Arithmetic Applications of Artin Twist and BSD

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Introduction

In this report we study a method proposed in [DEW21] for forcing points of infinite order on elliptic curves over finite extensions F/\mathbb{Q} .

Notation

We use the following notation for characters:

B(G)	the Burnside ring of G ,
$R_{(G)}$	the representation ring of G ,
$R_{\mathbb{Q}}(G)$	the rational representation ring of G ,
Perm(G)	the ring of virtual permutations of G ,
$\operatorname{Char}_{\mathbb{Q}}(G)$	the ring of rationally-valued characters of G ,
Irr(G)	the set of characters of complex irreducible representations of G ,
$\mathbb{Q}(ho)$	the field of character values of a complex character ρ of G ,
C(G)	the finite abelian group $\operatorname{Char}_{\mathbb{Q}}(G)/\operatorname{Perm}(G)$,
H^x	$=xHx^{-1}$ for $H \leq G$ a subgroup of a group G and $x \in G$,
p^*	defined for an odd prime p . If $p \equiv 1 \pmod{4}$, $p^* = p$. If $p \equiv 3 \pmod{4}$, $p^* = -p$

Given an elliptic curve E/\mathbb{Q} and a number field F, we define

$$C_{E/F} = \prod_v c_v(E/F) \left| \frac{\omega}{\omega_v^{\min}} \right|_v.$$

The product is taken over the finite places of F, ω is a global minimal differential for E/\mathbb{Q} , and ω_v^{\min} is a minimal differential at v. In terms of minimal discriminants, if E is of the form

$$y^2 + a_1xy + a_3y = x^3 + a_2x^2 + a_4x + a_6$$

with minimal discriminant Δ_E and $\omega = \frac{dx}{2y + a_1x + a_3},$ then

$$\left|\frac{\omega}{\omega_v^{\min}}\right|_v^{-12} = \left|\frac{\Delta_E}{\Delta_{E,v}^{\min}}\right|_v.$$

1 Norm relations

1.1 Representations of finite groups

Let G be a finite group, K a field of characteristic zero. Recall that a **representation** of G over K is a group homomorphism $\rho: G \to \operatorname{GL}(V)$ where V is a K-vector space. Associated to a representation ρ is a **character** $\chi: G \to K^{\times}$, defined by letting $\chi(g) = \operatorname{Tr} \rho(g)$ for $g \in G$. For complex representations, ρ is determined by its character; if ρ , ρ' are representations with identical characters, then ρ and ρ' are isomorphic as representations.

Definition 1.1. Let χ_1, \ldots, χ_h be the distinct characters of the complex irreducible representations of G. Then the **representation ring** of G is

$$R(G) = \mathbb{Z}\chi_1 \oplus \cdots \oplus \mathbb{Z}\chi_h.$$

Since we take differences of characters in R(G), we sometimes call elements of R(G) virtual representations.

Let K be a number field. Denote by $R_K(G)$ the group generated by characters of the representations of G over K. This is a subring of R(G). When $K = \mathbb{Q}$ this is called the **rational representation ring**. The characters of the distinct irreducible representations of G over K form an orthogonal basis of $R_K(G)$ ([Ser77, Proposition 32]). Let m be the exponent of G. If K contains the m-th roots of unity, then $R_K(G) = R(G)$ ([Ser77, Theorem 24]). This implies every representation of G can be realized over such K.

Let $\operatorname{Perm}(G)$ be the ring of virtual permutation representations of G (i.e. the ring generated by the characters of $\mathbb{C}[G/H]$ for $H \leq G$). Let $\operatorname{Char}_{\mathbb{Q}}(G)$ be the ring of rationally valued characters of G. Then we have inclusions

$$\operatorname{Perm}(G) \to R_{\mathbb{Q}}(G) \to \operatorname{Char}_{\mathbb{Q}}(G).$$

Each of these groups have equal \mathbb{Z} -rank, equal to the number of conjugacy classes of cyclic subgroups of G ref. Moreover the cokerenels of these maps are finite.

It is of interest to obtain characters of Perm(G) from characters of R(G). For $\rho \in R(G)$ one obtains an element of $Char_{\mathbb{Q}}(G)$ by taking

$$\widetilde{\rho} = \sum_{\sigma \in \operatorname{Gal}(\mathbb{Q}(\rho)/\mathbb{Q})} \rho^{\sigma}$$
.

Here $\mathbb{Q}(\rho)$ means the smallest Galois field extension that contains the values of $\rho(g)$ for all $g \in G$, and ρ^{σ} is the character such that $\rho^{\sigma}(g) = \sigma(\rho(g))$. Conversely, if a representation has a rationally valued character, then any complex irreducible constituent must occur along with all its Galois conjugates with equal multiplicity. Therefore our map $R(G) \to \operatorname{Char}_{\mathbb{Q}}(G)$ is surjective.

Such a character may not be in $R_{\mathbb{Q}}(G)$, however. That is, it has rational character, but the corresponding representation cannot be realized over \mathbb{Q} . The quotient $\operatorname{Char}_{\mathbb{Q}}(G)/R_{\mathbb{Q}}(G)$ is the study of Schur indices. If $\rho \in R(G)$ is an irreducible representation, the **Schur index** is the smallest integer $m(\rho)$ such that

$$\sum_{\sigma \in \operatorname{Gal}(\mathbb{Q}(\rho)/\mathbb{Q})} m(\rho) \cdot \rho^{\sigma} \quad \in R_{\mathbb{Q}}(G).$$

We are more interested in the group

$$C(G) = \operatorname{Char}_{\mathbb{O}}(G) / \operatorname{Perm}(G).$$

This is a finite abelian group. It has exponent dividing |G| by Artin's induction theorem ref. The study of this group is quite subtle, see for example [BD16]. For us, it's enough to know that there exists an integer m dividing |G| such that $\tilde{\rho} \oplus^m \in \operatorname{Perm}(G)$, where m is the order of $\tilde{\rho}$ in C(G). Thus, we have a map $\operatorname{Irr}(G) \to \operatorname{Perm}(G)$. We extend this additively to a map $R(G) \to \operatorname{Perm}(G)$.

could add some examples of when C(G) is trivial

1.2 The Burnside ring and permutation relations

Let G be a finite group. Recall that there is a bijection between the isomorphism classes of transitive finite G-sets and the conjugacy classes of subgroups $H \leq G$, given by sending a transitive G-set X to $H = \operatorname{Stab}_G(x)$ for some $x \in X$. Then the action of G on X is equivalent to the action of G on G/H.

Definition 1.2. Let [X] denote the isomorphism class of a G-set X. The **Burnside ring** B(G) is the free abelian group on isomorphism classes of finite G-sets, modulo the relations $[S] + [T] = [S \sqcup T]$. This is a ring; multiplication is given by $[S] \cdot [T] = [S \times T]$. Using the identification of finite G-sets with subgroups of G, we write elements of B(G) as $\sum_i n_i H_i$ for $n_i \in \mathbb{Z}$, $H_i \leq G$.

Notation 1.3. There is a homomorphism from the Burnside ring to the rational representation ring $R_{\mathbb{Q}}(G)$ of G given by taking the corresponding permutation representation:

$$\mathbb{C}[-] \colon B(G) \to \mathrm{Perm}(G), \qquad \Theta = \sum_{i} n_{i} H_{i} \ \mapsto \ \mathbb{C}[\Theta] = \sum_{i} n_{i} \operatorname{Ind}_{H_{i}}^{G} \mathbb{1}_{H_{i}}.$$

Elements in the kernel of this map are known as **Brauer relations**. These show instances of non-isomorphic G-sets giving rise to isomorphic permutation representations.

Example 1.4. S_3 example

Example 1.5. Cyclic groups have no Brauer relations.

In the last section, we constructed a character in Perm(G) for $\rho \in R(G)$. We are interested in when this is an image of an element from the Burnside ring.

Definition 1.6. We call $\Theta = \sum_i n_i H_i \in B(G)$ a ρ -relation if $\mathbb{C}[\Theta] \simeq \widetilde{\rho}^{\oplus m}$, where m is the order of $\widetilde{\rho}$ in C(G).

There are #(Brauer relations) +1 such elements Θ expand on why?. Of course, when $\rho = 0$ these are Brauer relations.

Example 1.7. Let $G = C_n$. For each $d \mid n$, let $\chi_d = \widetilde{\varphi_d}$, where φ_d is an irreducible complex character of G with field of values $\mathbb{Q}(\zeta_d)$ and kernel of index d. Then $\{\chi_d : d \mid n\}$ form an orthogonal basis for the irreducible rational-valued representations of G. Note that $\operatorname{Ind}_{C_{n/d}}^G \mathbb{1}$ is the direct sum of irreducible complex representations of G.

contain $C_{n/d}$ in their kernel. Thus, $\operatorname{Ind}_{C_{n/d}}^G \mathbb{1} \simeq \sum_{d' \mid d} \chi_{d'}$. Applying Möbius inversion, we obtain the *unique* φ_d -relation for each $d \mid n$:

$$\chi_d = \sum_{d'|d} \mu(d/d') \cdot \operatorname{Ind}_{C_{n/d}}^G \mathbb{1}.$$

Notation 1.8. For $D \leq G$, define maps $\operatorname{Res}_D : B(G) \to B(D)$ and $\operatorname{Ind}_D : B(D) \to B(G)$ by

$$\operatorname{Res}_D H = \sum_{x \in H \setminus G/D} D \cap H^{x^{-1}}, \qquad \operatorname{Ind}_D H = H.$$

These correspond to the representation theory side, where $\operatorname{Res}_D\operatorname{Ind}_H^G\mathbbm{1}=\sum_{x\in H\setminus G/D}\operatorname{Ind}_{D\cap H^{x^{-1}}}^D\mathbbm{1}$ (Mackey's decomposition), and $\operatorname{Ind}_D^G\operatorname{Ind}_H^D\mathbbm{1}=\operatorname{Ind}_H^G\mathbbm{1}$.

1.3 Functions on the Burnside ring and norm relations

Consider a multiplicative function $f: B(G) \to A$, where A is an abelian group. As in [DD09], say that f is **representation theoretic** if f is trivial on Brauer relations. This means that for a G-set X, f only depends on the representation $\mathbb{C}[X]$.

