# Object Manipulation and Position Control Using a Swarm With Global Inputs

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Abstract—Manipulating objects with a swarm of simple robots with global control inputs is difficult because they are highly under-actuated, the number of robots in contact with object changes dynamically, the robots contacting each other changes dynamically, and because the robots disperse over time they must be recollected.

This work presents controllers and algorithms for steering such an under-actuated swarm to manipulate objects. Previous work showed that mean and variance of the swarm is controllable. This was used to manipulate convex polygonal objects through a simple maze. A key remaining challenge is controlling the torque applied to an object. Torque control is necessary for manipulating objects as well as for aligning sensors, emitters, or redirecting an incoming signal. This work first proves that swarm torque control is possible, then presents algorithms to automate the task. The paper concludes with experimental results using 100 hardware robots to manipulate rectangles with large aspect ratios.

#### I. Introduction

Micro-robots can be manufactured in large numbers. Our vision is for large swarms of robots to be remotely guided 1) through the human body, to cure disease, heal tissue, and prevent infection and 2) ex vivo to assemble structures in parallel. For each application, large numbers of micro robots are required to deliver sufficient payloads, but the small size of these robots makes it difficult to perform onboard computation. Instead, these robots are often controlled by a global, broadcast signal. Future implementations require control techniques that can reliably exploit large populations despite significant under-actuation.

Previous work proves that the mean position of a swarm is controllable and that, with an obstacle, the swarm's position variance orthogonal to rectangular boundary walls is also controllable (these are  $\sigma_x^2$  and  $\sigma_y^2$  for a workspace with axis-aligned walls). The usefulness of these techniques was demonstrated by several automatic controllers. One controller steered a swarm of robots to push a larger block through a 2D maze [2]. We also showed methods to control a swarm's position covariance to enable the swarm to navigate through a workspaces with narrow corridors. However, object manipulation often also requires controlling torque on an object, for example changing the orientation of an object with a large aspect ratio to thread through narrow corridors. Torque control is also necessary for a variety of alignment tasks including retroreflectors, and targeted radiation therapy.

Accurate torque control is difficult. A swarm with n agents has 2n degrees of freedom. The swarm, when steered toward

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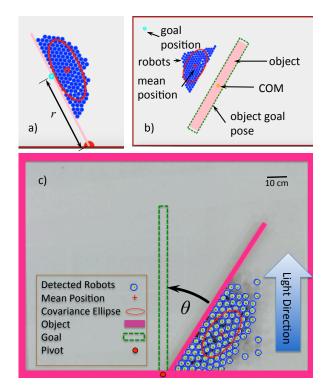


Fig. 1. Torque control of an object is essential for manipulating objects to their goal position when there are narrow passageways and for aligning sensors, emitters, or other objects. This paper provides feedback control laws to apply torques and forces using a highly under-actuated system where all robots are controlled globally by the same input. (a) Simulation of robots exerting torque on a hinged "door". (b) Simulation of swarm orientatos manipulation. (c) 97 hardware robots applying torque to an object. These robots have light sensors and are programmed to move toward the brightest light in the room. This light is a shared control input that operates globally on the swarm. A full resolution video is available at: [1]

an object, begins interacting with the object at different times. The number of robots touching this object as a function of time is difficult to predict and often impossible to directly measure. Stochastic effects make long-term prediction challenging. Even when it is possible to predict which agents will hit the object first, as agents interact with the object, the swarm's configuration changes. The challenge is not only limited to swarm-object interaction, but also to swarm-swarm interactions when the swarm self-collides or is split into multiple components. As a result, the force the swarm will exert on the object is not easy to predict. This information is often hard to gather, because remote sensing of tiny particles is difficult. Particles may be smaller than the minimum resolution of MRI, PET, and ultrasound, but these sensing modalities can still return aggregate data. From this aggregate data, some statistics-including mean and variance—are easy to obtain. Rather than design open-loop algorithms, this paper focuses on feedback control strategies using just two statistics of the swarm, the mean and variance of the swarm's position.

Fig. 1 illustrates the torque control using 97 kilobots, as well as two simulation snapshots of torque and orientation control.

#### II. RELATED WORK

Unlike caging manipulation, where robots form a rigid arrangement around an object [3], [4], our swarm of robots is unable to grasp the blocks they push, and so our manipulation strategies are similar to nonprehensile manipulation techniques, e.g. [5], where forces must be applied along the center of mass of the moveable object.

