection for that transition is made. Otherwise a transition decision from the environment is required.

The CheckIP rule loads the parameters from the communication transition attributes to determine the corresponding queue and the available Messages in it. The messageSubjectCountMin argument defines the minimal required number of different sender Agents of a MultiSubject. If the optional parameter messageWithCorrelationVar is not set the CorrelationID 0 is used.

```
rule CancelReservation(MI, currentStateNumber, receiverChannel) =
  let ch = channelFor(self),
    pID = processIDFor(self),
     sID = subjectIDFor(self),
      s = currentStateNumber in
  let Rch = receiverChannel,
     RpID = processIDOf(receiverChannel) in
  let t = first_outgoingNormalTransition(pID, s) in
  let mT = messageType(pID, t) in
  let sIDX
           = searchSenderSubjectID(pID, sID, RpID),
     msgCID = messageCorrelationID(ch, MI, s),
     RCID = messageReceiverCorrelationID(ch, MI, s) in
  let resMsg = [ch, mT, {}, msgCID, true],
      IPold = inputPool(Rch, sIDX, mT, RCID) in
  let IPnew = dropnth(IPold, head(indexes(IPold, resMsg))) in
    inputPool(Rch, sIDX, mT, RCID) := IPnew
```

Listing 21: CancelReservation

When there are sufficient Messages, from different Agents of a MultiSubject, available the transition is enabled, otherwise it is set to be disabled.

The transition function removes the available Messages from the Inputpool and optionally stores them in the Variable given as transition parameter messageStoreMessagesVar.

It first determines the location of the queue and passes them to the Input-Pool\_Pop rule which removes up to countMax of the oldest Messages from the queue at the location inputPool(ch, xSID, msgType, ipCorr) and stores them temporarily in the receivedMessages function.

# 3.3.8 Modal Split and Modal Join Functions

The ModalSplit Function initiates parallel execution paths that will be joined again in a ModalJoin Function.

It adds the target states of all outgoing transitions to the active states of its Macro Instance and removes itself.

The ModalJoin Function takes the number of execution paths to join as parameter, which doesn't need to be modelled explicitly as it could be determined when the Process Model is parsed. The joinCount function is used to count how many times an execution path was already joined and is incremented each time an execution path leads to this state.

Until all but one execution paths are joined the current state is removed from the list of active states of the current Macro Instance. If the last execution path reached this state the joinCount function is reset for the next iteration and the state function is set to completed, so that the Macro Behavior proceeds regularly to the next state.

# 3.3.9 CallMacro Function

The CallMacro Function creates a new Macro Instance for the Macro ID given as first parameter. It is responsible for the evaluation of that Macro Instance and therefore calls the MacroBehavior rule with the created Macro Instance ID.

The callMacroChildInstance function stores the Macro Instance ID of the created Macro Instance. The macroTerminationResult function is written,

```
rule PerformReceive(MI, currentStateNumber) =
  let ch = channelFor(self),
    pID = processIDFor(self),
       s = currentStateNumber in
  // startTime must be the time of the first attempt to receive
  // in order to support receiving with timeout=0
  if (startTime(ch, MI, s) = undef) then
    StartTimeout(MI, s)
  else if (shouldTimeout(ch, MI, s) = true) then {
    SetCompleted(MI, s)
    ActivateTimeout(MI, s)
  else
    seq
      forall t in outgoingNormalTransitions(pID, s) do
        CheckIP(MI, s, t)
      let enabledT = outgoingEnabledTransitions(ch, MI, s) in
      if (|enabledT| > 0) then
        seq
          if (selectedTransition(ch, MI, s) != undef) then
            skip // there is already an transition selected
          else if (|enabledT| = 1) then
            let t = firstFromSet(enabledT) in
            if (transitionIsAuto(pID, t) = true) then
              // make automatic decision
              selectedTransition(ch, MI, s) := t
            else skip // can not make automatic decision
          else skip // can not make automatic decision
          if (selectedTransition(ch, MI, s) != undef) then
            // the decision was made
            SetCompleted(MI, s)
            // no decision made, waiting for selectedTransition
            SelectTransition(MI, s)
      else
        skip // BLOCKED: no messages
```

Listing 22: PerformReceive

```
rule CheckIP(MI, currentStateNumber, t) =
  let ch = channelFor(self),
    pID = processIDFor(self),
      s = currentStateNumber in
  let sID
          = messageSubjectId
                                          (pID, t),
      mT
             = messageType
                                           (pID, t),
      cIDVName = messageWithCorrelationVar(pID, t),
      countMin = messageSubjectCountMin
                                          (pID, t) in
  let cID = loadCorrelationID(MI, cIDVName) in
  let msgs = availableMessages(ch, sID, mT, cID) in
    if (|msgs| >= countMin) then
      EnableTransition(MI, t)
    else
      DisableTransition(MI, s, t)
                         Listing 23: CheckIP
rule PerformTransitionReceive(MI, currentStateNumber, t) =
  let ch = channelFor(self),
    pID = processIDFor(self),
      s = currentStateNumber in
              = messageSubjectId
  let sID
                                           (pID, t),
             = messageType
                                           (pID, t),
     mΤ
      cIDVName = messageWithCorrelationVar(pID, t),
      countMax = messageSubjectCountMax
                                          (pID, t) in
  let cID = loadCorrelationID(MI, cIDVName) in {
      // stores the messages in receivedMessages
      InputPool_Pop(MI, s, sID, mT, cID, countMax)
   next
      if (messageStoreMessagesVar(pID, t) != undef and
          messageStoreMessagesVar(pID, t) != "") then
        let msgs = receivedMessages(ch, MI, s),
            vName = messageStoreMessagesVar(pID, t) in
          SetVar(MI, vName, "MessageSet", msgs)
    SetCompletedTransition(MI, s, t)
  }
                  Listing 24: PerformTransitionReceive
rule ModalSplit(MI, currentStateNumber, args) =
  let pID = processIDFor(self),
        s = currentStateNumber in {
  // start all following states
  foreach t in outgoingNormalTransitions(pID, s) do
    let sNew = targetStateNumber(pID, t) in
      AddState(MI, s, MI, sNew)
  // remove self
  RemoveState(MI, s, MI, s)
```

Listing 25: ModalSplit

```
// Channel * MacroInstanceNumber * joinState -> Number
function joinCount : LIST * NUMBER * NUMBER -> NUMBER
// number of execution paths have to be provided as argument
rule ModalJoin(MI, currentStateNumber, args) =
  let ch = channelFor(self),
       s = currentStateNumber,
      numSplits = nth(args, 1) in
  seq // count how often this join has been called
    if (joinCount(ch, MI, s) = undef) then
      joinCount(ch, MI, s) := 1
    else
      joinCount(ch, MI, s) := joinCount(ch, MI, s) + 1
  next.
    // can we continue, or remove self and will be called again?
    if (joinCount(ch, MI, s) < numSplits) then {</pre>
      // drop this execution path
      RemoveState(MI, s, MI, s)
    }
    else {
      // reset for next iteration
      joinCount(ch, MI, s) := undef
      SetCompletedFunction(MI, s, undef)
                         Listing 26: ModalJoin
// Channel * macroInstanceNumber -> result
function macroTerminationResult : LIST * NUMBER -> ELEMENT
// Channel * macroInstanceNumber -> MacroNumber
function macroNumberOfMI : LIST * NUMBER -> NUMBER
// Channel * macroInstanceNumber * StateNumber -> MacroInstance
function callMacroChildInstance : LIST * NUMBER * NUMBER -> NUMBER
```

Listing 27: macroTerminationResult

either with the boolean true or a string to indicate which outgoing transition of the MacroState should be selected, when the Macro Instance terminates.

The state function has two phases: in the beginning the Macro Instance is created and then in the main phase evaluated.

In the first phase the value of the nextMacroInstanceNumber function is stored in the callMacroChildInstance function and incremented. The activeStates for the new Macro Instance is initialized and the start state will be added later on in the MacroBehavior rule of the current Macro Instance.

The CallMacro Function can have an optional list of Variable names whose values are passed into Macro Instance-local Variables of the called Macro Instance with the InitializeMacroArguments rule.

The second phase evaluates the created Macro Instance.

When the Macro Instance has terminated its result is used to select the outgoing transition of the CallMacro state and the callMacroChildInstance func-

```
rule CallMacro(MI, currentStateNumber, args) =
  let ch = channelFor(self),
    pID = processIDFor(self),
       s = currentStateNumber in
  let childInstance = callMacroChildInstance(ch, MI, s) in
  if (childInstance = undef) then
    // start new Macro Instance
    let mIDNew = searchMacro(head(args)),
       MINew = nextMacroInstanceNumber(ch) in
    seqblock
      nextMacroInstanceNumber(ch) := MINew + 1
      macroNumberOfMI(ch, MINew) := mIDNew
      callMacroChildInstance(ch, MI, s) := MINew
      if (|macroArguments(ch, mIDNew)| > 0) then
        InitializeMacroArguments(MI, mIDNew, MINew, tail(args))
      StartMacro(MI, s, mIDNew, MINew)
    endseqblock
  else
    let childResult = macroTerminationResult(ch, childInstance) in
    if (childResult != undef) then {
      callMacroChildInstance(ch, MI, s) := undef
      // transport result, if present
      if (childResult = true) then
        SetCompletedFunction(MI, s, undef)
        SetCompletedFunction(MI, s, childResult)
    }
    else
      // Macro Instance is active, call it
      MacroBehavior(childInstance)
```

Listing 28: CallMacro

tion is reset for the next iteration. Otherwise the MacroBehavior rule is called for the created Macro Instance ID.

The InitializeMacroArguments rule iterates over all required macro parameters. For each parameter it loads the value of the Variable in the current Macro Instance and stores it in the new Macro Instance.

# 3.3.10 End Function

The End Function is used to terminate the current Macro Instance and has no outgoing transitions. If the current Macro Instance is the Main Macro Instance the End Function terminates the Subject.

The PerformEnd rule calls the AbortMacroInstance rule until no other states are active in the current Macro Instance.

If the current Macro Instance is the Main Macro Instance the Subject terminates. To do so the End Function resets all Variables of the Subject and terminates the ASM agent. Additionally, the FinalizeInteraction rule is called

...

Listing 29: InitializeMacroArguments

```
rule PerformEnd(MI, currentStateNumber) =
  let ch = channelFor(self),
    pID = processIDFor(self),
       s = currentStateNumber in
  if (|activeStates(ch, MI)| > 1) then
    AbortMacroInstance(MI, s)
  else {
    if (MI = 1) then { // terminate subject
      ClearAllVarInMI(ch, 0)
      ClearAllVarInMI(ch, 1)
      FinalizeInteraction()
      program(self) := undef
      remove self from asmAgents
    else { // terminate only Macro Instance
      ClearAllVarInMI(ch, MI)
      let res = head(stateFunctionArguments(pID, s)) in
      if (res != undef) then
        // use parameter as result for CallMacro State
        macroTerminationResult(ch, MI) := res
        // just indicate termination
        macroTerminationResult(ch, MI) := true
    }
    // remove self
    RemoveState(MI, s, MI, s)
  }
```

Listing 30: PerformEnd

Otherwise the Macro Instance was created by a CallMacro Function and only the Variables of the current Macro Instance are reset. If the End Function has a parameter it is stored in the function macroTerminationResult, so that the CallMacro Function proceeds with the corresponding outgoing transition. If no parameter is given the value is just set to true to indicate a termination without any particular result.



# Implementation of Subject Oriented Models

SUbject oriented models address the internal aspects and structures of a system. They are essentially models of the internal structure of a system and cover organizational and technical aspects. When implementing the models, it is now necessary to establish the relationship between the process model and the available resources. Figure 4.1 shows the individual steps from a process model to the executable process instance.

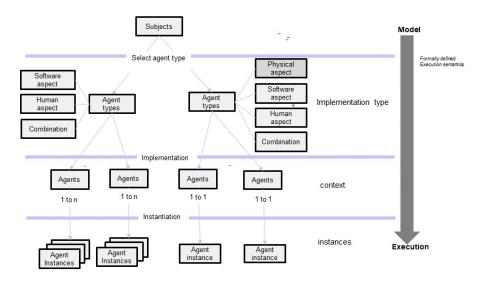


Figure 4.1: Implementation steps

In a system model, the actors, the actions, their sequences and the objects manipulated by the actions are described. Actions (activities) can be performed by humans, software systems, physical systems or a combination of these basic types of actors. We call them the task holders. For example, a software system can automatically perform the "tax rate calculation" action, while a person uses a software program to perform the "order entry" activity. The person enters the order data via a screen mask. The software checks the entered data for plausibility and saves it. However, activities can also be carried out purely manually,

for example when a warehouse worker receives a picking order on paper, executes it, marks it as executed on the order form and returns it to the warehouse manager.

When creating a system model, it is often not yet known which types of actors execute which actions. Therefore, it can be useful to abstract from said model when starting to describe processes by introducing abstract actors. A modeling language should allow the use of such abstractions. This means that when defining the process logic, no assertion should have to be made about what type of actor is realized. In S-BPM, the subjects represent abstract actors.

In the description of the control logic of a process, the individual activities are also described independently of their implementation. For example, for the action "create a picking order" it is not specified whether a human actor fills in a paper form or a screen mask, or whether a software system generates this form automatically. Thus, with activities the means by which something happens is not described, but rather only what happens.

The means are of course related to the implementation type of the actor. As soon as it has been defined which types of actors are assigned to the individual actions, the manner of realization of an activity has also been defined. In addition, the logical or physical object on which an action is executed also needs to be determined. Logical objects are data structures whose data is manipulated by activities. Paper forms represent a mixture between logical and physical objects, while a workpiece on which the "deburring" action takes place is a purely physical object. Therefore, there is a close relationship between the type of task holder, the actions and the associated objects actors manipulate or use when performing actions.

A system model can be used in different areas. The process logic is applied unchanged in the respective areas. However, it may be necessary to implement the individual actors and actions differently. Thus, in one environment certain actions could be performed by humans and in another the same actions could be performed by software systems. In the following, we refer to such different environments of use for a system model as context. Hence, for a process model, varying contexts can exist, in which there are different realization types for actors and actions.

In Subject Oriented Modeling, actors are not assigned to individual activities, but rather the actor type is assigned to an entire subject. This assignment is not part of the process logic, but in the most simple way it is done instead for each process in a separate two-column table. The left column contains the subject name and the right col-umn the implementation type. If there are several contexts for a model, a separate assignment table is created for each of them. The assignment of the implementation type forms the transition between the system logic and its implementation. Subsequently, it has to be defined which persons, software systems and physical systems represent the actors and how the individual actions are concretely realized. These aspects are described in detail in the following subsections.

- 4.1 People and organizations
- 4.2 Physical infrastructure
- 4.3 IT-SYSTEMS AND SOFTWARE

# Classes and Properties of the **PASS Ontology**

# A.1 ALL CLASSES (95)

- SRN = Subclass Reference Number; Is used for marking the coresponding relations in the following figures. The number identifies the subclass relation to the next level of super class.
- PASSProcessModelElement
  - BehaviorDescribingComponent; SRN: 001 Group of PASS-Model components that describe aspects of the behavior of subjects
    - Action; SRN: 002 An Action is a grouping concept that groups a state with all its outgoing valid transitions
    - DataMappingFunction; SRN: 003

and receive)

Standard Format for DataMappingFunctions must be define: XML? OWL? JSON? Definitions of the ability/need to write or read data to and from a subject's personal data storage. DataMappingFunctions are behavior describing components since they define what the subject is supposed to do (mapping and translating data) Mapping may be done during reception of message, where data is taken from the message/Business Object (BO) and mapped/put into the local data field. It may be done during sending of a message where data is taken from the local vault and put into a BO. Or it may occur during executing a do function, where it is used to define read(get) and write (set) functions for the local data.

- DataMappingIncomingToLocal; SRN: 004 A DataMapping that specifies how data is mapped from an an external source (message, function call etc.) to a subject's private defined data space.
- DataMappingLocalToOutgoing; SRN: 005 A DataMapping that specifies how data is mapped from a subject's private data
- space to an an external destination (message, function call etc.) • FunctionSpecification; SRN: 006
  - A function specification for state denotes Concept: Definitions of calls of (mostly technical) functions (e.g. Web-service, Scripts, *Database access,) that are not part of the process model. Function Specifications are more than "Data Properties"? -> - If special function types* (e.g. Defaults) are supposed to be reused, having them as explicit entities is a the better
  - OWL-modeling choice. • CommunicationAct; SRN: 007 A super class for specialized FunctionSpecification of communication acts (send

• ReceiveFunction; SRN: 008

Specifications/descriptions for Receive-Functions describe in detail what the subject carrier is supposed to do in a state.

