

# Algebraic Geometry I

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Roughly speaking, the goal of algebraic geometry is to study systems of polynomial equations  $F_1(X) = \dots = F_n(X) = 0$  for polynomials  $F_i \in K[X_1, \dots, X_m]$  over a field (or ring)  $K$ . The set of solutions of this system is a geometric object, which we try to understand using algebraic methods, for example considering the ideal  $I = (F_1, \dots, F_n)$  in  $K[X_1, \dots, X_m]$  or the quotient  $K[X_1, \dots, X_m]/I$ .

There is a very strong relation between these objects in the case that  $K = \overline{K}$  is algebraically closed (e.g.  $\mathbb{C}$ ). If  $K$  is not algebraically closed, or some generic ring, things get more complicated: For example, there are many equations over  $\mathbb{R}$  with no solutions, like  $x^2 + y^2 + 1 = 0$ , which behave differently when considered over  $\mathbb{C}$ . The wish to still study these equations geometrically leads to the idea of spectra (the set of all prime ideals of a ring), and later the theory of sheaves and schemes.

## 1 Algebraic Sets and Affine Varieties

Let  $K$  be an algebraically closed field.

**Definition 1.1.** For  $n \in \mathbb{N}$  define *affine n-space* over  $K$  as

$$\mathbb{A}^n := \mathbb{A}_K^n := K^n.$$

**Definition 1.2.** Let  $I \subset K[x_1, \dots, x_n]$  be a subset. The associated (*affine*) *algebraic set* is

$$V(I) := \{x \in \mathbb{A}_K^n \mid f(x) = 0 \text{ for all } f \in I\}.$$

A subset  $X \subset \mathbb{A}^n$  is called *algebraic* if  $X = V(I)$  for some  $I \subset K[x_1, \dots, x_n]$ .

**Remark 1.3.** By definition  $V(I) = V(\langle I \rangle) = V(f_1, \dots, f_m)$  where  $\langle I \rangle = (f_1, \dots, f_m)$  is finitely generated because  $K[x_1, \dots, x_n]$  is Noetherian. Therefore,  $X \subseteq \mathbb{A}^n$  is algebraic if and only if  $X = V(I)$  for some ideal  $I$  if and only if  $X = V(f_1, \dots, f_m)$  for a finite number of polynomials  $f_i$ .

**Example 1.4.** The following sets are algebraic:

- A parabola  $\{(x, x^2) \mid x \in K\} = V(y - x^2)$
- $\emptyset = V(K[x_1, \dots, x_n])$
- $\mathbb{A}^n = V(0)$
- Points:  $\{(a_1, \dots, a_n)\} = V(x_1 - a_1, \dots, x_n - a_n)$

**Lemma 1.5.** Let  $I, J \triangleleft K[x_1, \dots, x_n]$  be ideals. Then

- (a) If  $I \subseteq J$ , then  $V(I) \supseteq V(J)$ .
- (b)  $V(I \cap J) = V(IJ) = V(I) \cup V(J)$
- (c) For any family  $(I_t)_{t \in T}$  of ideals,  $\bigcap_t V(I_t) = V(\bigcup_t I_t) = V(\sum_t I_t)$

*Proof.* (a) is clear.

For (b), part (a) yields  $V(I \cap J) \subseteq V(IJ)$  and  $V(I), V(J) \subseteq V(I \cap J)$ , so it remains to show  $V(IJ) \subseteq V(I) \cup V(J)$ . Let  $a \in V(IJ)$ . Assume  $a \notin V(I)$ , i.e. there is  $f \in I$  such that  $f(a) \neq 0$ . Let  $g \in J$ . Then  $fg \in IJ$ , so  $0 = (fg)(a) = f(a)g(a)$ . Since  $f(a) \neq 0$ , we conclude  $g(a) = 0$ .

The first equation of (c) is tautological, the second one is remark 1.3. □

**Definition 1.6.** The *Zariski topology* on  $\mathbb{A}^n$  is the topology whose closed subsets are exactly the algebraic sets. That is,  $U \subseteq \mathbb{A}^n$  is open iff its complement is algebraic.

**Remark 1.7.** This is indeed a topology by example 1.4 and lemma 1.5. Note that the Zariski topology induces (via the subspace topology) a topology on any algebraic set  $X \subseteq \mathbb{A}^n$ , which is also called the Zariski topology.

Recall from general topology that a topological space  $X \neq \emptyset$  is called irreducible if  $X \neq X_1 \cup X_2$  with  $X_i \subsetneq X$  closed.  $\emptyset$  is not considered irreducible.

For example,  $V(xy) = V(x) \cup V(y)$  (the union of the coordinate axes in  $\mathbb{A}^2$ ) is not irreducible, while a parabola  $V(y - x^2)$  is irreducible (we will see how to check this later).

**Definition 1.8.** An *affine algebraic variety* is an irreducible closed subset of  $\mathbb{A}^n$ .

**Definition 1.9.** Let  $X \subseteq \mathbb{A}^n$  be an arbitrary set. We define the *vanishing ideal* of  $X$  as

$$I(X) := \{f \in K[x_1, \dots, x_n] \mid f(x) = 0 \text{ for all } x \in X\}$$

**Lemma 1.10.** Let  $X \subseteq \mathbb{A}^n$  and  $S \subseteq K[x_1, \dots, x_n]$ . Then

- (a)  $X \subseteq V(I(X))$  and  $S \subseteq I(V(S))$ .
- (b)  $V(I(X)) = \overline{X}$  is the closure of  $X$  (w.r.t. the Zariski topology).

*Proof.* (a) is clear, (b) is left as an exercise. □

**Proposition 1.11.** An affine algebraic set  $X \subseteq \mathbb{A}^n$  is a variety if and only if  $I(X)$  is a prime ideal.

*Proof.* Let  $X$  be a variety and let  $fg \in I(X)$  for  $f, g \in K[x_1, \dots, x_n]$ . We have  $X \subseteq V(fg) \stackrel{1.5}{=} V(f) \cup V(g)$ . Hence we can write  $X = (X \cap V(f)) \cup (X \cap V(g))$  as the union of two closed subsets. By irreducibility, wlog we have  $X = X \cap V(f)$ , i.e.  $X \subseteq V(f)$ , which is equivalent to  $f \in I(X)$ .

Conversely, suppose that  $X = A \cup B$  is not irreducible. Choose points  $a \in A \setminus B$  and  $b \in B \setminus A$ . By Lemma 1.10 and since  $A, B$  are closed, we get  $V(I(A)) = A$  and  $V(I(B)) = B$ . Hence there exist  $f \in I(A)$  and  $g \in I(B)$  with  $f(b) \neq 0$  and  $g(a) \neq 0$ . Thus  $fg \in I(X)$ , but both  $f, g \notin I(X)$ . □

**Remark 1.12.** If  $X = V(I)$  is an affine variety, this does not necessarily imply that  $I$  is prime: Consider  $V((x^2)) \subseteq \mathbb{A}^1$ :  $V((x^2)) = \{0\}$  is irreducible, but  $(x^2)$  is not prime.

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Note that  $\mathbb{A}^n$  is irreducible since  $K$  is infinite. However, this is no longer true if one considers finite fields, since then  $\mathbb{A}^n$  is the union of its finitely many points. For example,  $I(A_{\mathbb{F}_p}^1) = (X^p - X)$  is not prime.

We use the following result from commutative algebra without proof:

**Theorem 1.13** (Hilbert Nullstellensatz). Let  $J \triangleleft K[x_1, \dots, x_n]$ . Then

- (a)  $V(J) = \emptyset$  if and only if  $J = K[x_1, \dots, x_n]$ .
- (b)  $I(V(J)) = \sqrt{J} = \{f \in K[x_1, \dots, x_n] \mid f^n \in J \text{ for some } n\}$
- (c) If  $J$  is a maximal ideal, then  $J = (x_1 - a_1, \dots, x_n - a_n)$  for some  $a_i \in K$ .

**Corollary 1.14.** *There are inclusion-reversing bijections*

$$\begin{aligned} \{ \text{affine algebraic sets } X \subseteq \mathbb{A}^n \} &\xrightarrow[V]{I} \{ \text{radical ideals in } K[x_1, \dots, x_n] \} \\ \{ \text{affine algebraic varieties } X \subseteq \mathbb{A}^n \} &\xrightarrow[V]{I} \{ \text{prime ideals in } K[x_1, \dots, x_n] \} \\ \{ \text{points } a \in \mathbb{A}^n \} &\xrightarrow[V]{I} \{ \text{maximal ideals in } K[x_1, \dots, x_n] \} \end{aligned}$$

*Proof.* Clear from 1.13, 1.10 and 1.11.  $\square$

**Example 1.15.** Let  $f$  be irreducible in  $K[x_1, \dots, x_n]$ . Then  $V(f)$  is an affine variety. Varieties of this form are called hypersurfaces in  $\mathbb{A}^n$  (curves for  $n = 2$ , surfaces for  $n = 3$ ).

**Remark 1.16.** If  $X \subseteq \mathbb{A}^n$  is a variety, by proposition 1.11  $I(X)$  is prime, and  $K[x_1, \dots, x_n]/I$  is an integral domain. We can consider its fraction field  $\text{Frac}(K[x_1, \dots, x_n]/I)$ .

**Theorem 1.17.** *Any affine algebraic set can be uniquely written as a finite union of affine varieties.*

For the proof, we need some preparations.

**Definition 1.18.** A topological space  $X$  is called *Noetherian* if any chain of descending closed subsets  $X \supseteq X_1 \supseteq X_2 \supseteq \dots$  becomes stationary, i.e. there exists  $n$  s.t.  $X_m = X_n$  for all  $m > n$ .

**Lemma 1.19.** *Affine space  $\mathbb{A}^n$  is Noetherian.*

*Proof.* Let  $\mathbb{A}^n \supseteq X_1 \supseteq X_2 \supseteq \dots$  be a chain of closed subsets. Applying  $I(-)$  yields an ascending chain  $(0) \subseteq I(X_1) \subseteq I(X_2) \subseteq \dots$  of ideals in  $K[x_1, \dots, x_n]$ . This is a Noetherian ring, so there is some  $m$  such that  $I(X_n) = I(X_{n+1})$  for all  $n \geq m$ . By corollary 1.14(a),  $I$  is injective on closed subsets, so we are done.  $\square$

More generally,

**Corollary 1.20.** *Any affine algebraic space  $X \subseteq \mathbb{A}^n$  is Noetherian.*

*Proof.* Any chain in  $X$  is also a chain in  $\mathbb{A}^n$ .  $\square$

**Proposition 1.21.** *Let  $X \neq \emptyset$  be a Noetherian topological space.*

(a) *Then  $X$  can be written as a finite union of irreducible closed subspaces.*

(b) *Moreover, if we assume that  $X_i \not\subseteq X_j$  for  $i \neq j$ , then the above decomposition is unique up to permutation. In this case, the  $X_i$  are called irreducible components of  $X$ .*

*Proof.* Assume that (a) fails for  $X$ . Consider  $S = \{Y \subseteq X \mid Y \text{ closed, cannot be written as a finite union of irreducible closed subsets}\}$ . Since  $X$  is Noetherian,  $S$  must have some minimal element  $Y$  w.r.t. inclusion.  $Y$  is not irreducible, so we can write  $Y = Y_1 \cup Y_2$  with  $Y_{1,2}$  proper closed subspaces. By minimality,  $Y_1$  and  $Y_2$  can be written as finite unions of irreducible closed subsets, thus so can  $Y$ , contradicting  $Y \in S$ .

To check uniqueness, assume we have two decompositions  $X = X_1 \cup \dots \cup X_r = X'_1 \cup \dots \cup X'_s$  as in (b). Then  $X'_1 = \bigcup_i (X_i \cap X'_1)$ . Since  $X'_1$  is irreducible, wlog  $X'_1 \subseteq X_1$ . By the same argument,  $X_1 \subseteq X'_i$  for some  $i$ . If  $i \neq 1$ , then  $X'_1 \subseteq X'_i$ , contradicting our assumption. Hence  $i = 1$  and  $X_1 = X'_1$ . Proceed inductively with  $X \setminus X_1 = X_2 \cup \dots \cup X_r = X'_2 \cup \dots \cup X'_s$ .  $\square$

Combining 1.20 and 1.21 yields theorem 1.17.

**Remark 1.22.** The proof strategy for (a) can be summarized as follows: Let  $X$  be a Noetherian space and  $P$  a property of closed subsets. To show that  $P$  holds for all subsets of  $X$  (thus in particular for  $X$ ), it suffices to show that for all  $Y \subseteq X$  closed, if  $P$  holds for all proper closed subsets of  $Y$ , then it also holds for  $Y$ . This is called *Noether induction* (a special case of well-founded induction).

**Example 1.23.** Let  $f \in K[x_1, \dots, x_n]$ . This is a factorial ring, so we may write  $f = g_1^{k_1} \cdots g_r^{k_r}$  with  $g_i$  irreducible and pairwise different. Then

$$V(f) = V(g_1^{k_1}) \cup \cdots \cup V(g_r^{k_r}) = V(g_1) \cup \cdots \cup V(g_r)$$

is the decomposition of  $V(f)$  into irreducible subsets:  $V(g_i)$  is irreducible by proposition 1.11, since  $I(V(g_i)) = (g_i)$  is prime.

In general, finding this composition for  $V(f_1, \dots, f_r)$  is not easy.

**Example 1.24.** What is the Zariski topology on  $\mathbb{A}^1$ ? By definition, a closed/algebraic set is of the form  $V(I)$  for some ideal  $I \subseteq K[x]$ . Since  $K[x]$  is a PID,  $I = (f)$  for some  $f = (x - a_1)^{k_1} \cdots (x - a_r)^{k_r} \in K[x]$ . If  $f$  is not constant, we see as in example 1.23 that

$$X = V(f) = \bigcup_i V(x - a_i) = \{a_1, \dots, a_r\}.$$

Hence the closed sets are exactly  $V(0) = \mathbb{A}^1$ ,  $V(1) = \emptyset$ , and finite unions of points. In other words, the Zariski topology coincides with the cofinite topology on  $\mathbb{A}^1$ . The affine varieties on  $\mathbb{A}^1$  are therefore either  $\mathbb{A}^1$  itself or a single point.

We also see that any two non-empty open subsets have nontrivial intersection, so  $\mathbb{A}^1$  with the Zariski topology is not Hausdorff.