Robotic manipulation by pushing has a long and successful history [5]-[8]. Key developments introduced the notion of stable pushes and a friction cone. A stable push is a pushing operation by a robot with a flat-plate pushing element in which the object does not change orientation relative to the pushing robot [5]. The friction cone is the set of vector directions a robot in contact with an object can push that object with a stable push. Stable pushes can be used as primitives in an rapidly-expanding random tree to form motion plans. A key difference is that our robots are compliant and tend to flow around the object, making this similar to fluidic trapping [9], [10].

While ferrous particles tend to clump in a magnetic field, the magnetotactic bacteria of [11], [12] are directed by the orientation of the magnetic field and do not suffer from magnetic aggregation.

Controlling the *shape*, or relative positions, of a swarm of robots is a key ability for a myriad of applications. Correspondingly, it has been studied from a control-theoretic perspective in both centralized, e.g. virtual leaders in [?], and decentralized approaches, e.g. decentralized control-Lyapunov function controllers in [?]. Most approaches assume a level of intelligence and autonomy in the individual robots that exceeds the capabilities of current micro- and nano-robots [?], [11]. Instead, this paper focuses on centralized techniques that apply the same control input to each member of the swarm, as in [13].

## III. TORQUE CONTROL

The orientation of an object's major axis is important when a swarm is manipulating a non-symmetric object through narrow corridors. Orientation is controllable by applying torque to the object. To change the output torque  $\tau$  in Eq. (1), we can choose the direction and magnitude of the force applied F, and the moment arm from the object's center of mass (COM) to the point of contact r.

$$\tau = F \times r \tag{1}$$

The swarm version of (1) is the summation of the forces contributed by individual robots.

$$\tau_{total} = \sum_{i=1}^{n} \rho_i F_i \times (P_i - O)$$
 (2)

$$F_{total} = \sum_{i=1}^{n} \rho_i F_i \tag{3}$$

Here  $F_i$  is the force that the *i*th robot applies. If all robots are identical and the control input is uniform, the force is equivalent for every robot and  $F_i = F_c$ . Not all robots are in contact with the object.  $\rho_i$  is an indicator variable:  $\rho_i$  is 1 if the robot is in direct contact with the object or touching a chain of robots where at least one robot is in contact with the object. Otherwise  $\rho_i = 0$ . The moment arm is the robot's position  $P_i$  to the object's COM  $O = [O_x, O_y]^{\top}$ .

## IV. INSTANTIATING TORQUE FROM SWARM DISTRIBUTION

Consider a swarm of robots with probability density p(x). This section considers three canonical probability distributions: uniform, triangular, and normal, all parameterized by mean,  $\mu$  and standard deviation,  $\sigma$ . They are described by:

$$p_u(x) = \begin{cases} \frac{1}{2\sqrt{3}\sigma}, & \text{for } \mu - \sqrt{3}\sigma \le x \le \mu + \sqrt{3}\sigma \\ 0, & \text{otherwise} \end{cases}$$
 (4)

$$p_{u}(x) = \begin{cases} \frac{1}{2\sqrt{3}\sigma}, & \text{for } \mu - \sqrt{3}\sigma \le x \le \mu + \sqrt{3}\sigma \\ 0, & \text{otherwise} \end{cases}$$
(4)
$$p_{t}(x) = \begin{cases} \frac{x - \mu + \sqrt{6}\sigma}{6\sigma^{2}}, & \text{for } \mu - \sqrt{6}\sigma \le x \le \mu \\ \frac{-x + \mu + \sqrt{6}\sigma}{6\sigma^{2}}, & \text{for } \mu < x \le \mu + \sqrt{6}\sigma \\ 0, & \text{otherwise} \end{cases}$$
(5)

$$p_n(x) = \frac{1}{\sigma\sqrt{2\pi}}e^{-(x-\mu)^2/2\sigma^2}$$
 (6)

This section examines where to steer the mean of the probability distribution to maximize torque. We discuss two problems: pivoted object torque and free object torque

## A. Pivoted object torque

In this problem, the torque applied to a rod of length 1 pivoted at 0 when  $\theta = 0$  is:

$$\tau_{pivot} = \int_0^1 x \, p(x) \, dx \tag{7}$$

First, l and u for simplicity of the following derivations are defined for the uniform distribution.

$$l = \max(0, \mu - \sqrt{3}\sigma), u = \min(1, \mu + \sqrt{3}\sigma)$$
 (8)

Therefore, the torque applied is:

$$\tau_u = \frac{u^2 - l^2}{4\sqrt{3}\sigma} \text{ for } u > l$$
 (9)