DefaultFunctionReceive1\_EnvoironmentChoice: present the surrounding execution environment with the given exit choices/conditions currently available depending on the current state of the subjects in-box. Waiting and not executing the receive action is an option.

DefaultFunctionReceive2\_AutoReceiveEarliest: automatically execute the according activity with the highest priority as soon as possible. In contrast to DefaultFunctionReceive1, it is not an option to prolong the reception and wait e.g. for another message.

• SendFunction; SRN: 009 Comments have to be added

DoFunction; SRN: 010

Specifications or descriptions for Do-Functions describe in detail what the subject carrier is supposed to do in an according state. The default DoFunction

1: present the surrounding execution environment with the given exit choices/conditions and receive choice of one exit option -> define its Condition to be fulfilled in order to go to the next according state. The default DoFunction

2: execute automatic rule evaluation (see DoTransitionCondition - ToDo) More specialized Do-Function Specifications may contain Data mappings denoting what of a subjects internal local Data can and should be:

a) read: in order to simply see it or in order to send it of to an external function (e.g. a web service)

b) write: in order to write incoming Data from e.g. a web Service or user input, to the local data fault

ReceiveType; SRN: 011
 Comments have to be added

 SendType; SRN: 012
 Comments have to be added

• State; SRN: 013

A state in the behavior descriptions of a model

• ChoiceSegment; SRN: 014

ChoiceSegments are groups of defined ChoiceSegementPaths. The paths may contain any amount of states. However, those states may not reach out of the bounds of the ChoiceSegmentPath.

• ChoiceSegmentPath; SRN: 015

ChoiceSegments are groups of defined ChoiceSegementPaths. The paths may contain any amount of states. However, those states may not reach out of the bounds of the ChoiceSegmentPath. The path may contain any amount of states but may those states may not reach out of the bounds of the choice segment path. Similar to an initial state of a behavior a choice segment path must have one determined initial state. A transition within a choice segment path must not have a target state that is not inside the same choice segment path.

- MandatoryToEndChoiceSegmentPath; SRN: 016 Comments have to be added
- MandatoryToStartChoiceSegmentPath; SRN: 017 Comments have to be added
- OptionalToEndChoiceSegmentPath; SRN: 018 Comments have to be added
- OptionalToStartChoiceSegmentPath; SRN: 019 ChoiceSegmentPath and (isOptionalToEndChoiceSegmentPath value false)
- EndState; SRN: 020

An end state a behavior. A subject behavior may have one or more end states. Only Do and Receive states may be end states. Send States cannot be end states. There are no individual end states that are not Do, Send, or Receive States at the same time.

 GenericReturnToOriginReference; SRN: 021 Comments have to be added

- InitialStateOfBehavior; SRN: 022 The initial state of a behavior
- InitialStateOfChoiceSegmentPath; SRN: 023

Similar to an initial state of a behavior a choice segment path must have one determined initial state

• MacroState; SRN: 024

A state that references a macro behavior that is executed upon entering this state. Only after executing the macro behavior this state is finished also.

• StandardPASSState; SRN: 025

A super class to the standard PASS states: Do, Receive and Send

• DoState; SRN: 026

The standard state in a PASS subject behavior diagram denoting an action or activity of the subject in itself.

• ReceiveState; SRN: 027

The standard state in a PASS subject behavior diagram denoting an receive action or rather the waiting for a receive possibility.

• SendState; SRN: 028

The standard state in a PASS subject behavior diagram denoting a send action

• StateReference; SRN: 029

A state reference is a model component that is a reference to a state in another behavior. For most modeling aspects it is a normal state.

• Transition: SRN: 030

An edge defines the transition between two states. A transition can be traversed if the outcome of the action of the state it originates from satisfies a certain exit condition specified by it's "Alternative

• CommunicationTransition; SRN: 031

A super class for the CommunicationTransitions.

• ReceiveTransition; SRN: 032 Comments have to be added

• SendTransition; SRN: 033 Comments have to be added

• DoTransition; SRN: 034 Comments have to be added

• SendingFailedTransition; SRN: 035

Comments have to be added

• TimeTransition; SRN: 036

Generic super calls for all TimeTransitions, transitions with conditions based on time events. E.g.passing of a certain time duration or the (reoccurring) calendar event.

• ReminderTransition; SRN: 037

Reminder transitions are transitions that can be traverses if a certain time based event or frequency has been reached. E.g. a number of months since the last traversal of this transition or the event of a certain preset calendar date etc

• CalendarBasedReminderTransition; SRN: 038

A reminder transition, for defining exit conditions measured in calendar years or months

Conditions are e.g.: reaching of (in model) preset calendar date (e.g. 1st of July) or the reoccurrence of a a long running frequency ("every Month", "2 times a year")"

 TimeBasedReminderTransition; SRN: 039 Comments have to be added

• TimerTransition; SRN: 040

Generic super calls for all TimeTransitions, transitions with conditions based on time events. E.g.passing of a certain time duration or the (reoccurring) calendar event.

BusinessDayTimerTransition; SRN: 041
 imer transitions, denote time outs for the state they originate from. The

condition for a timer transition is that a certain amount of time has passed since the state it originates from has been entered.

The time unit for this timer transition is measured in business days. The definition of a business day depends on a subject's relevant or legal location

# DayTimeTimerTransition; SRN: 042

Timer Transitions, denoting time outs for the state they originate from. The condition for a timer transition is that a certain amount of time has passed since the state it originates from has been entered.

Day or Time Timers are measured in normal 24 hour days. Following the XML standard for time and day duration. They are to be differed from the timers that are timeout in units of years or months.

#### • YearMonthTimerTransition; SRN: 044

Timer transitions, denote time outs for the state they originate from. The condition for a timer transition is that a certain amount of time has passed since the state it originates from has been entered.

Year or Month timers measure time in calendar years or months. The exact definitions for years and months depends on relevant or legal geographical location of the subject.

#### • UserCancelTransition; SRN: 045

A user cancel transition denotes the possibility to exit a receive state without the reception of a specific message.

The user cancel allows for an arbitrary decision by a subject carrier/processor to abort a waiting process.

#### • TransitionCondition; SRN: 046

An exit condition belongs to alternatives which in turn is given for a state. An alternative (to leave the state) is only a real alternative if the exit condition is fulfilled (technically: if that according function returns "true")

Note: Technically and during execution exit conditions belong to states. They define when it is allowed to leave that state. However, in PASS models exit conditions for states are defined and connected to the according transition edges. Therefore transition conditions are individual entities and not DataProperties.

The according matching must be done by the model execution environment. By its existence, an edge/transition defines one possible follow up "state" for its state of origin. It is coupled with an "Exit Condition" that must be fulfilled in the originating state in order to leave the state.

# DoTransitionCondition; SRN: 047 A TransitionCondition for the according DoTransitions and DoStates.

# • MessageExchangeCondition; SRN: 048

MessageExchangeCondition is the super class for Send End Receive Transition Conditions the both require either the sending or receiving (exchange) of a message to be fulfilled.

# • ReceiveTransitionCondition; SRN: 049

ReceiveTransitionConditions are conditions that state that a certain message must have been taken out of a subjects in-box to be fulfilled.

These are the typical conditions defined by Receive Transitions.

# • SendTransitionCondition; SRN: 050

SendTransitionConditions are conditions that state that a certain message must have been successfully passed to another subjects in-box to be fulfilled. These are the typical conditions defined by Send transitions.

# SendingFailedCondition; SRN: 051 Comments have to be added

#### • TimeTransitionCondition; SRN: 052

A condition that is deemed 'true' and thus the according edge is gone, if: a surrounding execution system has deemed the time since entering the state and starting with the execution of the according action as too long (predefined by the outgoing edge)

A condition that is true if a certain time defined has passed since the state this condition belongs to has been entered. (This is the standard TimeOut Exit condition)

- ReminderEventTransitionCondition; SRN: 053
   Comments have to be added
- TimerTransitionCondition; SRN: 054
   Comments have to be added

# • DataDescribingComponent; SRN: 055

Subject-Oriented PASS Process Models are in general about describing the activities and interaction of active entities. Yet these interactions are rarely done without data that is being generated by activities and transported via messages. While not considered by Börger's PASS interpreter, the community agreed on adding the ability to integrate the means to describe data objects or data structures to the model and enabling their connection to the process model. It may be defined that messages or subject have their individual DataObjectDefinition in form of a SubjectDataDefinition in the case of FullySpecifiedSubjects and PayloadDataObjectDes finition in the case of

MessageSpecifications In general, it expected that these

DataObjectDefinition list on or more data fields for the message or subject with an internal data type that is described via a DataTypeDefinition. There is a rudimentary concept for a simple build-in data type definition closely oriented at the concept of ActNConnect. Otherwise, the principle idea of the OWL standard is to allow and employ existing or custom technologies for the serialized definition of data structures

(CustomOrExternalDataTypeDefinition) such as XML-Schemata (XSD), according elements with JSON or directly the powerful expressiveness of OWL itself.

#### • DataObjectDefinition; SRN: 056

Data Object Definitions are model elements used to describe that certain other model elements may posses or carrier Data Objects.

E.G. a message may carrier/include a Business Objects. Or the private Data Space of a Subject may contain several Data Objects.

A Data Objects should refer to a DataTypeDefinition denoting its DataType and structure.

DataObject: states that a data item does exist (similar to a variable in programming)DataType: the definition of an Data Object's structure.

- DataObjectListDefintion; SRN: 057

  Data definition concept for PASS model build in capabilities of data modeling.

  Defines a simple list structure.
- PayloadDataObjectDefinition; SRN: 058

  Messages may have a description regarding their payload (what is transported)

This can either be a description of a physical (real) object or a description of a (digital) data object

• SubjectDataDefinition; SRN: 059 Comments have to be added

# • DataTypeDefinition; SRN: 060

with them).

Data Type Definitions are complex descriptions of the supposed structure of Data Objects.

DataObject: states that a data item does exist (similar to a variable in programming). DataType: the definition of an Data Object's structure.

- CustomOrExternalDataTypeDefinition; SRN: 061
  Using this class, tool vendors can include their own custom data definitions in the
  - JSONDataTypeDefinition; SRN: 062 Comments have to be added
  - OWLDataTypeDefinition; SRN: 63 Comments have to be added
  - XSD-DataTypeDefinition; SRN: 064 XML Schemata Description (XSD) is an established technology for describing structure of Data Objects (XML documents) with many tools available that can verify a document against the standard definition
- ModelBuiltInDataTypes; SRN: 065 Comments have to be added

# • PayloadDescription; SRN: 066 Comments have to be added

#### • PayloadDataObjectDefinition; SRN: 067

Messages may have a description regarding their payload (what is transported with them).

This can either be a description of a physical (real) object or a description of a (digital) data object

# • PayloadPhysicalObjectDescription; SRN: 068

Messages may have a description regarding their payload (what is transported with them).

This can either be a description of a physical (real) object or a description of a (digital) data object

#### • InteractionDescribingComponent; SRN: 069

This class is the super class of all model elements used to define or specify the interaction means within a process model

#### • InputPoolConstraint; SRN: 070

Subjects do implicitly posses input pools.

During automatic execution of a PASS model in a work-flow engine this message box is filled with messages.

Without any constraints models this message in-box is assumed to be able to store an infinite amount of messages.

For some modeling concepts though it may be of importance to restrict the size of the input pool for certain messages or senders.

This is done using several different Type of InputPoolConstraints that are attached to a fully specified subject.

Should a constraint be applicable, an "InputPoolConstraintHandlingStrategy" will be executed by a work-flow engine to determine what to do with the message that does not fit in the pool.

Limiting the input pool for certain reasons to size 0 together with the InputPoolConstraintStrategy-Blocking is effectively modeling that a communication must happen synchronously instead of the standard asynchronous mode. The sender can send his message only if the receiver is in an according receive state, so the message can be handled directly without being stored in the in-box.

# • MessageSenderTypeConstraint; SRN: 071

An InputPool constraint that limits the number of message of a certain type and from a certain sender in the input pool.

E.g. "Only one order from the same customer" (during happy hour at the bar)

#### • MessageTypeConstraint; SRN: 072

An InputPool constraint that limits the number of message of a certain type in the input pool.

E.g. You can accept only "three request at once

#### • SenderTypeConstraint; SRN: 073

An Input Pool constraint that limits the number of message from a certain Sender subject in the input pool.

E.g. as long as a customer has non non-fulfilled request of any type he may not place messages

# • InputPoolContstraintHandlingStrategy; SRN: 074

Should an InputPoolConstraint be applicable, an "InputPoolConstraintHandlingStrategy" will be executed by a work-flow engine to determine what to do with the message that does not fit in the pool.

There are types of HandlingStrategies.

InputPoolConstraintStrategy-Blocking - No new message will be adding will need to be repeated until successful

InputPoolConstraintStrategy-DeleteLatest - The new message will be added, but the last message to arrive before that applicable to the same constraint will be overwritten with the new one. (LIFO deleting concept)

InputPoolConstraintStrategy-DeleteOldest - The message will be added, but the earliest message in the input pool applicable to the same constraint will be deleted (FIFO deleting concept)

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InputPoolConstraintStrategy-Drop - Sending of the message succeeds. However the new message will not be added to the in-box. Rather it will be deleted directly.

#### • MessageExchange; SRN: 075

A message exchange is an element in the interaction description section that specifies exactly one possibility of exchanging messages in the given process context of the model. A message exchange is a triple of, a sender, a receiver, and the specification of the message that may be exchanged.

While message exchanges are singular occurrences, they may be grouped in Message-ExchangeLists

#### • MessageExchangeList; SRN: 076

While MessageExchanges are singular occurrences, they may be grouped in Message-ExchangeLists.

In graphical PASS modeling that is usually the case when one arrow between two subjects contains more than one message and thereby specifies more than one possible message exchange channel between the two subjects.

# • MessageSpecification; SRN: 077

MessageSpecification are model elements that specify the existence of a message. At minimum its name and id.

It may contain additional specification for its payload (contained Data, exact form etc.)

# • Subject; SRN: 078

The subject is the core model element of a subject-oriented PASS process model.

- FullySpecifiedSubject; SRN: 079
  Fully specified Subjects in a PASS graph are entities that, in contrast to interface subjects, linked to one ore more Behaviors (they posses a behavior).
- InterfaceSubject; SRN: 080 Interface Subjects are Subjects that are not linked to a behavior. In contrast, they may refer to FullySpecifiedSubjects that are described in other process models.
- MultiSubject; SRN: 081 The Multi-Subject is term for a subject that "has a maximum subject instantiation restriction" within a process context larger than 1.
- SingleSubject; SRN: 082
   Single Subject are subject with a maximumInstanceRestriction of 1
  - StartSubject; SRN: 083
    Subjects that start their behavior with a Do or Send state are active in a process context from the beginning instead of requiring a message from another subject.
    Usually there should be only one Start subject in a process context.
- PASSProcessModel; SRN: 084
   The main class that contains all relevant process elements

#### • SubjectBehavior; SRN: 085

Additional to the subject interaction a PASS Model consist of multiple descriptions of subject's behaviors. These are graphs described with the means of Behavior Describing Components A subject in a model may be linked to more than one behavior.

## • GuardBehavior; SRN: 086

A guard behavior is a special usually additional behavior that guards the Base Behavior of a subject. §§§ It starts with a (guard) receive state denoting a special interrupting message. Upon reception of that message the subject will execute the according receive transition and the follow up states until it is either redirected to a state on the base behavior or terminates in an end-state within the guard behavior

# • MacroBehavior; SRN: 087

A macro behavior is a specialized behavior that may be entered and exited from a function state in another behavior.

- SubjectBaseBehavior; SRN: 088
   The standard behavior model type
- SimplePASSElement; SRN: 089 Comments have to be added
  - CommunicationTransition; SRN: 090
     A super class for the CommunicationTransitions.

• ReceiveTransition; SRN: 091 Comments have to be added

• SendTransition; SRN: 092 Comments have to be added

# • DataMappingFunction; SRN: 093

Definitions of the ability/need to write or read data to and from a subject's personal data storage.

