

Part C

Thermal systems



■ Thermal systems – generalities



Thermal part of the course ...

↳ motivations
+ relevant aspects =>

- System characteristics
- Main problems



Foreword

(abstract theoretical aspects!)

→ there is a big difference between E/T systems

- As we saw (in E years) or shall see later on (in T years), electric (AC) systems
 - are characterised by network-wide interaction, as frequency is an inherently network-wide quantity; → all is coupled! → electric phenomena (immediate)
 - exhibit very fast coupling (wrt control bands) among the network nodes;
 - allow for a modest role of storage, at least at the network level; → storage @ home/build... level, hard to achieve good storage
 - are governed with highly standardised control schemes, that involve a few strong central authorities.
- Thermal systems are radically different:
 - interaction is much looser, and mostly localised to well identifiable parts of the system; → loose coupling, just if sharing same heat NET
 - tendentiously slow coupling (again, wrt control bands) is observed;
 - the role of storage can be paramount in several system components;
 - control schemes are very heterogeneous, and often contain numerous local authorities with limited power (lato sensu). ↳ heat net control scheme
Very different between different syst. (NO uniform of central authorities)
heat up you need high KW of power ⇒ easy to store heat than electricity! ⇒ today we use an elec net different storage type
store electricity is harder

electric
water has
a storage... to





Foreword

focus on Thermal..

- As a first consequence, wrt the electric case,
 - there are more concurrent time scales to consider, [from sec to hours time scales]
 - which helps establish some hierarchy in the systems to address → as a cascade control
 - but same time results in a higher structural complexity. → many compensation/decoupling etc... lots of schemes
- As a second consequence, and again wrt electric systems,
 - we are encountering a wider variety of problems → NOT only control problems
 - and thus we need a larger zoo of models.



- This motivates the co-existence of the two system types (E and T) in this course... (coordination of E/T systems)
- ...though we can devote just a limited amount of time to talk about their coordination.



■ Thermal systems – component models



Introduction and hypotheses

Fluid transport and flow control components

Heat exchangers

Thermal machines

Containment elements → building walls,
others container like tubes

moving heat around
≡ moving fluid around
(secure control fluids)



Main elements to be modelled



- Fluid (liquid) transport, motion and flow control (pipes, pumps, valves);
- heat exchangers (liquid/liquid and liquid/air);
- thermal machines (boilers, chillers, heat pumps);
- containment elements and associated thermal exchanges (walls, openings, conductive/convective/radiative exchanges).
- Note: for simplicity, unidirectional fluid flow (quite realistic, anyway) will be assumed.

↓
reasonable,
pump can't allow
unidirectional flow
of fluid



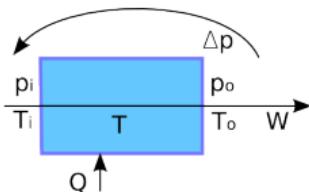
Involved substances and materials

- We shall treat **thermo-vector fluids** (e.g., water flowing in heating elements) as **incompressible**, and with **constant specific heat**;
 - the same will be done for the air contained in buildings (pressure is **practically** the **atmospheric** one): *→ TRICK!*
 - thus, we shall not treat **centralised air treatment systems** like AHUs (Air Handling Units) and **air-based heat distribution** — if not very marginally.
 - Solid materials will come into play for containments, and here too simple descriptions (constant and uniform properties) will be used:
 - always recall our system-level attitude.



Pipes

→ model through momentum equation
we relate Δp across pipe to friction term (lumping coefficients)



↓ on datasheet, data frequently
specked by bars/km loss
@ nominal flow rate
(easy modelling of K_f)

- **Hydraulic equation** (review, Δz is the height difference (inlet minus outlet), ρ the fluid density): **Friction losses**
head loss terms

Friction losses

$$\Delta p = \frac{K_T}{\rho} w^2 - (\rho g \Delta z) \quad \Delta z \approx h_i - h_o \quad \text{height in-out}$$

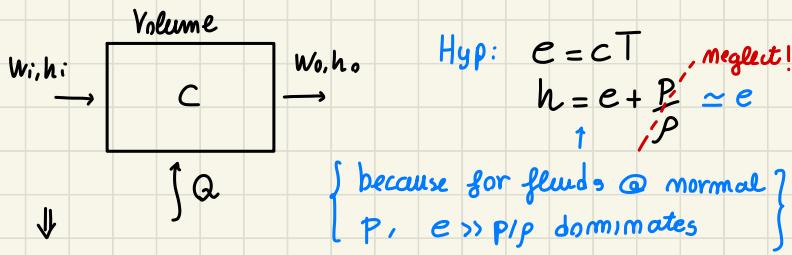
- **Energy balance** (T assumed uniform and equal to T_o , V is the pipe volume, c the fluid specific heat):

it depends of
we consider 0/1
DIM element

$$\rho V c \dot{T}_o = cw(T_i - T_o) + Q$$



considering
a volume
with heat
capacity c



$$\underbrace{\frac{dE}{dt}}_{\Downarrow} = W_i c h_i - W_o c h_o + Q = W_c (T_i - T_o) + Q$$

\uparrow
 $(W_i = W_o)$

$C \frac{dT}{dt}$: but which T ? (in/out/average?!)

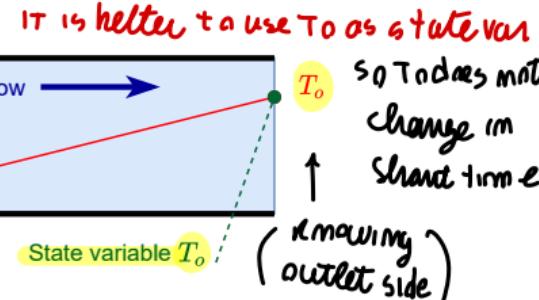
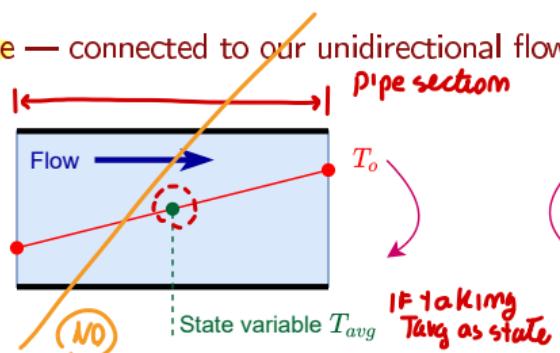
↳ normally, if no flow

reversal it is more
sensitive to take \Rightarrow
outlet temp

Pipes

Why T_o as state variable — connected to our unidirectional flow hypothesis

taking T_{avg}
seems reasonable... ←
because evaluating
thermal exchange
I consider surface
temp to compute
exchanges → T_{avg}



- Which T shall we take to represent the lump energy content?
- Suppose we take the average T_{avg} (left) and then apply a step-like variation to the inlet one T_i :
 - *natura non facit saltus — ergo nec faciunt status variabiles* ☺
(nature does not make jumps — hence neither state variables do)
⇒ we would see a transient negative variation of the outlet temperature T_o , i.e., a **non-physical** nonminimum-phase behaviour.
- Apparently this does not happen (right) if we take T_o as state variable (but we have to know/assume the flow direction).



Body

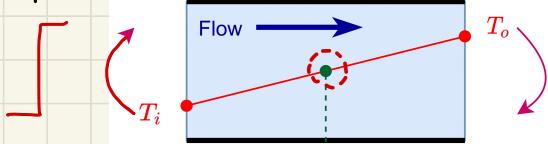


take slice
and compute heat
exchange

If using T_{avg} , I should integrate

I integrate $T(x)$ and take
 $T_{avg} \rightarrow$ reasonable state var!

(step)



but IF apply a
step to T_i :
(enter heated hot fluid)

imulse response on

T_o , NOT FEASIBLE!

