Sistemi Operativi I

Corso di Laurea in Informatica

2023-2024

SAPIENZA
UNIVERSITÀ DI ROMA

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Recap from Previous Lecture

- A **thread** is a single execution stream within a process
- Thread vs. Process:
  - common vs. separate address spaces → **quicker communication**
  - lightweight vs. heavyweight → **faster context switching**
- On a single core:
  - Fully CPU-bound processes do not take advantage of multi-threading
  - Concurrency between threads in mixed CPU- and I/O-bound processes

Multi-threading: Support and Management

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- Kernel threads
  - managed directly by the OS kernel itself
- User threads
  - managed in user space by a user-level **thread library**, without OS intervention

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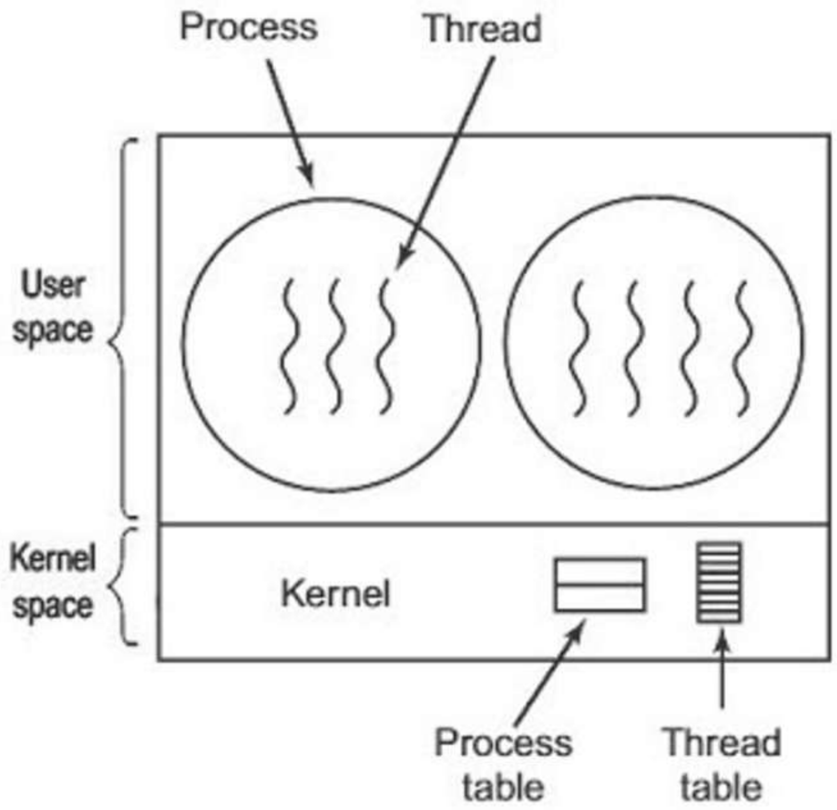
- The OS usually provides system calls to create and manage threads from user space

Kernel Threads: PROs



- PROs
  - The kernel has full knowledge of all threads
  - Scheduler may decide to give more CPU time to a process having a large numer of threads
  - Good for applications that frequently block
  - Switching between threads is faster than switching between processes

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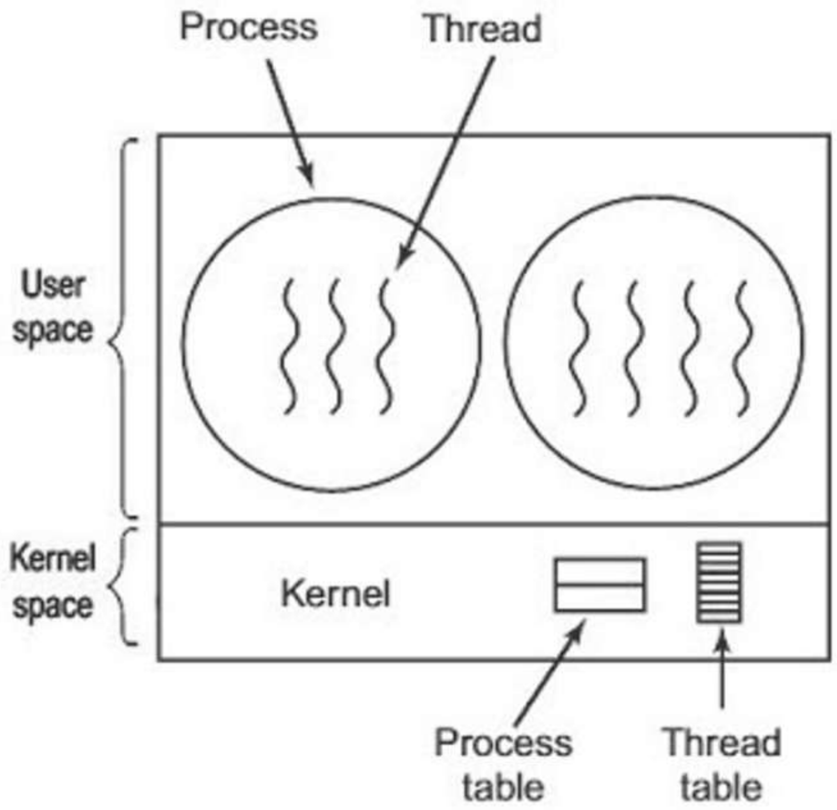

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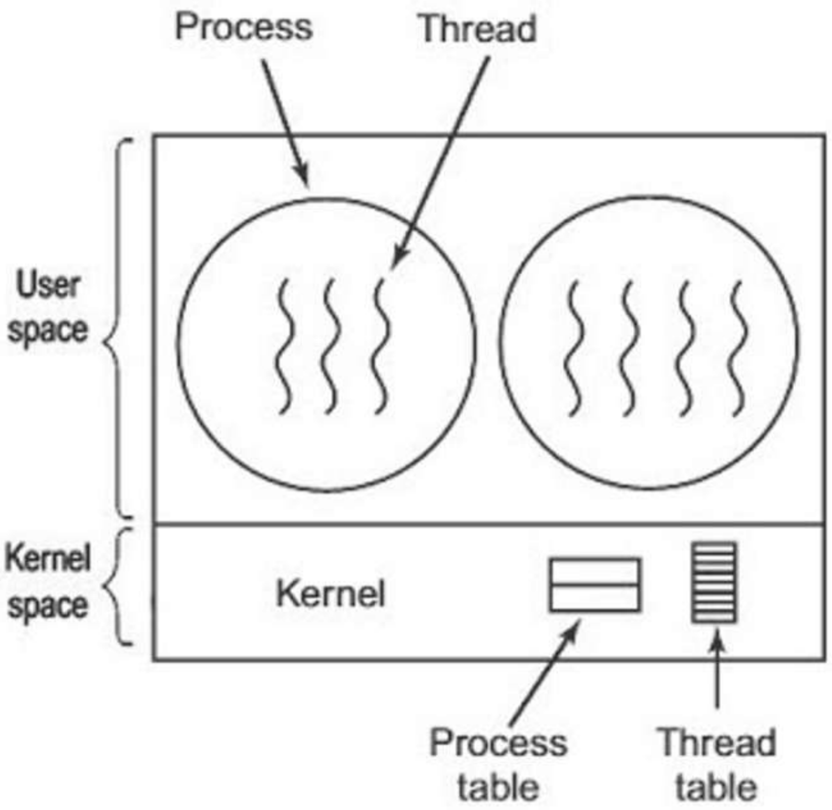

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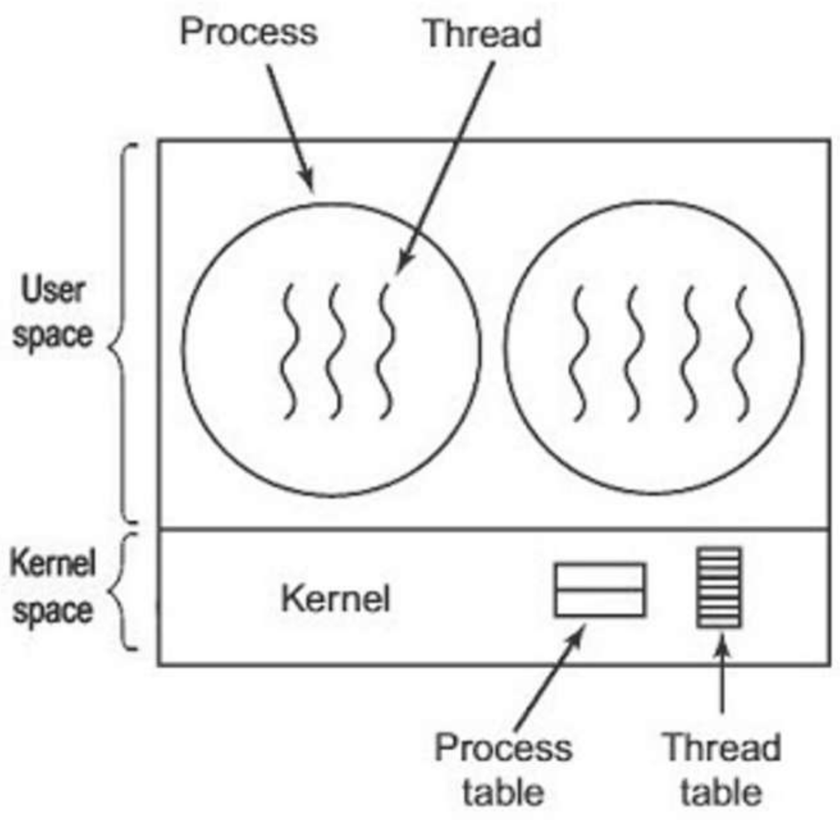

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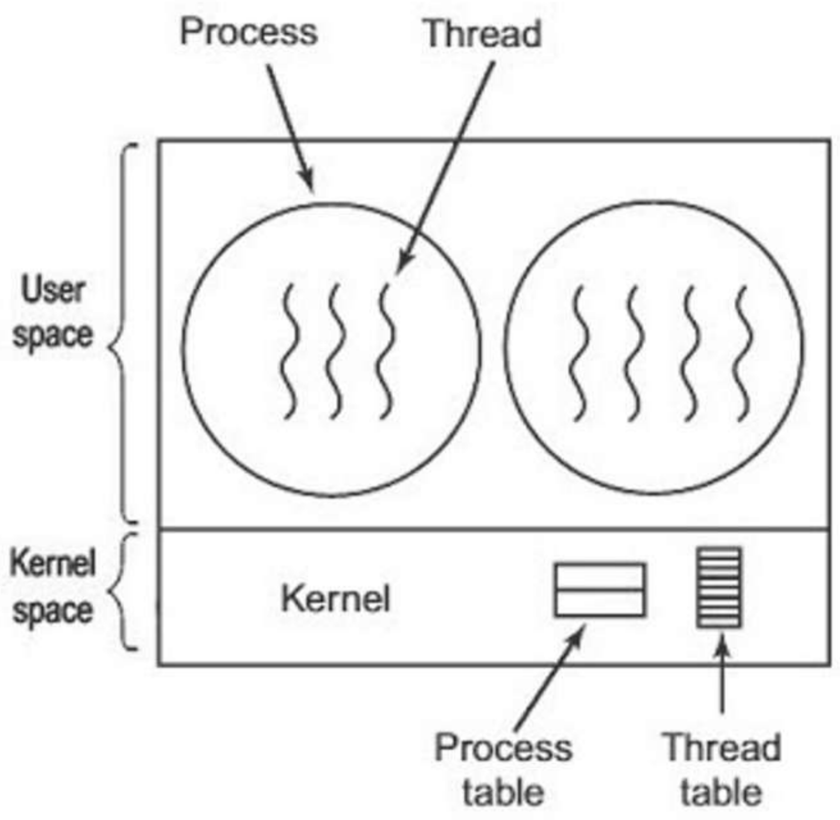

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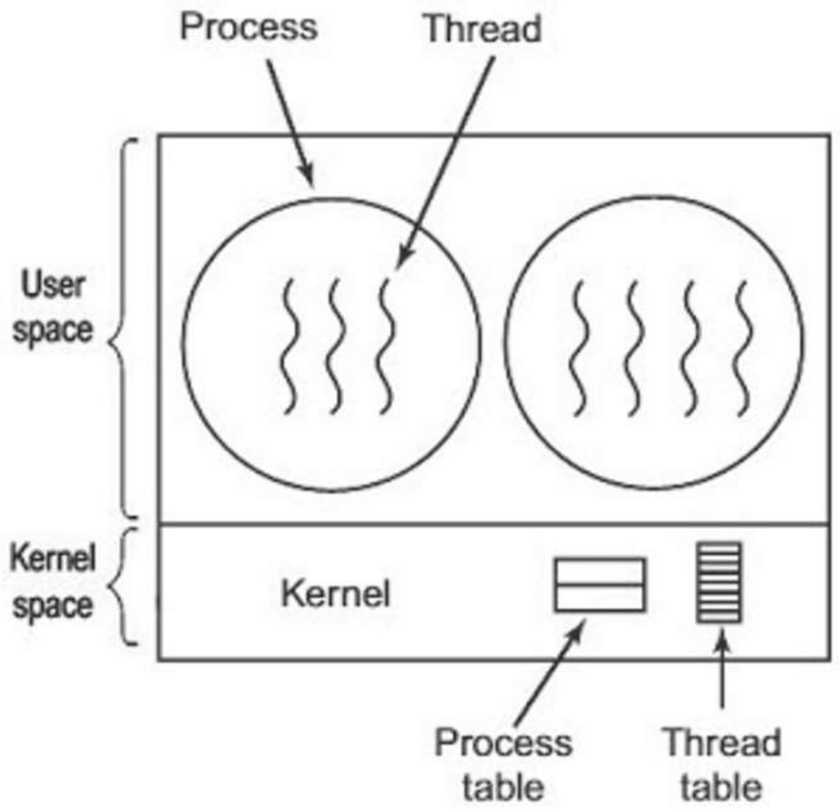

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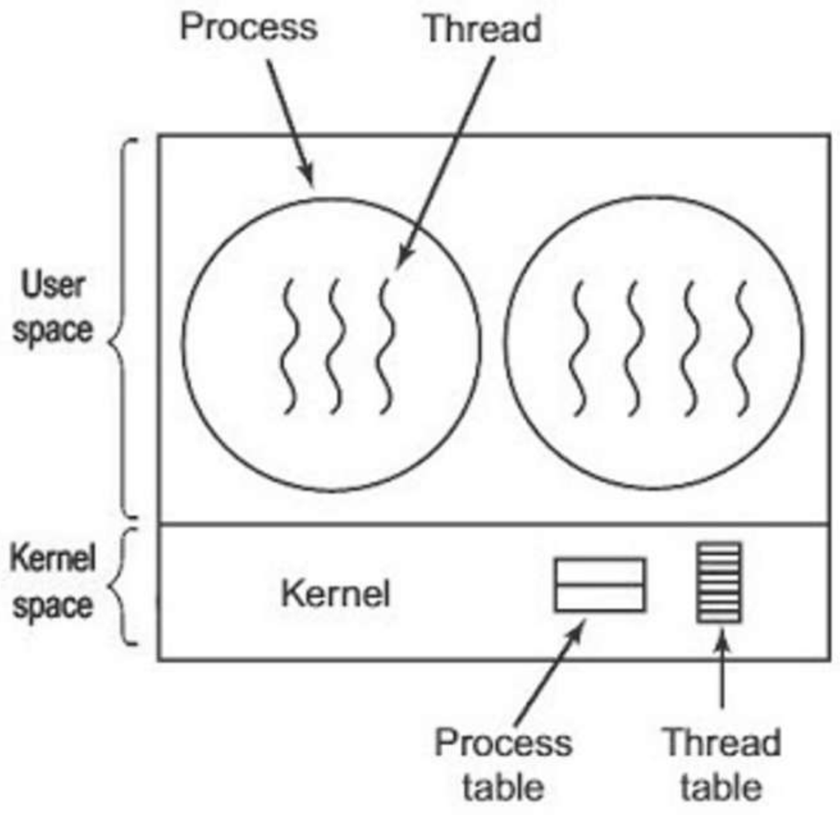

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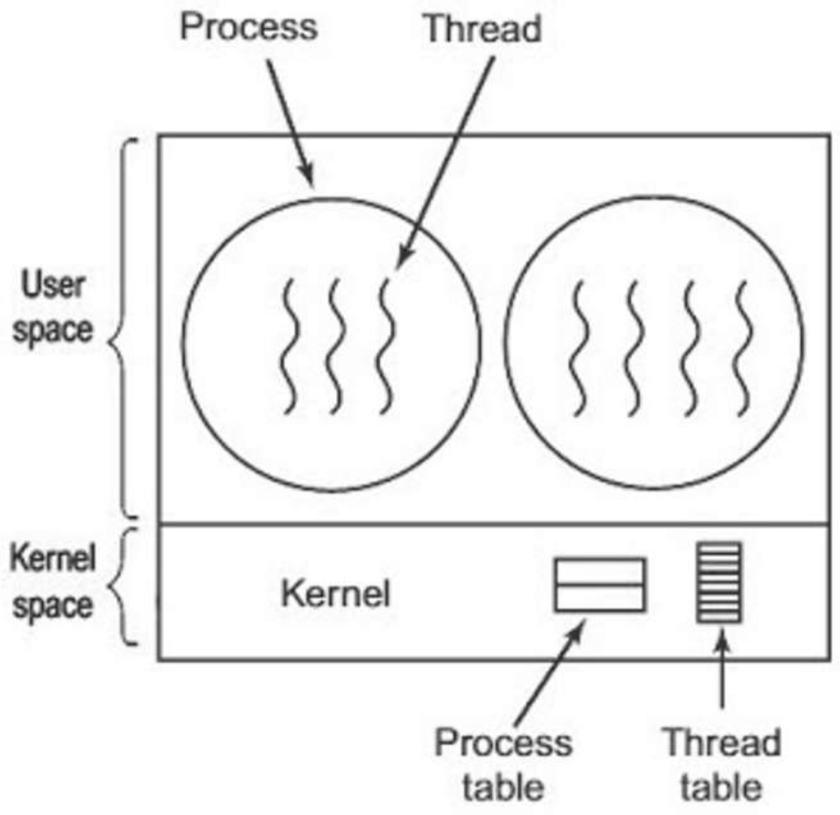