DataMappingFunctions are behavior describing components since they define what the subject is supposed to do (mapping and translating data)

Mapping may be done during reception of message, where data is taken from the message/Business Object (BO) and mapped/put into the local data field.

It may be done during sending of a message where data is taken from the local vault and put into a BO.

Or it may occur during executing a do function, where it is used to define read(get) and write (set) functions for the local data.

# • DataMappingIncomingToLocal; SRN: 094

A DataMapping that specifies how data is mapped from an an external source (message, function call etc.) to a subject's private defined data space.

#### • DataMappingLocalToOutgoing; SRN: 095

A DataMapping that specifies how data is mapped from a subject's private data space to an an external destination (message, function call etc.)"

# • DoTransition; SRN: 096

Comments have to be added

# • DoTransitionCondition; SRN: 097

A TransitionCondition for the according DoTransitions and DoStates.

#### • EndState; SRN: 098

An end state a behavior. A subject behavior may have one or more end states. Only Do and Receive states may be end states. Send States cannot be end states.

There are no individual end states that are not Do, Send, or Receive States at the same time.

#### • FunctionSpecification; SRN: 099

A function specification for state denotes

Concept: Definitions of calls of (mostly technical) functions (e.g. Web-service, Scripts, Database access,) that are not part of the process model.

Function Specifications are more than "Data Properties"? -> - If special function types (e.g. Defaults) are supposed to be reused, having them as explicit entities is a the better OWL-modeling choice.

#### • CommunicationAct; SRN: 100

A super class for specialized FunctionSpecification of communication acts (send and receive)

# • ReceiveFunction; SRN: 101

Specifications/descriptions for Receive-Functions describe in detail what the subject carrier is supposed to do in a state.

DefaultFunctionReceive1\_EnvoironmentChoice: present the surrounding execution environment with the given exit choices/conditions currently available depending on the current state of the subjects in-box. Waiting and not executing the receive action is an option.

DefaultFunctionReceive2\_AutoReceiveEarliest: automatically execute the according activity with the highest priority as soon as possible. In contrast to Default-FunctionReceive1, it is not an option to prolong the reception and wait e.g. for another message.

SendFunction; SRN: 102
 Comments have to be added

# • DoFunction; SRN: 103

Specifications or descriptions for Do-Functions describe in detail what the subject carrier is supposed to do in an according state.

The default DoFunction 1: present the surrounding execution environment with the given exit choices/conditions and receive choice of one exit option -> define its Condition to be fulfilled in order to go to the next according state.

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The default DoFunction 2: execute automatic rule evaluation (see DoTransitionCondition).

More specialized Do-Function Specifications may contain Data mappings denoting what of a subjects internal local Data can and should be:

a) read: in order to simply see it or in order to send it of to an external function (e.g. a web service)

b) write: in order to write incoming Data from e.g. a web Service or user input, to the local data fault

# • InitialStateOfBehavior; SRN: 104

The initial state of a behavior

#### • MessageExchange; SRN: 105

A message exchange is an element in the interaction description section that specifies exactly one possibility of exchanging messages in the given process context of the model.

A message exchange is a triple of, a sender, a receiver, and the specification of the message that may be exchanged.

While message exchanges are singular occurrences, they may be grouped in MessageExchangeLists

#### • MessageExchangeCondition; SRN: 106

MessageExchangeConditon is the super class for Send End Receive Transition Conditions the both require either the sending or receiving (exchange) of a message to be fulfilled.

# • ReceiveTransitionCondition; SRN: 107

ReceiveTransitionConditions are conditions that state that a certain message must have been taken out of a subjects in-box to be fulfilled.

These are the typical conditions defined by Receive Transitions.

#### • SendTransitionCondition; SRN: 108

SendTransitionConditions are conditions that state that a certain message must have been successfully passed to another subjects in-box to be fulfilled.

These are the typical conditions defined by Send transitions.

#### • MessageExchangeList; SRN: 109

While MessageExchanges are singular occurrences, they may be grouped in MessageExchangeLists.

In graphical PASS modeling that is usually the case when one arrow between two subjects contains more than one message and thereby specifies more than one possible message exchange channel between the two subjects.

#### • MessageSpecification; SRN: 110

MessageSpecification are model elements that specify the existence of a message. At minimum its name and id.

It may contain additional specification for its payload (contained Data, exact form etc.)

# • ModelBuiltInDataTypes; SRN: 111

Comments have to be added

# • PayloadDataObjectDefinition; SRN: 112

Messages may have a description regarding their payload (what is transported with them). This can either be a description of a physical (real) object or a description of a (digital) data object

# • StandardPASSState; SRN: 113

A super class to the standard PASS states: Do, Receive and Send

# • DoState; SRN: 114

The standard state in a PASS subject behavior diagram denoting an action or activity of the subject in itself.

# ReceiveState; SRN: 115

The standard state in a PASS subject behavior diagram denoting an receive action or rather the waiting for a receive possibility.

#### • SendState; SRN: 116

The standard state in a PASS subject behavior diagram denoting a send action

#### • Subject : SRN: 117

The subject is the core model element of a subject-oriented PASS process model.

- FullySpecifiedSubject; SRN: 118
  Fully specified Subjects in a PASS graph are entities that, in contrast to interface subjects, linked to one ore more Behaviors (they posses a behavior).
- InterfaceSubject; SRN: 119
  Interface Subjects are Subjects that are not linked to a behavior. In contrast, they may refer to FullySpecifiedSubjects that are described in other process models.
- MultiSubject; SRN: 120 The Multi-Subject is term for a subject that "has a maximum subject instantiation restriction" within a process context larger than 1.
- SingleSubject; SRN: 121 Single Subject are subject with a maximumInstanceRestriction of 1
- StartSubject; SRN: 122
  Subjects that start their behavior with a Do or Send state are active in a process context from the beginning instead of requiring a message from another subject.
  Usually there should be only one Start subject in a process context.
- SubjectBaseBehavior; SRN: 123 The standard behavior model type

# A.2 OBJECT PROPERTIES (42)

Property name		Domain-Range	Comments	Reference
belongsTo	Domain: Range:	PASSProcessModelElement PASSProcessModelElement	Generic ObjectProperty that links two process elements, where one is contained in the other (inverse of contains).	200
contains	Domain: Range:	PASSProcessModelElement PASSProcessModelElement	Generic ObjectProperty that links two model elements where one contains another (possible multiple)	201
containsBaseBehavior	Domain: Range:	Subject SubjectBehavior		202
containsBehavior	Domain: Range:	Subject SubjectBehavior		203
containsPayload-Description	Domain: Range:	MessageSpecification PayloadDescription		204
guardedBy	Domain: Range:	State, Action GuardBehavior		205
guardsBehavior	Domain: Range:	GuardBehavior SubjectBehavior	Links a GuardBehavior to another Sub- jectBehavior. Automatically all indi- vidual states in the guarded behav- ior are guarded by the guard behavior. There is an SWRL Rule in the ontology for that purpose.	206
guardsState	Domain: Range:	State, Action guardedBy		207
hasAdditionalAttribute	Domain: Range:	PASSProcessModelElement AdditionalAttribute		208
hasCorrespondent	Domain: Range:	Subject	Generic super class for the Object- Properties that link a Subject with a MessageExchange either in the role of Sender or Receiver.	209

Property name		Domain-Range	Comments	Reference
hasDataDefinition	Domain: Range:	DataObjectDefinition		210
hasDataMapping-Function	Domain: Range:	state, Send Transition, Receive Transition sition DataMappingFunction		211
hasDataType	Domain: Range:	PayloadDescription or DataObject- Definition DataTypeDefinition		212
hasEndState	Domain: Range:	SubjectBehavior or ChoiceSegmentPath State, not SendState		213
hasFunction-Specification	Domain: Range:	State FunctionSpecification		214
hasHandlingStrategy	Domain: Range:	InputPoolConstraint InputPoolContstraint- HandlingStrategy		215
hasIncomingMessage- Exchange	Domain: Range:	Subject MessageExchange		216
hasIncomingTransition	Domain: Range:	State Transition		217
hasInitialState	Domain: Range:	SubjectBehavior or ChoiceSegmentPath		218
hasInputPoolConstraint	Domain: Range:	Subject InputPoolConstraint		219
hasKeyValuePair	Domain: Range:			220
hasMessageExchange	Domain: Range:	Subject	Generic super class for the Object- Properties linking a subject with either incoming or outgoing MessageExchanges.	221

Property name		Domain-Range	Comments	Reference
hasMessageType	Domain: Range:	MessageTypeConstraint or MessageSenderTypeConstraint or MessageExchange MessageSpecification		222
hasOutgoingMessage- Exchange	Domain: Range:	Subject MessageExchange		223
hasOutgoingTransition	Domain: Range:	State Transition		224
hasReceiver	Domain: Range:	MessageExchange Subject		225
hasRelationToModel- Component	Domain:	PASSProcessModelElement	Generic super class of all object properties in the standard-pass-ont that are used to link model elements with one-another.	226
	Range:	PASSProcessModelElement		
hasSender	Domain: Range:	MessageExchange Subject		227
hasSourceState	Domain: Range:	Transition State		228
hasStartSubject	Domain: Range:	PASSProcessModel StartSubject		229
hasTargetState	Domain Range	Transition State		230
hasTransitionCondition	Domain Range	Transition TransitionCondition		231
isBaseBehaviorOf	Domain: Range:	SubjectBaseBehavior	A specialized version of the "belongsTo" ObjectProperty to denote that a -SubjectBehavior belongs to a Subject as its BaseBehavior	232

Property name		Domain-Range	Comments	Reference
isEndStateOf	Domain: Range:	State and not SendState SubjectBehavior or ChoiceSegmentPath		233
isInitialStateOf	Domain: Range:	State SubjectBehavior or ChoiceSeg- mentPath		234
isReferencedBy	Domain: Range:			235
references	Domain: Range:			236
referencesMacroBehavior	Domain: Range:	MacroState MacroBehavior		237
refersTo	Domain:	CommunicationTransition	Communication transitions (send and receive) should refer to a message exchange that is defined on the interaction layer of a model.	238
	Range:	MessageExchange		
requiresActiveReception- OfMessage	Domain: Range:	Receive Transition Condition  Message Specification		239
requiresPerformed- MessageExchange	Domain: Range:	MessageExchangeCondition MessageExchange		240
SimplePASSObject-Propertie	Domain:		Every element/sub-class of SimplePASSObjectProperties is also a Child of PASSModelObjectPropertiy. This is simply a surrogate class to group all simple elements together	241

A.3 DATA PROPERTIES (27)

Property name		Domain-Range	Comments	Reference
( I		م-		
hasBusinessDayDurationTimeOutTime	Domain: Range:			
hasCalendarBasedFrequencyOrDate	Domain: Range:			
hasDataMappingString	Domain: Range:			
hasDayTimeDurationTimeOutTime	Domain: Range:			
hasDurationTimeOutTime	Domain: Range:			
hasGraphicalRepresentation	Range: Domain:		org/spec/DMN for specification of Feel-Statement-Strings The idea of these expression is to map data fields from and to the internal Data storage of a subject  The process models are in principle abstract graph structures. Yet the visualization of process models is very important since many process models are initially created in a graphical form using a graph editor that was created to foster human comprehensibility. If available any process ele-	
	Range:		ment may have a graphical representation attached to it	

Property name		Domain-Range	Comments	Reference
hasKey	Domain:			
	Range:			
hasLimit	Domain:			
	Range:			
hasMaximumSubjectInstanceRestriction	Domain:			
	Range:			
hasMetaData	Domain:			
	Range:			
hasModelComponentComment	Domain:		equivalent to rdfs:comment	
	Range:			
hasModelComponentID	Domain:		The unique ID of a PASSPro-	
			cessModelComponent	
	Range:			
hasModelComponentLabel	Domain:		The human legible label or	
			description of a model ele-	
			ment.	
	Range:			

Property name		Domain-Range	Comments	Reference
hasPriorityNumber	Domain:		Transitions or Behaviors	
			have numbers that denote	
			their execution priority in	
			situations where two or more	
			options could be executed.	
			This is important for auto-	
			mated execution.	
			E.g. when two messages	
			are in the in-box and could	
			be followed, the message de-	
			noted on the transition with	
			the higher priority (lower	
			priority number) is taken out	
			and processed.	
			Similarly, SubjectBehaviors	
			with higher priority (lower	
			priority number) are to be	
			executed before Behaviors	
			with lower priority.	
	Range:			

Property name		Domain-Range	Comments	Reference
hasReoccuranceFrequenyOrDate	Domain:		A data field meant for the two classes Reoccurance-TimeOutTransition and ReoccuranceTimeOutExit-Condition.  ToDo: Define the according data format for describing the iteration frequencies or reoccurring dates. Opinion: rather complex: expressive capabilities should cover expressions like: "every 2nd Monday of Month at 7:30 in Morning." Every 29th of July" or "Every Hour", "ever 25 Minuets", "once each day", "twice each week" etc	
hasSVGRepresentation	Domain:		The Scalable Vector Graphic (SVG) XML format is a text based standard to describe vector graphics.  Adding according image information as XML literals is therefor a suitable, yet not necessarily easily changeable option to include the graphical representation of model elements in the an OWL file.	
hasTimeBasedReoccuranceFrequencyOrDate	Domain: Range:			

D				D - (
Property name		Domain-Kange	Comments	Kererence
hasTimeValue	Domain:		Generic super class for all data properties of time based transitions.	
	Range:			
hasToolSpecificDefinition	Domain:		This is a placeholder DataProperty meant as a tie in point for tool vendors to include tool specific data values/properties into models. By denoting their own data properties as sub-classes to this one the according data fields can easily be recognized as such. However, this is only an option and a place holder to remind that something like this is possible.	
	Range:			
hasValue	Domain: Range:			
has Year Month Duration Time Out Time	Domain: Range:			
isOptionalToEndChoiceSegmentPath	Domain: Range:			
isOptionalToStartChoiceSegmentPath	Domain: Range:			
owl:topDataProperty	Domain: Range:			
PASSModelDataProperty	Domain:		Generic super class of all DataProperties that PASS process model elements may have.	
	Kange:			

Property name		Domain-Range	Comments	Reference
SimplePASSDataProperties	Domain:		Every element/sub-class of SimplePASSDataProperties is also a Child of PASS-ModelDataPropertiy. This is simply a surrogate class to group all simple elements together	
	Range:			



# Mapping Ontology to Abstract State Machine

The following tables show the relationships between the PASS ontology and the PASS execution semantics described as ASMs. Because of historical reasons in the ASMs names for entities and relations are different from the names used in the ontology. The tables below show the mapping of the entity and relation names in the ontology to the names used in the ASMs.

# B.1 MAPPING OF ASM PLACES TO OWL ENTITIES

Places are formally also functions or rules, but are used in principle as passive/static storage places.

OWL Model element	ASM interpreter	Description
X - Execution concept – the state the subject is currently in as defined by a <b>State</b> in the model	SID_state	Execution concept – no model representation, Not to be confused by a model "state" in an SBD Diagram. State in the SBD diagram define possible SID_States.
<b>SubjectBehavior</b> – under the assumption that it is complete and sound.	D	A Diagram that is a completely connected SBD
State	node	A specific element of diagram D - Every node 1:1 to state
State	state	The current active state of a diagram determined by the nodes of Diagram D
InitialStateOfBehavior,	initial state,	The interpreter expects and SBD Graph D to contain ex-
EndState	end state	actly one initial (start) state and at least one end state.
Transition	edge / outEdge	"Passive Element" of an edge in an SBD-graph
TransitionConditionn	ExitCondition	Static Concept that represents a Data condition
Execution Concept – ID of a Subject Carrier responsible possible multiple Instances of according to specific <b>SubjectBehavior</b>	subj	Identifier for a specific Subject Carrier that may be responsible for multiple Subjects
Represented in the model with InterfaceSubject	ExternalSubject	A representation of a service execution entity outside of the boundaries of the interpreter (The PASS-OWL Standardization community decided on the new Term of Interface Subject to replace the often-misleading older term of External Subject)
SubjectBehavior or rather SubjectBaseBehavior as MacroBehaviors and GuardBehaviors are not covered by Börger	subject-SBD / SBDsubject <sub>subject</sub>	Names for completely connected graphs / diagrams representing SBDs
Object Property: hasFunctionSpecification (linking State, and FunctionSpecification ->(State hasFunctionSpecification FunctionSpecification)	service(state) / service(node)	Rule/Function that reads/returns the service of function of a given state/node

OWL Model element	ASM interpreter	Description
DoState SendState ReceiveState	function state, send state, receive state	The ASM spec does not itself contain these terms. The description text, however, uses them to describe states with an according service (e.g. a state in which a (ComAct = Send) service is executed is referred to as a send state) Seen from the other side: a SendState is a state with service(state) = Send)  Both send and receive services are a ComAct service. The ComAct service is used to define common rules of these communication services.
CommunicationActs with sub-classes (ReceiveFunction SendFunction) DefaultFunctionReceive1_EnvironmentChoice DefaultFunctionReceive2_AutoReceiveEarliest DefaultFunctionSend	ComAct	Specialized version of Perform-ASM Rule for communication, either send or receive. These rules distinguish internally between send and receive.

