Synchronization: Advanced

15-213 / 18-213: Introduction to Computer Systems 25th Lecture, April 22, 2014

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Reminder: Semaphores

- Semaphore: non-negative global integer synchronization variable
- Manipulated by P and V operations:
 - P(s): [while (s == 0) wait(); s--;]
 - Dutch for "Proberen" (test)
 - V(s): [s++;]
 - Dutch for "Verhogen" (increment)
- OS kernel guarantees that operations between brackets [] are executed indivisibly
 - Only one P or V operation at a time can modify s.
 - When while loop in P terminates, only that P can decrement s
- Semaphore invariant: (s >= 0)

Review: Using semaphores to protect shared resources via mutual exclusion

Basic idea:

- Associate a unique semaphore mutex, initially 1, with each shared variable (or related set of shared variables)
- Surround each access to the shared variable(s) with P(mutex) and
 V(mutex) operations

```
mutex = 1
P(mutex)
cnt++
V(mutex)
```

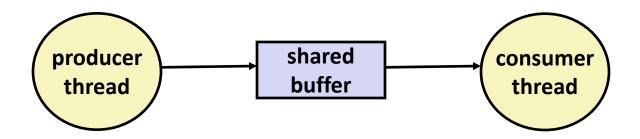
Today

- Using semaphores to schedule shared resources
 - Producer-consumer problem
 - Readers-writers problem
- Other concurrency issues
 - Thread safety
 - Races
 - Deadlocks

Using Semaphores to Coordinate Access to Shared Resources

- Basic idea: Thread uses a semaphore operation to notify another thread that some condition has become true
 - Use counting semaphores to keep track of resource state.
 - Use binary semaphores to notify other threads.
- Two classic examples:
 - The Producer-Consumer Problem
 - The Readers-Writers Problem

Producer-Consumer Problem



Common synchronization pattern:

- Producer waits for empty slot, inserts item in buffer, and notifies consumer
- Consumer waits for *item*, removes it from buffer, and notifies producer

Examples

- Multimedia processing:
 - Producer creates MPEG video frames, consumer renders them
- Event-driven graphical user interfaces
 - Producer detects mouse clicks, mouse movements, and keyboard hits and inserts corresponding events in buffer
 - Consumer retrieves events from buffer and paints the display

Producer-Consumer on 1-element Buffer

```
#include "csapp.h"

#define NITERS 5

void *producer(void *arg);
void *consumer(void *arg);

struct {
  int buf; /* shared var */
  sem_t full; /* sems */
  sem_t empty;
} shared;
```

```
int main() {
 pthread t tid producer;
 pthread t tid consumer;
 /* Initialize the semaphores */
  Sem init(&shared.empty, 0, 1);
 Sem init(&shared.full, 0, 0);
 /* Create threads and wait */
 Pthread_create(&tid_producer, NULL,
                 producer, NULL);
 Pthread create(&tid consumer, NULL,
                 consumer, NULL);
 Pthread join(tid producer, NULL);
 Pthread join(tid consumer, NULL);
 exit(0);
```

Producer-Consumer on 1-element Buffer

Initially: empty==1, full==0

Producer Thread

```
void *producer(void *arg) {
  int i, item;
  for (i=0; i<NITERS; i++) {
    /* Produce item */
    item = i;
    printf("produced %d\n",
            item);
    /* Write item to buf */
    P(&shared.empty);
    shared.buf = item;
    V(&shared.full);
  return NULL;
```

Consumer Thread

```
void *consumer(void *arg) {
  int i, item;
  for (i=0; i<NITERS; i++) {
    /* Read item from buf */
    P(&shared.full);
    item = shared.buf;
    V(&shared.empty);
    /* Consume item */
    printf("consumed %d\n", item);
  return NULL;
```