**Definition 1.25.** Let  $X$  be a nonempty topological space. We define the dimension of  $X$  as the supremum of all  $n \in \mathbb{N}$  such that there is a chain of irreducible subspaces  $\emptyset \neq Y_0 \subseteq Y_1 \subseteq \dots \subseteq Y_n \subseteq X$

**Example 1.26.** By example 1.24, a maximal chain of affine varieties in  $\mathbb{A}^1$  is  $\{0\} \subsetneq \mathbb{A}^1$ , hence  $\dim \mathbb{A}^1 = 1$ .

**Definition 1.27.** Let  $R$  be a (commutative) ring. The Krull dimension of  $R$  is the supremum over all  $l$  such that there is a chain of prime ideals  $\mathfrak{p}_l \subsetneq \mathfrak{p}_{l-1} \subsetneq \dots \subsetneq \mathfrak{p}_0 \subsetneq R$ .

Recall from corollary 1.14 that there is an inclusion-reversing correspondence between prime ideals of  $K[x_1, \dots, x_n]$  and affine algebraic varieties in  $\mathbb{A}^n$ . Fixing some variety  $X$ , it follows that subvarieties correspond bijectively to prime ideals that contain  $I(X)$ , i.e. prime ideals of  $K[x_1, \dots, x_n]/I(X)$ . Hence

**Proposition 1.28.** If  $X$  is an affine algebraic variety, then  $\dim X = \dim K[x_1, \dots, x_n]/I(X)$ .

## 2 Morphisms of Affine Varieties

### 2.1 Regular Morphisms

**Definition 2.1.** Let  $X \subseteq \mathbb{A}_K^n$  be an algebraic set. A function  $f : X \rightarrow K$  is *regular* if there is a polynomial  $F \in K[x_1, \dots, x_n]$  such that  $f : F|_X$ , i.e.  $f(x) = F(x)$  for all  $x \in X$ . Write  $A(X)$  for the set of regular functions on  $X$ .

**Remark 2.2.**  $A(X)$  is a ring (and even a  $K$ -algebra) in a natural way, with addition and multiplication defined pointwise. Moreover, there is a homomorphism of  $K$ -algebras

$$K[x_1, \dots, x_n] \rightarrow A(X), \quad F \mapsto F|_X.$$

The kernel of this morphism is exactly  $I(X)$ , so that  $A(X) \cong K[x_1, \dots, x_n]/I(X)$  canonically.

**Remark 2.3.** By corollary 1.14,  $A(X)$  is always reduced,  $A(X)$  is integral iff  $X$  is a variety, and  $A(X)$  is a field iff  $X$  is a point (in which case  $A(X) \cong K$ ).

**Definition 2.4.** Let  $X \subseteq \mathbb{A}^n, Y \subseteq \mathbb{A}^m$  be affine algebraic sets. A map  $\varphi : X \rightarrow Y$  is called *regular* if  $\varphi = (f_1, \dots, f_m)$  for some regular  $f_1, \dots, f_m \in A(X)$ . A regular map  $\varphi$  is an isomorphism if it has an inverse which is also regular.

**Example 2.5.** (i)  $f : \mathbb{A}^1 \rightarrow V(y - x^2) \subseteq \mathbb{A}^2, t \mapsto (t, t^2)$  is a regular map. It has inverse  $(x, y) \mapsto x$ , which is also regular, hence  $\mathbb{A}^1 \cong V(y - x^2)$ .

(ii)  $\varphi : \mathbb{A}^1 \rightarrow V(y^2 - x^3) \subseteq \mathbb{A}^2, t \mapsto (t^2, t^3)$  is regular and bijective as well, but its inverse  $(x, y) \mapsto \frac{y}{x}$  is not regular, so  $\varphi$  is not an isomorphism.

**Proposition 2.6.** Let  $X \subseteq \mathbb{A}^n$  and  $Y \subseteq \mathbb{A}^m$  be algebraic sets, and let  $\varphi : X \rightarrow Y$  be a regular map. Then  $\varphi$  is continuous (w.r.t. the Zariski topology on  $X$  and  $Y$ ).

*Proof.* Let  $\varphi = (f_1, \dots, f_m)$  and  $J = \langle F_1, \dots, F_k \rangle \subseteq K[x_1, \dots, x_m]$  with  $V(J) \subseteq Y$ . Then

$$\varphi^{-1}(V(J)) = \varphi^{-1}(V(F_1, \dots, F_k)) = \{x \in X \mid F_j(f_1(x), \dots, f_m(x)) = 0, j = 1, \dots, k\}$$

Now  $F_j(f_1(x), \dots, f_m(x))$  is a composition of polynomials, hence a polynomial, call it  $\tilde{F}_j$ . We conclude  $\varphi^{-1}(V(J)) = X \cap V(\tilde{F}_1, \dots, \tilde{F}_k)$  as desired.  $\square$

**Remark 2.7.** The converse is false. For example, one easily concludes from example 1.24 that every bijective map  $\mathbb{A}^1 \rightarrow \mathbb{A}^1$  is continuous, but there are way more bijections than polynomials (say because polynomials are defined by their values on any infinite subset). On the other hand, if  $K$  is finite (loosing algebraic closedness), then every function  $\mathbb{A}^1 \rightarrow \mathbb{A}^1$  is regular.

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**Remark 2.8.** Let  $X$  be an algebraic set, and let  $f : X \rightarrow \mathbb{A}^1$ . Then  $f$  is a regular map if and only if  $f$  is a regular function. Note that the composition of regular maps is regular, since compositions of polynomials are polynomials.

**Definition 2.9.** Let  $X, Y$  be algebraic sets and  $F : X \rightarrow Y$  be regular. Then we set  $F^* : A(Y) \rightarrow A(X)$ ,  $g \mapsto g \circ F$ . This is well-defined by remark 2.8, and  $F^*$  clearly preserves addition and multiplication, so it is a morphism of  $K$ -algebras.

**Remark 2.10.** Let  $F = (f_1, \dots, f_m) : X \rightarrow Y$ ,  $f_i \in K[x_1, \dots, x_n]$ , then  $F^*$  is given by the  $K$ -algebra homomorphism  $A(Y) \cong K[y_1, \dots, y_m]/I(Y) \rightarrow K[x_1, \dots, x_n]/I(X) \cong A(X)$  (see remark 2.2) defined by  $y_i \mapsto f_i$ . Hence  $F(x) = (F_1^*(y_1), \dots, F_m^*(y_m))$ .

- Theorem 2.11.**
- (i) There is a bijection  $\text{Mor}(X, Y) \rightarrow \text{Hom}_{K\text{-Alg}}(A(Y), A(X))$  given by  $F \mapsto F^*$ .
  - (ii) If  $F : X \rightarrow Y$  and  $H : Y \rightarrow Z$  are regular, then  $(H \circ F)^* = F^* \circ H^*$ . Further,  $\text{id}_X^* = \text{id}_{A(X)}$ .
  - (iii) Let  $F : X \rightarrow Y$  be regular. Then  $F$  is an isomorphism of affine sets if and only if  $F^*$  is an isomorphism of  $K$ -algebras.

*Proof.* Injectivity in (i) follows from remark 2.10. For surjectivity, let  $\varphi : A(Y) \rightarrow A(X)$  be a  $K$ -algebra homomorphism and define  $F : X \rightarrow Y$  by  $F = (\varphi(y_1), \dots, \varphi(y_m))$ . We need to check that this is well-defined, i.e. that the image of  $F$  lies in  $Y$ . Then it is clear that  $F$  is regular and that  $F^* = \varphi$ , again by remark 2.10.

So let  $g \in I(Y)$ , we need to show  $g \circ F = 0$ . But this is exactly the statement  $\varphi([g]) = \varphi(0) = 0$ .

For (ii),  $\text{id}_X^* = \text{id}_{A(X)}$  is clear, and for  $f \in A(Z)$  one has

$$(H \circ F)^*(f) = f \circ H \circ F = H^*(f) \circ F = (F^* \circ H^*)(f),$$

so  $(H \circ F)^* = F^* \circ H^*$ . Then (iii) follows from (i) and (ii).  $\square$

**Example 2.12.** Looking again at the maps from example 2.5, we see that  $f : \mathbb{A}^1 \rightarrow V(y-x^2)$ ,  $t \mapsto (t, t^2)$  is an isomorphism, because  $f^* : K[x, y]/(y-x^2) \rightarrow K[t]$ ,  $x \mapsto t$ ,  $y \mapsto t^2$  clearly is. On the other hand, let  $\varphi : \mathbb{A}^1 \rightarrow V(y^2-x^3)$ ,  $t \mapsto (t^2, t^3)$ . We saw that this is a bijective regular map and gave intuitive reasoning for why this map isn't an isomorphism. But now we can prove it: We have

$$f^* : K[x, y]/(y^2-x^3) \rightarrow K[t], \quad x \mapsto t^2, y \mapsto t^3$$

is not surjective, for the image does not contain  $t$ .

**Remark 2.13.** In categorical terms, theorem 2.11 says that

$$\begin{aligned} \left\{ \begin{array}{l} \text{algebraic sets} \\ \text{regular maps} \end{array} \right\} &\rightarrow \left\{ \begin{array}{l} \text{finitely generated reduced } K\text{-algebras} \\ K\text{-algebra homomorphisms} \end{array} \right\} \\ X &\mapsto A(X) \\ F &\mapsto F^* \end{aligned}$$

is a contravariant functor, and even an equivalence of categories: For essential surjectivity, note that every finitely generated  $K$ -algebra can be written as a quotient  $K[x_1, \dots, x_n]/I$  by choosing generators. Then consider  $X = V(I)$ .

**Proposition 2.14.** Let  $X, Y$  be algebraic sets, and let  $f : X \rightarrow Y$  be a regular map. Then

- (i)  $f^* : A(Y) \rightarrow A(X)$  is surjective if and only if  $\overline{f(X)} = Y$ , i.e. if the image of  $f$  is dense in  $Y$ .
- (ii)  $f^*$  is injective if and only if  $f(X) \subseteq Y$  is closed and  $f : X \rightarrow f(X)$  is an isomorphism.

*Proof.* Exercise.  $\square$

## 2.2 Rational Maps of Varieties

Let  $X \subseteq \mathbb{A}^n$  be an affine algebraic variety. Then  $I(X)$  is prime, so  $A(X) \cong K[x_1, \dots, x_n]/I(X)$  is an integral domain. Hence we can define its field of fractions  $K(X) := \text{Frac } A(X)$ .

**Definition 2.15.** An element  $\varphi \in K(X)$  is called regular at  $x \in X$  if there exist  $f, g \in A(X)$  with  $\varphi = \frac{f}{g}$  and  $g(x) \neq 0$ .

**Example 2.16.** Let  $X = V(x^2 - yz) \subseteq \mathbb{A}^3$  and  $x = (0, 0, 1)$ . Consider  $\varphi = \frac{y}{x} \in K(X)$ . Even though it may look like  $\varphi$  might not be regular at  $x$ , one can note that  $\frac{y}{x} = \frac{x}{z}$  in  $K(X)$ , so actually  $\varphi(x)$  can be defined and  $\varphi$  is regular at  $x$ .

**Proposition 2.17.** Let  $\varphi \in K(X)$ . Then  $\varphi$  is regular at every  $x \in X$  if and only if  $\varphi \in A(X)$

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**Remark 2.18.** If  $X \subseteq \mathbb{A}^n$  is an affine algebraic variety, the closed sets are exactly of the form  $V_X(I) := \{x \in X \mid f(x) = 0 \text{ for all } f \in I\}$  for ideals  $I \subseteq A(X)$ , and  $V_X$  is still an inclusion-reversing bijection between radical ideals and closed subsets, compare exercises.

*Proof.* Assume  $\varphi \in K(X)$  is regular at every point  $x \in X$ . Consider  $I := \{f \in A(X) \mid f\varphi \in A(X)\}$ . Then the claim is equivalent to  $I = A(X)$ , hence to  $V_X(I) = \emptyset$  by remark 2.18. Assume there exists  $x \in V_X(I)$ . Since  $\varphi$  is regular at  $x$ , we can write  $\varphi = \frac{g}{h}$  with  $g, h \in A(X)$  and  $h(x) \neq 0$ . Hence  $h \in I$ , and  $h(x) = 0$  by choice of  $x$ , contradiction.  $\square$

**Definition 2.19.** Let  $X \subseteq \mathbb{A}^n$  be an affine variety and  $U \subseteq X$  be open. Denote  $\mathcal{O}_X(U) := \{\varphi \in K(X) \mid \varphi \text{ regular at all } x \in U\}$ . For  $\varphi \in K(X)$ , its domain is  $\text{dom}(\varphi) := \{a \in X \mid \varphi \text{ is regular at } a\}$ . In other words,  $\mathcal{O}_X(U) = \{\varphi \in K(X) \mid U \subseteq \text{dom}(\varphi)\}$ .

By proposition 2.17,  $\mathcal{O}_X(X) = A(X)$ .

**Example.** (i)  $\varphi = \frac{y}{x}$  on  $X = V(y - x^2)$  is regular, since  $\varphi = x$ . Hence  $\text{dom}(\varphi) = X$ .  
(ii)  $\varphi = \frac{y}{x}$  on  $X = V(y^2 - x^3)$  has  $\text{dom}(\varphi) = X \setminus \{(0, 0)\}$ .

**Proposition 2.20.** Let  $\varphi \in K(X)$ . Then  $\text{dom}(\varphi)$  is an open non-empty set in  $X$ .

*Proof.* Define  $I := \{f \in A(X) \mid f\varphi \in A(X)\}$ . As before, we have  $\varphi$  is regular at  $x$  if and only if  $x \notin V_X(I)$ , so  $\text{dom } \varphi = X \setminus V_X(I)$  is open.  $\square$

**Remark 2.21.** Let  $X$  be an irreducible topological space. Then

- (i) Every non-empty open subset  $U \subseteq X$  is dense in  $X$ .
- (ii) If  $U_1, U_2 \subseteq X$  are open and non-empty, then  $U_1 \cap U_2 \neq \emptyset$ .

Hence, if  $X$  is an affine variety and  $f \in A(X)$  evaluates to zero on some non-empty open, then already  $f = 0$ .