# B.2 MAIN EXECUTION/INTERPRETING RULES

The interpreter ASM Spec has main-function or rules that are being executed while interpreted.

- BEHAVIOR(subj,state)
- PROCEED(subj,service(state),state)
- PERFORM(subj,service(state),state)
- START (subj,X, node)

These make up the main interpreter algorithm for PASS SBDs and therefore have no corresponding model elements but rather are or contain the instructions of how to interpret a model.

OWL Model element	ASM interpreter	Description
Execution concept	BEHAVIOR(subj;state)	Main interpreter ASM-rule/Method
Execution concept	BEHAVIOR(subj;node)	ASM-Rule to interpret a specific node of Diagram D for a specific subject
Execution concept	Behaviorsubj (D)	Set of all ASM rules to interprete all nodes/states in a SBD(iagram) D for a given subj (set of all BEHAV-IOR(subj;node)
State has Function Specification Function Specialized in:  Dofunction and Communication Acts with Receive Function Send Function There exist a few default activities: Default Function Do2_Automatic Evaluation Communication Acts with Receive Function Communication Acts with Receive Function Communication Acts with Receive Function Send Function Default Function Receive 1_Environment Choice Default Function Receive 2_AutoReceive Farliest	PERFORM(subj ; service(state); state)  PERFORM(subj ; comAct; state)	ser- Main interpreter ASM-rule/Method  Act; ASM-Rule specifying the execution of a Comunication act in an according state)
DefaultFunctionSend		

Table B.2: Main Execution/Interpreting Rules

# **B.3** Functions

Functions return some element. They are activities that can be performed to determine something. Dynamic functions can be considered as "variables" known from programming languages, they can be read and written. Static functions are initialized before the execution, they can only be read. Derived functions "evaluate" other functions, they can only be read. "They may be thought of as a global method with read-only variables"

OWL Model element	ASM interpreter	Description
Function that the return state should correspond to/be derived from one of the multiple State in an SBD model	SID_state(subj)	Dynamic ASM-Function that stores the current state of a subj
State hasOutgoingTransition Transition (input / worked on link / output (Set of Transition) (linking State with)	OutEdge(state;) OutEdge(state;i)	Function that returns the set of outgo- ing edges of a state or a single specific edge i
Object Property: has TargetState (linking Transition and State —> Transition has TargetState State	target(edge)/ target(outEdge) /	Function that returns the follow up state of an outgoing transition (out-Edge is a special denomination for an edgereturned by the outEdge-Function)
Object Property: hasSourceState (linking Transition and State-> Transition hasSourceState State (input / worked on link / output)	source(edge)	Function that returns the source state of an edge
Determine Follow	Determine Follow up state Mechanic	
Exit conditions in PASS are defined on their corresponding  Transitions and therefore are called  TransitionCondition  Transitions have (hasTransitionCondition) (State → hasOutgoing- Transition → Transition → hasTransitionCondition → Transition- Condition)	ExitCond(e) ExitCond(outEdge) ExitCond_i(e) ExitCond(e)(subj,state)	Derived Function that evaluates the ExitCondition of a given edge/outgoing edge
Execution Concept	select <sub>Edge</sub>	ASM Function that determines an edged (transition) to follow.
Execution Concept (connected to: State, and FunctionSpecification)	completed(subj ; service(state); state)	Function that returns true if the Service of a certain state is complete IF the subject is in that state
Execution Concept		Rule/Function that gives that returns the service of function of a given state

Table B.3: Derived Functions

B.4 Extended Concepts – Refinements for the Semantics of Core Actions

OWL Model element	ASM interpreter	Description
Function that the return state should correspond to/be derived from   SID_state(subj)	SID_state(subj)	Dynamic ASM-Function that stores the
one of the multiple State in an SBD model		current state of a subj
State hasOutgoingTransition Transition	OutEdge(state)	Function that returns the set of outgo-
(input / worked on link / output (Set of Transition) (linking State   OutEdge(state;i)	OutEdge(state;i)	ing edges of a state or a single specific
with )		edge i

Table B.4: Refinrments places

B.5 INPUT POOL HANDLING

OWL Model element	ASM interpreter	Description
Refers to a set of InputPoolConstraints of Subject that has hasIn- constraintTable(inputPool)	constraintTable(inputPool)	Function that Returns the set of all in-
puir voiconstraints – 101 its input r 001		put rooi constrains
Execution Concept with evalution relevance for: MessageSender-	sender/receiver	Identifiers for possible subject in-
TypeConstraint and SenderTypeConstraint		stances trying to access an input pool
Refers to a set of InputPoolConstraints of Subject that has hasIn-	msgType )	Function that Returns the set of all in-
putPoolConstraints – for its Input Pool		put Pool constrains
Execution Concept	select MsgKind(subj ;state;alt;i)	ASM Function that determines the
		message kind ("message type") to be received in a given receive state.
InputPoolContstraintHandlingStrategy	/Blocking; DropYoungest;	Default Input Pool handling strategies
And their individual defaultinstances:	DropOldest; DropIncoming/	for
InputPoolConstraintStrategy-Blocking		
InputPoolConstraintStrategy-DeleteLatest		
InputPoolConstraintStrategy-DeleteOldest		
InputPoolConstraintStrategy-Drop		
Execution Concept – can be restricted by InputPoolConstraint – for	P / inputPool	The actual Input Pool
its Input Pool		
synchronous communication	Definition for an input pool <b>co</b>	Definition for an input pool constraint set to 0 requiring sender
	and receiver interpreter to be i	and receiver interpreter to be in the corresponding send and re-
	ceive states at the same time in	ceive states at the same time in order to actually communicate (as
	messages cannot be passed to an input pool)	n input pool)

Table B.5: Input Pool Handling

B.6 OTHER FUNCTIONS

OWL Model element	ASM interpreter	Description
Exit conditions in PASS are defined on their corresponding Transitions and therefore are called TransitionCondition. Execution Concept: can be set on. Execution Con-	NormalExitCond	is used internally to "remember" that neither a timeout nor a user cancel have happened, so that the correct exit
cept: used to determine the correct exit		transition can be taken.
	Timer/Timeout Mechanic: The evaluation and handling of timeouts is de-	tion and handling of timeouts is de-
captured by InnerTransitions that have (has Iransition-Condition) a TimerTransitionCondition containing the	fined (and refined) with several rules and functions. <i>OutLage(timeout(state), Timeout(subj , state, timeout(state)), SetTimeout(lock(subj , state)</i> are used to	: and functions.OutEdge(timeout(state), TimeoutClock(subj ; state) are used to
date. The timeout(state) function should read the infor-	evaluate the timeout condition, OutEdge(Interrupt_service(state)(subj , state) is	ge(Interrupt_service(state)(subj , state) is
mation.	used to define how the corresponding service should be canceled. Out-	g service should be canceled. Out-
	Edge(11meoutexitCond) is used internally to remember that a unleout happened, so that the correct exit transition can be taken.	y to remember tnat a umeout nap- can be taken.
In PASS models the possibility to arbitrarily cancel the ex-	User Cancel/Abrupt Mechanic: The evaluation and handling of user cancels	aluation and handling of user cancels
ecution of a (receive) function and the possible course of	is defined (and refined) with several rules and functions. UserAbruption(subj,	lles and functions. UserAbruption(subj,
action afterwards may be discerned via a UserCancelTran-	state) is used to evaluate the user decision, Abrupt_service(state)(subj, state) is	ion, Abrupt_service(state)(subj, state) is
sitions	used to define how the corresponding service should be abrupted. Abruption Ex-	rvice should be abrupted. Abruption $Ex$ -
	itCond is used internally to "remember" that a user cancel happened, so that the	that a user cancel happened, so that the
	correct exit transition can be taken.	
With the definition of the data properties hasMaximum-	MultiRound / mult(alt) / InitializeMul-	Definition of Functions and ASM rules
SubjectInstanceRestriction The MultiSubject are actually	tiRoun / ContinueMultiRoundSuccess	for interaction between multiple Sub-
the standard and SingleSubject the special case	(among others	jects at once
Handling of ChoiceSegment & ChoiceSegmentPath	AltAction / altEntry(D) / altExit(D) Alt-	Rules for the semantics/handling of
hasOutgoingTransition Transition	BehDgm(altSplit) altJoin(altSplit)	ChoiceSegements
(input / worked on link / output (Set of Transition) (link-		
- 1		
State hasOutgoingTransition Transition (input / worked on link / output (Set of Transition) (link-	Compulsory(altEntry(D)) and textit- Compulsory(altExit(D))	
ing State with )		

Table B.6: Other Functions

B.7 ELEMENTS NOT COVERED NOT BY BÖRGER (DIRECTLY)

OWL Model element	Description
ReminderTransition / ReminderEventTransitionCondition	Transi- This type time-logic-based transitions did not exist when the original ASM interpreter was conceived. They were added to PASS for the OWL Standard. They can be handled by assuming the existence of an implicit calendar subject that sends an interrupt message (reminder) upon a time condition (e.g. reaching of a calendarial date) has been achieved. (includes the specialized (CalendarBasedReminderTransition, TimeBasedReminderTransition)
DataDescribingComponent / DataMapping-Function	The PASS OWL standard envisions the integration and usage of classic data element (Data Objects) as part of a process model. The Börger Interpreter does not assume the existence of such data elements as part of the model. However, the refinement concept of ASMs could easily been used to integrate according interpretation aspects. (Includes Elements such as PayloadDescription for Messages or DataMappingFunction

Table B.7: Other Functions



# APPENDIX C

# CoreASM PASS Reference Implementation

# C.1 CONCEPTUAL DIFFERENCES TO THE OWL DESCRIPTION

This reference implementation has some conceptual differences to the OWL description. For example it provides less flexible InputPool constraints and does not support synchronous communication, however it supports advanced features that are required for distributed inter-company business processes and provides concrete implementations for the usage of Subject Data.

Concept	Reference Implementation	OWL Description
InputPool	Exactly one limit has to be defined for each Subject, the value 0 means the InputPool is not limited. The limit applies to each pair of (Sender, MessageType). Only InputPoolConstraintStrategy-Blocking is supported, synchronous send & receive is not supported.	Allows exact limits for different Subjects and MessageTypes. The combination InputPoolConstraintStrategy-Blocking with the limit of 0 means synchronous send & receive. Additionally defines the strategies DeleteLatest, Delete-Oldest and Drop.
Subject Restart	Implicit when an additional message arrives on a Subject that is proper terminated.	Explicit via EndState on a ReceiveState and outgoing transitions.
Proper Termination	When a Subject terminates and its InputPool is empty it is <i>proper terminated</i> and can be restarted.	Absent.
End State	Implemented as a distinguished state. It cannot be a ReceiveState and forbids any outgoing transitions.	Must either be DoState or ReceiveState. Allows outgoing transitions to restart the Subject.
Choice Segments	A Choice Segment begins with a Modal Split state. The paths are joined in a Modal Join state. The target State of every outgoing Transition from the Modal Split State is an InitialStateOfChoice-SegmentPath. Every Choice Segment Path is mandatory to start and mandatory to end.	A Choice Segment is a single State. Choice Segment Paths can be both optional to start and optional to end. Choice Segment Paths are not connected by Transitions to the ChoiceSegment state.

Table C.1: Key Conceptual Differences

The most important differences are listed in Table C.1: while the OWL description offers both synchronous and asynchronous communication, different InputPool limits and handling strategies this reference implementation adresses only asynchronous communication with a blocking strategy. Conceptionally the End State is not a property of a state, but provides a distinguished function specification to terminate a Subject and determine the *proper terminaton*, which is required for the verification on interaction soundness. Next, the execution of Choice Segment Paths is not controlled by a Choice Segment State, but those paths have to be started with a Modal Split Function and joined in a Modal Join Function.

Concept	Reference Implementation	OWL Description
CorrelationID	Concept to correlate messages, e.g. responses to requests, so that messages can be send and received reliable in a loop.	Absent.
InputPool Functions	InputPool Functions perform operations on the Subject's Input-Pool, e.g. close it for some message types or sender Subjects.	Absent.
Timer Transition	The Timer Transition Condition has to be defined in seconds.	It is possible to define the time- out in arbitrary time durations, including in business days.
Reminder Transition	Absent.	A ReminderTransition can be traversed if a certain time based event or frequency has been reached.
Mobility of Channels	Concept to store and forward at runtime references to other subjects and their agents.	Absent.
Macro Exit Parameter	Attribute on the End State of a Macro Behavior that selects a corresponding Transition on the MacroState.	Absent.
Maximum Subject Instances	Absent. Every Subject can have an arbitrary amount of instances.	The hasMaximumSubjectIn- stanceRestriction property limits the amount of instances that can be created at runtime of a Subject.

Table C.2: Further Conceptual Differences

In Table C.2 further important conceptual differences are listed: CorrelationIDs define a relation between messages and allow reliable looped communication patterns. CorrelationIDs appear as metadata of a message and as argument to an InputPool queue: A CorrelationID is created when a message is send and part of it. A later message, that relates to it, will be send to the InputPool queue of that CorrelationID, allowing a receive state to specify that only specific messages can be received. With the InputPool Functions queues of the InputPool can be opened and closed, forcing senders to block if they attempt to send to a closed queue. Also, the InputPool can be checked if it is empty or not, so that *non-proper termination* can be avoided. As this reference implementation targets an interactive process model validation only timeouts in seconds are considered. With the Mobility of Channels concept it is possible to store runtime references to agents as Subject Data and to communicate those references with other Subjects, which enables various distributed communication patterns. Macro Exit Parameters allow a Macro State to have multiple outgoing transitions. Within the Macro Behavior the End State determines which outgoing transition will be activated. Limiting the number of Subject instances is not possible, which also means that SingleSubjects are not enforced.

Table C.3 lists differences in Subject Data concepts. Descriptions of the Payload are not supported. The Data Types are hardcoded: "MessageSet" contains a set of Messages, "CorrelationID" contains a single CorrelationID, "ChannelInformation" stores Channels and "Text" is used for arbitrary contents, that are entered by a user in the abstract process evaluation. However, those Data Types are used only at runtime to describe the actual content of a Data Object or a Message.

This reference implementation adds a scope for Data Object Definitions, allowing a Data

Object to be accessible either "globally" for all states in all behaviors of a Subject or only within a single Macro Behavior instance, allowing macros to execute concurrently without influencing each other. Further, this enables copying Data Ojects as arguments from the scope of a Macro State into the scope of the called Macro instance.

While the OWL description merely anticipates Data Mapping Functions there are concrete implementations to use Data Objects in the Send and Receive Functions. Additionally this reference implementation provides Data Modification Functions to perform operations on Data Objects.

Concept	Reference Implementation	OWL Description
Payload Description	Absent.	A PayloadDescription describes a MessageSpecification further.
Data Types	Hardcoded: MessageSet, CorrelationID, ChannelInformation and Text. The hasDataType property on Data Object definitions is ignored.	A DataTypeDefinition allows complex definitions, for example XSD Data Types or definitions in OWL or JSON. Data Object definitions and Payload descriptions must have a Data Type specified.
Subject Data Scope	A DataObjectDefinition can be scoped to either the Subject or a SubjectBehavior. When it is scoped to a Behavior its value is accessible only within instances of that Behavior, i.e. within a single instance of a Macro Behavior. When it is scoped to the Subject its value is accessible from every SubjectBehavior instance, given there is no locally scoped Data Object Definition with the same ID.	Is always scoped to the Subject.
Macro Data Arguments	A MacroState can copy the value of a DataObjectDefinition into the scope of another DataObjectDefinition, which is scoped to the referenced MacroBehavior.	Absent.
Data Mapping Functions	StoreMessageFunction stores the received messages in a local Data Object. UseMessageContent-Function uses the value of a Data Object as content of the outgoing message. UseCorrelationID-Function uses the value of a Data Object as CorrelationID of the outgoing message.	Abstract.
Data Modification Functions	Data Modification Functions perform operations on Subject Data, e.g. concatenation of objects having a set-valued data type.	Absent.