Counting with Semaphores

- Remember, it's a non-negative integer
 - So, values greater than 1 are legal
- Lets repeat thing_5() 5 times for every 3 of thing_3()

```
/* thing_5 and thing_3 */
#include "csapp.h"

sem_t five;
sem_t three;

void *five_times(void *arg);
void *three_times(void *arg);
```

```
int main() {
 pthread t tid five, tid three;
 /* initialize the semaphores */
 Sem_init(&five, 0, 5);
 Sem init(&three, 0, 3);
 /* create threads and wait */
 Pthread create(&tid five, NULL,
                 five times, NULL);
 Pthread create(&tid three, NULL,
                 three times, NULL);
```

Counting with semaphores (cont)

Initially: five = 5, three = 3

```
/* thing 5() thread */
void *five_times(void *arg) {
  int i;
  while (1) {
    for (i=0; i<5; i++) {
      /* wait & thing 5() */
      P(&five);
      thing 5();
    V(&three);
    V(&three);
    V(&three);
  return NULL;
```

```
/* thing_3() thread */
void *three_times(void *arg) {
  int i;
 while (1) {
    for (i=0; i<3; i++) {
     /* wait & thing 3() */
     P(&three);
      thing_3();
   V(&five);
   V(&five);
   V(&five);
   V(&five);
   V(&five);
  return NULL;
```

Producer-Consumer on an *n*-element Buffer

- Requires a mutex and two counting semaphores:
 - mutex: enforces mutually exclusive access to the buffer
 - slots: counts the available slots in the buffer
 - items: counts the available items in the buffer
- Implemented using a shared buffer package called sbuf.

sbuf Package - Declarations

```
#include "csapp.h"
typedef struct {
   int *buf; /* Buffer array */
                 /* Maximum number of slots */
   int n;
   int rear;  /* buf[rear%n] is last item */
   sem_t mutex; /* Protects accesses to buf */
   sem_t slots; /* Counts available slots */
   sem_t items; /* Counts available items */
} sbuf t;
void sbuf_init(sbuf_t *sp, int n);
void sbuf_deinit(sbuf_t *sp);
void sbuf insert(sbuf t *sp, int item);
int sbuf remove(sbuf t *sp);
```

sbuf Package - Implementation

Initializing and deinitializing a shared buffer:

```
/* Create an empty, bounded, shared FIFO buffer with n slots */
void sbuf init(sbuf t *sp, int n)
    sp->buf = Calloc(n, sizeof(int));
                             /* Buffer holds max of n items */
    sp->n = n;
    sp->front = sp->rear = 0;  /* Empty buffer iff front == rear */
    Sem init(&sp->mutex, 0, 1); /* Binary semaphore for locking */
    Sem_init(&sp->slots, 0, n); /* Initially, buf has n empty slots */
    Sem init(&sp->items, 0, 0); /* Initially, buf has zero items */
/* Clean up buffer sp */
void sbuf deinit(sbuf t *sp)
   Free(sp->buf);
```

sbuf Package - Implementation

Inserting an item into a shared buffer:

sbuf.c

sbuf Package - Implementation

Removing an item from a shared buffer:

Sample program using sbuf

```
void *
                                void *
producer(void *vargp)
  int cnt = 0;
                                  int sum = 0;
  while (maxcnt > 0) {
                                  while (1) {
    sbuf insert(&sbuf, cnt);
    cnt++;
                                    sum += val;
    maxcnt--;
  sbuf_insert(&sbuf, -1);
                                  total = sum;
  pthread exit(0);
                                  pthread exit(0);
```

```
consumer(void *varqp)
    int val = sbuf remove(&sbuf);
    if (val < 0) break;
```

Is there another way?