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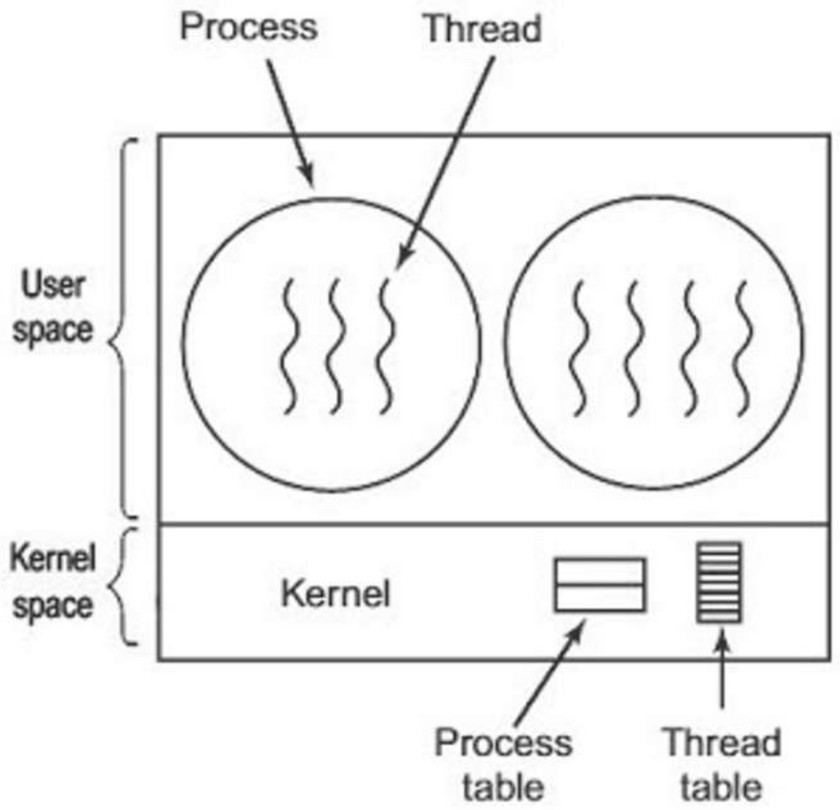

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- Ideally, thread operations should be as fast as a function call

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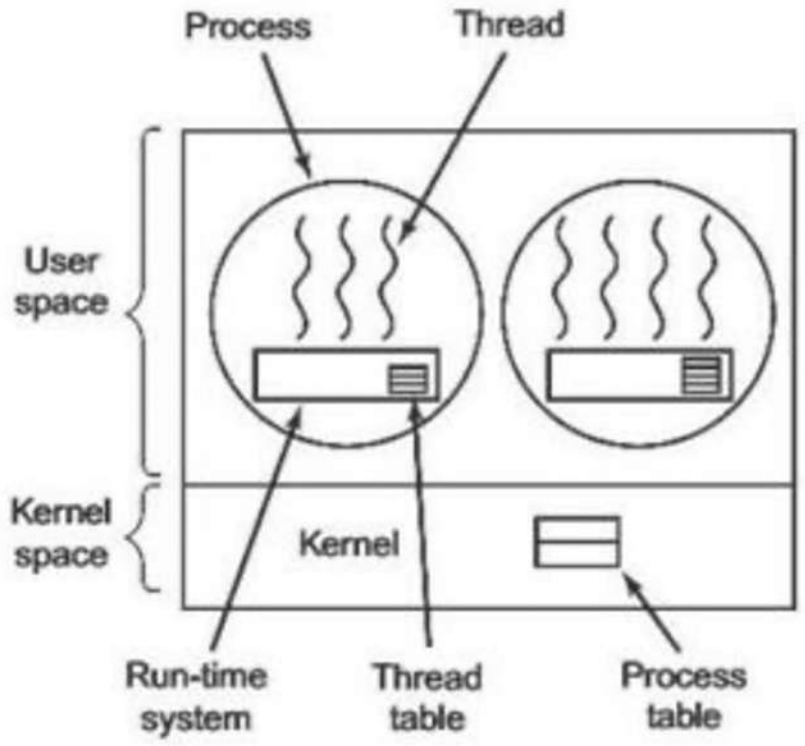

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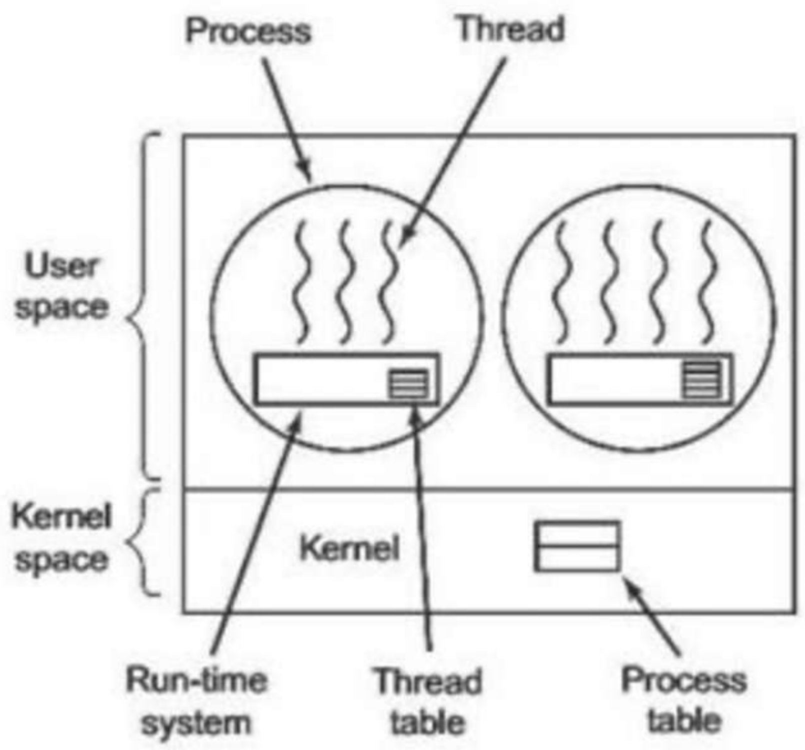

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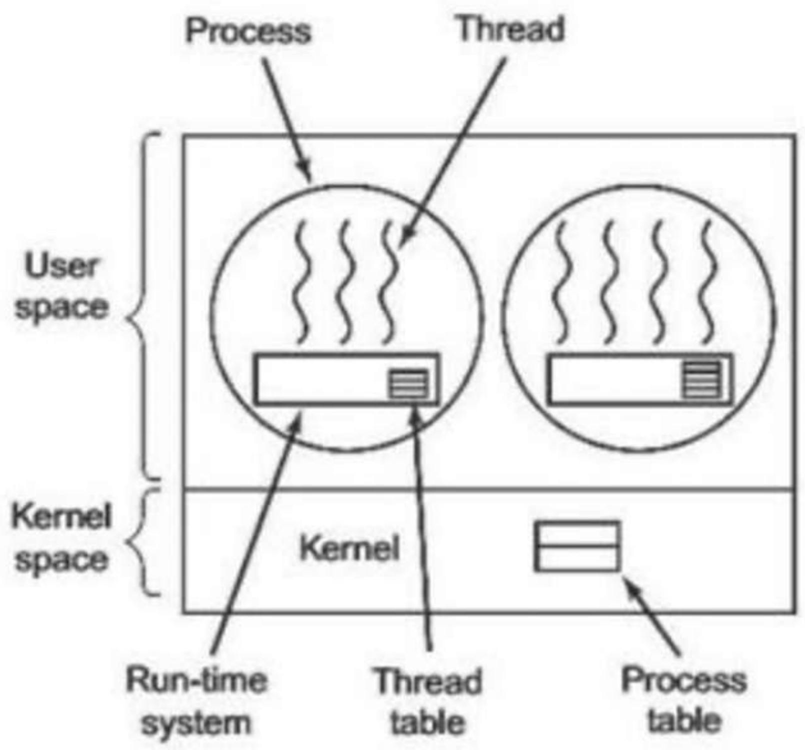

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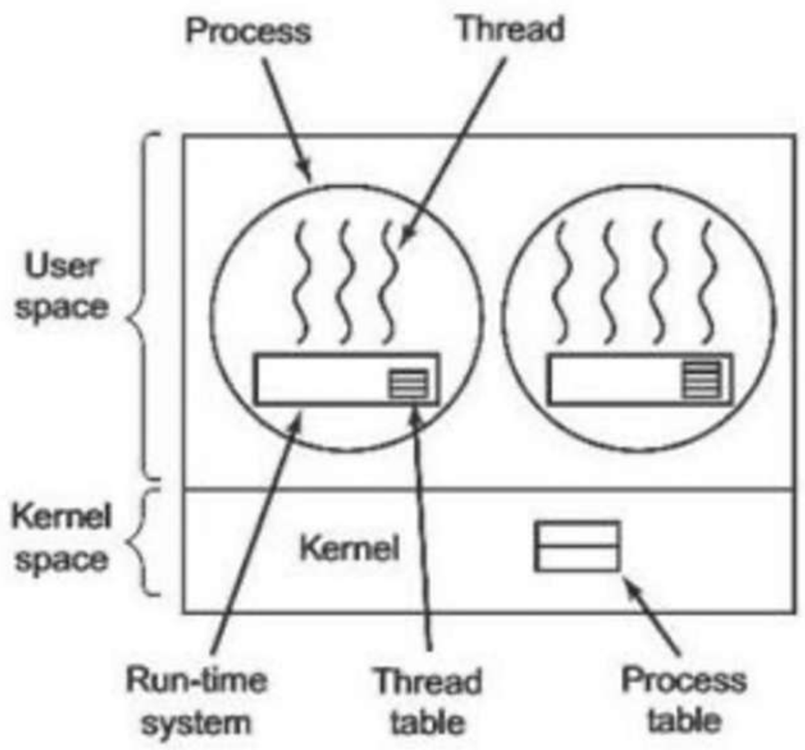

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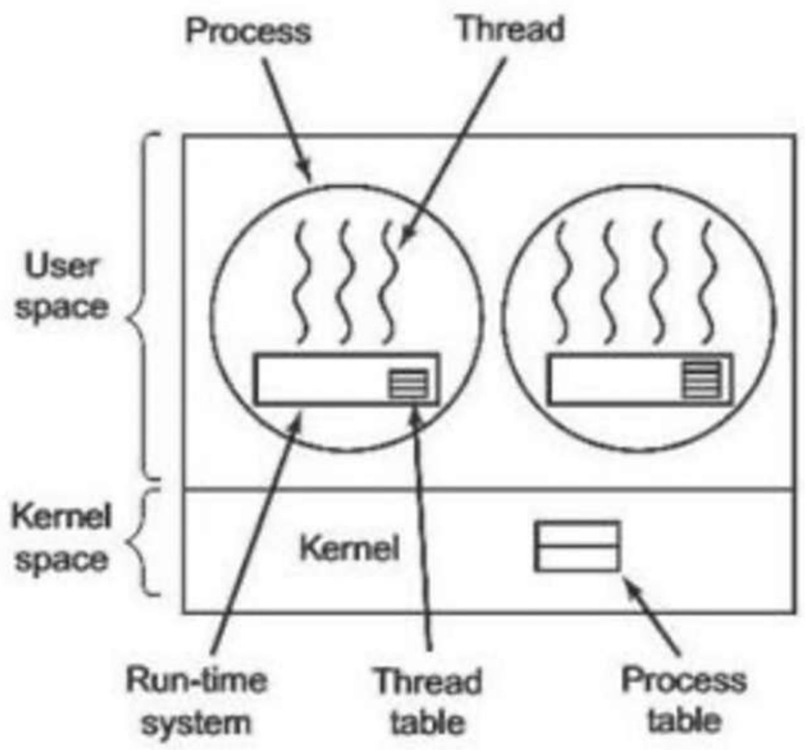

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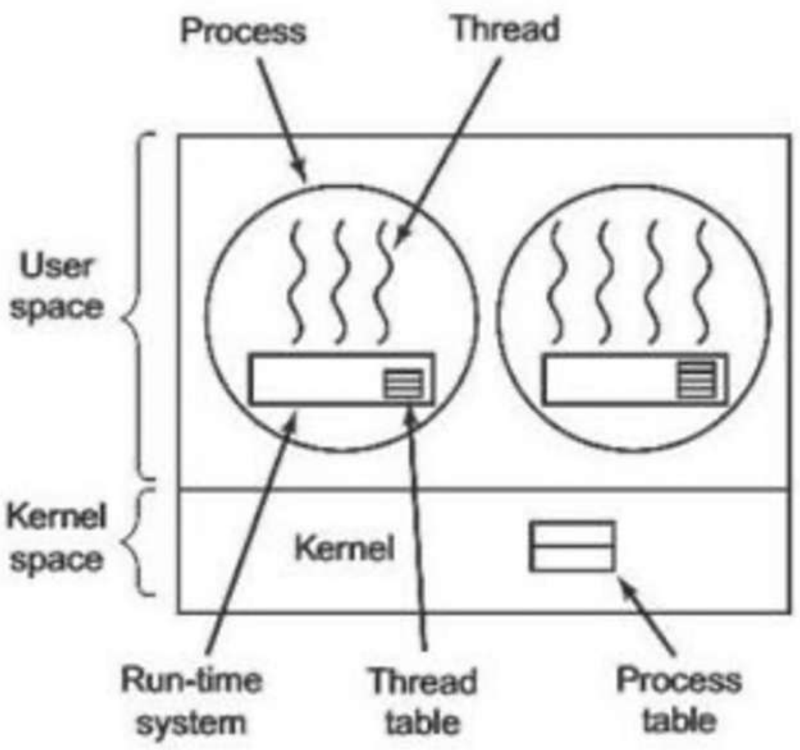

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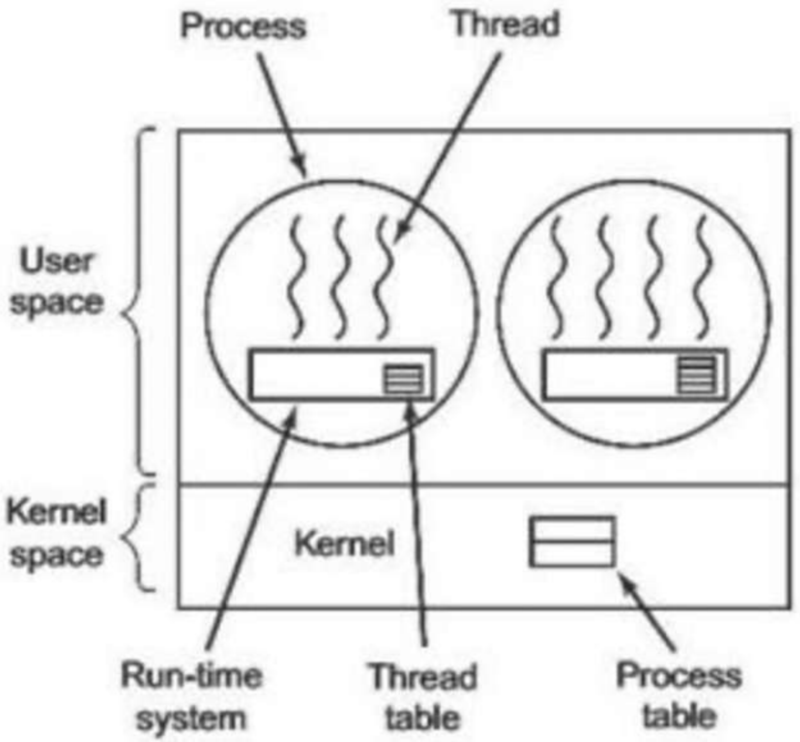

- CONs
  - No true concurrency of multi-threaded processes
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  - Lack of coordination between kernel and threads
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  - Requires non-blocking system calls, otherwise all threads within a process have to wait

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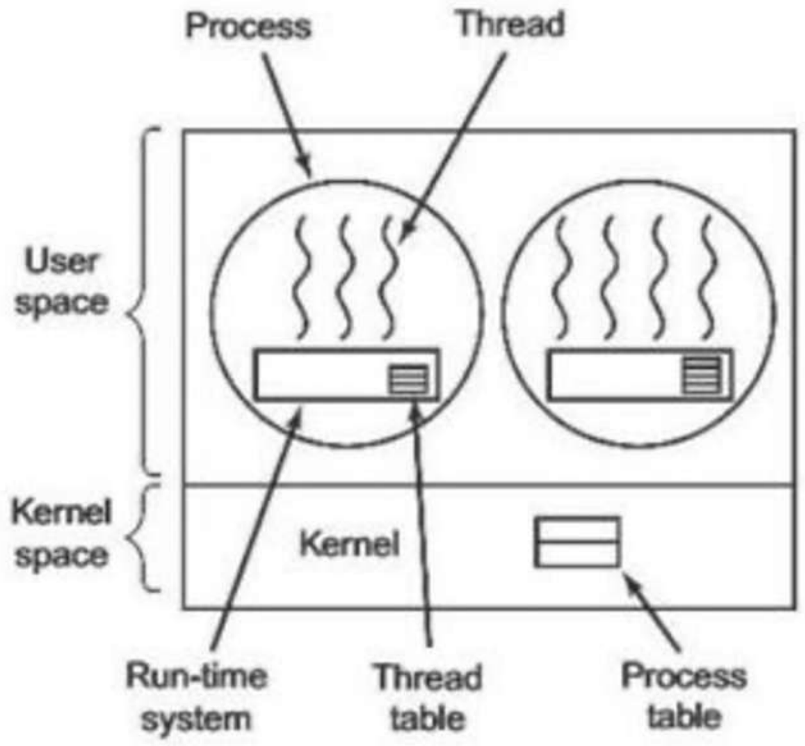

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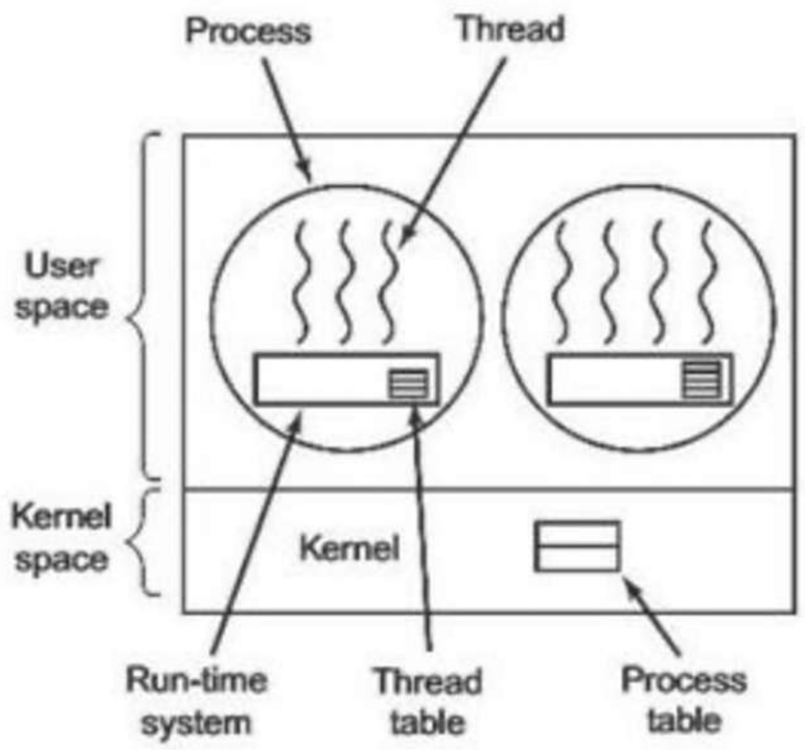