Example 1.9. Let V be a representation of G. The function $\psi(H) = \dim V^H$ is trivial on Brauer relations, as $\dim V^H = \langle \operatorname{Res}_H V, \mathbb{1}_H \rangle = \langle V, \operatorname{Ind}_H^G \mathbb{1} \rangle$ by Frobenius reciprocity.

We want to extend this notion and consider functions that are trivial on ρ -relations. Take a multiplicative function on the Burnside ring of the form $\psi \colon B(G) \to \mathbb{Q}^{\times}$. Given $\rho \in R_{\mathbb{C}}(G)$ we can extend such functions from the Burnside ring to $\overline{\psi} \colon B(G) \to \mathbb{Q}^{\times}/N_{\mathbb{Q}(\rho)/\mathbb{Q}}(\mathbb{Q}(\rho)^{\times})$. try motivate this a bit better, e.g. why do we expect functions to give norms... try relate this back to introduction

Definition 1.10. If $\Theta \in \ker \overline{\psi}$, then $\psi(\Theta)$ is the norm of an element from $\mathbb{Q}(\rho)^{\times}$. We call an instance of this a norm relation.

Definition 1.11. We say two functions ψ , ψ' are ρ -equivalent, written $\psi \sim_{\rho} \psi'$, if $\overline{\psi/\psi'}$ is trivial on all ρ -relations. Equivalently, $\psi(\Theta)/\psi'(\Theta)$ is a norm relation for all ρ -relations Θ .

If a function f satisfies $f \sim_{\rho} 1$, we say f is trivial on ρ -relations.

Example 1.12. Let $G = C_p$ for p a prime. Let ρ be a character of degree p. There is a unique ρ -relation given by $\Theta = C_1 - C_p$. Let $\psi(H) = [G: H]$. Then $\psi(\Theta) = p$, which is a norm from $\mathbb{Q}(\sqrt{p^*}) \subset \mathbb{Q}(\zeta_p)$ by Corollary A.13.

Example 1.13. Let $\mathbb{Q}(\rho)$ be a quadratic field. Then if $f: B(G) \to \mathbb{Q}^{\times}$ satisfies $f(\Theta) \in \mathbb{Q}^{\times 2}$ for all ρ -relations Θ , one has $f \sim_{\rho} 1$.

Example 1.14. Let E/\mathbb{Q} be an elliptic curve, $G = \operatorname{Gal}(F/\mathbb{Q})$ for F/\mathbb{Q} a Galois extension. For $H \leq G$, the function $\psi \colon H \mapsto C(E/F^H)$ extends to a multiplicative function on the Burnside ring. Given a representation ρ of G, one can ask when $\psi \sim_{\rho} 1$.

1.4 D-local functions

For our application of functions on the Burnside ring to reference something in intro, we introduce some of the concepts and notation introduced in [DD09, Section 2.iii].

We are interested in functions on the Burnside ring that are number-theoretic in nature, where we take G to be a Galois group. Often, these functions are local. For example, let $G = \operatorname{Gal}(F/K)$ and D the decomposition group at the place v. For $H \leq G$, the number of primes in $L = F^H$ above v are in one-to-one correspondence with the double cosets $D\backslash H/G$. We can use the function $f: B(G) \to \mathbb{Q}[x]^{\times}$ given by $H \mapsto x^{|H\backslash G/D|}$ to describe the number of places above v in any intermediate extension of F/K. But if we let $g: B(D) \to \mathbb{Q}[x]^{\times}$ be defined by $H \mapsto x$, then

$$f(H) = g\left(\operatorname{Res}_D H\right) = \prod_{x \in H \setminus G/D} g(D \cap H^{x^{-1}}).$$

Therefore the value of f on any G-set X only depends on the structure of X as a D-set.

Such functions motivate the following definition:

Definition 1.15. ([DD09, Definition 2.33]) If $D \leq G$, we say a function f on B(G) is D-local if there is a function f_D on B(D) such that $f(H) = f_D(\operatorname{Res}_D H)$ for $H \leq G$. If this is the case, we write

$$f = (D, f_D).$$

Example 1.16. For G = Gal(F/K), v a place of K with decomposition group D, the function

$$H \mapsto \prod_{w|v} c_w(E/F^H)$$

is D-local, where E is an elliptic curve over K and c_w is the local Tamagawa number.

Let $I \triangleleft D$ be the inertia subgroup of the place v, so D/I is cyclic. If a prime w in F^H corresponds to the double coset HxD, then its decomposition and inertia groups in F/F^H are $H \cap D^x$ and $H \cap I^x$ respectively. The ramification degree and residue degree of w over K are given by $e_w = \frac{|I|}{|H \cap I^x|}$ and $f_w = \frac{[D:I]}{[H \cap D^x:H \cap I^x]}$. We will consider functions that depend on e and f, and so introduce the following:

Definition 1.17. [DD09, Definition 2.35] Suppose $I \triangleleft D < G$ with D/I cyclic, and $\psi(e, f)$ is a function of $e, f \in \mathbb{N}$. Define a function on B(G) by

$$(D,I,\psi): \quad H \mapsto \prod_{x \in H \backslash G/D} \psi\left(\frac{|I|}{|H \cap I^x|}, \frac{[D:I]}{[H \cap D^x:H \cap I^x]}\right).$$

This is a D-local function on B(G) with

$$(D,I,\psi) = \left(D,U \mapsto \psi(\frac{|I|}{|U \cap I},\frac{|D|}{|UI|})\right).$$

Example 1.18. If E/K has split multiplicative reduction at v with $c_v(E/K) = n$, then $c_w(E/F^H) = e_w n$ for a place w of F^H above v. In this case the function in example 1.16 is (D, I, e).

Example 1.19. Let $\rho = 0$. If W is a group of odd order, then $(W, W, e) \sim 1$ as functions to $\mathbb{Q}^{\times}/\mathbb{Q}^{\times 2}$. More generally if D has odd order and $I \triangleleft D$ then $(D, I, e) \sim_{\rho} 1$. explain and reference

Question: do i need to be worried about m when i restrict a representation? do i need to adjust my definition of rho relations?

Proposition 1.20. Let $I \triangleleft D \leq G$ with D/I cyclic. Let $\rho \in R(G)$ Then

- 1. If $f = (D, f_D)$ and f_D is trivial on $(\operatorname{Res}_D \rho)$ -relations, then f is trivial on ρ -relations.
- 2. maybe more things to say here. See e.g. [DD09, Theorem 2.36]

2 Representations, L-functions and Artin Twists

2.1 Artin Representations and ℓ -adic Representations

The Birch-Swinnerton-Dyer conjecture classically provides a connection between the arithmetic of elliptic curves and their L-functions. In this prelimitary section, we explore the classical definition of L-functions attached to an elliptic curve and their twists, and we explore some of the relevant properties that we will use later on. To do so, we first need to explore the notion of an Artin representation and of an ℓ -adic representation.

Throughout this section we fix a field K, which will either be a number field or a local field of characteristic 0. We also fix an algebraic closure \hat{K} of K and we denote by G_K the absolute galois group $Gal(\bar{K}/K)$ of K. We recall that G_K is a profinite group

$$G_K = \varprojlim_F \operatorname{Gal}(F/K),$$

where F ranges over the finite Galois extensions of K and therefore has a natural topology where a basis of open sets is given by $Gal(\bar{K}/F)$ where F is a finite extension of K.

Definition 2.1. Let K be a number field or a local field with characteristic 0. An **Artin representation** ρ over K is a complex finite-dimensional vector space V together with a homomorphism $\rho: G_K \to \mathrm{GL}(V) = \mathrm{GL}_n(\mathbb{C})$ such that there is some finite Galois extension F/K with $\mathrm{Gal}(\bar{K}/F) \subseteq \ker \rho$. In other words, ρ factors through $\mathrm{Gal}(F/K)$ for some finite extension F of K.

Hence, an Artin representation can be equivalently viewed as a finite dimensional representation of Gal(F/K) where F is some finite Galois extension of K. Throughout the document, we will use both notions depending of the context, and refer to either of them as Artin representations.

Remark 2.2. The condition above that $\operatorname{Gal}(\bar{K}/F) \subseteq \ker \rho$ is equivalent to $\ker \rho$ being open in G_K . This clearly implies that ρ is a continuous homomorphism of topological groups. Surprisingly, the converse is also true: a continuous homomorphism $\rho: G_K \to \operatorname{GL}_n(\mathbb{C})$ has open kernel. The proof of this result relies on the fact that 'small' neighbourhoods of the identity in $\operatorname{GL}(V) = \operatorname{GL}_n(\mathbb{C})$ do not contain any non-trivial subgroups. Hence, if $\phi: G_K \to \operatorname{GL}(V)$ is continuous and U is such a neighbourhood in $\operatorname{GL}(V)$, then $\phi^{-1}(U) \subseteq \ker \phi$ and $\phi^{-1}(U)$ is open, showing that $\ker \rho$ is open too. Hence the above condition is equivalent to continuity of ρ with respect to the natural topologies.

Next, we define the notion of an ℓ -adic representation, which will be needed to define the L-function of an elliptic curve.

Definition 2.3. Let K be a number field or a local field of characteristic 0. A **continuous** ℓ -adic representation ρ over K is a continuous homomorphism $\rho: G_K \to \mathrm{GL}_n(F)$ where F is a finite extension of \mathbb{Q}_{ℓ} .

Remark 2.4. The topologies on $GL_n(\mathbb{C})$ and $GL_n(\mathbb{Q}_\ell)$ are very different, and in particular and ℓ -adic representation may not have an open kernel. Instead, continuity is equivalent to the following condition: for every $m \geq 1$, there is some finite field extension F_m of K such that for all $g \in Gal(\bar{K}/F_m)$, $\rho(g) \equiv Id_n \pmod{\ell^m}$.

Given an Artin representation ρ , one can view it as homomorphism $\rho: G_K \to \operatorname{GL}_n(\overline{\mathbb{Q}})$ and since it factors through a finite quotient, we can realise it as $\rho: G_K \to \operatorname{GL}_n(F)$ for some number field F. Hence, if ℓ is any rational prime and \mathfrak{l} is a prime in F above ℓ , then one can realise ρ as an ℓ -adic representation

$$\rho: G_K \longrightarrow \mathrm{GL}_n(F_{\mathfrak{l}}),$$

which is continuous since ρ factors through a finite quotient. Furthermore, Artin and ℓ -adic representations over K have more structure; namely, one can take **direct sums** and **tensor products**.