One producer and one consumer

```
/* Insert item onto the rear of shared buffer sp */
void sbuf insert(sbuf t *sp, int item)
                                           /* Wait for available slot */
   P(&sp->slots);
   P(&sp->mutex);
                                           /* Lock the buffer */
    sp->buf[(++sp->rear)%(sp->n)] = item; /* Insert the item */
   V(&sp->mutex);
                                           /* Unlock the buffer */
   V(&sp->items);
                                           /* Announce available item */
/* Remove and return the first item from buffer sp */
int sbuf remove(sbuf t *sp)
    int item;
                                            /* Wait for available item */
    P(&sp->items);
                                            /* Lock the buffer */
    P(&sp->mutex);
    item = sp->buf[(++sp->front)%(sp->n)]; /* Remove the item */
                                            /* Unlock the buffer */
    V(&sp->mutex);
    V(&sp->slots);
                                            /* Announce available slot */
    return item;
```

Do we need locks at all?

```
/* Insert item onto the rear of shared buffer sp */
void sbuf insert(sbuf t *sp, int item)
                                          /* Wait for available slot */
   P(&sp->slots);
    sp->buf[(++sp->rear)%(sp->n)] = item; /* Insert the item */
                                           /* Announce available item */
   V(&sp->items);
/* Remove and return the first item from buffer sp */
int sbuf_remove(sbuf_t *sp)
    int item;
                                            /* Wait for available item */
    P(&sp->items);
    item = sp->buf[(++sp->front)%(sp->n)]; /* Remove the item */
    V(&sp->slots);
                                            /* Announce available slot */
    return item;
```

```
typedef struct {
  int *buf;
  int n;
  int front;
  int rear;
  int cnt;
} sbuf_t;
void
sbuf_init(sbuf_t *sp, int n) {
  sp->n = n;
  sp->buf = calloc(sizeof(int), n);
  sp->front = 0;
  sp->rear = 0;
  sp->cnt = 0;
```

```
void
sbuf_insert(sbuf_t* sp, int v)
{
  int next = sp->rear+1;
  if (next == sp->n)
    next = 0;
  while (next == sp->front)
    pthread_yield();
  sp->buf[sp->rear] = v;
  sp->rear = next;
}
```

```
int
sbuf_remove(sbuf_t* sp)
{
  while (sp->front == sp->rear)
    pthread_yield();
  int next = sp->front+1;
  if (next == sp->n) next = 0;
  int val = sp->buf[sp->front];
  sp->front = next;
  return val;
}
```

```
void
sbuf_insert(sbuf_t* sp, int v)
{
  int next = sp->rear+1;
  if (next == sp->n)
    next = 0;
  while (next == sp->front)
    pthread_yield();
  sp->buf[sp->rear] = v;
  sp->rear = next;
}
```

```
int
sbuf_remove(sbuf_t* sp)
{
  while (sp->front == sp->rear)
    pthread_yield();
  int next = sp->front+1;
  if (next == sp->n) next = 0;
  int val = sp->buf[sp->front];
  sp->front = next;
  return val;
}
```

```
void
sbuf_insert(sbuf_t* sp, int v)
{
  int next = sp->rear+1;
  if (next == sp->n)
    next = 0;
  while (next == sp->front)
    pthread_yield();
  sp->buf[sp->rear] = v;
  sp->rear = next;
}
```

```
int
sbuf_remove(sbuf_t* sp)
{
  while (sp->front == sp->rear)
    pthread_yield();
  int next = sp->front+1;
  if (next == sp->n) next = 0;
  int val = sp->buf[sp->front];
  sp->front = next;
  return val;
}
```

