

# Arithmetic Applications of Artin Twist and BSD

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## Introduction

In this report we study a method proposed in [DEW21] for forcing points of infinite order on elliptic curves over finite extensions  $F/\mathbb{Q}$ .

## Notation

Let  $G$  be a finite group. We use the following notation for characters:

$B(G)$	the Burnside ring of $G$ ,
$R(G)$	the representation ring of $G$ ,
$R_{\mathbb{Q}}(G)$	the rational representation ring of $G$ ,
$\text{Perm}(G)$	the ring of virtual permutations of $G$ ,
$\text{Char}_{\mathbb{Q}}(G)$	the ring of rationally-valued characters of $G$ ,
$\text{Irr}(G)$	the set of characters of complex irreducible representations of $G$ ,
$\mathbb{Q}(\rho)$	the field of character values of a complex character $\rho$ of $G$ ,
$C(G)$	the finite abelian group $\text{Char}_{\mathbb{Q}}(G)/\text{Perm}(G)$ ,
$\mathfrak{N}_{\mathbb{Q}(\rho)/\mathbb{Q}}(\rho)$	$= \sum_{\sigma \in \text{Gal}(\mathbb{Q}(\rho)/\mathbb{Q})} \rho^{\sigma}$ , where $\rho \in R(G)$ ,
$H^x$	$= xHx^{-1}$ for $H \leq G$ a subgroup of a group $G$ and $x \in G$ ,
$p^*$	defined for an odd prime $p$ . If $p \equiv 1 \pmod{4}$ , $p^* = p$ . If $p \equiv 3 \pmod{4}$ , $p^* = -p$

# 1 Norm relations

As observed in the introduction, it can be useful to apply the theory of representations of finite groups to the study of elliptic curves over finite Galois extensions. This section introduces some representation-theoretic concepts that we will apply in later sections.

Given a finite extension  $F/\mathbb{Q}$  with  $G = \text{Gal}(F/\mathbb{Q})$ , one may be interested in functions defined on subfields of  $F/\mathbb{Q}$ , equivalently on subgroups of  $G$ .

## 1.1 Representations of finite groups and permutation representations

Let  $G$  be a finite group,  $K$  a field of characteristic zero. Recall that a **representation** of  $G$  over  $K$  is a group homomorphism  $\rho: G \rightarrow \text{GL}(V)$  where  $V$  is a  $K$ -vector space. Associated to a representation  $\rho$  is a **character**  $\chi: G \rightarrow K^\times$ , defined by letting  $\chi(g) = \text{Tr } \rho(g)$  for  $g \in G$ . For complex representations,  $\rho$  is determined by its character; if  $\rho, \rho'$  are representations with identical characters, then  $\rho$  and  $\rho'$  are isomorphic as representations.

**Definition 1.1.** Let  $\chi_1, \dots, \chi_h$  be the distinct characters of the complex irreducible representations of  $G$ . Then the **representation ring** of  $G$  is

$$R(G) = \mathbb{Z}\chi_1 \oplus \dots \oplus \mathbb{Z}\chi_h.$$

Since we take differences of characters in  $R(G)$ , we sometimes call elements of  $R(G)$  **virtual representations**.

Let  $K$  be a number field. Denote by  $R_K(G)$  the group generated by characters of the representations of  $G$  over  $K$ . This is a subring of  $R(G)$ . When  $K = \mathbb{Q}$  this is called the **rational representation ring**. The characters of the distinct irreducible representations of  $G$  over  $K$  form an orthogonal basis of  $R_K(G)$  ([Ser77, Proposition 32]). Let  $m$  be the exponent of  $G$ . If  $K$  contains the  $m$ -th roots of unity, then  $R_K(G) = R(G)$  ([Ser77, Theorem 24]). This implies every representation of  $G$  can be realized over such  $K$ .

Let  $\text{Perm}(G)$  be the ring of virtual permutation representations of  $G$  (i.e. the ring generated by the characters of  $\mathbb{C}[G/H] = \text{Ind}_H^G \mathbb{1}$  for  $H \leq G$ ). Let  $\text{Char}_{\mathbb{Q}}(G)$  be the ring of rationally valued characters of  $G$ . Then we have inclusions

$$\text{Perm}(G) \rightarrow R_{\mathbb{Q}}(G) \rightarrow \text{Char}_{\mathbb{Q}}(G).$$

Each of these groups have equal  $\mathbb{Z}$ -rank, equal to the number of conjugacy classes of cyclic subgroups of  $G$  [ref](#). Moreover the cokernels of these maps are finite [ref](#).

**Definition 1.2.** Let  $\rho \in R(G)$ . We define the norm of  $\rho$ , denoted  $\mathfrak{N}_{\mathbb{Q}(\rho)/\mathbb{Q}}(\rho)$ , by

$$\mathfrak{N}_{\mathbb{Q}(\rho)/\mathbb{Q}}(\rho) := \sum_{\sigma \in \text{Gal}(\mathbb{Q}(\rho)/\mathbb{Q})} \rho^\sigma \in \text{Char}_{\mathbb{Q}}(G),$$

where  $\mathbb{Q}(\rho)$  is the smallest Galois field extension that contains  $\{\rho(g): g \in G\}$ , and  $\rho^\sigma$  is the character such that  $\rho^\sigma(g) = \sigma(\rho(g))$ .

It's clear that  $\text{Char}_{\mathbb{Q}}(G)$  is generated by  $\{\mathfrak{N}_{\mathbb{Q}(\rho)/\mathbb{Q}}(\rho): \rho \in \text{Irr}(G)\}$ . Indeed, if a representation has a rationally valued character, then any complex irreducible constituent must occur along with all its Galois conjugates with equal multiplicity.

Note that this is not additive, i.e. one does not have

$$\mathfrak{N}_{\mathbb{Q}(\rho+\tau)/\mathbb{Q}}(\rho+\tau) = \mathfrak{N}_{\mathbb{Q}(\rho)/\mathbb{Q}}(\rho) + \mathfrak{N}_{\mathbb{Q}(\tau)/\mathbb{Q}}(\tau).$$

**Example 1.3.** Let  $G = C_p$  and  $\psi_p$  a character of order  $p$ . Then  $\mathbb{Q}(\psi_p) = \mathbb{Q}(\zeta_p)$  and  $\mathfrak{N}_{\mathbb{Q}(\psi_p)/\mathbb{Q}}(\psi_p)$  is the sum over the  $p - 1$  non-trivial characters of  $G$ . But  $\mathfrak{N}_{\mathbb{Q}(\psi_p+1)/\mathbb{Q}}(\psi_p + 1) = \mathbb{1}^{\oplus(p-1)} + \mathfrak{N}_{\mathbb{Q}(\psi_p)/\mathbb{Q}}(\psi_p) \neq \mathfrak{N}_{\mathbb{Q}(1)/\mathbb{Q}}(1) + \mathfrak{N}_{\mathbb{Q}(\psi_p)/\mathbb{Q}}(\psi_p)$ .

The group

$$C(G) := \frac{\text{Char}_{\mathbb{Q}}(G)}{\text{Perm}(G)}$$

is a finite abelian group, of exponent dividing  $|G|$  (this follows from Artin's induction theorem [ref](#)). The study of this group is quite subtle, see for example [BD16]. For us, it's enough to know that there exists a minimum integer  $m$  dividing  $|G|$  such that  $\mathfrak{N}_{\mathbb{Q}(\rho)/\mathbb{Q}}(\rho)^{\oplus m} \in \text{Perm}(G)$ , where  $m$  is the order of  $\mathfrak{N}_{\mathbb{Q}(\rho)/\mathbb{Q}}(\rho)$  in  $C(G)$ .

**Example 1.4.** If  $G = C_n$  then  $C(G)$  is trivial (see Example 1.12).  $C(G)$  is also trivial for the symmetric groups  $G = S_n$ .

**Example 1.5.**  $G = Q_8$ , the quaternion group, has  $C(G) = \mathbb{Z}/2\mathbb{Z}$ . The faithful character  $\chi$  of  $Q_8$  has rational character and

$$\chi^{\oplus 2} = \text{Ind}_{C_1}^G \mathbb{1} \ominus \text{Ind}_{C_2}^G \mathbb{1},$$

but one cannot write  $\chi$  as a virtual permutation representation ( $\chi$  has Schur index 2 so  $\chi \notin R_{\mathbb{Q}}(G)$ ).

## 1.2 The Burnside ring and permutation relations

Let  $G$  be a finite group. Recall that there is a bijection

$$\{\text{transitive finite } G\text{-sets } X \text{ up to isomorphism}\} \leftrightarrow \{\text{subgroups } H \leq G \text{ up to conjugacy}\}$$

given by sending a transitive finite  $G$ -set  $X$  to  $H = \text{Stab}_G(x)$  for some  $x \in X$ . The action of  $G$  on  $X$  is equivalent to the action of  $G$  on  $G/H$ .

**Definition 1.6.** Let  $[X]$  denote the isomorphism class of a  $G$ -set  $X$ . The **Burnside ring**  $B(G)$  is the free abelian group on isomorphism classes of finite  $G$ -sets, modulo the relations  $[S] + [T] = [S \sqcup T]$  for  $S, T$  finite  $G$ -sets. This is a ring; multiplication is given by  $[S] \cdot [T] = [S \times T]$ .

This ring is generated by  $\{[X]\}$  where  $X$  is a transitive finite  $G$ -set. Using the identification of finite transitive  $G$ -sets with subgroups of  $G$ , we write elements of  $B(G)$  as  $\sum_i n_i H_i$  for  $n_i \in \mathbb{Z}$ ,  $H_i \leq G$ .

**Notation 1.7.** There is a homomorphism from the Burnside ring to the rational representation ring  $R_{\mathbb{Q}}(G)$  of  $G$  given by sending a  $G$ -set  $X$  to the permutation representation  $\mathbb{C}[X]$ . In terms of subgroups of  $G$ , we write

$$\mathbb{C}[G/-]: B(G) \rightarrow \text{Perm}(G), \quad \Theta = \sum_i n_i H_i \mapsto \mathbb{C}[G/\Theta] = \sum_i n_i \text{Ind}_{H_i}^G \mathbb{1}.$$

Elements in the kernel of this map are known as **Brauer relations**. Non-trivial Brauer relations are instances of non-isomorphic  $G$ -sets giving rise to isomorphic permutation representations.

**Example 1.8.** The irreducible representations of  $G = S_3$  are the trivial representation  $\mathbb{1}$ , the sign representation  $\epsilon$  and the 2-dimensional representation  $\rho$ . We have

$$\begin{aligned} \mathbb{C}[G/C_1] &= \mathbb{1} \oplus \epsilon \oplus \rho^{\oplus 2}, & \mathbb{C}[G/C_2] &= \mathbb{1} \oplus \rho, \\ \mathbb{C}[G/C_3] &= \mathbb{1} \oplus \epsilon, & \mathbb{C}[G/G] &= \mathbb{1}. \end{aligned}$$

Then  $\Psi = C_1 - 2C_2 - C_3 + 2S_3$  is the unique Brauer relation for  $G$ .

**Example 1.9.** Cyclic groups have no Brauer relations. Indeed, if  $G = C_n$ , the  $\mathbb{Z}$ -rank of  $\text{Perm}(G)$  is the number of cyclic subgroups of  $C_n$ , i.e the number of subgroups of  $C_n$ , which is the  $\mathbb{Z}$ -rank of  $B(G)$ . Hence the rank of the kernel of the map  $B(G) \rightarrow \text{Perm}(G)$  is zero.

In the last section, we described how to obtain a character in  $\text{Perm}(G)$  from a character  $\rho \in R(G)$ . We are interested in when this is an image of an element from the Burnside ring.

**Definition 1.10.** We call  $\Theta = \sum_i n_i H_i \in B(G)$  a  $\rho$ -**relation** if  $\mathbb{C}[G/\Theta] \simeq \mathfrak{N}_{\mathbb{Q}(\rho)/\mathbb{Q}}(\rho)^{\oplus m}$ , for some  $m \geq 1$ .

**Remark 1.11.** If such a relation exists, then  $m$  is a multiple of the order of  $\mathfrak{N}_{\mathbb{Q}(\rho)/\mathbb{Q}}(\rho)$  in  $C(G)$ . Note that if  $\Theta$  is a  $\rho$ -relation and  $\Psi \in B(G)$  is a Brauer relation, then  $\Theta + \Psi$  is also a  $\rho$ -relation. It follows that for a fixed  $m \geq 1$ , if  $\mathfrak{N}_{\mathbb{Q}(\rho)/\mathbb{Q}}(\rho)^{\oplus m} \in \text{Perm}(G)$  then there are  $\#(\text{Brauer relations of } G) + 1$  elements  $\Theta \in B(G)$  with  $\mathbb{C}[G/\Theta] = \mathfrak{N}_{\mathbb{Q}(\rho)/\mathbb{Q}}(\rho)^{\oplus m}$ .

**Example 1.12.** Let  $G = C_n$ . For each  $d \mid n$ , let  $\chi_d = \mathfrak{N}_{\mathbb{Q}(\varphi_d)/\mathbb{Q}}(\varphi_d)$ , where  $\varphi_d$  is an irreducible complex character of  $G$  with field of values  $\mathbb{Q}(\zeta_d)$  and kernel of index  $d$ . Then  $\{\chi_d : d \mid n\}$  form an orthogonal basis for the irreducible rational-valued representations of  $G$  [cite Serre exercise](#). Since  $C_{n/d} \trianglelefteq G$ ,  $\text{Ind}_{C_{n/d}}^G \mathbb{1}$  is the direct sum of irreducible complex representations of  $G$  containing  $C_{n/d}$  in their kernel. Thus,  $\text{Ind}_{C_{n/d}}^G \mathbb{1} \simeq \sum_{d' \mid d} \chi_{d'}$ . Applying Möbius inversion, we obtain a  $\varphi_d$ -relation for each  $d \mid n$ :

$$\chi_d = \sum_{d' \mid d} \mu(d/d') \cdot \text{Ind}_{C_{n/d}}^G \mathbb{1}.$$

Note that this is the only way of writing  $\chi_d$  as a sum of permutation representations, since cyclic groups have no Brauer relations (Example 1.9). Similarly, there is a unique  $\Theta \in B(G)$  such that  $\mathbb{C}[G/\Theta] \simeq \chi_d^m$  for all  $m \geq 1$ .

If  $D \leq G$ , then one can pass from  $\text{Perm}(G)$  to  $\text{Perm}(D)$  via restriction, and in the other direction via induction. We define analogous maps for the Burnside ring.

**Definition 1.13.** For  $D \leq G$ , define maps  $\text{Res}_D : B(G) \rightarrow B(D)$  and  $\text{Ind}_D : B(D) \rightarrow B(G)$  by

$$\text{Res}_D H = \sum_{x \in H \backslash G/D} D \cap H^{x^{-1}}, \quad \text{Ind}_D H = H.$$

These correspond to the representation theory side, where  $\text{Res}_D \text{Ind}_H^G \mathbb{1} = \sum_{x \in H \backslash G/D} \text{Ind}_{D \cap H^{x^{-1}}}^D \mathbb{1}$  (Mackey's decomposition), and  $\text{Ind}_D^G \text{Ind}_H^D \mathbb{1} = \text{Ind}_H^G \mathbb{1}$ .

### 1.3 Functions on the Burnside ring and norm relations

Consider a multiplicative function  $f : B(G) \rightarrow A$ , where  $A$  is an abelian group. As in [DD09], we say that  $f$  is **representation theoretic** if  $f$  is trivial on Brauer relations. This implies that for a  $G$ -set  $X$ ,  $f$  only depends on the representation  $\mathbb{C}[X]$ . In other words, there exists a map  $g : R(G) \rightarrow A$  such that  $f(H) = g(\mathbb{C}[G/H])$  for all  $H \leq G$ .

**Example 1.14.** Let  $V$  be a representation of  $G$ . The function sending  $H \mapsto \dim V^H$  is equal on conjugate subgroups and so defines a map  $\psi : B(G) \rightarrow \mathbb{Z}$ . This is trivial on Brauer relations as  $\dim V^H = \langle \text{Res}_H V, \mathbb{1}_H \rangle = \langle V, \text{Ind}_H^G \mathbb{1} \rangle$  by Frobenius reciprocity.

Let  $\rho \in R(G)$ . Let  $\Theta \in B(G)$  be a  $\rho$ -relation, with  $\mathbb{C}[G/\Theta] \simeq \mathfrak{N}_{\mathbb{Q}(\rho)/\mathbb{Q}}(\rho)^{\oplus m}$ . If we have a function  $f : B(G) \rightarrow \mathbb{Q}^\times$ , we may then ask whether  $f(\Theta) \in N_{\mathbb{Q}(\rho)/\mathbb{Q}}(\mathbb{Q}(\rho)^\times)$ .

**Definition 1.15.** Let  $\rho \in R(G)$ ,  $\Theta$  a  $\rho$ -relation, and  $f: B(G) \rightarrow \mathbb{Q}^\times$ . If  $f(\Theta) \in N_{\mathbb{Q}(\rho)/\mathbb{Q}}(\mathbb{Q}(\rho)^\times)$ , then we call  $\Theta$  a **norm relation** for  $f$ . If  $f(\Theta) \in N_{\mathbb{Q}(\rho)/\mathbb{Q}}(\mathbb{Q}(\rho)^\times)$  for every  $\rho$ -relation in  $B(G)$ , then we say  $f$  is **trivial on  $\rho$ -relations**.