We describe the construction for Artin representations, since the ℓ -adic case is completely analogous. Suppose we have two Artin representations ρ_1, ρ_2 over K, and by the discussion on the preceding paragraph we can realise them as maps $\rho_i: G_K \to \operatorname{GL}_{n_i}(L_i)$, i=1,2 where L_1 and L_2 are number fields. If we let $L=L_1L_2$, then the natural maps $\rho_1 \oplus \rho_2: G_K \to \operatorname{GL}_{n_1+n_2}(L)$ and $\rho_1 \otimes \rho_2: G_K \to \operatorname{GL}_{n_1n_2}(L)$ are both Artin representations. One can also show that this construction is also well-defined up to equivalence.

2.2 Local Polynomials and L-functions

We now briefly discuss how to attach analytic objects to Artin and ℓ -adic reperesentations. These objects are usually described locally first, and then this local information is put together to get a global object.

To begin, let K be a local field with 0 characteristic and let p be the characteristic of the residue field κ . Let $\rho: G_K \to \operatorname{GL}(V)$ be an Artin or ℓ -adic representation such that $\ell \neq p$ (this is an important technical assumption that we will not discuss further). By the **section on algebraic number theory** we have a short exact sequence

$$0 \longrightarrow I_K \longrightarrow \operatorname{Gal}(\bar{K}/K) \stackrel{\epsilon}{\longrightarrow} \operatorname{Gal}(\bar{\kappa}/\kappa) \cong \tilde{\mathbb{Z}} \longrightarrow 0,$$

where under the last isomorphism $1 \in \mathbb{Z}$ corresponds to the map $\phi : \bar{\kappa} \to \bar{\kappa}$ where $x \mapsto x^p$ and this map is a topological generator of $Gal(\bar{\kappa}/\kappa)$. Any preimage of ϕ under ϵ is called a Frobenius element $Frob_K$ and it is therefore well-defined up to I_K . Furthermore, the space of intertia-invariants

$$V^{I_K} := \{ v \in V : \rho(g)v = v \text{ for all } g \in I_K \}$$

is naturally a G_K/I_K representation, which we denote ρ^{I_K} . we are now ready to define the local polynomial attached to ρ .

Definition 2.5. Let K be a local field of characteristic 0 and let p the characteristic of its local field. If ρ is an Artin or ℓ -adic representation such that $\ell \neq p$. Then the local polynomial attached to ρ is

$$P(\rho, T) := \det \left(I - T \cdot \rho^{I_K} \left(\operatorname{Frob}_K^{-1} \right) \right).$$

If K is instead a number field, the idea is to consider all finite places of K and consider all the local polynomials attached to all local completions of K to build the corresponding L-function. More concretely, let $\rho: G_K \to \operatorname{GL}(V)$ be an Artin or ℓ -adic representation and let \mathfrak{p} be a finite place of K and $K_{\mathfrak{p}}$ be the corresponding completion. Since $G_{K_{\mathfrak{p}}} = \operatorname{Gal}(\bar{K_{\mathfrak{p}}}/K_{\mathfrak{p}})$ is naturally a subgroup of G_K , we can restrict ρ to

 $\operatorname{Res}_{\mathfrak{p}} \rho: G_{K_{\mathfrak{p}}} \to \operatorname{GL}(V)$ and then calculate the corresponding local polynomial as long as \mathfrak{p} and ℓ are coprime. If ρ is an Artin representation, this allows us to construct the associalted L-function.

Definition 2.6. Let K be a number field and ρ an Artin representation over K. If \mathfrak{p} is a finite place of K, we denote the local polynomial at \mathfrak{p} as

$$P_{\mathfrak{p}}(\rho,T) := P(\operatorname{Res}_{\mathfrak{p}} \rho, T).$$

The associated L-function to ρ is

$$L(\rho, s) := \prod_{\mathfrak{p} \text{ prime}} \frac{1}{P_{\mathfrak{p}}(\rho, N(\mathfrak{p})^{-s})}.$$

However, if ρ is an *ell*-adic representation, constructing a global object is harder, since the above method does not yield information at the finite places \mathfrak{p} that divide ℓ . This motivates the following important definition.

Definition 2.7. Let $\{\rho_{\ell}\}_{\ell \text{ prime}}$ be a family of ℓ -adic representations for each prime ℓ . We then say that $\{\rho_{\ell}\}_{\ell}$ is a **weakly compatible system of** ℓ -adic representations if for every finite place \mathfrak{p} of K and rational primes ℓ , ℓ' not divisible \mathfrak{p} ,

$$P_{\mathfrak{p}}(\rho_{\ell},T) = P_{\mathfrak{p}}(\rho_{\ell'},T)$$

.

When $\{\rho_{\ell}\}_{\ell}$ is a weakly compatible system of ℓ -adic representations, the local polynomial $P_{\mathfrak{p}}(\rho_{\ell}, T)$ can be computed using any ℓ not divisible by \mathfrak{p} . This also allows us to define the L-function in this context.

Definition 2.8. Let K be a number field and let $\{\rho_{\ell}\}_{\ell}$ be a weakly compatible system of ℓ -adic representations. Then the L-function attached to the system is

$$L(\{\rho_{\ell}\}_{\ell}, s) = \prod_{\mathfrak{p} \text{ prime}} \frac{1}{P_{\mathfrak{p}}(\{\rho_{\ell}\}, N(\mathfrak{p})^{-s})}.$$

2.3 The Tate Module of an Elliptic Curve and their L-function

Let K be a number field or a local field with characteristic 0 (Maybe for this section we should only assume K is a number field? Otherwise I don't know if it makes sense to talk about their L-function) and fix an algebraic closure \bar{K} of K. Let E be an elliptic curve defined over K. To avoid notational confusion, whenever we write E we refer to all of its \bar{K} points, while E(K) refers only to the K-rational points. The aim of this section is to describe a procedure to attach an L-function to a given elliptic curve over K. In order to achieve this, we will first construct a 2-dimensional ℓ -adic representation attached to E, and then construct the L-function as described in the section above. Let ℓ be a rational prime number. For any $n \geq 1$, we denote by $E[\ell^n]$ to be the ℓ^n -torsion points; in other words, $E[\ell^n]$ is the kernel of the map $E[\ell^n]: E \to E$. We then have the diagram of compatible maps

$$\longrightarrow E[\ell^{n+1}] \xrightarrow{[\ell]} E[\ell^n] \xrightarrow{[\ell]} \cdots \xrightarrow{[\ell]} E[\ell^2] \xrightarrow{[\ell]} E[\ell] \xrightarrow{[\ell]} \{\mathscr{O}_E\}$$

and therefore we can construct the inverse limit of this diagram

$$T_{\ell}(E) := \varprojlim_{n} E[\ell^{n}],$$

denoted as the ℓ -adic Tate module of the elliptic curve E. By the uniformization theorem, we know that

$$E[\ell^n] \cong \frac{\mathbb{Z}}{\ell^n \mathbb{Z}} \oplus \frac{\mathbb{Z}}{\ell^n \mathbb{Z}}$$

as groups, and therefore

$$T_{\ell}(E) \cong \mathbb{Z}_{\ell} \oplus \mathbb{Z}_{\ell}$$

as \mathbb{Z}_{ℓ} -modules. In addition, the Tate module carries important extra structure, namely the action of the absolute Galois group G_K . Since E is defined over K, and the multiplication by m maps are determined by polynomials with coefficients in K, there is a well-defined additive action $\psi_n: G_K \to \operatorname{Aut}_{\mathbb{Z}}(E[\ell^n])$. Furthermore, one can show that this actions are compatible with the inverse limit diagram of the Tate module. That is, for every $n \geq 1$ and $\sigma \in G_K$, the diagram

$$E[\ell^{n+1}] \xrightarrow{\ell} E[\ell^n]$$

$$\downarrow \psi_{n+1}(\sigma) \qquad \downarrow \psi_n(\sigma)$$

$$E[\ell^{n+1}] \xrightarrow{\ell} E[\ell^n]$$

commutes. Therefore, the actions ψ_n induce an action of G_K on $T_\ell(E)$ and since $T_\ell(E) \cong \mathbb{Z}_\ell \oplus \mathbb{Z}_\ell$, this corresponds to a 2-dimensional ℓ -adic representations

$$\psi_{E,\ell}: G_K \longrightarrow \mathrm{GL}_2(\mathbb{Z}_\ell) \subseteq \mathrm{GL}_2(\mathbb{Q}_\ell).$$

We will also denote from now on $\rho_{E,\ell}$ to be the dual representation of $\psi_{E,\ell}$. For technical reasons we will not discuss, the *L*-function is tipycally constructed using the later ones.

Remark 2.9. The representation above does indeed satisfy the conditions in Remark 2.4. In particular, given any $n \geq 1$, the field $F_n := K(E[\ell^n])$ is a finite extension of K since it is obtained by attaching finitely many algebraic numbers. By construction, $\operatorname{Gal}(\bar{K}/F_n)$ acts trivially on $E[\ell^n]$ and thus $\rho_{E,\ell}(g) \equiv \operatorname{Id} \pmod{\ell^n}$ for all $g \in \operatorname{Gal}(\bar{K}/F_n)$.

Of course, the above construction can be followed by any rational prime ℓ , and this gives a family $\{\rho_{E,\ell}\}_{\ell}$. To build an L-function as described in the section above, we would need this family to be weakly compatible. Thankfully, this and much more is true, and the next theorem collects the relevant results.

Theorem 2.10. Let E be an elliptic curve over a number field K and $\rho_{E,\ell}$ be the dual representation on $T_{\ell}(E)$. For every finite place \mathfrak{p} of K, let $\kappa_{\mathfrak{p}}$ be the residue field of $K_{\mathfrak{p}}$, $q_{\mathfrak{p}} = |\kappa_{\mathfrak{p}}|$ and $a_{\mathfrak{p}} = 1 + q_{\mathfrak{p}} - |\tilde{E}(\kappa_{\mathfrak{p}})|$. Then for any \mathfrak{p} not diving ℓ ,

$$P_{\mathfrak{p}}(\rho_{E,\ell},T) = 1 - a_{\mathfrak{p}}T + q_{p}T^{2}, \quad \text{if } E/K_{\mathfrak{p}} \text{ has good reduction,}$$

$$= 1 - T, \qquad \qquad \text{if } E/K_{\mathfrak{p}} \text{ has split multiplicative reduction,}$$

$$= 1 + T, \qquad \qquad \text{if } E/K_{\mathfrak{p}} \text{ has non-split multiplicative reduction,}$$

$$= 1, \qquad \qquad \text{if } E/K_{\mathfrak{p}} \text{ has additive reduction.}$$

In particular, for any ℓ, ℓ' not divisible by \mathfrak{p} ,

$$P_{\mathfrak{p}}(\rho_{E,\ell},T) = P_{\mathfrak{p}}(\rho_{E,\ell'},T),$$

and so $\{\rho_{E,\ell}\}$ is a weakly compatible system of ℓ -adic representations.