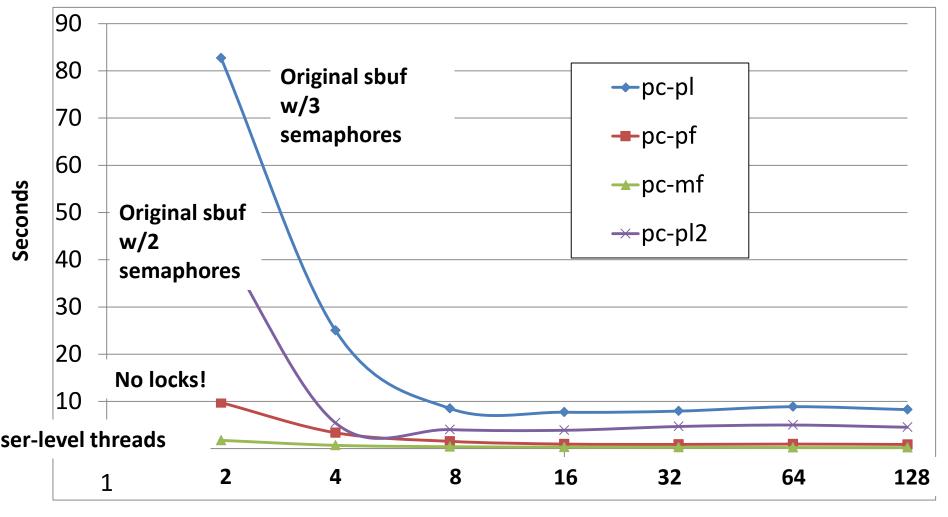
Why does this work for ONLY 1 producer and 1 consumer?

```
void
sbuf_insert(sbuf_t* sp, int v)
{
  int next = sp->rear+1;
  if (next == sp->n)
    next = 0;
  while (next == sp->front)
    pthread_yield();
  sp->buf[sp->rear] = v;
  sp->rear = next;
}
```

```
int
sbuf_remove(sbuf_t* sp)
{
  while (sp->front == sp->rear)
    pthread_yield();
  int next = sp->front+1;
  if (next == sp->n) next = 0;
  int val = sp->buf[sp->front];
  sp->front = next;
  return val;
}
```

Why does this work for ONLY 1 producer and 1 consumer?

Timing



Size of Queue

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 - Thread safety
 - Races
 - Deadlocks

Readers-Writers Problem

Generalization of the mutual exclusion problem

Problem statement:

- Reader threads only read the object
- Writer threads modify the object
- Writers must have exclusive access to the object
- Unlimited number of readers can access the object

Occurs frequently in real systems, e.g.,

- Online airline reservation system
- Multithreaded caching Web proxy

Variants of Readers-Writers

First readers-writers problem (favors readers)

- No reader should be kept waiting unless a writer has already been granted permission to use the object.
- A reader that arrives after a waiting writer gets priority over the writer.

Second readers-writers problem (favors writers)

- Once a writer is ready to write, it performs its write as soon as possible
- A reader that arrives after a writer must wait, even if the writer is also waiting.
- Starvation (where a thread waits indefinitely) is possible in both cases.

Solution to First Readers-Writers Problem

Readers:

```
int readcnt; /* Initially 0 */
sem_t mutex, w; /* Both initially 1 */
void reader(void)
 while (1) {
   P(&mutex);
   readcnt++;
    if (readcnt == 1) /* First in */
     P(&w);
   V(&mutex);
    /* Reading happens here */
   P(&mutex);
    readcnt--;
    if (readcnt == 0) /* Last out */
     V(&w);
   V(&mutex);
```

Writers:

```
void writer(void)
{
  while (1) {
    P(&w);

    /* Writing here */

    V(&w);
  }
}
```

rw1.c

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One Worry: Races

A race occurs when correctness of the program depends on one thread reaching point x before another thread reaches point y

```
/* a threaded program with a race */
int main() {
    pthread t tid[N];
    int i;
    for (i = 0; i < N; i++)
        Pthread create(&tid[i], NULL, thread, &i);
    for (i = 0; i < N; i++)
       Pthread join(tid[i], NULL);
    exit(0);
  thread routine */
void *thread(void *vargp) {
    int myid = *((int *)vargp);
    printf("Hello from thread %d\n", myid);
    return NULL;
```

Race Elimination

Make sure don't have unintended sharing of state

```
/* a threaded program without the race */
int main() {
    pthread t tid[N];
    int i;
    for (i = 0; i < N; i++) {
        int *valp = malloc(sizeof(int));
        *valp = i;
        Pthread create(&tid[i], NULL, thread, valp);
    for (i = 0; i < N; i++)
        Pthread join(tid[i], NULL);
    exit(0);
/* thread routine */
void *thread(void *vargp) {
    int myid = *((int *)vargp);
    free(vargp);
    printf("Hello from thread %d\n", myid);
    return NULL;
                                               norace.c
```

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A Worry: Deadlock

Def: A process is deadlocked iff it is waiting for a condition that will never be true.