**Remark 2.22.** Let  $U \subseteq X$  be a non-empty open. Any regular  $\varphi \in \mathcal{O}_X(U) \subseteq K(X)$  defines a set-theoretical function  $\varphi : U \rightarrow K$ , by sending  $a \in U$  to  $\frac{f(a)}{g(a)}$ , where  $\varphi = \frac{f}{g}$  with  $f, g \in A(X)$  and  $g(a) \neq 0$ . This is well-defined, for if  $\varphi = \frac{f_1}{g_1}$  with  $g_1(a) \neq 0$ , then  $f_1g_1 - f_1g_1 = 0$  in  $A(X)$ .

Conversely, let  $\varphi : U \rightarrow K$  be a (set-theoretical) function. Then  $\varphi$  defines a regular function on  $U$  if for every  $a \in U$  there is an open neighbourhood  $a \in V \subseteq U$  such that  $\varphi(b) = \frac{f(b)}{g(b)}$  for all  $b \in V$ , where  $f, g \in K[x_1, \dots, x_n]$  and  $g(b) \neq 0$  for all  $b \in V$ .

These assignments  $(\varphi \in \mathcal{O}_X(U)) \mapsto (\varphi : U \rightarrow K)$  and  $(\varphi : U \rightarrow K) \mapsto [\frac{f}{g}]$  are clearly well-defined and mutually inverse, so this is an equivalent view on regular functions on  $U$ .

One sees easily that the composition of regular maps is again regular.

**Remark 2.23.** Let  $\varphi_1, \varphi_2 \in \mathcal{O}_X(V)$  be two regular functions, and let  $U \subseteq V$  be nonempty open. If  $\varphi_1|_U = \varphi_2|_U$  then  $\varphi_1 = \varphi_2$ .

**Definition 2.24.** (i) A *quasi-affine variety* is an open subset of an affine algebraic variety.  
(ii) A regular map between quasi-affine varieties  $U \subseteq \mathbb{A}^n, V \subseteq \mathbb{A}^m$  is a map  $\varphi : U \rightarrow V$  given by  $\varphi = (\varphi_1, \dots, \varphi_m)$  with  $\varphi_i$  regular on  $U$ .  $\varphi$  is an isomorphism if there is a regular inverse.

**Remark 2.25.** For affine varieties, by remark 2.13 all information on regular maps  $f : X \rightarrow Y$  could be obtained from their induced coordinate maps  $f^* : A(Y) \rightarrow A(X)$ . This is no longer true for quasi-affine varieties: for example,  $\mathbb{A}^2 \setminus 0 \hookrightarrow \mathbb{A}^2$  induces an isomorphism of coordinate rings

**Definition 2.26.** Let  $X$  be an affine variety and  $f \in A(X)$ . Then  $D(f) := X \setminus V_X(f)$  is called the *distinguished open subset* of  $f$  in  $X$ .

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**Remark 2.27.** Since  $D(f) \cap D(g) = D(fg)$ , finite intersections of distinguished opens are again distinguished open. Any open  $U \subseteq X$  is a finite union of distinguished open subsets. Indeed,  $U = X \setminus V_X(f_1, \dots, f_n) = \bigcup_i D(f_i)$ .

**Proposition 2.28.** Let  $X$  be an affine variety and  $0 \neq f \in A(X)$ . Then  $\mathcal{O}_X(D(f)) = A(X)_f = \{\frac{g}{f^n} \mid g \in A(X), n \in \mathbb{N}\} \subseteq K(X)$ . In particular, on a distinguished open subset a regular function is always globally the quotient of two elements from  $A(X)$ .

*Proof.*  $\supseteq$  is clear. So let  $\varphi \in \mathcal{O}_X(D(f))$  and consider

$$I = \{h \in A(X) \mid h\varphi \in A(X)\} \subseteq A(X).$$

This is an ideal which clearly satisfies  $V_X(I) \cap D(f) = \emptyset$ . Hence  $V_X(I) \subseteq V_X(f)$ , and by the Nullstellensatz 1.13 we see that  $f \in \sqrt{I}$ , i.e.  $f^n \in I$  for some  $n$ .  $\square$

**Example 2.29.** Consider  $D(x) = \mathbb{A}^1 \setminus 0 \rightarrow V(xy - 1) \subseteq \mathbb{A}^2, x \mapsto (x, \frac{1}{x})$ . This is an isomorphism (with inverse  $(x, y) \mapsto x$  between the quasi-affine  $\mathbb{A}^1 \setminus 0$  and the affine variety  $V(xy - 1)$ ). Note that this is not true in general: not every quasi-affine variety is isomorphic to an affine variety. For example,  $\mathbb{A}^2 \setminus 0$  isn't isomorphic to any affine variety. However, we have

**Proposition 2.30.** Let  $X$  be an affine variety and  $f \in A(X)$ . Then  $D(f)$  is isomorphic to an affine variety  $Y$  with  $A(Y) \cong A(X)_f$ .

*Proof.* Set

$$Y := \{(x, t) \in X \times \mathbb{A}^1 \mid tf(x) = 1\} \subseteq X \times \mathbb{A}^1 \subseteq \mathbb{A}^{n+1}.$$

Then as in example 1.28,  $D(f) \rightarrow Y, x \mapsto (x, \frac{1}{f(x)})$  is an isomorphism with inverse  $(x, y) \mapsto x$ , so  $D(f) \cong Y$  and  $A(Y) \cong \mathcal{O}_X(D(f)) \cong A(X)_f$ .  $\square$

We have seen that for  $X$  an algebraic set and  $f \in A(X)$  regular,  $V_X(f)$  is closed in  $X$ . The same is true for quasi-affine varieties:

**Lemma 2.31.** Let  $X$  be an affine variety and  $U \subseteq X$  open. Let  $\varphi \in \mathcal{O}_X(U)$ . Then  $V_U(\varphi) := V(\varphi) := \{x \in U \mid \varphi(x) = 0\}$  is closed in  $U$ .

*Proof.* Let  $a \in U$ . Then there exists an open neighbourhood  $a \in U_a \subseteq U$  and  $f, g \in A(X)$  such that  $\varphi = \frac{f_a}{g_a}$  on  $U_a$ . Then

$$U_a \setminus V(\varphi) = \{x \in U_a \mid \varphi(x) \neq 0\} = \{x \in U_a \mid f_a(x) \neq 0\} = U_a \setminus V(f_a)$$

is open in  $X$ , hence  $U \setminus V(\varphi) = \bigcup_a U_a \setminus V(\varphi)$  is open.  $\square$

**Proposition 2.32.** Let  $X$  be a quasi-affine variety and  $U \subseteq X$  be open. Let  $\varphi, \psi$  be two regular functions on  $X$  such that  $\varphi|_U = \psi|_U$ . Then  $\varphi = \psi$  on  $X$ .

*Proof.*  $V_X(\varphi - \psi)$  contains the open, hence dense by 2.21, set  $U$ .  $\square$

**Proposition 2.33.** Let  $X, Y$  be algebraic sets and  $U \subseteq X$  be open. Then any regular map  $\varphi : U \rightarrow Y$  is continuous (w.r.t. the Zariski topology). In particular,  $\varphi \in \mathcal{O}_X(U)$  is a continuous map  $U \rightarrow \mathbb{A}^1$ .

*Proof.* Let  $\varphi = (\varphi_1, \dots, \varphi_m)$  and let  $Z = V_Y(g_1, \dots, g_m) \subseteq Y$  be a closed subset. Then  $\varphi^{-1}(Z) = \{x \in U \mid g_i(\varphi_1(x), \dots, \varphi_m(x)) = 0 \text{ for all } i\}$ , which is closed by lemma 2.31.  $\square$

Let  $\varphi : U \rightarrow V$  be regular. For any regular map  $f \in \mathcal{O}(V)$ , the composition  $f \circ \varphi \in \mathcal{O}(U)$  is well-defined, hence we get as before a  $K$ -algebra homomorphism

$$\varphi^* : \mathcal{O}(V) \rightarrow \mathcal{O}(U), \quad f \mapsto f \circ \varphi.$$

The assignment  $U \mapsto \mathcal{O}(U)$ ,  $\varphi \mapsto \varphi^*$  is a contravariant functor as before, but no longer an equivalence of categories, see exercises.

Let  $X, Y$  be affine algebraic subsets. We know that regular maps  $X \rightarrow Y$  are given by polynomial functions. It may happen that we do not have any "interesting" polynomial maps. For example, over  $K = \mathbb{C}$  consider  $X = \mathbb{A}^1$  and  $Y = V(x^2 + y^2 - 1) \subseteq \mathbb{A}^2$ . Then the only regular maps  $X \rightarrow Y$  are constant. However, the nontrivial map  $t \mapsto (\frac{t^2-1}{t^2+1}, \frac{2t}{t^2+1})$  induces an isomorphism  $\mathbb{A}^1 \setminus \{\pm i\} \rightarrow Y \setminus \{(1, 0)\}$ .

Let  $X$  be an affine algebraic variety. Then  $\varphi \in K(X)$  is a regular function on  $\text{dom } \varphi$ . Moreover, given  $\varphi_1, \dots, \varphi_m \in K(X)$ , we get a regular map on the open set  $\bigcap_i \text{dom } \varphi_i \rightarrow \mathbb{A}^m$ .

**Definition 2.34.** Let  $X$  be an affine algebraic variety and  $Y$  an affine algebraic set. A *rational map*  $\varphi : X \dashrightarrow Y \subseteq \mathbb{A}^m$  is given by  $\varphi = (\varphi_1, \dots, \varphi_m)$  with  $\varphi_i \in K(X)$  such that  $\varphi(x) \in Y$  for every  $x \in \text{dom } \varphi := \bigcap_i \text{dom } \varphi_i$ . A rational map  $\varphi : X \dashrightarrow Y$  is called *dominant* if the image of  $\varphi$  is dense in  $Y$ , i.e. if  $\varphi(\text{dom } \varphi) = Y$ .

A rational map  $\varphi : X \dashrightarrow Y$  induces a regular map  $\text{dom } \varphi \rightarrow Y$ . Let  $\varphi : X \dashrightarrow Y$  and  $\psi : Y \dashrightarrow Z$  be rational maps. Then  $\psi$  might not be defined on  $\text{im } \varphi$ . But if  $\varphi$  is dominant, then  $\psi \circ \varphi$  is well-defined on the non-empty open  $\varphi^{-1}(\text{dom } \psi)$ .

**Definition 2.35.** Let  $X$  be an affine algebraic variety and  $Y$  an affine algebraic set. A rational map  $\varphi : X \dashrightarrow Y$  is an equivalence class of pairs  $(U, \varphi_U)$ , where  $U \subseteq X$  is nonempty open,  $\varphi_U : U \rightarrow Y$  is regular, and  $(U, \varphi_U) \sim (V, \varphi_V)$  if and only if  $\varphi_U|_{U \cap V} = \varphi_V|_{U \cap V}$ . The rational map is dominant if for some (and therefore all)  $(U, \varphi_U)$  one has  $\varphi_U(U) = Y$ .

**Remark 2.36.** The relation in definition 2.35 is an equivalence relation. Indeed, if  $(U, \varphi_U) \sim (V, \varphi_V) \sim (W, \varphi_W)$ , then  $\varphi_U|_{U \cap W}$  and  $\varphi_W|_{U \cap W}$  are regular maps that agree on the non-empty open  $U \cap V \cap W$ , hence they are equal by proposition 2.32.

The two above definitions are equivalent: If  $\varphi$  is regular in the sense of 2.34, then  $[(\text{dom } \varphi, \varphi)]$  defines a regular map as in 2.35. Conversely, if an equivalence class  $\{(\text{dom } \varphi_i, \varphi_i)\}_i$  is given, then the map  $\bigcup_i \text{dom } \varphi_i, x \mapsto \varphi_i(x)$  for any  $i$  with  $x \in \text{dom } \varphi_i$  is regular, i.e. a rational map as in 2.34. Clearly, the notion of dominance is preserved by these identifications.

One can compose dominant rational maps  $\varphi : X \dashrightarrow Y, \psi : Y \dashrightarrow Z$  by setting

$$[(U, \varphi_U)] \circ [(V, \varphi_V)] := [(\varphi_U^{-1}(V), \psi_V \circ \varphi_U|_{\varphi_U^{-1}(V)})]$$

Write  $\text{Mor}_{\text{rat}}(X, Y)$  for the set of rational morphisms  $X \dashrightarrow Y$ .

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**Definition 2.37.** Let  $\varphi : X \dashrightarrow Y$  be dominant. In the same way as for regular maps, we define

$$\varphi^* : \text{Mor}_{\text{rat}}(Y, \mathbb{A}^1) \rightarrow \text{Mor}_{\text{rat}}(X, \mathbb{A}^1), \quad f \mapsto f \circ \varphi.$$

**Proposition 2.38.** Let  $X$  be an affine algebraic variety. Then  $\text{Mor}_{\text{rat}}(X, \mathbb{A}^1)$  is a field with the operations  $(U, f) * (V, g) := (U \cap V, f|_{U \cap V} + g|_{U \cap V})$  for  $* \in \{+, -, \cdot\}$  and  $(U, f)^{-1} = (U \setminus V(f), \frac{1}{f})$ . Moreover,  $\text{Mor}_{\text{rat}}(X, \mathbb{A}^1) \cong K(X)$  as fields.

*Proof.* It is clear that the given operations are well-defined and make  $\text{Mor}_{\text{rat}}(X, \mathbb{A}^1)$  a field. The equivalence of definitions 2.34 and 2.35 provides a field isomorphism  $K(X) \rightarrow \text{Mor}_{\text{rat}}(X, \mathbb{A}^1)$ ,  $f \mapsto (\text{dom } f, f)$ .  $\square$

**Corollary 2.39.** If  $\varphi : X \dashrightarrow Y$  is a dominant rational map between affine varieties, we get a  $K$ -homomorphism of fields  $\varphi^* : K(Y) \rightarrow K(X)$ ,  $f \mapsto f \circ \varphi$ .