This allows us to define the L-function of an elliptic curve as above.

Definition 2.11. Let E be an elliptic curve over K. Then the L-function attached to E is

$$L(E/K, s) = L(\{\rho_{E,\ell}\}, s) = \prod_{\mathfrak{p} \text{ prime}} \frac{1}{P_{\mathfrak{p}}(\rho_{E,\ell}, N(p)^{-s})}$$

2.4 Artin Twists of L-functions of Elliptic Curves

We have already seen that given an elliptic curve over a number field K, one can construct the L-function L(E/K,s). However, given an Artin representation ρ over K, it is possible to attach more analytic objects, with remarkable arithmetic properties. We outline the main results below, without proofs. **Insert here relevant reference**.

Fix some number field K, an elliptic curve E over K and an Artin representation ρ . Then, similarly to the previous section, it is possible to show that $\{\rho_{E,\ell}\otimes\rho\}_{\ell}$ is also a weakly compatible system of ℓ -adic representations. The corresponding L-function

$$L(E, \rho, s) = L(\{\rho_{E,\ell} \otimes \rho\}, s)$$

is denoted as the **Artin-twist** of L(E, s) by ρ . These objects have remarkable (both proven and conjectural) properties that we describe now.

Theorem 2.12 (Artin Formalism). Let E be an elliptic curve over a number field K.

1. For Artin representations ρ_1, ρ_2 over K,

$$L(\rho_1 \oplus \rho_2, s) = L(\rho_1, s)L(\rho_2, s)$$
 and $L(E/K, \rho_1 \oplus \rho_2, s) = L(E/K, \rho_1, s)L(E/K, \rho_2, s)$

2. If L/K is a finite extension and ρ is an Artin representation over L, then $\operatorname{Ind}_{G_L}^{G_K} \rho$ is an Artin representation over K and

$$L(\rho,s) = L(\operatorname{Ind}_{G_L}^{G_K} \rho,s) \quad and \quad L(E/L,\rho,s) = L(E/L,\operatorname{Ind}_{G_L}^{G_K} \rho,s).$$

3. If L/K is a finite extension as above and

$$\operatorname{Ind}_{G_L}^{G_K} \mathbb{1} \cong \bigoplus_i \rho_i,$$

then

$$L(E/L, s) = \prod_{i} L(E/K, \rho_i, s).$$

To simply notation, given any Artin representation ρ over L we will write $\operatorname{Ind}_{L/K} \rho$ instead of $\operatorname{Ind}_{G_L}^{G_K} \rho$. Furthermore if F is a finite Galois extension of K such that ρ factors through $\operatorname{Gal}(F/L)$, then $\operatorname{Ind}_{L/K} \rho$ factors through $\operatorname{Gal}(F/K)$ and

$$\operatorname{Ind}_{L/K} \rho \cong \operatorname{Ind}_{\operatorname{Gal}(F/L)}^{\operatorname{Gal}(F/K)} \rho.$$

Furthermore, as mentioned after Remark 2.4, by fixing some basis \mathscr{B} of V any Artin representation ρ can be viewed as a representation $\rho: G_K \to \mathrm{GL}_n(F)$ for some number field F. The smallest such field is the **field of values** of ρ and denoted by $\mathbb{Q}(\rho)$. Any $\sigma \in \mathrm{Gal}(\mathbb{Q}(\rho)/\mathbb{Q})$ induces a homomorphism $\sigma: \mathrm{GL}_n(\mathbb{Q}(\rho)) \to \mathrm{GL}_n(\mathbb{Q}(\rho))$ and also a map

$$\rho^{\sigma}: G_K \longrightarrow \mathrm{GL}_n(F)$$

$$g \longmapsto \sigma(\rho(g)),$$

which is another Artin representation, denoted as the twist of ρ by σ .

Conjecture 2.13 (Galois Equivariance of L-Twists). I need to check the precise statement of this result. This may need to come after the discussion on BSD.

3 Birch and Swinnerton-Dyer and Other Conjectures

The Birch-Swinnerton-Dyer conjecture classically provides a connection between the arithmetic of elliptic curves and their *L*-functions. We have already investigated the construction and main results of the '*L*-functions side', and now we turn out attention to statement of the conjecture and towards understanding the arithmetic terms present in the conjecture.

Conjecture 3.1 (BSD). Let E be an elliptic curve over a number field K. Then

BSD1. The rank of the Mordell-Weil group of E over K equals the order of vanishing of the L-function; that is,

$$\operatorname{ord}_{s=1} L(E/K, s) = \operatorname{rk} E/K.$$

BSD2. The leading term of the Taylor series at s=1 of the L-function is given by

$$\lim_{s \to 1} \frac{L(E/K, s)}{(s-1)^r} \cdot \frac{\sqrt{|\Delta_K|}}{\Omega_+(E)^{r_1 + r_2} |\Omega_-(E)|^{r_2}} = \frac{\text{Reg}_{E/K} |\text{III}_{E/K}| C_{E/K}}{|E(K)_{tors}|^2}.$$
 (1)

Many arithmetic invariants appear as part of the statement of BSD2, and it is worth exploring them briefly. The way we have organised the terms is not arbitrary, and in fact we give specific notation to both sides of the equation.

Notation 3.2. Let E/\mathbb{Q} be a number field and K a number field. We define

$$\mathscr{L}(E/F) = \lim_{s \to 1} \frac{L(E/K, s)}{(s-1)^r} \cdot \frac{\sqrt{|\Delta_K|}}{\Omega_+(E)^{r_1 + r_2} |\Omega_-(E)|^{r_2}}$$

and

$$BSD(E/F) = \frac{\operatorname{Reg}_{E/K} |III_{E/K}| C_{E/K}}{|E(K)_{tors}|^2}$$

A natural question to ask at this point is whether there is a conjectural analogue to the above for the Artin twists of L-functions. The analogue of BSD 1 is known in this case, which is directly compatible with Artin formalism.

Conjecture 3.3 (BSD1 for Twists). Let E/\mathbb{Q} be an elliptic curve, ρ an Artin representation and K any Galois extension over \mathbb{Q} such that ρ factors through $G = \operatorname{Gal}(K/\mathbb{Q})$. Then

$$\operatorname{ord}_{s=1} L(E, \rho, s) = \langle \rho, E(K)_{\mathbb{C}} \rangle_{G}$$

maybe delete this last sentence. where ρ and $E(K)_{\mathbb{C}} = E(K) \otimes_{\mathbb{Z}} \mathbb{C}$ are viewed as representations of G.

Unfortunately, a conjectural analogue for BSD 2 is not known. The problem is the lack of an analogue for the term BSD(E/F) as above. However, there is indeed an important analogue of the term $\mathcal{L}(E/F)$ in this setting.

Notation 3.4. Let E/\mathbb{Q} be an elliptic curve and ρ an Artin representation over \mathbb{Q} . We define

$$\mathscr{L}(E,\rho) = \lim_{s \to 1} \frac{L(E,\rho,s)}{(s-1)^r} \cdot \frac{\sqrt{\mathfrak{f}_{\rho}}}{\Omega_{+}(E)^{d^{+}(\rho)} |\Omega_{-}(E)|^{d^{-}(\rho)} \omega_{\rho}},$$

where $r = \operatorname{ord}_{s=1} L(E, \rho, s)$ is the order of the zero at s = 1, f_{ρ} is the conductor of ρ , and $d^{\pm}(\rho)$ are the dimensions of the ± 1 -eigenspaces of complex conjugation in its action on ρ .

Even though the precise conjectural expression of the $BSD(E, \rho)$ is not known, they conjecturally satisfy many important properties. The next conjecture lists some of these properties.

Conjecture 3.5. [DEW21, Conjecture 4] Let E/\mathbb{Q} be an elliptic curve. For every Artin representation ρ over \mathbb{Q} there is an invariant $BSD(E,\rho) \in \mathbb{C}^{\times}$ with the following properties. Let ρ and τ be Artin representations and K a finite extension of \mathbb{Q} such that ρ and τ factor through $Gal(K/\mathbb{Q})$.

- C1. BSD $(E/F) = BSD(E, Ind_{F/\mathbb{Q}} \mathbb{1})$ for a number field F (and $III_{E/F}$ is finite).
- C2. BSD $(E, \rho \oplus \tau) = BSD(E, \rho)BSD(E, \tau)$.
- **C3.** BSD $(E, \rho) = BSD(E, \rho^*) \cdot (-1)^r \omega_{E, \rho} \omega_{\rho}^{-2}$, where $r = \langle \rho, E(K)_{\mathbb{C}} \rangle$.
- **C4.** If ρ is self-dual, then $BSD(E, \rho) \in \mathbb{R}$ and sign $BSD(E, \rho) = \text{sign } \omega_{\rho}$. If $\langle \rho, E(K)_{\mathbb{C}} \rangle = 0$, then moreover:
- **C5.** BSD $(E, \rho) \in \mathbb{Q}(\rho)^{\times}$ and BSD $(E, \rho^g) = BSD(E, \rho)^g$ for all $g \in Gal(\mathbb{Q}(\rho)/\mathbb{Q})$.
- **C6.** If ρ is a non-trivial primitive Dirichlet character of order d, and either the conductors of E and ρ are coprime or E is semistable and has no non-trivial isogenies over \mathbb{Q} , then $BSD(E, \rho) \in \mathbb{Z}[\zeta_d]$.

The great advantage of the above conjecture is that it is free of L-functions since only the 'arithmetic' BSD(E/F) terms appear. Conditional to some well-known conjectures, Conjecture 3.5 holds.