Table C.3: Subject Data Conceptual Differences

As listed in Table C.4 a substantial conceptional difference to the OWL description is the lack of a discrete Guard Behavior. This is compensated by the introduction of State Priorities and the Cancel Function, which allows a transformation to an equivalent behavior: The guarded states are modelled in one ChoiceSegmentPath, a second ChoiceSegmentPath uses higher State Priorities and starts with the ReceiveState. Once a message can be received in this second path the execution of the first path is paused and the exception can be handled. After the exception handling the Subject can be terminated with the End State, the paused state can just continue or the paused state can be aborted with the Cancel Function.

Concept	Reference Implementation	OWL Description
Cancel Function	Enables the UserCancelTransition of a referenced State.	Absent.
State Priority	A utility to support the Observer concept. When multiple states are active only the states with the highest priority can perform. The Function of such states can conditionally allow states with a lower priority to perform.	Absent.
Observer	Indirectly supported, requires a manual transformation with two ChoiceSegmentPaths, where one path starts with a ReceiveState and uses higher State Priorities than the other. Returning to the interrupted behavior is implicitly supported, alternatively it can be aborted with the Cancel Function.	Explicitly supported. The Guard-Behavior has a reference to the observed States or Behaviors. A return to the interrupted state is possible with the GenericReturn-ToOriginReference State.

Table C.4: Observer Concept Differences

#### C.2 ARCHITECTURE

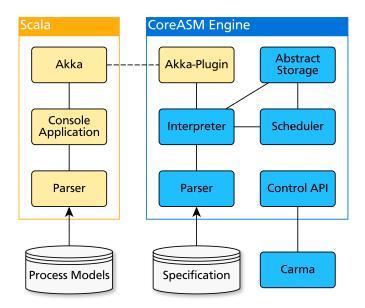


Figure C.1: Architecture of the reference implementation

The overall architecture is shown in figure C.1 and consists on the top level of a console application as user interface and the CoreASM Engine as execution environment for the PASS-interpreter specification. Both high level components are running in parallel in separate Java Virtual Machine processes. The PASS-interpreter specification file includes an ASM specification of asynchronous multi-agent ASMs, executing each Subject instance by one ASM-agent each.

The CoreASM Engine parses the specification file and executes the rules in the interpreter in cooperation with the abstract storage and the scheduler. The abstract storage stores the state of the ASM and is also used to support TurboASMs and their submachine states.

The scheduler is used to support asynchronous multi-agent ASMs; with the *default* scheduling policy it selects a random subset of the running ASM agents and calls the interpreter for the parallel evaluation of their main rules. If the resultant update sets are consistent they are applied

C.3. Editorial Note

in the abstract storage, otherwise the scheduler selects a different subset of the running ASM agents for a re-evaluation. Supplementary the *onebyone* scheduling policy can be used to evaluate only a single agent per step and the *allfirst* policy can be used to attempt to evaluate all agents at once before falling back to the default scheduling policy in case of inconsistencies. The reference implementation uses the *allfirst* scheduling policy for performance reasons, but the *default* policy could be used as well.

The Carma application is a simple Java application provided by the CoreASM framework to allow the usage of the CoreASM engine as standalone application in a command line.

The console application is developed in Scala. A user can load, start and execute locally stored PASS process model files with it. The interaction between the console application and the CoreASM engine is realized by Akka actors. The Akka actor of the engine has full read and write access to all CoreASM functions by using a Plug-In interface.

#### C.3 EDITORIAL NOTE

To improve the typography the code blocks in this appendix have been compacted. Instead of writing the rule Behavior spread-out like in Listing 31 the code blocks are transformed to a more compact notation as shown in Listing 32.

Listing 32: Behavior, compact snippet

Some elements are intentionally left out in order to preserve space. For example, the rule InputPool\_Pop and the derived availableMessages function contain lengthy list traversals, and their definitions contain undocumented parameters used in the test environment for debugging. Other functions like derived searchMacro or derived hasTimeoutTransition should be self-explanatory.

#### C.4 BASIC DEFINITIONS

```
function channelFor : Agents -> LIST

derived processIDFor(a) = processIDOf(channelFor(a))
derived processInstanceFor(a) = processInstanceOf(channelFor(a))
derived subjectIDFor(a) = subjectIDOf(channelFor(a))
derived agentFor(a) = agentOf(channelFor(a))

derived processIDOf(ch) = nth(ch, 1)
derived processInstanceOf(ch) = nth(ch, 2)
derived subjectIDOf(ch) = nth(ch, 3)
derived agentOf(ch) = nth(ch, 4)
```

Listing 33: channelFor

```
// Channel -> List[List[MI, StateNumber]]
function killStates : LIST -> LIST

// Channel * macroInstanceNumber -> List[StateNumber]
function activeStates : LIST * NUMBER -> LIST
```

Listing 34: activeStates

```
// -> PI
function nextPI : -> NUMBER
// PI -> Channel
function nextPIUsedBy : NUMBER -> Agents

// Channel -> Number
function nextMacroInstanceNumber : LIST -> NUMBER

// Channel -> Boolean
function properTerminated : LIST -> BOOLEAN

derived anyNonProperTerminated(chs) =
    exists ch in chs with (properTerminated(ch) = false)

// Channel * MacroInstanceNumber * StateNumber -> Set[String]
function wantInput : LIST * NUMBER * NUMBER -> SET
```

Listing 35: nextPI

```
// Channel * MacroInstanceNumber * StateNumber -> BOOLEAN
function initializedState : LIST * NUMBER * NUMBER -> BOOLEAN
// Channel * MacroInstanceNumber * StateNumber -> BOOLEAN
function completed : LIST * NUMBER * NUMBER -> BOOLEAN
// Channel * MacroInstanceNumber * StateNumber
function timeoutActive : LIST * NUMBER * NUMBER -> BOOLEAN
function cancelDecision : LIST * NUMBER * NUMBER -> BOOLEAN
// Channel * MacroInstanceNumber * StateNumber -> BOOLEAN
function abortionCompleted : LIST * NUMBER * NUMBER -> BOOLEAN
// Channel * MacroInstanceNumber * StateNumber
function selectedTransition : LIST * NUMBER * NUMBER -> NUMBER
function initializedSelectedTransition : LIST * NUMBER * NUMBER
  -> BOOLEAN
function startTime : LIST * NUMBER * NUMBER -> NUMBER
// Channel * MacroInstanceNumber * TransitionNumber -> BOOLEAN
function transitionEnabled : LIST * NUMBER * NUMBER -> BOOLEAN
// Channel * MacroInstanceNumber * TransitionNumber -> BOOLEAN
function transitionCompleted : LIST * NUMBER * NUMBER -> BOOLEAN
```

Listing 36: initializedState

Listing 37: shouldTimeout

```
// Channel * macroInstanceNumber * varname -> [vartype, content]
function variable : LIST * NUMBER * STRING -> LIST

// Channel -> Set[(macroInstanceNumber, varname)]
function variableDefined : LIST -> SET
```

```
// Channel * macroInstanceNumber -> result
function macroTerminationResult : LIST * NUMBER -> ELEMENT

// Channel * macroInstanceNumber -> MacroNumber
function macroNumberOfMI : LIST * NUMBER -> NUMBER

// Channel * macroInstanceNumber * StateNumber -> MacroInstance
function callMacroChildInstance : LIST * NUMBER * NUMBER -> NUMBER
```

Listing 39: macroTerminationResult

#### C.5 Interaction Definitions

```
// Channel * senderSubjID * msgType * correlationID
// -> [msg1, msg2, ...]
function inputPool : LIST * STRING * STRING * NUMBER -> LIST
/* stores all locations where an inputPool was defined */
// Channel -> {[senderSubjID, msgType, correlationID], ...}
function inputPoolDefined : LIST -> SET
// Channel * senderSubjID * msgType * correlationID
function inputPoolClosed : LIST * STRING * STRING * NUMBER
  -> BOOLEAN
derived inputPoolIsClosed(ch, senderSubjID, msgType, cID) =
return boolres in
let isClosed = inputPoolClosed(ch, senderSubjID, msgType, cID) in
  if (isClosed = undef) then // default: global state
   boolres := inputPoolClosed(ch, undef, undef, undef)
  else
    boolres := isClosed
```

# Listing 40: inputPool

```
// Channel * MacroInstanceNumber * StateNumber -> Set[Messages]
function receivedMessages : LIST * NUMBER * NUMBER -> SET

// Channel * MacroInstanceNumber * StateNumber -> Set[Channel]
function receivers : LIST * NUMBER * NUMBER -> SET

// Channel * MacroInstanceNumber * StateNumber
function messageContent : LIST * NUMBER * NUMBER -> LIST
function messageCorrelationID : LIST * NUMBER * NUMBER -> NUMBER
function messageReceiverCorrelationID : LIST * NUMBER * NUMBER
-> NUMBER

// Channel * MacroInstanceNumber * StateNumber -> Set[Channel]
function reservationsDone : LIST * NUMBER * NUMBER -> SET

function nextCorrelationID : -> NUMBER
function nextCorrelationIDUsedBy : NUMBER -> Agents
```

Listing 41: receivedMessages

C.6 Subject Rules

```
rule GenerateUniqueProcessInstanceID = {
  nextPI := nextPI + 1
  result := nextPI
  // ensure that `nextPI` is not used by multiple agents in the
  // same ASM step. A collision would occur when multiple agents
  // try to call this rule in the same asm step
  nextPIUsedBy(nextPI) := self
}
```

Listing 42: GenerateUniqueProcessInstanceID

```
rule StartProcess(processID, additionalInitializationSubject,
                             additionalInitializationAgent) =
  let pID = processID in
  local PI in
      PI <- GenerateUniqueProcessInstanceID()
    next {
      result := PI
      foreach sID in keySet(processSubjects(pID)) do
        if (sID = additionalInitializationSubject) then
          let ch = [pID, PI,
                    sID, additionalInitializationAgent] in
            InitializeSubject(ch)
        else if (isStartSubject(pID, sID) = true) then
          let agentSet = safeSet(predefinedAgents(pID, sID)) in
          if (|agentSet| = 1) then
            // shortcut: avoid user interaction
            let agentName = firstFromSet(agentSet) in
            let ch = [pID, PI, sID, agentName] in
              seq
                InitializeSubject(ch)
              next
                StartASMAgent(ch)
            SelectAgentAndStartASMAgent(pID, PI, sID)
    }
```

```
// -> SET[ASMAgent]
function asmAgents : -> SET
derived running(ch) = exists a in asmAgents
                          with channelFor(a) = ch
rule EnsureRunning(ch) =
  if (running(ch) != true) then
    StartASMAgent(ch)
rule StartASMAgent(ch) =
  extend Agents with a do {
    add a to asmAgents
    channelFor(a) := ch
    program(a)
                 := @StartMainMacro
rule PrepareReceptionOfMessages(ch) =
  /\!/\ \mathit{might}\ \mathit{be}\ \mathit{called}\ \mathit{multiple}\ \mathit{times},\ \mathit{esp.}\ \mathit{via}\ \mathit{SelectAgents}
  if (properTerminated(ch) = undef) then {
    properTerminated(ch) := true
    inputPoolDefined(ch) := {}
    inputPoolClosed(ch, undef, undef, undef) := false
rule FinalizeInteraction =
  let ch = channelFor(self) in
  let proper = inputPoolIsEmpty(ch, undef, undef, undef) in
    properTerminated(ch) := proper
rule InitializeSubject(ch) = PrepareReceptionOfMessages(ch)
```

Listing 44: StartASMAgent

```
rule StartMainMacro =
let ch = channelFor(self),
   pID = processIDFor(self) in {
   killStates(ch) := []

let MI = 0 in { // 0 => global / predefined variables
    variableDefined(ch) := {[MI, "$self"], [MI, "$empty"]}
   variable(ch, MI, "$self") := ["ChannelInformation", {ch}]
   variable(ch, MI, "$empty") := ["Text", ""]
}

let MI = 1, // 1 => MainMacro Instance
   mID = subjectMainMacro(pID, subjectIDFor(self)) in
let startState = macroStartState(pID, mID) in {
   macroNumberOfMI(ch, MI) := mID

   nextMacroInstanceNumber(ch) := MI + 1

   activeStates(ch, MI) := [startState]
}

program(self) := @SubjectBehavior
}
```

Listing 45: StartMainMacro

```
rule StartMacro(MI, currentStateNumber, mIDNew, MINew) = {
  let pID = processIDFor(self) in
  let startState = macroStartState(pID, mIDNew) in {
    activeStates(channelFor(self), MINew) := []
    AddState(MI, currentStateNumber, MINew, startState)
  }
}
```

Listing 46: StartMacro

```
// called from PerformEnd and AbortCallMacro
rule AbortMacroInstance(MIAbort, ignoreState) =
 let ch = channelFor(self) in {
  foreach currentState in activeStates(ch, MIAbort) do {
   add [MIAbort, currentState] to killStates(ch)
  }
  ClearAllVarInMI(ch, MIAbort)
}
```

Listing 47: AbortMacroInstance

```
- REPEAT
  - Behavior should be executed again for this state
  - updates from this execution step will be merged with the
    following execution step in one global ASM update
  - no other states are allowed to be executed
  - no other active states are allowed to be executed
  - new states are started
  - global ASM / LTS step will be done
- NEXT
  - nothing changed / waiting for input
  - other active states with the same priority can be executed
  - active states with lower priority can not be executed
  - nothing changed / waiting for input
  - other active states, even with lower priority, can be executed
// Channel * MacroInstanceNumber * stateNumber
function executionState : LIST * NUMBER * NUMBER -> NUMBER
// Channel * MacroInstanceNumber
function macroExecutionState : LIST * NUMBER -> NUMBER
// Channel * MacroInstanceNumber * stateNumber -> List[(MI, s)]
function addStates : LIST * NUMBER * NUMBER -> LIST
// Channel * MacroInstanceNumber * stateNumber -> List[(MI, s)]
function removeStates : LIST * NUMBER * NUMBER -> LIST
                          Listing 48: SetExecutionState
rule AddState(MI, currentStateNumber, MINew, sNew) =
  add [MINew, sNew]
    to addStates(channelFor(self), MI, currentStateNumber)
rule RemoveState(MI, currentStateNumber, MIOld, sOld) =
  add [MIOld, sOld]
    to removeStates(channelFor(self), MI, currentStateNumber)
                              Listing 49: AddState
rule SubjectBehavior =
  choose x in killStates(channelFor(self)) do
    KillBehavior(nth(x, 1), nth(x, 2))
  ifnone
    seqblock
     MacroBehavior(1)
    next // reset
      macroExecutionState(channelFor(self), 1) := undef
```

```
rule KillBehavior(MI, currentStateNumber) =
 let ch = channelFor(self),
       s = currentStateNumber in
 if (initializedState(ch, MI, s) != true) then {
    // state is not initialized,
    // remove without further abortion
   remove [MI, s] from killStates(ch)
   remove s from activeStates(ch, MI)
  else if (abortionCompleted(ch, MI, s) = true) then {
   ResetState(MI, s)
   remove [MI, s] from killStates(ch)
   remove s from activeStates(ch, MI)
 else seqblock
    executionState(ch, MI, s) := undef
    addStates(ch, MI, s)
   removeStates(ch, MI, s)
    // NOTE: no new state must be added.
    // Also, removeStates should be empty,
    // as those states should be added to killStates
   Abort(MI, s)
  endseqblock
```

Listing 51: KillBehavior

```
rule MacroBehavior(MI) =
 let ch = channelFor(self),
     pID = processIDFor(self) in
 local remainingStates := activeStates(ch, MI) in
     macroExecutionState(ch, MI) := undef
   next
      // NOTE: can not be done with foreach,
     // as remainingStates is modified
     while (|remainingStates| > 0) do
      let stateNumber
        = getAnyStateWithHighestPrio(pID, remainingStates) in
      seqblock
        executionState(ch, MI, stateNumber) := undef
        addStates(ch, MI, stateNumber)
        removeStates(ch, MI, stateNumber)
        Behavior(MI, stateNumber)
        // NOTE: mutates remainingStates!
        let state = executionState(ch, MI, stateNumber) in
          UpdateRemainingStates(MI, stateNumber, state, remainingStates)
        UpdateActiveStates(MI, stateNumber)
      endseqblock
```