NOT GOOD

{NOT FEASIBLE!}
↑ against real
physics

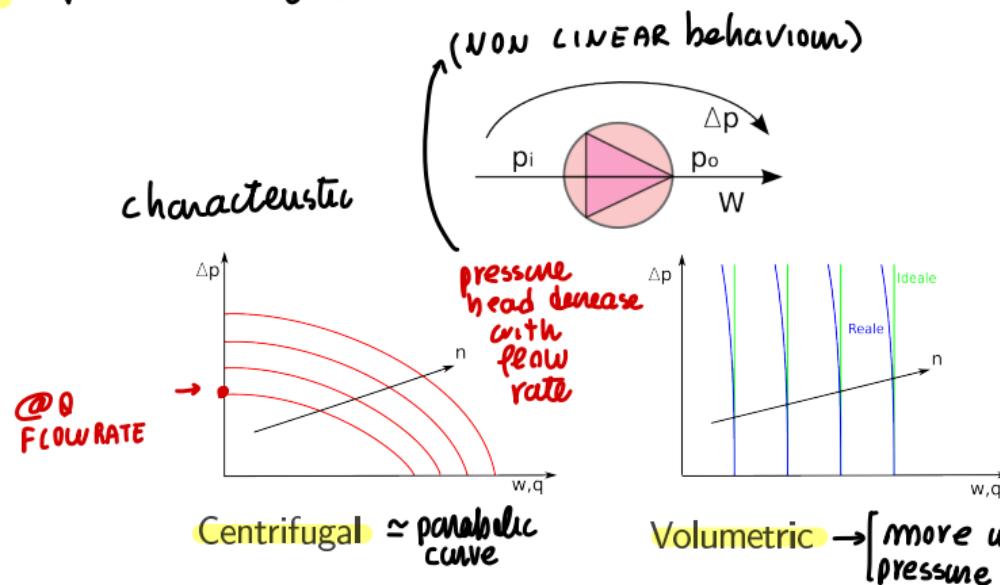
so to have
some T_{avg} ,
a step in T_i
→ leads $T_o \downarrow$

↓
(cannot change
immediately!)

avg does not change
in short time frame!

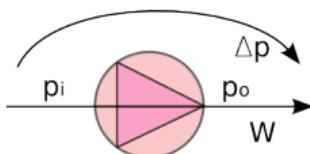
Pumps

→ 2 kinds of pumps



- The command n prescribes the pump speed, typically in rpm.
- Mass and volume flowrate (here proportional by ρ) are denoted by w and q , respectively.

Pumps



- Centrifugal pump hydraulics (given the fluid):

{depend on rotational speed of the pump}

$$\Delta p = H_0(n) - H_1(n)w^2$$

where, given a nominal rpm n_0 and correspondingly $H_0 = \bar{H}_0$ e $H_1 = \bar{H}_1$,

$$H_0(n) = \bar{H}_0 n/n_0, \quad H_1(n) = \bar{H}_1 n/n_0.$$

- Volumetric pump hydraulics (given the fluid):

m : [rev/min]

$$w = Kn$$

where K is a characteristic parameter.

- We can neglect mechanical heating, thus in both cases $T_o = T_i$.



on Modelica \rightarrow process components > liquid > ...

$$\Delta p = \Delta p_0 * \max(0, ..) - k_p \cdot W^2 \dots \text{(pump)}$$

on >coursework > Themsys-component-models

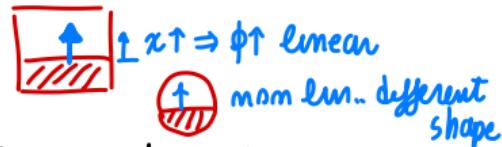
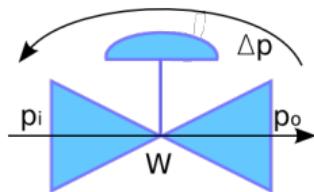


{
 + lots of models of thermal component
 + centrifugal vs volumetric pump flow rate
 + combination of pump/values
 + depends on what I want to control}

Valves

$\phi(x)$: $\begin{cases} \phi(0) = 0 & \text{flow surface} \\ \phi(1) = 1 & \text{increasable} \\ & \text{increase opening} \\ & \text{command} \end{cases}$

IF EXAMPLE
surface valve square



→ no cavitation/no strange phenomenon

- Hydraulic equation (we assume proper sizing, the curious can refer e.g. to ANSI/ISA-75.01.01 or IEC 60534-2-1):

$$w = \overline{Cv_{max}} \Phi(x) \sqrt{\rho \Delta p}$$

opening function

flow rate

value coeff

pressure drop across

- Isenthalpic transformation:

$$h_o = h_i$$

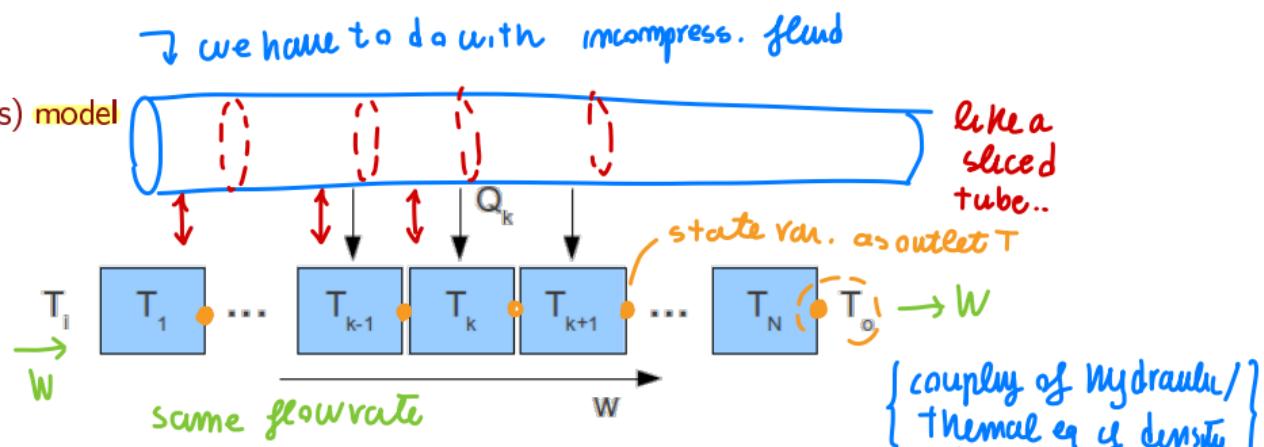


Fluid streams

Lumped (Finite Volumes) model

You made
im a Finite
volume approach

\downarrow
dinitro fluid stream
in eclipses



- For incompressible fluids, thermal equations are decoupled from hydraulics (constant density). (coupled when density depends on temperature) -
 - Having decided the flow direction, the equation for each element of the FV description is thus **heat comes**

ρV : mass & element

heat capacity [J/K]

$$\cancel{\rho c V_k \dot{T}_k} = \underbrace{wc T_{k,1}}_{IN} - \underbrace{wc T_k}_{OUT} + \cancel{Q_k},$$

heat comes
laterally

assumptions

$$T_{-1} = T_i, \quad T_o = T_N,$$

where the V_k are the lump volumes, often (for us, always) equal.



on Modelica \rightarrow Thermal > Liquid \rightarrow Tube stream



$$C_{ump} \times \frac{dT_1}{dt} = \dots$$

Main geometries

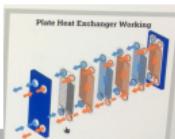
heat exchangers components are very important on thermal systems

used to transfer heat from one fluid to another without mixing the two

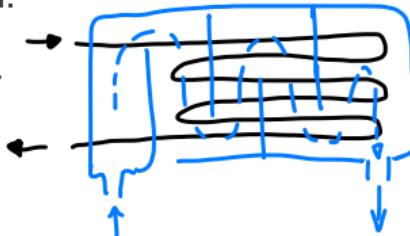
- Plate, shell-tube...
- All composed of two fluid streams separated by a wall typically made of well-conducting metal.