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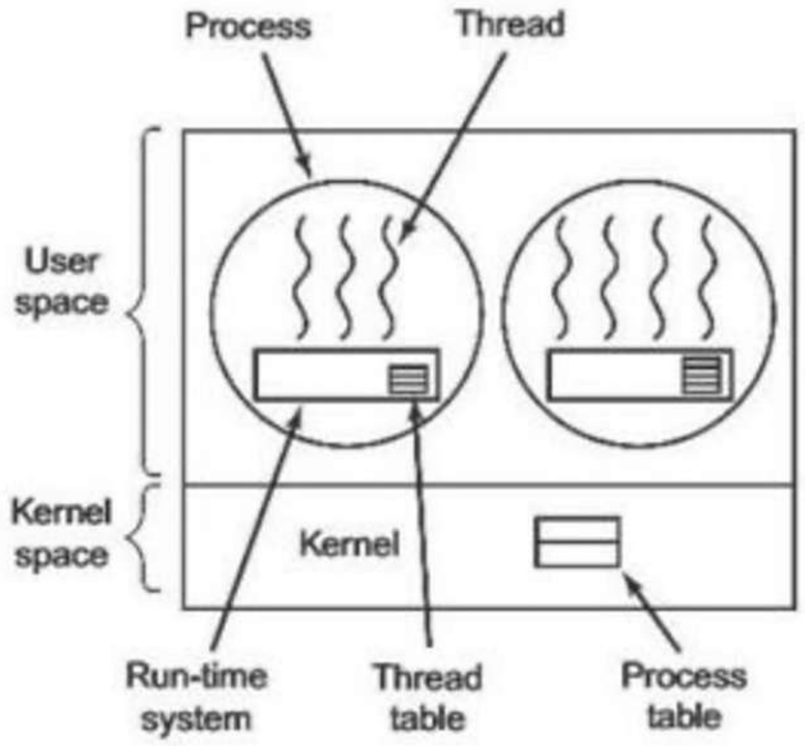

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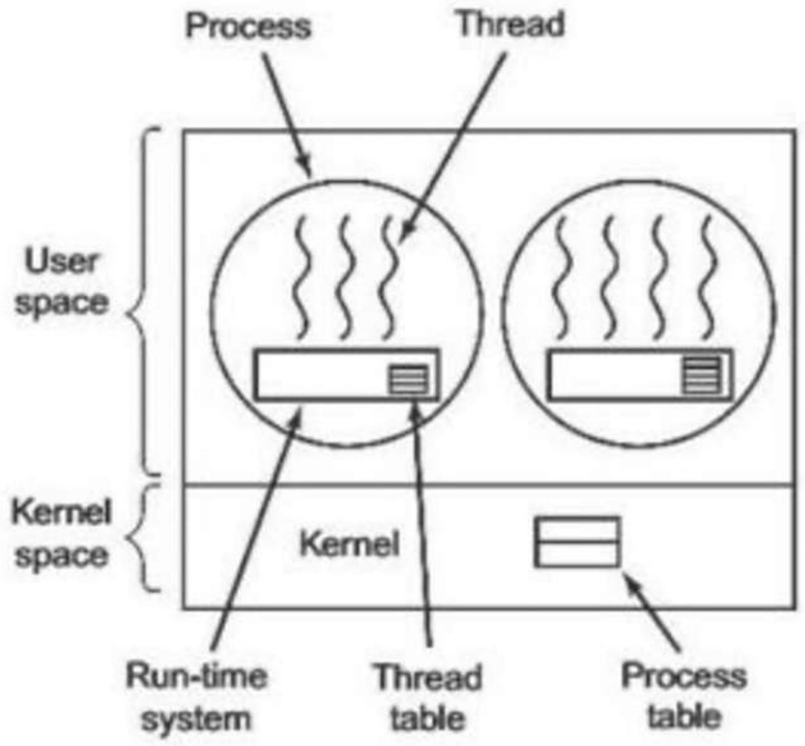

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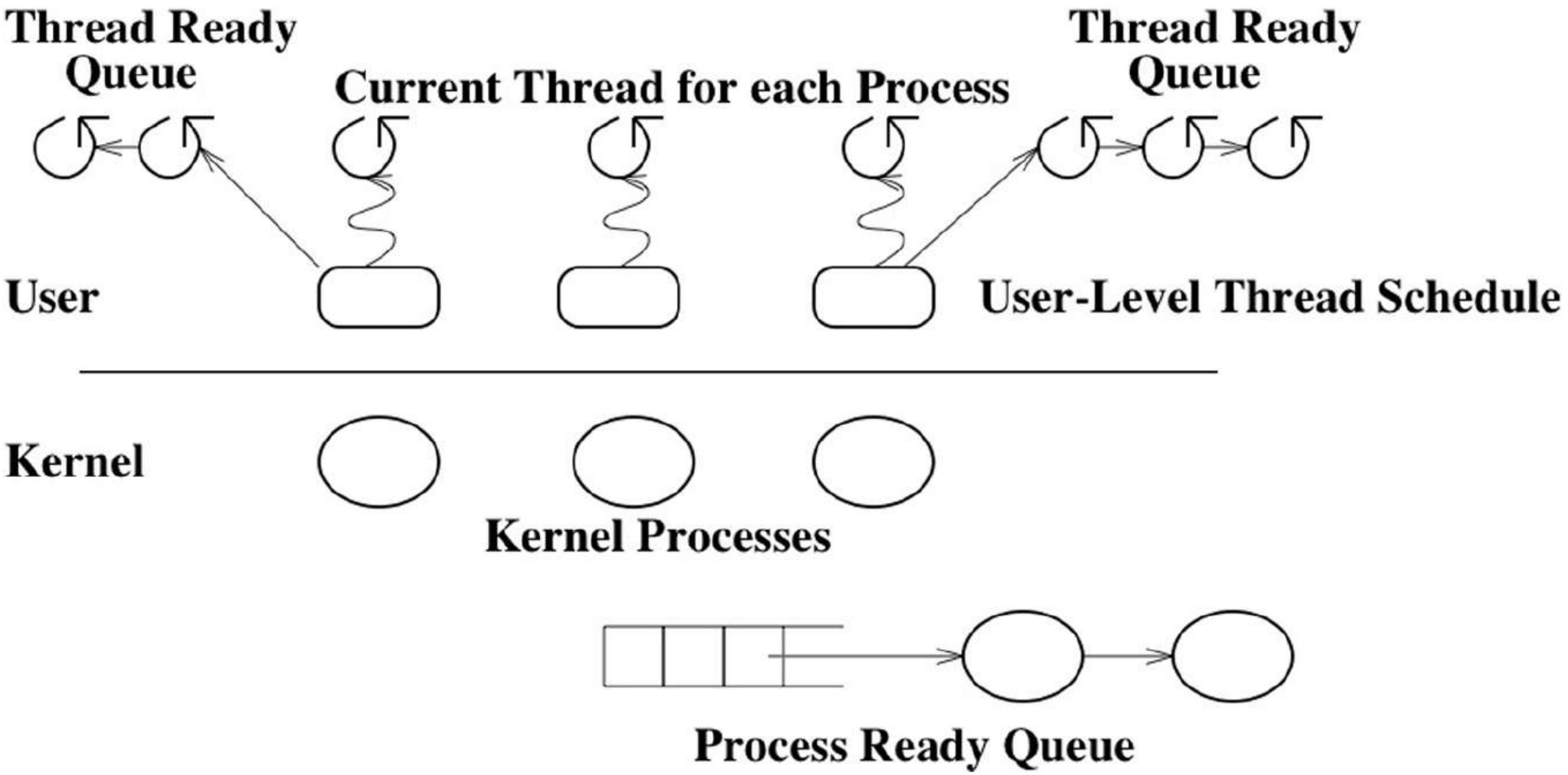
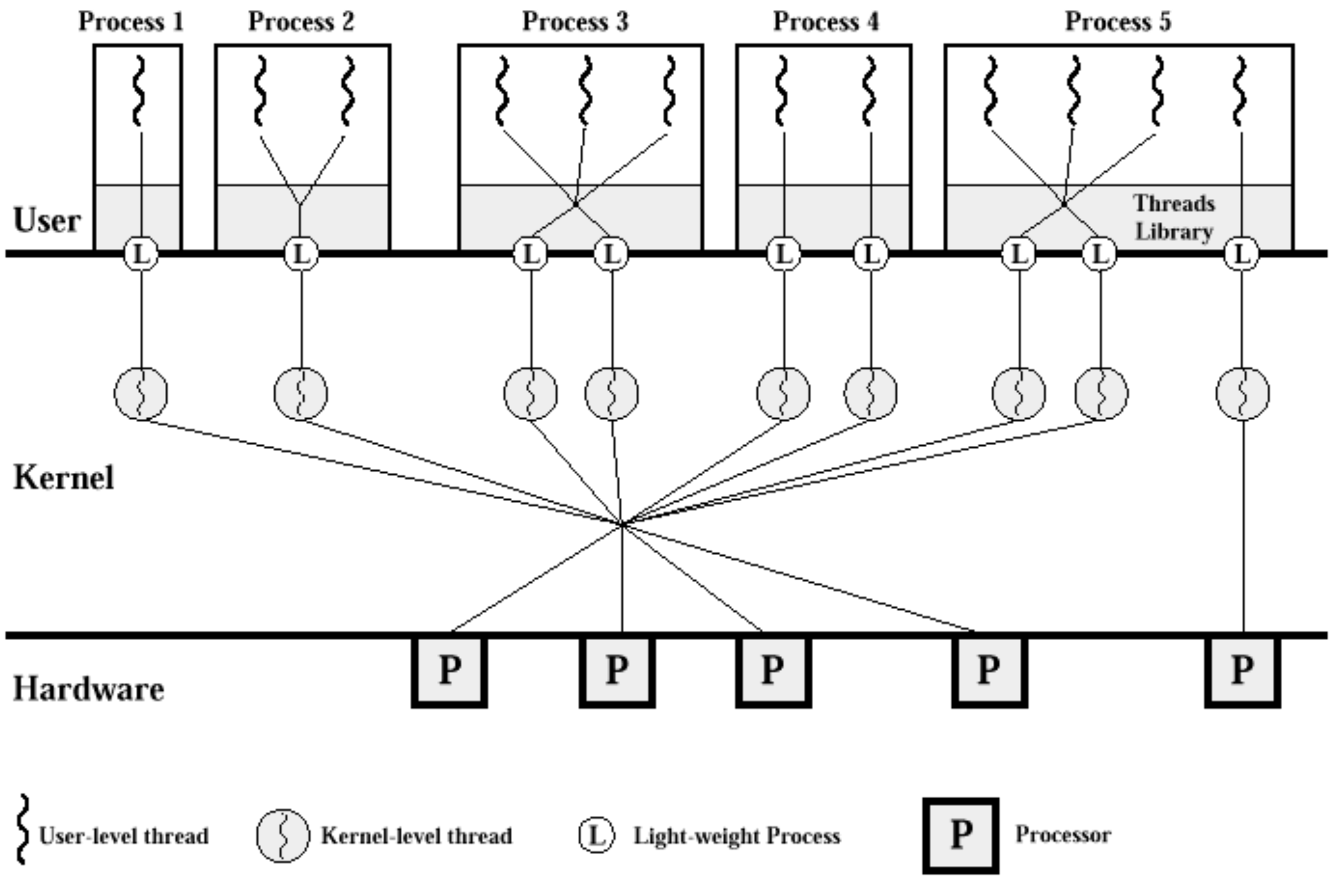


Hybrid Management: Lightweight Processes



Multi-threading Models

- In a specific implementation, user threads must be mapped to kernel threads in one of the following ways:
  - Many-to-One
  - One-to-One
  - Many-to-Many
  - Two-level

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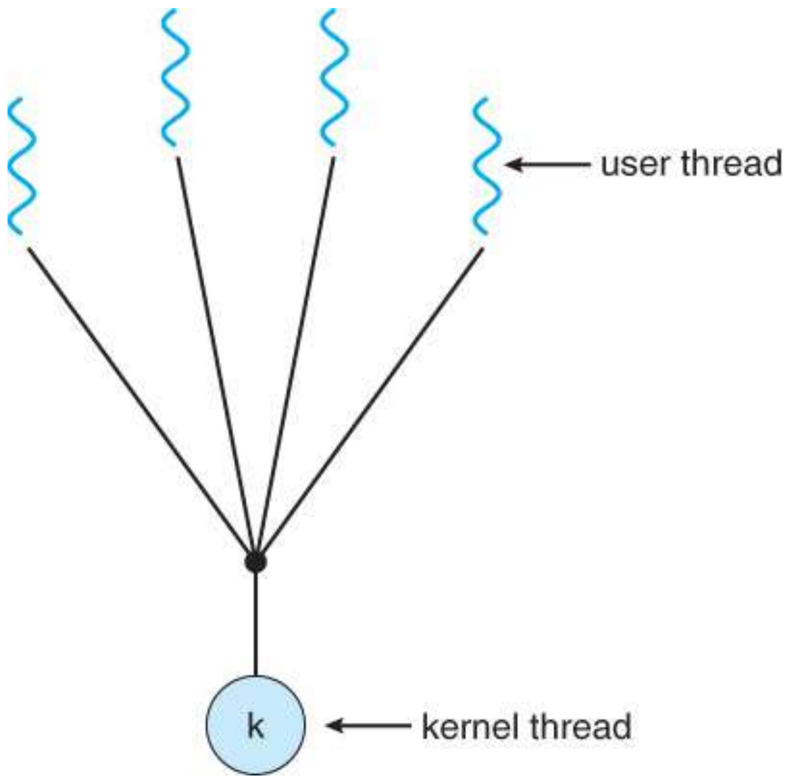
<u>Remember:</u>
A kernel thread is the unit of execution that is scheduled by the OS to run on the CPU (similar to single-threaded process)

Many-to-One Model



- Many user threads are all mapped onto a single kernel thread
- The process can only run one user thread at a time because there is only one kernel thread associated with it
- As single kernel thread can operate on a single CPU, multi-user-thread processes cannot be split across multiple CPUs
- If a blocking system call is made, the entire process blocks, even if other user threads would be able to continue

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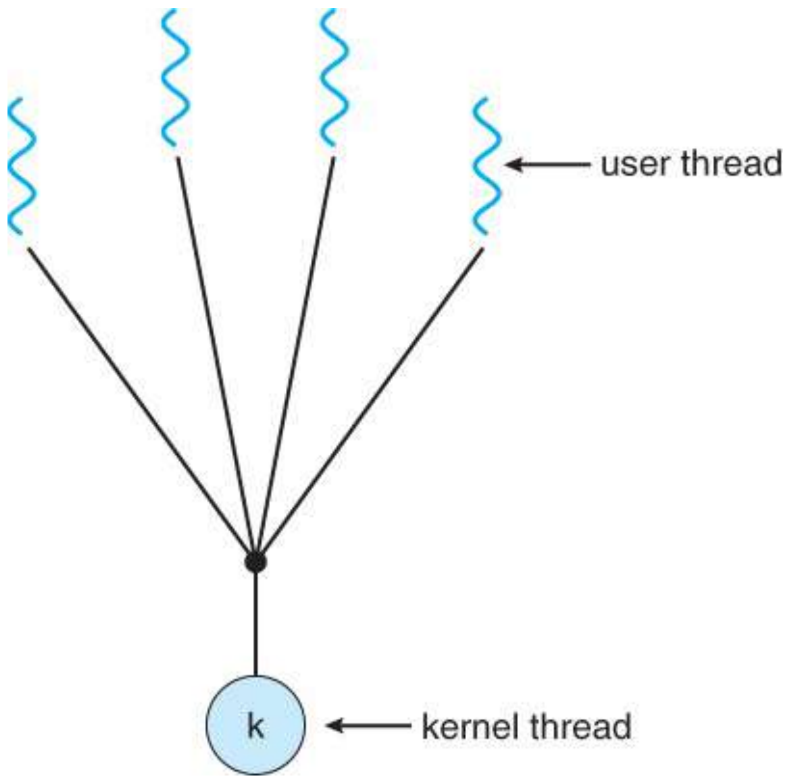

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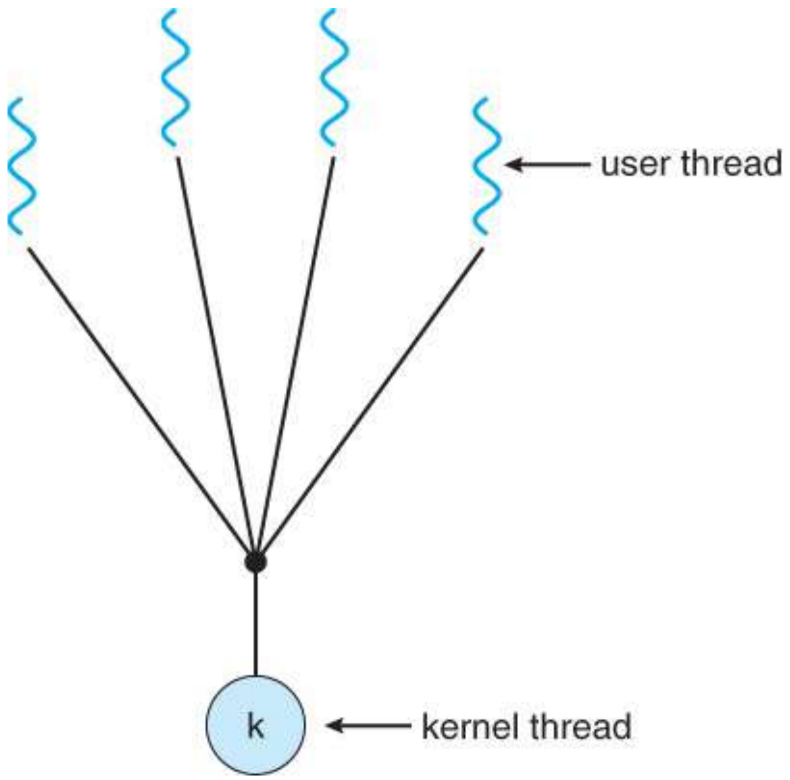