Listing 52: MacroBehavior

```
rule UpdateRemainingStates(MI, stateNumber,
                           exState, remainingStates) =
  let ch = channelFor(self),
     pID = processIDFor(self) in
  if (exState = REPEAT) then {
    remainingStates := [stateNumber]
    macroExecutionState(ch, MI) := DONE
    // reset
    executionState(ch, MI, stateNumber) := undef
  else if (exState = DONE) then {
    seq // end loop ...
     remainingStates := []
    next
      // ... but add new states of this MI to initialize them,
      // so that all states have the same start time
     foreach x in addStates(ch, MI, stateNumber)
        with nth(x, 1) = MI do {
        add nth(x, 2) to remainingStates
    macroExecutionState(ch, MI) := DONE
    // quasi-reset
    executionState(ch, MI, stateNumber) := NEXT
  else if (exState = NEXT) then {
     remove stateNumber from remainingStates // remove self
      // reduce to states with same priority
      let prio = statePriority(pID, stateNumber) in
        remainingStates
          := filterStatesWithSamePrio(pID, remainingStates, prio)
    if (macroExecutionState(ch, MI) != DONE) then
      macroExecutionState(ch, MI) := NEXT
  else if (exState = LOWER) then {
    remove stateNumber from remainingStates // remove self
    if (macroExecutionState(ch, MI) != DONE
        and macroExecutionState(ch, MI) != NEXT) then
     macroExecutionState(ch, MI) := LOWER
  }
```

Listing 53: UpdateRemainingStates

```
rule UpdateActiveStates(MI, stateNumber) =
  // NOTE: everything needs to be sequential,
 // as activeStates is a list and not a set
 let ch = channelFor(self) in seqblock
   foreach x in addStates(ch, MI, stateNumber) do
      let xMI = nth(x, 1),
          xN = nth(x, 2) in {
        add xN to activeStates(ch, xMI)
      }
    addStates(ch, MI, stateNumber) := undef
    foreach x in removeStates(ch, MI, stateNumber) do
      let xMI = nth(x, 1),
          xN = nth(x, 2) in {
        // remove one instance of xN, if any
        remove xN from activeStates(ch, xMI)
        ResetState(xMI, xN)
      }
   removeStates(ch, MI, stateNumber) := undef
  endseqblock
                         Listing 54: UpdateActiveStates
// whether the state should be aborted or not
derived abortState(MI, stateNumber) =
  ((timeoutActive(channelFor(self), MI, stateNumber) = true) or
  (cancelDecision(channelFor(self), MI, stateNumber) = true))
                             Listing 55: abortState
rule Behavior(MI, currentStateNumber) =
 let s = currentStateNumber,
   ch = channelFor(self) in
 if (initializedState(ch, MI, s) != true) then
   StartState(MI, s)
 else if (abortState(MI, s) = true) then
    AbortState(MI, s)
 else if (completed(ch, MI, s) != true) then
   Perform(MI, s)
  else if (initializedSelectedTransition(ch, MI, s) != true) then
   StartSelectedTransition(MI, s)
  else
  let t = selectedTransition(ch, MI, s) in
    if (transitionCompleted(ch, MI, t) != true) then
     PerformTransition(MI, s, t)
    else {
     Proceed(MI, s, targetStateNumber(processIDFor(self), t))
      SetExecutionState(MI, s, DONE)
```

```
rule AbortState(MI, currentStateNumber) =
  let ch = channelFor(self),
     pID = processIDFor(self),
       s = currentStateNumber in
  if (abortionCompleted(ch, MI, s) != true) then
    Abort(MI, s)
  else {
    if (cancelDecision(ch, MI, s) = true) then {
      let t = outgoingCancelTransition(pID, s) in
      let target = targetStateNumber(pID, t) in {
        Proceed(MI, s, target)
      }
    }
    else if (timeoutActive(ch, MI, s) = true) then {
      let t = outgoingTimeoutTransition(pID, s) in
      let target = targetStateNumber(pID, t) in {
       Proceed(MI, s, target)
    }
    SetExecutionState(MI, s, DONE)
                             Listing 57: AbortState
```

# C.7 STATE RULES

```
rule StartState(MI, currentStateNumber) =
  let ch = channelFor(self),
    pID = processIDFor(self),
       s = currentStateNumber in seqblock
    InitializeCompletion(MI, s)
    abortionCompleted(ch, MI, s) := false
   ResetTimeout(MI, s)
    cancelDecision(ch, MI, s) := false
   DisableAllTransitions(MI, s)
    initializedSelectedTransition(ch, MI, s) := false
   wantInput(ch, MI, s) := {}
    case stateType(pID, s) of
      "function" : StartFunction(MI, s)
      "internalAction" : StartInternalAction(MI, s)
                  : StartSend(MI, s)
                      : SetExecutionState(MI, s, LOWER)
      // shortcut: directly to PerformEnd w/o ASM step
                       : SetExecutionState(MI, s, REPEAT)
    endcase
    initializedState(ch, MI, s) := true
  endseqblock
```

C.7. State Rules

```
rule ResetState(MI, stateNumber) =
 let ch = channelFor(self),
      s = stateNumber in {
   executionState(ch, MI, s) := undef
   initializedState(ch, MI, s) := undef
   completed(ch, MI, s) := undef
   abortionCompleted(ch, MI, s) := undef
    startTime(ch, MI, s) := undef
   timeoutActive(ch, MI, s) := undef
   cancelDecision(ch, MI, s) := undef
   selectedTransition(ch, MI, s) := undef
   let pID = processIDFor(self) in
   forall t in outgoingNormalTransitions(pID, s) do {
     transitionEnabled(ch, MI, t) := undef
      transitionCompleted(ch, MI, t) := undef
   initializedSelectedTransition(ch, MI, s) := undef
   wantInput(ch, MI, s) := undef
   // from StartSend
                                (ch, MI, s) := undef
   receivers
   reservationsDone
                                (ch, MI, s) := undef
   messageContent
                                (ch, MI, s) := undef
   messageCorrelationID
                                (ch, MI, s) := undef
   messageReceiverCorrelationID(ch, MI, s) := undef
```

Listing 59: ResetState

```
rule Perform(MI, currentStateNumber) =
  let pID = processIDFor(self)
    s = currentStateNumber in
  case stateType(pID, s) of
    "function" : PerformFunction(MI, s)
    "internalAction" : PerformInternalAction(MI, s)
    "send" : PerformSend(MI, s)
    "receive" : PerformReceive(MI, s)
    "end" : PerformEnd(MI, s)
    endcase
```

```
rule SelectTransition(MI, currentStateNumber) =
  let ch = channelFor(self),
       s = currentStateNumber in
  if (|outgoingEnabledTransitions(ch, MI, s)| = 0) then
    // BLOCKED: none to select
    SetExecutionState(MI, s, NEXT)
  else if (not(contains(wantInput(ch, MI, s),
                        "TransitionDecision"))) then {
    add "TransitionDecision" to wantInput(ch, MI, s)
    SetExecutionState(MI, s, DONE)
  }
  else
    // waiting for selectedTransition
    SetExecutionState(MI, s, NEXT)
                           Listing 61: SelectTransition
rule StartSelectedTransition(MI, currentStateNumber) =
  let ch = channelFor(self),
       s = currentStateNumber in {
    let t = selectedTransition(ch, MI, s) in {
      InitializeCompletionTransition(MI, t)
      initializedSelectedTransition(ch, MI, s) := true
    SetExecutionState(MI, s, REPEAT)
                        Listing 62: StartSelectedTransition
rule PerformTransition(MI, currentStateNumber, t) =
  let pID = processIDFor(self),
        s = currentStateNumber in
  case stateType(pID, s) of
    "function"
                    : PerformTransitionFunction(MI, s, t)
    "internalAction" : SetCompletedTransition(MI, s, t)
    "send"
                     : PerformTransitionSend(MI, s, t)
    "receive"
                    : PerformTransitionReceive(MI, s, t)
  endcase
                          Listing 63: PerformTransition
rule StartFunction(MI, currentStateNumber) =
  let pID = processIDFor(self) in
  let functionName = stateFunction(pID, currentStateNumber) in {
    StartTimeout(MI, currentStateNumber)
    if (startFunction(functionName) = undef) then
      skip
    else
      call startFunction(functionName) (MI, currentStateNumber)
    SetExecutionState(MI, currentStateNumber, LOWER)
```

C.7. State Rules

```
rule PerformFunction(MI, currentStateNumber) =
  let s = currentStateNumber in
    if (shouldTimeout(channelFor(self), MI, s) = true) then {
      SetCompleted(MI, s)
      ActivateTimeout(MI, s)
    }
    else
      let pID = processIDFor(self) in
      let functionName = stateFunction(pID, s),
                = stateFunctionArguments(pID, s) in
        call performFunction(functionName) (MI, s, args)
                           Listing 65: PerformFunction
rule AbortFunction(MI, currentStateNumber) =
  let pID = processIDFor(self) in
  let functionName = stateFunction(pID, currentStateNumber) in
    if (abortFunction(functionName) = undef) then
      SetAbortionCompleted(MI, currentStateNumber)
    else
      // must set abortionCompleted eventually
      call abortFunction(functionName) (MI, currentStateNumber)
                            Listing 66: AbortFunction
rule PerformTransitionFunction(MI, currentStateNumber, t) =
  let pID = processIDFor(self),
        s = currentStateNumber in
  let functionName = stateFunction(pID, s) in
    if (performTransitionFunction(functionName) = undef) then
      SetCompletedTransition(MI, s, t)
    else
      call performTransitionFunction(functionName) (MI, s, t)
                       Listing 67: PerformTransitionFunction
rule SetCompletedFunction(MI, currentStateNumber, res) =
  let ch = channelFor(self),
       s = currentStateNumber in {
    if (res = undef) then
      choose t in outgoingNormalTransitions(pID, s) do
        selectedTransition(ch, MI, s) := t
    else
      let t = getTransitionByLabel(pID, s, res) in
        selectedTransition(ch, MI, s) := t
    SetCompleted(MI, s)
  }
```

```
rule Proceed(MI, s_from, s_to) = {
  AddState(MI, s_from, MI, s_to)
  RemoveState(MI, s_from, MI, s_from)
}
```

Listing 69: Proceed

```
rule StartTimeout(MI, currentStateNumber) =
  let ch = channelFor(self) in {
    startTime(ch, MI, currentStateNumber) := nanoTime()
    timeoutActive(ch, MI, currentStateNumber) := false
  }

rule ResetTimeout(MI, currentStateNumber) =
  let ch = channelFor(self) in {
    startTime(ch, MI, currentStateNumber) := undef
    timeoutActive(ch, MI, currentStateNumber) := undef
  }

rule ActivateTimeout(MI, currentStateNumber) =
  let ch = channelFor(self) in
    timeoutActive(ch, MI, currentStateNumber) := true
```

Listing 70: StartTimeout

```
rule InitializeCompletion(MI, currentStateNumber) =
  let ch = channelFor(self) in
    completed(ch, MI, currentStateNumber) := false

rule SetCompleted(MI, currentStateNumber) =
  let ch = channelFor(self) in {
    SetExecutionState(MI, currentStateNumber, REPEAT)
    completed(ch, MI, currentStateNumber) := true
  }

rule SetAbortionCompleted(MI, currentStateNumber) =
  let ch = channelFor(self) in {
    SetExecutionState(MI, currentStateNumber, DONE)
    abortionCompleted(ch, MI, currentStateNumber) := true
}
```

Listing 71: InitializeCompletion

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```
rule EnableTransition(MI, t) =
  transitionEnabled(channelFor(self), MI, t) := true
rule EnableAllTransitions(MI, currentStateNumber) =
  let pID = processIDFor(self),
        s = currentStateNumber in
    forall t in outgoingNormalTransitions(pID, s) do
      EnableTransition(MI, t)
rule DisableTransition(MI, currentStateNumber, t) =
  transitionEnabled(channelFor(self), MI, t) := false
rule DisableAllTransitions(MI, currentStateNumber) =
  let ch = channelFor(self),
     pID = processIDFor(self),
       s = currentStateNumber in {
    forall t in outgoingNormalTransitions(ppID, s) do
      DisableTransition(MI, s, t)
    selectedTransition(ch, MI, s) := undef
                           Listing 72: EnableTransition
rule InitializeCompletionTransition(MI, t) =
  transitionCompleted(channelFor(self), MI, t) := false
rule SetCompletedTransition(MI, currentStateNumber, t) = {
  SetExecutionState(MI, currentStateNumber, REPEAT)
  transitionCompleted(channelFor(self), MI, t) := true
                     Listing 73: InitializeCompletionTransition
C.8 INTERNAL ACTION
rule StartInternalAction(MI, currentStateNumber) = {
  StartTimeout(MI, currentStateNumber)
  EnableAllTransitions(MI, currentStateNumber)
  SetExecutionState(MI, currentStateNumber, LOWER)
```

```
rule PerformInternalAction(MI, currentStateNumber) =
  let ch = channelFor(self),
      s = currentStateNumber in
  if (shouldTimeout(ch, MI, s) = true) then {
      SetCompleted(MI, s)
      ActivateTimeout(MI, s)
   }
  else if (selectedTransition(ch, MI, s) != undef) then
      SetCompleted(MI, s)
  else
      SelectTransition(MI, s)
```

Listing 75: PerformInternalAction

## C.9 SEND FUNCTION

```
rule StartSend(MI, currentStateNumber) =
  let ch = channelFor(self),
     pID = processIDFor(self),
       s = currentStateNumber in
  // there must be exactly one transition
  let t = first_outgoingNormalTransition(pID, s) in {
   receivers(ch, MI, s) := undef
    reservationsDone(ch, MI, s) := {}
    let mcVName = messageContentVar(pID, t) in
      messageContent(ch, MI, s) := loadVar(MI, mcVName)
    // generate new CorrelationID now, it will be stored
    // in a Variable once the message(s) are send
   let cIDVName = messageNewCorrelationVar(pID, t) in
      if (cIDVName != undef and cIDVName != "") then {
       messageCorrelationID(ch, MI, s) := nextCorrelationID
       nextCorrelationID := nextCorrelationID + 1
        // ensure no other agent uses this same correlationID
       nextCorrelationIDUsedBy(nextCorrelationID) := self
      }
       messageCorrelationID(ch, MI, s) := 0
    // load receiver IP CorrelationID now, to avoid
    // influences of any changes of that variable
    let cIDVName = messageWithCorrelationVar(pID, t) in
    let cID = loadCorrelationID(MI, cIDVName) in
     messageReceiverCorrelationID(ch, MI, s) := cID
    SetExecutionState(MI, s, LOWER)
```

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```
rule PerformSend(MI, currentStateNumber) =
 let ch = channelFor(self),
       s = currentStateNumber in
 if (receivers(ch, MI, s) = undef) then
   SelectReceivers(MI, s)
 else if (messageContent(ch, MI, s) = undef) then
   SetMessageContent(MI, s)
 else if (startTime(ch, MI, s) = undef) then {
   StartTimeout(MI, s)
   SetExecutionState(MI, s, REPEAT)
 else if (|receivers(ch, MI, s)| =
           |reservationsDone(ch, MI, s)|) then
   TryCompletePerformSend(MI, s)
 else if (shouldTimeout(ch, MI, s) = true) then {
   SetCompleted(MI, s)
   ActivateTimeout(MI, s)
 else
   DoReservations(MI, s)
```

Listing 77: PerformSend

```
rule TryCompletePerformSend(MI, currentStateNumber) =
  let ch = channelFor(self),
     pID = processIDFor(self),
       s = currentStateNumber in
  if (anyNonProperTerminated(receivers(ch, MI, s)) = true) then
    // BLOCKED: a receiver where a reservation was placed has
    // terminated non-proper in the meantime
    if (shouldTimeout(ch, MI, s) = true) then {
      SetCompleted(MI, s)
      ActivateTimeout(MI, s)
    }
    else
      SetExecutionState(MI, s, NEXT)
  else {
    // there must be exactly one transition
    let t = first_outgoingNormalTransition(pID, s) in
      selectedTransition(ch, MI, s) := t
    SetCompleted(MI, s)
```