Theorem 3.6. [DEW21, Theorem 5] Conjecture 4 holds with $BSD(E, \rho) = \mathcal{L}(E, \rho)$ assuming the analytic continuation of L-functions $L(E, \rho, s)$, their functional equation, the Birch-Swinnerton-Dyer conjecture, Deligne's period conjecture, Stevens's Manin constant conjecture for E/\mathbb{Q} and the Riemann hypothesis for $L(E, \rho, s)$.

4 Predicting Positive Rank

At this point, we aim to study the arithmetic applications of Conjecture 3.5. Some of these applications are already studied in [DEW21, §3], and it allows to predict non-trivial interplay of the primary parts of the Tate-Shafarevich group of families of elliptic curves, non-trivial Selmer groups and even positive rank. All of these results appear not to be tractable with other common current methods.

The most interesting case is the prediction of positive rank for families of elliptic curves on certain number fields. We illustrate the proof of the main result that predict positive rank conditional on Conjecture 3.5. Let F be a Galois extension over \mathbb{Q} and let $G = \operatorname{Gal}(F/\mathbb{Q})$. Let E/\mathbb{Q} be an elliptic curve and let ρ be an irreducible representation over G, which we view as an Artin representation. Then the representation

$$\bigoplus_{\mathfrak{g}\in\mathrm{Gal}(\mathbb{Q}(\rho)/\mathbb{Q})}\rho^{\mathfrak{g}}$$

has \mathbb{Q} -valued character and therefore there is some $m \geq 1$ and subfields F_i, F'_j such that

$$\left(\bigoplus_{\mathfrak{g}\in\operatorname{Gal}(\mathbb{Q}(\rho)/\mathbb{Q})}\rho^{\mathfrak{g}}\right)^m\oplus\bigoplus_{j}\operatorname{Ind}_{F'_{j}/\mathbb{Q}}\mathbb{1}=\bigoplus_{i}\operatorname{Ind}_{F_{i}/\mathbb{Q}}\mathbb{1}.$$

Assume that $\operatorname{rk} E/F = 0$ so that in particular $\langle \rho, E(F)_{\mathbb{C}} \rangle_G = 0$. Therefore (C1), (C2) and (C5) from Conjecture 3.5 imply that

$$\frac{\prod_{i} \operatorname{BSD}(E/F_{i})}{\prod_{j} \operatorname{BSD}(E/F'_{j})} = \frac{\prod_{i} \operatorname{BSD}(E, \operatorname{Ind}_{F_{i}/\mathbb{Q}} \mathbb{1})}{\prod_{j} \operatorname{BSD}(E, \operatorname{Ind}_{F'_{j}/\mathbb{Q}} \mathbb{1})} = \left(\prod_{\mathfrak{g} \in \operatorname{Gal}(\mathbb{Q}(\rho)/\mathbb{Q})} \operatorname{BSD}(E, \rho)^{\mathfrak{g}}\right)^{m}$$
(2)

and the right-hand side is clearly the m-th power of a norm of an element in $\mathbb{Q}(\rho)$.

The product of BSD terms on the LHS of (2) involve regulators, the torsion subgroups, the Tate-Shafarevich groups and the terms $C_{E/F}$ which are the product of local factors. Under the assumption that rk E/F = 0, the regulators vanish from the product. In general, it is very difficult to deal with the size of the Tate-Shafarevich group for families of elliptic curves, and therefore very difficult to know if the LHS is an m-th power the norm of an element in $\mathbb{Q}(\rho)$. However, not all hope is lost, since Cassel's proved the following.

Theorem 4.1. Let E be an elliptic curve over a number field K. If $\coprod_{E/K}$ is finite, then $|\coprod_{E/K}|$ is a square.

Rational squares are not necessarily the norms of general number fields, but they are always norms of quadratic number fields. Furthermore, if $\mathbb{Q}(\sqrt{D})$ is a quadratic subfield fo $\mathbb{Q}(\rho)$, then the RHS of (2) is also the norm of an element of $\mathbb{Q}(\sqrt{D})$ and a rational square if m is even. Under the assumption of finiteness of III, we know that $|\mathrm{III}_{E/F}|$ and $|E(F)_{tors}|^2$ are rational squares and therefore norms of $\mathbb{Q}(\sqrt{D})$. The only remaining terms on the LHS of (2) are the product of local factors C_{E/F_i} and C_{E/F'_j} . We have therefore proven the following.

Theorem 4.2. [DEW21, Theorem 33] Suppose Conjecture 3.5 holds. Let E/\mathbb{Q} be an elliptic curve, F/\mathbb{Q} a finite Galois extension with Galois group G, ρ an irreducible representation of G and

$$\left(\bigoplus_{\mathfrak{g}\in\operatorname{Gal}(\mathbb{Q}(\rho)/\mathbb{Q})}\rho^{\mathfrak{g}}\right)^{m}=\bigoplus_{i}\operatorname{Ind}_{F_{i}/\mathbb{Q}}\mathbb{1}\ominus\bigoplus_{j}\operatorname{Ind}_{F'_{j}/\mathbb{Q}}\mathbb{1}$$

for some $m \geq 1$ and subfields $F_i, F'_j \subseteq F$. If either $\frac{\prod_i C_{E/F_i}}{\prod_j C_{E/F'_j}}$ is not a norm from some quadratic subfield $\mathbb{Q}(\sqrt{D}) \subseteq \mathbb{Q}(\rho)$, or if it is not a rational square when m is even, then E has a point of infinite order over F.

This is a remarkable result, since it can predict positive rank of general families of elliptic curves based solely on local data.

5 Brauer Relations

6 Consistency cases with BSD

As we discussed in the previous section, our motivation is to use Theorem 4.2 to predict points of infinite order for families of elliptic curves. However, in this section we prove that in several cases the theorem will never make such a prediction. In other words, in such cases, the product

$$\frac{\prod_{i} C_{E/F_i}}{\prod_{i} C_{E/F_i'}}$$

is always a norm for every subfield $\mathbb{Q}(\sqrt{D}) \subseteq \mathbb{Q}(\rho)$.

6.1 Cyclic Extensions

In this subsection we prove the following.

Theorem 6.1. Let E/\mathbb{Q} be a semistable elliptic curve and let F a finite cyclic Galois extension over \mathbb{Q} so that $Gal(F/\mathbb{Q}) = C_d$ for some $d \geq 2$. Let χ be a faithful character of C_d (so that $\mathbb{Q}(\chi) = \mathbb{Q}(\zeta_d)$), and let $F_i, F'_j \subseteq F$ be such that

$$\bigoplus_{\mathfrak{g}\in \mathrm{Gal}(\mathbb{Q}(\zeta_d)/\mathbb{Q})}\chi^{\mathfrak{g}}=\bigoplus_{i}\mathrm{Ind}_{F_i/\mathbb{Q}}\,\mathbb{1}\ominus\bigoplus_{j}\mathrm{Ind}_{F'_j/\mathbb{Q}}\,\mathbb{1}.$$

Then for any $\mathbb{Q}(\sqrt{D}) \subseteq \mathbb{Q}(\zeta_d)$,

$$\frac{\prod_{i} C_{E/F_i}}{\prod_{j} C_{E/F_j'}}$$

is a norm of $\mathbb{Q}(\sqrt{D})$.

The first step in proving Theorem 6.1 is to show that the fields F_i, F'_j exist, and to give a precise description. Recall that for each $k \mid d$ the cyclic group C_d has one unique subgroup of order k, which is of course also cyclic. Therefore, for each $k \mid d$, there is one unique subfield F_k of F of degree k over \mathbb{Q} which is also cyclic. The corresponding subgroup $H_k = \operatorname{Gal}(F/F_k) = C_{d/k}$.

To give the required description, we recall that the Möbius function μ is the function supported on the square-free integers, and $\mu(n) = (-1)^s$ whenever n is square free and s is the number of prime factors of n.

Lemma 6.2. Let E/\mathbb{Q} , F and χ be as in Theorem 6.1. Writing characters of C_d additively, we have that

$$\sum_{\mathfrak{g}\in\operatorname{Gal}(\mathbb{Q}(\zeta_d)/\mathbb{Q})} \chi^{\mathfrak{g}} = \sum_{k|d} \mu(d/k) \operatorname{Ind}_{F_k/\mathbb{Q}} \mathbb{1}.$$
(3)

Proof. The proof is essentially application of Frobenius reciprocity and the inclusion exclusion lemma. Let p_1, \ldots, p_s be the distinct primes dividing d. By Frobenius reciprocity, for any character θ of C_d and $k \mid d$,

$$\langle \theta, \operatorname{Ind}_{F_k/\mathbb{Q}} \mathbb{1} \rangle_{C_d} = \langle \operatorname{Res}_{F_k/\mathbb{Q}} \theta, \mathbb{1} \rangle_{C_{d/k}}.$$

That is, θ appears as a factor of $\operatorname{Ind}_{F_k/\mathbb{Q}} \mathbb{1}$ if and only if $\chi|_{C_{d/k}}$ is trivial, and it can only appear once. Therefore,

$$\operatorname{Ind}_{F_k/\mathbb{Q}} \mathbb{1} = \sum_{\theta \in \mathscr{A}_{d/k}} \theta$$

where $\mathscr{A}_k = \{\theta \in \widehat{C}_d : \theta|_{C_k} = \mathbbm{1}_{C_k}\}$. Note that if $k, k' \mid d$ are coprime, then $\mathscr{A}_k \cap \mathscr{A}_{k'} = \mathscr{A}_{kk'}$. If \mathscr{B} is the set of faithful characters of C_d , then by the inclusion-exclusion lemma The proof now follows from the fact that if χ is a faithful character, then the set $\{\chi^{\mathfrak{g}} : \mathfrak{g} \in \operatorname{Gal}(\mathbb{Q}(\zeta_d)/\mathbb{Q})\}$ spans over all faithful characters of C_d once. \square

6.2 Abelian Extensions

6.3 Odd-Degree Extensions

6.4 Compatibility in odd order extensions

Let E/\mathbb{Q} be an elliptic curve. Let F/\mathbb{Q} be an extension of **odd order** with Galois group G. Consider a representation $\rho \in R(G)$ and the expression as in theorem 4.2. The m occurring in this expression divides |G|, hence is odd. The theorem then states that if the corresponding product of fudge factors $C_{E/}$ is not a norm from a quadratic subfield $\mathbb{Q}(\sqrt{D}) \subset \mathbb{Q}(\rho)$, then E/F must have infinite order.