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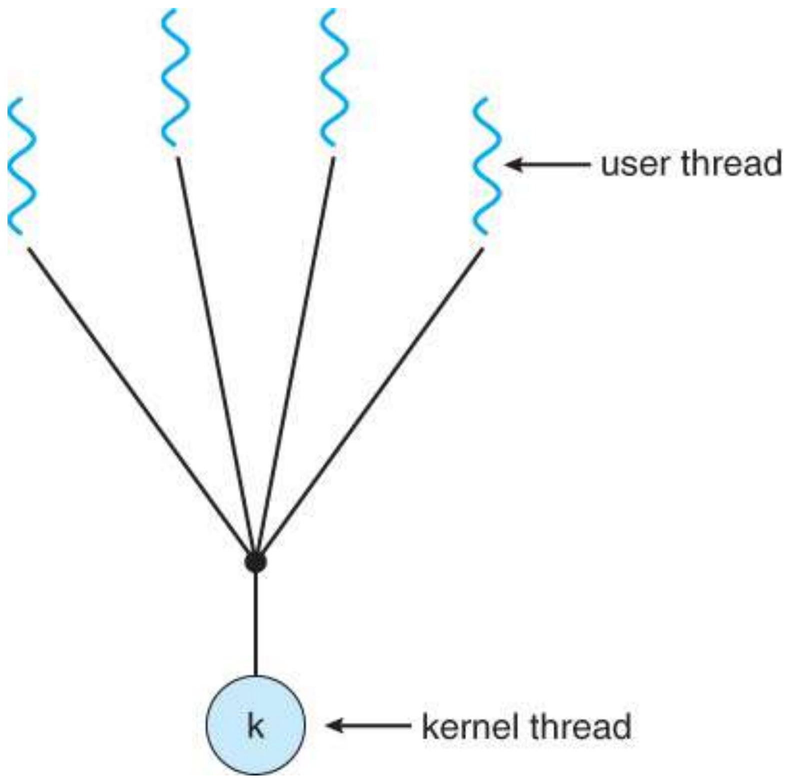

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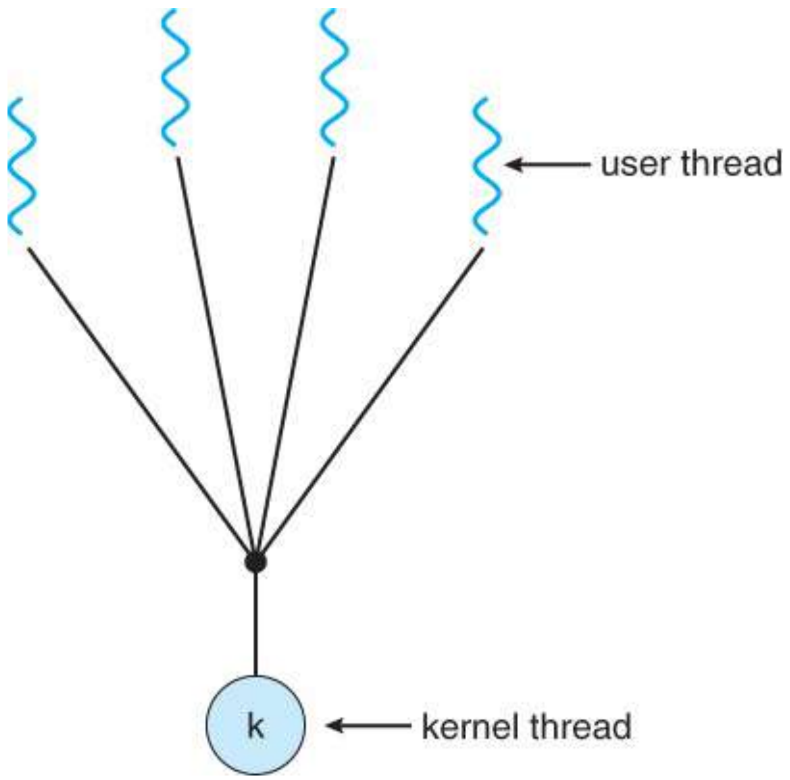

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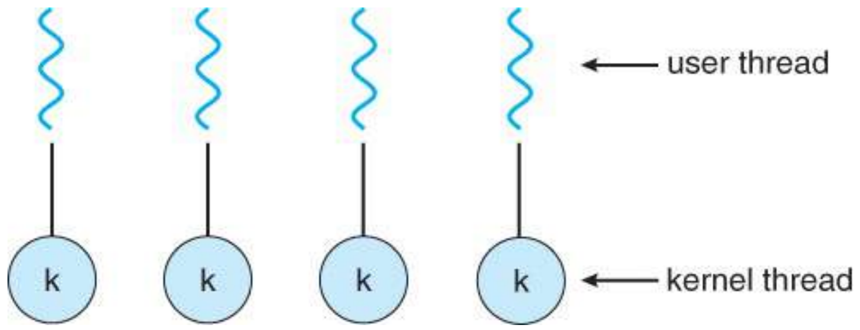
One-to-One Model



- A separate kernel thread to handle each user thread
- Overcomes the limitations of blocking system calls and splitting of processes across multiple CPUs
- The overhead of managing the one-to-one model is more significant and may slow down the system
- Most implementations of this model place a limit on how many threads can be created

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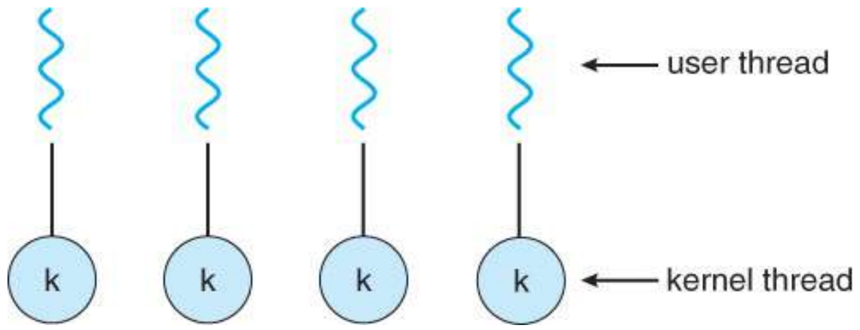

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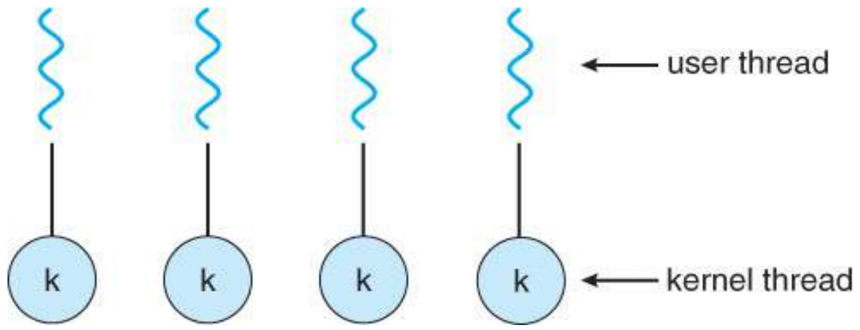

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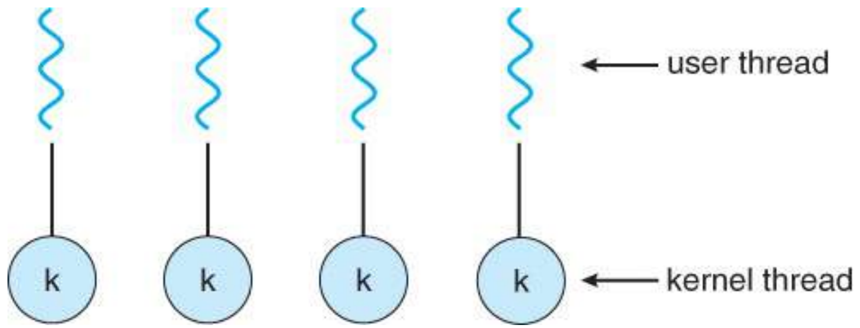

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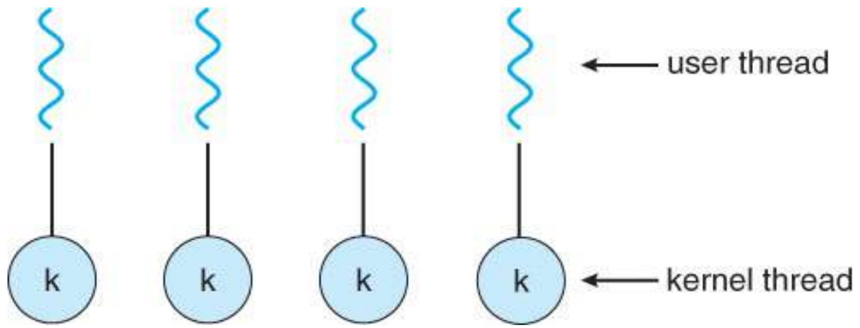

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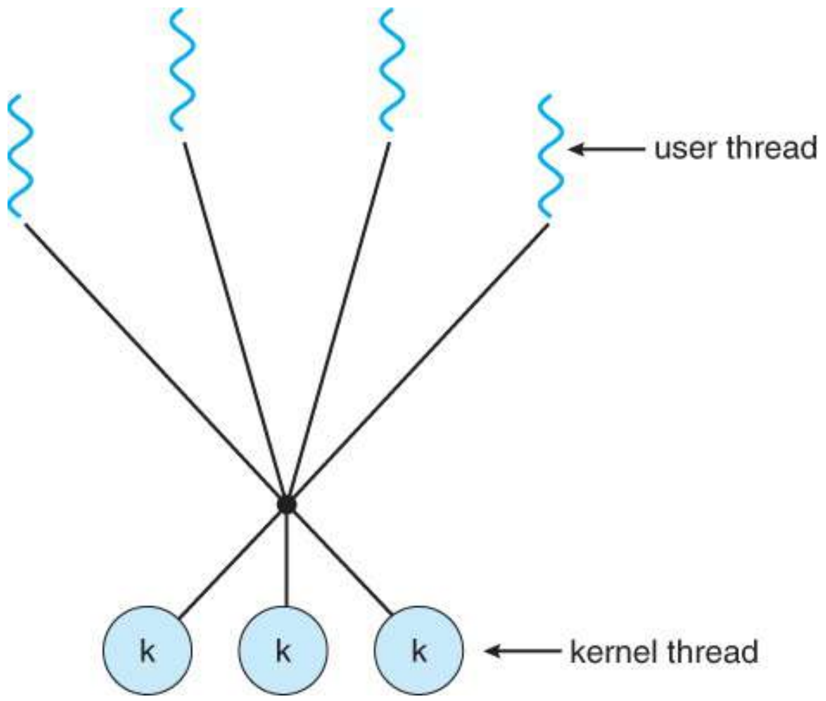
Many-to-Many Model



- Multiplexes any number of user threads onto an equal or smaller number of kernel threads
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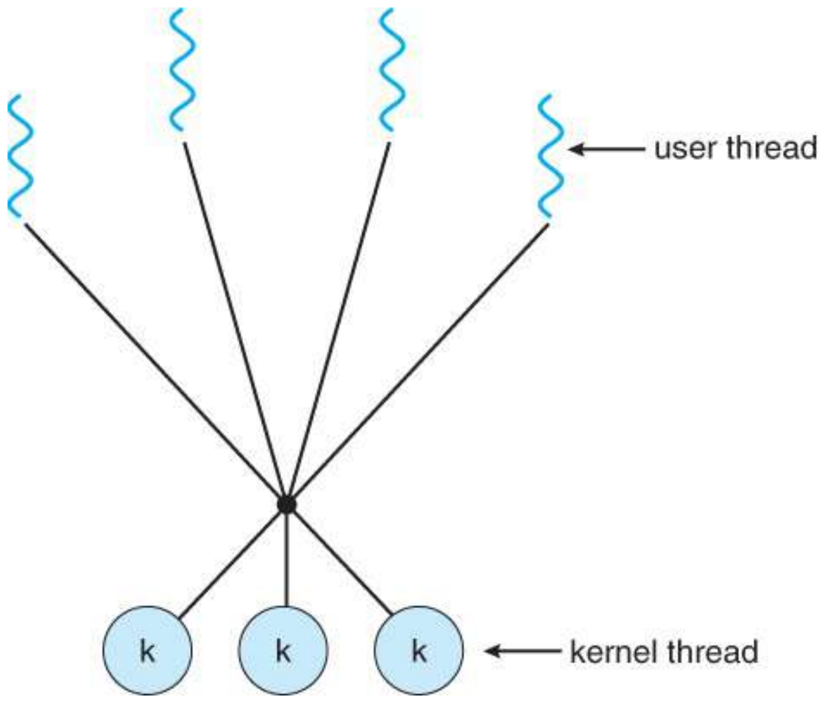

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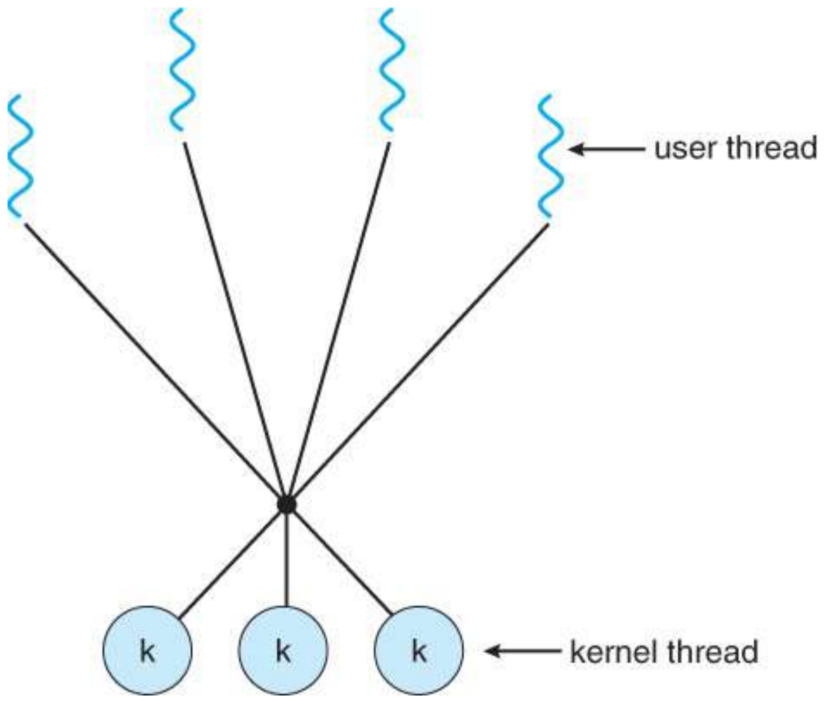

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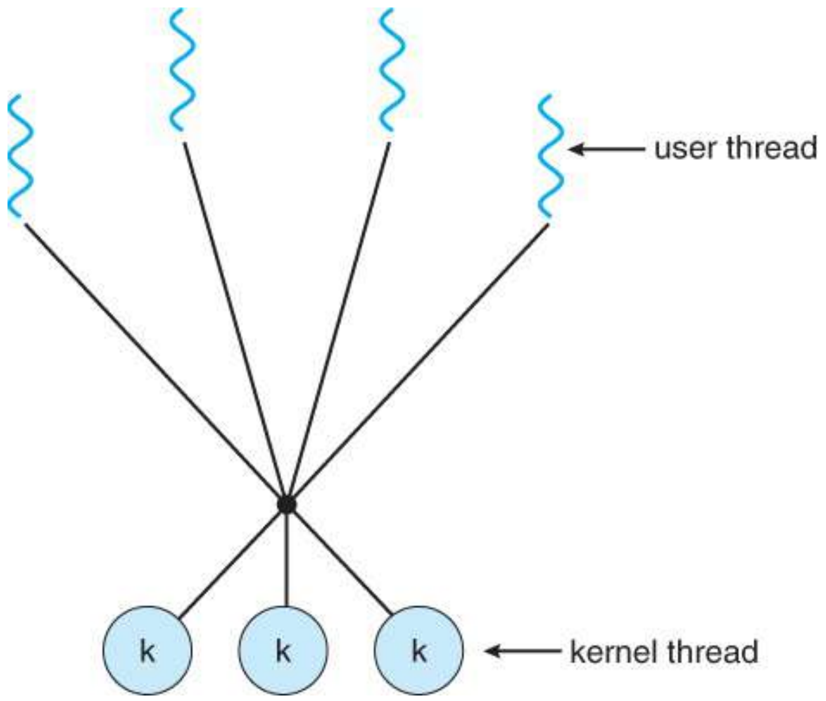

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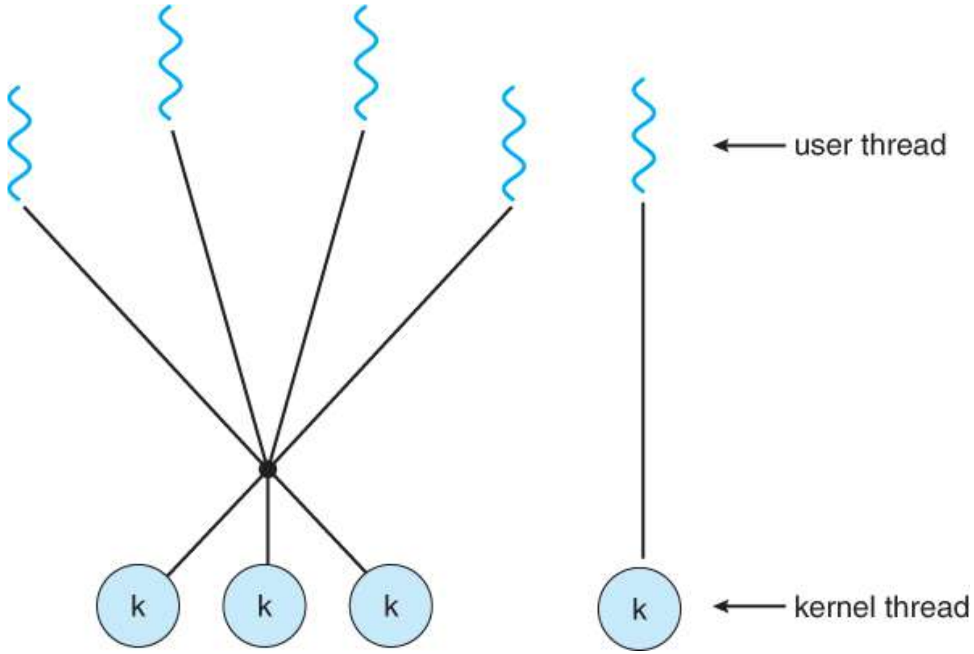