(EX)
many
geometries!

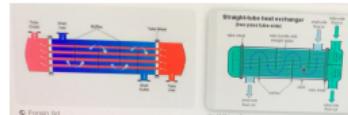
- shell-tube
- plate heat exchanger



(what you typically see on heat network sub station)



shell tube heat
exchanger

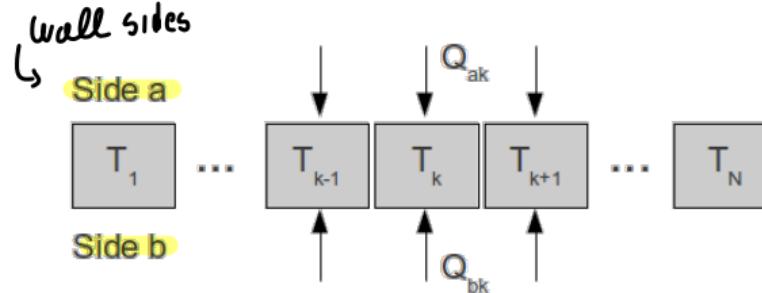


Wall

→ characterized by heat capacity (which can be significant respect fluid heat capacity)

(we account for heat capacity of metal, because for energy storage, is a storage usable)

↓
storage in
metal that
can be used

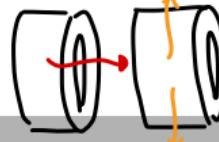


- Dividing the wall in the same way as the stream(s),

$$\rho_w c_w V_{wk} \dot{T}_k = Q_{ak} + Q_{bk}$$

where the “w” subscript stands for “wall”. *what comes from the side*

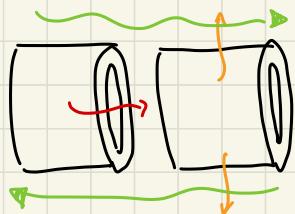
- Axial conduction in the wall is neglected, which is realistic enough for our purposes. ⇒



irrelevant conduction!



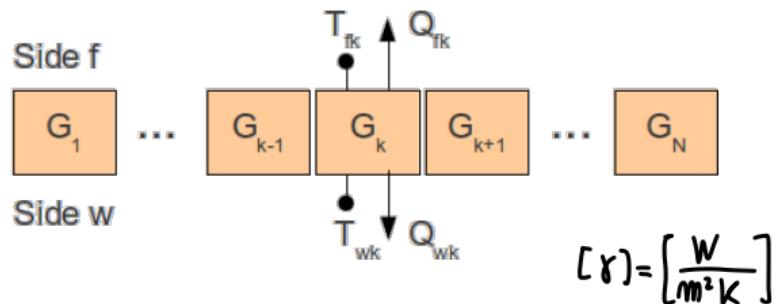
each tube piece "K"



this fluid is more
efficient to transfer heat
than the metal!
↓
(experimental evidence)

Convective exchanges

↓ more through heat capacity...



- Finally comes the (convective) exchange element, that adopting the same discretisation as before yields

$[W/K]$

$$Q_{fk} \stackrel{\text{opposite rate}}{=} -Q_{wk} = \tilde{\gamma} S_k (T_{fk} - T_{wk}) [W]$$

where the f and w subscript stand for “fluid” and “wall”.

- The S_k are the lump surfaces while γ is the thermal exchange coefficient, either constant or dependent on the flow conditions.
↳ depends on conditions...



Convective exchanges

Correlations for γ

- In the literature many correlations to compute γ were proposed.
- These typically distinguish
 - natural convection, caused by buoyancy, vs. forced convection, induced by movers like pumps and fans;
 - laminar flow, with particles moving "by parallel lanes" and parabolic velocity profile on the duct cross surface, vs. turbulent flow, with particles mixing randomly and a quasi-flat velocity profile;
 - intuitively, forced turbulent convection is most efficient.
- In the forced case the kind of flow is discriminated by the Reynolds number

$$Re = \frac{\rho u D}{\mu} \rightarrow \text{laminar/turbulent?}$$

where ρ is density, u velocity, D (hydraulic) diameter, and μ dynamic viscosity.



- Low Re means laminar flow, high Re turbulent flow (there is debate on thresholds, will show some data in exercises).

Convective exchanges

Correlations for laminar and turbulent flow

- A notorious example is the **Dittus-Böltter** correlation for turbulent flow

$$Nu = 0.023 Re^{4/5} Pr^n$$

where Nu and Pr are respectively the Nusselt and Prandtl numbers

$$Nu = \frac{\gamma L}{\lambda}, \quad Pr = \frac{c_p \mu}{\lambda}$$

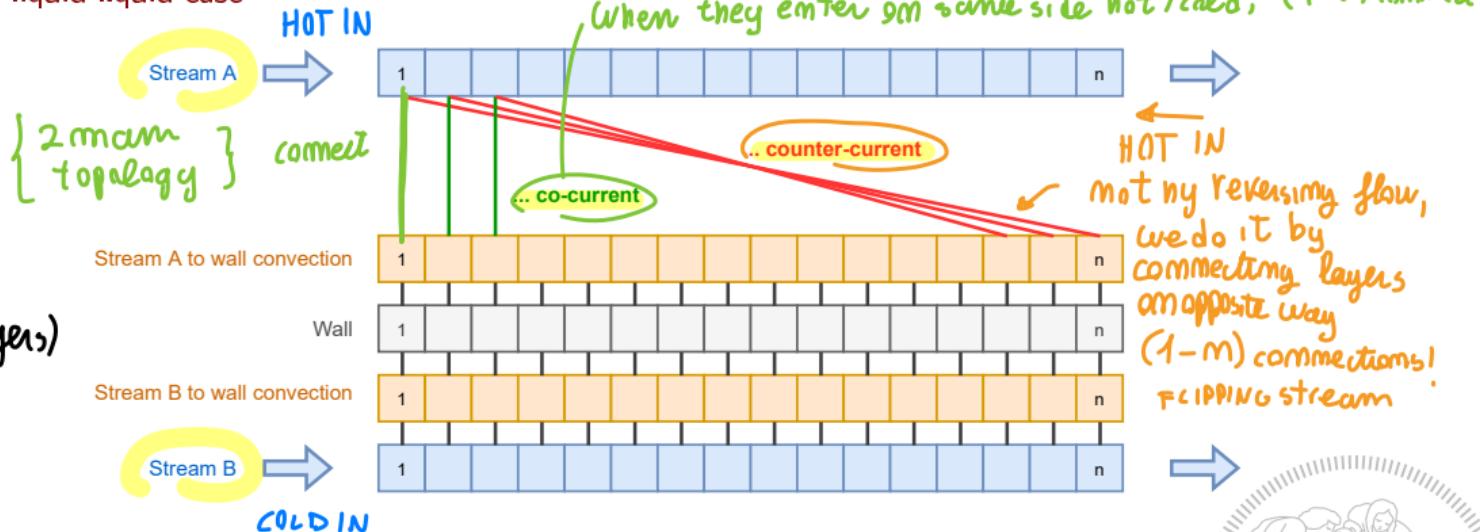
with L characteristic dimension (in tubes, stream diameter), λ thermal conductivity, and c_p specific heat; n is 0.3 for the fluid being heated, 0.4 if the fluid is cooled.

- Further details would stray from our scope; the curious can check out e.g. https://en.wikipedia.org/wiki/Nusselt_number for the variety of cases.