Recall that for regular maps, we had in 2.13 an equivalence between algebraic sets + regular maps, and reduced f.g.  $K$ -algebras +  $K$ -algebra homomorphisms. In the case of rational maps, we get similarly

**Theorem 2.40.**  $\varphi \mapsto \varphi^*$  is a bijection  $\{\varphi \in \text{Mor}_{\text{rat}}(X, Y) \mid \varphi \text{ dominant}\}$  to  $\text{Hom}_K(K(Y), K(X))$ . This assignment is functorial, and induces an equivalence of categories

$$\begin{array}{ccc} \left\{ \begin{array}{l} \text{affine algebraic varieties +} \\ \text{dominant rational maps} \end{array} \right\} & \longleftrightarrow & \left\{ \begin{array}{l} \text{field extensions } L/K \text{ of finite transcendence degree +} \\ K\text{-linear homomorphisms} \end{array} \right\} \\ X & \mapsto & K(X) \\ \varphi & \mapsto & \varphi^* \end{array}$$

*Proof.* To show that  $\varphi \mapsto \varphi^*$  is a bijection, define an inverse by assigning to  $f : K(Y) \rightarrow K(X)$  the morphism  $(f(y_1), \dots, f(y_m))$ . Everything else is clear.  $\square$

**Definition 2.41.** A dominant rational map  $\varphi : X \dashrightarrow Y$  is called a *birational equivalence* (and  $X$  and  $Y$  are called *birational* or *birationally equivalent*) if there exists a rational dominant map  $\psi : Y \dashrightarrow X$  such that  $\varphi \circ \psi = \text{id}_Y$  and  $\psi \circ \varphi = \text{id}_X$  as rational maps.

**Proposition 2.42.** Let  $X, Y$  be affine algebraic varieties. The following statements are equivalent:

- (i)  $X$  and  $Y$  are birational.
- (ii)  $K(X) \cong K(Y)$ .
- (iii) There exist non-empty open subsets  $U \subseteq X$  and  $V \subseteq Y$  such that  $U \cong V$  are isomorphic (in the sense of regular maps).

*Proof.* (i)  $\Leftrightarrow$  (ii) follows from 2.40 and (iii)  $\Rightarrow$  (i) from the definition of rational function as regular functions on some open. Now assume (i), i.e. that there exists a birational equivalence  $\varphi = (U, \varphi_U) : X \dashrightarrow Y$  with inverse  $\psi = (V, \psi_V)$ . Then  $\varphi = (U \cap \psi^{-1}(V), \varphi_U|_U)$  and  $\psi = (V \cap \varphi^{-1}(U), \psi_V|_V)$  are the required isomorphisms  $U \cap \psi^{-1}(V) \cong V \cap \varphi^{-1}(U)$ .  $\square$

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**Remark 2.43.** An affine algebraic variety  $X$  is called *rational*, if  $X$  is birational to  $\mathbb{A}^k$  for some  $k$ . Equivalently,  $K(X)/K$  is a purely transcendental field extension. For example, in the exercises we proved that  $S = V(x^2 + y^2 - 1) \subseteq \mathbb{A}^2$  is rational<sup>1</sup>.

**Theorem 2.44.** Every affine algebraic variety  $X$  is birational to some hypersurface, i.e. a variety  $V(f) \subseteq \mathbb{A}^n$  for some irreducible  $f \in K[x_1, \dots, x_n]$ .

<sup>1</sup>Our proof works in  $\text{char } K \neq 2$ , but otherwise  $\sqrt{(x^2 + y^2 - 1)} = (x + y - 1)$ , so even  $A(S) \cong K[x]$

*Proof.* For simplicity, we only consider the case  $\text{char } K = 0$ . Since  $K(X)/K$  is finitely generated, by basic algebra  $K(X)/K$  factors as a purely transcendental extension followed by a finite one  $K(X)/K(t_1, \dots, t_d)/K$ . Since everything is separable,  $K(X)/K(t_1, \dots, t_d)$  is generated by a primitive element, i.e.  $K(X) = K(t_1, \dots, t_d, \alpha)$  with  $\alpha$  algebraic over  $K(t_1, \dots, t_d)$ . Let wlog  $f \in K[t_1, \dots, t_d]$  be the minimal polynomial of  $\alpha$ . Then  $K(X) \cong \text{Frac } K[t_1, \dots, t_d, s]/(f(s)) \cong K(V(f))$  as desired.  $\square$

**Remark 2.45.** Let  $X \subseteq \mathbb{A}^n$ ,  $Y \subseteq \mathbb{A}^m$  be affine algebraic sets. Then  $X \times Y \subseteq \mathbb{A}^{n+m}$  is also affine algebraic, given by the same equations, now considered in  $K[x_1, \dots, x_n, y_1, \dots, y_m]$ . Furthermore, if  $X, Y$  are irreducible, then so is  $X \times Y$  (Exercise). This is the product in the category of affine algebraic sets (resp. varieties), i.e. for regular maps  $\varphi : Z \rightarrow X$ ,  $\psi : Z \rightarrow Y$ , there exists a unique regular map  $Z \rightarrow X \times Y$ . Therefore  $A(X \times Y) = A(X) \otimes_K A(Y)$ .

### 3 Projective Varieties

**Definition 3.1.** Projective  $n$ -space over  $K$  is given by  $\mathbb{P}_K^n := \mathbb{A}^{n+1} \setminus \{0\} / \sim$ , where  $x \sim y$  if  $x = \lambda y$  for some  $\lambda \in K$ . We denote the equivalence class of  $x$  by  $[x_0 : x_1 : \dots : x_n]$ , called the *homogeneous coordinates* of  $x$ .

Note that points in  $\mathbb{P}^n$  correspond to one-dimensional linear subspaces of  $\mathbb{A}^{n+1}$ .

**Remark 3.2.** We would like to define projective algebraic sets as zeroes of polynomials as in the affine case. But this is not well-defined, because evaluation of a polynomial need not respect the equivalence relation of 3.1. For example, let  $f = x_1^2 - x_0 \in K[x_0, x_1]$ . Then  $f(1, 1) = 0$  and  $f(-1, -1) = 2$ , but  $[1 : 1] = [-1 : -1] \in \mathbb{P}_K^1$ .

This problem can be solved by only considering *homogeneous polynomials*. For such a polynomial

$$f = \sum_{k_0+\dots+k_n=d} a_{k_0, \dots, k_n} x_0^{k_0} \cdots x_n^{k_n},$$

we have  $f(\lambda x) = \lambda^d f(x)$ , so  $f(x) = 0$  is well-defined for  $x \in \mathbb{P}^n$ .

**Definition 3.3.** An ideal  $I \subseteq K[x_0, \dots, x_n]$  is called homogeneous if it can be generated by homogeneous polynomials.

**Remark 3.4.** (i) If  $I$  is homogeneous and  $f \in K[x_0, \dots, x_n]$ , write  $f = f_0 + f_1 + \dots + f_d$  with  $f_i$  homogeneous of degree  $i$ . Then  $f \in I$  if and only if  $f_i \in I$  for all  $i$ . (Say  $I = (g_1, \dots, g_n)$  with  $g_i$  homogeneous, write  $f = \sum g_i h_i$ . Then  $f_d = \sum g_i(h_i)_{d-\deg g_i} \in I$ .)  
(ii) If  $I_1, I_2$  are homogeneous ideals, then so are  $I_1 + I_2, I_1 I_2, I_1 \cap I_2, \sqrt{I_1}$ . (For " $\cap$ ", find an arbitrary generating set and then use (i),  $\sqrt{-}$  is exercise.)

**Definition 3.5.** Let  $f_1, \dots, f_k \in K[x_0, \dots, x_n]$  be homogeneous. Then

$$V(f_1, \dots, f_k) := V^p(f_1, \dots, f_k) := \{x \in \mathbb{P}_K^n \mid f_i(x) = 0 \text{ for all } i\}$$

is called a *projective algebraic set*. In the same way, for a homogeneous ideal  $I \subseteq K[x_0, \dots, x_n]$ , set

$$V(I) := V^p(I) := \{x \in \mathbb{P}_K^n \mid f(x) = 0 \text{ for all } f \in I \text{ homogeneous}\}.$$

**Example 3.6.** We have  $V^p(0) = \mathbb{P}^n$ ,  $V^p(1) = \emptyset$ . Further, every point  $x = [x_0 : \dots : x_n]$  forms a projective algebraic set, since  $V^p(a_i x_j - a_j x_i)_{i,j} = \{x\}$ .

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**Remark 3.7.** Just as in 1.5, projective algebraic sets are closed under arbitrary intersections and finite unions.

**Definition 3.8.** The *Zariski topology* on  $\mathbb{P}^n$  is defined as the topology which closed sets the projective algebraic sets. On a projective algebraic set  $X \subseteq \mathbb{P}^n$ , the induced subspace topology is also called the Zariski topology on  $X$ .

**Definition 3.9.** A projective algebraic variety is an irreducible projective algebraic set.

For a subset  $X \subseteq \mathbb{P}^n$  we may set

$$I^p(X) := \{f \in K[x_0, \dots, x_n] \mid f(x) = 0 \text{ for all } x \in X\}.$$

$V^p$  and  $I^p$  enjoy many of the same properties as in the affine case. In particular

**Proposition 3.10.** (i) For a subset  $X \subseteq \mathbb{P}^n$ ,  $V^p(I^p(X)) = \overline{X}$ .  
(ii) For a homogeneous ideal  $I \subseteq K[x_0, \dots, x_n]$  with  $(x_0, \dots, x_n) \not\subseteq I$ ,  $I^p(V^p(I)) = \sqrt{I}$ .

(iii) A projective algebraic set  $X$  is a variety if and only if  $I^p(X)$  is a prime ideal.

*Proof.* (i) and (ii) as in the affine case. For (iii), we need the following

Claim: A homogeneous ideal  $I \subseteq K[x_0, \dots, x_n]$  is prime if and only if for all homogeneous  $f, g \in L[x_0, \dots, x_n]$  with  $fg \in I$ , one has  $f \in I$  or  $g \in I$ .

Indeed, suppose  $I$  were not prime, and let  $f, g \notin I$  such that  $fg \in I$ . Let  $d_0, e_0$  be maximal w.r.t.  $f_{d_0}, g_{e_0} \notin I$ . Then  $(fg)_{d_0+e_0} = f_{d_0}g_{e_0} + \sum_{i+j=d_0+e_0, i \neq d_0} f_i g_j$ . The left hand side is in  $I$  by remark 3.4, and the sum by the maximality assumption. Hence  $f_{d_0}g_{e_0} \in I$ .  $\square$

**Definition 3.11.** Let  $\pi : \mathbb{A}^{n+1} \setminus \{0\} \rightarrow \mathbb{P}^n$  be the canonical projection.

- (i) An algebraic set  $X \subseteq \mathbb{A}^{n+1}$  is called a *cone* if  $0 \in X$  and  $x \in X$  implies  $\lambda x \in X$  for all  $\lambda \in K$ .
- (ii) Given a cone  $X \subseteq \mathbb{A}^{n+1}$ , its *projectivization* is  $\mathbb{P}(X) := \pi(X \setminus \{0\})$ .
- (iii) For a projective algebraic set  $X \subseteq \mathbb{P}^n$ , its *cone* is  $C(X) := \{0\} \cup \pi^{-1}(X)$

Note that  $\mathbb{P}(X)$  and  $C(X)$  are projective resp. affine algebraic sets. Indeed, for a homogeneous ideal  $S \subseteq K[x_0, \dots, x_n]$  we have  $\mathbb{P}(V(S)) = V^p(S)$  and  $C(V^p(S)) = V(S)$ . It remains to show that all cones are of this form, which is

**Proposition 3.12.** Let  $X \subseteq \mathbb{A}^{n+1}$  be a cone. Then  $I(X)$  is a homogeneous ideal.

*Proof.* For  $f = f_0 + \dots + f_d$  and  $x \in X$  we have  $0 = f(\lambda x) = \sum_i \lambda^i f_i(x)$ . As the 0 polynomial function in  $\lambda$ , since  $K$  is infinite we must have  $f_i(x) = 0$  for all  $i$ .  $\square$

The next goal is to prove a projective version of the Nullstellensatz 1.13.

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Nov 24, 2025

**Definition 3.13.** The (homogeneous maximal) ideal  $I_0 := (x_0, \dots, x_n) \subseteq K[x_0, \dots, x_n]$  is called the *irrelevant ideal*.

Note that  $V^p(I_0) = \emptyset$ , so in general  $I^p(V^p(I)) \neq I$  for radical homogeneous ideals  $I$ . But in some sense this is the only problematic case:

**Proposition 3.14** (Projective Nullstellensatz). For any homogeneous ideal  $J \subseteq K[x_0, \dots, x_n]$  with  $\sqrt{J} \neq I_0$  we have  $I^p(V^p(J)) = \sqrt{J}$ .

*Proof.* The inclusion " $\supseteq$ " is clear. We have

$$\begin{aligned} I^p(V^p(J)) &= \langle f \in K[x_0, \dots, x_n] \text{ homogeneous} \mid f(x) = 0 \text{ for all } x \in V^p(J) \rangle \\ &= \langle f \in K[x_0, \dots, x_n] \text{ homogeneous} \mid f(x) = 0 \text{ for all } x \in \overline{V(J) \setminus \{0\}} \rangle \end{aligned}$$

Now  $V(J) \neq \{0\}$ , otherwise  $\sqrt{J} = I(V(J)) = I_0$ , hence  $\overline{V(J) \setminus \{0\}} = V(J)$  (since then either  $V(J) = \emptyset$  or  $V(J)$  contains a line through 0). Then  $I^p(V^p(J))$  is generated by homogeneous polynomials in  $I(V(J)) = \sqrt{J}$ . But  $\sqrt{J}$  is homogeneous itself, so  $I^p(V^p(J)) = \sqrt{J}$  as well.  $\square$

**Corollary 3.15.** (i) If  $I \subseteq K[x_0, \dots, x_n]$  is a homogeneous ideal, then  $V^p(I) = \emptyset$  if and only if  $I_0 \subseteq \sqrt{I}$ , if and only if  $\sqrt{I} = I_0$  or  $I = (1)$ .  
(ii) If  $V^p(J) \neq \emptyset$ , then  $I^p(V^p(J)) = \sqrt{J}$ .  
(iii)  $I^p$  and  $V^p$  define inclusion-reversing bijections

$$\begin{aligned} \{\text{projective algebraic sets in } \mathbb{P}^n\} &\rightleftarrows \{\text{radical hom. ideals } I_0 \neq J \subseteq K[x_0, \dots, x_n]\} \\ \{\text{projective algebraic varieties in } \mathbb{P}^n\} &\rightleftarrows \{\text{prime hom. ideals } I_0 \neq J \subseteq K[x_0, \dots, x_n]\} \\ \{\text{points in } \mathbb{P}^n\} &\rightleftarrows \{\text{maximal hom. ideals } I_0 \neq J \subseteq K[x_0, \dots, x_n]\} \end{aligned}$$

(iv)  $I^p(\mathbb{P}^n) = 0$ , and  $\mathbb{P}^n$  is a variety.