Listing 78: TryCompletePerformSend

```
rule SelectReceivers(MI, currentStateNumber) =
  let ch = channelFor(self),
     pID = processIDFor(self),
       s = currentStateNumber in
  // there must be exactly one transition
  let t = first_outgoingNormalTransition(pID, s) in
  let min = messageSubjectCountMin(pID, t), // 0 => ALL
      max = messageSubjectCountMax(pID, t) in // 0 => no limit
  let recVName = messageSubjectVar(pID, t),
             = messageSubjectId(pID, t) in
    if (recVName != undef and recVName != "") then
      let rChs = loadChannelsFromVariable(MI, recVName, recSID) in
        if (|rChs| = 0 \text{ or } (min != 0 \text{ and } |rChs| < min)) then
          // BLOCKED: not enough receivers given
          SetExecutionState(MI, s, NEXT)
        else if (max != 0 and |rChs| > max) then
          // too many receivers given -> call VarMan-Selection
          SelectReceivers_Selection(MI, s, rChs, min, max)
        else {
          // receivers fit min/max -> use them
          receivers(ch, MI, s) := rChs
          SetExecutionState(MI, s, REPEAT)
    else // no variable with receivers -> call SelectAgents
      if (selectAgentsResult(ch, MI, s) != undef) then
        let y = selectAgentsResult(ch, MI, s) in {
          receivers(ch, MI, s) := y
          selectAgentsResult(ch, MI, s) := undef // reset
          SetExecutionState(MI, s, REPEAT)
        }
      else
        SelectAgents(MI, s, recSID, min, max)
```

Listing 79: SelectReceivers

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```
rule AbortSend(MI, currentStateNumber) =
  let ch = channelFor(self),
       s = currentStateNumber in {
    foreach r in reservationsDone(ch, MI, s) do {
      CancelReservation(MI, s, r)
    SetAbortionCompleted(MI, s)
                             Listing 81: AbortSend
rule PerformTransitionSend(MI, currentStateNumber, t) =
  let ch = channelFor(self),
     pID = processIDFor(self),
       s = currentStateNumber in {
    foreach r in reservationsDone(ch, MI, s) do {
      ReplaceReservation(MI, s, r)
      EnsureRunning(r)
    let storeVName = messageStoreReceiverVar(pID, t) in
      if (storeVName != undef and storeVName != "") then
        SetVar(MI, storeVName, "ChannelInformation",
               reservationsDone(ch, MI, s))
    let cIDVName = messageNewCorrelationVar(pID, t) in
      if (cIDVName != undef and cIDVName != "") then
        SetVar(MI, cIDVName, "CorrelationID",
               messageCorrelationID(ch, MI, s))
    SetCompletedTransition(MI, s, t)
  }
                        Listing 82: PerformTransitionSend
rule SetMessageContent(MI, currentStateNumber) =
  let ch = channelFor(self),
       s = currentStateNumber in
    if not(contains(wantInput(ch, MI, ch),
                    "MessageContentDecision")) then {
      add "MessageContentDecision" to wantInput(ch, MI, ch)
      SetExecutionState(MI, ch, DONE)
```

Listing 83: SetMessageContent

} else

// waiting for messageContent
SetExecutionState(MI, ch, NEXT)

```
// handle all receivers
rule DoReservations(MI, currentStateNumber) =
  let ch = channelFor(self),
       s = currentStateNumber in
  local hasPlacedReservation := false in
     let receiversTodo = (receivers(ch, MI, s) diff
                           reservationsDone(ch, MI, s)) in
      foreach receiver in receiversTodo do
        local tmp := false in
            // result is true if a reservation was made
            tmp <- DoReservation(MI, s, receiver)</pre>
          next if (tmp = true) then
           hasPlacedReservation := true
   next
      if (hasPlacedReservation = true) then
        // reservation(s) placed, make update
        SetExecutionState(MI, s, DONE)
      else
        // no reservations made, allow other states
        SetExecutionState(MI, s, NEXT)
```

Listing 84: DoReservations

C.9. Send Function 119

```
// handle single reservation
// result true if hasPlacedReservation, adds to reservationsDone
rule DoReservation(MI, currentStateNumber, receiverChannel) =
  if (properTerminated(receiverChannel) = true) then
    let ch = channelFor(self),
       pID = processIDFor(self),
       sID = subjectIDFor(self),
         s = currentStateNumber in
    let Rch = receiverChannel,
       RpID = processIDOf(receiverChannel) in
    let sIDX = searchSenderSubjectID(pID, sID, RpID) in
    let msgCID = messageCorrelationID(ch, MI, s),
        RCID = messageReceiverCorrelationID(ch, MI, s) in
    // there must be exactly one transition
    let t = first_outgoingNormalTransition(pID, s) in
    let mT = messageType(pID, t) in
    let resMsg = [ch, mT, {}, msgCID, true] in
      seq
        // prepare receiver IP
        if (inputPool(Rch, sIDX, mT, RCID) = undef) then {
          add [sIDX, mT, RCID] to inputPoolDefined(Rch)
          inputPool(Rch, sIDX, mT, RCID) := []
        }
     next
        if (inputPoolIsClosed(Rch, sIDX, mT, RCID) != true) then
          if (inputPoolGetFreeSpace(Rch, sIDX, mT) > 0) then {
            enqueue resMsg into inputPool(Rch, sIDX, mT, RCID)
            add Rch to reservationsDone(ch, MI, s)
            result := true
          else
            result := false // BLOCKED: no free space!
        else
          result := false // BLOCKED: inputPoolIsClosed
 else
   result := false // BLOCKED: non-properTerminated
```

Listing 85: DoReservation

```
rule CancelReservation(MI, currentStateNumber, receiverChannel) =
 let ch = channelFor(self),
     pID = processIDFor(self),
     sID = subjectIDFor(self),
       s = currentStateNumber in
 let Rch = receiverChannel,
     RpID = processIDOf(receiverChannel) in
 let t = first_outgoingNormalTransition(pID, s) in
  let mT = messageType(pID, t) in
            = searchSenderSubjectID(pID, sID, RpID),
 let sIDX
      msgCID = messageCorrelationID(ch, MI, s),
      RCID = messageReceiverCorrelationID(ch, MI, s) in
 let resMsg = [ch, mT, {}, msgCID, true],
      IPold = inputPool(Rch, sIDX, mT, RCID) in
 let IPnew = dropnth(IPold, head(indexes(IPold, resMsg))) in
    inputPool(Rch, sIDX, mT, RCID) := IPnew
```

```
rule ReplaceReservation(MI, currentStateNumber, receiverChannel) =
  let ch = channelFor(self),
    pID = processIDFor(self),
    sID = subjectIDFor(self),
      s = currentStateNumber in
  let Rch = receiverChannel,
     RpID = processIDOf(receiverChannel) in
  let t = first_outgoingNormalTransition(pID, s) in
  let mT = messageType(pID, t) in
  let sIDX = searchSenderSubjectID(pID, sID, RpID),
     msgCID = messageCorrelationID(ch, MI, s),
     RCID = messageReceiverCorrelationID(ch, MI, s) in
  let resMsg = [ch, mT, {}, msgCID, true],
            = [ch, mT, messageContent(ch, MI, s), msgCID, false],
      IPold = inputPool(Rch, sIDX, mT, RCID) in
  let IPnew = setnth(IPold, head(indexes(IPold, resMsg)), msg) in
      inputPool(Rch, sIDX, mT, RCID) := IPnew
```

Listing 87: ReplaceReservation

#### C.10 RECEIVE FUNCTION

```
rule PerformReceive(MI, currentStateNumber) =
  let ch = channelFor(self),
     pID = processIDFor(self),
       s = currentStateNumber in
  // startTime must be the time of the first attempt to receive
  // in order to support receiving with timeout=0
  if (startTime(ch, MI, s) = undef) then {
    StartTimeout(MI, s)
    SetExecutionState(MI, s, REPEAT)
  else if (shouldTimeout(ch, MI, s) = true) then {
    SetCompleted(MI, s)
    ActivateTimeout(MI, s)
  else
      forall t in outgoingNormalTransitions(pID, s) do
        CheckIP(MI, s, t)
    next
      let enabledT = outgoingEnabledTransitions(ch, MI, s) in
      if (|enabledT| > 0) then
          if (selectedTransition(ch, MI, s) != undef) then
            skip // there is already an transition selected
          else if (|enabledT| = 1) then
            let t = firstFromSet(enabledT) in
            if (transitionIsAuto(pID, t) = true) then
              // make automatic decision
              selectedTransition(ch, MI, s) := t
            else skip // can not make automatic decision
          else skip // can not make automatic decision
          if (selectedTransition(ch, MI, s) != undef) then
            // the decision was made
            SetCompleted(MI, s)
          else
            // no decision made, waiting for selectedTransition
            SelectTransition(MI, s)
      else
        SetExecutionState(MI, s, LOWER) // BLOCKED: no messages
```

```
rule PerformTransitionReceive(MI, currentStateNumber, t) =
  let ch = channelFor(self),
    pID = processIDFor(self),
      s = currentStateNumber in
  let sID
             = messageSubjectId
                                          (pID, t),
     mΤ
             = messageType
                                          (pID, t),
      cIDVName = messageWithCorrelationVar(pID, t),
      countMax = messageSubjectCountMax
                                        (pID, t) in
  let cID = loadCorrelationID(MI, cIDVName) in {
      // stores the messages in receivedMessages
      InputPool_Pop(MI, s, sID, mT, cID, countMax)
   next
      if (messageStoreMessagesVar(pID, t) != undef and
         messageStoreMessagesVar(pID, t) != "") then
        let msgs = receivedMessages(ch, MI, s),
            vName = messageStoreMessagesVar(pID, t) in
          SetVar(MI, vName, "MessageSet", msgs)
    SetCompletedTransition(MI, s, t)
```

Listing 89: PerformTransitionReceive

```
rule CheckIP(MI, currentStateNumber, t) =
  let ch = channelFor(self),
    pID = processIDFor(self),
      s = currentStateNumber in
  let sID = messageSubjectId
                                          (pID, t),
             = messageType
                                          (pID, t),
      cIDVName = messageWithCorrelationVar(pID, t),
      countMin = messageSubjectCountMin (pID, t) in
  let cID = loadCorrelationID(MI, cIDVName) in
  let msgs = availableMessages(ch, sID, mT, cID) in
    if (|msgs| >= countMin) then
     EnableTransition(MI, t)
    else
     DisableTransition(MI, s, t)
```

C.11. End Function

C.11 END FUNCTION

```
rule PerformEnd(MI, currentStateNumber) =
  let ch = channelFor(self),
     pID = processIDFor(self),
       s = currentStateNumber in
  if (|activeStates(ch, MI)| > 1) then {
    // does not remove currentStateNumber
    AbortMacroInstance(MI, s)
    SetExecutionState(MI, s, DONE)
  else {
    if (MI = 1) then { // terminate subject
      ClearAllVarInMI(ch, 0)
      ClearAllVarInMI(ch, 1)
      FinalizeInteraction()
      program(self) := undef
      remove self from asmAgents
    else { // terminate only Macro Instance
      ClearAllVarInMI(ch, MI)
      let res = head(stateFunctionArguments(pID, s)) in
      if (res != undef) then
        // use parameter as result for CallMacro State
        macroTerminationResult(ch, MI) := res
        // just indicate termination
        macroTerminationResult(ch, MI) := true
    }
    // remove self
    RemoveState(MI, s, MI, s)
    SetExecutionState(MI, s, DONE)
```

Listing 91: PerformEnd

#### C.12 TAU FUNCTION

```
rule StartTau(MI, currentStateNumber) =
   EnableAllTransitions(MI, currentStateNumber)

rule Tau(MI, currentStateNumber, args) =
   let ch = channelFor(self),
      pID = processIDFor(self),
      s = currentStateNumber in
   choose t in outgoingEnabledTransitions(t, MI, s)
      with (transitionIsAuto(pID, t) = true) do {
      selectedTransition(t, MI, s) := t
      SetCompleted(MI, s)
   }
   ifnone // WARN: unable to choose auto transition!
   if (selectedTransition(t, MI, s) != undef) then
      SetCompleted(MI, s)
   else
      SelectTransition(MI, s)
```

Listing 92: Tau

# C.13 VARMAN FUNCTION

```
rule AbortVarMan(MI, currentStateNumber) = {
  ResetSelection(MI, currentStateNumber)
  SetAbortionCompleted(MI, currentStateNumber)
rule VarMan(MI, currentStateNumber, args) =
 let s = currentStateNumber,
     method = nth(args, 1),
     A = nth(args, 2),
     B = nth(args, 3),
    C = nth(args, 4),
    D = nth(args, 5) in
 case method of
  "assign"
                        : VarMan_Assign
                                          (MI, s, A, B)
  "storeData"
                       : VarMan_StoreData(MI, s, A, B)
  "clear"
                       : VarMan_Clear
                                          (MI, s, A)
  "concatenation"
                       : VarMan_Concatenation(MI, s, A, B, C)
                        : VarMan_Intersection (MI, s, A, B, C)
  "intersection"
  "difference"
                        : VarMan_Difference (MI, s, A, B, C)
  "extractContent"
                       : VarMan_ExtractContent
                                                      (MI, s, A, B)
  "extractChannel"
                        : VarMan_ExtractChannel
                                                      (MI, s, A, B)
  "extractCorrelationID": VarMan_ExtractCorrelationID(MI, s, A, B)
  "selection"
                        : VarMan_Selection(MI, s, A, B, C, D)
 endcase
```

Listing 93: VarMan

C.13. VarMan Function

```
rule VarMan_Assign(MI, currentStateNumber, A, X) =
  let a = loadVar(MI, A) in {
    SetVar(MI, X, head(a), last(a))

    SetCompletedFunction(MI, currentStateNumber, undef)
}

rule VarMan_StoreData(MI, currentStateNumber, X, A) = {
    SetVar(MI, X, "Data", A)

    SetCompletedFunction(MI, currentStateNumber, undef)
}

rule VarMan_Clear(MI, currentStateNumber, X) = {
    ClearVar(MI, X)

    SetCompletedFunction(MI, currentStateNumber, undef)
}
```

Listing 94: VarMan\_Assign

```
rule VarMan_Concatenation(MI, currentStateNumber, A, B, X) =
  let a = loadVar(MI, A),
      b = loadVar(MI, B) in {
    if (a = undef and b = undef) then
      ClearVar(MI, X)
    else if (a = undef) then
      SetVar(MI, X, head(b), last(b))
    else if (b = undef) then
      SetVar(MI, X, head(a), last(a))
      let x = (last(a) union last(b)) in
        SetVar(MI, X, head(a), x)
    SetCompletedFunction(MI, currentStateNumber, undef)
rule VarMan_Intersection(MI, currentStateNumber, A, B, X) =
  let a = loadVar(MI, A),
     b = loadVar(MI, B) in {
    if (a = undef or b = undef) then
      ClearVar(MI, X)
    else
      let x = (last(a) intersect last(b)) in
       SetVar(MI, X, head(a), x)
    SetCompletedFunction(MI, currentStateNumber, undef)
rule VarMan_Difference(MI, currentStateNumber, A, B, X) =
  let a = loadVar(MI, A),
      b = loadVar(MI, B) in {
    if (a = undef) then
      ClearVar(MI, X)
    else if (b = undef) then
      SetVar(MI, X, head(a), last(a))
    else
      let x = (last(a) diff last(b)) in
        SetVar(MI, X, head(a), x)
    SetCompletedFunction(MI, currentStateNumber, undef)
```

Listing 95: VarMan\_Concatenation

```
rule VarMan_ExtractContent(MI, currentStateNumber, A, X) =
 let a = loadVar(MI, A) in
 let msgs = last(a) in
 let msgsContent = map(msgs, @msgContent) in
 let varType = head(firstFromSet(msgsContent)) in {
   SetVar(MI, X, varType, flattenSet(map(msgsContent, @last)))
   SetCompletedFunction(MI, currentStateNumber, undef)
 }
rule VarMan_ExtractChannel(MI, currentStateNumber, A, X) =
 let a = loadVar(MI, A) in
 let msgs = last(a) in
 let msgsChannel = map(msgs, @msgChannel) in {
   SetVar(MI, X, "ChannelInformation", msgsChannel)
   SetCompletedFunction(MI, currentStateNumber, undef)
rule VarMan_ExtractCorrelationID(MI, currentStateNumber, A, X) =
 let a = loadVar(MI, A) in
 let msgs = last(a) in
 let msgsCorrelationID = map(msgs, @msgCorrelation) in {
   SetVar(MI, X, "CorrelationID",
               firstFromSet(msgsCorrelationID))
   SetCompletedFunction(MI, currentStateNumber, undef)
```

Listing 96: VarMan\_ExtractContent