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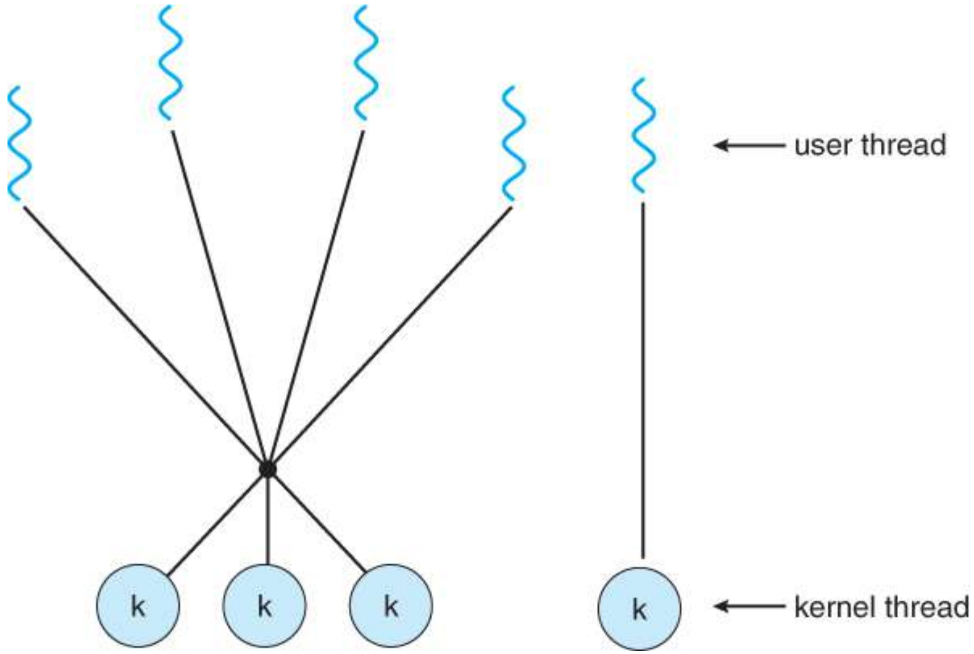

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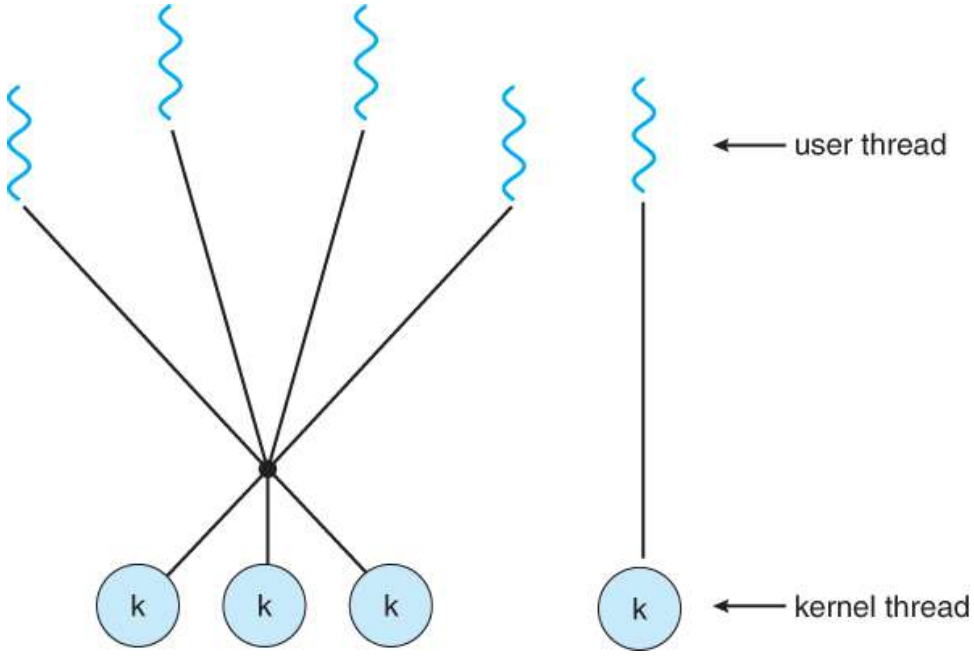

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  - **kernel space** → implemented in kernel space within a kernel that supports threads (system calls)

Thread Libraries: Examples

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  - Win32 threads → provided as a kernel-level library on Windows systems
  - Java threads → the implementation of threads is based upon whatever OS and hardware the JVM is running on, e.g., either Pthreads or Win32 threads

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- Available on Solaris, Linux, Mac OSX, Tru64, and via public domain shareware for Windows
- Global variables are shared amongst all threads
- One thread can wait for the others to rejoin before continuing

Java Threads

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  - Extending the Thread class
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- Java Threads can be created in **2 ways**:
  - Extending the Thread class
  - Implementing the Runnable Interface
- Both solutions require to override the run() method
- Note that Java doesn't support multiple inheritance!
  - If your class extends the Thread class, it cannot extend any other class
  - In such a situation, implementing Runnable is preferable

Java Threads: Single-Threaded Web Server

```java
public class SingleThreadedServer implements Runnable {

    protected int          serverPort   = 8080;
    protected ServerSocket serverSocket = null;
    protected boolean      isStopped    = false;

    public SingleThreadedServer(int port){
        this.serverPort = port;
    }

    public void run() {

        try {
            this.serverSocket = new ServerSocket(this.serverPort);
        }
        catch (IOException e) {
            throw new RuntimeException("Cannot open port " + this.serverPort, e);
        }

        while(!this.isStopped) {
            Socket clientSocket = null;
            try {
                clientSocket = this.serverSocket.accept();
            } catch (IOException e) {
                if(this.isStopped) {
                    System.out.println("Server Stopped.") ;
                    return;
                }
                throw new RuntimeException(
                    "Error accepting client connection", e);
            }
            try {
                processClientRequest(clientSocket);
            } catch (Exception e) {
                //log exception and go on to the next request.
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    private void processClientRequest(Socket clientSocket) throws Exception {
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This is the simplest (not optimal) single-threaded implementation of a Java web server

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This is not a good idea as clients can connect to the server only when this is inside the serverSocket.accept() method call

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                throw new RuntimeException(
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            }
            new Thread(new WorkerRunnable(clientSocket, "Multithreaded Server")).start();
        }

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A multi-threaded server passes the connection on to a worker thread that processes the request

This way the thread listening for incoming requests spends as much time as possible in the serverSocket.accept() call

The risk of clients being denied access to the server because the listening thread is outside the accept() call is minimized

Java Threads: Multi-Threaded Web Server

```java
public class WorkerRunnable implements Runnable{

    protected Socket clientSocket = null;
    protected String serverText   = null;

    public WorkerRunnable(Socket clientSocket, String serverText) {
        this.clientSocket = clientSocket;
        this.serverText   = serverText;
    }