**Remark 3.16.** Let  $U_i := D(x_i) = \{x \in \mathbb{P}^n \mid x_i \neq 0\} = \{x \in \mathbb{P}^n \mid x_i = 1\}$ . Leaving out the  $i$ -th coordinate in the last presentation yields a homeomorphism  $\iota_i : \mathbb{A}^n \rightarrow U_i$  (even an isomorphism of varieties, cf. later).

Therefore  $\mathbb{P}^n = \bigcup_{i=0}^n U_i$  is an open cover of projective space by  $n + 1$  copies of  $\mathbb{A}^n$ .

**Definition 3.17.** (i) For a homogeneous polynomial  $f \in K[x_0, \dots, x_n]$ , its dehomogenization is  $f^i := f(1, x_1, \dots, x_n) \in K[x_1, \dots, x_n]$ . For a homogeneous ideal  $J \subseteq K[x_0, \dots, x_n]$ , write  $J^i = \{f^i \mid f \in J\} \subseteq K[x_1, \dots, x_n]$ . In other words, these are the images of  $f$ , resp.  $J$ , under the natural map  $K[x_0, \dots, x_n] \mapsto K[x_0, \dots, x_n]/(x_0 - 1) \cong K[x_1, \dots, x_n]$ .  
(ii) For  $0 \neq f \in K[x_1, \dots, x_n]$  of  $\deg f = d$ , its homogenization is  $f^h := x_0^d f\left(\frac{x_1}{x_0}, \dots, \frac{x_n}{x_0}\right) \in K[x_0, \dots, x_n]$ . For an ideal  $J \subseteq K[x_0, \dots, x_n]$ , write  $J^h$  for the ideal of  $K[x_0, \dots, x_n]$  generated by  $f^h, f \in J$

For example, if  $f = 1 + X_1 + X_2 + X_1^2$ , then  $f^h = X_0^2 + X_0X_1 + X_0X_2 + X_1^2$ , and  $(f^h)^i = f$ . Note that in general,  $J^h$  is not generated by homogenizations of generators of  $J$ , e.g.  $J = \langle 1 + x_1 - x_2, x_1 - x_2 \rangle$ .

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**Proposition 3.18.** The bijection  $\iota : \mathbb{A}^n \rightarrow U_0$  as in remark 3.16 is a homeomorphism.

*Proof.* It is clear that  $\iota$  is bijective, so we need to check that  $i, i^{-1}$  are continuous. Let  $Z \subseteq U_0$  be a closed subset, say  $Z = U_0 \cap V^p(f_1, \dots, f_m)$  for homogeneous polynomials  $f_1, \dots, f_m \in K[x_0, \dots, x_n]$ . Then

$$i^{-1}(Z) = \{x \in \mathbb{A}^n \mid 0 = f_j(i(x)) = f_j((1 : x)) = f_j^i(x) \forall j\} = V(f_1^i, \dots, f_m^i).$$

Hence  $i$  is continuous. Now let  $W = V(f_1, \dots, f_m) \subseteq \mathbb{A}^n$  be closed. Then  $i(W)$  consists of those  $x \in U_0$  with  $f_j\left(\frac{x_1}{x_0}, \dots, \frac{x_n}{x_0}\right) = 0$  for all  $j$ . Since  $x_0 \neq 0$ , this is exactly the case if all  $x_0^{\deg f_j} f_j\left(\frac{x_1}{x_0}, \dots, \frac{x_n}{x_0}\right) = 0$ , i.e. if  $f_j^h(x) = 0$ . Hence  $i(W) = V^p(f_1^h, \dots, f_m^h) \cap U_0$  is closed in  $U_0$ .  $\square$

**Remark 3.19.** We identify  $\mathbb{A}^n$  with  $U_0 \subseteq \mathbb{P}^n$ . Then as subsets of  $\mathbb{P}^n$  we have  $\overline{V^a(I)} = V^p(I^h)$  and  $V^p(I^h) \cap U_0 = V(I)$  (Exercise).

**Definition 3.20.** A *quasi-projective* set (variety) is an open subset of a projective algebraic set (variety).

**Remark 3.21.** Any (quasi-)affine algebraic set  $V$  is quasi-projective, since the closure of  $V$  in  $\mathbb{P}^n \supseteq U_0 \cong \mathbb{A}^n$  may be computed by first taking the closure in  $\mathbb{A}^n$  and then the closure of the resulting algebraic set in  $\mathbb{P}^n$ .

For an affine algebraic set  $X$ , an important construction was the ring of regular functions  $A(X)$ . We now want to consider the corresponding projective variant.

**Definition 3.22.** Let  $X \subseteq \mathbb{P}^n$  be a projective algebraic set. The  $K$ -algebra

$$S(X) := K[x_0, \dots, x_n]/I^p(X)$$

is called the *homogeneous coordinate ring* of  $X$ . It is a graded ring, namely

$$S(X) = \bigoplus_{d \in \mathbb{N}} K[x_0, \dots, x_n]_d / (I^p(X) \cap K[x_0, \dots, x_n]_d),$$

where  $K[x_0, \dots, x_n]_d$  denotes the homogeneous polynomials of degree  $d$ .

Homogeneous elements of  $S(X)$  can be considered as functions  $X \rightarrow K$ .

**Definition 3.23.** Let  $X$  be a quasi-projective algebraic set, and let  $\varphi : X \rightarrow K$  be a map. Then  $\varphi$  is *regular* at  $a \in X$  if locally at  $a$  one has  $\varphi = \frac{f}{g}$ ,  $f, g$  homogeneous,  $\deg(f) = \deg(g)$  and  $g(a) \neq 0$ .  $\varphi$  is regular on  $X$  if it is regular at every point of  $X$ .

**Definition 3.24.** Let  $X \subseteq \mathbb{P}^n$ ,  $Y \subseteq \mathbb{P}^m$  be quasi-projective algebraic sets. A map  $\varphi : X \rightarrow Y$  is called *regular* if for every  $a \in X$  there exists  $a \in U_a \subseteq X$  open such that  $\varphi|_{U_a} = (F_0, \dots, F_m)$  with  $F_i$  homogeneous of the same degree, such that for any  $x \in U_a$ , not all  $F_i(x) = 0$ .

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**Example 3.25.**  $\mathbb{P}^2 \rightarrow \mathbb{P}^1$ ,  $[x_0 : x_1 : x_2] \mapsto [x_0 : x_1]$  is not a morphism, because the image of  $[0 : 0 : 1]$  is not defined. However, the restriction of the above rule to  $V(x_2)$  is a well-defined regular map.

A regular map is an isomorphism if it has a regular inverse, see exercises for (counter)examples.

For a quasi-projective set  $X$ , write  $A(X)$  for the  $K$ -algebra of regular functions. Then  $A(\mathbb{P}^n) = K$  (see exercises). If  $U \subseteq X$  is open, set  $\mathcal{O}_X(U)$  for the  $K$ -algebra of regular functions on  $U$ . Intuitively, we should have  $\mathcal{O}_{\mathbb{P}^n}(U_0) \cong A(\mathbb{A}^n) \cong K[x_1, \dots, x_n]$ .

**Remark 3.26.** Similarly as in the affine case, one can show that a regular map is continuous.

**Theorem 3.27.** Let  $X \subseteq \mathbb{A}^n$  be a quasi-affine algebraic set. Write  $\tilde{X} := i(X) \subseteq U_0 \subseteq \mathbb{P}^n$  for the corresponding quasi-projective algebraic set. Then for  $V \subseteq \tilde{X}$  open, we have an isomorphism of  $K$ -algebras

$$i^* : \mathcal{O}_{\tilde{X}}(V) \rightarrow \mathcal{O}_X(i^{-1}(V)), \quad f \mapsto f \circ i,$$

and for  $W \subseteq X$  open, we have a  $K$ -algebra isomorphism

$$j^* : \mathcal{O}_X(W) \rightarrow \mathcal{O}_{\tilde{X}}(j^{-1}(W)), \quad f \mapsto f \circ j.$$

*Proof.* It is clear that these maps are mutually inverse, so one only has to check well-definedness, i.e. that  $i^*(f), j^*(f)$  are regular. Let  $h \in \mathcal{O}_{\tilde{X}}(V)$  be regular, and  $P \in i^{-1}(V)$ . Then  $h = \frac{f}{g}$  around  $i(P)$ , so  $i^*(h) = \frac{f \circ i}{g \circ i}$  around  $P$ , and  $h$  is regular at  $P$ .

Similarly, let  $h \in \mathcal{O}_X(W)$  and  $Q \in j^{-1}(W)$ . Then  $h$  is regular at  $j(Q)$ , so  $h = \frac{f}{g}$  around  $j(Q)$ . Then near  $Q$  one has

$$h \circ j = \frac{f(\frac{x_1}{x_0}, \dots, \frac{x_n}{x_0})}{g(\frac{x_1}{x_0}, \dots, \frac{x_n}{x_0})} = \frac{x_0^{\deg f + \deg g} f(\frac{x_1}{x_0}, \dots, \frac{x_n}{x_0})}{\deg f + \deg g g(\frac{x_1}{x_0}, \dots, \frac{x_n}{x_0})} = \frac{x_0^{\deg g} f h}{x_0^{\deg f} g h},$$

so  $h$  is regular at  $Q$ . □

In particular for  $X = \mathbb{A}^n$  we see  $\mathcal{O}_{\mathbb{P}^n}(U_0) = \mathcal{O}_{U_0}(U_0) \cong A(\mathbb{A}^n) = K[x_1, \dots, x_n]$ .

**Remark 3.28.** Let  $\mathbb{P}^n = \bigcup_{i=0}^n U_i$  be the standard open cover,  $X \subseteq \mathbb{P}^n$  quasi-projective, and let  $\varphi : X \rightarrow K$  be a function. Then  $\varphi$  is regular if and only if all  $\varphi|_{U_i}$  are regular.

We give a second, equivalent definition of regular maps.

**Definition 3.29.** Let  $X, Y$  be quasi-projective algebraic sets. A map  $\varphi : X \rightarrow Y$  is called a morphism or regular map if  $\varphi$  is continuous and preserves regular functions, that is for every  $U \subseteq Y$  open and  $f \in \mathcal{O}_Y(U)$ , the function  $\varphi^*(f) := f \circ \varphi$  is regular.

In particular, we get a  $k$ -algebra morphism  $\varphi^* : \mathcal{O}_Y(U) \rightarrow \mathcal{O}_X(\varphi^{-1}(U))$ . Note that by Theorem 3.27, the maps  $i, j$  are regular in the sense of this definition.

**Remark 3.30.** (i)  $\text{id} : X \rightarrow X$  is a morphism.

(ii) It follows from the definition that the composition of morphisms is a morphism.

(iii) Being a morphism is a local property: Let  $\varphi : X \rightarrow Y$  be a map. Then  $\varphi$  is a morphism if and only if for every  $P \in X$  there is an open neighbourhood  $P \in U_P \subseteq X$  s.t.  $\varphi|_{U_P} : U_P \rightarrow Y$  is a morphism. In particular, if  $X = \bigcup_i U_i$  is an open cover, then  $\varphi$  is a morphism if and only if all the  $\varphi|_{U_i}$  are.

We now have to convince ourselves that this definition agrees with our previous notions of regular maps.

**Theorem 3.31.** Let  $X \subseteq \mathbb{P}^n$ ,  $Y \subseteq \mathbb{P}^m$  be quasi-projective algebraic sets, and let  $\varphi : X \rightarrow Y$  be a map. Then the following are equivalent:

- (i)  $\varphi$  is a morphism (in the sense of definition 3.29)
- (ii)  $\varphi$  is locally given by regular functions, i.e. for every  $p \in X$  there is an open neighbourhood  $p \in U \subseteq X$  and  $h_1, \dots, h_m \in \mathcal{O}_X(U)$  with no common zero on  $U$ , s.t.  $\varphi = [h_0 : \dots : h_m]$  on  $U$ .
- (iii)  $\varphi$  is locally given by homogeneous polynomials of the same degree and no common zeroes (cf. definition 3.24).

*Proof.* (1) $\Rightarrow$ (2): Let  $p \in X$ , and consider  $\varphi(p) =: y =: [y_0 : \dots : y_m]$ . Wlog we may assume  $y_0 \neq 0$ . Then  $y \in U_0$ , and

$$\varphi(x) = [1 : \varphi^*(\frac{y_1}{y_0})(x) : \dots : \varphi^*(\frac{y_m}{y_0})]$$

on  $\varphi^{-1}(U_0)$ , with  $\varphi^*(\frac{y_i}{y_0})$  regular by assumption.

(2) $\Rightarrow$ (3): By possibly shrinking  $U$ , we may assume  $h_i = \frac{F_i}{G_i}$  on  $U$ , with  $F_i, G_i$  homogeneous polynomials of the same degree. Multiplying all functions with  $G_1 \cdots G_m$  clears denominators, so yields the desired presentation.

(3) $\Rightarrow$ (1):  $\varphi$  is continuous by remark 3.26. Let  $U \subseteq Y$  be open, and  $h : U \rightarrow K$  regular. Let  $P \in \varphi^{-1}(U)$ . There exists a neighbourhood  $\varphi(P) \in V \subseteq U$  such that  $h = \frac{f}{g}$  on  $V$ , with  $f, g$  homogeneous polynomials of the same degree, and there is  $W \subseteq X$  s.t.  $\varphi|_W = [F_0 : \dots : F_m]$  with homogeneous polynomials of the same degree  $F_i$ . For  $x \in W \cap \varphi^{-1}(V)$  one has

$$\varphi^*(h)(x) = h([F_0(x) : \dots : F_m(x)]) = \frac{f(F_0(x), \dots, F_m(x))}{g(F_0(x), \dots, F_m(x))},$$

which is again a quotient of two homogeneous polynomials of the same degree, and  $\varphi^*(h)$  is regular at  $p$ .  $\square$

**Proposition 3.32.** Let  $X \subseteq \mathbb{P}^n$  be quasi-projective and  $Y \subseteq \mathbb{A}^m$  quasi-affine. Then  $\varphi : X \rightarrow Y$  is a morphism if and only if  $\varphi = (f_1, \dots, f_m)$  for  $f_1, \dots, f_m \in A(X)$ .