Typical Scenario

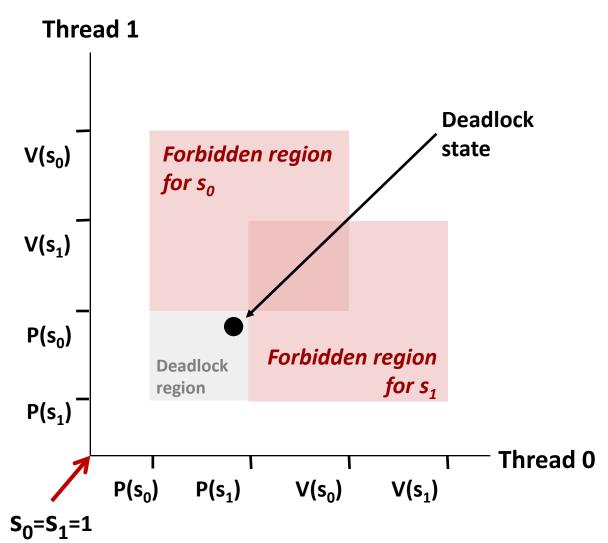
- Processes 1 and 2 needs two resources (A and B) to proceed
- Process 1 acquires A, waits for B
- Process 2 acquires B, waits for A
- Both will wait forever!

Deadlocking With Semaphores

```
void *count(void *vargp)
{
    int i;
    int id = (int) vargp;
    for (i = 0; i < NITERS; i++) {
        P(&mutex[id]); P(&mutex[1-id]);
        cnt++;
        V(&mutex[id]); V(&mutex[1-id]);
    }
    return NULL;
}</pre>
```

```
Tid[0]: Tid[1]: P(s<sub>0</sub>); P(s<sub>1</sub>); P(s<sub>0</sub>); cnt++; V(s<sub>0</sub>); V(s<sub>1</sub>); V(s<sub>0</sub>);
```

Deadlock Visualized in Progress Graph



Locking introduces the potential for *deadlock:* waiting for a condition that will never be true

Any trajectory that enters the *deadlock region* will eventually reach the *deadlock state*, waiting for either S₀ or S₁ to become nonzero

Other trajectories luck out and skirt the deadlock region

Unfortunate fact: deadlock is often nondeterministic (race)

