

# Hilbert Class Field and the Artin Map

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# Recap from Talk 1

Recall the main results from last week:

## Theorem

*Let  $K$  be a number field. Then  $K$  has a maximal unramified abelian extensions  $H$ , denoted as the **Hilbert class field** of  $K$ .*

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- *Splitting Property: If  $\mathfrak{p}$  is a prime ideal of  $K$ , and  $f$  is the order of  $[\mathfrak{p}]$  in  $\text{Cl}(K)$ , then  $\mathfrak{p}$  splits into  $h(K)/f$ . In particular,  $\mathfrak{p}$  is totally split in  $H$  if and only if  $\mathfrak{p}$  is principal.*

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- *Capitulation property: Every ideal  $\mathfrak{p}$  of  $K$  becomes principal in  $H$ .*

# Recap from Talk 1

Using Galois correspondence and the fact that subfields of abelian unramified extensions are also abelian and unramified, the following correspondence holds.

## Corollary

*Let  $K$  be a number field. Then we have an inclusion-reversing correspondence*

$$\{\text{Unramified abelian } K \subseteq F\} \longleftrightarrow \{\text{Subgroups of } \text{Cl}(K)\}$$

# Example $K = \mathbb{Q}(\sqrt{-5})$

## Hilbert Class Field

In the previous talk, we saw that  $\mathbb{Q}(i, \sqrt{5})/\mathbb{Q}(\sqrt{-5})$  is unramified and since  $h(K) = 2$ , then  $H = \mathbb{Q}(i, \sqrt{5})$ .

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### Capitulation Property

The map  $Cl(K) \rightarrow Cl(H)$ ,  $[a] \mapsto [a\mathcal{O}_H]$  is a well-defined homomorphism and  $\mathfrak{p} = (2, 1 + \sqrt{-5})$  is non-principal, so it is enough to show that  $\mathfrak{p}\mathcal{O}_H$  is principal.



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$$\frac{2}{1+i} = 1-i \quad \text{and} \quad \frac{1+\sqrt{-5}}{1+i} = \frac{1+\sqrt{5}}{2} - i\frac{1-\sqrt{5}}{2}$$

are algebraic integers and  $N(\mathfrak{p}\mathcal{O}_H) = N((1+i)\mathcal{O}_H) = 4$ .

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- If  $p \equiv 11, 13, 17, 19 \pmod{20}$ , then  $\mathfrak{p} = p\mathcal{O}_K$  is principal, and  $\mathfrak{p}$  splits in  $H$  since  $p$  splits in  $\mathbb{Q}(i)$  or  $\mathbb{Q}(\sqrt{5})$ .

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- If  $p \equiv 3, 7 \pmod{20}$ , then  $p$  is inert in  $\mathbb{Q}(i)$  and  $\mathbb{Q}(\sqrt{5})$  but split in  $\mathbb{Q}(\sqrt{-5})$ . Thus  $\mathfrak{p}$  is inert in  $H$  and non-principal since  $x^2 + 5y^2 = p$  has no solutions.

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- If  $p \equiv 1, 9 \pmod{20}$ , then  $p$  is totally split in  $H$ . Hence,

### Corollary

*The splitting property for  $K$  holds if and only if every prime  $p \equiv 1, 9 \pmod{20}$  can be written as  $p = x^2 + 5y^2$ .*



# Today's Plan

# Number Theory Preliminaries

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$$\mathfrak{p}\mathcal{O}_L = \prod_{i=1}^g \mathfrak{P}_i^{e_i},$$

where  $e_i$  is the ramification index and  $f_i = [\mathcal{O}_L/\mathfrak{P}_i : \mathcal{O}_K/\mathfrak{p}]$  is the residue degree.

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where  $e_i$  is the ramification index and  $f_i = [\mathcal{O}_L/\mathfrak{P}_i : \mathcal{O}_K/\mathfrak{p}]$  is the residue degree. We have the fundamental formula

$$[L : K] = \sum_{i=1}^g e_i f_i.$$

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If  $L/K$  is Galois and  $G = \text{Gal}(L/K)$ , then

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and  $[L : K] = efg$ .

