# First-Order Term-Indexing

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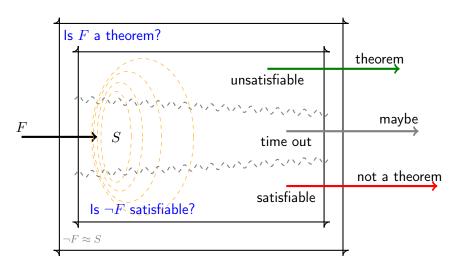
## References



R. Sekar, I. V. Ramakrishnan, and Andrei Voronkov, *Term indexing*, Handbook of Automated Reasoning (Alan Robinson and Andrei Voronkov, eds.), Elsevier Science Publishers B. V., Amsterdam, The Netherlands, 2001, pp. 1853–1964.

## Outline

- 1 Motivation
- 2 Term Structure
- 3 Path Indexing
- 4 Discrimination Trees
- 5 Substitution Trees
- 6 Search times



$$\left\{ \begin{array}{l} \mathsf{P}(\mathsf{f}(x)) \vee \mathsf{f}(x) \not\approx \mathsf{a}, \ \mathsf{g}(x,y) \approx \mathsf{a} \vee \neg \mathsf{Q}(x,y), \ \mathcal{C}_3 \end{array} \right\} \\ \equiv \\ \forall x \left( \mathsf{P}(\mathsf{f}(x)) \vee \mathsf{f}(x) \not\approx \mathsf{a} \right) \\ \wedge \\ \forall xy \left( \mathsf{g}(x,y) \approx \mathsf{a} \vee \neg \mathsf{Q}(x,y) \right) \\ \wedge \\ \forall \mathcal{V}\mathsf{ar}(\mathcal{C}_3) \left( \mathcal{C}_3 \right) \end{aligned}$$

## Goal

A sound and refutation complete calculus.

## Resolution (without equality)

Resolve and factor all clauses and literals in an unsatisfiable set

$$\frac{A \vee \mathcal{C} \quad \neg B \vee \mathcal{D}}{(\mathcal{C} \vee \mathcal{D})\sigma} \ (\sigma) \ \text{resolution} \qquad \frac{A \vee B \vee \mathcal{C}}{(A \vee \mathcal{C})\sigma} \ (\sigma) \ \text{factoring}$$

$$\sigma = \mathrm{mgu}(A, B)$$

and the empty clause will be derived eventually.

## Observation

Usually the set grows too fast to obtain a result.

#### Goal

A sound, refutation complete, and *effective* calculus.

- 1 Reduce search space
  - Ordered Resolution, Strategies, . . .
  - ... with selection functions for clauses and literals
- 2 Reduce redundancy
  - e.g. discard clauses that are subsumed by other clauses
  - ...depending on the calculus

## Example (forward subsumption)

$$S = \{ {}^{1:}\mathsf{P}(x,y), {}^{2:}\neg\mathsf{P}(\mathsf{a},z) \} \cup \{ {}^{3:}\mathsf{P}(\mathsf{a},z') \}$$

$$t_1$$
 subsumes  $t_3$ 

$$\frac{\mathsf{P}(x,y) \quad \neg \mathsf{P}(\mathsf{a},z)}{\Box} \ \{x \mapsto \mathsf{a}, y \mapsto z\}$$

Resolution

$$S \perp = \{ \mathsf{P}(\perp, \perp), \neg \mathsf{P}(\mathsf{a}, \perp), \mathsf{P}(\mathsf{a}, \perp) \}$$

InstGen / SMT

#### Goal

A sound, refutation complete, and effective calculus.

- 3 Quickly find
  - variants
  - instances
  - generalizations
  - unifiable terms

of a query term in a given set of terms.

variant removal backward subsumption forward subsumption resolution, demodulation

## Observation

Deduction rate drops quickly with sequential search.

# Term Indexing

Data structures and algorithms for fast retrieval of matching terms.