**Example 1.16.** Let  $G = C_p$  for  $p$  a prime. Let  $\rho$  be a character of degree  $p$ , so  $\mathbb{Q}(\rho) = \mathbb{Q}(\zeta_p)$ . There is a unique  $\rho$ -relation given by  $\Theta = C_1 - C_p$ . Let  $\psi: B(G) \rightarrow \mathbb{Q}^\times$  be given by  $\psi(H) = [G : H]$ . Then  $\psi(\Theta)$  is a norm relation, as  $\psi(\Theta) = p \in N_{\mathbb{Q}(\rho)/\mathbb{Q}}(\mathbb{Q}(\rho)^\times)$  is the norm of  $1 - \zeta_p$ .

There is some ambiguity in ...

**Proposition 1.17.** Let  $\rho \in R(G)$  and  $f: B(G) \rightarrow \mathbb{Q}^\times$ . Suppose that  $f(\Psi) \in N_{\mathbb{Q}(\rho)/\mathbb{Q}}(\mathbb{Q}(\rho)^\times)$  for every Brauer relation  $\Psi \in B(G)$ . If there exists a  $\rho$ -relation  $\Theta \in B(G)$  such that  $\Theta$  is a norm relation for  $f$ , then  $f$  is trivial on  $\rho$ -relations.

*Proof.* We have that  $\mathbb{C}[G/\Theta] = \mathfrak{N}_{\mathbb{Q}(\rho)/\mathbb{Q}}(\rho)^{\oplus m'}$  for some  $m' \geq 1$ . Write  $m' = km$  for  $k \geq 1$  and  $m$  the order of  $\mathfrak{N}_{\mathbb{Q}(\rho)/\mathbb{Q}}(\rho)$  in  $C(G)$ .

Consider an arbitrary  $\rho$ -relation  $\Theta'$ , with  $\mathbb{C}[G/\Theta'] = \mathfrak{N}_{\mathbb{Q}(\rho)/\mathbb{Q}}(\rho)^{\oplus lm}$  for  $l \geq 1$ . Then  $\Psi = l\Theta - k\Theta'$  is a Brauer relation. Therefore

$$f(\Theta') =$$

□

In later applications, we consider when  $\mathbb{Q}(\rho)$  is quadratic, so that  $\mathbb{Q}^{\times 2} \subset N_{\mathbb{Q}(\rho)/\mathbb{Q}}(\mathbb{Q}(\rho)^\times)$

### 1.3.1 D-local functions

For our application of functions on the Burnside ring to [reference something in intro](#), we introduce some of the concepts and notation introduced in [DD09, Section 2.iii].

We are interested in functions on the Burnside ring that are number-theoretic in nature, where we take  $G$  to be a Galois group. Often, these functions are *local*. For example, let  $G = \text{Gal}(F/K)$  and  $D$  the decomposition group at the place  $v$ . For  $H \leq G$ , the number of primes in  $L = F^H$  above  $v$  are in one-to-one correspondence with the double cosets  $D \backslash H / G$ . We can use the function  $f: B(G) \rightarrow \mathbb{Q}[x]^\times$  given by  $H \mapsto x^{|H \backslash G / D|}$  to describe the number of places above  $v$  in any intermediate extension of  $F/K$ . But if we let  $g: B(D) \rightarrow \mathbb{Q}[x]^\times$  be defined by  $H \mapsto x$ , then

$$f(H) = g(\text{Res}_D H) = \prod_{x \in H \backslash G / D} g(D \cap H^{x^{-1}}).$$

Therefore the value of  $f$  on any  $G$ -set  $X$  only depends on the structure of  $X$  as a  $D$ -set.

Such functions motivate the following definition:

**Definition 1.18.** ([DD09, Definition 2.33]) If  $D \leq G$ , we say a function  $f$  on  $B(G)$  is  **$D$ -local** if there is a function  $f_D$  on  $B(D)$  such that  $f(H) = f_D(\text{Res}_D H)$  for  $H \leq G$ . If this is the case, we write

$$f = (D, f_D).$$

**Example 1.19.** For  $G = \text{Gal}(F/K)$ ,  $v$  a place of  $K$  with decomposition group  $D$ , the function

$$H \mapsto \prod_{w|v} c_w(E/F^H)$$

is  $D$ -local, where  $E$  is an elliptic curve over  $K$  and  $c_w$  is the local Tamagawa number.

Let  $I \triangleleft D$  be the inertia subgroup of the place  $v$ , so  $D/I$  is cyclic. If a prime  $w$  in  $F^H$  corresponds to the double coset  $HxD$ , then its decomposition and inertia groups in  $F/F^H$  are  $H \cap D^x$  and  $H \cap I^x$  respectively. The ramification degree and residue degree of  $w$  over  $K$  are given by  $e_w = \frac{|I|}{|H \cap I^x|}$  and  $f_w = \frac{[D:I]}{[H \cap D^x : H \cap I^x]}$ . We will consider functions that depend on  $e$  and  $f$ , and so introduce the following:

**Definition 1.20.** [DD09, Definition 2.35] Suppose  $I \triangleleft D < G$  with  $D/I$  cyclic, and  $\psi(e, f)$  is a function of  $e, f \in \mathbb{N}$ . Define a function on  $B(G)$  by

$$(D, I, \psi) : H \mapsto \prod_{x \in H \backslash G/D} \psi \left( \frac{|I|}{|H \cap I^x|}, \frac{[D:I]}{[H \cap D^x : H \cap I^x]} \right).$$

This is a  $D$ -local function on  $B(G)$  with

$$(D, I, \psi) = \left( D, U \mapsto \psi \left( \frac{|I|}{|U \cap I|}, \frac{|D|}{|UI|} \right) \right).$$

**Example 1.21.** If  $E/K$  has split multiplicative reduction at  $v$  with  $c_v(E/K) = n$ , then  $c_w(E/F^H) = e_w n$  for a place  $w$  of  $F^H$  above  $v$ . In this case the function in Example 1.19 is  $(D, I, e)$ .

**Example 1.22.** Let  $\rho = 0$ . If  $W$  is a group of odd order, then  $(W, W, e) \sim 1$  as functions to  $\mathbb{Q}^\times / \mathbb{Q}^{\times 2}$ . More generally if  $D$  has odd order and  $I \triangleleft D$  then  $(D, I, e) \sim_\rho 1$ . **explain and reference**

**Question:** do i need to be worried about m when i restrict a representation? do i need to adjust my definition of rho relations?

**Proposition 1.23.** Let  $I \triangleleft D \leq G$  with  $D/I$  cyclic. Let  $\rho \in R(G)$  Then

1. If  $f = (D, f_D)$  and  $f_D$  is trivial on  $(\text{Res}_D \rho)$ -relations, then  $f$  is trivial on  $\rho$ -relations.
2. *maybe more things to say here. See e.g. [DD09, Theorem 2.36]*



## 2 Representations, L-functions and Artin Twists

The Birch-Swinnerton-Dyer conjecture classically provides a connection between the arithmetic of elliptic curves and their  $L$ -functions. In this preliminary section, we explore the classical definition of  $L$ -functions attached to an elliptic curve and their twists, and we explore some of the relevant properties that we will use later on. To do so, we first need to explore the notion of an Artin representation and of an  $\ell$ -adic representation.

Throughout this section we fix a field  $K$ , which will either be a number field or a local field of characteristic 0. We always specify what  $K$  is in each context. We also fix an algebraic closure  $\bar{K}$  of  $K$  and we denote by  $G_K$  the absolute Galois group  $\text{Gal}(\bar{K}/K)$  of  $K$ . We recall that  $G_K$  is a profinite group

$$G_K = \varprojlim_F \text{Gal}(F/K),$$

where  $F$  ranges over the finite Galois extensions of  $K$  and therefore has a natural topology where a basis of open sets is given by  $\text{Gal}(\bar{K}/F)$  where  $F$  is a finite extension of  $K$ .

### 2.1 Artin Representations and $\ell$ -adic Representations

We begin by recalling the notion of an Artin representation.

**Definition 2.1.** Let  $K$  be a number field or a local field with characteristic 0. An **Artin representation**  $\rho$  over  $K$  is a complex finite-dimensional vector space  $V$  together with a homomorphism  $\rho : G_K \rightarrow \text{GL}(V) = \text{GL}_n(\mathbb{C})$  such that there is some finite Galois extension  $F/K$  with  $\text{Gal}(\bar{K}/F) \subseteq \ker \rho$ . In other words,  $\rho$  factors through  $\text{Gal}(F/K)$  for some finite extension  $F$  of  $K$ .

Hence, an Artin representation can be equivalently viewed as a finite dimensional representation of  $\text{Gal}(F/K)$  where  $F$  is some finite Galois extension of  $K$ . Throughout the document, we will use both notions and refer to either of them as Artin representations. Which notion we refer to is always clear from context.

**Remark 2.2.** The condition above that  $\text{Gal}(\bar{K}/F) \subseteq \ker \rho$  is equivalent to  $\ker \rho$  being open in  $G_K$ . This condition is clearly equivalent to  $\rho$  being continuous with respect to the discrete topology on  $\text{GL}_n(\mathbb{C})$ . Interestingly, the profinite topology of  $G_K$  has an surprising consequence: this condition is also equivalent to continuity with respect to the usual complex topology on  $\text{GL}_n(\mathbb{C})$ . Necessity is clear, and the proof of sufficiency relies on the fact that under the complex topology, ‘small’ neighbourhoods of the identity in  $\text{GL}(V) = \text{GL}_n(\mathbb{C})$  do not contain any non-trivial subgroups. Hence, if  $\phi : G_K \rightarrow \text{GL}(V)$  is continuous with respect to the complex topology and  $U$  is such a neighbourhood in  $\text{GL}(V)$ , then  $\phi^{-1}(U) \subseteq \ker \phi$  and  $\phi^{-1}(U)$  is open, showing that  $\ker \phi$  is open too. Hence, Artin representations are simply continuous group homomorphisms  $\rho : G_K \rightarrow \text{GL}_n(\mathbb{C})$ .

Next, we define the notion of an  $\ell$ -adic representation, which will be needed to define the  $L$ -function of an elliptic curve. This is the local analogue of an Artin representation.

**Definition 2.3.** Let  $K$  be a number field or a local field of characteristic 0. A **continuous  $\ell$ -adic representation**  $\rho$  over  $K$  is a continuous homomorphism  $\rho : G_K \rightarrow \text{GL}_n(F)$  where  $F$  is a finite extension of  $\mathbb{Q}_\ell$  and  $\text{GL}_n(F)$  is equipped with the  $\ell$ -adic topology.

**Remark 2.4.** The topologies on  $\text{GL}_n(\mathbb{C})$  and  $\text{GL}_n(\mathbb{Q}_\ell)$  are very different, and in particular an  $\ell$ -adic representation may not have an open kernel. Instead, continuity is equivalent to the following condition: for every  $m \geq 1$ , there is some finite field extension  $F_m$  of  $K$  such that for all  $g \in \text{Gal}(\bar{K}/F_m)$ ,  $\rho(g) \equiv \text{Id}_n \pmod{\ell^m}$ .

Given an Artin representation  $\rho$ , one can view it as homomorphism  $\rho : G_K \rightarrow \mathrm{GL}_n(\bar{\mathbb{Q}})$  and since it factors through a finite quotient, we can realise it as  $\rho : G_K \rightarrow \mathrm{GL}_n(F)$  for some number field  $F$ . Hence, if  $\ell$  is any rational prime and  $\mathfrak{l}$  is a prime in  $F$  above  $\ell$ , then one can realise  $\rho$  as an  $\ell$ -adic representation

$$\rho : G_K \longrightarrow \mathrm{GL}_n(F_{\mathfrak{l}}),$$

which is continuous since  $\rho$  factors through a finite quotient. Furthermore, Artin and  $\ell$ -adic representations over  $K$  have more structure; namely, one can take **direct sums** and **tensor products**.

We describe the construction for Artin representations, since the  $\ell$ -adic case is completely analogous. Suppose we have two Artin representations  $\rho_1, \rho_2$  over  $K$ , and by the discussion on the preceding paragraph we can realise them as maps  $\rho_i : G_K \rightarrow \mathrm{GL}_{n_i}(L_i)$ ,  $i = 1, 2$  where  $L_1$  and  $L_2$  are number fields. If we let  $L = L_1 L_2$ , then the natural maps  $\rho_1 \oplus \rho_2 : G_K \rightarrow \mathrm{GL}_{n_1+n_2}(L)$  and  $\rho_1 \otimes \rho_2 : G_K \rightarrow \mathrm{GL}_{n_1 n_2}(L)$  are both Artin representations. One can also show that this construction is also well-defined up to equivalence.

Finally, we discuss the notion of an induced Artin representation. Suppose  $L$  is a finite field extension of  $K$  of degree  $d$  and let  $\rho : G_L \rightarrow \mathrm{GL}(V)$  be an Artin representation. Then  $G_L$  is naturally a subgroup of  $G_K$  of index  $d$ , and therefore we can construct  $\mathrm{Ind}_{G_L}^{G_K} \rho$  in the usual way. This turns out to be an Artin representation of  $K$ : if  $F$  be a number field so that  $\rho$  factors through  $\mathrm{Gal}(F/L)$ , then  $\mathrm{Ind}_{G_L}^{G_K} \rho$  will factor through  $\mathrm{Gal}(F/K)$ . Furthermore, the corresponding representation over  $\mathrm{Gal}(F/K)$  will be equivalent to  $\mathrm{Ind}_{\mathrm{Gal}(F/L)}^{\mathrm{Gal}(F/K)} \rho$  where  $\rho$  is now viewed as a representation of  $\mathrm{Gal}(F/L)$ . Hence, the notion of induction is naturally compatible with this process of passing through finite quotients. Therefore, and to simplify notation, we will write  $\mathrm{Ind}_{L/K} \rho$  for the induced Artin representation, and it will always be clear from context the implicit field  $F$ .

## 2.2 Local Polynomials and L-functions

We now briefly discuss how to attach analytic objects to Artin and  $\ell$ -adic representations. These objects are usually described for local fields of characteristic 0 first. Then, one constructs global objects attached to number fields by completing them at their finite places, obtaining the local information and then combining it appropriately.

To begin, let  $K$  be a local field with 0 characteristic and let  $p$  be the characteristic of the residue field  $\kappa$ . Let  $\rho : G_K \rightarrow \mathrm{GL}(V)$  be an Artin or  $\ell$ -adic representation such that  $\ell \neq p$  (this is an important technical assumption that we will not discuss further). It is a fundamental result in algebraic number theory that the natural map

$$\epsilon : \mathrm{Gal}(\bar{K}/K) \longrightarrow \mathrm{Gal}(\bar{\kappa}/\kappa)$$

is surjective, and  $I_K := \ker \epsilon$  is denoted as the inertia group of  $K$ . Therefore, we have a short exact sequence

$$0 \longrightarrow I_K \longrightarrow \mathrm{Gal}(\bar{K}/K) \xrightarrow{\epsilon} \mathrm{Gal}(\bar{\kappa}/\kappa) \longrightarrow 0.$$

In addition, the map  $\phi \in \mathrm{Gal}(\bar{\kappa}/\kappa)$  such that  $\phi(x) = x^p$  is a topological generator of  $\mathrm{Gal}(\bar{\kappa}/\kappa)$  and any preimage of  $\phi$  under  $\epsilon$  is called a Frobenius element  $\mathrm{Frob}_K$ , which is well-defined up to  $I_K$ . Furthermore, the space of inertia-invariants

$$V^{I_K} := \{v \in V : \rho(g)v = v \text{ for all } g \in I_K\}$$

is naturally a  $G_K/I_K$  representation, which we denote  $\rho^{I_K}$ . In this setting,  $\rho^{I_K}(\mathrm{Frob}_K)$  is therefore well-defined. We are now ready to define the local polynomial attached to  $\rho$ .

**Definition 2.5.** Let  $K$  be a local field of characteristic 0 and let  $p$  the characteristic of its local field. If  $\rho$  is an Artin or  $\ell$ -adic representation such that  $\ell \neq p$ , then the local polynomial attached to  $\rho$  is

$$P(\rho, T) := \det \left( I - T \cdot \rho^{I_K} (\text{Frob}_K^{-1}) \right).$$

If  $K$  is instead a number field, the idea is to consider all finite places of  $K$  and consider all the local polynomials attached to all local completions of  $K$  to build the corresponding L-function. More concretely, let  $\rho : G_K \rightarrow \text{GL}(V)$  be an Artin or  $\ell$ -adic representation, let  $\mathfrak{p}$  be a finite place of  $K$  and let  $K_{\mathfrak{p}}$  be the corresponding completion. Since  $G_{K_{\mathfrak{p}}} = \text{Gal}(\bar{K}_{\mathfrak{p}}/K_{\mathfrak{p}})$  is naturally a subgroup of  $G_K$ , we can restrict  $\rho$  to  $\text{Res}_{\mathfrak{p}} \rho : G_{K_{\mathfrak{p}}} \rightarrow \text{GL}(V)$  and then calculate the corresponding local polynomial as long as  $\mathfrak{p}$  and  $\ell$  are coprime. If  $\rho$  is an Artin representation, this allows us to construct the associated  $L$ -function.