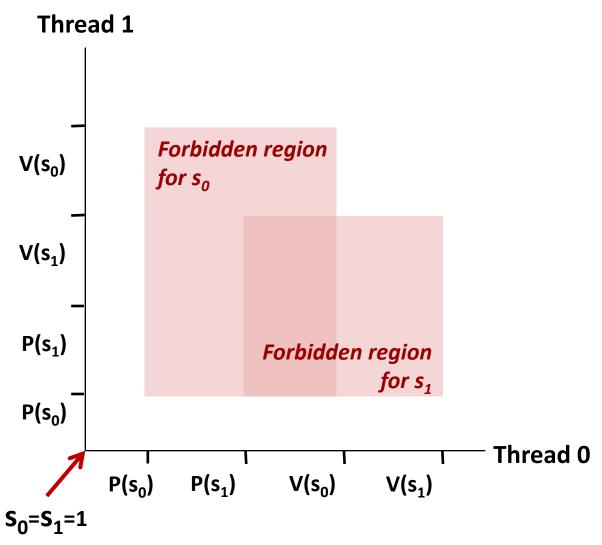
Avoiding Deadlock Acquire shared resources in same order

```
int main()
   pthread t tid[2];
   Sem_init(&mutex[0], 0, 1); /* mutex[0] = 1 */
    Sem init(&mutex[1], 0, 1); /* mutex[1] = 1 */
   Pthread create(&tid[0], NULL, count, (void*) 0);
   Pthread create(&tid[1], NULL, count, (void*) 1);
   Pthread join(tid[0], NULL);
   Pthread join(tid[1], NULL);
   printf("cnt=%d\n", cnt);
   exit(0);
```

```
void *count(void *vargp)
    int i;
    int id = (int) vargp;
    for (i = 0; i < NITERS; i++) {
        P(&mutex[0]); P(&mutex[1]);
       cnt++;
       V(&mutex[id]); V(&mutex[1-id]);
    return NULL;
```

```
Tid[0]:
           Tid[1]:
           P(s0);
P(s0);
           P(s1);
P(s1);
           cnt++;
cnt++;
           V(s1);
V(s0);
V(s1);
           V(s0);
```

Avoided Deadlock in Progress Graph



No way for trajectory to get stuck

Processes acquire locks in same order

Order in which locks released immaterial

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 - Thread safety

Crucial concept: Thread Safety

- Functions called from a thread must be thread-safe
- *Def:* A function is *thread-safe* iff it will always produce correct results when called repeatedly from multiple concurrent threads.
- Classes of thread-unsafe functions:
 - Class 1: Functions that do not protect shared variables
 - Class 2: Functions that keep state across multiple invocations
 - Class 3: Functions that return a pointer to a static variable
 - Class 4: Functions that call thread-unsafe functions

Thread-Unsafe Functions (Class 1)

- Failing to protect shared variables
 - Fix: Use P and V semaphore operations
 - Example: goodcnt.c
 - Issue: Synchronization operations will slow down code

Thread-Unsafe Functions (Class 2)

- Relying on persistent state across multiple function invocations
 - Example: Random number generator that relies on static state

```
static unsigned int next = 1;
/* rand: return pseudo-random integer on 0..32767 */
int rand(void)
   next = next*1103515245 + 12345;
   return (unsigned int)(next/65536) % 32768;
  srand: set seed for rand() */
void srand(unsigned int seed)
   next = seed;
```

Thread-Safe Random Number Generator

- Pass state as part of argument
 - and, thereby, eliminate static state

```
/* rand_r - return pseudo-random integer on 0..32767 */
int rand_r(int *nextp)
{
    *nextp = *nextp*1103515245 + 12345;
    return (unsigned int)(*nextp/65536) % 32768;
}
```

Consequence: programmer using rand_r must maintain seed

Thread-Unsafe Functions (Class 3)

- Returning a pointer to a static variable
- Fix 1. Rewrite function so caller passes address of variable to store result
 - Requires changes in caller and callee
- Fix 2. Lock-and-copy
 - Requires simple changes in caller (and none in callee)
 - However, caller must free memory.

Warning: Some functions like gethostbyname require a *deep copy*. Use reentrant gethostbyname_r version instead.

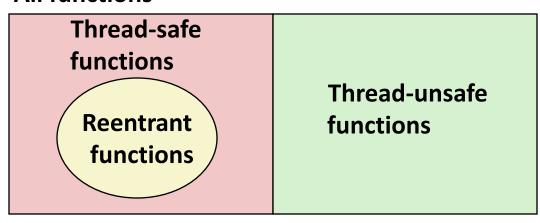
Thread-Unsafe Functions (Class 4)

- Calling thread-unsafe functions
 - Calling one thread-unsafe function makes the entire function that calls it thread-unsafe
 - Fix: Modify the function so it calls only thread-safe functions ©