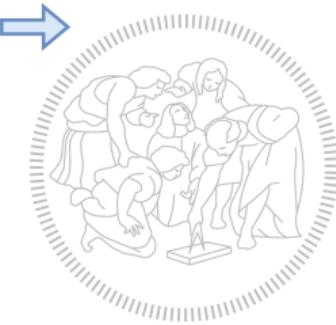


Complete models of a heat exchanger

typical liquid-liquid case



- The connections realise the heat exchanger configuration (e.g., as shown, co-current or counter-current).
 - Complex geometries may require more articulated interconnections (not our subject).



Complete models

One-volume liquid-liquid case

(more interactions) lot
exchangers

→ on district level heat net...

- In large models (e.g., a complete heat network) one may sometimes not be interested in the detailed dynamics of every (substation) heat exchanger.
- On the other hand, in addition, one may want to parametrise exchanger models with minimal – most typically, datasheet – information, such as
 - nominal hot and cold side flowrates,
 - nominal hot and cold side inlet temperatures,
 - nominal transferred power and efficiency.
- In such cases, always keeping an eye on validity limits as already noted, very simple models can be devised.
- We show a quite extreme case, with one volume (per side) only.

↳ frequently you don't have
detailed physical knowledge,
so INFO used for sizing (design)
are usefull!



Complete models

One-volume liquid-liquid case (same fluid on both sides)



hot/cold
flowrate

power transfer

, efficiency

given this I
compute model parameter

- Maxima:

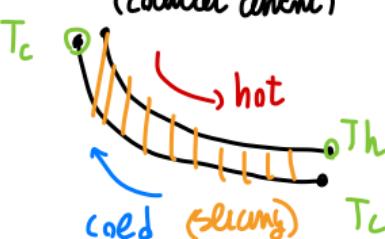
```
/* Given c,wh,wc,Thi,Tci,P,eta
equations: { e1: c*wh*(Thi-Tho) = P; /* heat taken from (h)ot side
            e2: c*wc*(Tco-Tci) = P*eta; /* heat released to (c)old side (1-eta is p.u. loss) */
            e3: P=G*(Thi-Tci); /* G is equivalent conductance -- question: why "i"? */
solve([e1,e2,e3],[G,Tho,Tco]);
```

inlet temperature (never reverse)

- whence, introducing hot and cold side volumes V_h, V_c to compute heat capacities,

*not all related to
cold size, you have lost!*

(counter current)

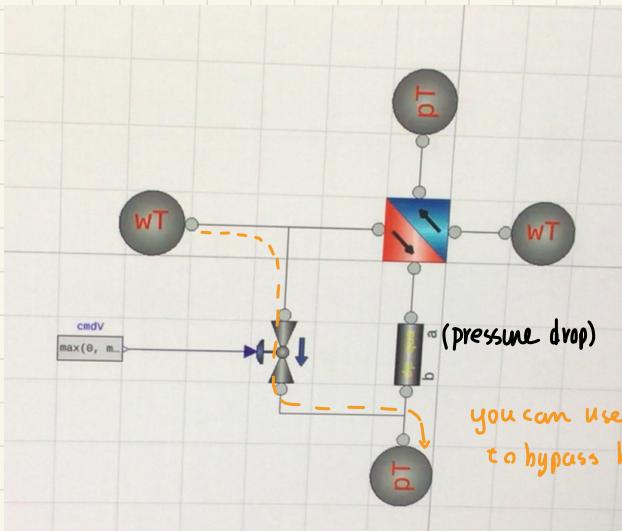


$$\begin{aligned} P_h &= G(T_{hi} - T_{ci}) \\ P_c &= \eta P_h \\ \rho c V_h \dot{T}_{ho} &= c w_h (T_{hi} - T_{ho}) - P_h \\ \rho c V_c \dot{T}_{co} &= c w_c (T_{ci} - T_{co}) + P_c \end{aligned}$$

You may have more
physical situation..

→ I use inlet T to guarantee feasibility





Complete models

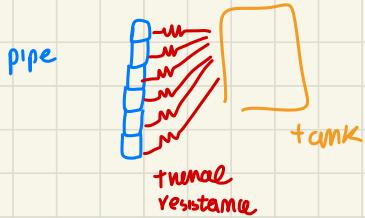
Another **extreme case** (just some words)

$\left. \begin{array}{l} \text{residence time := time requested to} \\ \text{ renew completely the fluid contained} \\ \text{ in the volume , respect flowrate} \\ \downarrow \text{if small } \approx \text{ uniform properties} \end{array} \right\}$

- In some cases it can be convenient to have only one volume on one side, while the other is still represented by a sequence of volumes along its stream.
- A couple of examples: *~ model volume along serpentine!*
 - when one side is a.g. a serpentine and the other a storage tank that can be taken as fully mixed; (*typical in thermal solar system*)
 - when one side has a very small fluid **residence time** (contained mass over traversing flowrate) like e.g. the air volume in a fan coil.
- In such cases the model still has the structure shown previously for the two-stream exchanger, however with the convective conductances on the single-volume stream side all connected to that volume.



all exchange with a single volume



A broad classification and two hypotheses

I'm coursework on modelica (Thermal sys comp. model) 4) { modeling of components... }

- For our purposes, we distinguish two types of machines:

{

- (1) those that inject heat into a fluid by combustion or from analogous sources, such as solar radiation, that can possibly be modulated (e.g., by operating a fuel valve or focusing/defocusing a mirror); these include boilers, thermal solar captors, and similar objects;
- (2) those that employ work to transfer heat from a cold to a hot source; these include all kinds of heat pumps. using work to transfer (NOT violation of II principles)

 }

- Hypotheses:

- ↳ 1 at a system level, thermal machines can be described as static relationships coupled to a simple (for us, 1st-order) dynamics to represent the internal mass/energy storage, or in other words connected to the fluid residence time (contained mass over traversing flowrate, recall);
- 2 at the same level, the fluid(s) perceive the machine operation as impressed heat rates: possible relationships of those heat rates with temperatures can be represented as static characteristics.
- ↳ (heat as function of...), "c" concentrated → IORD dynamics!

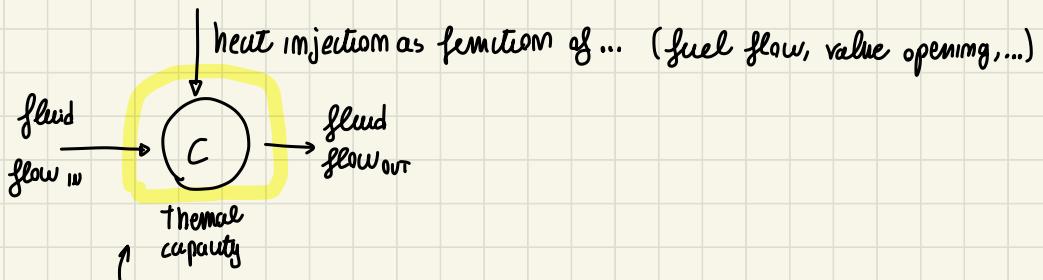


We need (for control purposes) → simple system level models

↓
(Based on a state scheme)

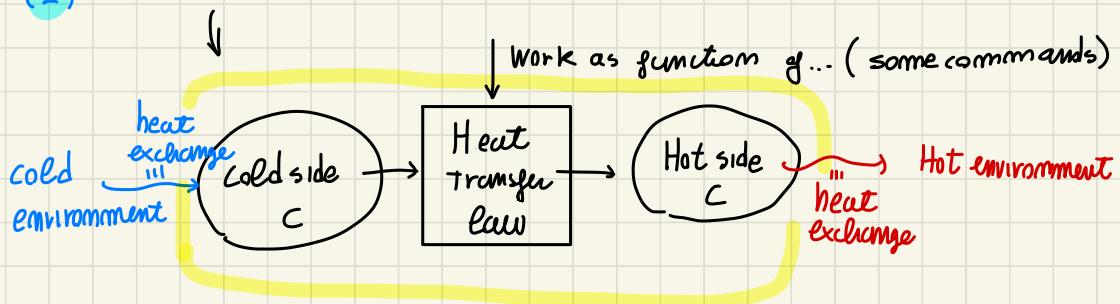
"simple model for a thermal machine"

(1)



machine model levels

(2)



Thermal machines

Type 1 machines – example: heater, “direct” model

(1) “direct model”

$$(flow \text{ am } boiler) \quad [Kg/s] \quad * \quad [J/Kg] = [W]$$

↑ ↑ ↑

- Denoting by w the fluid flowrate, by w_f the fuel one, by HH its calorific power, by V the volume of contained fluid and by η_c a “combustion” efficiency, one can simply write with self-explanatory notation

what comes from

(simple heat balance) \hookrightarrow
$$\frac{dE}{dt} = w_{out} - w_f HH \eta_c(w, T_o)$$

efficiency, which depends on operation condition

where $\eta_c(w, T_o)$, or just $\eta_c(w)$ depending on the detail level, provides the machine efficiency curve. \rightarrow less fuel = different η \rightarrow machine efficiency curve

- Suggestion: try to reformulate for a thermal solar captor where the captured radiative flux can be partialised.