**Definition 2.6.** Let  $K$  be a number field and  $\rho$  an Artin representation over  $K$ . If  $\mathfrak{p}$  is a finite place of  $K$ , we denote the local polynomial at  $\mathfrak{p}$  as

$$P_{\mathfrak{p}}(\rho, T) := P(\text{Res}_{\mathfrak{p}} \rho, T).$$

The associated  $L$ -function to  $\rho$  is

$$L(\rho, s) := \prod_{\mathfrak{p} \text{ prime}} \frac{1}{P_{\mathfrak{p}}(\rho, N(\mathfrak{p})^{-s})}.$$

However, if  $\rho$  is an  $\ell$ -adic representation, constructing a global object is harder, since the above method does not yield information at the finite places  $\mathfrak{p}$  that divide  $\ell$ . This motivates the following important definition.

**Definition 2.7.** Let  $\{\rho_{\ell}\}_{\ell}$  be a family of  $\ell$ -adic representations for each prime  $\ell$ . We then say that  $\{\rho_{\ell}\}_{\ell}$  is a **weakly compatible system of  $\ell$ -adic representations** if for every finite place  $\mathfrak{p}$  of  $K$  and rational primes  $\ell, \ell'$  not divisible by  $\mathfrak{p}$ ,

$$P_{\mathfrak{p}}(\rho_{\ell}, T) = P_{\mathfrak{p}}(\rho_{\ell'}, T)$$

.

When  $\{\rho_{\ell}\}_{\ell}$  is a weakly compatible system of  $\ell$ -adic representations, the local polynomial  $P_{\mathfrak{p}}(\rho_{\ell}, T)$  can be computed using any  $\ell$  not divisible by  $\mathfrak{p}$ . This also allows us to define the  $L$ -function in this context.

**Definition 2.8.** Let  $K$  be a number field and let  $\{\rho_{\ell}\}_{\ell}$  be a weakly compatible system of  $\ell$ -adic representations. Then the  $L$ -function attached to the system is

$$L(\{\rho_{\ell}\}, s) = \prod_{\mathfrak{p} \text{ prime}} \frac{1}{P_{\mathfrak{p}}(\{\rho_{\ell}\}, N(\mathfrak{p})^{-s})}.$$

## 2.3 The Tate Module of an Elliptic Curve and their L-function

For this subsection, let  $K$  be a number field and let  $E$  be an elliptic curve defined over  $K$ . To avoid notational confusion, whenever we write  $E$  we refer to all of its  $\bar{K}$  points, while  $E(K)$  refers only to the  $K$ -rational points. The aim of this section is to describe a procedure to attach an  $L$ -function to a given elliptic curve over  $K$ . In order to achieve this, we will first construct a 2-dimensional  $\ell$ -adic representation attached to  $E$ , and then construct the  $L$ -function as described in the section above.

Let  $\ell$  be a rational prime number. For any  $n \geq 1$ , we denote by  $E[\ell^n]$  to be the  $\ell^n$ -torsion points; in other words,  $E[\ell^n]$  is the kernel of the map  $E[\ell^n] : E \rightarrow E$ . We then have the diagram of compatible maps

$$\longrightarrow E[\ell^{n+1}] \xrightarrow{[\ell]} E[\ell^n] \xrightarrow{[\ell]} \cdots \xrightarrow{[\ell]} E[\ell^2] \xrightarrow{[\ell]} E[\ell] \xrightarrow{[\ell]} \{\mathcal{O}_E\}$$

and therefore we can construct the inverse limit of this diagram

$$T_\ell(E) := \varprojlim_n E[\ell^n],$$

denoted as the  $\ell$ -adic Tate module of the elliptic curve  $E$ . By the uniformization theorem, we know that

$$E[\ell^n] \cong \frac{\mathbb{Z}}{\ell^n \mathbb{Z}} \oplus \frac{\mathbb{Z}}{\ell^n \mathbb{Z}}$$

as groups, and therefore

$$T_\ell(E) \cong \mathbb{Z}_\ell \oplus \mathbb{Z}_\ell$$

as  $\mathbb{Z}_\ell$ -modules. In addition, the Tate module carries important extra structure, namely the action of the absolute Galois group  $G_K$ . Since  $E$  is defined over  $K$ , and the multiplication by  $m$  maps are determined by polynomials with coefficients in  $K$ , there is a well-defined additive action  $\psi_n : G_K \rightarrow \text{Aut}_{\mathbb{Z}}(E[\ell^n])$ . Furthermore, one can show that these actions are compatible with the inverse limit diagram of the Tate module. That is, for every  $n \geq 1$  and  $\sigma \in G_K$ , the diagram

$$\begin{array}{ccc} E[\ell^{n+1}] & \xrightarrow{\ell} & E[\ell^n] \\ \downarrow \psi_{n+1}(\sigma) & & \downarrow \psi_n(\sigma) \\ E[\ell^{n+1}] & \xrightarrow{\ell} & E[\ell^n] \end{array}$$

commutes. Therefore, the actions  $\psi_n$  induce an action of  $G_K$  on  $T_\ell(E)$  and since  $T_\ell(E) \cong \mathbb{Z}_\ell \oplus \mathbb{Z}_\ell$ , this corresponds to a 2-dimensional  $\ell$ -adic representations

$$\psi_{E,\ell} : G_K \longrightarrow \text{GL}_2(\mathbb{Z}_\ell) \subseteq \text{GL}_2(\mathbb{Q}_\ell).$$

We will also denote from now on  $\rho_{E,\ell}$  to be the dual representation of  $\psi_{E,\ell}$ . For technical reasons we will not discuss, the  $L$ -function is typically constructed using the later ones.

**Remark 2.9.** The representation above does indeed satisfy the conditions in Remark 2.4. In particular, given any  $n \geq 1$ , the field  $F_n := K(E[\ell^n])$  is a finite extension of  $K$  since it is obtained by attaching finitely many algebraic numbers. By construction,  $\text{Gal}(\bar{K}/F_n)$  acts trivially on  $E[\ell^n]$  and thus  $\rho_{E,\ell}(g) \equiv \text{Id} \pmod{\ell^n}$  for all  $g \in \text{Gal}(\bar{K}/F_n)$ .

Of course, the above construction can be followed by any rational prime  $\ell$ , and this gives a family  $\{\rho_{E,\ell}\}_\ell$ . To build an  $L$ -function as described in the section above, we would need this family to be weakly compatible. Thankfully, this and much more is true, and the next theorem collects the relevant results.

**Theorem 2.10.** *Let  $E$  be an elliptic curve over a number field  $K$  and  $\rho_{E,\ell}$  be the dual representation on  $T_\ell(E)$ . For every finite place  $\mathfrak{p}$  of  $K$ , let  $\kappa_{\mathfrak{p}}$  be the residue field of  $K_{\mathfrak{p}}$ ,  $q_{\mathfrak{p}} = |\kappa_{\mathfrak{p}}|$  and  $a_{\mathfrak{p}} = 1 + q_{\mathfrak{p}} - |\tilde{E}(\kappa_{\mathfrak{p}})|$ . Then for any  $\mathfrak{p}$  not dividing  $\ell$ ,*

$$\begin{aligned} P_{\mathfrak{p}}(\rho_{E,\ell}, T) &= 1 - a_{\mathfrak{p}}T + q_{\mathfrak{p}}T^2, & \text{if } E/K_{\mathfrak{p}} \text{ has good reduction,} \\ &= 1 - T, & \text{if } E/K_{\mathfrak{p}} \text{ has split multiplicative reduction,} \\ &= 1 + T, & \text{if } E/K_{\mathfrak{p}} \text{ has non-split multiplicative reduction,} \\ &= 1, & \text{if } E/K_{\mathfrak{p}} \text{ has additive reduction.} \end{aligned}$$

In particular, for any  $\ell, \ell'$  not divisible by  $\mathfrak{p}$ ,

$$P_{\mathfrak{p}}(\rho_{E,\ell}, T) = P_{\mathfrak{p}}(\rho_{E,\ell'}, T),$$

and so  $\{\rho_{E,\ell}\}$  is a weakly compatible system of  $\ell$ -adic representations.

This allows us to define the  $L$ -function of an elliptic curve as above.

**Definition 2.11.** Let  $E$  be an elliptic curve over  $K$ . Then the  $L$ -function attached to  $E$  is

$$L(E/K, s) = L(\{\rho_{E,\ell}\}, s) = \prod_{\mathfrak{p} \text{ prime}} \frac{1}{P_{\mathfrak{p}}(\rho_{E,\ell}, N(\mathfrak{p})^{-s})}$$

## 2.4 Artin Twists of L-functions of Elliptic Curves

We have already seen that given an elliptic curve over a number field  $K$ , one can construct the  $L$ -function  $L(E/K, s)$ . However, given an Artin representation  $\rho$  over  $K$ , it is possible to attach more analytic objects, with remarkable arithmetic properties. We outline the main results below, without proofs. **Insert here relevant reference.**

Fix some number field  $K$ , an elliptic curve  $E$  over  $K$  and an Artin representation  $\rho$ . Then, similar to the previous section, it is possible to show that  $\{\rho_{E,\ell} \otimes \rho\}_{\ell}$  is also a weakly compatible system of  $\ell$ -adic representations. The corresponding  $L$ -function

$$L(E, \rho, s) = L(\{\rho_{E,\ell} \otimes \rho\}, s)$$

is denoted as the **Artin-twist** of  $L(E, s)$  by  $\rho$ . These objects have remarkable (both proven and conjectural) properties that we describe now.

**Theorem 2.12** (Artin Formalism). *Let  $E$  be an elliptic curve over a number field  $K$ .*

1. *For Artin representations  $\rho_1, \rho_2$  over  $K$ ,*

$$L(\rho_1 \oplus \rho_2, s) = L(\rho_1, s)L(\rho_2, s) \quad \text{and} \quad L(E/K, \rho_1 \oplus \rho_2, s) = L(E/K, \rho_1, s)L(E/K, \rho_2, s)$$

2. *If  $L/K$  is a finite extension and  $\rho$  is an Artin representation over  $L$ , then  $\text{Ind}_{L/K} \rho$  is an Artin representation over  $K$  and*

$$L(\rho, s) = L(\text{Ind}_{L/K} \rho, s) \quad \text{and} \quad L(E/L, \rho, s) = L(E/L, \text{Ind}_{L/K} \rho, s).$$

3. *If  $L/K$  is a finite extension as above and*

$$\text{Ind}_{L/K} \mathbb{1} \cong \bigoplus_i \rho_i,$$

*then*

$$L(E/L, s) = \prod_i L(E/K, \rho_i, s).$$

Furthermore, as mentioned after Remark 2.4, by fixing some basis of  $V$ , any Artin representation  $\rho$  can be viewed as a representation  $\rho : G_K \rightarrow \text{GL}_n(F)$  for some number field  $F$ . The smallest such field is the **field of values** of  $\rho$  and denoted by  $\mathbb{Q}(\rho)$ . Any  $\sigma \in \text{Gal}(\mathbb{Q}(\rho)/\mathbb{Q})$  induces a homomorphism  $\sigma : \text{GL}_n(\mathbb{Q}(\rho)) \rightarrow \text{GL}_n(\mathbb{Q}(\rho))$  and also a map which is another Artin representation, denoted as the twist of  $\rho$  by  $\sigma$ .

**Conjecture 2.13** (Galois Equivariance of L-Twists). I need to check the precise statement of this result. This may need to come after the discussion on BSD.

### 3 Birch and Swinnerton-Dyer and Other Conjectures

The Birch-Swinnerton-Dyer conjecture classically provides a connection between the arithmetic of elliptic curves and their  $L$ -functions. We have already investigated the construction and main results of the ‘ $L$ -functions side’, and now we turn our attention to statement of the conjecture and towards understanding the arithmetic terms present in the conjecture.

#### 3.1 BSD and the Arithmetic Terms

The Birch-Swinnerton-Dyer conjecture states the following.

**Conjecture 3.1 (BSD).** Let  $E$  be an elliptic curve over a number field  $K$ . Then

**BSD1.** The rank of the Mordell-Weil group of  $E$  over  $K$  equals the order of vanishing of the  $L$ -function; that is,

$$\text{ord}_{s=1} L(E/K, s) = \text{rk } E/K.$$

**BSD2.** The leading term of the Taylor series at  $s = 1$  of the  $L$ -function is given by

$$\lim_{s \rightarrow 1} \frac{L(E/K, s)}{(s-1)^r} \cdot \frac{\sqrt{|\Delta_K|}}{\Omega_+(E)^{r_1+r_2} |\Omega_-(E)|^{r_2}} = \frac{\text{Reg}_{E/K} |\text{III}_{E/K}| C_{E/K}}{|E(K)_{\text{tors}}|^2}. \quad (1)$$

Many arithmetic invariants appear as part of the statement of BSD2, and it is worth exploring them briefly. Some of these invariants depend only on the number field  $K$ . These are the discriminant  $\Delta_K$  of  $K$  and the numbers  $r_1$  and  $r_2$ , corresponding to the number of real and complex embeddings of  $K$ . A basic formula states that if  $n = [K : \mathbb{Q}]$ , then  $r_1 + 2r_2 = n$ . The other factors are arithmetic values related to the elliptic curve  $E$ . **I have not found how to define periods and the  $dv$  terms coming from the minimal differential for elliptic curves defined over general number fields, as there may not necessarily be a global minimal equation.** Some of these terms are easier to define if we assume that the elliptic curve is defined over  $\mathbb{Q}$ . Since these will be our main object of interest, we assume from now on that  $E$  is defined over  $\mathbb{Q}$ . We can then assume that  $E$  is given by the Weierstrass equation

$$E : y^2 + a_1xy + a_3y = x^3 + a_2x^2 + a_4x + a_6$$

for some  $a_1, a_2, a_3, a_4, a_6 \in \mathbb{Q}$ , and we can furthermore assume that this is a **global minimal equation** for  $E$ . Associated to  $E$ , there is also the **global minimal differential**

$$w = \frac{dx}{2y + a_1x + a_3} = \frac{dy}{3x^2 + 2a_2x + a_4 - a_1y}$$

1. **Periods:** For elliptic curves  $E$  defined over  $\mathbb{Q}$ , there is a conjugation map  $E \rightarrow E$ ,  $P \mapsto \bar{P}$ . We then define  $E(\mathbb{C})^+ = \{P \in E : \bar{P} = P\} = E(\mathbb{R})$  and  $E(\mathbb{C})^- = \{P \in E : \bar{P} = -P\}$ . Then the  $\pm$ -periods of  $E$  are

$$\Omega_+(E) = \int_{E(\mathbb{C})^+} \omega \quad \text{and} \quad \Omega_-(E) = \int_{E(\mathbb{C})^-} \omega,$$

and orientation chosen so that  $\Omega_+(E) \in \mathbb{R}_{>0}$  and  $\Omega_-(E) \in i\mathbb{R}_{>0}$ .

2. **Torsion:**  $|E(K)_{\text{tors}}|$  is the size of the torsion subgroup of  $E(K)$ .

3. **Regulator:** To properly define the regulator one needs to carefully construct the canonical height  $\hat{h} : E(\bar{K}) \rightarrow \mathbb{R}^+$ , which roughly evaluates the ‘arithmetic complexity’ of a given point  $P \in E(\bar{K})$ . We refer the reader to [Sil09, Chapter VIII: §4, §5, §6 and §9] for a complete discussion of this topic. This map satisfies many important properties (as listed in [Sil09, Chapter VIII, Theorem 9.3]), among which is the fact that  $\hat{h}$  is a quadratic form; in particular, the pairing

$$\begin{aligned} \langle \cdot, \cdot \rangle : E(\bar{K}) \times E(\bar{K}) &\mapsto \mathbb{R} \\ \langle P, Q \rangle &= \hat{h}(P \oplus Q) - \hat{h}(P) - \hat{h}(Q) \end{aligned}$$

is bilinear. Then the regulator is the volume of  $E(K)/E(K)_{\text{tors}}$  computed using the quadratic form  $\hat{h}$ . In other words, let  $P_1, \dots, P_r$  be generators of the group  $E(K)/E(K)_{\text{tors}}$ . Then

$$\text{Reg}_{E/K} = \det(\langle P_i, P_j \rangle)_{1 \leq i, j \leq r}$$

if  $r \geq 1$  and  $\text{Reg}_{E/K} = 1$  if  $r = 0$ .

4. **Tate-Shafarevich group:** This is the most misterious group and it is commonly defined using Galois cohomology as

$$\text{III}_{E/K} = \ker \left[ H^1(K, E) \rightarrow \prod_{\mathfrak{p}} H^1(K_{\mathfrak{p}}, E) \right],$$

where  $H^1(F, E) := H^1(G_F, E(\bar{F}))$  and the implicit map is induced by the inclusions  $G_{K_{\mathfrak{p}}} \hookrightarrow G_K$ . One can interpret  $H^1(F, E)$  as ‘homogeneous spaces’ of  $E$  over  $K$  up to equivalence. A homogeneous space over  $K$  is trivial if and only if it has a  $K$ -rational point, so a non-trivial element of  $\text{III}_{E/F}$  is a homogeneous space that has points locally in every  $K_{\mathfrak{p}}$  but has no  $K$ -rational point.