To simplify derivations for triangular distribution we define bounds of integration as:

$$l_1 = \max(0, \mu - \sqrt{6}\sigma), l_2 = \max(0, \mu)$$
 (10)  
 $u_1 = \min(1, \mu), u_2 = \min(1, \mu + \sqrt{6}\sigma)$ 

Thus, torque is:

$$\tau_t = \frac{\tau_{left} + \tau_{right}}{36\sigma^2} \tag{11}$$

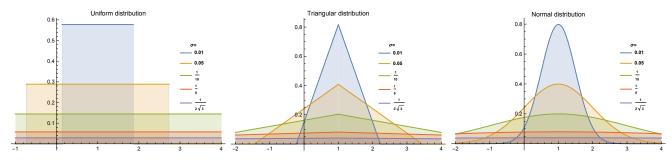


Fig. 2. Three different distributions are examined in this work: uniform, triangular, and normal.

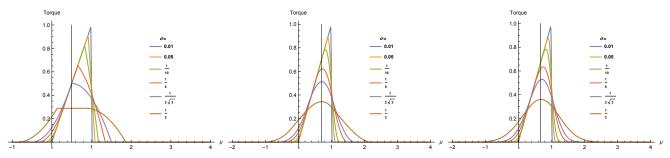


Fig. 3. Torque with regard to mean position,  $\mu$ . Mean position is the pushing location along a rod extending from 0 to 1.

where  $\tau_{left}$  and  $\tau_{right}$  are defined as:

$$\tau_{l} = u_{1}^{2} (2u_{1} - 3\mu + 3\sqrt{6}\sigma)$$

$$-2l_{1}^{3} + 3l_{1}^{2} (\mu - \sqrt{6}\sigma),$$
 for  $u_{1} > l_{1}$ 

$$\tau_{r} = 2l_{2}^{3} - 3l_{2}^{2} (\mu + \sqrt{6}\sigma)$$

$$-u_{2}^{2} (2u_{2} - 3\mu - 3\sqrt{6}\sigma),$$
 for  $u_{2} > l_{2}$ 

Torque by a uniformly distributed swarm is defined by:

$$\tau_n = \frac{\left(e^{\frac{\mu^2}{2\sigma^2}} - e^{\frac{(1-\mu)^2}{2\sigma^2}}\right)\sigma}{\sqrt{2\pi}} + \frac{\mu}{2}\left(\operatorname{erf}\left(\frac{1-\mu}{\sqrt{2}\sigma}\right) + \operatorname{erf}\left(\frac{\mu}{\sqrt{2}\sigma}\right)\right)$$
(13)

Consider a uniformly distributed swarm. The mean position that maximizes torque is computed.

$$\mu = \begin{cases} 1 - \sqrt{3}\sigma & \text{for } \sigma < \frac{1}{2\sqrt{3}} \\ \sqrt{3}\sigma & \text{for } \sigma > \frac{1}{2\sqrt{3}} \end{cases}$$
 (14)

The resulting torque is:

$$\tau_{umax} = \begin{cases} 1 - \sqrt{3}\sigma & \text{for } \sigma \le \frac{1}{2\sqrt{3}} \\ \frac{1}{4\sqrt{3}\sigma} & \sigma > \frac{1}{2\sqrt{3}} \end{cases}$$
 (15)

For a triangularly distributed swarm, the mean position that maximizes torque is:

$$\mu = \begin{cases} \sqrt{12\sigma^2 + 1} - \sqrt{6}\sigma & \text{for } 0 < \sigma < \frac{1}{2\sqrt{3}} \\ \frac{\sqrt{2}}{2} & \text{for } \sigma \ge \frac{1}{2\sqrt{3}} \end{cases}$$
 (16)

Thus the torque is computed by:

$$\tau_{tmax} = \begin{cases} \frac{(1+12\sigma^2)^{\frac{3}{2}} - 18\sigma^3\sqrt{6} - 1}{18\sigma^2} & \text{for } \sigma \le \frac{1}{2\sqrt{3}} \\ \frac{\sqrt{2} - 2 + 3\sqrt{6}}{36\sigma^2} & \sigma > \frac{1}{2\sqrt{3}} \end{cases}$$
(17)