In this section, we show under some restrictions for E that we can never use this test to force positive rank.

Theorem 6.3. Suppose that the primes of additive reduction of E are at worst tamely ramified in F/\mathbb{Q} (and ≥ 5). Then for any representation ρ of G and any expression as in theorem 4.2, the corresponding ratio of fudge factors is a norm from any quadratic subfield of $\mathbb{Q}(\rho)$. Equivalently, the function $H \mapsto C(E/F^H)$ is trivial on ρ -relations.

If $\mathbb{Q}(\rho) = \mathbb{Q}$ there is nothing to prove. Thus we assume $[\mathbb{Q}(\rho):\mathbb{Q}] > 1$. Then this index is even. Indeed, since G has odd order, all its characters are complex, so there is an element $\sigma \in \operatorname{Aut}(\mathbb{Q}(\rho)/\mathbb{Q})$ that acts by complex conjugation (i.e. is of order 2). Therefore there is a quadratic subfield $\mathbb{Q}(\sqrt{D}) \subset \mathbb{Q}(\rho)$. Choose any such quadratic subfield. Replacing ρ by the sum of its conjugates by elements of $\operatorname{Gal}(\mathbb{Q}(\rho)/\mathbb{Q}(\sqrt{d}))$, we may assume that $\mathbb{Q}(\rho) = \mathbb{Q}(\sqrt{D})$. Let τ be the generator of $\operatorname{Gal}(\mathbb{Q}(\sqrt{D})/\mathbb{Q})$.

Let k be the smallest integer such that $\mathbb{Q}(\sqrt{D}) \subset \mathbb{Q}(\zeta_k)$. Then k divides the exponent of G, hence is odd.

Let us break up the function $C: H \mapsto C(E/F^H)$ into $C = \prod_p C_p \cdot d_p$ where

$$C_p(H) = C_p(E/F^H) := \prod_{v|p} c_v(E/F^H), \qquad d_p(H) = \prod_{v|p} \left| \frac{\omega}{\omega_v^{\min}} \right|_v.$$

We will observe later that for each reduction type, C_p and d_p are D_p -local functions.

We prove that each $C_p \sim_{\rho} 1$ and $d_p \sim_{\rho} 1$ for all primes p. We do this by considering serpately each reduction type. Since we deal with each local factor individually, we may assume that $D_p = I_p$ by theorem ??. does that include the dv terms???

Good reduction

If E/\mathbb{Q} has good reduction at p, then it has good reduction at all primes lying above p in subfields of F. Then the tamagawa number is always one, as well as $|\omega/\omega_v^{\min}|_v$ for any place $v \mid p$ in an intermediate field. Therefore C_p , $d_p \sim_{\rho} 1$.

Multiplicative reduction

If E/\mathbb{Q}_p has multiplicative reduction, then as in the good reduction case one has $|\omega/\omega_v^{\min}|_v = 1$ for any place $v \mid p$ in an intermediate field. Thus $d_p \sim_{\rho} 1$. For the Tamagawa numbers, we consider non-split/split reduction separately.

Non-split multiplicative reduction

Let E/\mathbb{Q}_p have non-split multiplicative reduction. Since $D_p = I_p$, all primes above p have residue degree 1. Then the reduction at places above p remains non-split in all intermediate subfields. It follows that

$$C_p = (D_p, \alpha)$$

where α is the constant function on $B(D_p)$ with $\alpha \in \{1,2\}$, depending on $\operatorname{ord}_p(\Delta)$ being even or odd. We prove a more general lemma that D_p -local constant functions are trivial on ρ -relations.

Lemma 6.4. Let G, ρ be as above. The function (D_p, α) for $\alpha \in \mathbb{Q}^{\times}$ satisfies $(D_p, \alpha) \sim_{\rho} 1$.

Proof. The function (D_p, α) on B(G) sends $H \leq G$ to $\alpha^{|H \setminus G/D_p|}$. Thus if $\Theta = \sum_i n_i H_i$ is a ρ -relation, $(D_p, \alpha)(\Theta) = \alpha^{\sum_i n_i \cdot |H_i \setminus G/D_p|}$. We show that $\sum_i n_i \cdot |H_i \setminus G/D_p|$ is even.

One has $\operatorname{Res}_D \Theta = \sum_i n_i \sum_{x \in H_i \backslash G/D} D \cap H^{x^{-1}}$ and the permutation representation $\mathbb{C}[\operatorname{Res}_D \Theta]$ of D is isomorphic to $\operatorname{Res}_D(\rho \oplus \tau(\rho))$. In particular the dimension of this permutation representation is even. The dimension is $\sum_i n_i \sum_{x \in H_i \backslash G/D} [D:D \cap H^{x^{-1}}]$. Since each $[D:D \cap H^{x^{-1}}]$ is odd, this implies there are an even number of terms in the summation, i.e. that $\sum_i n_i \cdot |H_i \backslash G/D_p|$ is even.

Split multiplicative reduction

Now suppose E/\mathbb{Q}_p has split multiplicative reduction. The reduction type remains split at all places above p within sub-extensions of F/\mathbb{Q} . Let $\operatorname{ord}_p(\Delta) = n$. Then

$$C_v = (D_p, I_p, en).$$

Since the *n* factor is constant by lemma 6.4 we have $(D_p, I_p, en) \sim_{\rho} (D_p, I_p, e)$. This is a D_p -local function. The following result shows that if $\mathbb{Q}(\operatorname{Res}_{D_p} \rho) = \mathbb{Q}$, then $(D_p, I_p, e) \sim_{\rho} 1$.

Lemma 6.5. Let G, ρ be as above, $D_p \leq G$. Let the exponent of D_p be b. If $k \nmid b$, then $(D_p, I_p, e) \sim_{\rho} 1$.

Proof. Note that $\mathbb{Q}(\operatorname{Res}_{D_p} \rho) \subset \mathbb{Q}(\zeta_b) \cap \mathbb{Q}(\rho) \subset \mathbb{Q}(\zeta_b)$. Then $\mathbb{Q}(\rho) \subset \mathbb{Q}(\zeta_b) \Longrightarrow k \mid b$ by minimality of k. Thus, if $k \nmid b$, one has $\mathbb{Q}(\rho) \not\subset \mathbb{Q}(\zeta_b)$, so $\mathbb{Q}(\operatorname{Res}_{D_p} \rho) = \mathbb{Q}$ and $\operatorname{Res}_{D_p} \rho = \tau(\operatorname{Res}_{D_p} \rho)$.

Let Θ be a ρ -relation. It follows that every rational representation that is a summand of $\mathbb{C}[\operatorname{Res}_{D_p} \Theta]$ arises with multiplicity two. Thus, there is a $(\operatorname{Res}_{D_p} \rho)$ -relation Θ' such that $\mathbb{C}[\operatorname{Res}_{D_p} \Theta] \simeq \mathbb{C}[2\Theta']$. Then $\Psi = \Theta - 2\Theta'$ is a Brauer relation for D_p . We have

$$(D_p, I_p, e)(\Theta) = (D_p, I_p, e)(\Psi) \cdot (D_p, I_p, e)(2\Theta') = (D_p, I_p, e)(\Psi) \cdot ((D_p, I_p, e)(\Theta'))^2 = 1$$

as a function to $\mathbb{Q}^{\times}/\mathbb{Q}^{\times}$. Indeed $(D_p, I_p, e)(\Psi) = 1$ on Brauer relations, as per example 1.19 (noting that D_p is odd). Thus $(D_p, I_p, e) \sim_{\rho} 1$.

We have $D_p = I_p = P_p \ltimes C_l$, where $P_p \triangleleft I_p$ is wild inertia, and $C_l = I_p/P_p$ is the tame quotient. C_l is a cyclic group, with $l \mid p^f - 1 = p - 1$. By the previous result, it is only of interest to consider decomposition groups $D_p = P_p \ltimes C_l$, with $k \mid p^u l$ for some $u \geq 0$.

Now, $(D_p, I_p, e)(\Theta)$ is the product of ramification indices at primes above p. We separate the p-part and tame part of this expression. Recall that the ramification index of a place w above p corresponding to the double coset $H_i x D_p$ has ramification degree $e_w = \frac{|I_p|}{|H_i \cap I_p^x|} = \frac{|I_p|}{|I_p \cap H^{x^{-1}}|}$. This is the dimension of the permutation representation $\mathbb{C}[D_p/D_p \cap H^{x^{-1}}]$. Let $D_p \cap H^{x^{-1}} = P' \ltimes C_a$ where $P' \leq P$ and a|l. Then the ramification index is $\frac{|P|}{|P'|} \cdot \frac{l}{a}$.

Consider taking fixed points

$$\mathbb{C}[D_p/D_p\cap H^{x^{-1}}]^{P_p}\simeq \mathbb{C}[D_p/P_p(D_p\cap H^{x^{-1}})]\simeq \mathbb{C}[D_p/P_p\ltimes C_a].$$

This permutation representation has dimension $\frac{l}{a}$, so we've killed off the p-part. Then

$$\mathbb{C}[\operatorname{Res}_{D_n}\Theta]^{P_p} \simeq \left(\operatorname{Res}_{D_n}\rho \oplus \tau(\rho)\right)^{P_p}$$

and we can consider these as representations of $D_p/I_p = C_l$. Consider the function g on $B(C_l)$ sending H to $[C_l: H]$. It follows that $(D_p, D_p, e)(\Theta)$ differs from $g(P_p \cdot \operatorname{Res}_{D_p} \Theta/P_p)$ up to a factor of p.

Crucially, this factor of p doesn't matter:

Lemma 6.6. Let $K = \mathbb{Q}(\sqrt{D})$ be a quadratic field, contained in the minimal cyclotomic field $\mathbb{Q}(\zeta_k)$ with k odd. Let $k \mid p^u l$, for some $u \geq 0$ and l such that $p \equiv 1 \pmod{l}$. Then p is the norm of an element from K^{\times} .

Proof. Since k is odd, it is clear that $D = \prod_{q|k} q^*$, the product being taken over primes dividing k. Note that if $q \neq p$, then since $q \mid l$, we have $p \equiv 1 \pmod{l} \implies p \equiv 1 \pmod{q}$. By theorem A.8, p is the norm of a principal fractional ideal of K. If K is imaginary, then p is the norm of an element of K. Else, we invoke theorem A.10 or theorem A.11.