5. **Local data:** The term  $C_{E/K}$  is defined in terms of local data as

$$C_{E/K} = \prod_{\mathfrak{p}} c_{\mathfrak{p}}(E/K) \left| \frac{\omega}{\omega_{\mathfrak{p}}^{\min}} \right|_{\mathfrak{p}} = \prod_{\mathfrak{p}} c_{\mathfrak{p}}(E/K) \left| \frac{\Delta_{E, \mathfrak{p}}^{\min}}{\Delta_E} \right|_{\mathfrak{p}}^{\frac{1}{12}}.$$

Fix some finite place  $\mathfrak{p}$  of  $K$  and let  $p\mathbb{Z} = \mathfrak{p} \cap \mathbb{Q}$ . By assumption,  $\Delta_E$  is a minimal discriminant at  $p$ , but this may not be a minimal discriminant at  $\mathfrak{p}$ . However, if  $p$  is unramified at  $K$ , or if  $E$  has semistable reduction at  $p$ , then  $\Delta_{E, \mathfrak{p}}^{\min} = \Delta_E$  and the second term vanishes.

To discuss the **Tamagawa numbers**  $c_{\mathfrak{p}}(E/K)$ , let  $K_{\mathfrak{p}}$  and  $\kappa_{\mathfrak{p}}$  be the completion of  $K$  at  $\mathfrak{p}$  and its residue field. Then there is an associated elliptic curve  $\tilde{E}$  over  $\kappa_{\mathfrak{p}}$  and reduction map

$$\widetilde{(\cdot)} : E(K_{\mathfrak{p}}) \longrightarrow \tilde{E}(\kappa_{\mathfrak{p}})$$

obtained by reducing both coordinates of a point  $P \in E(K_{\mathfrak{p}})$  modulo  $\kappa_{\mathfrak{p}}$ . This map is in general not surjective, but it surjects onto the **subgroup**  $\tilde{E}_{ns}$  of non-singular points of  $\tilde{E}$ . Thus, it is natural to define  $E_0(K_{\mathfrak{p}}) = \{P \in E(K_{\mathfrak{p}}) : \tilde{P} \in \tilde{E}_{ns}(\kappa_{\mathfrak{p}})\}$ , which is also a subgroup of  $E(\kappa_{\mathfrak{p}})$ . Then

$$c_{\mathfrak{p}}(E/K) := |E(K_{\mathfrak{p}})/E_0(K_{\mathfrak{p}})|.$$

We remark that if  $E$  has good reduction at  $\mathfrak{p}$ , then  $E_0(K_{\mathfrak{p}}) = E(K_{\mathfrak{p}})$  and thus  $c_{\mathfrak{p}}(E/K) = 1$ .

At this stage, it is also convenient to introduce some more notation that will be used throughout.

**Notation 3.2.** Let  $E$  be an elliptic curve defined over  $\mathbb{Q}$  and let  $F/K$  be a finite extension of number fields. For each finite place  $\mathfrak{p}$  of  $K$ , we write

$$C_{\mathfrak{p}|\mathfrak{p}}(F/K) = \prod_{\mathfrak{P}|\mathfrak{p}} c_{\mathfrak{P}}(E/F) \left| \frac{\Delta_{E,\mathfrak{P}}^{\min}}{\Delta_E} \right|_{\mathfrak{P}}^{\frac{1}{12}},$$

for the contribution of  $\mathfrak{p}$  inside  $F$ , and where the product is taken over the primes  $\mathfrak{P}$  of  $F$  above  $\mathfrak{p}$ .

An important observation is that if  $E$  has good reduction over  $\mathfrak{p}$ , then  $C_{\mathfrak{p}|\mathfrak{p}}(F/K) = 1$  for any finite extension  $F$  of  $K$ .

We remark that the way we have organised the terms in (1) is not arbitrary, and in fact we give specific notation to both sides of the equation.

**Notation 3.3.** Let  $E/\mathbb{Q}$  be a number field and  $K$  a number field. We define

$$\mathcal{L}(E/F) = \lim_{s \rightarrow 1} \frac{L(E/K, s)}{(s-1)^r} \cdot \frac{\sqrt{|\Delta_K|}}{\Omega_+(E)^{r_1+r_2} |\Omega_-(E)|^{r_2}}$$

and

$$\text{BSD}(E/F) = \frac{\text{Reg}_{E/K} |\text{III}_{E/K}| C_{E/K}}{|E(K)_{\text{tors}}|^2}.$$

### 3.2 Properties of Arithmetic Terms

The arithmetic terms we just described satisfy some important properties that allow us compute them in practice. We list them all in the following lemma.

**Lemma 3.4.** *Let  $E/K$  be an elliptic curve over a number field,  $F/K$  a finite field extension of degree  $d$ . Let  $\mathfrak{p}$  be a finite place of  $K$ , with  $\mathfrak{P} | \mathfrak{p}$  a place above it in  $F$ , and  $\omega_{\mathfrak{p}}$  and  $\omega_{\mathfrak{P}}$  minimal differentials for  $E/K_{\mathfrak{p}}$  and  $E/F_{\mathfrak{P}}$  respectively.*

1. *If  $F/K$  is Galois, then  $\text{Sel}_n(E/K)$  is a subgroup of  $\text{Sel}_n(E/F)$  for all  $n$  coprime to  $d$ .*
2. *For  $P, Q \in E(K)$ , their Néron-Tate height pairings over  $K$  and  $F$  are related by  $\langle P, Q \rangle_F = \langle P, Q \rangle_K$ .*
3. *If  $\text{rk } E/F = \text{rk } E/K$ , then  $\text{Reg}_{E/F} = \frac{d^{rk E/K}}{n^2} \text{Reg}_{E/K}$ , where  $n$  is the index of  $E(K)$  in  $E(F)$ .*
4. *If  $E/K_{\mathfrak{p}}$  has good reduction, then  $c_{\mathfrak{p}} = 1$ . If  $E/K_{\mathfrak{p}}$  has multiplicative reduction of Kodaira type  $I_n$  then  $n = \text{ord}_{\mathfrak{p}} \Delta_{E,\mathfrak{p}}^{\min}$  and  $c_{\mathfrak{p}} = n$  if the reduction is split, and  $c_{\mathfrak{p}} = 1$  (resp, 2) if the reduction is non-split and  $n$  is odd (resp, even).*
5. *If  $E/K_{\mathfrak{p}}$  has good or multiplicative reduction, then  $|\omega_{\mathfrak{p}}/\omega_{\mathfrak{P}}|_{\mathfrak{P}} = 1$ .*
6. *If  $E/K_{\mathfrak{P}}$  has potentially good reduction and the residue characteristic is not 2 or 3, then*

$$\left| \frac{\omega_{\mathfrak{p}}}{\omega_{\mathfrak{P}}} \right|_{\mathfrak{P}} = q^{\lfloor \frac{e_{F/K} \text{ord}_{\mathfrak{p}} \Delta_{E,\mathfrak{p}}^{\min}}{12} \rfloor},$$

where  $q$  is the size of the residue field at  $\mathfrak{P}$ .

7. *If  $\mathfrak{p}$  has odd residue characteristic,  $E/K_{\mathfrak{p}}$  has potentially multiplicative reduction and  $F_{\mathfrak{P}}/K_{\mathfrak{p}}$  has even ramification degree, then  $E/F_{\mathfrak{P}}$  has multiplicative reduction.*
8. *Multiplicative reduction becomes split after a quadratic unramified extension.*



### 3.3 A BSD Analogue for Artin Twists

A natural question to ask at this point is whether there is a conjectural analogue to the above for the Artin twists of  $L$ -functions. The analogue of BSD 1 is known in this case, which is directly compatible with Artin formalism.

**Conjecture 3.5** (BSD1 for Twists). Let  $E/\mathbb{Q}$  be an elliptic curve,  $\rho$  an Artin representation and  $K$  any Galois extension over  $\mathbb{Q}$  such that  $\rho$  factors through  $G = \text{Gal}(K/\mathbb{Q})$ . Then

$$\text{ord}_{s=1} L(E, \rho, s) = \langle \rho, E(K)_{\mathbb{C}} \rangle_G.$$

Unfortunately, a conjectural analogue for BSD 2 is not known. The problem is the lack of an analogue for the term  $\text{BSD}(E/F)$  as above. However, there is indeed an important analogue of the term  $\mathcal{L}(E/F)$  in this setting.

**Notation 3.6.** Let  $E/\mathbb{Q}$  be an elliptic curve and  $\rho$  an Artin representation over  $\mathbb{Q}$ . We define

$$\mathcal{L}(E, \rho) = \lim_{s \rightarrow 1} \frac{L(E, \rho, s)}{(s-1)^r} \cdot \frac{\sqrt{f_\rho}}{\Omega_+(E)^{d^+(\rho)} |\Omega_-(E)|^{d^-(\rho)} \omega_\rho},$$

where  $r = \text{ord}_{s=1} L(E, \rho, s)$  is the order of the zero at  $s = 1$ ,  $f_\rho$  is the conductor of  $\rho$ , and  $d^\pm(\rho)$  are the dimensions of the  $\pm 1$ -eigenspaces of complex conjugation in its action on  $\rho$ .

Even though the precise conjectural expression of the  $\text{BSD}(E, \rho)$  is not known, they conjecturally satisfy many important properties. The next conjecture lists some of these properties.

**Conjecture 3.7.** [DEW21, Conjecture 4] Let  $E/\mathbb{Q}$  be an elliptic curve. For every Artin representation  $\rho$  over  $\mathbb{Q}$  there is an invariant  $\text{BSD}(E, \rho) \in \mathbb{C}^\times$  with the following properties. Let  $\rho$  and  $\tau$  be Artin representations and  $K$  a finite extension of  $\mathbb{Q}$  such that  $\rho$  and  $\tau$  factor through  $\text{Gal}(K/\mathbb{Q})$ .

- C1.**  $\text{BSD}(E/F) = \text{BSD}(E, \text{Ind}_{F/\mathbb{Q}} \mathbb{1})$  for a number field  $F$  (and  $\text{III}_{E/F}$  is finite).
- C2.**  $\text{BSD}(E, \rho \oplus \tau) = \text{BSD}(E, \rho) \text{BSD}(E, \tau)$ .
- C3.**  $\text{BSD}(E, \rho) = \text{BSD}(E, \rho^*) \cdot (-1)^r \omega_{E, \rho} \omega_\rho^{-2}$ , where  $r = \langle \rho, E(K)_{\mathbb{C}} \rangle$ .
- C4.** If  $\rho$  is self-dual, then  $\text{BSD}(E, \rho) \in \mathbb{R}$  and  $\text{sign } \text{BSD}(E, \rho) = \text{sign } \omega_\rho$ .  
If  $\langle \rho, E(K)_{\mathbb{C}} \rangle = 0$ , then moreover:
- C5.**  $\text{BSD}(E, \rho) \in \mathbb{Q}(\rho)^\times$  and  $\text{BSD}(E, \rho^g) = \text{BSD}(E, \rho)^g$  for all  $g \in \text{Gal}(\mathbb{Q}(\rho)/\mathbb{Q})$ .
- C6.** If  $\rho$  is a non-trivial primitive Dirichlet character of order  $d$ , and either the conductors of  $E$  and  $\rho$  are coprime or  $E$  is semistable and has no non-trivial isogenies over  $\mathbb{Q}$ , then  $\text{BSD}(E, \rho) \in \mathbb{Z}[\zeta_d]$ .

The great advantage of the above conjecture is that it is free of  $L$ -functions since only the ‘arithmetic’  $\text{BSD}(E/F)$  terms appear. Conditional to some well-known conjectures, Conjecture 3.7 holds.

**Theorem 3.8.** [DEW21, Theorem 5] *Conjecture 4 holds with  $\text{BSD}(E, \rho) = \mathcal{L}(E, \rho)$  assuming the analytic continuation of  $L$ -functions  $L(E, \rho, s)$ , their functional equation, the Birch-Swinnerton-Dyer conjecture, Deligne’s period conjecture, Stevens’s Manin constant conjecture for  $E/\mathbb{Q}$  and the Riemann hypothesis for  $L(E, \rho, s)$ .*

## 4 Predicting Positive Rank

At this point, we aim to study the arithmetic applications of Conjecture 3.7. Some of these applications are already studied in [DEW21, §3], and it allows to predict non-trivial interplay of the primary parts of the Tate-Shafarevich group of families of elliptic curves, non-trivial Selmer groups and even positive rank. All of these results appear not to be tractable with other common current methods.

The most interesting case is the prediction of positive rank for families of elliptic curves on certain number fields. We illustrate the proof of the main result that predict positive rank conditional on Conjecture 3.7. Let  $F$  be a Galois extension over  $\mathbb{Q}$  and let  $G = \text{Gal}(F/\mathbb{Q})$ . Let  $E/\mathbb{Q}$  be an elliptic curve and let  $\rho$  be an irreducible representation over  $G$ , which we view as an Artin representation. Then the representation

$$\bigoplus_{\mathfrak{g} \in \text{Gal}(\mathbb{Q}(\rho)/\mathbb{Q})} \rho^{\mathfrak{g}}$$

has  $\mathbb{Q}$ -valued character and therefore there is some  $m \geq 1$  and subfields  $F_i, F'_j$  such that

$$\left( \bigoplus_{\mathfrak{g} \in \text{Gal}(\mathbb{Q}(\rho)/\mathbb{Q})} \rho^{\mathfrak{g}} \right)^m \oplus \bigoplus_j \text{Ind}_{F'_j/\mathbb{Q}} \mathbb{1} = \bigoplus_i \text{Ind}_{F_i/\mathbb{Q}} \mathbb{1}.$$

Assume that  $\text{rk } E/F = 0$  so that in particular  $\langle \rho, E(F)_{\mathbb{C}} \rangle_G = 0$ . Therefore (C1), (C2) and (C5) from Conjecture 3.7 imply that

$$\frac{\prod_i \text{BSD}(E/F_i)}{\prod_j \text{BSD}(E/F'_j)} = \frac{\prod_i \text{BSD}(E, \text{Ind}_{F_i/\mathbb{Q}} \mathbb{1})}{\prod_j \text{BSD}(E, \text{Ind}_{F'_j/\mathbb{Q}} \mathbb{1})} = \left( \prod_{\mathfrak{g} \in \text{Gal}(\mathbb{Q}(\rho)/\mathbb{Q})} \text{BSD}(E, \rho^{\mathfrak{g}}) \right)^m \quad (2)$$

and the right-hand side is clearly the  $m$ -th power of a norm of an element in  $\mathbb{Q}(\rho)$ .

The product of BSD terms on the LHS of (2) involve regulators, the torsion subgroups, the Tate-Shafarevich groups and the terms  $C_{E/F}$  which are the product of local factors. Under the assumption that  $\text{rk } E/F = 0$ , the regulators vanish from the product. In general, it is very difficult to deal with the size of the Tate-Shafarevich group for families of elliptic curves, and therefore very difficult to know if the LHS is an  $m$ -th power the norm of an element in  $\mathbb{Q}(\rho)$ . However, not all hope is lost, since Cassel's proved the following.

**Theorem 4.1.** *Let  $E$  be an elliptic curve over a number field  $K$ . If  $\text{III}_{E/K}$  is finite, then  $|\text{III}_{E/K}|$  is a square.*

Rational squares are not necessarily the norms of general number fields, but they are always norms of quadratic number fields. Furthermore, if  $\mathbb{Q}(\sqrt{D})$  is a quadratic subfield of  $\mathbb{Q}(\rho)$ , then the RHS of (2) is also the norm of an element of  $\mathbb{Q}(\sqrt{D})$  and a rational square if  $m$  is even. Under the assumption of finiteness of  $\text{III}$ , we know that  $|\text{III}_{E/F}|$  and  $|E(F)_{\text{tors}}|^2$  are rational squares and therefore norms of  $\mathbb{Q}(\sqrt{D})$ . The only remaining terms on the LHS of (2) are the product of local factors  $C_{E/F_i}$  and  $C_{E/F'_j}$ . We have therefore proven the following.

**Theorem 4.2.** [DEW21, Theorem 33] *Suppose Conjecture 3.7 holds. Let  $E/\mathbb{Q}$  be an elliptic curve,  $F/\mathbb{Q}$  a finite Galois extension with Galois group  $G$ ,  $\rho$  an irreducible representation of  $G$  and*

$$\left( \bigoplus_{\mathfrak{g} \in \text{Gal}(\mathbb{Q}(\rho)/\mathbb{Q})} \rho^{\mathfrak{g}} \right)^m = \bigoplus_i \text{Ind}_{F_i/\mathbb{Q}} \mathbb{1} \ominus \bigoplus_j \text{Ind}_{F'_j/\mathbb{Q}} \mathbb{1}$$

for some  $m \geq 1$  and subfields  $F_i, F'_j \subseteq F$ . If either  $\frac{\prod_i C_{E/F_i}}{\prod_j C_{E/F'_j}}$  is not a norm from some quadratic subfield  $\mathbb{Q}(\sqrt{D}) \subseteq \mathbb{Q}(\rho)$ , or if it is not a rational square when  $m$  is even, then  $E$  has a point of infinite order over  $F$ .

This is a remarkable result, since it can predict positive rank of general families of elliptic curves based solely on local data. In later sections, we will aim to show that the product of local factors is indeed a norm in quadratic subextension of the field of values, and the following notation, which expands on Notation 3.2 will be useful.

**Notation 4.3.** Let  $F, \rho, m$  and the fields  $F_i, F'_j$  be as in Theorem 4.2. Then we define

$$\text{contr}_\rho(p) = \frac{\prod_i C_{\mathfrak{p}|p}(F_i)}{\prod_j C_{\mathfrak{p}|p}(F'_j)}.$$

We remark that

$$\frac{\prod_i C_{E/F_i}}{\prod_j C_{E/F'_j}} = \prod_p \text{contr}_\rho(p)$$

where the product runs over all rational primes. Our strategy is to calculate all  $\text{contr}_\rho(p)$  locally first, to then multiply them together. We recall once again that if  $p$  is a prime of good reduction of the elliptic curve, then  $\text{contr}_\rho(p) = 1$ , so we will only care about the primes of bad reduction.