```
// Channel * MI * n
function selectionVartype : LIST * NUMBER * NUMBER -> STRING
function selectionData : LIST * NUMBER * NUMBER -> LIST
function selectionOptions : LIST * NUMBER * NUMBER -> LIST
function selectionMin
                          : LIST * NUMBER * NUMBER -> NUMBER
function selectionMax
                         : LIST * NUMBER * NUMBER -> NUMBER
function selectionDecision : LIST * NUMBER * NUMBER -> SET
function selectionResult : LIST * NUMBER * NUMBER -> SET
rule VarMan_Selection(MI, currentStateNumber, srcVName, dstVName,
                                             minimum, maximum) =
  let ch = channelFor(self) in
  let src = loadVar(MI, srcVName),
     res = selectionResult(ch, MI, currentStateNumber) in
  if (res = undef) then
   Selection(MI, currentStateNumber, src, minimum, maximum)
    selectionResult(ch, MI, currentStateNumber) := undef
   SetVar(MI, dstVName, head(src), res)
    SetCompletedFunction(MI, currentStateNumber, undef)
  }
rule ResetSelection(MI, currentStateNumber) =
  let ch = channelFor(self),
      s = currentStateNumber in {
  selectionVartype (ch, MI, s) := undef
  selectionData (ch, MI, s) := undef
  selectionOptions (ch, MI, s) := undef
  selectionMin
                  (ch, MI, s) := undef
  selectionMax
                   (ch, MI, s) := undef
  selectionDecision(ch, MI, s) := undef
```

Listing 97: VarMan\_Selection

```
rule Selection(MI, currentStateNumber, src, minimum, maximum) =
 let ch = channelFor(self),
       s = currentStateNumber in
 if (selectionData(ch, MI, s) = undef) then {
   let 1 = toList(last(src)) in
   if (head(src) = "MessageSet") then {
      selectionData (ch, MI, s) := 1
      selectionOptions(ch, MI, s) := map(l, @msgToString)
   else if (head(src) = "ChannelInformation") then {
      selectionData (ch, MI, s) := 1
      selectionOptions(ch, MI, s) := map(1, @chToString)
   selectionVartype (ch, MI, s) := head(src)
                    (ch, MI, s) := minimum
   selectionMin
   selectionMax
                     (ch, MI, s) := maximum
   selectionDecision(ch, MI, s) := undef
   SetExecutionState(MI, s, REPEAT)
 }
 else if (selectionDecision(ch, MI, s) = undef) then {
   if not(contains(wantInput(ch, MI, s),
                   "SelectionDecision")) then {
      add "SelectionDecision" to wantInput(ch, MI, s)
     SetExecutionState(MI, s, DONE)
   }
   else
      // waiting for selectionDecision
     SetExecutionState(MI, s, NEXT)
 }
 else {
   let res = pickItems(selectionData(ch, MI, s),
                        selectionDecision(ch, MI, s)) in
      selectionResult(ch, MI, s) := res
   ResetSelection(MI, s)
   SetExecutionState(MI, s, REPEAT)
```

Listing 98: Selection

## C.14 MODAL SPLIT & MODAL JOIN FUNCTIONS

Listing 99: ModalSplit

```
// Channel * MacroInstanceNumber * joinState -> Number
function joinCount : LIST * NUMBER * NUMBER -> NUMBER
// number of execution paths have to be provided as argument
rule ModalJoin(MI, currentStateNumber, args) =
  let ch = channelFor(self),
       s = currentStateNumber,
      numSplits = nth(args, 1) in
  {f seq} // count how often this join has been called
    if (joinCount(ch, MI, s) = undef) then
      joinCount(ch, MI, s) := 1
    else
      joinCount(ch, MI, s) := joinCount(ch, MI, s) + 1
  next
    // can we continue, or remove self and will be called again?
    if (joinCount(ch, MI, s) < numSplits) then {</pre>
      // drop this execution path
      RemoveState(MI, s, MI, s)
      SetExecutionState(MI, s, DONE)
    }
    else {
      // reset for next iteration
      joinCount(ch, MI, s) := undef
      SetCompletedFunction(MI, s, undef)
    }
```

## C.15 CALLMACRO FUNCTION

```
rule AbortCallMacro(MI, currentStateNumber) =
  let ch = channelFor(self),
        s = currentStateNumber in
  let childInstance = callMacroChildInstance(ch, MI, s) in
  if (|activeStates(ch, childInstance)| > 0) then {
    AbortMacroInstance(childInstance, undef)
    SetExecutionState(MI, s, DONE)
  }
  else {
    callMacroChildInstance(ch, MI, s) := undef
    SetAbortionCompleted(MI, s)
}
```

Listing 101: AbortCallMacro

```
rule InitializeMacroArguments(MI, mIDNew, MINew, givenSrcVNames) =
  local
    dstVNames := macroArguments(processIDFor(self), mIDNew),
    srcVNames := givenSrcVNames in
  while (|dstVNames| > 0) do {
    let dstVName = head(dstVNames),
        srcVName = head(srcVNames) in
    let var = loadVar(MI, srcVName) in
        SetVar(MINew, dstVName, nth(var, 1), nth(var, 2))

    dstVNames := tail(dstVNames)
    srcVNames := tail(srcVNames)
}
```

Listing 102: InitializeMacroArguments

```
rule CallMacro(MI, currentStateNumber, args) =
  let ch = channelFor(self),
     pID = processIDFor(self),
       s = currentStateNumber in
  let childInstance = callMacroChildInstance(ch, MI, s) in
  if (childInstance = undef) then
    // start new Macro Instance
    let mIDNew = searchMacro(head(args)),
       MINew = nextMacroInstanceNumber(ch) in
     nextMacroInstanceNumber(ch) := MINew + 1
     macroNumberOfMI(ch, MINew) := mIDNew
      callMacroChildInstance(ch, MI, s) := MINew
      if (|macroArguments(ch, mIDNew)| > 0) then
        InitializeMacroArguments(MI, mIDNew, MINew, tail(args))
      SetExecutionState(MI, s, DONE)
      StartMacro(MI, s, mIDNew, MINew)
    endseqblock
  else
    // perform existing Macro Instance
    let childResult = macroTerminationResult(ch, childInstance) in
    if (childResult != undef) then {
      callMacroChildInstance(ch, MI, s) := undef
      // transport result, if present
      if (childResult = true) then
        SetCompletedFunction(MI, s, undef)
        SetCompletedFunction(MI, s, childResult)
    }
    else seqblock
      // Macro Instance is active, call it ...
     MacroBehavior(childInstance)
      // ... and transport its execution state
      let mState = macroExecutionState(ch, childInstance) in
        SetExecutionState(MI, s, mState)
      // reset
      macroExecutionState(ch, childInstance) := undef
    endsegblock
```

Listing 103: CallMacro

C.16. Cancel Function 133

## C.16 CANCEL FUNCTION

```
rule CheckCancel(MI, currentStateNumber, t) =
  let ch = channelFor(self),
     pID = processIDFor(self) in
  let tName = transitionLabel(pID, t) in
  let nCancel = stateNumberFromID(pID, tName) in
  if (contains(activeStates(ch, MI), nCancel) = true) then
    // referenced state is active in this Macro Instance
    EnableTransition(MI, t)
  else
    DisableTransition(MI, currentStateNumber, t)
                            Listing 104: CheckCancel
rule Cancel(MI, currentStateNumber, args) =
  let ch = channelFor(self),
     pID = processIDFor(self);
       s = currentStateNumber in
  sea
    forall t in outgoingNormalTransitions(pID, s) do
      CheckCancel(MI, s, t)
    let enabledT = outgoingEnabledTransitions(ch, MI, s) in
    if (|enabledT| > 0) then
      seq
        if (|enabledT| = 1) then
          let t = firstFromSet(enabledT) in
          if (transitionIsAuto(pID, t) = true) then
            selectedTransition(ch, MI, s) := t
      next
        if (selectedTransition(ch, MI, s) != undef) then
          let t = selectedTransition(ch, MI, s) in
            {\tt SetCompletedFunction(MI, s, transitionLabel(pID, t))}
          SelectTransition(MI, s)
    else // BLOCKED: no corresponding active states
      SetExecutionState(MI, s, LOWER)
                              Listing 105: Cancel
rule Abort(MI, currentStateNumber) =
  let pID = processIDFor(self),
        s = currentStateNumber in
    case stateType(pID, s) of
                   : AbortFunction(MI, s)
      "function"
      "internalAction" : SetAbortionCompleted(MI, s)
      "send"
                  : AbortSend(MI, s)
      "receive"
                       : SetAbortionCompleted(MI, s)
    endcase
```

```
rule PerformTransitionCancel(MI, currentStateNumber, t) =
let ch = channelFor(self),
   pID = processIDFor(self),
      s = currentStateNumber in
let tLabel = transitionLabel(pID, t) in
let nCancel = stateNumberFromID(pID, tLabel) in {
   cancelDecision(ch, MI, nCancel) := true
   SetCompletedTransition(MI, s, t)
}
```

Listing 107: PerformTransitionCancel

## C.17 IP FUNCTIONS

```
rule CloseIP(MI, currentStateNumber, args) =
  let ch = channelFor(self),
    senderSubjID = nth(args, 1),
    msgType = nth(args, 2),
    cIDVName = nth(args, 3) in
  let cID = loadCorrelationID(MI, cIDVName) in {
    inputPoolClosed(ch, senderSubjID, msgType, cID) := true

  if (inputPool(ch, senderSubjID, msgType, cID) = undef) then {
    add [senderSubjID, msgType, cID] to inputPoolDefined(ch)
    inputPool(ch, senderSubjID, msgType, cID) := []
  }

  SetCompletedFunction(MI, currentStateNumber, undef)
}
```

Listing 108: CloseIP

```
rule OpenIP(MI, currentStateNumber, args) =
let ch = channelFor(self),
    senderSubjID = nth(args, 1),
    msgType = nth(args, 2),
    cIDVName = nth(args, 3) in
let cID = loadCorrelationID(MI, cIDVName) in {
    inputPoolClosed(ch, senderSubjID, msgType, cID) := false

    if (inputPool(ch, senderSubjID, msgType, cID) = undef) then {
        add [senderSubjID, msgType, cID] to inputPoolDefined(ch)
        inputPool(ch, senderSubjID, msgType, cID) := []
    }

    SetCompletedFunction(MI, currentStateNumber, undef)
}
```

C.17. IP Functions

```
rule CloseAllIPs(MI, currentStateNumber, args) =
let ch = channelFor(self),
    s = currentStateNumber in {
    inputPoolClosed(ch, undef, undef, undef) := true

    forall key in inputPoolDefined(ch) do
        let sID = nth(key, 1),
            mT = nth(key, 2),
            cID = nth(key, 3) in {
        inputPoolClosed(ch, sID, mT, cID) := true
        }

        SetCompletedFunction(MI, s, undef)
}
```

Listing 110: CloseAllIPs

```
rule OpenAllIPs(MI, currentStateNumber, args) =
let ch = channelFor(self),
    s = currentStateNumber in {
    inputPoolClosed(ch, undef, undef, undef) := false

    forall key in inputPoolDefined(ch) do
        let sID = nth(key, 1),
            mT = nth(key, 2),
            cID = nth(key, 3) in {
        inputPoolClosed(ch, sID, mT, cID) := false
        }

        SetCompletedFunction(MI, s, undef)
}
```

```
// correlation can be wildcard (*)
rule IsIPEmpty(MI, currentStateNumber, args) =
  let ch = channelFor(self),
      s = currentStateNumber,
                       = nth(args, 1),
     senderSubjID
                        = nth(args, 2),
     msgType
     correlationIDVName = nth(args, 3) in
  local cID in
    seq
      if (correlationIDVName = undef or
          correlationIDVName = 0 or
          correlationIDVName = "") then {
        cID := 0
      else if (correlationIDVName = "*") then {
       cID := undef
      else {
       cID := loadCorrelationID(MI, correlationIDVName)
      if inputPoolIsEmpty(ch, senderSubjID, msgType, cID) then
        SetCompletedFunction(MI, s, "true")
      else
        SetCompletedFunction(MI, s, "false")
                            Listing 112: IsIPEmpty
C.18 SELECTAGENTS FUNCTION
// Channel * MacroInstanceNumber * StateNumber -> BOOLEAN
function selectAgentsDecision : LIST * NUMBER * NUMBER -> SET
function selectAgentsProcessID : LIST * NUMBER * NUMBER -> STRING
function selectAgentsSubjectID : LIST * NUMBER * NUMBER -> STRING
function selectAgentsCountMin : LIST * NUMBER * NUMBER -> NUMBER
function selectAgentsCountMax : LIST * NUMBER * NUMBER -> NUMBER
function selectAgentsResult : LIST * NUMBER * NUMBER -> SET
rule SelectAgentsAction(MI, currentStateNumber, args) =
  let ch = channelFor(self),
      s = currentStateNumber,
      vName
            = nth(args, 1),
      sIDLocal = nth(args, 2),
      countMin = nth(args, 3),
      countMax = nth(args, 4) in
    if (selectAgentsResult(ch, MI, s) != undef) then {
      SetVar(MI, vName, "ChannelInformation",
             selectAgentsResult(ch, MI, s))
      selectAgentsResult(ch, MI, s) := undef
      SetCompletedFunction(MI, s, undef)
    }
    else
```

Listing 113: SelectAgentsAction

SelectAgents(MI, s, sIDLocal, countMin, countMax)

```
rule SelectAgents(MI, currentStateNumber, sIDLocal, min, max) =
  let ch = channelFor(self),
     pID = processIDFor(self),
       s = currentStateNumber,
     PI = processInstanceFor(self) in
 let predefAgents = predefinedAgents(pID, sIDLocal),
      resolvedInterface = resolveInterfaceSubject(sIDLocal) in
 let resolvedProcessID = nth(resolvedInterface, 1),
      resolvedSubjectID = nth(resolvedInterface, 2) in
  if (selectAgentsDecision(ch, MI, s) != undef) then {
      local createdChannels := {} in
        foreach agent in selectAgentsDecision(ch, MI, s) do
          if (resolvedProcessID = pID) then
            // local process, use own PI
            let ch = [pID, PI, sIDLocal, agent] in {
              InitializeSubject(ch)
              add ch to createdChannels
          else // external process, create new PI
            local newPI in
              newPI <- StartProcess(resolvedProcessID,</pre>
                                    resolvedSubjectID, agent)
              add [resolvedProcessID, newPI,
                   resolvedSubjectID, agent] to createdChannels
     next
        selectAgentsResult(ch, MI, s) := createdChannels
      // reset for next iteration
      selectAgentsDecision (ch, MI, s) := undef
      selectAgentsCountMin (ch, MI, s) := undef
      selectAgentsCountMax (ch, MI, s) := undef
      selectAgentsProcessID(ch, MI, s) := undef
      selectAgentsSubjectID(ch, MI, s) := undef
      SetExecutionState(MI, s, REPEAT)
 else if(hasSizeWithin(predefAgents, min, max) = true) then {
    selectAgentsDecision(ch, MI, s) := predefAgents
    SetExecutionState(MI, s, REPEAT)
  else if not(contains(wantInput(ch, MI, s),
                       "SelectAgentsDecision")) then {
    add "SelectAgentsDecision" to wantInput(ch, MI, s)
    selectAgentsProcessID(ch, MI, s) := resolvedProcessID
    selectAgentsSubjectID(ch, MI, s) := resolvedSubjectID
    selectAgentsCountMin (ch, MI, s) := min
    selectAgentsCountMax (ch, MI, s) := max
    selectAgentsResult
                        (ch, MI, s) := undef
    SetExecutionState(MI, s, DONE)
  else // waiting for selectAgentsDecision
   SetExecutionState(MI, s, NEXT)
```



# Bibliography

[Kee76] E. L. Keenan. 1976.