Thermal machines

Type 1 machines – example: heater, “inverse control-based” model

- Sometimes models like the above are too simplistic, yet physically detailed ones are too demanding and/or the information required for them is not available.
- In such cases, if the object to model has controls aboard, one can exploit them...
... in the way that we show here for a heater, but is clearly more general.
- Idea: trust the control designer and assume that the controlled variable y tracks the set point w through a reasonably low-order dynamics; the ideal case is

track set
point with IORD dyn

$$\frac{Y(s)}{W(s)} = \frac{1}{1+s/\omega_c}$$

ideally we know
cuc, set-point/controlled var
relationship

where to know ω_c it suffices to know the closed-loop settling time
(from technical specs or even just logged signals). ↳ {apply a step and
watch transient}

- Now let us apply the idea to the water heater.



Thermal machines

Type 1 machines – example: heater, “inverse control-based” model

- Heater on \Rightarrow outlet temperature T_o follows set point T_{set} with closed-loop time constant τ_{cl} , thus **follow set point**

$$T_o + \tau_{cl} \dot{T}_o = T_{set} \xrightarrow{\text{d}} (1 + s\tau_{cl}) T_o = T_{set} \rightarrow \frac{T_o}{T_{set}} = \frac{1}{1 + s\tau_{cl}}$$
- Heater off \Rightarrow outlet temperature T_o approaches inlet one T_i with free-cooling time constant τ_{fc} , thus
 (I can measure τ_{fc}) **specmally** $T_o + \tau_{fc} \dot{T}_o = T_i$ **using as input T_i** instead T_{set}
- Summing up, since both T_i and the flowrate w will be dictated by the connected thermo-hydraulic network, the model just requires τ_{cl} and τ_{fc} , and reads

SWITCHING system

\downarrow
 (state vector) doesn't change BUT right hand side of eq changes!

$$\begin{bmatrix} \dot{T}_o \\ P_h \end{bmatrix} = \begin{cases} -\frac{1}{\tau_{cl}} T_o + \frac{1}{\tau_{cl}} T_{set} & \text{heater on} \\ -\frac{1}{\tau_{fc}} T_o + \frac{1}{\tau_{fc}} T_i & \text{heater off} \\ wc(T_o - T_i) & \text{heater on} \\ 0 & \text{heater off} \end{cases}$$



Writing it as:

$$\dot{T}_o = A_b T_o + B_b \begin{bmatrix} T_{set} \\ T_i \end{bmatrix} \quad b = om/off$$

$\left. \begin{array}{l} \text{linear} \\ \text{switching} \\ \text{dyn syst.} \\ \text{simple model!} \end{array} \right\}$

$$A_{om} = -\frac{1}{\tau_{cl}} \quad A_{off} = -\frac{1}{\tau_{fc}}$$

$$B_{om} = \begin{bmatrix} 1/\tau_{cl} \\ Q \end{bmatrix} \quad B_{off} = \begin{bmatrix} 0 \\ 1/\tau_{fc} \end{bmatrix}$$

Thermal machines

Type 1 machines – example: heater, “inverse control-based” model

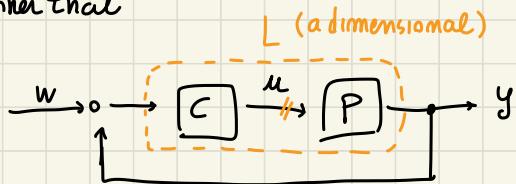
→ limit concerning control signal representation and consumed power!

- Evident limits:

- knowing τ_{cl} provides information about the loop transfer function, not on C and P , so that we may represent well the controlled temperature but not the control signal, hence power P_h ;
- in addition, the idea just introduced and exploited lives entirely in a linear context, so that we have no means to account for saturation limits on P_h to the further detriment of its representation.
- We could however do better with some knowledge of the controlled process: let us therefore assume to know the maximum heater power $P_{h,max}$, the contained fluid volume V , and a nominal/design fluid flowrate w — not too tall an order at all with heater datasheet and installation conditions at hand.



remember that



however, to

use this model to compute u (consumption) \rightarrow I can have problems



@ S.state IF I know $w, \frac{w}{T_0}, T_i \}$ \rightarrow can compute consumption

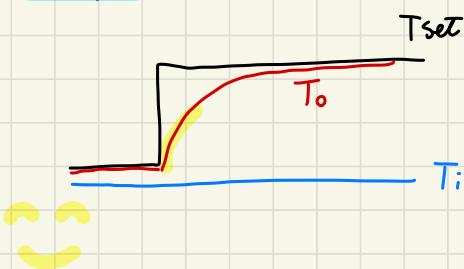
$$P = \frac{Cw(T_0 - T_i)}{\eta}$$

BUT

IF completely linear syst.

During transient it might be inaccurate...

Example



good means
to simplify
thermal models,
But we don't
properly evaluate
consumptions! so
behavior of
controller not
properly represented!
(ISSUE)

means that

with this
I don't notice
control logic ...

T_i (constant) \approx big storage
upstream,
(early time)

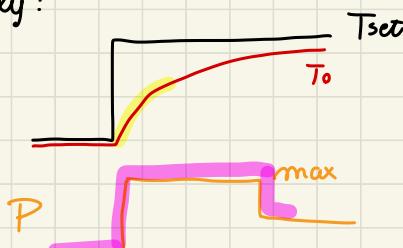


$\approx (P)$ with Cw constant
as estimated

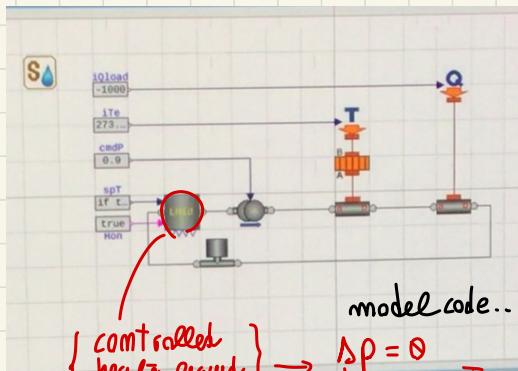
But in reality, most likely:

{ Using actuator,
go to MAX than
exit saturation }

Best controller try
to go to set point than
exit SAT to avoid overshoot



Idea of "inverse model" \rightarrow ideal case \Rightarrow controlled_heater_case003_ideal