I think at this point it would be nice to give some examples about when this test forces positive rank. In later sections we talk about when it's useless, so it's probably good to stress that there are plenty of times when it's not. On the other hand, in any examples we know the forced positive rank is also always described by root numbers. I don't know if we need to explain much about root numbers (or want to) but it might be worth mentioning that we haven't found an example where our norm relations force positive rank and root numbers don't explain it (and we don't know whether one exists).

Is there an example where root numbers force pos rank but our norm relations don't?

## 5 Consistency cases with BSD

As we discussed in the previous section, our motivation is to use Theorem 4.2 to predict points of infinite order for families of elliptic curves. However, in this section we prove that in several cases the theorem will never make such a prediction. In other words, in such cases, the product

$$\frac{\prod_i C_{E/F_i}}{\prod_j C_{E/F'_j}}$$

is always a norm for every subfield  $\mathbb{Q}(\sqrt{D}) \subseteq \mathbb{Q}(\rho)$ .

### 5.1 Cyclic Extensions

In this subsection we prove the following.

**Theorem 5.1.** *Let  $E/\mathbb{Q}$  be a semistable elliptic curve and let  $F$  be a finite cyclic Galois extension  $\mathbb{Q}$  so that  $\text{Gal}(F/\mathbb{Q}) = C_d$  for some  $d \geq 2$ . Let  $\chi$  be a faithful character of  $C_d$  (so that  $\mathbb{Q}(\chi) = \mathbb{Q}(\zeta_d)$ ), and let  $F_i, F'_j \subseteq F$  be such that*

$$\bigoplus_{\mathfrak{g} \in \text{Gal}(\mathbb{Q}(\zeta_d)/\mathbb{Q})} \chi^{\mathfrak{g}} = \bigoplus_i \text{Ind}_{F_i/\mathbb{Q}} \mathbf{1} \ominus \bigoplus_j \text{Ind}_{F'_j/\mathbb{Q}} \mathbf{1}.$$

*Then for any  $\mathbb{Q}(\sqrt{D}) \subseteq \mathbb{Q}(\zeta_d)$ ,*

$$\frac{\prod_i C_{E/F_i}}{\prod_j C_{E/F'_j}}$$

*is a norm of  $\mathbb{Q}(\sqrt{D})$ . Moreover, the contribution is always the square of rational number unless  $d = 2^n, p^n, 2p^n$  for some odd prime  $p$ .*

The first step in proving Theorem 5.1 is to show that the fields  $F_i, F'_j$  exist, and to give a precise description. Recall that for each  $k \mid d$  the cyclic group  $C_d$  has one unique subgroup of order  $k$ , which is of course also cyclic. Therefore, for each  $k \mid d$ , there is one unique subfield  $L_k$  of  $F$  of degree  $k$  over  $\mathbb{Q}$  which is also cyclic. Under the Galois correspondence, this field corresponds to the subgroup  $H_k = \text{Gal}(F/L_k) = C_{d/k}$ .

To give the required description, we recall that the Möbius function  $\mu$  is the function supported on the square-free integers, and  $\mu(n) = (-1)^s$  whenever  $n$  is square free and  $s$  is the number of prime factors of  $n$ .

**Lemma 5.2.** *Let  $E/\mathbb{Q}$ ,  $F$  and  $\chi$  be as in Theorem 5.1. Writing characters of  $C_d$  additively, we have that*

$$\sum_{\mathfrak{g} \in \text{Gal}(\mathbb{Q}(\zeta_d)/\mathbb{Q})} \chi^{\mathfrak{g}} = \sum_{k \mid d} \mu(d/k) \text{Ind}_{L_k/\mathbb{Q}} \mathbf{1}. \quad (3)$$

*Furthermore, such an expression is unique.*

**Remark 5.3.** This lemma has an important consequence. Given an integer  $d \geq 2$ , let  $\text{rad}(d) = \prod_{p \mid d} p$  be the radical of  $d$ , and let  $K = L_{d/\text{rad}(d)}$  be the unique subfield of  $F$  such that  $[F : K] = \text{rad}(d)$ . For  $k \mid d$ ,  $\mu(d/k) \neq 0$  precisely when  $[K : \mathbb{Q}] = \frac{d}{\text{rad}(d)} \mid k$  and therefore the fields appearing in the right hand side of (3) are the fields  $L_k$  satisfying  $K \subseteq L_k \subseteq F$ .

Following this observation, we will compute the product of the local factors locally for each finite place  $\mathfrak{p}$  of  $K$  and the places above it in the other fields  $L_k \supseteq K$ . To that objective, the following notation will be useful.

**Notation 5.4.** Let  $E/\mathbb{Q}$ ,  $F$  and  $\chi$  be as in Theorem 5.1, and let  $L_k$  and  $K$  be as in Remark 5.3. For a finite place  $\mathfrak{p}$  of  $K$ , we write

$$\text{contr}_\chi(\mathfrak{p}) = \prod_{\frac{d}{\text{rad}(d)} | k | d} C_{\mathfrak{p}|\mathfrak{p}}(L_k/K)^{\mu(d/k)} = \prod_{k|d} C_{\mathfrak{p}|\mathfrak{p}}(L_k/K)^{\mu(d/k)}$$

where the terms in the product are defined as in Notation 3.2.

An immediate consequence of the above definition is the fact that

$$\prod_{k|d} (C_{E/L_k})^{\mu(d/k)} = \prod_{\mathfrak{p}} \text{contr}_\chi(\mathfrak{p}), \quad (4)$$

and therefore we can calculate the product of local terms **locally**, one prime  $\mathfrak{p}$  at a time.

We divide the proof of Theorem 5.1 into two cases: odd and even cyclic extensions. The main idea in both cases is to simplify the general case into smaller cases where we can directly compute  $\text{contr}_\chi(\mathfrak{p})$  for each finite place  $\mathfrak{p}$  of  $K$ . We note that if  $E$  has good reduction over  $\mathfrak{p}$ , then  $\text{contr}_\chi(\mathfrak{p}) = 1$  and therefore we focus our attention to bad semistable reduction.

## Odd Cyclic Extensions

For the first case, we assume that  $d$  is odd. Following the observation in Remark 5.3, we need to calculate  $\text{contr}_\chi(\mathfrak{p})$  for each finite place  $\mathfrak{p}$  of  $K$ . To that objective, we first calculate them for “small” cases and then we use them for the general case. The following lemmas build on this idea.

**Lemma 5.5.** *Let  $p$  be a rational prime,  $F/K$  a Galois extension of number fields such that  $\text{Gal}(F/K) = C_p$  and  $E/\mathbb{Q}$  an elliptic curve. Then*

$$\frac{C_{E/F}}{C_{E/K}}$$

*is a rational square up factors of  $p$ .*

*Proof.* Fix some prime  $\mathfrak{p}$  in  $K$ . Since the extension  $L/K$  is cyclic, the splitting behaviour in  $L$  is determined by the ramification index  $e_{\mathfrak{p}}$  and the inertia degree  $f_{\mathfrak{p}}$ . Since  $\text{contr}_\chi(\mathfrak{p}) = 1$  if  $E$  has good reduction at  $\mathfrak{p}$  and  $E$  is assumed to be semistable, we assume that  $E$  has split or non-split multiplicative reduction. The following table records the contribution of  $\mathfrak{p}$  depending on  $e_{\mathfrak{p}}$  and  $f_{\mathfrak{p}}$ , and the entries for split and non-split multiplicative reduction of type  $I_n$  are separated by a “;”. The proof follows immediately from (4).  $\square$

$e_{\mathfrak{p}}$	$f_{\mathfrak{p}}$	$C_{\mathfrak{p} \mathfrak{p}}(K/K)$	$C_{\mathfrak{p} \mathfrak{p}}(F/K)$	$\text{contr}_\chi(\mathfrak{p})$
1	1	$n; \tilde{n}$	$n^p; \tilde{n}^p$	$\square$
$p$	1	$n; \tilde{n}$	$pn; \tilde{n}$	$p\square; \square$
1	$p$	$n; \tilde{n}$	$n; \tilde{n}$	$\square$

Next, we prove an analogous result for  $C_{pq}$  extensions, where  $p$  and  $q$  are odd rational primes.

**Lemma 5.6.** *Let  $p, q$  be distinct, odd rational primes and let  $F/K$  be a Galois extension of number fields such that  $\text{Gal}(F/K) = C_{pq}$ . Let  $E/\mathbb{Q}$  be an elliptic curve and let  $L_k$  be the fields as above. Then*

$$\frac{C_{E/F} C_{E/K}}{C_{E/L_p} C_{E/L_q}}$$

*is always a rational square.*

*Proof.* The idea of the proof is identical to Lemma 5.5 since in a  $C_{pq}$  extension  $L/K$  the splitting behaviour of a prime  $\mathfrak{p}$  of  $K$  in  $L$  and all the intermediate fields is determined by  $e_{\mathfrak{p}}$  and  $f_{\mathfrak{p}}$ . The following table records the contribution of  $\mathfrak{p}$  depending on these values, and again the entries for split and non-split multiplicative reduction of type  $I_n$  are separated by “;”.

$e_{\mathfrak{p}}$	$f_{\mathfrak{p}}$	$C_{\mathfrak{p} \mathfrak{p}}(K)$	$C_{\mathfrak{p} \mathfrak{p}}(L_p)$	$C_{\mathfrak{p} \mathfrak{p}}(L_q)$	$C_{\mathfrak{p} \mathfrak{p}}(F)$	$\text{contr}_{\chi}(\mathfrak{p})$
1	1	$n; \tilde{n}$	$n^p; \tilde{n}^p$	$n^q; \tilde{n}^q$	$n^{pq}; \tilde{n}^{pq}$	$\square$
1	$p$	$n; \tilde{n}$	$n; \tilde{n}$	$n^q; \tilde{n}^q$	$n^q; \tilde{n}^q$	$\square$
1	$q$	$n; \tilde{n}$	$n^p; \tilde{n}^p$	$n; \tilde{n}$	$n^p; \tilde{n}^p$	$\square$
1	$pq$	$n; \tilde{n}$	$n; \tilde{n}$	$n; \tilde{n}$	$n; \tilde{n}$	$\square$
$p$	1	$n; \tilde{n}$	$pn; \tilde{n}$	$n^q; \tilde{n}^q$	$p^q n^q; \tilde{n}^q$	$\square$
$p$	$q$	$n; \tilde{n}$	$pn; \tilde{n}$	$n; \tilde{n}$	$pn; \tilde{n}$	$\square$
$q$	1	$n; \tilde{n}$	$n^p; \tilde{n}^p$	$qn; \tilde{n}$	$q^p n^p; \tilde{n}^p$	$\square$
$q$	$p$	$n; \tilde{n}$	$n; \tilde{n}$	$qn; \tilde{n}$	$qn; \tilde{n}$	$\square$
$pq$	1	$n; \tilde{n}$	$pn; \tilde{n}$	$qn; \tilde{n}$	$pqn; \tilde{n}$	$\square$

Again, the result follows immediately from the table and (4).  $\square$

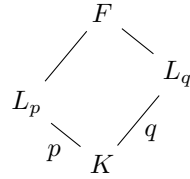


Figure 1: Subfields of a  $C_{pq}$ -extension

We are finally ready to prove the main result of this section, from which Theorem 5.1 will follow.

**Lemma 5.7.** *Let  $d$  be a composite, odd squarefree integer and let  $F/K$  be a Galois extension of number fields such that  $\text{Gal}(F/K) = C_d$ . Let  $E/\mathbb{Q}$  be an elliptic curve and let  $L_k$  be the fields as above. Then*

$$\prod_{k|d} (C_{E/L_k})^{\mu(d/K)}$$

*is always a rational square.*

*Proof.* Let  $n$  be the number of distinct prime numbers dividing  $d$ , so that  $d = p_1 \dots p_n$  for some distinct odd primes  $p_i$ . We prove this result by induction. The base case for  $n = 2$  is the content of Lemma 5.6. Assume that the result holds for squarefree integers with  $n - 1$  prime factors and consider the two sets of fields

$$\mathcal{A} = \{L_k : p_n \nmid k\} \quad \text{and} \quad \mathcal{B} = \{L_k : p_n \mid k\},$$

which are clearly a partition of all intermediate fields of  $F/K$ . Furthermore, the fields in  $\mathcal{A}$  are precisely the intermediate fields of  $K$  and  $L_{d/p_n}$ , while the fields in  $\mathcal{B}$  are the intermediate fields of  $L_{p_n}$  and  $F$ . However, since  $\text{Gal}(L_{d/p_n}/K) = \text{Gal}(F/L_{p_n}) = C_{d/p_n}$ , it follows from the inductive hypothesis applied to the fields of  $\mathcal{A}$  and  $\mathcal{B}$  respectively that

$$\prod_{k|\frac{d}{p_n}} (C_{E/L_k})^{\mu(\frac{d}{k p_n})} \quad \text{and} \quad \prod_{p_n|k|d} (C_{E/L_k})^{\mu(d/k)}$$

are both rational squares. By the natural decomposition

$$\prod_{k|d} (C_{E/L_k})^{\mu(d/K)} = \prod_{k|\frac{d}{p_n}} (C_{E/L_k})^{\mu(d/k)} \prod_{p_n|k|d} (C_{E/L_k})^{\mu(d/k)} = \left( \prod_{k|\frac{d}{p_n}} (C_{E/L_k})^{\mu(\frac{d}{kp_n})} \right)^{-1} \prod_{p_n|k|d} (C_{E/L_k})^{\mu(d/k)},$$

it follows that the left hand side is also a rational square, as desired.  $\square$

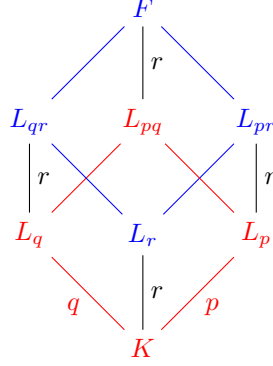


Figure 2: Partition of  $n = 3$  into  $n = 2$ . Red fields are in  $\mathcal{A}$  while blue fields are in  $\mathcal{B}$ .

We are now ready to prove Theorem 5.1 for odd  $d$ .

*Theorem 5.1 for odd  $d$ .* The proof is divided into two cases depending on whether  $d$  is the power of a prime or not. Suppose first that  $d$  is not, so that  $\text{rad}(d)$  is a squarefree **composite** number. However, by Remark 5.3 and Lemma 5.7 we know that

$$\frac{\prod_i C_{E/F_i}}{\prod_j C_{E/F'_j}}$$

is a rational square, and therefore it is the norm of an element for any quadratic extension of  $\mathbb{Q}$ .

The case when  $d = p^n$  for some odd prime  $p$  and  $n \geq 1$  requires some more work. Lemma 5.2 and Lemma 5.5 show that

$$\frac{\prod_i C_{E/F_i}}{\prod_j C_{E/F'_j}} = \frac{C_{E/F}}{C_{E/L_{p^{n-1}}}}$$

is a rational square up to factors of  $p$ . Therefore, it suffices to show that  $p$  is the norm of any quadratic subextension of  $\mathbb{Q}(\zeta_{p^n})$ . Since  $\text{Gal}(\mathbb{Q}(\zeta_{p^n})/\mathbb{Q}) = (\mathbb{Z}/p^n\mathbb{Z})$  is cyclic,  $\mathbb{Q}(\zeta_{p^n})$  has one unique quadratic subextension. Hence, it suffices to find the unique quadratic subextension of  $\mathbb{Q}(\zeta_p)$ . A simple calculation shows that

$$\left( \sum_{a=0}^{p-1} \left( \frac{a}{p} \right) \zeta_p^a \right)^2 = (-1)^{(p-1)/2} p,$$

and therefore  $\mathbb{Q}(\sqrt{p^*})$  is the unique quadratic subextension of  $\mathbb{Q}(\zeta_p)$ , where  $p^* = (-1)^{(p-1)/2} p$ . The fact that  $p$  is a norm in this field is precisely the content of Corollary A.13, and so the Theorem follows.  $\square$

## Even Cyclic Extensions

A bit more care is required to prove Theorem 5.1 for even  $d$ . This difficulty mainly lies in the case when  $d$  is only divisible by one odd prime  $p$ . Likewise to the earlier case, we first prove some relevant results.

**Lemma 5.8.** *Let  $p$  be an odd prime and let  $F/K$  be a Galois extension of number fields such that  $\text{Gal}(F/K) = C_{2p}$  and let  $L_k$  be the fields as above. Let  $E/\mathbb{Q}$  be an elliptic curve. Then*

$$\frac{C_{E/F}C_{E/K}}{C_{E/L_2}C_{E/L_p}}$$

*is a rational square up to factors of  $p$ .*

*Proof.* The proof is identical to the proof of Lemmas 5.5 and 5.6 since the splitting behaviour of a prime  $\mathfrak{p}$  in  $K$  is again determined by  $e_{\mathfrak{p}}$  and  $f_{\mathfrak{p}}$ . The following table records the contribution.