*Proof.* If  $\varphi$  is a morphism, then, as before,  $\varphi = (\varphi^*(y_1), \dots, \varphi^*(y_n))$ . For the converse, by remark 3.30(iii) and theorem 3.27 we may assume that  $X$  is quasi-affine. Then we know that  $\varphi$  is continuous, and that the composition of regular maps is regular.  $\square$

**Corollary 3.33.** Let  $X$  be quasi-projective. Then  $f : X \rightarrow \mathbb{A}^1$  is a morphism if and only if  $f$  is a regular function on  $X$ .

## 4 The Segre Embedding and Closed Morphisms

Our next goal is to understand the product of quasi-projective algebraic sets.

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**Remark 4.1.** The obvious map  $\mathbb{A}^n \times \mathbb{A}^m \rightarrow \mathbb{A}^{n+m}$  is regular and bijective, but not a homeomorphism. However, it allows us to define products of (quasi-)affine algebraic sets, see exercises. Similarly, if  $U \subseteq \mathbb{A}^n, V \subseteq \mathbb{A}^m$  are open, then so is  $U \times V \subseteq \mathbb{A}^{n+m}$ , by taking complements.

**Proposition 4.2.** *If  $X, Y$  are quasi-affine, then  $X \times Y$  as in remark 4.1 satisfies the universal property of products, that is: The projections  $p_1, p_2 : X \times Y \rightarrow X, Y$  are morphisms, and for any morphisms  $f_1, f_2 : Z \rightarrow X, Y$ , there exists a unique morphism  $f : Z \rightarrow X \times Y$  s.t.  $f_1 = p_1 \circ f$  and  $f_2 = p_2 \circ f$ .*

*Proof.* Everything is clear from proposition 3.32.  $\square$

**Remark 4.3.** An analogous construction for projective spaces does not work, since the naive map  $\mathbb{P}^n \times \mathbb{P}^m \rightarrow \mathbb{P}^{n+m+1}$  is not well-defined.

**Definition 4.4** (Segre Embedding). Let  $N = (n + 1)(m + 1) - 1$  and denote coordinates of  $\mathbb{P}^N$  by  $z_{ij}, 0 \leq i \leq n, 0 \leq j \leq m$ . The map

$$\sigma : \mathbb{P}^n \times \mathbb{P}^m \rightarrow \mathbb{P}^N, \quad (x, y) \mapsto [x_i y_j]_{ij}$$

is called the *Segre embedding*

One easily checks that this is a well-defined map.

**Proposition 4.5.** *The Segre embedding  $\sigma$  is injective and  $\Sigma := \sigma(\mathbb{P}^n \times \mathbb{P}^m)$  is closed in  $\mathbb{P}^N$ . (That is, we can and will identify  $\mathbb{P}^n \times \mathbb{P}^m$  with  $\Sigma$ .)*

*Proof.* Let  $z = \sigma(x, y) \in \Sigma$ . Say  $z_{ij} \neq 0$ , then  $x_i, y_j \neq 0$ . Hence  $x = [z_{kj}]_k$  and  $y = [z_{ik}]_k$ , so  $\sigma$  is injective. Note that  $z \in \Sigma$  if and only if the rank of the matrix  $M_z = (z_{ij})_{ij}$  (defined up to scalar multiples) is 1, if and only if all  $2 \times 2$ -minors of  $M_z$  are 0. But this last condition is given by the zero set of polynomials of  $z_{ij}$ :  $\Sigma = V^p(\{z_{ij}z_{kl} - z_{il}z_{jk}\}_{0 \leq i, k \leq n, 0 \leq j, l \leq m})$   $\square$

**Definition 4.6.** We endow  $\mathbb{P}^n \times \mathbb{P}^m$  with the Zariski topology induced from  $\sigma : \mathbb{P}^n \times \mathbb{P}^m \cong \Sigma$ .

**Proposition 4.7.** *The closed subsets  $Z \subseteq \mathbb{P}^n \times \mathbb{P}^m$  are precisely the sets of zeroes of polynomials  $\{f_i\}_{i \in I}$  with  $f_i \in K[x_0, \dots, x_n, y_0, \dots, y_m]$  bihomogeneous, i.e. homogeneous w.r.t.  $x_i$  and homogeneous w.r.t.  $y_j$ .*

Note that these are exactly the types of polynomials such that being 0 is well-defined on  $\mathbb{P}^n \times \mathbb{P}^m$ , so this condition makes sense.

*Proof.* Assume  $Z \subseteq \mathbb{P}^n \times \mathbb{P}^m$  is closed, i.e.  $\sigma(Z) \subseteq \Sigma \subseteq \mathbb{P}^N$  is closed. Hence  $\sigma(Z) = V^p(f_\alpha(z_{ij}))_\alpha$  with  $f_\alpha \in K[z_{ij}]$  homogeneous. Then

$$Z = \sigma^{-1}(V^p(f_\alpha(z_{ij}))) = \{(x, y) \mid f_\alpha((x_i y_j)_{ij}) = 0, \forall \alpha\}$$

is of the desired form. Conversely, assume  $Z = \{(x, y) \mid f_\alpha(x, y) = 0, \forall \alpha\}$  with  $f_\alpha$  bihomogeneous. Then  $\sigma(Z) = \{z \mid z_{ij} = x_i y_j, f_\alpha(x, y) = 0\}$ . If  $\deg_x f_\alpha = \deg_y f_\alpha$ , then  $f_\alpha$  is already a homogeneous polynomial in the  $z_{ij}$  (non-uniquely); otherwise, say if  $\deg_x f_\alpha > \deg_y f_\alpha$ , then  $f_\alpha = 0$  if and only if all of  $y_j^{\deg_x f_\alpha - \deg_y f_\alpha} f_\alpha = 0$ , so we are reduced to the first case.  $\square$

**Corollary 4.8.** *If  $X \subseteq \mathbb{P}^n, Y \subseteq \mathbb{P}^m$  are closed, then  $X \times Y$  is closed in  $\mathbb{P}^n \times \mathbb{P}^m$ .*

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*Proof.*  $X \times Y = (\mathbb{P}^n \times Y) \cap (X \times \mathbb{P}^m)$ . If  $X = V^p(f_1, \dots, f_n)$ , then  $X \times \mathbb{P}^m = V(f_1, \dots, f_n)$  with  $f_i$  considered in  $K[x_i, y_j]$ .  $\square$

Similarly as in the affine case (remark 4.1), it follows that the product of opens is open, and that products of quasi-projective sets are quasi-projective.

Consider products of standard opens  $U_i \times U_j \subseteq \mathbb{P}^n \times \mathbb{P}^m$ . On the one hand, we should have  $U_i \times U_j \cong \mathbb{A}^n \times \mathbb{A}^m = \mathbb{A}^{n+m}$ , on the other hand  $\sigma(U_i \times U_j) = \Sigma_{ij} := \Sigma \cap U_{ij}$ . These two descriptions indeed agree:

**Proposition 4.9.** *The composition of bijections  $\mathbb{A}^n \times \mathbb{A}^m \cong U_i \times U_k \cong \Sigma_{ik}$  is an isomorphism of algebraic sets.*

*Proof.* Identify  $\Sigma_{ik}$  with its image under the isomorphism  $j : U_{ik} \rightarrow \mathbb{A}^N$ . It is enough to show that the composition  $\mathbb{A}^n \times \mathbb{A}^m \rightarrow j(\Sigma_{lk})$ , and its inverse, are regular. Wlog take  $l = k = 0$ . Then the map is given by

$$(x, y) \mapsto ([1 : x_1 : \dots : x_n], [1 : y_1 : \dots : y_n] \mapsto [1 : y_1 : \dots : x_n y_n] \mapsto [y_1 : \dots : x_n y_n]).$$

Now we see that all coordinates are given by polynomials, so the map is regular. Moreover, all the  $x_i$  and  $y_j$  occur as coordinates in the image, so the inverse is given by a collection of projections, and is regular as well.  $\square$

**Corollary 4.10.**  *$\Sigma$  has an open cover by subsets  $\Sigma_{ij} \cong \mathbb{A}^{n+m}$ , corresponding to  $\mathbb{P}^n \times \mathbb{P}^m = \bigcup_{i,j} U_i \times U_j$ .*

**Remark 4.11.**  *$X \subseteq \mathbb{A}^n, Y \subseteq \mathbb{A}^m$  quasi-affine, then we defined  $X \times Y \subseteq \mathbb{A}^n \times \mathbb{A}^m$  in remark 4.1. As in the proposition, this algebraic set can be identified with its image under  $\mathbb{A}^n \times \mathbb{A}^m \hookrightarrow \mathbb{P}^n \times \mathbb{P}^m \rightarrow \Sigma$  (since this map is just a restriction of the isomorphism above, hence still an isomorphism).*

**Proposition 4.12.** *If  $X, Y$  are quasi-projective algebraic sets, then  $p_{1,2} : X \times Y \rightarrow X, Y$  are morphisms, and for any quasi-projective  $Z$  and morphisms  $f_1, f_2 : Z \rightarrow X, Y$ , there exists a unique morphism  $(f_1, f_2) : Z \rightarrow X \times Y$  s.t.  $p_i \circ (f_1, f_2) = f_i$ .*

*Proof.* Let wlog  $x_0 = 1$ . Then  $\sigma(x, y) = [y_0 : \dots : y_n : \dots]$ , so  $p_2(x, y) = [y_0 : \dots : y_n]$  is locally just the projection onto the first  $n + 1$  coordinates, thus regular, and similarly for  $p_1$ .

So let  $f_1, f_2$  be as in the statement. Since  $X \times Y$  is the set-theoretic product, the only choice is  $(f_1, f_2)(a) = (f_1(a), f_2(a))$ , we have to check that this is a morphism. But since  $\sigma, f_1, f_2$  are all locally given by homogeneous polynomials, it is clear that the same is true for  $a \mapsto \sigma(f_1(a), f_2(a))$ .  $\square$

**Theorem 4.13.** *Let  $\varphi : X \rightarrow X'$ ,  $\psi : Y \rightarrow Y'$  be morphisms of algebraic sets. Then  $(\varphi, \psi) : X \times Y \rightarrow X' \times Y'$  is also a morphism. If  $\varphi$  and  $\psi$  are isomorphisms, then so is  $(\varphi, \psi)$ .*

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*Proof.* Abstract Nonsense.  $\square$

**Definition 4.14.** Let  $X$  be an algebraic set. The diagonal of  $X$  is  $\Delta_X := \{(a, a) \in X \times X \mid a \in X\} = \text{im}(\text{id}_X, \text{id}_X)$ , with diagonal morphism is  $S_X : X \rightarrow \Delta_X$ .

**Proposition 4.15.**  *$\Delta_X \subseteq X \times X$  is a closed subset, and  $S_X$  is an isomorphism.*

*Proof.* Let  $X \subseteq \mathbb{P}^n$ . Since  $\Delta_X = \Delta_{\mathbb{P}^n} \cap X \times X$ , it suffices to show that  $\Delta_{\mathbb{P}^n}$  is closed in  $\mathbb{P}^n \times \mathbb{P}^n$ . Under the Segre embedding, we have  $\sigma(\Delta_{\mathbb{P}^n}) = \Sigma \cap V^p(\{z_{ij} - z_{ji}\}_{ij})$ , hence we are done. Further clearly  $S_X^{-1} = p_1|_{\Delta_X}$ .  $\square$

**Definition 4.16.** Let  $f : X \rightarrow Y$  be a morphism of algebraic sets. The *graph* of  $f$  is  $\Gamma_f := \{(a, f(a)) \in X \times Y \mid a \in X\} = \text{im}(\text{id}_X, f)$ .

**Proposition 4.17.**  $\Gamma_f \subseteq X \times Y$  is a closed subset, and the natural map  $X \rightarrow \Gamma_f$  is an isomorphism.

*Proof.*  $\Gamma_f = (f, \text{id}_Y)^{-1}(\Delta_Y)$ . □

**Definition 4.18.** A map  $\varphi : X \rightarrow Y$  between topological spaces is called *closed* if for every closed subset  $Z \subseteq X$  the image  $\varphi(Z) \subseteq Y$  is closed in  $Y$ . An algebraic set  $X$  is called *complete* if  $p_2 : X \times Y \rightarrow Y$  is a closed map for every algebraic set  $Y$ .

**Theorem 4.19.** Let  $\varphi : X \rightarrow Y$  be a morphism where  $X$  is projective. Then  $\varphi$  is closed, in particular  $\varphi(X) \subseteq Y$  is closed.

**Remark 4.20.** The projective assumption is essential: Let  $p_1 : \mathbb{A}^2 \supseteq V(XY - 1) \rightarrow \mathbb{A}^1$  be the projection onto the  $X$ -coordinate, then  $\text{im } p_1 = \mathbb{A}^1 \setminus \{0\}$  is not closed.

**Corollary 4.21.** Let  $X$  be a connected projective algebraic set. Then  $A(X) = K$ .

*Proof.* By corollary 3.33 a regular function  $\varphi \in A(X)$  can be seen as a morphism  $\varphi : X \rightarrow \mathbb{A}^1$ . By theorem 4.19  $\text{im } \varphi$  is closed in  $\mathbb{A}^1$ . But  $\text{im } \varphi = \mathbb{A}^1$  is impossible, since then  $X \rightarrow \mathbb{A}^1 \hookrightarrow \mathbb{P}^1$  would have non-closed image, contradicting theorem 4.19. Therefore,  $\text{im } \varphi$  is finite, and in fact a singleton, since  $X$  is connected. □

**Corollary 4.22.** More generally, let  $X$  be a projective algebraic set and  $Y$  quasi-affine. Then any morphism  $\varphi : X \rightarrow Y$  is constant.

*Proof.* By corollary 4.21, all coordinate functions  $X \xrightarrow{\varphi} Y \xrightarrow{p_i} \mathbb{A}^1$  are constant. □

**Corollary 4.23.** The image of a morphism  $\mathbb{A}^1 \rightarrow \mathbb{A}^n$  is closed.

*Proof.* Exercise. □

**Example 4.24.** By corollary 4.23, the sets  $\{(t^2, t^3) \mid t \in K\}$ ,  $\{(t^3, t^4, t^5) \mid t \in K\}$  from exercise sheet 1 are affine.