    public void run() {
        // process client request here ...
    }
}
```

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- Upon each request the web server receives it spawns off a new thread
- Creating new threads rather than new process is surely less expensive
- Still, some major problems might occur:
  - overhead to create a thread for each request
  - number of concurrent threads active on the system is possibly unbound
- Solution → use a **thread pool**

Thread Pools: Idea

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Thread Pools: Idea

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- When the web server gets a request it awakens a thread from the pool
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- If no threads are available in the pool the server waits for one

Thread Pools: Benefits

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- Servicing a request with an existing thread is faster than waiting to create a thread
- A thread pool limits the number of threads that exist at any one point
- Separating the task to be performed from the mechanics of creating the task allows us to use different strategies for running the task
  - Example → the task could be scheduled to execute after a time delay or to execute periodically

Threading Issues: fork() and exec()

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- A2: If the new process execs right away, there is no need to copy all the other threads, otherwise the entire process should be copied

Threading Issues: fork() and exec()

- Q: *If one thread forks, is the entire process copied, or is the new process single-threaded?*
- A1: System dependent
- A2: If the new process execs right away, there is no need to copy all the other threads, otherwise the entire process should be copied
- A3: Many versions of UNIX provide multiple versions of the fork call for this purpose

Threading Issues: Signal Handling

- Q: *When a multi-threaded process receives a signal, to what thread should that signal be delivered?*

Threading Issues: Signal Handling

- Q: *When a multi-threaded process receives a signal, to what thread should that signal be delivered?*
- A: There are 4 major options:
  - Deliver the signal to the thread to which the signal applies
  - Deliver the signal to every thread in the process
  - Deliver the signal to certain threads in the process
  - Assign a specific thread to receive all signals in a process

Threading Issues: Signal Handling (UNIX)

- UNIX allows individual threads to indicate which signals they are accepting and which they are ignoring
- Provides 2 separate system calls for delivering signals to process/threads, respectively:
  - kill(pid, signal)
  - pthread_kill(tid, signal)

Thread Scheduling: Contention Scope

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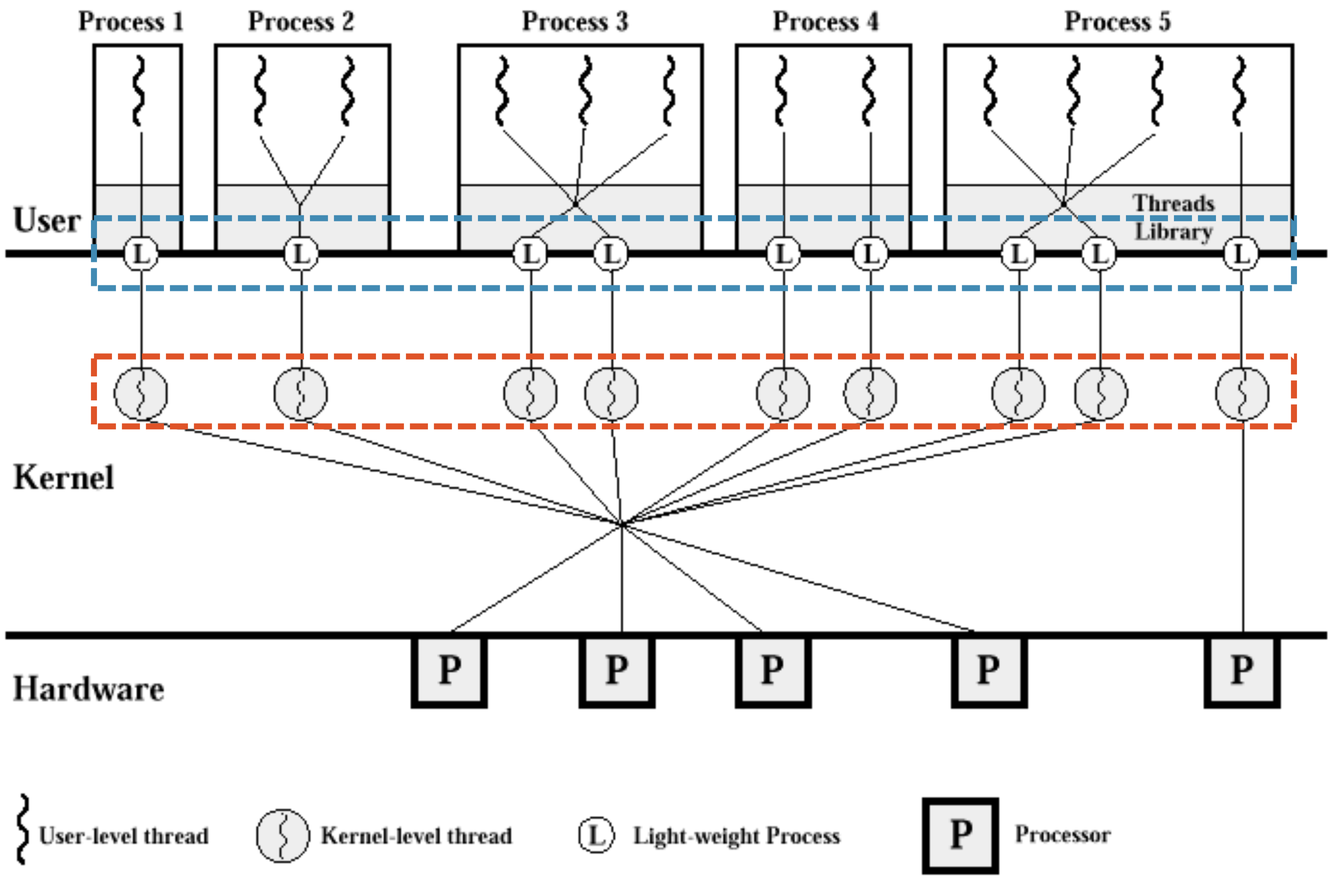
- The scope in which threads compete for the use of physical CPUs
- **Process Contention Scope (PCS)**
  - competition occurs between threads that are part of the same process (multiple user threads mapped to a single kernel thread, managed by the thread library)
  - on systems implementing many-to-one and many-to-many threads

Thread Scheduling: Contention Scope

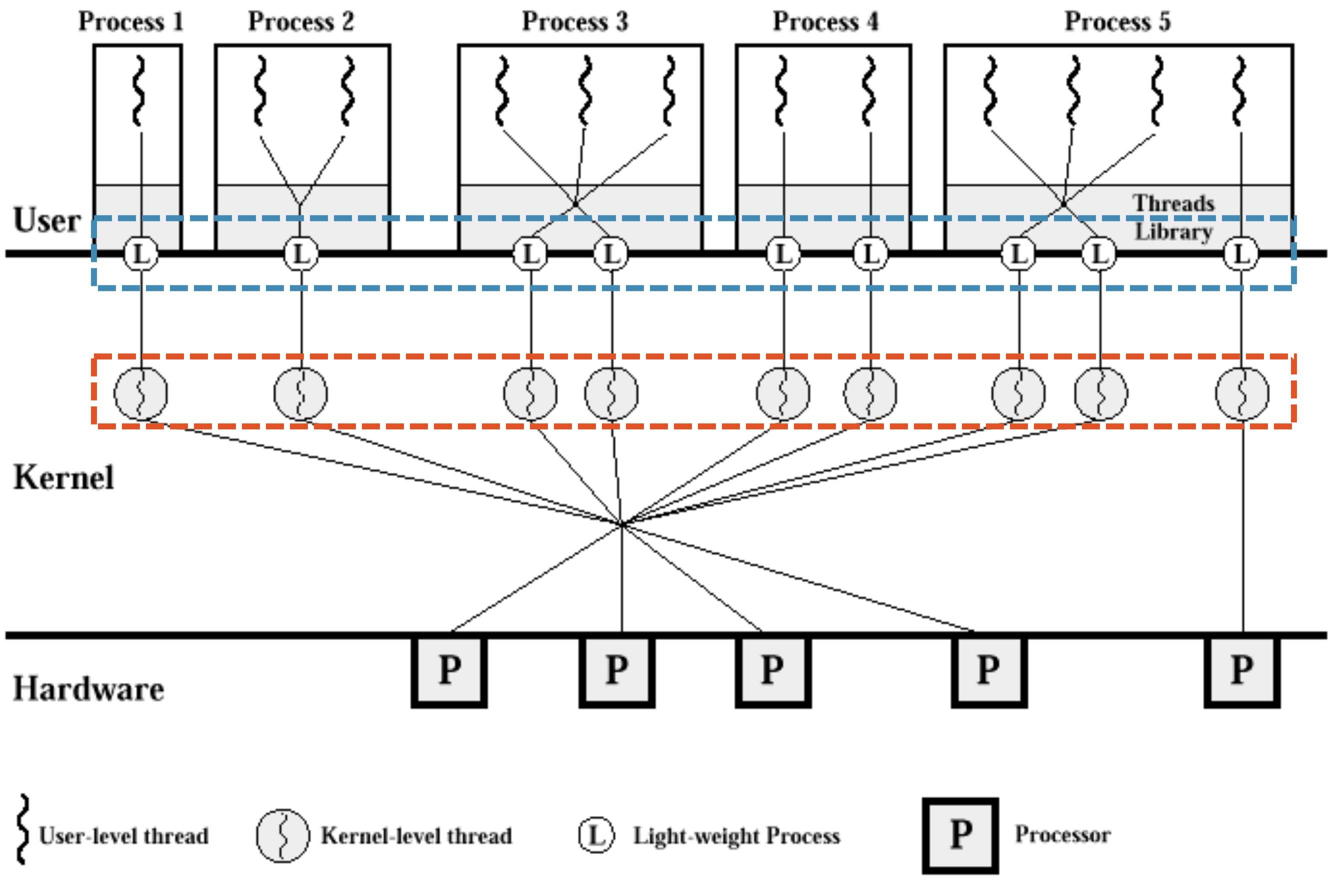
- The scope in which threads compete for the use of physical CPUs
- System Contention Scope (SCS)
  - involves the system scheduler scheduling kernel threads to run on one or more CPUs
  - on systems implementing one-to-one threads

Thread Scheduling: Activation



Many implementations of threads provide a virtual processor (L) as an interface between user and kernel thread (many-to-many or two-tier)

Thread Scheduling: Activation



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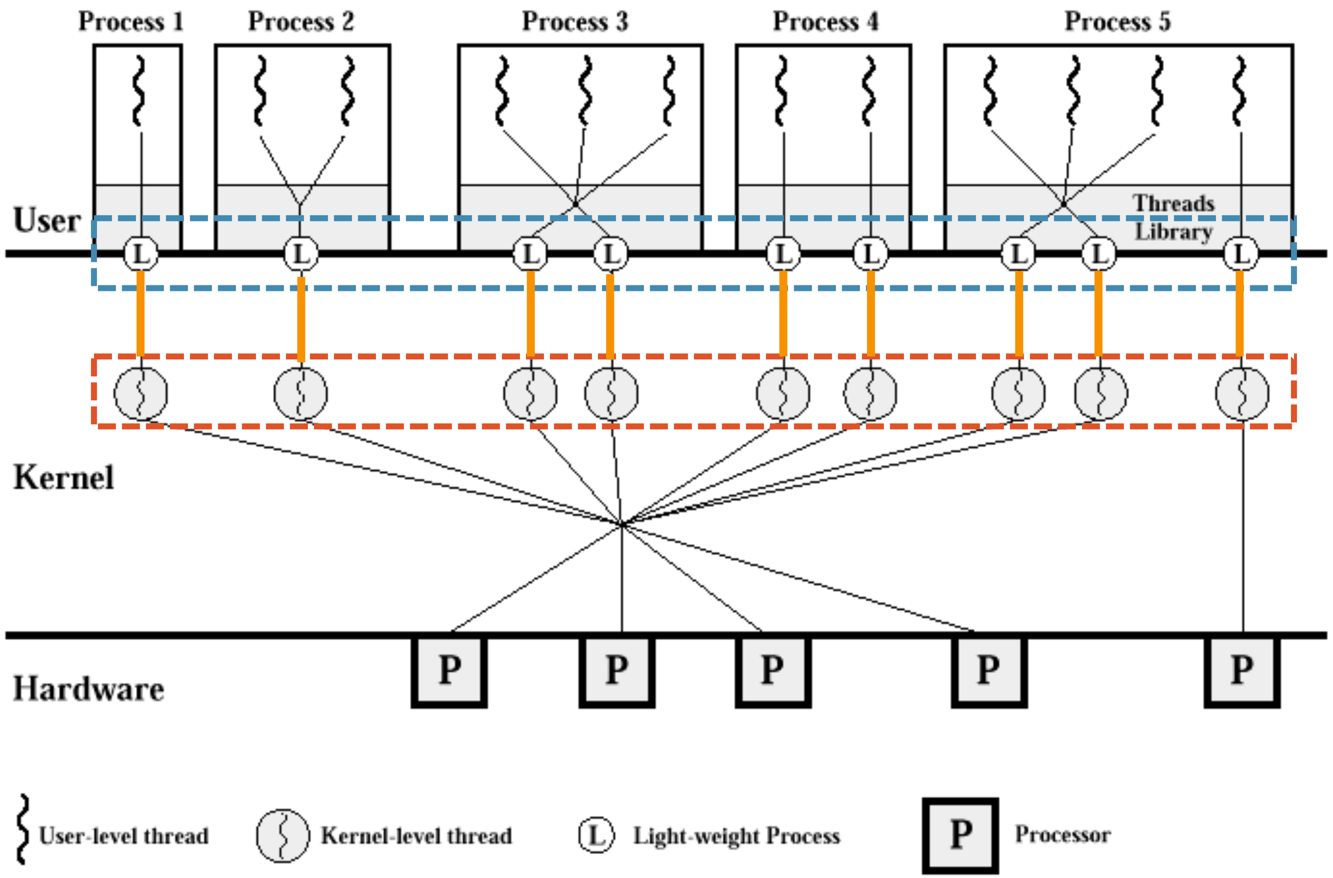
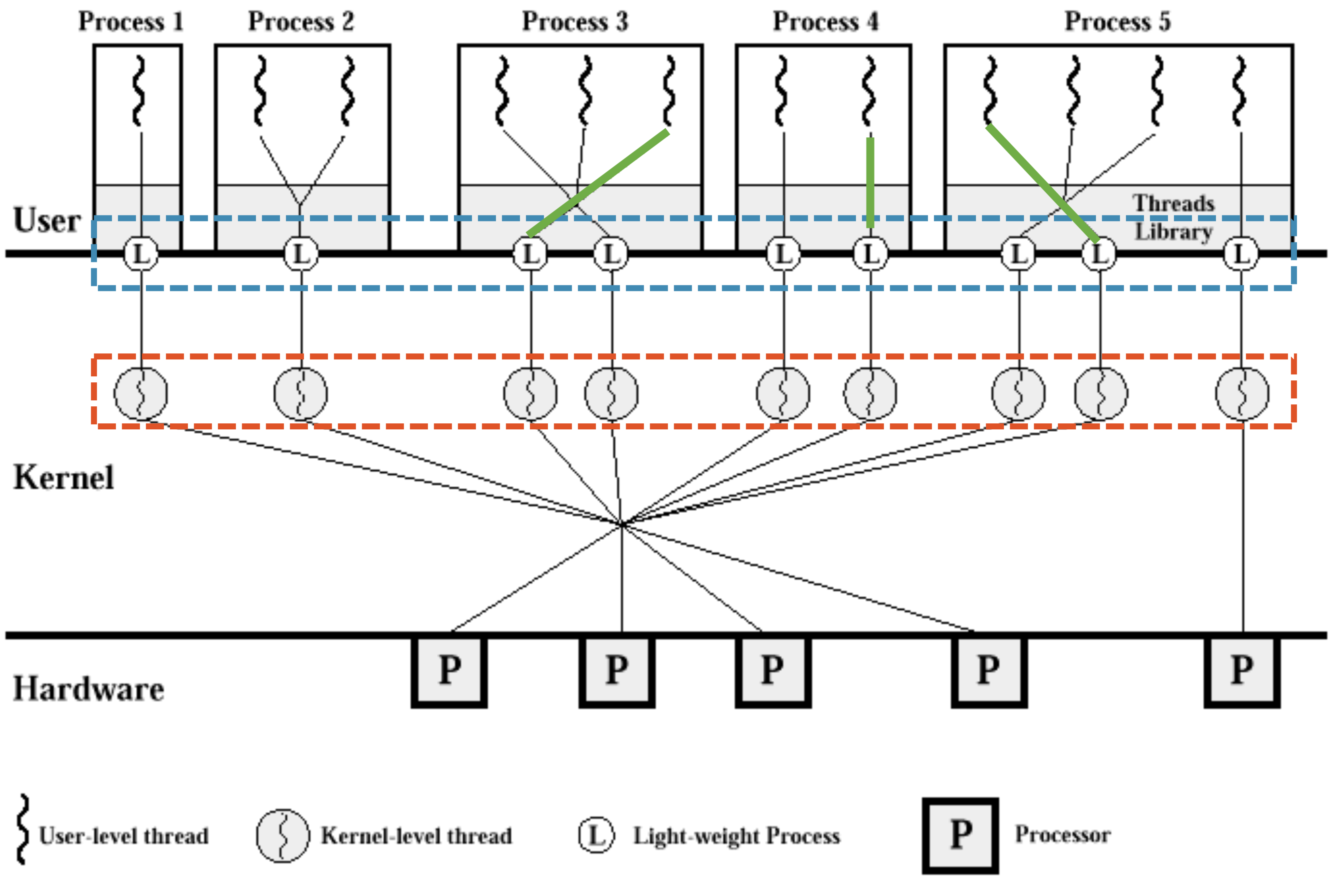
Light-Weight Process (LWP)

Thread Scheduling: Activation



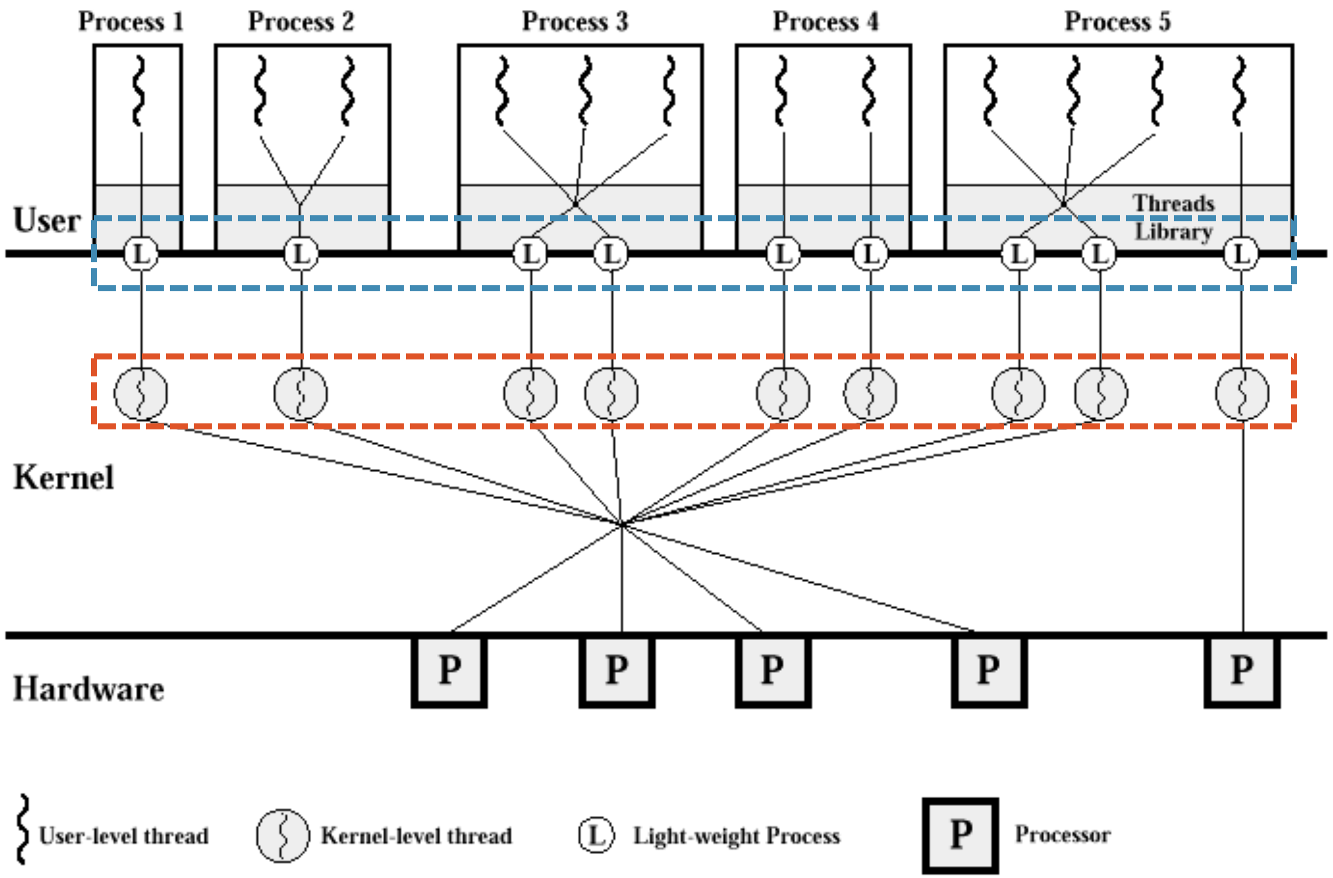
1:1 correspondence between LWPs and kernel threads

Thread Scheduling: Activation



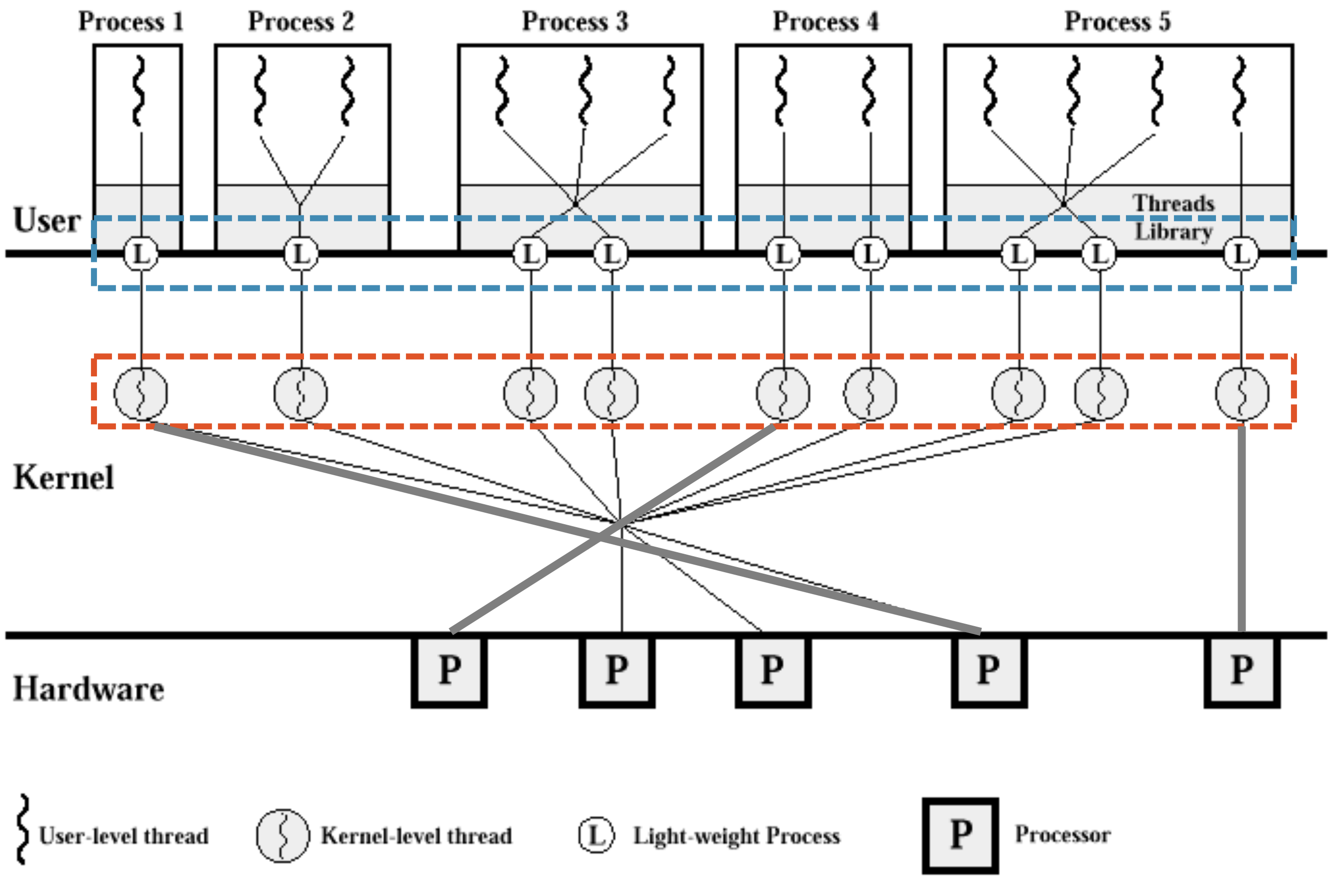
The application (user-level thread library) maps user threads onto available LWPs

Thread Scheduling: Activation



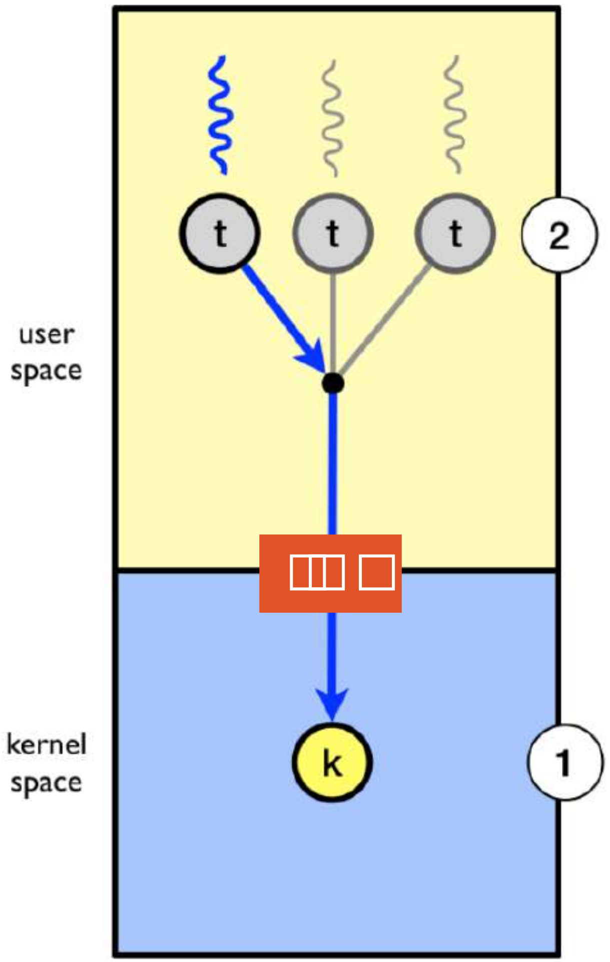
The number of kernel threads available in the system may change dynamically

Thread Scheduling: Activation



Kernel threads are scheduled onto the real processor(s) by the OS

Scheduler Activations: Example



The kernel has allocated **one kernel thread** (1) to a process (i.e., an LWP) with **three user-level threads** (2)

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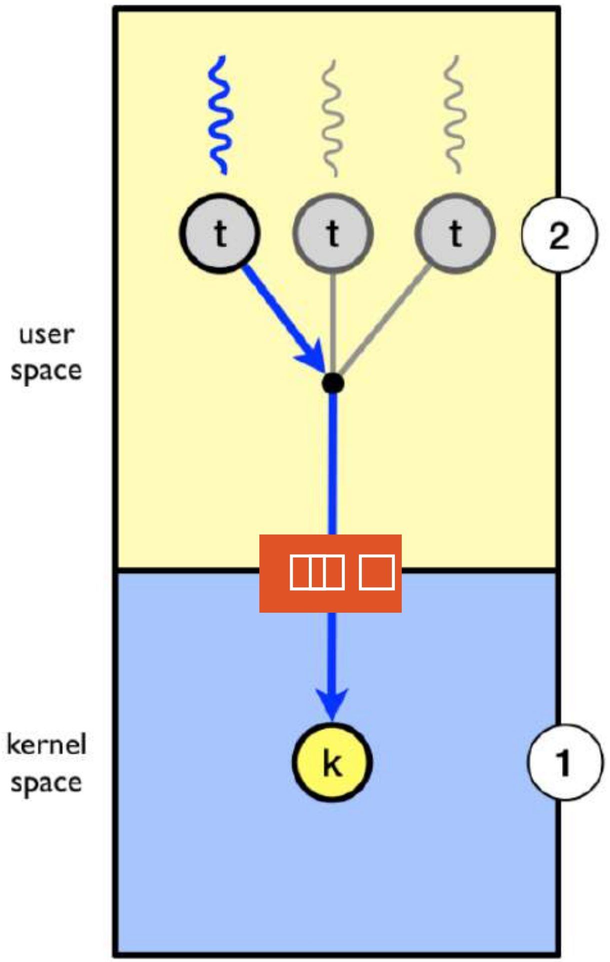

The kernel has allocated **one kernel thread** (1) to a process (i.e., an LWP) with **three user-level threads** (2)

The three user level threads take turn executing on the single kernel-level thread

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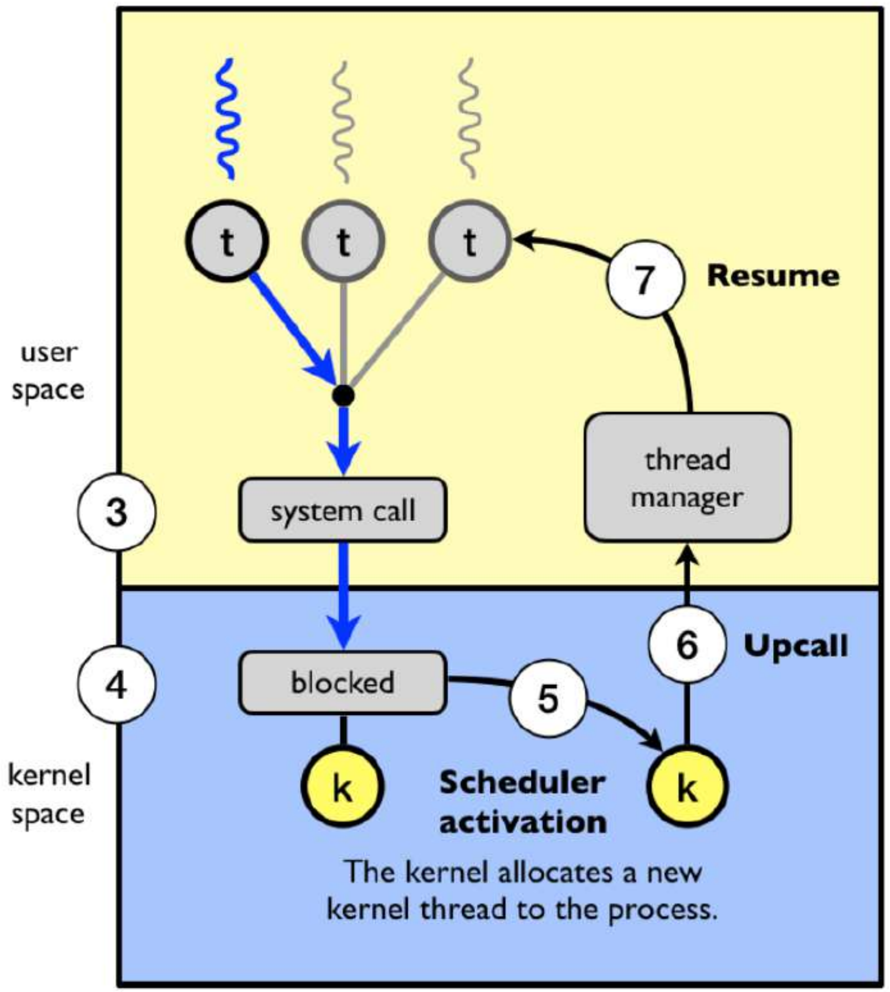

The executing thread makes a **blocking system call** (3)

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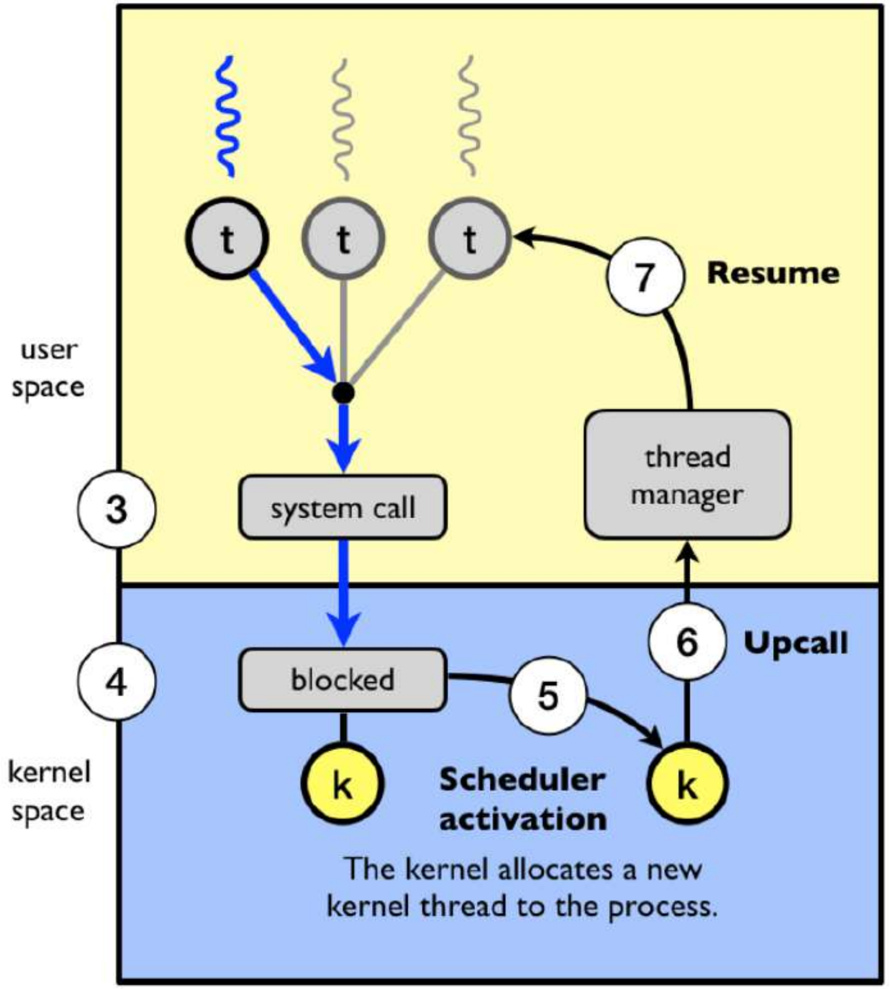
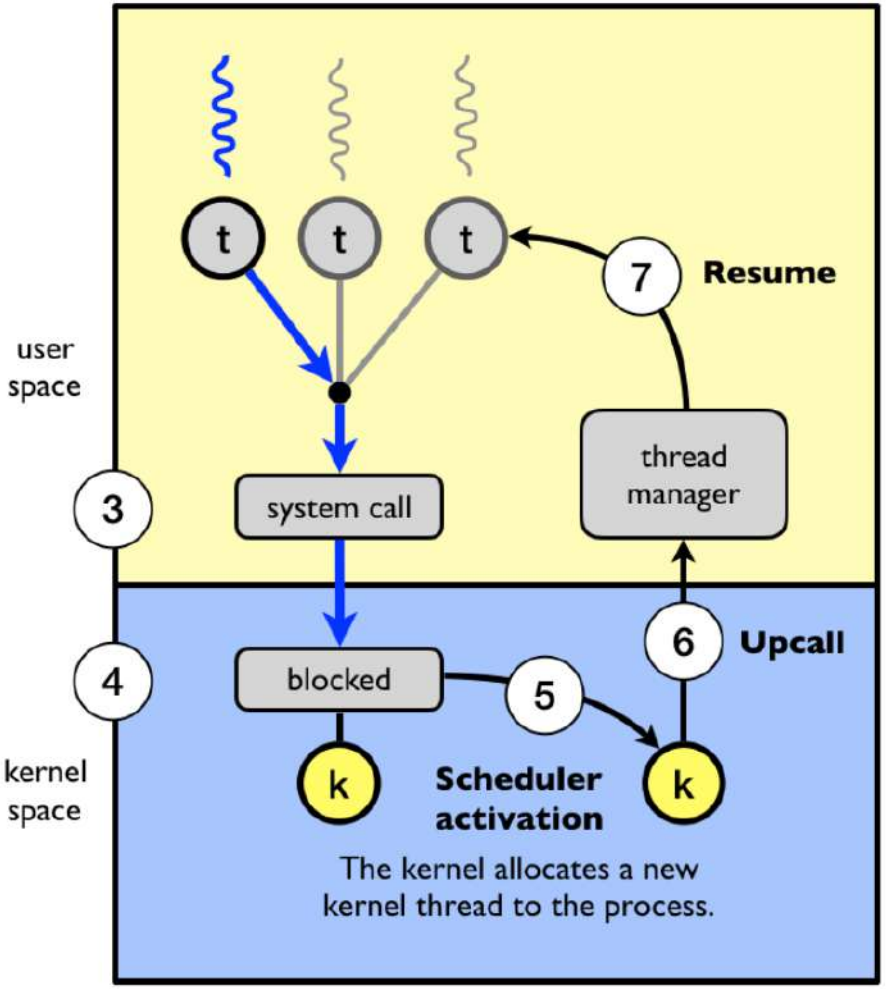


The executing thread makes a **blocking system call** (3)

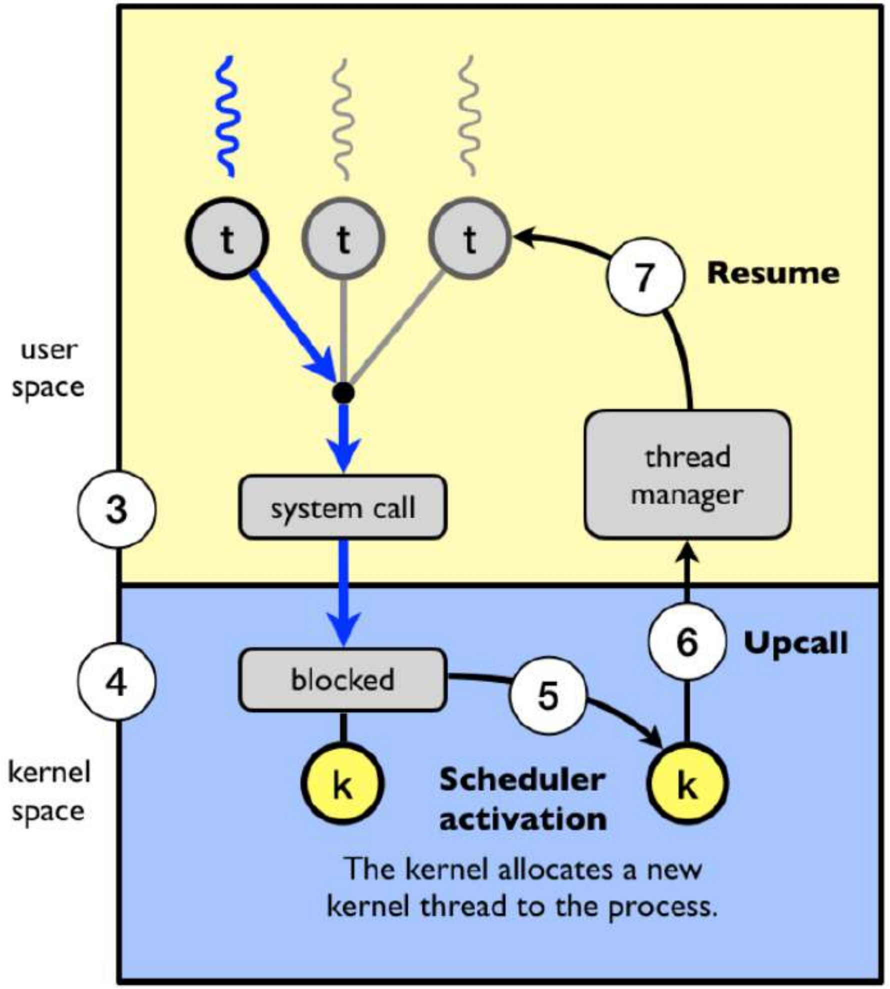