$e_{\mathfrak{p}}$	$f_{\mathfrak{p}}$	$C_{\mathfrak{p} \mathfrak{p}}(\mathbb{Q})$	$C_{\mathfrak{p} \mathfrak{p}}(L_p)$	$C_{\mathfrak{p} \mathfrak{p}}(L_2)$	$C_{\mathfrak{p} \mathfrak{p}}(F)$	$\text{contr}_{\chi}(\mathfrak{p})$
1	1	$n; \tilde{n}$	$n^p; \tilde{n}^p$	$n^2; \tilde{n}^2$	$n^{2p}; \tilde{n}^{2p}$	$\square$
1	$p$	$n; \tilde{n}$	$n; \tilde{n}$	$n^2; \tilde{n}^2$	$n^2; \tilde{n}^2$	$\square$
1	2	$n; \tilde{n}$	$n^p; \tilde{n}^p$	$n; n$	$n^p; n^p$	$\square$
1	$2p$	$n; \tilde{n}$	$n; \tilde{n}$	$n; n$	$n; n$	$\square$
$p$	1	$n; \tilde{n}$	$pn; \tilde{n}$	$n^2; \tilde{n}^2$	$p^2 n^2; \tilde{n}^2$	$p\square; \square$
$p$	2	$n; \tilde{n}$	$pn; \tilde{n}$	$n; n$	$pn; n$	$\square$
2	1	$n; \tilde{n}$	$n^p; \tilde{n}^p$	$2n; 1$	$2^p n^p; 1^p$	$\square$
2	$p$	$n; \tilde{n}$	$n; \tilde{n}$	$2n; 1$	$2n; 1$	$\square$
$2p$	1	$n; \tilde{n}$	$pn; \tilde{n}$	$2n; 1$	$2pn; 1$	$\square$

The result follows again from (4).  $\square$

However, as soon as  $d$  is divisible by 4, the product of local factors is a rational square even if the individual contributions might not be, as the next lemma suggests.

**Lemma 5.9.** *Let  $p$  be an odd prime and let  $F/K$  be a Galois extension of number fields such that  $\text{Gal}(F/K) = C_{4p}$  and let  $L_k$  be the fields as above. Let  $E/\mathbb{Q}$  be an elliptic curve. Then*

$$\frac{C_{E/F}C_{E/L_2}}{C_{E/L_4}C_{E/L_{2p}}}$$

*is a rational square.*

*Proof.* All fields appearing in the product are intermediate fields of  $L_2$  and  $F$ , and  $\text{Gal}(F/L_2) = C_{2p}$ . Lemma 5.8 shows that given some prime  $\mathfrak{p}$  in  $L_2$ ,  $\text{contr}_{\chi}(\mathfrak{p})$  is a square unless  $e_{\mathfrak{p}} = p$  and  $f_{\mathfrak{p}} = 1$ . That is,  $\mathfrak{p}$  ramifies in  $L_{2p}/L_2$  and is split in  $L_4/L_2$ . Now consider  $\bar{\mathfrak{p}} = \mathfrak{p} \cap \mathcal{O}_K$ . Since  $\mathfrak{p}$  splits in  $L_4$ , this forces  $\bar{\mathfrak{p}}$  to split as well in  $L_2/K$ . Hence,  $\bar{\mathfrak{p}} = \mathfrak{p}\mathfrak{p}'$  for two **distinct** primes in  $K$  that have the same splitting behaviour and therefore  $\text{contr}_{\chi}(\mathfrak{p})\text{contr}_{\chi}(\mathfrak{p}')$  is a rational square, as desired.  $\square$

We are now ready to prove Theorem 5.1 for even  $d$ . We break down the proof into three cases:



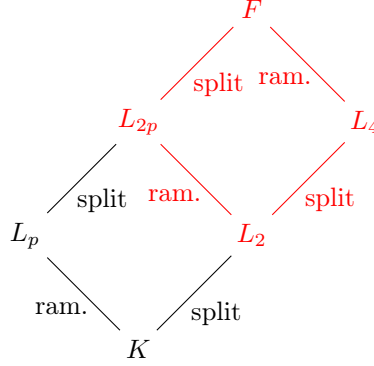


Figure 3: Field diagram for a  $C_{4p}$  extension, together with the splitting behaviour of a prime  $\mathfrak{p}$  in  $L_2$  with  $e_{\mathfrak{p}} = p$  and  $f_{\mathfrak{p}} = 1$  over  $F$ .

**Case 1:  $d$  is not divisible by any odd prime, so  $d = 2^l$**

If  $l = 1$ , then  $\mathbb{Q}(\zeta_2) = \mathbb{Q}$ , so there is nothing to prove, so assume that  $l \geq 2$ . If  $\text{Gal}(F/\mathbb{Q}) = C_{2^l}$ , then

$$\frac{\prod_i C_{E/F_i}}{\prod_j C_{E/F'_j}} = \frac{C_{E/F}}{C_{E/L_{2^{l-1}}}},$$

and by Lemma 5.5, we know that this is a rational square up to factors of 2, so it suffices to show that 2 is a norm of every quadratic subfield of  $\mathbb{Q}(\zeta_{2^l})$ . A standard argument shows that  $\text{Gal}(\mathbb{Q}(2^l)/\mathbb{Q}) = (\mathbb{Z}/2^l\mathbb{Z})^* = C_{2^{l-2}} \times C_2$  and therefore  $\mathbb{Q}(\zeta_{2^l})$  has  $\mathbb{Q}(i)$  as its unique quadratic subextension if  $l = 2$  and has three quadratic subextensions if  $l \geq 3$ . Note that  $\zeta_8 = (1+i)/\sqrt{2}$  and therefore  $\mathbb{Q}(\zeta_8) = \mathbb{Q}(i, \sqrt{2})$ . The three quadratic subextensions are therefore  $\mathbb{Q}(i)$ ,  $\mathbb{Q}(\sqrt{2})$  and  $\mathbb{Q}(\sqrt{-2})$ . Then the result follows from the fact that

$$2 = \text{Norm}_{\mathbb{Q}(i)}(1+i) = \text{Norm}_{\mathbb{Q}(\sqrt{-2})}(2) = \text{Norm}_{\mathbb{Q}(\sqrt{2})}(2+\sqrt{2}).$$

**Case 1:  $d$  is divisible by at least two odd primes**

Let  $K = L_{d/\text{rad}(d)}$  be as in Remark 5.3 such that  $\text{Gal}(F/K) = C_{\text{rad}(d)}$  and all fields appearing in the product of local factors contain  $K$ . Then using the same idea as in Lemma 5.7, let

$$\mathcal{A} = \{L_k \supseteq K : 2 \nmid [L_k : K]\} \quad \text{and} \quad \mathcal{B} = \{L_k \supseteq K : 2 \mid [L_k : K]\}.$$

Then the fields in  $\mathcal{A}$  and  $\mathcal{B}$  are the intermediate fields of (distinct!)  $C_{\text{rad}(d)/2}$  extensions. These are odd cyclic extensions, and therefore by Lemma 5.7 the contribution is a rational square and therefore the norm of any quadratic extension.

**Case 3:  $d$  is divisible by one odd prime**

In this case, write  $d = 2^l p^n$ .

## 5.2 Abelian Extensions

## 5.3 Odd-Degree Extensions

## 5.4 Norm relations in odd order extensions

add some motivation (justification) for why I'm proving this result. The point is that the test for positive rank provided by root number computations never says anything in odd order extensions. If we expect the norm relations test to be weaker than root numbers, then nor should this test.

**Theorem 5.10.** *Let  $E/\mathbb{Q}$  be an elliptic curve,  $F/\mathbb{Q}$  be an extension of **odd order** with Galois group  $G$ .*

*Suppose that the primes of additive reduction of  $E$  are at worst tamely ramified in  $F/\mathbb{Q}$  (and  $\geq 5$ ). Take any representation  $\rho \in R(G)$  with quadratic subfield  $\mathbb{Q}(\sqrt{D}) \subset \mathbb{Q}(\rho)$  and relation*

$$\left( \bigoplus_{\mathfrak{g} \in \text{Gal}(\mathbb{Q}(\rho)/\mathbb{Q})} \rho^{\mathfrak{g}} \right)^m = \bigoplus_i \text{Ind}_{F_i/\mathbb{Q}} \mathbf{1} \ominus \bigoplus_j \text{Ind}_{F'_j/\mathbb{Q}} \mathbf{1}$$

*as in Theorem 4.2. Then*

$$\frac{\prod_i C_{E/F_i}}{\prod_j C_{E/F'_j}} \in \begin{cases} N_{\mathbb{Q}(\sqrt{D})/\mathbb{Q}}(\mathbb{Q}(\sqrt{D})^\times) & m \text{ odd}, \\ \mathbb{Q}^{\times 2} & m \text{ even}. \end{cases}$$

*In other words, one cannot use Theorem 4.2 to conclude that  $E/F$  must have positive rank.*

Let us break up the function  $C: H \mapsto C_{E/F^H}$  into  $C = \prod_p \mathfrak{c}_p \cdot d_p$  where

$$\mathfrak{c}_p(H) = \prod_{v|p} c_v(E/F^H), \quad d_p(H) = \prod_{v|p} \left| \frac{\omega}{\omega_v^{\min}} \right|_v, \quad (5)$$

the product ranging over all finite places of  $F^H$  dividing  $p$ . Then  $\mathfrak{c}_p$  and  $d_p$  are  $D_p$ -local functions. Let  $D_p = \text{Gal}(F_w/\mathbb{Q}_p)$ , where  $F_w$  denotes the completion of  $F$  with respect to a place  $w$  lying above  $p$ . Recall the notation that for a number field  $K$  and place  $v$ ,  $C_v(E/K) = c_v(E/K) \cdot |\omega/\omega_v^{\min}|_v$ . Then

$$\mathfrak{c}_p \cdot d_p = (D_p, f_p) \quad (6)$$

where  $f_p$  is a function on  $B(D_p)$  with  $H \mapsto C_v(E/F_w^H)$ .

We first argue that it is enough to prove this theorem when  $m$  is the order of  $\mathfrak{N}_{\mathbb{Q}(\rho)/\mathbb{Q}}(\rho)$  in  $C(G)$ .

**Lemma 5.11.** *Consider the set-up as in Theorem 5.10. If the statement of the theorem holds when  $m$  is the order of  $\mathfrak{N}_{\mathbb{Q}(\rho)/\mathbb{Q}}(\rho)$  in  $C(G)$ , then it holds for all possible  $m$ . **really, this is saying that if  $f$  is trivial on Brauer relations, then showing it is trivial on rho-relations for minimum  $m$  is enough (maybe I could add that in rep theory section)***

*Proof.* By definition, if  $m$  is the order of  $\mathfrak{N}_{\mathbb{Q}(\rho)/\mathbb{Q}}(\rho)$  in  $C(G)$  then there exists  $\Theta \in B(G)$  such that  $\mathbb{C}[\Theta] \simeq \mathfrak{N}_{\mathbb{Q}(\rho)/\mathbb{Q}}(\rho)^{\oplus m}$ . Now consider  $n$  and  $\Psi \in B(G)$  such that  $\mathbb{C}[\Psi] \simeq \mathfrak{N}_{\mathbb{Q}(\rho)/\mathbb{Q}}(\rho)^{\oplus n}$ . Clearly  $m \mid n$ . Then  $\Phi = \Psi - \frac{n}{m}\Theta$  is a Brauer relation.

At this point, we use that the function  $C$  on  $B(G)$ , viewed as a function to  $\mathbb{Q}^\times/\mathbb{Q}^{\times 2}$ , is trivial on Brauer relations. For odd order groups this follows from [DD09, Theorem 2.47] and [DD09, Theorem 3.2 (Tam)], looking locally at each  $C_p$ . Then  $C(\Psi) = C(\Theta)^{n/m} \cdot C(\Phi)$  so  $C(\Psi) \equiv C(\Theta)^{n/m} \pmod{\mathbb{Q}^{\times 2}}$ . It follows that if  $C(\Theta)$  satisfies the conditions of Theorem 5.10, then so does  $C(\Psi)$ .  $\square$

Taking  $m$  to be the order of  $\mathfrak{N}_{\mathbb{Q}(\rho)/\mathbb{Q}}(\rho)$  in  $C(G)$ , we have that  $m$  divides  $|G|$ , hence is odd. Therefore we need to prove that, given any  $\Theta \in B(G)$  such that  $\mathbb{C}[\Theta] \simeq \mathfrak{N}_{\mathbb{Q}(\rho)/\mathbb{Q}}(\rho)^{\oplus m}$ , the expression  $C(\Theta)$  is the norm of an element from  $\mathbb{Q}(\sqrt{D})^\times$ . Replacing  $\rho$  by the sum of its conjugates by elements of  $\text{Gal}(\mathbb{Q}(\rho)/\mathbb{Q}(\sqrt{D}))$ , we may assume that  $\mathbb{Q}(\rho) = \mathbb{Q}(\sqrt{D})$ . Note that this does not affect  $m$ .

We record

- $\tau$  the generator of  $\text{Gal}(\mathbb{Q}(\sqrt{D})/\mathbb{Q})$ ,
- $k$  the smallest integer such that  $\mathbb{Q}(\sqrt{D}) \subset \mathbb{Q}(\zeta_k)$ . Then  $k \mid |G|$ , hence is odd.

Fix  $\Theta = \sum_i n_i H_i \in B(G)$  with  $\mathbb{C}[\Theta] \simeq \mathfrak{N}_{\mathbb{Q}(\rho)/\mathbb{Q}}(\rho)^{\oplus m}$ . We prove that at each prime  $p$ ,  $\mathfrak{c}_p(\Theta)$  and  $d_p(\Theta)$  are the norms of elements from  $\mathbb{Q}(\rho)^\times$ . These depends on  $D_p$  and  $I_p$ ; the decomposition and inertia group respectively at  $p$ . As we deal with each local factor individually, we argue that one can take  $D_p = I_p$ .

**Lemma 5.12.** *Let  $E/K$  be an elliptic curve. Let  $K'/K$  be an extension of number fields odd degree, unramified at the place  $v$  of  $K$ . Then  $C_w(E/K') \equiv C_v(E/K) \pmod{\mathbb{Q}^{\times 2}}$  for any place  $w$  of  $K'$  with  $w \mid v$ .*

*Proof.* This is automatic for good reduction and split multiplicative reduction. It is also clear for non-split multiplicative reduction since the residue degree cannot be even (so the reduction type remains non-split at  $w$ ). For additive reduction, see [DD09, Lemma 3.12].  $\square$

**Lemma 5.13.** *At a prime  $p$ , we may assume that  $D_p = I_p$  when computing  $(\mathfrak{c}_p \cdot d_p)(\Theta)$ .*

*Proof.* Let  $p$  have residue degree  $f_p$ . Let  $L/\mathbb{Q}$  be a Galois extension of degree  $f_p$  with cyclic Galois group, such that  $p$  is inert in  $L$ . Further ensure that  $F \cap L = \mathbb{Q}$ . Then  $\text{Gal}(FL/L) = G$ . Let  $F_i = F^{H_i}$  and  $L_i = F_i L$ .

Let  $v$  be a place over  $p$  in  $F_i$ . The extension  $L_i/F_i$  is Galois, so  $v$  is either split or inert in  $L_i$ . We claim that  $C_v(E/F_i) \equiv \prod_{w \mid v} C_w(E/L_i) \pmod{\mathbb{Q}^{\times 2}}$ . Indeed, the number of terms in the product on the right is odd, and by Lemma 5.12  $C_v(E/F_i) \equiv C_w(E/L_i) \pmod{\mathbb{Q}^{\times 2}}$ . Letting  $\mathfrak{c}'_p$  and  $d'_p$  be functions on  $B(G)$  defined as in (5) but with  $\mathbb{Q}, F$  replaced by  $L, FL$ , we see that  $(\mathfrak{c}_p \cdot d_p)(\Theta) \equiv (\mathfrak{c}'_p \cdot d'_p)(\Theta) \pmod{\mathbb{Q}^{\times 2}}$ . Thus it is equivalent to do our computation in  $FL/L$ , but here  $p$  has residue degree 1.  $\square$

We also show that if  $\mathbb{Q}(\text{Res}_{D_p} \rho) = \mathbb{Q}$ , then  $(\mathfrak{c}_p \cdot d_p)(\Theta) \in \mathbb{Q}^{\times 2}$ .

**Lemma 5.14.** *Let the exponent of  $D_p$  be  $b$ . If  $k \nmid b$ , then  $(\mathfrak{c}_p \cdot d_p)(\Theta) \in \mathbb{Q}^{\times 2}$ .*

*Proof.* Note that  $\mathbb{Q}(\text{Res}_{D_p} \rho) \subset \mathbb{Q}(\zeta_b) \cap \mathbb{Q}(\rho) \subset \mathbb{Q}(\zeta_b)$ . Then  $\mathbb{Q}(\rho) \subset \mathbb{Q}(\zeta_b) \implies k \mid b$  by minimality of  $k$ . Since  $k \nmid b$ , we have  $\mathbb{Q}(\rho) \not\subset \mathbb{Q}(\zeta_b)$ , so  $\mathbb{Q}(\text{Res}_{D_p} \rho) = \mathbb{Q}$  and  $\text{Res}_{D_p} \rho = \tau(\text{Res}_{D_p} \rho)$ .