For all distributions, the  $\mu$  that maximizes torque if  $\sigma=0$  is 1. For the  $\tau_{pivot}$  case, the optimal  $\mu$  moves in the -x direction as  $\sigma$  increases and approaches a limit. This limit is  $\mu=0$  for a uniformly distributed swarm and  $\mu=\frac{\sqrt{2}}{2}$  for a triangularly distributed swarm as we can see from Eq.17. The first derivative of Eq.13 is:

$$\frac{d_{\tau}}{d_{\mu}} = \frac{1}{2} \left( \operatorname{erf} \frac{1 - \mu}{\sqrt{2}\sigma} + \operatorname{erf} \frac{\mu}{\sqrt{2}\sigma} \right) - \frac{e^{\frac{-(\mu - 1)^2}{2\sigma^2}}}{\sqrt{2\pi}\sigma}$$
(18)

The limit as  $\sigma \to \infty$  is  $\frac{2-3\mu}{\sigma^36\sqrt{2\pi}}$  which goes to zero as the swarm variance increases. However, the zero of the numerator is  $\mu=\frac{2}{3}$  which means the best position to push the rod moves left until it reaches  $\mu=\frac{2}{3}$ .

## B. Free object torque

In this problem, the torque applied to a free rod of length 2 from [-1,0] to [1,0], is:

$$\tau_f = \int_{-1}^{1} x \, p(x) \, dx \tag{19}$$

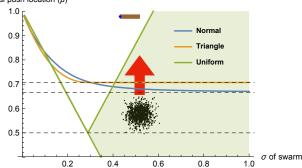
For calculating free object torque for a uniformly distributed swarm,  $l_f$  and  $u_f$  are defined for simplicity of derivations.

$$l_f = \max(-1, \mu - \sqrt{3}\sigma), u_f = \min(1, \mu + \sqrt{3}\sigma)$$
 (20)

Therefore, the torque applied is:

$$\tau_{uf} = \frac{u_f^2 - l_f^2}{4\sqrt{3}\sigma} \text{ for } u_f > l_f$$
(21)

Where to push object pivoted at 0, length 1, to maximize torque Optimal push location  $(\mu)$ 



Maximum possible torque on object pivoted at 0, length 1

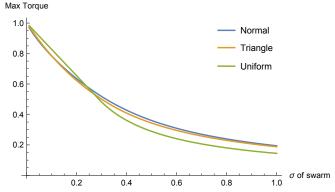


Fig. 4. Best location to push and maximum torque plot in pivoted object.

To simplify derivations for a triangularly distributed swarm, we define bounds of integration as:

$$l_{f1} = \max(-1, \mu - \sqrt{6}\sigma), \ l_{f2} = \max(-1, \mu)$$
 (22)  
 $u_{f1} = \min(1, \mu), \ u_{f2} = \min(1, \mu + \sqrt{6}\sigma)$ 

Torque is:

$$\tau_{tf} = \frac{\tau_{f, \text{left}} + \tau_{fright}}{36\sigma^2} \tag{23}$$

where  $\tau_{fl}$  and  $\tau_{fr}$  is defined as

$$\begin{aligned} \tau_{fl} &= u_{f1}^2 (2u_{f1} - 3\mu + 3\sqrt{6}\sigma) \\ &- 2l_{f1}^3 + 3l_{f1}^2 (\mu - \sqrt{6}\sigma) & \text{for } u_{f1} > l_{f1} \\ \tau_{fr} &= 2l_{f2}^3 - 3l_{f2}^2 (\mu + \sqrt{6}\sigma) \\ &+ u_{f2}^2 (3\mu - 2u_{f2} + 3\sqrt{6}\sigma) & \text{for } u_{f2} > l_{f2} \end{aligned}$$

Also, torque of a normally distributed swarm is defined by:

$$\tau_{nf} = \frac{\left(e^{\frac{-(1+\mu)^2}{2\sigma^2}} - e^{-\frac{(-1+\mu)^2}{2\sigma^2}}\right)\sigma}{\sqrt{2\pi}} + \frac{1}{2}\mu\left(\text{erf}(\frac{1-\mu}{\sqrt{2}\sigma}) + \text{erf}(\frac{1+\mu}{\sqrt{2}\sigma})\right)$$
(24)

Maximum torque of a uniformly distributed swarm for a free object has the same boundary as the pivoted object. The difference is because the pivoted object even when the swarm is pushing all the length of the object, they are applying torque, but for the free object, if the swarm pushes the whole length of the object, they would just apply force.