Reentrant Functions

- Def: A function is reentrant iff it accesses no shared variables when called by multiple threads.
 - Important subset of thread-safe functions
 - Require no synchronization operations
 - Only way to make a Class 2 function thread-safe is to make it reetnrant (e.g., rand_r)

All functions



Thread-Safe Library Functions

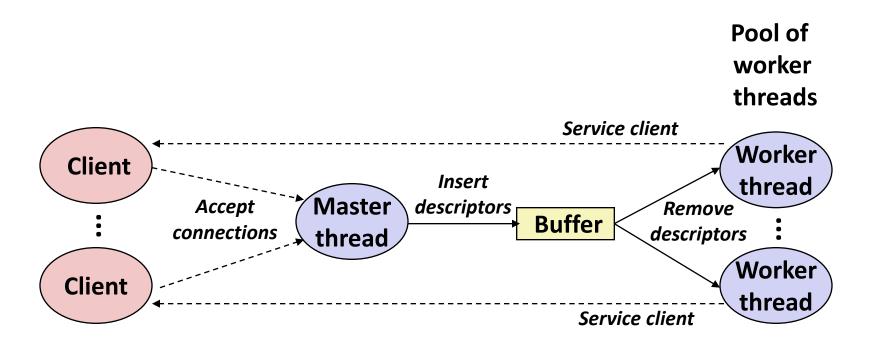
- All functions in the Standard C Library (at the back of your K&R text) are thread-safe
 - Examples: malloc, free, printf, scanf
- Most Unix system calls are thread-safe, with a few exceptions:

Thread-unsafe function	Class	Reentrant version
asctime	3	asctime_r
ctime	3	ctime_r
gethostbyaddr	3	gethostbyaddr_r
gethostbyname	3	gethostbyname_r
inet_ntoa	3	(none)
localtime	3	localtime_r
rand	2	rand_r

Threads Summary

- Threads provide another mechanism for writing concurrent programs
- Threads are growing in popularity
 - Somewhat cheaper than processes
 - Easy to share data between threads
- However, the ease of sharing has a cost:
 - Easy to introduce subtle synchronization errors
 - Tread carefully with threads!
- For more info:
 - D. Butenhof, "Programming with Posix Threads", Addison-Wesley, 1997

Case Study: Prethreaded Concurrent Server



```
sbuf t sbuf; /* Shared buffer of connected descriptors */
int main(int argc, char **argv)
{
    int i, listenfd, connfd, port;
    socklen t clientlen=sizeof(struct sockaddr in);
    struct sockaddr in clientaddr;
   pthread t tid;
   port = atoi(argv[1]);
    sbuf init(&sbuf, SBUFSIZE);
    listenfd = Open listenfd(port);
    for (i = 0; i < NTHREADS; i++) /* Create worker threads */
        Pthread create(&tid, NULL, thread, NULL);
   while (1) {
        connfd = Accept(listenfd, (SA *) &clientaddr, &clientlen);
        sbuf insert(&sbuf, connfd); /* Insert connfd in buffer */
```

Worker thread routine:

echoservert_pre.c

echo_cnt initialization routine:

```
static int byte_cnt;  /* Byte counter */
static sem_t mutex;  /* and the mutex that protects it */

static void init_echo_cnt(void)
{
    Sem_init(&mutex, 0, 1);
    byte_cnt = 0;
}
```

echo_cnt.c

Worker thread service routine:

```
void echo cnt(int connfd)
    int n;
    char buf[MAXLINE];
    rio t rio;
    static pthread once t once = PTHREAD ONCE INIT;
    Pthread once(&once, init echo cnt);
    Rio readinitb(&rio, connfd);
    while((n = Rio readlineb(&rio, buf, MAXLINE)) != 0) {
        P(&mutex);
        byte cnt += n;
        printf("thread %d received %d (%d total) bytes on fd
%d\n'',
              (int) pthread_self(), n, byte_cnt, connfd);
        V(&mutex);
        Rio_writen(connfd, buf, n);
```