Now  $\mathbb{C}[\text{Res}_{D_p} \Theta] \simeq (\text{Res}_{D_p} \rho)^{\oplus 2m} \in \text{Perm}(D_p)$ . Since  $C(D_p) = \text{Char}_{\mathbb{Q}}(D_p)/\text{Perm}(D_p)$  has odd order, it follows that  $(\text{Res}_{D_p} \rho)^{\oplus m} \in \text{Perm}(D_p)$ . Therefore there is  $\Theta' \in B(D_p)$  such that  $\mathbb{C}[\Theta'] \simeq (\text{Res}_{D_p} \rho)^{\oplus m}$ . Then  $\Psi = (\text{Res}_{D_p} \Theta) - 2\Theta'$  is a Brauer relation for  $D_p$ . Then

$$(\mathfrak{c}_p \cdot d_p)(\Theta) \stackrel{(6)}{=} f_p(\text{Res}_{D_p} \Theta) = f_p(\Psi) \cdot f_p(\Theta')^2 \in \mathbb{Q}^{\times 2}.$$

Again we are using [DD09, Theorem 2.47] and [DD09, Theorem 3.2] which imply that  $f_p(\Psi) \in \mathbb{Q}^{\times 2}$  when  $\Psi$  is a Brauer relation for  $D_p$  (since  $D_p$  is odd).  $\square$

To prove Theorem 5.10, we proceed by considering separately each reduction type.

### Good reduction

If  $E/\mathbb{Q}$  has good reduction at  $p$ , it has good reduction at all primes lying above  $p$  in subfields of  $F$ . Hence the Tamagawa number is always one, as well as  $|\omega/\omega_v^{\min}|_v$  for any place  $v \mid p$  in an intermediate field. Therefore  $\mathfrak{c}_p, d_p = 1$  as functions on  $B(G)$ .

## Multiplicative reduction

If  $E/\mathbb{Q}_p$  has multiplicative reduction, then as in the good reduction case one has  $|\omega/\omega_v^{\min}|_v = 1$  for any place  $v \mid p$  in an intermediate field. Thus  $d_p = 1$ . For  $\mathfrak{c}_p$ , we consider non-split/split reduction separately.

### Non-split multiplicative reduction

Let  $E/\mathbb{Q}_p$  have non-split multiplicative reduction. Since  $D_p = I_p$ , all primes above  $p$  have residue degree 1. Then the reduction at places above  $p$  remains non-split in all intermediate subfields. It follows that

$$\mathfrak{c}_p = (D_p, \alpha)$$

where  $\alpha$  is the constant function on  $B(D_p)$  with  $\alpha \in \{1, 2\}$ , depending on  $\text{ord}_p(\Delta)$  being even or odd. We prove a more general result that  $D_p$ -local constant functions are trivial on  $\rho$ -relations.

**Lemma 5.15.** *Let  $G, \rho$  be as above. The function  $(D_p, \alpha)$  for  $\alpha \in \mathbb{Q}^\times$  satisfies  $(D_p, \alpha)(\Theta) \in \mathbb{Q}^{\times 2}$ .*

*Proof.* The function  $(D_p, \alpha)$  on  $B(G)$  sends  $H \leq G$  to  $\alpha^{|H \setminus G/D_p|}$ . Thus if  $\Theta = \sum_i n_i H_i$  is a  $\rho$ -relation,  $(D_p, \alpha)(\Theta) = \alpha^{\sum_i n_i \cdot |H_i \setminus G/D_p|}$ . We show that  $\sum_i n_i \cdot |H_i \setminus G/D_p|$  is even.

One has  $\text{Res}_{D_p} \Theta = \sum_i n_i \sum_{x \in H_i \setminus G/D_p} D_p \cap H^{x^{-1}}$  and the permutation representation  $\mathbb{C}[\text{Res}_{D_p} \Theta]$  of  $D_p$  is isomorphic to  $\text{Res}_{D_p}(\rho^{\oplus m} \oplus \tau(\rho^{\oplus m}))$ . In particular the dimension is even. The dimension is

$$\sum_i n_i \sum_{x \in H_i \setminus G/D_p} [D_p : D_p \cap H^{x^{-1}}].$$

Since each  $[D_p : D_p \cap H^{x^{-1}}]$  is odd, this implies there are an even number of terms in the summation, i.e. that  $\sum_i n_i \cdot |H_i \setminus G/D_p|$  is even. □

### Split multiplicative reduction

Now suppose  $E/\mathbb{Q}_p$  has split multiplicative reduction. The reduction type remains split at all places above  $p$  within sub-extensions of  $F/\mathbb{Q}$ . Let  $\text{ord}_p(\Delta) = n$ . Then

$$\mathfrak{c}_p = (D_p, D_p, en).$$

Since the  $n$  factor is constant,  $(D_p, D_p, en)(\Theta) \equiv (D_p, D_p, e)(\Theta) \pmod{\mathbb{Q}^{\times 2}}$  by Lemma 5.15.

We have  $D_p = I_p = P_p \rtimes C_l$ , where  $P_p \triangleleft I_p$  is wild inertia, and  $C_l = I_p/P_p$  is the tame quotient.  $C_l$  is a cyclic group, with  $l \mid p^f - 1 = p - 1$ . By Lemma 5.14, it is only of interest to consider such  $D_p$  with exponent  $p^u l$  for some  $u \geq 0$  such that  $k \mid p^u l$ .

Now,  $(D_p, D_p, e)(\Theta)$  is the product of ramification indices at primes above  $p$ . We separate the  $p$ -part and tame part of this expression. Recall that the ramification index of a place  $w$  above  $p$  corresponding to the double coset  $H_i x D_p$  has ramification degree  $e_w = \frac{|I_p|}{|H_i \cap I_p^x|} = \frac{|I_p|}{|I_p \cap H^{x^{-1}}|}$ . This is the dimension of the permutation representation  $\mathbb{C}[D_p/D_p \cap H^{x^{-1}}]$ . Let  $D_p \cap H^{x^{-1}} = P' \rtimes C_a$  where  $P' \leq P$  and  $a \mid l$ . Then the ramification index is  $\frac{|P|}{|P'|} \cdot \frac{l}{a}$ .

Taking fixed points under wild inertia, one has

$$\mathbb{C}[D_p/D_p \cap H^{x^{-1}}]^{P_p} \simeq \mathbb{C}[D_p/P_p(D_p \cap H^{x^{-1}})] \simeq \mathbb{C}[D_p/P_p \rtimes C_a].$$

This permutation representation has dimension  $\frac{l}{a}$ , so we've killed off the  $p$ -part. Then

$$\mathbb{C}[\text{Res}_{D_p} \Theta]^{P_p} \simeq (\text{Res}_{D_p} \rho^{\oplus m} \oplus \tau(\text{Res}_{D_p} \rho^{\oplus m}))^{P_p},$$

and we can consider these as representations of  $D_p/P_p = C_l$ .

Let  $\Psi = P_p \cdot \text{Res}_{D_p} \Theta / P_p \in B(C_l)$ . Consider the function  $g$  on  $B(C_l)$  with  $H \mapsto [C_l : H] = \dim \mathbb{C}[C_l/H]$ . It follows from the above discussion that  $(D_p, D_p, e)(\Theta)$  differs from  $g(\Psi)$  up to a factor of  $p$ .

Crucially, this factor of  $p$  doesn't matter:

**Lemma 5.16.** *Let  $K = \mathbb{Q}(\sqrt{D})$  be a quadratic field, contained in the minimal cyclotomic field  $\mathbb{Q}(\zeta_k)$  with  $k$  odd. Let  $k \mid p^u l$ , for some  $u \geq 0$  and  $l$  such that  $p \equiv 1 \pmod{l}$ . Then  $p$  is the norm of an element from  $K^\times$ .*

*Proof.* Since  $k$  is odd, it is clear that  $D = \prod_{q|k} q^*$ , the product being taken over primes dividing  $k$ . Note that if  $q \neq p$ , then since  $q \mid l$ , we have  $p \equiv 1 \pmod{l} \implies p \equiv 1 \pmod{q}$ . By Theorem A.8,  $p$  is the norm of a principal fractional ideal of  $K$ . If  $K$  is imaginary, then  $p$  is the norm of an element of  $K$ . Else, we invoke Theorem A.10 or Theorem A.11.  $\square$

Therefore we only need to worry about the tame part of our ramification indices. If  $k \nmid l$ , then  $\phi = (\text{Res}_{D_p} \rho)^{P_p}$  (viewed as a representation on  $D_p/P_p$ ) has rational character. Then, arguing as in Lemma 5.14,  $g(\Psi) \in \mathbb{Q}^{\times 2}$  say more?. Hence we may assume that  $k \mid l$  and that  $\mathbb{Q}(\phi) = \mathbb{Q}(\rho) = K$ .

**Proposition 5.17.** *Let  $k \mid l$ . Then  $g(P_p \cdot \text{Res}_{D_p} \Theta / P_p) = g(\Psi) \in N_{K/\mathbb{Q}}(K^\times)$ .*

*Proof.* Write  $\phi^{\oplus m} \oplus \tau(\phi^{\oplus m}) = \mathbb{C}[\Psi] = \sum_{l'|l} a_{l'} \chi_{l'}$  where  $a_{l'} \in \mathbb{Z}$  and  $\chi_{l'}$  are defined in Example 1.12. Let  $\Psi_{l'} = \sum_{l''|l'} \mu(l'/l'') \cdot C_{l/l''}$  so that  $\mathbb{C}[\Psi_{l'}] = \chi_{l'}$ , as observed in the example. Then  $\mathbb{C}[\Psi] \simeq \mathbb{C}[\sum_{l'|l} a_{l'} \Psi_{l'}]$  which implies that  $\Psi = \sum_{l'|l} a_{l'} \Psi_{l'}$  since cyclic groups have no Brauer relations.

Evaluating  $g$  on  $\Psi_{l'}$  is trivial unless  $l' = q^a$  for some  $q$  prime,  $a \geq 1$ . Indeed, if  $l' = p_1^{e_1} \cdots p_r^{e_r}$ , with  $r \geq 2$  and  $e_i \geq 1$ , then

$$\prod_{l''|l'} (l'')^{\mu(l'/l'')} = \prod_{j_1, \dots, j_r \in \{0,1\}^r} \left( p_1^{e_1-j_1} \cdots p_r^{e_r-j_r} \right)^{\#j_i=1} = \prod_{i=1}^r \left( \frac{p_i^{e_i}}{p_i^{e_i-1}} \right)^{\sum_{j=0}^{r-1} \binom{r-1}{j} (-1)^j} = 1.$$

On the other hand,

$$\prod_{l'|q^a} (l')^{\mu(q^a/l')} = q.$$

We claim that  $k \nmid l'$  implies  $a_{l'}$  is even. The irreducible representations of  $C_l$  over  $\mathbb{Q}(\phi)$  are given by the orbits of the complex irreducible characters of  $C_l$  acted upon by  $H = \text{Gal}(\mathbb{Q}(\zeta_l)/\mathbb{Q}(\phi))$ . One has  $\chi_{l'} = \mathfrak{N}_{\mathbb{Q}(\varphi_{l'})/\mathbb{Q}}(\varphi_{l'})$  where  $\mathbb{Q}(\varphi_{l'}) = \mathbb{Q}(\zeta_{l'})$ . If  $k \nmid l'$  then  $\mathbb{Q}(\phi) \not\subset \mathbb{Q}(\zeta_{l'})$ , so that  $B = \text{Gal}(\mathbb{Q}(\zeta_l)/\mathbb{Q}(\zeta_{l'})) \not\leq H$ . Then  $\mathbb{Q}(\phi) \cap \mathbb{Q}(\zeta_{l'}) = \mathbb{Q}$  so  $BH = \text{Gal}(\mathbb{Q}(\zeta_l)/\mathbb{Q})$ . The orbit of  $\varphi_{l'}$  under  $H$  is fixed by  $BH$ , hence is rational. It follows that  $\langle \phi, \varphi_{l'} \rangle = \langle \tau(\phi), \varphi_{l'} \rangle$  so that  $a_{l'}$  is even.

Thus we can only possibly get something interesting if  $k = q$  is a prime. But then  $q$  is a norm from  $\mathbb{Q}(\sqrt{q^*})$  by corollary A.5.  $\square$

### Additive reduction

Now suppose that  $E/\mathbb{Q}_p$  has additive reduction. In this case, assume that  $p \geq 5$ . We have  $D_p = P_p \ltimes C_l$  with  $l \mid p-1$ . Once again we may assume that  $k \mid p^k l$  where  $p^k l$  is the exponent of  $D_p$  by Lemma 5.14.

Let  $\delta = \text{ord}_p(\Delta_E)$ . Consider a place  $w$  of  $F^H$  over  $p$  with ramification degree  $e_w$  over  $\mathbb{Q}$ . Then  $\Delta_E$  has valuation  $ne_w$  with respect to  $w$ . Then  $|\Delta_E/\Delta_{E,w}^{\min}|_w = p^{-(\delta \cdot e_w - \delta_H)}$ , where  $\delta_H = \text{ord}_w(\Delta_{E,w}^{\min})$ . Recall that

$$\left| \frac{\omega}{\omega_w^{\min}} \right|_w^{-12} = \left| \frac{\Delta_E}{\Delta_{E,w}^{\min}} \right|_w.$$

Therefore  $|\omega/\omega_w^{\min}|_w = p^{\lfloor \frac{\delta \cdot e_w - \delta_H}{12} \rfloor}$ .

Suppose that  $E/\mathbb{Q}_p$  has Kodaira type  $I_n^*$ , so  $\delta = 6 + n$ . For a finite extension  $K'/\mathbb{Q}_p$  with ramification degree  $e$ ,  $E/K'$  has Kodaira type  $I_{en}^*$  if  $e$  is odd, and type  $I_{en}$  if  $n$  is even. Thus in odd degree extensions the reduction type will stay potentially multiplicative. Then  $\delta \cdot e_w - \delta_H = 6e_w$ .

If  $E/\mathbb{Q}_p$  has potentially good reduction then  $\delta \in \{2, 3, 4, 6, 8, 9, 10\}$ .  $E$  also has potentially good reduction at the place  $w$  in  $F^H$ . Hence  $\delta_H \leq 12$  and it follows that  $\delta_H = \delta \cdot e_w - 12 \cdot \lfloor \delta \cdot e_w / 12 \rfloor$ .

In conclusion,

$$d_p = \begin{cases} (D_p, D_p, p^{\lfloor e_w/2 \rfloor}) & \text{if } E \text{ has potentially multiplicative reduction,} \\ (D_p, D_p, p^{\lfloor \delta \cdot e_w / 12 \rfloor}) & \text{if } E \text{ has potentially good reduction.} \end{cases}$$

In either case,  $d_p(\Theta) \in N_{\mathbb{Q}(\rho)/\mathbb{Q}}(\mathbb{Q}(\rho)^\times)$ . Indeed, this takes values 1 or  $p$  in  $\mathbb{Q}^\times/\mathbb{Q}^{\times 2}$ . But  $p \equiv 1 \pmod{l}$  implies  $p \equiv 1 \pmod{k}$  so that  $p$  is the norm of a principal ideal in  $\mathbb{Q}(\rho)$ , and hence the norm of an element, by corollary A.8 and Theorem A.10.

For the Tamagawa number computations we use the following description from [DD09].

**Lemma 5.18** ([DD09, Lemma 3.22]). *Let  $L'/L/\mathbb{Q}_p$  be finite extensions and  $p \geq 5$ . Let  $E/L$  be an elliptic curve with additive reduction;*

$$E: y^2 = x^3 + Ax + B, \quad A, B \in L$$

*with discriminant  $\Delta = -16(4A^3 + 27B^2)$ . Let  $\delta = v_L(\Delta)$ , and  $e = e_{L'/L}$ .*

*If  $E$  has potentially good reduction, then*

$$\begin{aligned} \gcd(\delta e, 12) = 2 &\implies c_v(E/L') = 1, & (II, II^*) \\ \gcd(\delta e, 12) = 3 &\implies c_v(E/L') = 2, & (III, III^*) \\ \gcd(\delta e, 12) = 4 &\implies c_v(E/L') = \begin{cases} 1, & \sqrt{B} \notin L' \\ 3, & \sqrt{B} \in L' \end{cases}, & (IV, IV^*) \\ \gcd(\delta e, 12) = 6 &\implies c_v(E/L') = \begin{cases} 2, & \sqrt{\Delta} \notin L' \\ 1 \text{ or } 4, & \sqrt{\Delta} \in L' \end{cases}, & (I_0^*) \\ \gcd(\delta e, 12) = 12 &\implies c_v(E/L') = 1. & (I_0) \end{aligned}$$

*If  $E$  has potentially multiplicative reduction of type  $I_n^*$  over  $L$ , and  $e$  is odd, then it has Kodaira type  $I_{en}^*$  over  $L'$ . Moreover,*

$$\begin{aligned} 2 \nmid n &\implies c_v(E/L') = \begin{cases} 2, & \sqrt{B} \notin L' \\ 4, & \sqrt{B} \in L' \end{cases}, & (I_{ne}^*) \\ 2 \mid n &\implies c_v(E/L') = \begin{cases} 2 & \sqrt{\Delta} \notin L' \\ 4 & \sqrt{\Delta} \in L' \end{cases}, & (I_{ne}^*) \end{aligned}$$

Firstly suppose that  $E/\mathbb{Q}_p$  has reduction type  $I_n^*$ . Write  $D_p = \text{Gal}(F_w/\mathbb{Q}_p)$ . Since we assume  $D_p = I_p$ , i.e. the residue degree is one, it follows that any subextension  $L'$  of  $F_w/\mathbb{Q}_p$  satisfies  $\sqrt{B} \in L' \iff \sqrt{B} \in \mathbb{Q}_p$  and  $\sqrt{\Delta} \in L' \iff \sqrt{B} \in \mathbb{Q}_p$ . Therefore  $c_p = (D_p, \alpha)$  where  $\alpha \in \{2, 4\}$ . But then  $(D_p, \alpha)(\Theta) \in \mathbb{Q}^{\times 2}$  by Lemma 5.15.