*Proof.* (of theorem 4.19) Let  $Z \subseteq X$  be closed. Then  $Z$  is projective, and  $Z \hookrightarrow X \rightarrow Y$  is regular. Hence, it is enough to show that  $\varphi(Z) = p_2(\Gamma_\varphi)$  is closed in  $Y$ . By proposition 4.17, the result thus follows from □

**Theorem 4.25.** Every projective algebraic set  $X \subseteq \mathbb{P}^n$  is complete.

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*Proof.* Let  $Y \subseteq \mathbb{P}^m$  be an algebraic set and  $Z \subseteq X \times Y$  be closed. Then  $Z$  is also closed in  $\mathbb{P}^n \times Y$ , and if  $p_2 : \mathbb{P}^n \times \mathbb{P}^m \rightarrow \mathbb{P}^m$  is the projection, then  $p_2(Z) = p_2|_{X \times Y}(Z)$ . So it suffices to prove the theorem for  $X = \mathbb{P}^n$ .

So let  $Z \subseteq \mathbb{P}^n \times Y$  be closed and write  $Z = (\mathbb{P}^n \times Y) \cap \tilde{Z}$  with  $\tilde{Z} \subseteq \mathbb{P}^n \times \mathbb{P}^m$  closed. It suffices to show that  $\mathbb{P}^n \times \mathbb{P}^m \rightarrow \mathbb{P}^m$  is closed, for then

$$p_2|_{\mathbb{P}^n \times Y}(Z) = p_2(Z) = p_2(\mathbb{P}^n \times Y) \cap p_2(\tilde{Z}) = Y \cap p_2(\tilde{Z})$$

is closed in  $Y$ .

Let  $Z \subseteq \mathbb{P}^n \times \mathbb{P}^m$  be closed. To show that  $p_2(Z)$  is closed, we use the following

**Lemma 4.26.** Let  $I \subseteq K[x_0, \dots, x_n]$  be a homogeneous ideal. Then  $V^p(I) = \emptyset$  if and only if  $K[x_0, \dots, x_n]_s \subseteq I$  for some  $s \geq 0$ .

*Proof.* Indeed, by the projective Nullstellensatz, both conditions are equivalent to  $(x_0, \dots, x_n) \subseteq \sqrt{I}$ .  $\square$

By proposition 4.7, we may write  $Z$  as the sof of common zeroes of biholomorphic polynomials  $f_1, \dots, f_r$ , by the proof of the proposition we may assume that all  $f_i$  have the same degree  $d$  in both  $x_i$ 's and  $y_j$ 's. Fix a point  $a \in \mathbb{P}^m$ . Then  $a \in p_2(Z)$  iff  $V^p(f_1(x, a), \dots, f_r(x, a)) \neq \emptyset$ , which by the lemma is equivalent to  $K[x_0, \dots, x_n]_s \not\subseteq (f_1(x, a), \dots, f_r(x, a))$  for all  $s \geq 0$ . This is clear for  $s < d$ , for  $s \geq d$  define  $T_s := \{a \in \mathbb{P}^m \mid K[x_0, \dots, x_n]_s \not\subseteq (f_1(x, a), \dots, f_r(x, a))\}$ . Then  $p_2(Z) = \bigcap_{s \geq d} T_s$  and it is enough to show that all  $T_s$ ,  $s \geq d$  are closed in  $\mathbb{P}^m$ .

Note that  $y \in T_s$  is equivalent to the  $K$ -linear map

$$\varphi : K[x_0, \dots, x_n]_{s-d}^r \rightarrow K[x_0, \dots, x_n]_s, \quad (h_1, \dots, h_n) \mapsto f_1(x, y)h_1(x) + \dots + f_r(x, y)h_r(x)$$

not being surjective. Let  $v_1, \dots, v_l$  be all monomials of degree  $s - d$ , and  $w_1, \dots, w_t$  all monomials of degree  $s$ , so that the  $w_j$  form a basis of  $K[x_0, \dots, x_n]_s$ , and  $v_i e_j$  form a basis of  $K[x_0, \dots, x_n]_{s-d}^r$ . Now  $\varphi$  is not surjective iff  $\dim \text{im } \varphi < \dim K[x_0, \dots, x_n]_s = \binom{n+s}{n} = t$ , hence iff all  $t \times t$  minors of the representative matrix of  $\varphi$  vanish. But this is a system of polynomial equations in  $y$ , so  $T_s$  is closed.  $\square$

**Example 4.27** (The Veronese Embedding). Let  $n, d > 0$  and  $M_0, \dots, M_N \in K[x_0, \dots, x_n]$  all monomials of degree  $d$ , with  $N = \binom{n+d}{d} - 1$ . The Veronese embedding of degree  $d$  is

$$v_d : \mathbb{P}^n \rightarrow \mathbb{P}^N, \quad x \mapsto [M_0(x) : \dots : M_N(x)].$$

For instance, if  $n = 1$ , then  $v_d(x) = [x_0^d : x_0^{d-1}x_1 : \dots : x_1^d]$ .  $v_d$  is a well-defined morphism, so by theorem 4.19,  $\text{im } v_d$  is a projective subvariety of  $\mathbb{P}^N$ . We claim that  $v_d : \mathbb{P}^n \rightarrow v_d(\mathbb{P}^n)$  is an isomorphism.

Indeed, for any  $l$  there is a unique  $i_l$  such that  $M_{i_l}(x) = x_l^d$ . Then  $v_d(\mathbb{P}^n) = \bigcup_l v_d(\mathbb{P}^n) \cap \{y_{i_l} \neq 0\}$ , and the inverse map consists of suitable projections from  $\mathbb{P}^n$  to  $n+1$  coordinates.

**Example 4.28.** In sheet 6, exercise 2 we prove that  $v_2 : \mathbb{P}^1 \rightarrow V^p(Y^2 - XZ)$  is an isomorphism.

**Definition 4.29.** Let  $A \in \text{GL}_{n+1}(K)$  be an invertible matrix. We define the map

$$\varphi_A : \mathbb{P}^n \rightarrow \mathbb{P}^n, x \mapsto Ax.$$

Note  $Ax = 0$  iff  $x = 0$ , so this is a well-defined isomorphism (with inverse  $\varphi_{A^{-1}}$ ), called a projective transformation. One can show that projective transformations are the only automorphisms of  $\mathbb{P}^n$ .

**Remark 4.30.** Let  $X$  be a connected projective algebraic set. We proved in corollary 4.22 that if  $\varphi : X \rightarrow Y \subseteq \mathbb{A}^n$  is regular, then  $\varphi$  is constant. It follows that if  $X$  is isomorphic to a quasi-affine algebraic set, then  $X$  is a point.

**Proposition 4.31.** Let  $X \subseteq \mathbb{P}^n$  be a projective algebraic set, and  $F \in K[x_0, \dots, x_n]$  a homogeneous non-constant polynomial. Then  $X \setminus V^p(F)$  is isomorphic to a affine algebraic set.

*Proof.* We already know that this holds if  $F = X_0$  (then  $X \setminus U_0 \cong \mathbb{A}^n$ ), hence also if  $F$  is linear, since then there exists a projective transformation mapping  $V^p(F)$  onto  $V^p(X_0)$ .

So let  $\deg(F) = d > 1$ . Under the Veronese embedding  $v_d$ ,  $V^p(F)$  gets mapped to the zero set of a linear polynomial, so the result follows again from the above.  $\square$

**Corollary 4.32.** Let  $X \subseteq \mathbb{P}^n$  be a connected projective algebraic set, containing more than one point. Let  $F \in K[x_0, \dots, x_n]$  be a nonconstant homogeneous polynomial. Then  $X \cap V^p(F) \neq \emptyset$ .

*Proof.* Combine proposition 4.31 and remark 4.30.  $\square$

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**Remark 4.33.** In the affine case, the above statement is not true: Consider two parallel lines in  $\mathbb{A}^2$ .

## 5 Dimension

**Remark 5.1.** Recall from section 1 that for an affine algebraic set  $X \subseteq \mathbb{A}^n$ , we have  $\dim X = \dim A(X)$ .

From commutative algebra, we use the following facts:

**Theorem 5.2.** (i)  $\dim A(X) < \infty$ .

(ii) If  $R$  is a finitely generated integral  $K$ -algebra and  $0 \neq f \in R$  is not a unit, then

$$\dim(R/(f)) = \dim R - 1.$$

**Proposition 5.3.**  $\dim \mathbb{A}^n = n$ .

*Proof.* By induction. The case  $n = 1$  was dealt with before, and

$$\dim \mathbb{A}^{n-1} = \dim K[x_1, \dots, x_{n-1}, x_n]/(x_n) = \dim \mathbb{A}^n - 1.$$

□

**Lemma 5.4.** Let  $X$  be a Noetherian topological space, and  $X = \bigcup_{i=1}^n U_i$  an open cover of  $X$ . Then  $\dim X = \max_i \dim U_i$ . In particular,  $\dim \mathbb{P}^n = n$ .

*Proof.* Exercise. □

**Remark 5.5.** We record the following general properties of dimension, see also the exercises. Let  $X$  be a topological space and  $Y \subseteq X$ .

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- (i)  $\dim Y \leq \dim X$
- (ii) If  $X$  is irreducible and  $\dim X = \dim Y < \infty$ , then  $\overline{Y} = X$ .
- (iii) Let  $X = \bigcup_i U_i$  be an open cover. Then  $\dim X = \sup\{\dim U_i\}_i$ .
- (iv) Let  $X$  be Noetherian. We proved that  $X$  can be written as a finite union  $X = X_1 \cup \dots \cup X_n$  of irreducible components. Then  $\dim(X) = \max(\dim(X_i))$ . (In particular, any quasi-projective set is noetherian.)

Let  $X \subseteq \mathbb{A}^n$  be an affine algebraic set. Then  $\dim(X) = \dim A(X) = \text{trdeg } K(X)$ .

**Corollary 5.6.** Let  $F \in K[X_1, \dots, X_n]$ ,  $\deg F > 0$ . Then  $\dim V(F) = n - 1$ . Moreover, every irreducible component of  $V(F)$  has dimension  $n - 1$ .

*Proof.* Write  $F = F_1^{e_1} \cdots F_k^{e_k}$  with  $F_i$  pairwise different and irreducible. Then the irreducible decomposition of  $V(F)$  is  $V(F) = V(F_1) \cup \dots \cup V(F_k)$ , so wlog  $F$  is irreducible. We get

$$\dim V(F) = \dim K[X_1, \dots, X_n]/(F) \stackrel{5.2}{=} \dim K[X_1, \dots, X_n] - 1 \stackrel{5.3}{=} n - 1.$$

□

**Definition 5.7.** Let  $X$  be a Noetherian topological space. We say that  $X$  is of *pure* dimension  $n$  if every irreducible component of  $X$  has dimension  $n$ . A closed algebraic set  $X$  is called a curve if it is of pure dimension 1, a surface if it is of pure dimension 2, and a hypersurface in a pure-dimensional algebraic set  $Y$  if  $X \subseteq Y$  and  $X$  is of pure dimension  $\dim(Y) - 1$ .

**Proposition 5.8.** Let  $X$  be a hypersurface in  $\mathbb{A}^n$ . Then  $X = V(F)$  for some polynomial  $F \in K[X_1, \dots, X_n]$ .

*Proof.* Wlog  $X$  is irreducible and  $\dim(X) = n - 1$ . Then the prime ideal  $I(X)$  has height  $\dim(A^n) - \dim(X) = 1$ , hence is principal.  $\square$

**Proposition 5.9.** *Let  $\emptyset \neq U \subseteq X$  be an open subset of an affine variety. Then  $\dim U = \dim X$ .*

*Proof.*  $U$  contains a basic open  $D(f)$ , it suffices to prove the claim for the latter open set. By proposition 2.31,  $D(f)$  is birational to  $X$ . In particular, they have the same function field, so by the above remark, we have  $\dim(D(f)) = \dim X$ .  $\square$

**Proposition 5.10.** *Let  $X$  be a projective algebraic variety, and  $\emptyset \neq U \subseteq X$  be open. Then  $\dim U = \dim X$ .*

*Proof.* Exercise.  $\square$

## 6 Hilbert Polynomial and Bezout's Theorem

Let  $X$  be a projective variety. We will associate to it a polynomial, the so called Hilbert polynomial, which encodes interesting structure of  $X$ . In particular, it will help us understand intersections of two projective algebraic sets. For example, we will be able to prove that two distinct curves in  $\mathbb{P}^2$  intersect, and the number of intersection points is bounded by the product of their degrees.

**Definition 6.1.** (i) Let  $I \subseteq K[x_0, \dots, x_n]$  be a homogeneous ideal. Then  $K[x_0, \dots, x_n]/I$  is a graded  $K$ -algebra, with degree  $d$ -part  $S_d := K[x_0, \dots, x_n]_d / K[x_0, \dots, x_n]_d \cap I$ . The Hilbert function of  $I$  is

$$h_I : \mathbb{N} \rightarrow \mathbb{N}, \quad d \mapsto \dim_K S_d.$$

(ii) For a projective algebraic set  $X \subseteq \mathbb{P}^n$  we set  $h_X := h_{I(X)}$

**Remark 6.2.** Note that the Hilbert function of  $X \subseteq \mathbb{P}^n$  is invariant under projective linear automorphisms  $\varphi : x \mapsto Ax$ . Indeed,  $\varphi$  induces a grading-preserving automorphism of  $K[x_0, \dots, x_n]$

**Example 6.3.** (i)  $h_{\mathbb{P}^n}(d) = \dim_K K[x_0, \dots, x_n]_d = \binom{n+d}{n}$   
(ii) Let  $I \subseteq K[x_0, \dots, x_n]$  be a homogeneous ideal s.t.  $V^p(I) = \emptyset$ . By lemma 4.26 we have  $h_I(d) = 0$  for all  $d \gg 0$ .  
(iii) Let  $X = \{a\} \subseteq \mathbb{P}^n$  a point. By remark 6.2 we can assume  $a = [1 : 0 : \dots : 0]$ . Then  $I(X) = (x_1, \dots, x_n)$ , hence  $S(X) \cong K[x_0]$  and  $h_X(d) = \dim_K K[x_0]_d = 1$  for all  $d \in \mathbb{N}$ .