#### Positions of a term

$$\mathcal{P}\mathrm{os}(t) = \begin{cases} \{\epsilon\} & \text{if } t = x \in \mathcal{V} \\ \{\epsilon\} \cup \{ip \mid 1 \leq i \leq n \land p \in \mathcal{P}\mathrm{os}(t_i)\} & \text{if } t = f(t_1, \dots, t_n) \end{cases}$$

# Traversals of h(f(a,y))

$$\begin{array}{c|c} \langle \epsilon, \mathsf{h} \rangle & \\ | & \\ \langle 1, \mathsf{f} \rangle \\ \hline / & \\ 11, \mathsf{a} \rangle & \langle 12, \mathsf{y} \rangle \end{array}$$

$$\begin{aligned} \mathcal{P} \mathsf{os} (\mathsf{h} (\mathsf{f} (\mathsf{a}, \mathsf{y}))) &= \{ \epsilon, 1, 11, 12 \} \\ \mathsf{h} (\mathsf{f} (\mathsf{a}, \mathsf{y})) |_{12} &= y & \langle 12, y \rangle \\ \\ \langle \epsilon, \mathsf{h} \rangle \langle 1, \mathsf{f} \rangle \langle 12, y \rangle & \mathsf{root} \; \mathsf{to} \; \mathsf{leaf} \; y \end{aligned}$$

 $\langle \epsilon, \mathsf{h} \rangle \langle 1, \mathsf{f} \rangle \langle 11, \mathsf{a} \rangle \langle 12, y \rangle$  pre-order

#### **Variables**

Different terms generate the same position strings when

- variable names are ignored  $f(z,y), f(y,x), f(x,x) \Rightarrow f(*,*)$
- or normalized

$$f(z,y), f(z,x) \Rightarrow f(*_1,*_2)$$
$$f(x,x) \Rightarrow f(*_1,*_1)$$

In the second case only variants of terms generate the same strings.

#### Notation

We abbreviate

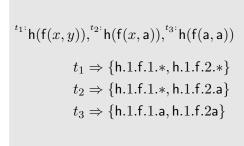
• path strings  $\langle \epsilon, \mathsf{h} \rangle \langle 1, \mathsf{f} \rangle \langle 12, * \rangle$ 

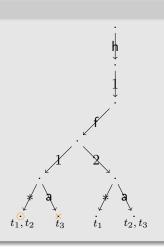
h.1.f.2.\*

• and traversal strings  $\langle \epsilon, h \rangle \langle 1, f \rangle \langle 11, * \rangle \langle 12, * \rangle$  when traversal order and arity of symbols are fixed.

h.f.a.\*

## Build





$$\begin{array}{c} {}^{t_1} \dot{\mathsf{h}}(\mathsf{f}(x,y)), {}^{t_2} \dot{\mathsf{h}}(\mathsf{f}(x,\mathsf{a})), {}^{t_3} \dot{\mathsf{h}}(\mathsf{f}(\mathsf{a},\mathsf{a})) \\ & \mathsf{h}(\mathsf{f}(x,\mathsf{b}))) \Rightarrow \{\mathsf{h}.\mathsf{f}.*,\mathsf{h}.\mathsf{f}.\mathsf{b}\} \\ & u : \mathsf{h}(\mathsf{f}(x',\mathsf{b})) \mapsto \{t_1,t_2,t_3\} \cap \{t_1,t_3\} \\ & i : \mathsf{h}(\mathsf{f}(x',\mathsf{b})) \mapsto \{t_1,t_2,t_3\} \cap \{\} \\ & g : \mathsf{h}(\mathsf{f}(x',\mathsf{b})) \mapsto \{t_1,t_2\} \cap \{t_1\} \\ & v : \mathsf{h}(\mathsf{f}(x',\mathsf{b})) \mapsto \{t_1,t_2\} \cap \{t_1\} \\ & v : \mathsf{h}(\mathsf{f}(x',x')) \mapsto \{t_1,t_2\} \cap \{t_1\} \end{array}$$