$\left\{ \begin{array}{l} \text{controlled} \\ \text{heater liquid} \\ \text{ideal} \end{array} \right\} \rightarrow \Delta P = 0$

$$h_{ao} = c_p T_{so} = h_{bo}$$

$$\left\{ \frac{T_{fo}}{T_0} = \frac{1}{1+s t_L} \right\}$$

But we have limitations!

```

23 // no pressure drop
24 dp = 0;
25 // enthalpy boundary conditions
26 hao = cp*Tfo;
27 hbo = cp*Tfi;
28 // definition of the input and output temperatures
29 cp*Tfi = hai;
30 if ON then
31   Tfo + Tch * der(Tfo) = To; ← set point T0
32 else
33   Tfo + Tch * der(Tfo) = Tfi; ← opposite case, cooling
34 end if;
35 // Power consumption
36 Pc = noEvent(max(cp*w*(Tfo-Tfi), 0.0));
37 // Temp meas
38 oTi = Tfi;
39 oTo = Tfo;
40 annotation([...])
41 end ControlledLiquidHeater_ideal;
42

```

T_f , tends to
inlet T_f :
input power

Avoid
discontinuity
caused by max,
events on
simulation NO!
avoid issue

no gain
IF \leq term ← only expone

this can be
transiently (< 0)
giving heat out!
No relevant for
us! We compute

Thermal machines

Type 1 machines – example: heater, “inverse control-based” model

- Energy balance in the “heater on” case:



$$\text{mass } \cancel{\rho V} \dot{T}_o = w c (T_i - T_o) + P_h \quad \begin{matrix} \text{What} \\ \text{Flowrate comes} \\ \text{IN-OUT} \\ \text{heat power!} \end{matrix}$$

$\cancel{\rho V} \dot{T}_o$ (out*state), $w \cdot T_i$ (in*out)

- This is nonlinear (w times T), but let us assume w controlled (i.e., input) and seldom changed, so as to take it as a parameter and write for the process

$w \approx \text{constant}$
(through proper
control scheme) \downarrow

$$T_o(s) = \frac{1}{1 + s \rho V / w} T_i(s) + \frac{1 / w c}{1 + s \rho V / w} P_h(s).$$

heater always traversed by some flow rate, independently by utilizer draw

$w \approx \text{parameter}$
make model
linear!

- Note that increasing w decreases both the $P_h \rightarrow T_o$ gain $1/wc$ and the process time constant $\rho V / w$ — not surprisingly, the residence time.

With $w \uparrow \Rightarrow \left\{ \begin{matrix} \text{gain} \downarrow (P_h / T_o) \\ \text{residence time} \downarrow \end{matrix} \right.$



$W \approx \text{parameter}$ → model linear:

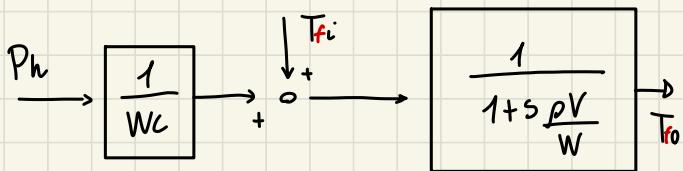
from equation $c\rho V \frac{dT_f}{dt} = WC T_{fi} - CW T_{fo} + P_h$

↓ d

$$(s c\rho V + WC) T_{fo} = WC T_{fi} + P_h$$

$$\left(1 + s \frac{c\rho V}{WC}\right) T_{fo} = T_{fi} + \frac{P_h}{WC}$$

"Residence time"

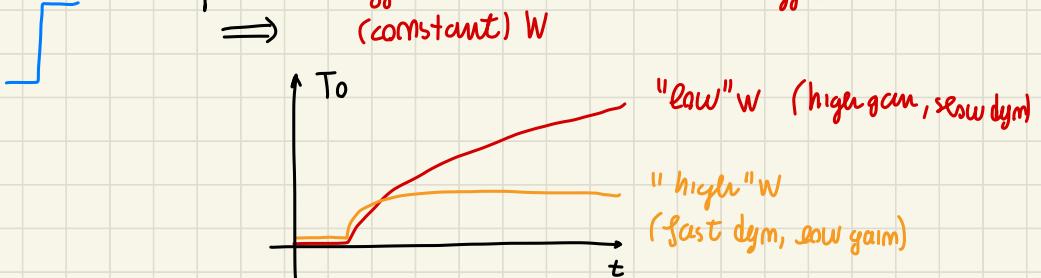


$$\left[\frac{PV}{W} \right] = \left[\frac{\text{kg} \cdot \text{m}^3}{\text{m}^3} \right] = [s]$$

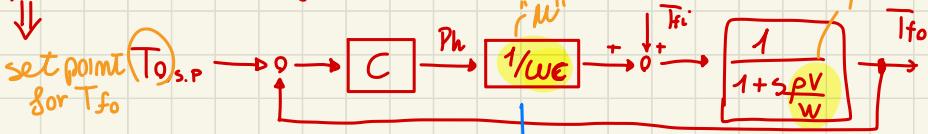
time required
to remove the
mass contained
in heater

same P_h step

produces \Rightarrow different T_{fo} transients for different (constant) W



We want a model that takes as INPUT the T_{fo} set point and computes P_h as command from the embedded controller "T" on next page



1st order syst. → C as (PI) controller

Thermal machines

Type 1 machines – example: heater, “inverse control-based” model

To represent control signal behaviour ... (P_h) we have to introduce controller, to complete the model with “fake” controller

- Up to here, almost objective.
- Now comes the controller, and here we have to make some further assumptions:
 - we take a PI – very reasonable – and assume the integral time equal to the process time constant (it might be a little smaller, we are not discussing this now),
 - while the gain is easy to guess as we know the closed-loop time constant;
 - most important, then, we have to choose an antiwindup mechanism;
 - this is in fact really guessing and we are not deepening the issue here, if not for noticing that in the absence of datasheet information recorded data can help;
 - to exemplify, in the following we use the internal feedback technique.

As we
see on

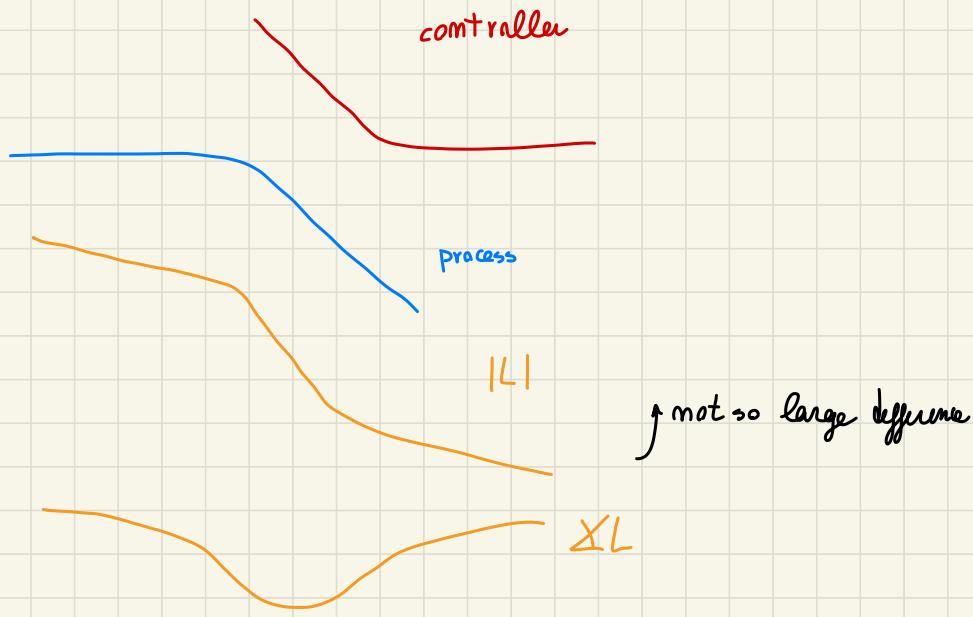
Tuning: strength feedback setting controller zero at higher freq.
than process pole! (no tuning cancellation)

↳ PI through now in charact feed forward
+ dynamic feedback!

for strong
feedback,
smaller
time...

result depend
on antiwindup
to introduce!

tuning...



given a process $P = \frac{\mu}{1+ST}$ $C = K \frac{1+ST}{ST} \Rightarrow L = \frac{K\mu}{ST}, \omega_c = \frac{K\mu}{T}$

Closed-loop time constant: $T/K\mu$

Knowing it...
connected to the gain..