Thus, we only need to worry about the tame part of our ramification indices, and the triviality of the function g on $B(C_l)$. If $k \nmid l$, then $\phi = (\operatorname{Res}_{D_p} \rho)^{P_p}$ (viewed as a representation on D_p/P_p) has rational

character. Therefore, arguing as in lemma 6.5, $g \sim_{\phi} 1$ as a function to $\mathbb{Q}^{\times}/\mathbb{Q}^{\times 2}$. Therefore we may assume that $k \mid l$ and that ϕ has $\mathbb{Q}(\phi) = \mathbb{Q}(\rho) = K$.

Proposition 6.7. Let $k \mid l$, then one has $g \sim_{\phi} 1$.

Proof. Let Ψ be a ϕ -relation. One may write $\phi \oplus \tau(\phi) = \mathbb{C}[\Psi] = \sum_{l'|l} a_{l'} \chi_{l'}$ where $a_{l'} \in \mathbb{Z}$ and $\chi_{l'}$ are defined in example 1.7. Writing each $\chi_{l'}$ in terms of permutation representations as in the example, one obtains an expression for $\mathbb{C}[\Psi]$, noting this is exact since cyclic groups have no Brauer relations.

Evaluating g on $\chi_{l'}$ is trivial unless $l'=q^a$ for some q prime, $a\geq 1$. Indeed, if $l'=p_1^{e_1}\cdots p_r^{e_r}$, with $r\geq 2$ and $e_i\geq 1$, then maybe expand on this

$$\prod_{l''|l'} (l'')^{\mu(l'/l'')} = \prod_{j_1, \dots, j_r \in \{0,1\}^r} \left(p_1^{e_1 - j_1} \cdots p_r^{e_r - j_r} \right)^{\#j_i = 1} = \prod_{i = 1}^r \left(\frac{p_i^{e_i}}{p_i^{e_i - 1}} \right)^{\sum_{j = 0}^{r-1} \binom{r-1}{j}(-1)^j} = 1.$$

On the other hand,

$$\prod_{l'|q^a} (l')^{\mu(q^a/l')} = q.$$

We claim that $k \nmid l'$ implies $a_{l'}$ is even. The irreducible representations of C_l over $\mathbb{Q}(\phi)$ are given by the orbits of the complex irreducible characters of C_l acted upon by $H = \operatorname{Gal}(\mathbb{Q}(\zeta_l)/\mathbb{Q}(\phi))$. One has $\chi_{l'} = \widetilde{\varphi_{l'}}$ where $\mathbb{Q}(\varphi_{l'}) = \mathbb{Q}(\zeta_{l'})$. If $k \nmid l'$ then $\mathbb{Q}(\phi) \not\subset \mathbb{Q}(\zeta_{l'})$, so that $B = \operatorname{Gal}(\mathbb{Q}(\zeta_l)/\mathbb{Q}(\zeta_{l'})) \not\leq H$. Then $\mathbb{Q}(\phi) \cap \mathbb{Q}(\zeta_{l'}) = \mathbb{Q}$ so $BH = \operatorname{Gal}(\mathbb{Q}(\zeta_l)/\mathbb{Q})$. Then the orbit of $\varphi_{l'}$ under H is fixed by BH, hence is rational. It follows that $\langle \phi, \varphi_{l'} \rangle = \langle \tau(\phi), \varphi_{l'} \rangle$ so that $a_{l'}$ is even.

Thus we can only possibly get something interesting if k = q is a prime. But then q is a norm from $\mathbb{Q}(\sqrt{q^*})$ by corollary A.5.

6.4.1 Additive reduction

Now suppose that E/bQ_p has additive reduction. In this case, assume that $p \ge 5$ is at worst tamely ramified in F/\mathbb{Q} . This ensures that $D_p = I_p = C_l$ is cyclic, and $l \mid p-1$.

This time the terms involving the minimal differentials are not trivial. Let $n = \operatorname{ord}_p(\Delta_E)$. Consider a place w of F^H over p with ramification degree and inertial degree e_w , f_w over \mathbb{Q} . Then Δ_E has valuation ne_w in F^H . It follows that $\Delta_{E,w}^{\min}$ has valuation $ne_w - 12 \cdot \lfloor e_w n/12 \rfloor$. Therefore

first say something about how dv terms go away

Potentially multiplicative reduction

Suppose that E/\mathbb{Q}_p has Kodaira type I_n^* . For a tamely ramified finite extension K'/\mathbb{Q}_p with ramification degree e, E/K' has Kodaira type I_{en}^* if e is odd, and type I_{en} if n is even. Thus in odd degree extensions the reduction type will stay potentially multiplicative. One has that $v_{K'}(\Delta_{E/K'}) = ev_p(\Delta) - 12\lfloor e/2 \rfloor$.

TO DO

Potentially good reduction

Lemma 6.8. Consider M/L a field extension. Let E/L be an elliptic curve, v a finite place of L and w a finite place of M with $w \mid v$. Let ω_v and ω_w be the minimal differentials for E/L_v and E/M_w respectively.

Then, if E/K_v has potentially good reduction and the residue characteristic is not 3 or 2, one has

$$\left|\frac{\omega_v}{\omega_w}\right|_{vv} = q^{\left\lfloor\frac{e_{F/K} \cdot \operatorname{ord}_v(\Delta_{E,v}^{\min})}{12}\right\rfloor},$$

where q is the size of the residue field at w.

We consider F/\mathbb{Q} with additive potentially good reduction at p. Since $D_p = I_p$, the size of the residue field is p at all intermediate extensions. Let $n = v_p(\Delta)$. Then $n \in \{2, 3, 4, 6, 8, 9, 10\}$. Consider (D_p, I_p, ψ_p) where $\psi_p(e, f) = p^{\lfloor en/12 \rfloor}$. Then $(D_p, I_p, \psi_p) \sim_{\rho} 1$. Indeed, this takes values 1 or p in $\mathbb{Q}^{\times}/\mathbb{Q}^{\times 2}$. But $p \equiv 1 \pmod{l}$ implies that p is the norm of a principal ideal in $\mathbb{Q}(\rho)$, and hence the norm of an element, by corollary A.8 and theorem A.9.

The Tamagawa numbers take a little more work. We use the following description of Tamagawa numbers.

Lemma 6.9. Let $K'/K/\mathbb{Q}_p$ be finite extensions and $p \geq 5$. Let E/K be an elliptic curve with additive reduction;

$$E \colon y^2 = x^3 + Ax + B,$$

with discriminant $\Delta = -16(4A^3 + 27B^2)$. Let $\delta = v_K(\Delta)$, and $e = e_{K'/K}$.

If E has potentially good reduction, then

$$\gcd(\delta e, 12) = 2 \implies c_v(E/K') = 1, \qquad (II, II^*)$$

$$\gcd(\delta e, 12) = 3 \implies c_v(E/K') = 2, \qquad (III, III^*)$$

$$\gcd(\delta e, 12) = 4 \implies c_v(E/K') = \begin{cases} 1, & \sqrt{B} \notin K' \\ 3, & \sqrt{B} \in K' \end{cases}$$

$$\gcd(\delta e, 12) = 6 \implies c_v(E/K') = \begin{cases} 2, & \sqrt{\Delta} \notin K' \\ 1 \text{ or } 4, & \sqrt{\Delta} \in K' \end{cases}$$

$$\gcd(\delta e, 12) = 12 \implies c_v(E/K') = 1. \qquad (I_0)$$

Moreover, the extensions $K'(\sqrt{B})/K'$ and $K'(\sqrt{\Delta})/K'$ are unramified.

So suppose an elliptic curve E/\mathbb{Q} has additive reduction at p, with $p \geq 5$. Then we can write $E: y^2 = x^3 + Ax + B$. Let $D = \operatorname{Gal}(F_{\mathfrak{p}}/\mathbb{Q}_p)$ be the local Galois group at p. Assume that p is totally tamely ramified, so that $D = I = C_n$. Since there is no wild ramification, and f = 1, this means that $n \mid p - 1$. We consider the contribution corresponding to an irreducible rational character χ_d of D, given by

$$\prod_{d'|d} C(E/F_{\mathfrak{p}}^{D_{d'}})^{\mu(d/d')}.\tag{4}$$

Observe that in a totally ramified extension of degree coprime to 12, the Tamagawa number remains the same. If (12, d) = 1, then (12, d') = 1 for $d' \mid d$, so the Tamagawa number is constant across subfields $F_{\mathfrak{p}}^{D_{d'}}$. Therefore,

$$\prod_{d'|d} C(E/F_{\mathfrak{p}}^{D_{d'}})^{\mu(d/d')} = C(E/\mathbb{Q}_p)^{\sum_{d'|d} \mu(d/d')} = 1,$$

assuming d > 1.

So we only need to worry about when $3 \mid d$. If we have type III or III^* or I_0^* then the Tamagawa number is still unchanged in any totally ramified cyclic extension of degree dividing d. We will treat the other cases separately:

Type II and II* reduction:

Firstly, suppose that $\delta = 2$, that is we have Type II reduction. If $3 \mid d'$ then $E/F_{\mathfrak{p}}^{D_{d'}}$ has type I_0^* reduction. The Tamagawa number then depends on whether $\sqrt{\Delta} \in \mathbb{Q}_p$. Since we have additive reduction, we know that $p \mid A, p \mid B$. Moreover, $\delta = 2$ implies that $v_p(B) = 1$. Then, $\Delta = p^2 \cdot \alpha$, and $\alpha \equiv -27 \cdot \square \pmod{p}$. Therefore $\sqrt{\Delta} \in \mathbb{Q}_p \iff -3$ is a square \pmod{p} . But this is the case; we assumed $p \equiv 1 \pmod{n}$, so $p \equiv 1 \pmod{3}$. Therefore the Tamagawa number will be 1 or 4, which is a square. If $3 \nmid d'$ then the reduction type over $F_{\mathfrak{p}}^{D_{d'}}$ is II or II^* . Then the Tamagawa number is 1. Thus in total, we get a square contribution from (4).