The kernel blocks the calling user-level thread and the kernel-level thread (LWP) used to execute the user-level thread (4)

Scheduler Activations: Example



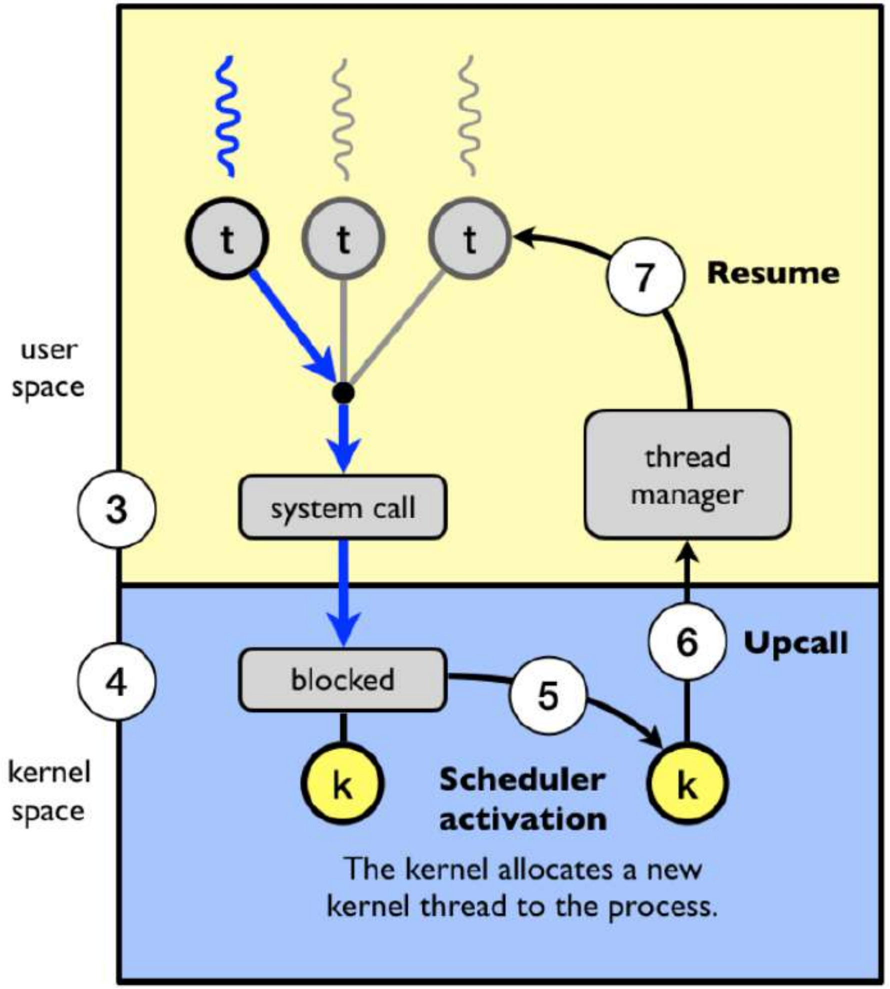
Scheduler activation: the kernel decides to allocate a new kernel-level thread to the process (5)

Scheduler Activations: Example



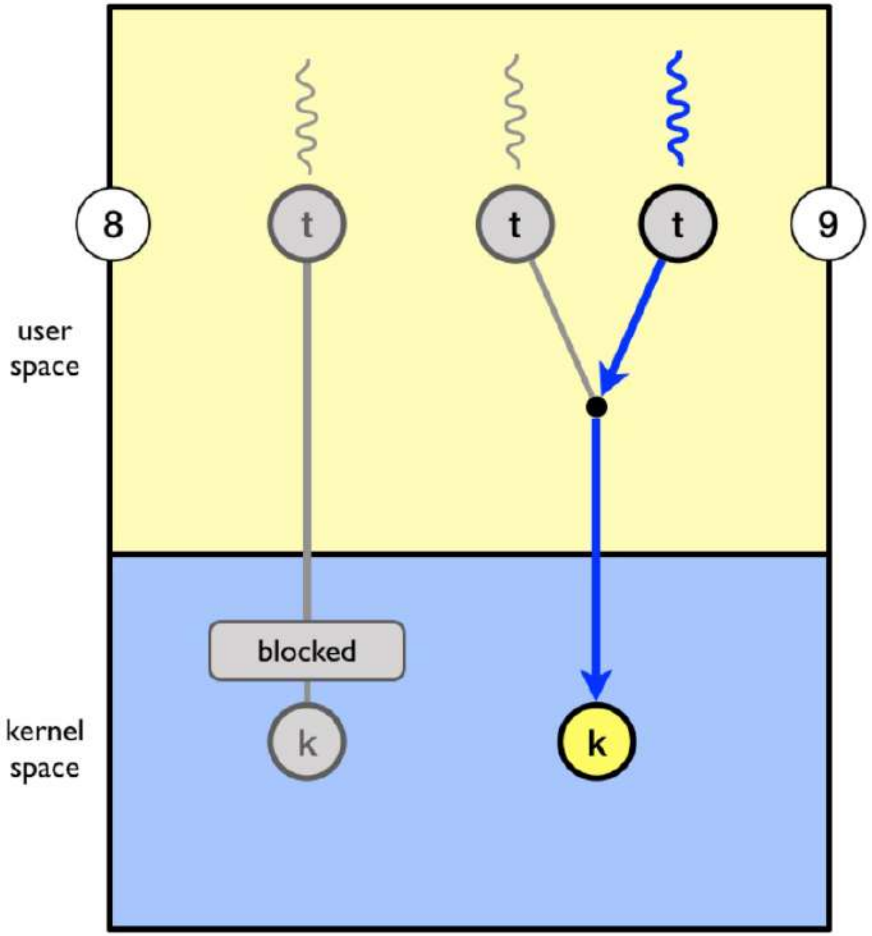
Upcall: The kernel notifies the user-level thread library which user-level thread that is now blocked and that a new kernel-level thread is available (6)

Scheduler Activations: Example



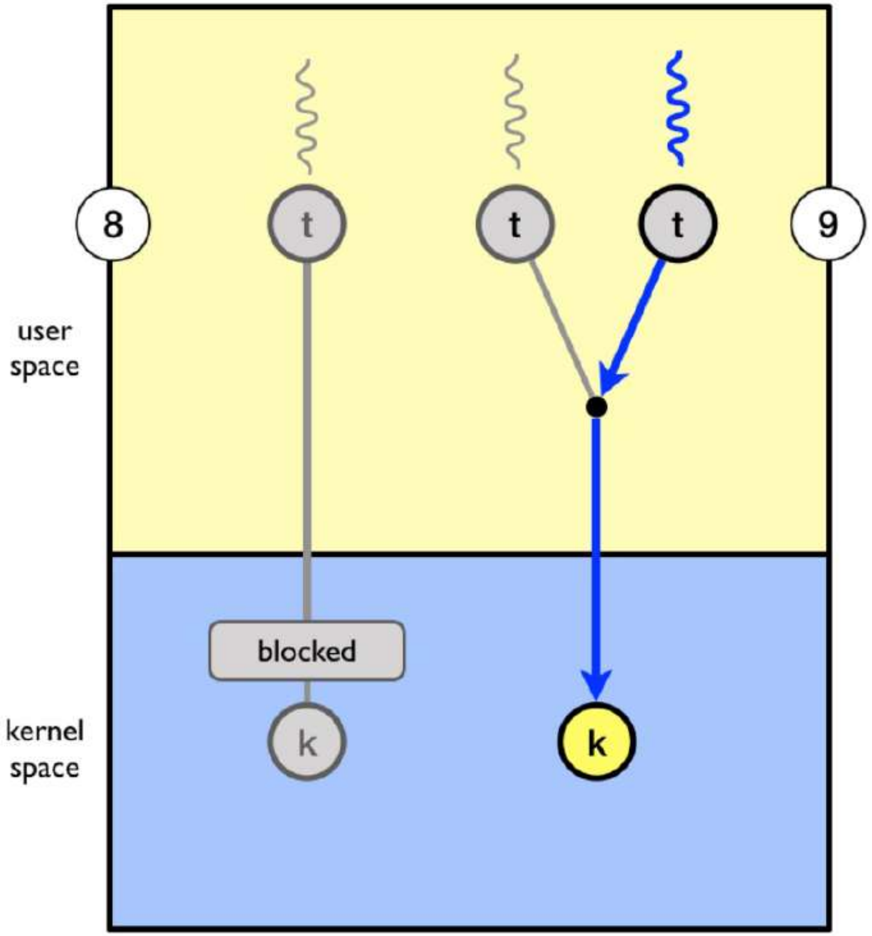
Upcall handler: The user-level thread library resumes one of the ready threads on to the new kernel thread (7)

Scheduler Activations: Example



While one user-level thread is blocked (8) the other threads can take turn executing on the new kernel thread (9)

Scheduler Activations: Example



When the first thread wakes up, the kernel will notify the user thread library via another upcall

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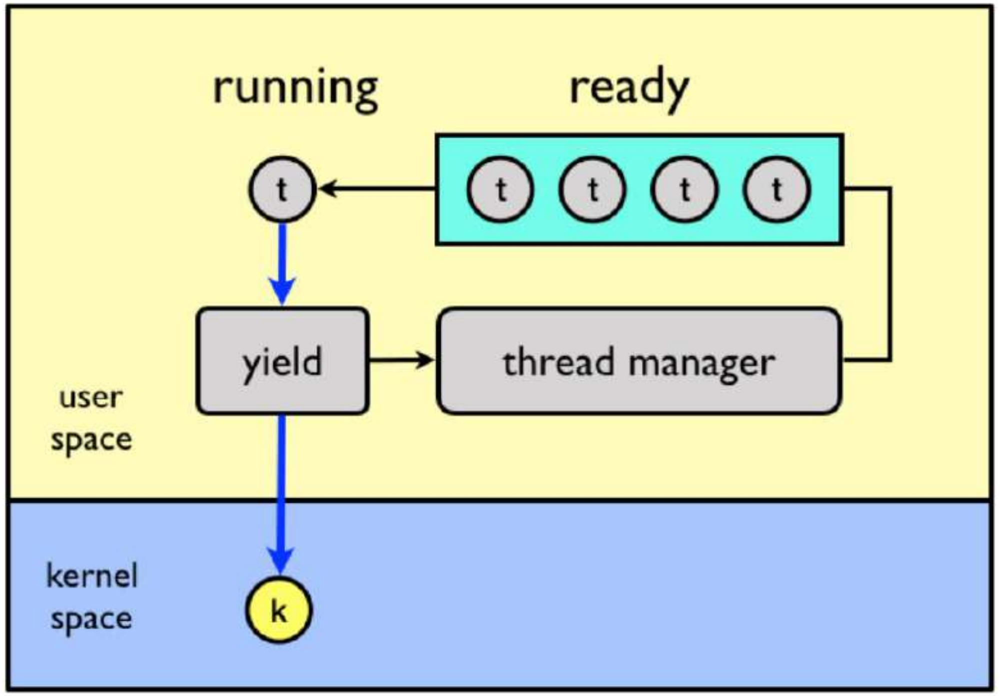
- Two main scheduling methods:
    - Cooperative
    - Preemptive

Cooperative Thread Scheduling



Similar to multiprogramming where a process executes on the CPU until making a I/O request

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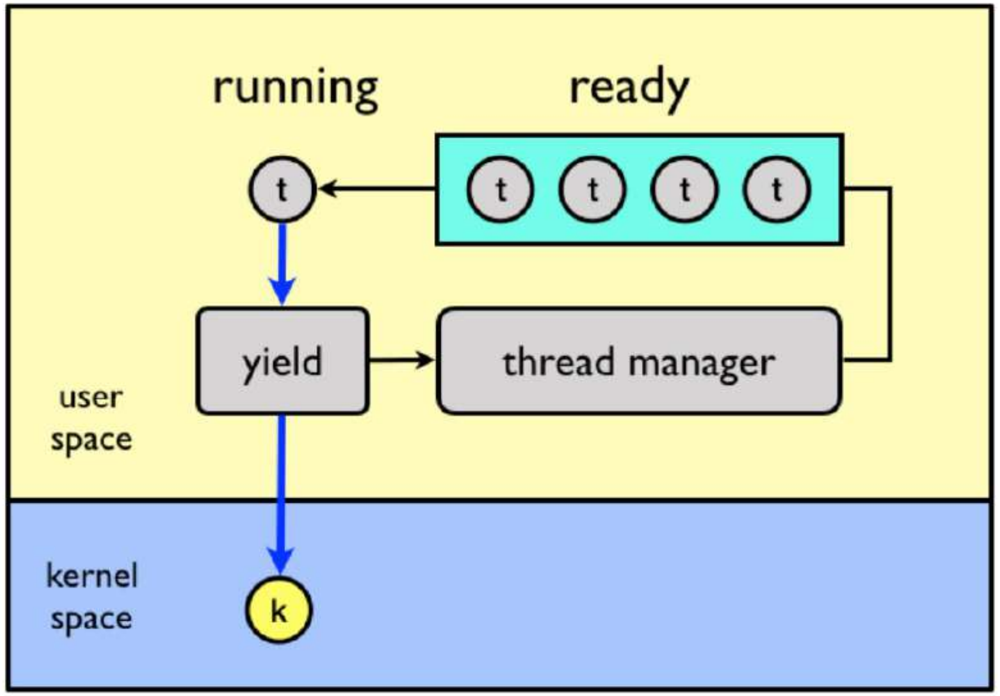

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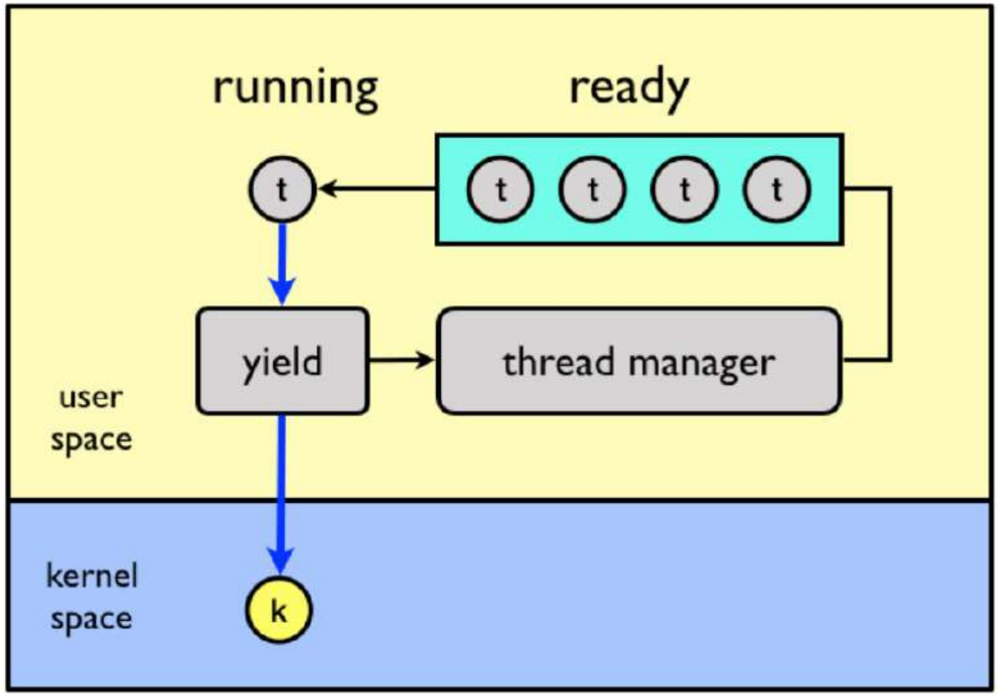
Cooperative user-level threads execute on the assigned kernel-level thread until they **voluntarily** give back the kernel thread to the library

Cooperative Thread Scheduling



Threads yield to each other, either

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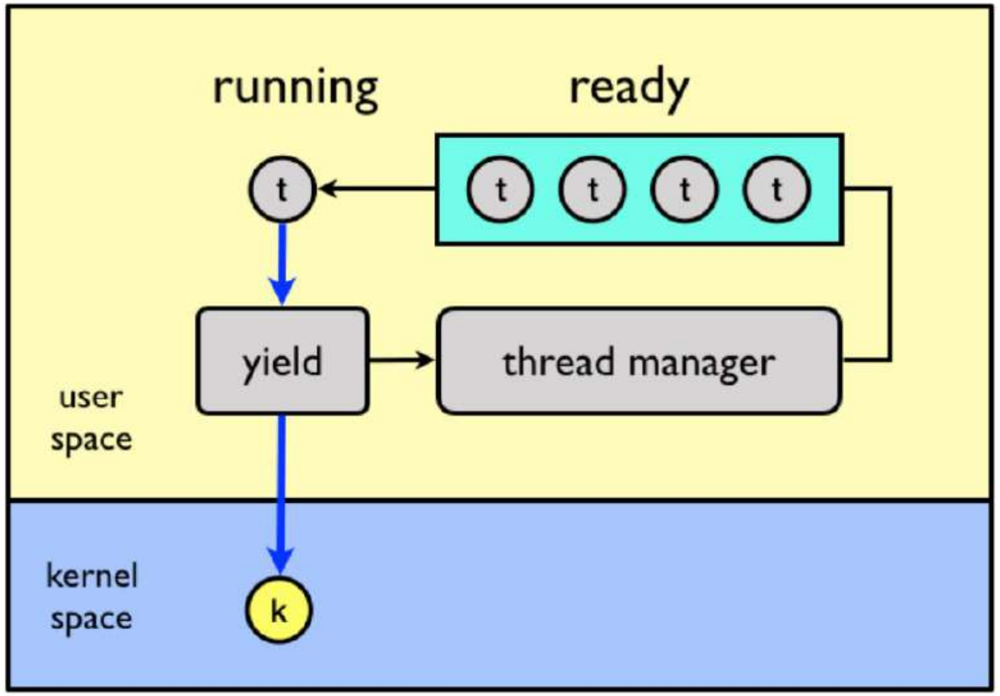

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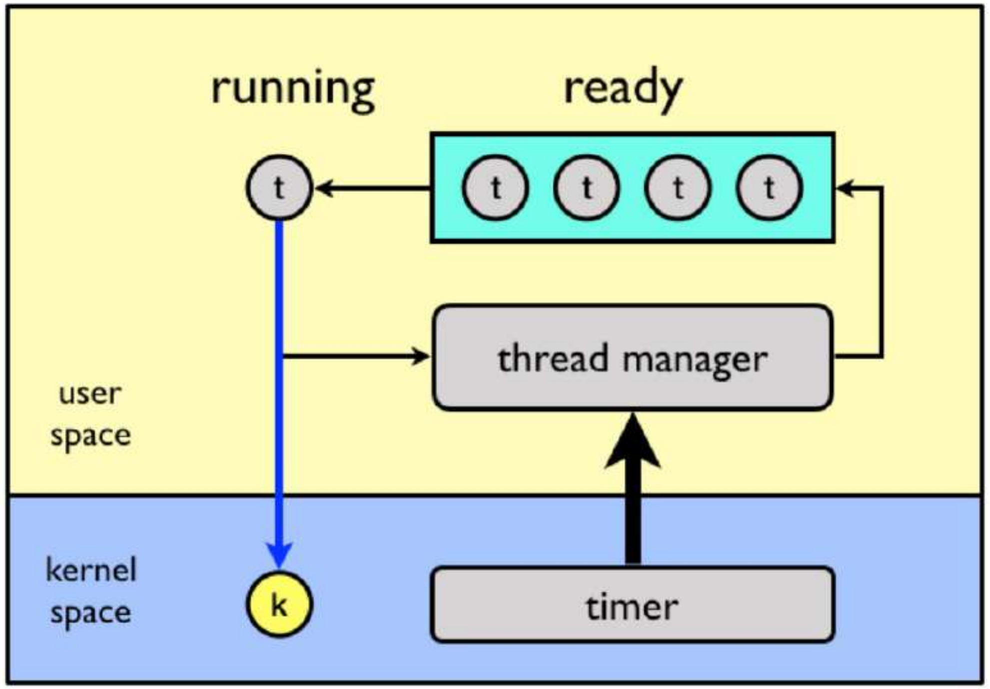
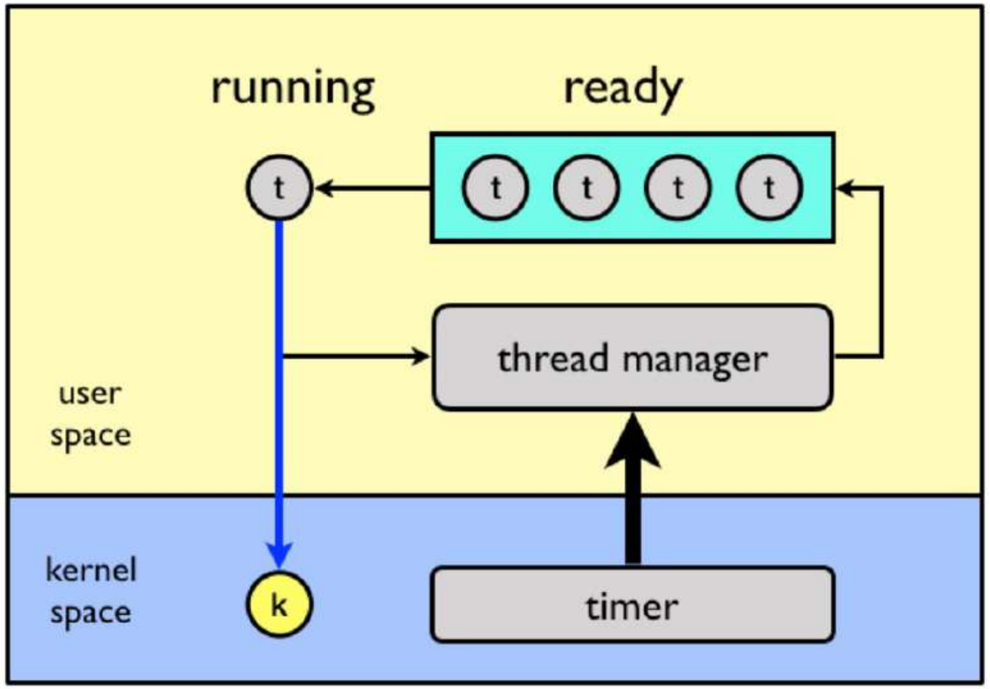
Preemptive Thread Scheduling



Similar to multitasking (a.k.a. **time sharing**), where a timer is set to cause an interrupt at a regular time interval

Preemptive Thread Scheduling



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The running process is replaced if the job requests I/O or if the job is interrupted by the timer

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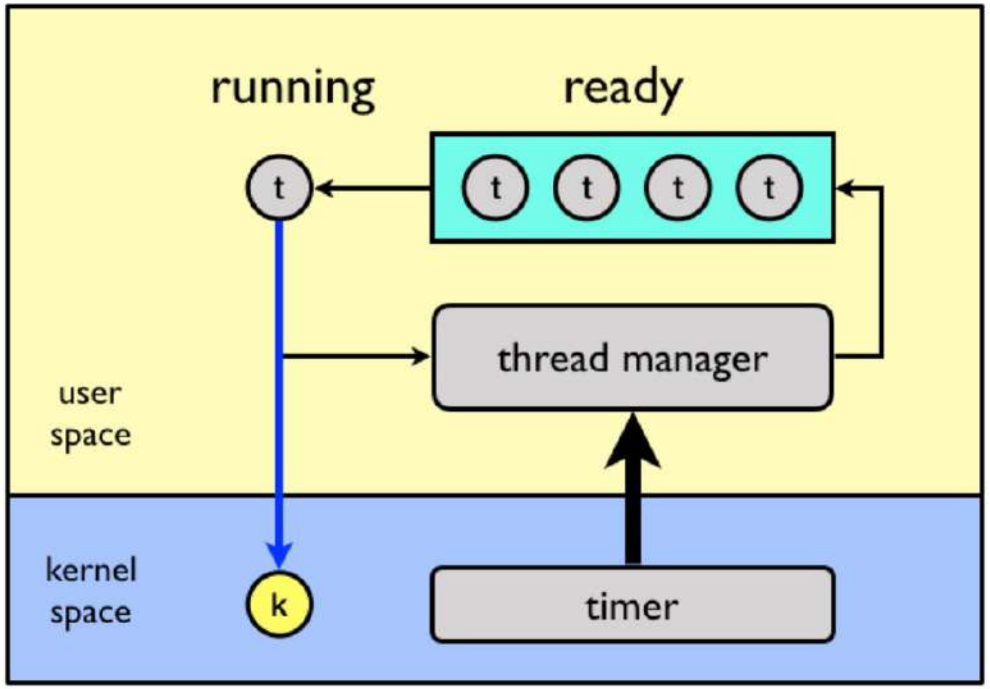

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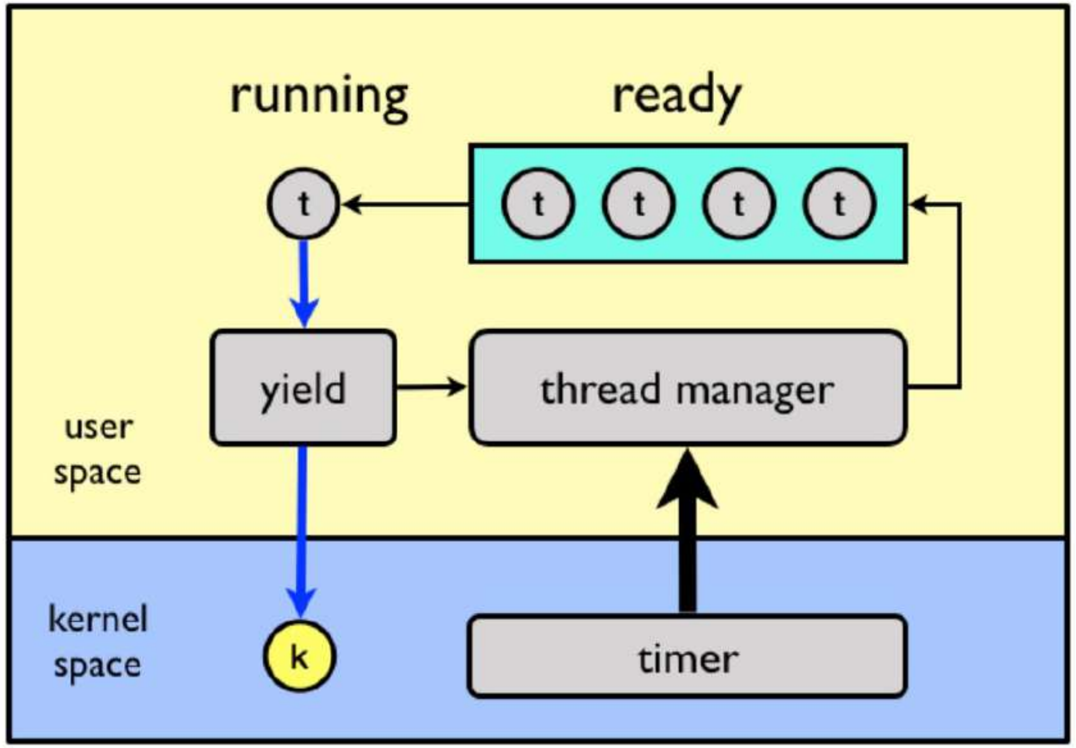
The timer is used to cause execution flow to jump to a central dispatcher thread (in the user-level library), which chooses the next thread to run

Hybrid Thread Scheduling



Cooperative + Preemptive

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