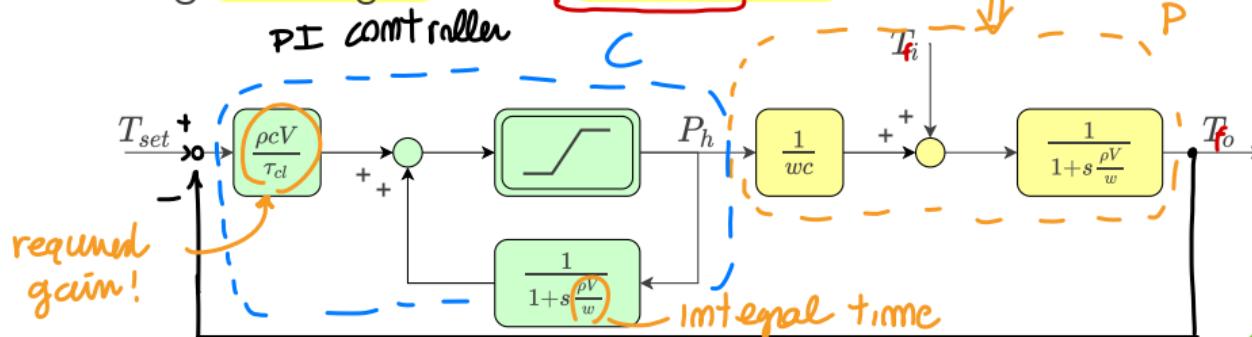
$$\left\{ \begin{array}{l} \mu \approx \frac{1}{\omega_c} \text{ "flow rate"} \\ T \approx \frac{\rho V}{W} \end{array} \right.$$

parameters from datasheet

Thermal machines

Type 1 machines – example: heater, “inverse control-based” model

- Resulting block diagram in the “heater on” case:



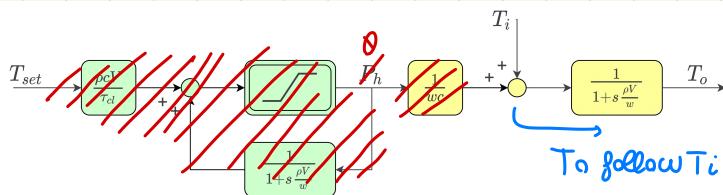
(as found before)
on page previous

- The saturation block has limits 0 and $P_{h,max}$.
- The model – including the “heater off” case – is easy to write in the form of conditional equations: in the “off” case it suffices to set the “fake PI” (green blocks) to tracking at zero output, while the process state is always T_o .

$$L = CP = \frac{pcV}{\tau_{ce}} \frac{1+sPV/W}{sPV/W} \frac{1}{WC} \frac{1}{1+sPV/W} = \frac{1}{s\tau_{ce}}$$



in "heater off" → IF you set controller to tracking mode and put Q on Pn → constraining the output of controller → you kill the feedback and scheme



close / open loop
time constant

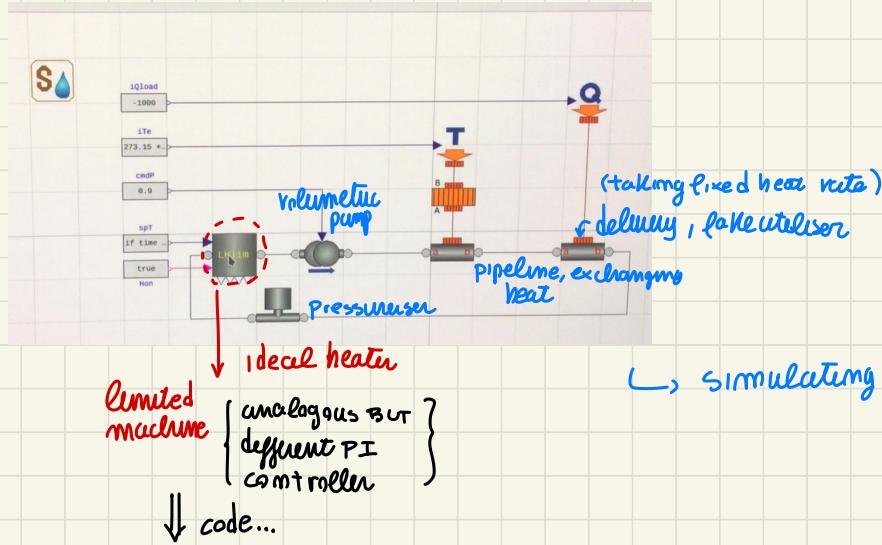
are different because $\begin{cases} \text{open loop } \approx \text{residence time} \\ \text{closed loop: } \tau_{cl} \neq \tau_{oe} \end{cases}$

To follow T_i you can

JUST change the time
constant!

τ comes from physics,
while τ_{cl} from
controller tuning!

looking in Modelica



↪ simulating ... \Rightarrow

```

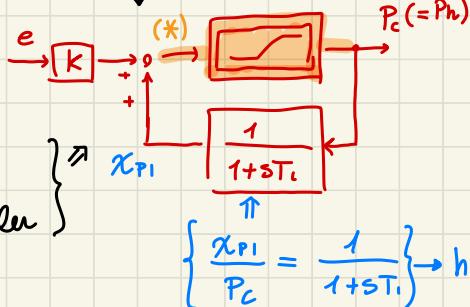
final parameter Real K=ro*V*cp/Tc1 annotation(Evaluate = true);
Real Ti,xpi(start=0);
equation
// no pressure drop
dp = 0;
// enthalpy boundary conditions
hao = cp*Tfo;
hbo = cp*Tfo;
cp*Tfi = hai;
ro*V*cp*der(Tfo) = Pc+cp*(Tfi-Tfo); /* energy balance */
Ti = if w>0 then ro*V/w else Tc1;
if ON then
    {xpi+Ti*der(xpi) = Pc; e: error}
    {Pc = max(0,min(Pmax,xpi+K*(To-Tfo)))} SATURATION
else (OFF)
    der(xpi) = 0;  $\rightarrow x_{pi}=0$  NO JUMPS (*)
    Pc = 0;
end if;
// Power consumption
Pc = der(Ec);
// Temp meas
oTi = Tfi;
oTo = Tfo;
annotation(...);
end ControlledLiquidHeater_lim;

```

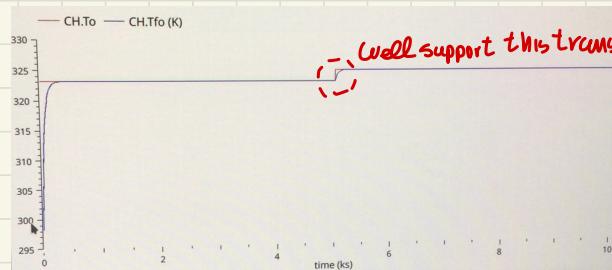
PI with tracking to zero
 ↳ see "limited heated model" in the course libraries
 combustion \approx heating

tracking to zero

$\left\{ \begin{array}{l} \text{this modelica} \\ \text{code correspond} \\ \text{to that PI controller} \end{array} \right\}$

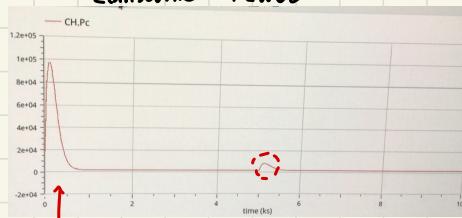


... simulating



initialization

consumed Power



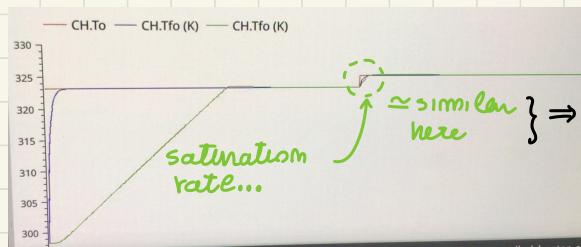
High heat rate pick initially, too much required power for initial pick!

on limited case, modelling

CH_{lim}

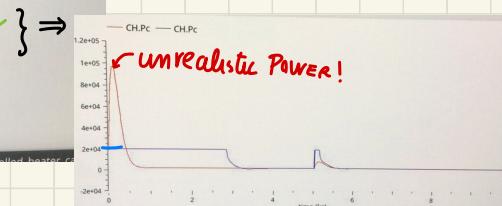
(some scheme)

compacting ideal vs limited



but we can approx

← { different consumption }



← SATURATION limit on real case..