If $\delta = 10$, then $E/F_{\mathfrak{p}}^{D_{d'}}$ has reduction type I_0^* whenever $3 \mid d'$. Once more, $v_p(A), v_p(B) \geq 1$, and $v_p(\Delta) = 10 = \min(3v_p(A), 2v_p(B))$ maybe this is suss $\implies v_p(B) = 5$. Therefore we get $\Delta = p^{10}\alpha$ with $\alpha \equiv -27 \cdot \Box$ (mod p), and we conclude as above.

Type IV and IV^* reduction:

Now, if E/\mathbb{Q}_p has additive reduction of type IV or IV^* , it attains good reduction over any totally ramified cyclic extension of degree divisible by 3. This could result with 3 coming up an odd number of times in our Tamagawa number product, when $\sqrt{B} \notin \mathbb{Q}_p$.

In summary,

$$\prod_{d'\mid d} C(E/F_{\mathfrak{p}}^{D_{d'}})^{\mu(d/d')} = \begin{cases}
1 & 3 \nmid d, \\
1 & 3 \mid d, \delta \in \{0, 3, 6, 9\}, \\
1 \cdot \square & 3 \mid d, \delta \in \{2, 10\}, \\
3^{a} \cdot \square, a \in \{0, 1\} & 3 \mid d, \delta \in \{4, 8\}.
\end{cases} (5)$$

Remark 6.10. There's no reason why we can't get 3; see elliptic curve 441b1 with additive reduction at 7 of type IV and Tamagawa number equal to 3

However, it turns out we will only get 3 occurring oddly when d=3. Indeed, one has that $\langle \operatorname{Ind}_{D_{d'}}^D \mathbb{1}, \psi_3 \rangle = 1$ if $3 \mid d'$, and 0 if $3 \nmid d'$, where ψ_3 is an irreducible character of D of order 3. Therefore one sees that the number of places with ramification degree divisible by 3 cancels unless d=3. Indeed, $\langle \chi_d, \psi_3 \rangle = 0$ unless d=3, in which case it is 1. Therefore (4) can only be non-square when d=3. then conclude why this is fine

Appendix A Algebraic number theory background

A.1 Decompositions of primes in field extensions

A.2 Class field theory

A.2.1 Genus field

In this section we introduce the concept of a genus field, as well as properties that will be useful for us.

Let K be a number field. The **ideal class group** $\operatorname{Cl}_K = I_K/P_K$ is the group of fractional ideals quotiened? by principal ideals. For an ideal \mathfrak{p} , we let $[\mathfrak{p}]$ denote its class in Cl_K .

The **extended ideal class group** is the group $\operatorname{Cl}_K^+ = I_K/P_K^+$, where P_K^+ denotes the subgroup of principal ideals with totally positive generator, i.e. ideals $\alpha \mathscr{O}_K$ where $\sigma(\alpha) > 0$ for all real embeddings $\sigma \colon K \hookrightarrow \mathbb{R}$.

Note that Cl_K^+ is the ray class group for the modulus \mathfrak{m} of K consisting of the product of all real places. The corresponding ray class field is known as the **extended Hilbert class field**, which we'll denote as H^+ . This is the maximal extension of K that is unramified at all finite primes. Let H be the usual Hilbert Class field of K. Then one has $H \subset H^+$. Moreover, the index can be described in terms of the structure of K:

Theorem A.1 (Janusz 3. Extended Class group). Let r be the number of real primes of K. Let U_K , U_K^+ the group of units and totally positive units of K respectively, Then

$$[H^+: H] = 2^r [U_K: U_K^+]^{-1}.$$

Observe that if K has no real places, then $H^+ = H$. For quadratic fields, the index depends on the norm of a fundamental unit:

Corollary A.2. Let $K = \mathbb{Q}(\sqrt{D})$ with D a square-free positive integer. Let ϵ be a fundamental unit of K. Then $[H^+: H] = 1$ or 2, according as $N_{K/\mathbb{Q}}(\epsilon) = -1$ or 1.

Fix $K = \mathbb{Q}(\sqrt{D})$ for D a squarefree integer. The (extended) Hilbert class field of K need not be abelian over \mathbb{Q} (note that it is Galois over \mathbb{Q} by uniqueness of the (extended) Hilbert class field). However it can be convenient to consider the maximal subfield of H that is Galois over \mathbb{Q} .

Definition A.3. For any abelian extension K/\mathbb{Q} , the **genus field** of K over \mathbb{Q} is the largest abelian extension E of \mathbb{Q} contained in H. The **extended genus field** is the largest abelian extension E^+ of \mathbb{Q} contained in H^+ .

Let $\sigma \in \operatorname{Gal}(H^+/\mathbb{Q})$ be such that $\sigma|_K$ generates $\operatorname{Gal}(K/\mathbb{Q})$. E has the following properties:

Theorem A.4. [Jan96, Something 3.3]

- 1. Gal(H/E) is isomorphic to the subgroup of C_K generated by the ideal classes of the form $[\sigma(\mathfrak{U})\mathfrak{U}^{-1}]$, $\mathfrak{U} \in I_K$.
- 2. $Gal(H/E) \simeq (C_K)^2$.

Note that this says that every class $[\sigma(\mathfrak{U})\mathfrak{U}^{-1}]$ is a square in C_K . This allows us to deduce the following:

Theorem A.5. Let p be a prime in \mathbb{Q} . If the inertial degree of p in E/\mathbb{Q} is 1, then p is the norm of a principal ideal in K.

Proof. It's clear by inspection that $\operatorname{Gal}(E/K) = \operatorname{Cl}_K / (\operatorname{Cl}_K)^2$ is the maximal quotient of exponent 2. Let $\mathfrak p$ be a prime of K lying over p. Then $N_{K/\mathbb Q}(\mathfrak p) = p$ and $\mathfrak p$ splits in E, so that $[\mathfrak p] \in (\operatorname{Cl}_K)^2$. Thus by theorem A.4 there is a fractional ideal $\mathfrak U$ of I_K such that $[\mathfrak p] = [\sigma(\mathfrak U)\mathfrak U^{-1}]$. Observe that $N_{K/\mathbb Q}(\sigma(\mathfrak U)\mathfrak U^{-1}) = 1$. It follows that $[\mathfrak p]^n$ is represented by a fractional ideal of norm p for all n. Since Cl_K is finite, this implies there is a principal fractional ideal in K of norm p.

The extended genus field E^+ is easier to describe than E.

Theorem A.6. Suppose the discriminant of K/\mathbb{Q} has t prime divisors. Then $C_K/(C_K)^2$ has order 2^{t-1} if D < 0 or if D > 0 and a unit of K has norm -1. Otherwise, if D > 0 and all units of K have norm 1, it has order 2^{t-2} .

Theorem A.7. Let the discriminant of K be Δ and suppose $|\Delta| = p_1 p_2 \cdots p_t$ where $p_2, \dots p_t$ are odd primes, and p_1 is either odd or a power of 2. Then the extended genus field of K is

$$E^+ = \mathbb{Q}(\sqrt{D}, p_2^*, \dots p_t^*) = K(p_2^*, \dots p_t^*),$$

where

$$\begin{cases} p_i^* = \sqrt{p_i} & \text{if } p_i \equiv 1 \pmod{4}, \\ p_i^* = \sqrt{-p_i} & \text{if } p_i \equiv 3 \pmod{4} \end{cases}$$

Corollary A.8. Let p be a prime in \mathbb{Q} , $K = \mathbb{Q}(\sqrt{D})$ with discriminant Δ such that $|\Delta| = p_1 p_2 \cdots p_t$ as above. If $p \equiv 1 \pmod{|\Delta|}$, then p is the norm of a fractional principal ideal in K. It is also the norm of a fractional principal ideal in $K' = \mathbb{Q}(\sqrt{pD})$. maybe I need Δ to be odd.

Proof. Any prime above p in K splits in E^+ , hence also in E (in particular it has residue degree 1). Similarly for K', the inertial degree of p in its extended genus field is 1, and so in its genus field also.

We want to understand when p is the norm of an element. Note that if $H = H^+$, then p being the norm of an ideal guarantees that it is the norm of an element. If -1 is a norm in our field then we are also fine.

Theorem A.9. Let $K = \mathbb{Q}(\sqrt{D})$ and suppose that all odd primes dividing D are congruent to $1 \pmod 4$. Then -1 is the norm of an element of K^{\times} .

Note that -1 being the norm of an element in K does not ensure that -1 is the norm of a unit in K. The smallest counter-example is $K = \mathbb{Q}(\sqrt{34})$. The element $\frac{5}{3} + \sqrt{34}$ has norm -1, but there is no unit with norm -1.

The following two results are needed in the body of this report.

Theorem A.10. Let $K = \mathbb{Q}(\sqrt{D})$ and let k be the minimal cyclotomic field such that $K \subset \mathbb{Q}(\zeta_k)$. Suppose that k is odd and K is real. If p is a prime such that $p \equiv 1 \pmod{|\Delta|}$, then p is the norm of an element from K.

Proof. Note that k being odd implies D is odd. We know that p is the norm of a principal fractional ideal of K by corollary A.8. Therefore there exists integers x, y, z such that $\pm pz^2 = x^2 - Dy^2$. Suppose firstly that all primes dividing D are congruent to 1 (mod 4). Then there is an element of K^{\times} of norm -1 by theorem A.9. Hence we can find an element of norm p.

Otherwise, there exists a prime $q \mid D$ such that $q \equiv 3 \pmod{4}$. Reducing mod q, we have $\pm p = \square$. Since $p \equiv 1 \pmod{q}$, it is a square \pmod{q} . But -1 is not a square mod q, hence our sign must have been + and so p is the norm of an element from K^{\times} .

Theorem A.11. Let $K = \mathbb{Q}(\sqrt{D})$ and let k be the minimal cyclotomic field such that $K \subset \mathbb{Q}(\zeta_k)$. Suppose that k is odd and K is real. Let p be a prime such that $p \mid D$ and $p \equiv 1 \pmod{q}$ for all other primes $q \mid D$. Then p is the norm of an element from K.

Proof. By corollary A.8, we know that p is the norm of a principal fractional ideal of K. The rest of the argument is analogous to the previous proof.

Proposition A.12. $\mathbb{Q}(\sqrt{p^*})$ has odd narrow class number.

Corollary A.13. The prime $p \in \mathbb{Q}$ is the norm of an element in $\mathbb{Q}(\sqrt{p^*})^{\times}$.

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