Now suppose that  $E/\mathbb{Q}_p$  has potentially good reduction. Observe that in a totally ramified extension of degree coprime to 12, the Tamagawa number remains the same. Hence for  $D_p$  odd, if  $3 \nmid |D_p|$  it follows that  $c_p = (D_p, \alpha)$  for some constant  $\alpha$  and so  $c_p(\Theta) \in \mathbb{Q}^{\times 2}$ .

Thus we assume that  $3 \mid |D_p|$ . Since we assumed  $p \geq 5$ , we have  $D_p = I_p = P_p \rtimes C_l$  with  $3 \mid l$  and  $p \equiv 1 \pmod{l}$ . If we have type  $III$  or  $III^*$  or  $I_0^*$  then the Tamagawa number is still unchanged in any totally ramified extension of odd degree extension, even when the degree is divisible by 3. We will treat the other cases separately:

Type II and  $II^*$  reduction:

Suppose  $\delta = 2$ , that is we have Type  $II$  reduction. If  $L'/\mathbb{Q}_p$  is an odd degree extension that is divisible by 3, then  $E/L'$  has reduction type  $I_0^*$ . By Lemma 5.18 the Tamagawa number of  $E/L'$  then depends on whether  $\sqrt{\Delta} \in \mathbb{Q}_p$ . Since we have additive reduction, we know that  $p \mid A$ ,  $p \mid B$ . Moreover,  $\delta = 2$  implies that  $v_p(B) = 1$ . Then,  $\Delta = p^2 \cdot \alpha$ , and  $\alpha \equiv -27 \cdot \square \pmod{p}$ . Therefore  $\sqrt{\Delta} \in \mathbb{Q}_p \iff -3$  is a square  $\pmod{p}$ . But this is the case; we assumed  $p \equiv 1 \pmod{l}$ , so  $p \equiv 1 \pmod{3}$ . Then  $\left(\frac{-3}{p}\right) = \left(\frac{p}{3}\right) = 1$ . Therefore the Tamagawa number will be 1 or 4, which is a square. On the other hand if  $L'/\mathbb{Q}_p$  is an extension of odd degree then the reduction type over  $L'$  is  $II$  or  $II^*$  and the Tamagawa number is 1. It follows that  $c_p(\Theta)$  is a product of square terms, so is itself square.

If  $\delta = 10$ , then  $E/L'$  has reduction type  $I_0^*$  whenever  $3 \mid [L' : \mathbb{Q}_p]$ . Once more,  $v_p(A), v_p(B) \geq 1$ , and  $v_p(\Delta) = 10 = \min(3v_p(A), 2v_p(B)) \implies v_p(B) = 5$ . Therefore we get  $\Delta = p^{10} \cdot \alpha$  with  $\alpha \equiv -27 \cdot \square \pmod{p}$ , and we conclude as above.

Type IV and  $IV^*$  reduction:

Now, if  $E/\mathbb{Q}_p$  has additive reduction of type  $IV$  or  $IV^*$ , it attains good reduction over any totally ramified cyclic extension of degree divisible by 3. This could result with 3 coming up an odd number of times in  $c_p(\Theta)$ , when  $\sqrt{B} \notin \mathbb{Q}_p$ .

**Remark 5.19.** There's no reason why we can't get 3; see elliptic curve 441b1 with additive reduction at 7 of type  $IV$  and Tamagawa number equal to 3

**If  $D_p = C_l$  then we are able to finish our argument.** As in the proof of Proposition 5.17, there exists  $a_{l'} \in \mathbb{Z}$  such that  $\text{Res}_{D_p} \Theta = \sum_{l' \mid l} a_{l'} \Psi_{l'}$  where  $\Psi_{l'} \in B(G)$  is such that  $\mathbb{C}[\Psi_{l'}] \simeq \chi_{l'}$ , as in Example 1.12.

Recall from the proof of Lemma 5.15 that  $\text{Res}_{D_p} \Theta = \sum_i n_i \sum_{x \in H_i \backslash G/D_p} D_p \cap H^{x^{-1}}$ , with  $\sum_i n_i |H_i \backslash G/D_p|$  even. If  $D_p = \text{Gal}(F_w/\mathbb{Q}_p)$ , then the number of subextensions divisible by 3 (i.e. the number of subextensions where we obtain good reduction) corresponds to the number of subgroups with index divisible by 3 in  $\text{Res}_{D_p} \Theta$ . We compute this number to determine  $\text{ord}_3(c_p(\Theta))$  modulo squares.

Let  $\psi$  be an irreducible character of  $D_p$  of order 3. We have

$$\langle \text{Ind}_{C_{l/l'}}^{C_l} \mathbb{1}, \psi_3 \rangle = \begin{cases} 1 & 3 \mid l' \\ 0 & 3 \nmid l'. \end{cases}$$

Therefore computing  $\langle \text{Res}_{D_p} \Theta, \psi_3 \rangle$  will give us the number of subgroups in our expression of index divisible by 3. Observe that  $\langle \chi_{l'}, \psi_3 \rangle = 0$  unless  $l' = 3$ , in which case it is 1. Therefore

$$c_p(\Theta) \equiv 3^{a_3} \pmod{\mathbb{Q}^{\times 2}}$$

As in the proof of 5.17, we observe that  $a_3$  is even unless  $k \mid 3$ , i.e. that  $\mathbb{Q}(\rho) = \mathbb{Q}(\sqrt{-3})$ . But then 3 is a norm in  $\mathbb{Q}(\rho)$ . Thus we see that in all cases  $c_p(\Theta) \in N_{\mathbb{Q}(\rho)/\mathbb{Q}}(\mathbb{Q}(\rho)^\times)$ .

but probably because the 3 divisibility is in the tame part I could do the whole take  $P_p$  fixed points and then pass to  $D_p/P_p$  and do the counting there??? hmmm

## Appendix A Algebraic number theory background

### A.1 Class field theory and genus fields

In this section we introduce the concept of a genus field, as well as properties that will be useful for us.

Let  $K$  be a number field. The **ideal class group**  $\text{Cl}_K = I_K/P_K$  is the group of fractional ideals modulo principal fractional ideals. For an ideal  $\mathfrak{p}$ , we let  $[\mathfrak{p}]$  denote its class in  $\text{Cl}_K$ .

The **extended ideal class group** is the group  $\text{Cl}_K^+ = I_K/P_K^+$ , where  $P_K^+$  denotes the subgroup of principal fractional ideals with totally positive generator, i.e. ideals  $\alpha\mathcal{O}_K$  where  $\sigma(\alpha) > 0$  for all real embeddings  $\sigma: K \hookrightarrow \mathbb{R}$ .

Note that  $\text{Cl}_K^+$  is the ray class group for the modulus  $\mathfrak{m}$  of  $K$  consisting of the product of all real places. The corresponding ray class field is known as the **extended Hilbert class field**, which we'll denote as  $H^+$ . This is the maximal extension of  $K$  that is unramified at all finite primes. Let  $H$  be the usual Hilbert Class field of  $K$ . Then one has  $H \subset H^+$ . Moreover, the index can be described in terms of the structure of  $K$ :

**Theorem A.1.** *[Jan96, Chapter VI, Section 3, Theorem 3.1] Let  $r$  be the number of real primes of  $K$ . Let  $U_K, U_K^+$  the group of units and totally positive units of  $K$  respectively, Then*

$$[H^+ : H] = 2^r [U_K : U_K^+]^{-1}.$$

Observe that if  $K$  has no real places, then  $H^+ = H$ . For quadratic fields, the index depends on the norm of a fundamental unit:

**Corollary A.2.** *Let  $K = \mathbb{Q}(\sqrt{D})$  with  $D$  a square-free positive integer. Let  $\epsilon$  be a fundamental unit of  $K$ . Then  $[H^+ : H] = 1$  or  $2$ , according as  $N_{K/\mathbb{Q}}(\epsilon) = -1$  or  $1$ .*

Fix  $K = \mathbb{Q}(\sqrt{D})$  for  $D$  a squarefree integer. The (extended) Hilbert class field of  $K$  need not be abelian over  $\mathbb{Q}$  (note that it is Galois over  $\mathbb{Q}$  by uniqueness of the (extended) Hilbert class field). However it can be useful to consider the maximal subfield of  $H$  that is abelian over  $\mathbb{Q}$ .

**Definition A.3.** For any abelian extension  $K/\mathbb{Q}$ , the **genus field** of  $K/\mathbb{Q}$  is the largest abelian extension  $L/\mathbb{Q}$  contained in  $H$ . The **extended genus field** is the largest abelian extension  $L^+/\mathbb{Q}$  contained in  $H^+$ .

Let  $\sigma \in \text{Gal}(H^+/\mathbb{Q})$  be such that  $\sigma|_K$  generates  $\text{Gal}(K/\mathbb{Q})$ .  $L$  has the following properties:

**Theorem A.4.** *[Jan96, Ch. VI, §3, Theorem 3.3] Let  $K = \mathbb{Q}(\sqrt{D})$ .*

1.  $\text{Gal}(H/L)$  is isomorphic to the subgroup of  $C_K$  generated by the ideal classes of the form  $[\sigma(\mathfrak{U})\mathfrak{U}^{-1}]$ ,  $\mathfrak{U} \in I_K$ .
2.  $\text{Gal}(H/L) \simeq (C_K)^2$ .

*Proof sketch of 1.* Let  $G = \text{Gal}(H/\mathbb{Q})$ . Then  $L = H^{[G,G]}$  is the fixed field of the commutator subgroup of  $G$ . The Artin map induces an isomorphism  $\varphi: C_K \rightarrow C \subset G$  with  $\varphi(C_K) \simeq C = \text{Gal}(H/K)$ . One shows that  $\varphi([\sigma(\mathfrak{U})\mathfrak{U}^{-1}]) \in [G, C]$  and conversely that every commutator element in  $[G, G]$  can be expressed as  $\varphi([\sigma(\mathfrak{U})\mathfrak{U}^{-1}])$  for some  $\mathfrak{U} \in I_K$ .  $\square$

Note that this says that every class  $[\sigma(\mathfrak{U})\mathfrak{U}^{-1}]$  is a square in  $C_K$ . This allows us to deduce the following:

**Theorem A.5.** *Let  $p$  be a prime in  $\mathbb{Q}$ . If the residue degree of  $p$  in  $L/\mathbb{Q}$  is 1, then  $p$  is the norm of a principal fractional ideal in  $K$ .*



*Proof.* Let  $\varphi: C_K \rightarrow \text{Gal}(H/K)$  be the isomorphism induced by the Artin map. By Theorem A.4,  $\text{Gal}(L/K) = \text{Cl}_K / (\text{Cl}_K)^2$  is abelian. Let  $\mathfrak{p}$  be a prime of  $K$  lying over  $p$ . Then  $N_{K/\mathbb{Q}}(\mathfrak{p}) = p$  and  $\mathfrak{p}$  has residue degree 1 in  $L$ . It follows that  $\varphi([\mathfrak{p}])|_L = \text{Id}$  so that  $\varphi([\mathfrak{p}]) \in \text{Gal}(H/L)$ . Thus by Theorem A.4 there is a fractional ideal  $\mathfrak{A}$  of  $I_K$  such that  $[\mathfrak{p}] = [\sigma(\mathfrak{A})\mathfrak{A}^{-1}]$ . Observe that  $N_{K/\mathbb{Q}}(\sigma(\mathfrak{A})\mathfrak{A}^{-1}) = 1$ . It follows that we can represent  $[\mathfrak{p}]^n$  by a fractional ideal of norm  $p$  for all  $n$ . Since  $\text{Cl}_K$  is finite, this implies there is a principal fractional ideal in  $K$  of norm  $p$ .  $\square$

Observe that  $C_K/(C_K)^2$  is an abelian group of exponent 2. The following theorem describes its order:

**Theorem A.6.** *Suppose the discriminant of  $K/\mathbb{Q}$  has  $t$  prime divisors. Then  $C_K/(C_K)^2$  has order  $2^{t-1}$  if  $D < 0$  or if  $D > 0$  and a unit of  $K$  has norm  $-1$ . Otherwise, if  $D > 0$  and all units of  $K$  have norm 1, it has order  $2^{t-2}$ .*

Our introduction of the extended genus field  $L^+$  is mostly because it is easier to describe than  $L$  when  $K = \mathbb{Q}(\sqrt{D})$ .

**Theorem A.7.** *Let the discriminant of  $K$  be  $\Delta$  and suppose  $|\Delta| = p_1 p_2 \cdots p_t$  where  $p_2, \dots, p_t$  are odd primes, and  $p_1$  is either odd or a power of 2. Then the extended genus field of  $K$  is*

$$L^+ = \mathbb{Q}(\sqrt{D}, \sqrt{p_2^*}, \dots, \sqrt{p_t^*}) = K(\sqrt{p_2^*}, \dots, \sqrt{p_t^*}),$$

where

$$\begin{cases} p_i^* = p_i & \text{if } p_i \equiv 1 \pmod{4}, \\ p_i^* = -p_i & \text{if } p_i \equiv 3 \pmod{4} \end{cases}$$

The following results are used in the body of the report:

**Corollary A.8.** *Let  $p$  be a prime in  $\mathbb{Q}$ ,  $K = \mathbb{Q}(\sqrt{D})$  with discriminant  $\Delta$  such that  $|\Delta| = p_1 p_2 \cdots p_t$ , where all  $p_i$  are odd primes. If  $p \equiv 1 \pmod{|\Delta|}$ , then  $p$  is the norm of a principal fractional ideal in  $K$ . It is also the norm of a principal fractional ideal in  $K' = \mathbb{Q}(\sqrt{p^* D})$ .*

*Proof.* Any prime above  $p$  in  $K$  splits in  $L^+$ , hence also in  $L$  (in particular it has residue degree 1). Similarly for  $K'$ , the residue degree of  $p$  in its extended genus field is 1, and so in its genus field also. The result follows by Theorem A.5.  $\square$

We want to understand when  $p$  is the norm of an element. Note that if  $H = H^+$ , then  $p$  being the norm of a principal fractional ideal guarantees that it is the norm of an element. If  $-1$  is a norm in our field then we are also fine.

**Theorem A.9.** *Let  $K = \mathbb{Q}(\sqrt{D})$  with  $D > 0$  squarefree and suppose that all odd primes dividing  $D$  are congruent to 1 (mod 4). Then  $-1$  is the norm of an element of  $K^\times$ .*

*Proof.* The condition on  $D$  ensures that there exists  $x, y \in \mathbb{Q}$  such that  $D = x^2 + y^2$ . Therefore  $-1 = (x/y)^2 - D(1/y)^2$  so that  $-1$  is the norm of the element  $\frac{x}{y} + \frac{1}{y}\sqrt{D}$ .  $\square$

Note that  $-1$  being the norm of an element in  $K$  does not ensure that  $-1$  is the norm of a unit in  $K$ . The smallest counter-example is  $K = \mathbb{Q}(\sqrt{34})$ . The element  $\frac{5}{3} + \sqrt{34}$  has norm  $-1$ , but there is no unit with norm  $-1$ .

**Theorem A.10.** *Let  $K = \mathbb{Q}(\sqrt{D})$  and let  $k$  be the minimal cyclotomic field such that  $K \subset \mathbb{Q}(\zeta_k)$ . Suppose that  $k$  is odd and  $K$  is real. If  $p$  is a prime such that  $p \equiv 1 \pmod{|\Delta|}$ , then  $p$  is the norm of an element from  $K$ .*

*Proof.* Note that  $k$  being odd implies  $D$  is odd. We know that  $p$  is the norm of a principal fractional ideal of  $K$  by corollary A.8. Therefore there exists integers  $x, y, z$  such that  $\pm pz^2 = x^2 - Dy^2$ . Suppose firstly that all primes dividing  $D$  are congruent to 1 (mod 4). Then there is an element of  $K^\times$  of norm  $-1$  by Theorem A.9. Hence we can find an element of norm  $p$ .

Otherwise, there exists a prime  $q \mid D$  such that  $q \equiv 3 \pmod{4}$ . Reducing mod  $q$ , we have  $\pm p = \square$ . Since  $p \equiv 1 \pmod{q}$ , it is a square (mod  $q$ ). But  $-1$  is not a square mod  $q$ , hence our sign must have been  $+$  and so  $p$  is the norm of an element from  $K^\times$ .  $\square$

**Theorem A.11.** *Let  $K = \mathbb{Q}(\sqrt{D})$  and let  $k$  be the minimal cyclotomic field such that  $K \subset \mathbb{Q}(\zeta_k)$ . Suppose that  $k$  is odd and  $K$  is real. Let  $p$  be a prime such that  $p \mid D$  and  $p \equiv 1 \pmod{q}$  for all other primes  $q \mid D$ . Then  $p$  is the norm of an element from  $K$ .*

*Proof.* By corollary A.8, we know that  $p$  is the norm of a principal fractional ideal of  $K$ . The rest of the argument is analogous to the previous proof.  $\square$

**Proposition A.12.**  $\mathbb{Q}(\sqrt{p^*})$  has odd narrow class number.

**Corollary A.13.** *The prime  $p \in \mathbb{Q}$  is the norm of an element in  $\mathbb{Q}(\sqrt{p^*})^\times$ .*

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