For triangularly distributed swarm we have:

$$\mu = \begin{cases} \sqrt{12\sigma^2 + 1} - \sqrt{6}\sigma & \text{for } \sigma < \frac{2}{\sqrt{6}} \\ 1 & \text{for } \sigma \ge \frac{2}{\sqrt{6}} \end{cases}$$
 (25)

# V. SIMULATION

This section examines four main challenges for pose and torque control of an object, arranged in increasing difficulty. Each task uses a PD controller that regulates the swarm's

mean position, as in [2]. The control input is the global force applied to each robot:

$$u_x = K_p(goal_x - \bar{x}) + K_d(0 - \bar{v}_x)$$
  

$$u_y = K_p(goal_y - \bar{y}) + K_d(0 - \bar{v}_y)$$
(26)

here  $K_p$  is the proportional gain, and  $K_d$  is the derivative gain. The swarm's average position is  $[\bar{x}, \bar{y}]^T$  and mean velocity is  $[\bar{v}_x, \bar{v}_y]^T$ . Each task uses a different algorithm to select the swarm's goal position  $[goal_x, goal_y]^T$ . The derivative gain  $K_d$  limits overshoot.

a) Pure torque control: An object with a pivot point can rotate, but not translate. A door is an example. If there was only one robot touching the object, the robot should push at the point which maximizes the moment arm, at the extreme end of the object furthest from the pivot point. The optimal pushing location provides the maximum force, because it maximizes r in (1). However, given a swarm of robots, maximizing r is no longer the optimal solution. If the mean of the swarm hits the object at the extreme edge, half of the robots will miss the object and the swarm will be split. Because few robots remain, the force is significantly decreased and torque is not maximized. In our simulation, the swarm applies torque until the swarm's mean position is beyond the object. Then the swarm will regather in a corner before returning to torque control, a time-consuming task. The key parameter of interest for a hinged door of length L is C, the position along the door where the mean of the swarm will push. The goal position in (27) is set to:

$$goal_x = O_x + C\cos(O_\theta)$$
  

$$goal_y = O_y + C\sin(O_\theta),$$
(27)

 $O_{\theta}$  = the orientation of the object's major axis, measured from the world x-axis. Fig. 6 illustrates how different values of C result in different rates of turning. These simulations tested  $C = \{1/2, 3/4, 5/8, 1\}L$ . The fastest turning rates occurred with C = 5/8L. Code is available at [14].

b) Orientation of the object: These simulations used a uniform density rectangle as the object. This object was  $30 \times$  larger than the robots. Using the pure torque control discussed in the previous paragraph, the orientation of the



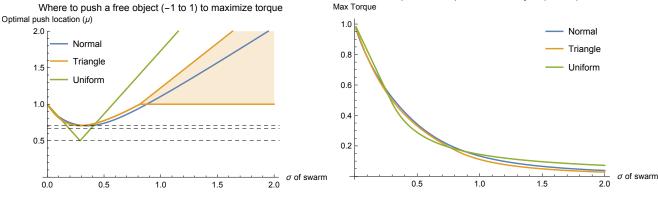


Fig. 5. Best location to push and maximum torque plot in free object.

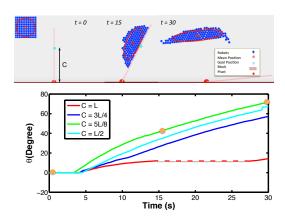


Fig. 6. Simulation results from a swarm applying force to a hinged door. The swarm mean is steered toward a point C units along the object from the pivot point. The red dashed line indicates the times that the swarm was in variance control mode. Simulation used 144 robots of diameter 0.2 m with a standard deviation of less than 1.5 m and an object length of 6 m.

object can be controlled by applying force. The rectangular object is not pivoted, so it moves in addition to rotating. The swarm still may split into multiple components. We use the hysteresis variance control from [2] to gather the swarm when its variance grows too large. The following control law chooses a goal position to regulate the orientation of the object.

$$goal_{x} = O_{x} + K_{orient}(O_{\theta} - goal_{\theta})\cos(O_{\theta})$$
  

$$goal_{y} = O_{y} + K_{orient}(O_{\theta} - goal_{\theta})\sin(O_{\theta})$$
 (28)

Here  $K_{orient}$  is a positive gain on the control input.

Fig. 7 illustrates this controller with different starting positions. When the plot traces are constant the swarm is no longer pushing the object and instead is being regathered in a corner of the workspace. Code is available at [15].

#### VI. EXPERIMENT

Our experiments are on centimeter-scale hardware systems called *kilobots*. These allow us to emulate a variety of dynamics, while enabling a high degree of control over robot function, the environment, and data collection. The kilobot, from [?], [16], is a low-cost robot designed for testing collective algorithms with large numbers of robots.