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$$0 \longrightarrow I_{\mathfrak{P}} \longrightarrow D_{\mathfrak{P}} \xrightarrow{\epsilon} \text{Gal}(\mathcal{O}_L/\mathfrak{P}/\mathcal{O}_K/\mathfrak{p}) \longrightarrow 0.$$

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If  $I_{\mathfrak{P}} = \{1\} \iff e = 1 \iff \mathfrak{p}$  unramified, then  $D_{\mathfrak{P}} \cong \text{Gal}(\mathcal{O}_L/\mathfrak{P}/\mathcal{O}_K/\mathfrak{p})$  and so there is one unique  $\sigma_{\mathfrak{P}} \in G$  (denoted the Frobenius element of  $\mathfrak{P}$ ) such that

$$\sigma_{\mathfrak{P}}(x) \equiv x^{N(\mathfrak{p})} \pmod{\mathfrak{P}} \text{ for all } x \in L.$$

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If  $\mathfrak{P}' \mid \mathfrak{p}$  then  $\mathfrak{P}' = \tau(\mathfrak{P})$  for some  $\tau \in G$ . Then

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## Definition

Suppose  $L/K$  is Galois with  $G = \text{Gal}(L/K)$  and let  $\mathfrak{p} \subset \mathcal{O}_K$  unramified in  $L$ . Then the **Artin symbol** of  $\mathfrak{p}$  in  $L$

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Clearly, if  $G$  is abelian, then  $((L/K)/\mathfrak{p})$  is an element of  $G$ .

# Examples

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Let  $K = \mathbb{Q}$  and  $L = \mathbb{Q}(\sqrt{D})$  a quadratic extension. If  $p \nmid D$  is an odd rational prime, then

$$\left( \frac{\mathbb{Q}(\sqrt{D})/\mathbb{Q}}{(p)} \right) (a + b\sqrt{D}) = a + \left( \frac{D}{p} \right) b\sqrt{D}.$$

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Let  $K = \mathbb{Q}$  and  $L = \mathbb{Q}(\zeta_N)$  be the  $N$ -th cyclotomic extension. If  $p \nmid N$  is a rational prime, then

$$\left( \frac{\mathbb{Q}(\zeta_N)/\mathbb{Q}}{(p)} \right) (\zeta_N) = \zeta_N^p.$$

# The Artin Map

## Definition (Artin Map)

Let  $K$  be a number and let  $L$  be an abelian extension. We define  $\mathcal{I}_K$  to be the group of fractional ideals of  $K$  and  $\mathcal{I}_{L/K}$  be the subgroup of  $\mathcal{I}_K$  generated by the primes of  $K$  unramified in  $L$ .

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## Definition

Let  $L/K$  be an abelian extension. The **Artin Map** is defined as

$$\left( \frac{L/K}{\cdot} \right) : \mathcal{I}_{L/K} \longrightarrow \text{Gal}(L/K)$$
$$\mathfrak{a} = \prod_{i=1}^m \mathfrak{p}_i^{n_i} \longmapsto \prod_{i=1}^m \left( \frac{L/K}{\mathfrak{p}_i} \right)^{n_i}.$$

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- It is surjective (next slide).

# Surjectivity of the Artin Map

## Theorem (Chebotarev Density Theorem)

*Let  $L/K$  be Galois with  $G = \text{Gal}(L/K)$ . Let  $\sigma \in G$  and let  $C_\sigma$  be its conjugacy class.*

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## Theorem (Chebotarev Density Theorem)

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$$S_\sigma := \left\{ \mathfrak{p} \subset \mathcal{O}_K \mid \left( \frac{L/K}{\mathfrak{p}} \right) = C_\sigma \right\}$$

*has dirichlet Density*

$$\delta(S_\sigma) = \frac{|C_\sigma|}{|G|}$$

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Let  $\sigma \in G$  and since  $|C_\sigma|/|G| = 1/[L : K] > 0$ , there is some  $\mathfrak{p} \subset \mathcal{O}_K$  (in fact, infinitely many) such that  $((L/K)/\mathfrak{p}) = \sigma$ . □



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## Corollary (Dirichlet)

*Let  $N, a$  be coprime integers. Then  $S = \{p : p \equiv a \pmod{N}\}$  has density  $\delta(S) = 1/\phi(N)$ .*

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## Proof.