**Proposition 6.4.** Let  $I, J \subseteq R := K[x_0, \dots, x_n]$  be two homogeneous ideals. Then  $h_{I \cap J} + h_{I+J} = h_I + h_J$ .

*Proof.* Follows immediately from the exact sequence of graded  $K$ -algebras

$$0 \rightarrow R/I \cap J \rightarrow R/I \times R/J \rightarrow R/(I+J) \rightarrow 0$$

and the fact that  $\dim_K$  is additive on exact sequences.  $\square$

**Example 6.5.** (i) Let  $X, Y \subseteq \mathbb{P}^n$  be disjoint projective algebraic sets. By example 6.3(ii) and proposition 6.4, we have  $h_{X \cup Y} = h_X + h_Y$  for  $d \gg 0$ . In particular, for a finite set of  $r$  points, we have  $h(d) = r$  for  $d \gg 0$ .

(ii)  $I = (x_1^2) \subseteq K[x_0, x_1]$ . Then  $V(I) = \{[1 : 0]\}$  is a point, but  $h_I$  detects the multiplicativity 2: For  $d \geq 1$  one has

$$K[x_0, x_1]_d / I_d = Kx_0^d \oplus Kx_0^{d-1}x_1,$$

so  $h_I(d) = 2$  for all  $d \geq 1$ .

Our next goal is to show that the Hilbert function  $h_I(d)$  agrees with a polynomial for  $d \gg 0$ .

**Lemma 6.6.** Let  $I \subseteq R = K[x_0, \dots, x_n]$  be a homogeneous ideal. Let  $f \in K[x_0, \dots, x_n]$  be homogeneous of degree  $e$ . Assume that there exists  $d_0 \in \mathbb{N}$  s.t. for all homogeneous polynomials  $g \in K[x_0, \dots, x_n]$  of  $\deg g \geq d_0$  wih  $fg \in I$  we have  $g \in I$ . Then  $h_{I+(f)}(d) = h_I(d) - h_I(d-e)$  for  $d \gg 0$ .

*Proof.* By assumption, multiplication with  $f$  induces an exact sequence

$$0 \rightarrow R_{d-e}/I_{d-e} \rightarrow R_d/I_d \rightarrow R_d/(I+(f))_d \rightarrow 0.$$

Taking dimensions yields the formula.  $\square$

**Remark 6.7.** Let  $X \subseteq \mathbb{P}^n$  be a projective algebraic set and  $X = X_1 \cup \dots \cup X_r$  its decomposition into irreducible components. Then  $I(X) = \bigcap I(X_i)$  and the assumption of lemma 6.6 is satisfied for all  $f \notin \bigcup I(X_i)$ .

Picking any point  $a_i \in X_i$ , there exists a hyperplane  $H$  which does not intersect the set  $\{a_1, \dots, a_r\}$ , hence does not contain any  $X_i$ . Then there exists a projective linear automorphism sending  $H$  to  $V(x_0)$ . Therefore, for any radical ideal  $I$  we may assume that  $x_0$  satisfies the requirements of lemma 6.6. A similar idea, using primary decomposition, works for general ideals.

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**Definition 6.8.** Let  $P \in \mathbb{Q}[X]$ .  $P$  is called *numerical* if  $P(n) \in \mathbb{Z}$  for all  $n \gg 0$ .

For example,  $P(n) = \binom{n}{d}$  is a numerical polynomial of degree  $d$  for all  $d$ .

**Proposition 6.9.** (i) Let  $P \in \mathbb{Q}[X]$  be a numerical polynomial. Then  $P(X) = \sum_i c_i \binom{X}{i}$  with  $c_i \in \mathbb{Z}$ .

(ii) Let  $f : \mathbb{Z} \rightarrow \mathbb{Z}$  s.t. there exists a numerical polynomial  $Q$  of degree  $r$  with  $\Delta f(n) := f(n+1) - f(n) = Q(n)$  for  $n \gg 0$ . Then there exists a numerical polynomial  $P$  of degree  $r+1$  s.t.  $f(n) = P(n)$  for  $n \gg 0$ .

*Proof.* (i) Induction on  $\deg P$ .  $\deg P = 0$  is clear. Assume  $\deg P = r > 0$ . The polynomials  $1, \dots, \binom{X}{r}$  form a  $\mathbb{Q}$ -basis of  $\mathbb{Q}[X]_{\leq r}$ . Therefore we can write  $P(X) = \sum_{i=0}^r c_i \binom{X}{i}$  with  $c_i \in \mathbb{Q}$ . Then  $\Delta P(x) = \sum_{i=0}^{r-1} c_{i+1} \binom{X}{i}$ , so by induction  $c_1, \dots, c_r \in \mathbb{Z}$ , and then  $c_0 \in \mathbb{Z}$  as well.

(ii) By (i) we can write  $Q(X) = \sum_{i=0}^r c_i \binom{X}{i}$ . Set  $P := \sum_{i=0}^r c_i \binom{X}{i+1}$ . This is a numerical polynomial with  $\Delta P = Q$ , so  $\Delta(f - P)(n) = 0$  for  $n \gg 0$ , i.e.  $f - P$  is eventually constant.  $\square$

**Theorem 6.10.** Let  $I \subseteq K[x_0, \dots, x_n]$  be a homogeneous ideal. Then

(i) There exists a unique (numerical) polynomial  $\chi_I(X) \in \mathbb{Q}[X]$  such that  $\chi_I(d) = h_I(d)$  for  $d \gg 0$ .

(ii)  $\deg \chi_I = \dim V^p(I) =: m$ .

(iii) If  $V^p(I) \neq \emptyset$ , the leading coefficient of  $\chi_I$  is  $\frac{1}{m!}$  times a positive integer.

$\chi_I$  is called the Hilbert polynomial of  $I$ . For a projective algebraic set  $X \subseteq \mathbb{P}^n$ ,  $\chi_X := \chi_{I(X)}$  is the Hilbert polynomial of  $X$ .

*Proof.* Uniqueness is clear. Induction on  $m$ : The cases with  $m \leq 0$  are handled in example 6.3(ii) and 6.5(i). Now let  $\dim V^p(I) = m > 0$  and let  $V^p(I) = X_1 \cup \dots \cup X_r$  be the decomposition into irreducible components. By remark 6.7, there exists a linear polynomial  $f$  s.t.  $X_i \not\subseteq V^p(f)$  and the condition from lemma 6.6 holds. Then  $h_{I+(f)}(d) = h_I(d) - h_I(d-1)$  for  $d \gg 0$ . Since  $\dim V^p(I+(f)) = \dim V^p(I) - 1$  (see the following lemma), by the induction hypothesis  $h_{I+(f)}$  agrees with a numerical polynomial of degree  $m-1$  for  $d \gg 0$ , hence  $h_I$  agrees with a numerical polynomial of degree  $m$  for  $d \gg 0$  by proposition 6.9(ii). This proves (i) and (ii). (iii) follows immediately from proposition 6.9(i) and the observation  $h_I(d) \geq 0$ .  $\square$

Lecture 23  
Jan 19, 2026

**Lemma 6.11.** Let  $X \subseteq \mathbb{P}^n$  be a projective algebraic set, and  $f \in K[x_0, \dots, x_n]$  a homogeneous non-constant polynomial with  $X_i \not\subseteq V(f)$  for all irreducible components  $X_i$  of  $X$ . Then  $\dim(X \cap V^p(f)) = \dim X - 1$ .

*Proof.* Let  $X = X_1 \cup \dots \cup X_r$  be the decomposition into irreducible components. Then  $V(I + (f)) = \bigcup_i (X_i \cap V(f))$ , so wlog  $X$  is irreducible. Also, the case  $\dim X = 0$  is clear, so let  $\dim X > 0$ .

By corollary 4.32,  $X \cap V^p(f) \neq \emptyset$ . There exists an open  $U \in \{U_0, \dots, U_n\}$  such that

$$\emptyset \neq U \cap X \cap V^p(f) = (U \cap X) \cap (U \cap V^p(f)) \subseteq U \cong \mathbb{A}^n.$$

Now the result follows from the corresponding result for affine varieties, which was shown in the exercises.  $\square$

**Definition 6.12.** The positive integer from theorem 6.10(iii) is called the degree  $\deg(I)$  of  $I$ . For a projective algebraic set, we set  $\deg X := \deg I(X)$ .

**Example 6.13.** From examples 6.3 and 6.5 it follows that

- $\chi_{\mathbb{P}^n}(t) = \binom{t+n}{n}$  and  $\deg(\mathbb{P}^n) = 1$ ,
- $\chi_I(t) = 0$  iff  $V(I) = \emptyset$ ,
- If  $S \subseteq \mathbb{P}^n$  is finite, then  $\chi_S(t) = |S|$  and  $\deg(S) = |S|$ . Note the same is not necessarily true for homogeneous ideals  $I$  with  $V(I)$  finite, cf. example 6.5(ii). In this case one only has  $I \subseteq \sqrt{I}$ , so  $\deg I \geq |V(I)|$ .

**Example 6.14.** Let  $X \subseteq \mathbb{P}^n$  be a linear subspace of dimension  $r$ , i.e.  $X$  is the projectivization of some linear subspace of  $\mathbb{A}^{n+1}$ . Then  $\deg X = 1$ . Indeed,  $\mathrm{GL}(K, n+1)$  acts transitively on the set of subspaces of dimension  $r+1$ , so by remark 6.2, we may assume  $X = V^p(x_{r+1}, \dots, x_n)$ . Hence  $S_d \cong K[x_0, \dots, x_r]_d$  and  $\chi_X = \chi_{\mathbb{P}^r}$ .

In fact, every pure-dimensional projective algebraic set of degree 1 is a linear subspace in  $\mathbb{P}^n$ .

Lecture 24  
Jan 21, 2026

**Remark 6.15.** If  $X, Y \subseteq \mathbb{P}^n$  have the same dimension  $m$  and do not share a common irreducible component, then  $\dim X \cap Y < m$ . Observe  $V(I(X) + I(Y)) = X \cap Y$ . By proposition 6.4  $\chi_{X \cup Y} = \chi_X + \chi_Y - \chi_{I(X)+I(Y)}$ , where the last term has smaller degree than the others, so comparing leading coefficients yields  $\deg(X \cup Y) = \deg X + \deg Y$ .

In particular, if  $X$  is pure-dimensional and  $X = X_1 \cup \dots \cup X_n$  is the decomposition into irreducible decomponents, then  $\deg X = \sum_i \deg X_i$ .

**Proposition 6.17** (Bézout's Theorem). *Let  $X \subseteq \mathbb{P}^n$  be a projective algebraic set,  $\dim X \geq 1$ , and  $X = X_1 \cup \dots \cup X_r$  the decomposition into irreducible components. Let  $f \in K[x_0, \dots, x_n]$  be a homogeneous polynomial with  $X_i \not\subseteq V^p(f)$  for all  $i$ . Then*

$$\deg(I(X) + (f)) = \deg X \cdot \deg f.$$

*Proof.* By lemma 6.6 we have  $\chi_{I(X)+(f)}(t) = \chi_X(t) - \chi_X(t-e)$ , where  $e = \deg f$ . Let  $\chi_X(t) = \frac{c_m}{m!}t^m + O(t^{m-1})$ , then

$$\chi_X(t) - \chi_X(t-e) = \frac{c_m}{m!}(t^m - (t-e)^m) + O(t^{m-2}) = \frac{c_m m e}{m!} t^{m-1} + O(t^{m-2}).$$

Hence  $\deg(I(X) + (f)) = (m-1)! \frac{c_m m e}{m!} = c_m e = \deg X \deg f$ .  $\square$

**Remark 6.18.** Let  $X = \mathbb{P}^n$ . Then Bezout's Theorem reads  $\deg((f)) = \deg f$ , motivating the terminology "degree". If  $X$  is a hypersurface, by the exercises  $I(X) = (f)$  for some homogeneous polynomial  $f$ . Then  $\deg X = \deg f$ .

**Corollary 6.19.** (i) Let  $X \subseteq \mathbb{P}^n$  be a curve, and let  $f \in K[x_0, \dots, x_n]$  be a nonconstant homogeneous polynomial s.t.  $X_i \not\subseteq V^p(f)$  for any irreducible component  $X_i \subseteq X$ . Then  $0 < |X \cap V^p(f)| \leq \deg X \deg f$ .  
(ii) Let  $X, Y \subseteq \mathbb{P}^2$  be curves without common irreducible components. Then  $|X \cap Y| \leq \deg X \deg Y$ .

*Proof.* (i) Combine Bézout's Theorem with example 6.13. (ii) follows directly from (i) with  $n = 2$  and remark 6.18  $\square$

**Proposition 6.20** (Pascal's Theorem). *Let  $X \subseteq \mathbb{P}^2$  be an irreducible conic, i.e.  $X = V(f)$  with  $\deg f = 2$  and  $f$  irreducible. Let  $A, B, C, D, E, F \in X$ . Then the three intersection points  $P = \overline{AB} \cap \overline{DE}$ ,  $Q = \overline{BC} \cap \overline{EF}$  and  $R = \overline{AF} \cap \overline{CD}$  of opposite edges of the hexagon  $ABCDEF$  lie on a line.*

Note that since lines have degree 1 by example 6.14, they intersect in exactly one point in  $\mathbb{P}^2$  by corollary 6.19. So  $P, Q, R$  in the proposition exist and are uniquely specified.

*Proof.* Consider the reducible cubics  $X_1 = AB \cup CD \cup EF$ ,  $X_2 = BC \cup DE \cup AF$ . Let  $I(X_i) = (f_i)$  and pick  $S \in X \setminus \{A, B, C, D, E, F\}$ . We can find  $0 \neq \alpha, \beta \in K$  s.t.  $(\alpha f_1 + \beta f_2)(S) = 0$ . Observe that  $\alpha f_1 + \beta f_2 \neq 0$ , since  $V(f_1) \neq V(f_2)$ . Set  $X' = V(\alpha f_1 + \beta f_2)$ ,  $\deg X' \leq 3$ . But now  $X' \cap X$  contains  $A, B, C, D, E, F$  and  $S$ , contradicting Bezout's theorem unless  $X'$  and  $X$  share an irreducible component. But  $X$  is irreducible, so  $X \subseteq X'$  and  $X' = X \cup L$  for some line  $L$ . We conclude  $P, Q, R \in X' \setminus X = L$ .  $\square$