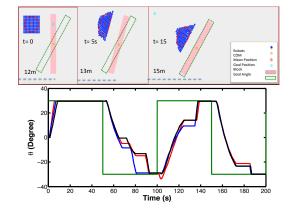


Fig. 7. Plot demonstrating orientation control of a rectangular object. The green line is the goal orientation. Other lines show different random starting position of the swarm. When the plot traces are constant the swarm is no longer pushing the object and instead is being regathered in a corner of the workspace until the variance is below a desired threshold.

It is available as an open source platform or commercially from [?]. Each robot is approximately 3 cm in diameter, 3 cm tall, and uses two vibration motors to move on a flat surface at speeds up to 1 cm/s. Each robot has one ambient light sensor that is used to implement *phototaxis*, moving towards a light source. In these experiments, as shown in Fig. 8, we used n=97 kilobots, a glass-covered 1.5 m×1.2 m whiteboard as the workspace, and eight 30W LED floodlights arranged 1.5 m above the plane of the table at the  $\{N, NE, E, SE, S, SW, W, NW\}$  vertices of a 6 m square centered on the workspace. The lights were controlled using an Arduino Uno board connected to an 8-relay shield. Above the table, an overhead machine vision system tracks the position of the swarm.

The experiments from Section V.a were manually demonstrated using this physical swarm. Fig. 9 illustrates an experiment showing pure torque control with a swarm of robots. In this figure a large-aspect-ratio rectangle  $(91\times2$  cm, colored pink in the image) was hinged to one side of the table. Like a door, this object could only be moved around this pivot. Two trials were performed. In each trial the swarm was initialized in the lower right side of the table, and then commanded to push the object with the mean position of the swarm directed toward a point distance C from the

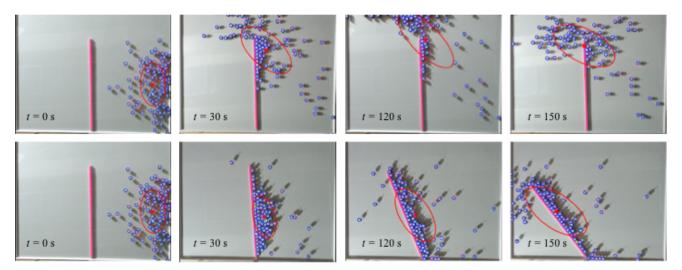


Fig. 9. Snapshots showing the effect of pushing a pivoted rectangular object at different distances from the pivot point. 97 robots were programmed to move toward the brightest light in the room, and controlled by choosing which of 8 lights were on at any given instant. The top row of snapshots illustrate the swarm pushing at the end of the object. In this case, the swarm flows past the object and the force decreases. The bottom row illustrates that when the swarm pushes at the middle of the object the force provided by the swarm remains constant. In this case the swarm does not flow past the object. See video attachment for recordings of these experiments at [1].

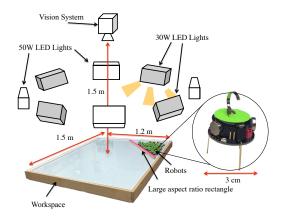


Fig. 8. Hardware platform: table with  $1.5\times1.2$  m workspace, surrounded by eight remotely triggered 30W LED floodlights, with an overhead machine vision system.

pivot point. Data was recorded for 150 seconds. In the first trial, C=L, so the robots were commanded to push the door at the extreme edge of the door from the pivot. In the second trial C=1/2L, and so the swarm pushed the object in the center of the rectangle. As discussed in Section V, the robots spread when commanded to push the object at the extreme end, and half of the robots flowed past the end of the rectangle without engaging the rectangle. This illustrates a key difference between robotic swarms and a single pusher robot. The swarm exerts the most torque when (2) is maximized. (2) is maximized when the majority of the swarm engages the object. For this reason in Fig. 9, the trial in the second row of screenshots moves the door further than the trial in the first row.

#### VII. CONCLUSION AND FUTURE WORK

This paper presented techniques for controlling the orientation of an object by manipulating it using a swarm of sim-

ple robots with global inputs. The paper provided algorithms for precise orientation control, as well as demonstrations of orientation control.

Future efforts should be directed toward optimizing torque control, examining the effects of Brownian noise, applying the techniques to hardware robots, pose control for multiple part assembly, and manipulation in a crowded workspace. The control laws in this paper used only the mean and variance of the swarm. The control techniques may be optimized using high-order moments, or by stochastic modeling of the collisions between swarm members and the object.

## VIII. ACKNOWLEDGEMENTS

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