Consider  $\mathbb{Q}(\zeta_N)/\mathbb{Q}$  with  $|\mathrm{Gal}(\mathbb{Q}(\zeta_N)/\mathbb{Q})| = \phi(N)$ , and note  $((L/K)/p)(\zeta_N) = \zeta_N^a$  if and only if  $p \in S$ . □

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## Theorem (Artin Reciprocity for HCF)

*Let  $H$  be the HCF of  $K$ . The Artin map  $((H/K), \cdot) : \mathcal{I}_K \rightarrow \text{Gal}(H/K)$  is a surjective homomorphism with kernel  $\mathcal{P}_K$ , the group of principal fractional ideals.*

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## Example

Let  $p \equiv 1 \pmod{4}$  be a rational prime. The field extension  $\mathbb{Q}(i, \sqrt{-p}) / \mathbb{Q}(\sqrt{-p})$  is unramified.

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## Example

Let  $p \equiv 1 \pmod{4}$  be a rational prime. The field extension  $\mathbb{Q}(i, \sqrt{-p}) / \mathbb{Q}(\sqrt{-p})$  is unramified. Hence the class number of  $\mathbb{Q}(\sqrt{-p})$  (which we denote  $h(p)$ ) is even.



# Splitting Property in the HCF

## Corollary (Splitting Property)

*Let  $\mathfrak{p}$  be a prime in  $K$  and let  $f$  be the order of  $[\mathfrak{p}]$  in  $\text{Cl}(K)$ . Then  $\mathfrak{p}$  factors into  $h(K)/f$  distinct primes in  $H$  all of degree  $f$ .*

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## Corollary

*Let  $L/K$  be an abelian unramified extension and let  $\mathfrak{p}$  be a principal prime of  $K$ . Then  $\mathfrak{p}$  is completely split on  $L$ .*

# Capitulation Property in the HCF

## Definition (Transfer Maps)

Let  $H \leq G$  be groups with  $G = \cup_{i=1}^n x_i H$ . Fix some  $y \in G$  and let  $h_{i,y} \in H$  be such that  $yx_i = x_j h_{i,y}$  for some  $j$ .

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*Let  $H = [G, G]$  be the commutator subgroup of  $G$ . Then  $\text{Ver} : G^{ab} \rightarrow H^{ab}$  is the trivial homomorphism.*

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## Theorem (Capitulation Theorem)

*Let  $K$  be a number field and let  $H$  be its HCF. Then any prime  $\mathfrak{p}$  in  $K$  becomes principal in  $H$ .*



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$$\begin{array}{ccc} \mathcal{I}_K & \xrightarrow{\text{Art}_{H/K}} & \text{Gal}(H/K) = \text{Gal}(H'/K)^{ab} \\ \downarrow & & \downarrow \text{Ver} \\ \mathcal{I}_H & \xrightarrow{\text{Art}_{H'/H}} & \text{Gal}(H'/H) = \text{Gal}(H'/H)^{ab} \end{array}$$



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Also, using Gauss sums, one can explicitly compute that

$$p^* = \left( \sum_{a=1}^{p-1} \left( \frac{a}{p} \right) \zeta_p^a \right)^2.$$

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so  $\mathfrak{p}$  being principal depends only on  $N(\mathfrak{p}) \pmod{20}$ .

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We can compute the behaviour explicitly. Note that

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If  $p\mathbb{Z} = \mathfrak{p} \cap \mathbb{Q}$  then  $N(\mathfrak{p}) \equiv 1, 9 \pmod{20}$  if and only if  $p \equiv 1, 9, 11, 13, 17, 19 \pmod{20}$ .

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Hence, if  $p \equiv 1, 9 \pmod{20}$ , then  $N(\mathfrak{p}) = p$  and  $\mathfrak{p}$  is principal, so we have shown

$$p = x^2 + 5y^2 \iff p \equiv 1, 9 \pmod{20}.$$

## Example $K = \mathbb{Q}(\sqrt{-23})$

If  $K = \mathbb{Q}(\sqrt{-23})$ , then  $\text{Cl}(K) = C_3$  and  $H$  is the splitting field of the polynomial  $x^3 - x + 1$  over  $\mathbb{Q}$  (with discriminant  $-23$ , so  $K \subset H$ ).