## Unit Superposition Inference Rules

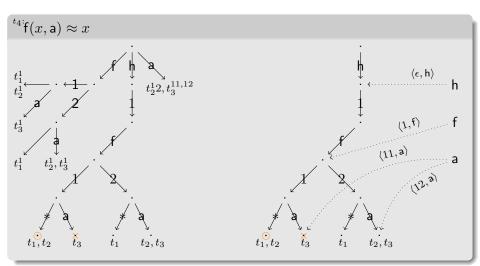
$$\frac{s \approx t \quad L[s']}{(L[t]) \cdot \sigma} \quad \underset{\text{paramodulation}}{\text{unit}}$$

where  $\sigma = \text{mgu}(s, s'), s' \notin \mathcal{V}, t\sigma \not\succeq s\sigma$ 

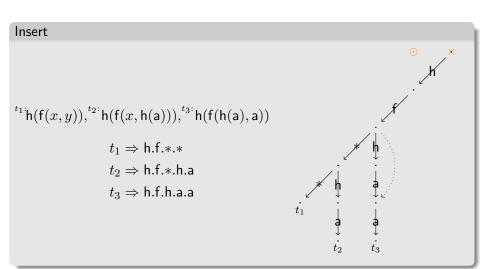
$$\frac{s \approx t \quad u[s'] \not\approx v}{(u[t] \not\approx v) \cdot \sigma} \text{ } \underset{\text{superposition}}{\text{unit}} \quad \frac{s \approx t \quad u[s'] \approx v}{(u[t] \approx v) \cdot \sigma}$$

where  $\sigma = \text{mgu}(s, s'), s' \notin \mathcal{V}, t\sigma \not\succeq s\sigma, v\sigma \not\succeq u[s']\sigma$ 

where s and t (A and B respectively) are unifiable



 ${}^{t_1:} h(f(x,y)), {}^{t_2:} h(f(x,a)), {}^{t_3:} h(f(a,a))$ 



#### Retrieve

$$t_1 h(f(x,y)), t_2 h(f(x,h(a))), t_3 h(f(h(a),a))$$

$$h(f(x',a)) \Rightarrow h.f.*.a$$

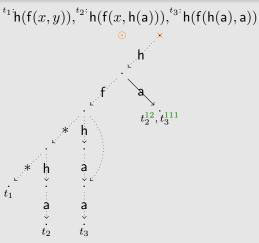
$$u: h(f(x',a)) \mapsto \{t_1,t_3\}$$

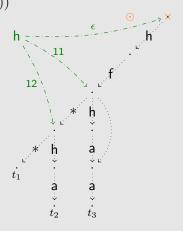
$$i: h(f(x',a)) \mapsto \{t_3\}$$

$$g: h(f(x',a)) \mapsto \{t_1\}$$

$$v: h(f(x',a)) \mapsto \{\}$$







TPTP/Problems/HWV/HWV134-1.p 2 332 428 formulae, 6 570 884 literals

literals: new	total	$(\ell_1,\ell_2)$	$A, \neg B$	sequential search	index search	speed up
1 000	1 000	500 000	761	726ms	70ms	10
1 000	2 000	1 500 000	812	2s	69ms	29
1 000	4 000	3 500 000	723	4s	75ms	53
1 000	8 000	7 500 000	433	9s	125ms	72
1 000	16 000	15 500 000	742	21s	221ms	95
1 000	32 000	31 500 000	592	40s	489ms	82
1 000	64 000	63 500 000	1167	80s	697ms	115
1 000	128 000	127 500 000	1479	160s	13s	12
1 000	256 000	255 500 000	1097	320s	440s	1
1 000	512 000	511 500 000	1440	640s	348s	2
1 000	1 024 000	1023 500 000	1534	1280s	348s	4