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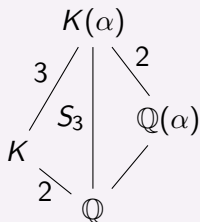
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$$\begin{aligned}p = x^2 + xy + 6y^2 &\iff \mathfrak{p} \text{ is principal } \iff \\ (p/23) = 1 \text{ and } x^3 - x + 1 = 0 &\text{ has a solution mod } p.\end{aligned}$$



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Finally,

$$p = x^2 + xy + 6y^2 \iff p = a^2 + 23b^2$$

since  $y$  must be even and  $x^2 + xy + 6y^2 = (x + y/2)^2 + 23(y/2)^2$ .

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*Furthermore,  $f_n(x)$  can be taken to be the minimal polynomial of a real algebraic integer  $\alpha$  for which  $H = K(\alpha)$  is the Hilbert class field of  $K = \mathbb{Q}(\sqrt{-n})$ .*

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Proof sketch for  $h(p^*)$ .

Suppose that  $h(p^*)$  is even and let  $H$  be the HCF of  $K = \mathbb{Q}(\sqrt{p^*})$ . Let  $G = \text{Gal}(H/\mathbb{Q})$  and  $A = \text{Gal}(H/K)$ .

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# Ramification at Infinite Places

## Theorem (Artin Reciprocity for infinite primes)

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$$\left( \frac{H_S/K}{\cdot} \right) : \mathcal{I}_K \longrightarrow \text{Gal}(H_S/K)$$

*is surjective with kernel  $\mathcal{P}_{K,S}$ , the principal ideals generated by some  $\alpha$  such that  $\sigma(\alpha) > 0$  for all  $\sigma \in S$ .*



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## Lemma

*Let  $r_2$  be the number of real infinite places. Then  $(\mathbb{Z}/2\mathbb{Z})^{r_2}$  surjects onto the kernel of the quotient map  $\text{Cl}^+(K) \rightarrow \text{Cl}(K)$ .*

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# Extended HCF of Imaginary Quadratic Fields

Let  $D$  be a squarefree integer and let  $K = \mathbb{Q}(\sqrt{D})$ . If  $D < 0$  then  $K$  has no real places, so  $H^+ = H$ .

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## Theorem

*If  $D > 0$ , let  $\epsilon$  be a fundamental unit of  $K$ . Then  $[H^+ : H] = 1$  or  $2$  according as  $N_{K/\mathbb{Q}}(\epsilon) = -1$  or  $1$ .*

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## Lemma

*Let  $D > 0$  be a squarefree integer. Then  $-1$  is the norm of an **element** of  $K^+$  if and only if every odd prime divisor of  $D$  is congruent to  $1 \pmod{4}$ .*



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## Corollary

*If  $D = p \equiv 3 \pmod{4}$  is a rational prime, then  $[H^+ : H] = 2$ .*

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## Proposition

*Let  $D = p \equiv 1 \pmod{4}$  be a rational prime. Then  $H^+ = H$  and therefore  $N_{K/\mathbb{Q}}(\epsilon) = -1$ .*

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## Proposition

*Let  $D = p \equiv 1 \pmod{4}$  be a rational prime. Then  $H^+ = H$  and therefore  $N_{K/\mathbb{Q}}(\epsilon) = -1$ .*

## Proof.

The same proof we did to show that  $h(p)$  is odd works to show that  $[H^+ : K]$  is odd. So  $[H^+ : H] = 1$ . □

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### Theorem (Maybe)

*Let  $D(X)$  be the number of real quadratic fields whose discriminant  $\Delta < X$  is not divisible by a prime congruent to 3 mod 4 and  $D^-(X)$  is those who have a negative unit. Then*

$$\lim_{X \rightarrow \infty} \frac{D^-(X)}{D(X)} = 1 - \prod_{j \geq 1 \text{ odd}} (1 - 2^{-j})$$

Thank you for listening!