$T_{fold} \approx T_{foreal}$

BUT not respect P_c which are VERY different → energy outcome!

We need a good representation of control

different

energy outcome!

- You can't simplify models too much losing a well representation of the controller signal



how much we could do trusting the "control embedded on the system"

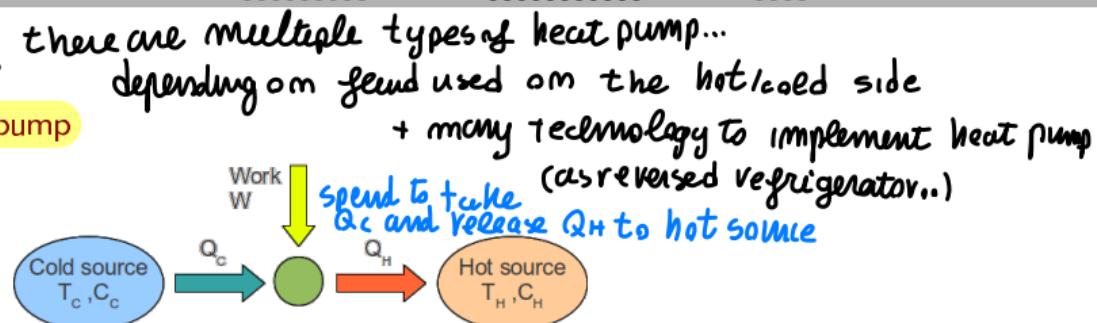
↳ significantly simplify the model → system level
(neglecting some physic aspects) model

@ end we realistically represent
the system in a simple way

Thermal machines

Type 2 machines – example: heat pump

↓ we model
heat pump as:
cold / hot side

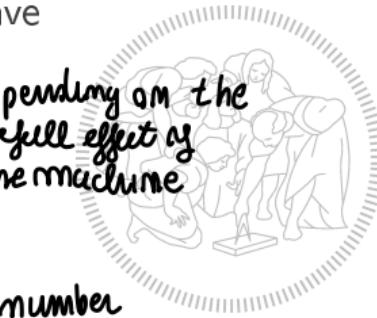


- The steady-state balance is apparently $Q_H = Q_C + W$, where W can come e.g. from a compressor (we do not treat more articulated cases such as absorption cycles).
- Machines like the one here schematised are statically described by the so called *Coefficient Of Performance (COP)*, defined as “useful effect over needed work”. As one may use the machine for heating or cooling, we have

$$\text{COP}_{\text{heat}} = \frac{Q_H}{W}, \quad \text{COP}_{\text{cool}} = \frac{Q_C}{W}$$

where

$$\frac{Q_H}{W} = \frac{Q_C + W}{W} \Rightarrow \underbrace{\text{COP}_{\text{heat}}}_{\text{adimensional number}} = \underbrace{\text{COP}_{\text{cool}} + 1}_{\text{adimensional number}}$$



Thermal machines

"maximum efficiency": reversible transmission,
no entropy generation

Type 2 machines – example: heat pump



- If the machine operates at the maximum theoretical (Carnot) efficiency

$$\text{put it on def..} \quad \left| \frac{Q_H}{T_H} = \frac{Q_C}{T_C} \right. \quad \leftarrow (\text{generating NO entropy})$$

- Thus, theoretical (Carnot) values for COP_{heat} and COP_{cool} can be defined as

$$COP_{heat}^C = \frac{T_H}{T_H - T_C}, \quad COP_{cool}^C = \frac{T_C}{T_H - T_C},$$

where, remember, **absolute** (Kelvin) temperatures are to be used. !!

- To represent real machines efficiencies are introduced, hence
 ↳ manufacturer can OR represent by a RATIO ≈ Gauss
 provide COP curve...
 (as operating T function)

$$COP_{heat} = \eta_h \frac{T_H}{T_H - T_C}, \quad COP_{cool} = \eta_c \frac{T_C}{T_H - T_C},$$

where η_h and η_c , $0 < \eta_{h,c} < 1$, can be considered constant (as we shall do) or be made dependent on temperatures.



Thermal machines

Type 2 machines – example: heat pump

- To obtain a simple (system-level) dynamic model, associate two thermal capacities $C_{H,C}$ to the hot and cold sources (i.e., considering the classical refrigerator cycle as a representative example, to the condenser and the evaporator respectively).
- This, together with the previous COP considerations, yields for the heating case

$$C_H \dot{T}_H = Q_H + Q_{Heh}$$

$$C_C \dot{T}_C = Q_C + Q_{Cec}$$

$$COP_{heat} = \eta_h \frac{T_H}{T_H - T_C}$$

$$Q_H = COP_{heat} W$$

$$Q_H = Q_C + W$$

where Q_{Heh} and Q_{Cec} are the heat rates exchanged by the H and C sides (typically by convection) with the environments to which either of the two is exposed; W is here an input.



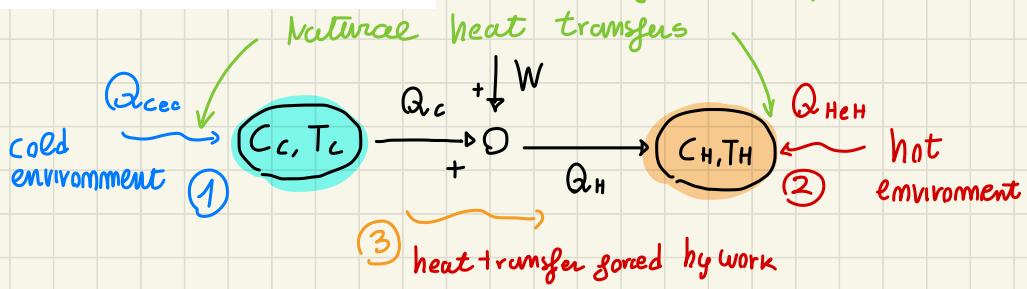
$$C_H \dot{T}_H = Q_H + Q_{HeH}$$

$$C_C \dot{T}_C = -Q_C + Q_{Cec}$$

$$COP_{heat} = \eta_h \frac{T_H}{T_H - T_C}$$

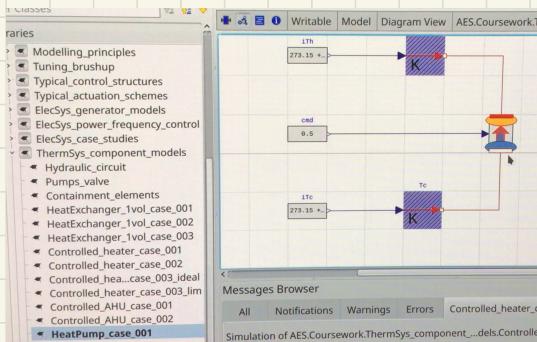
$$Q_H = COP_{heat} W$$

$$Q_H = Q_C + W$$



on Modelica... on "Process components" >> HP

↳ typically you have an upper limit! + ΔT dependent COP!



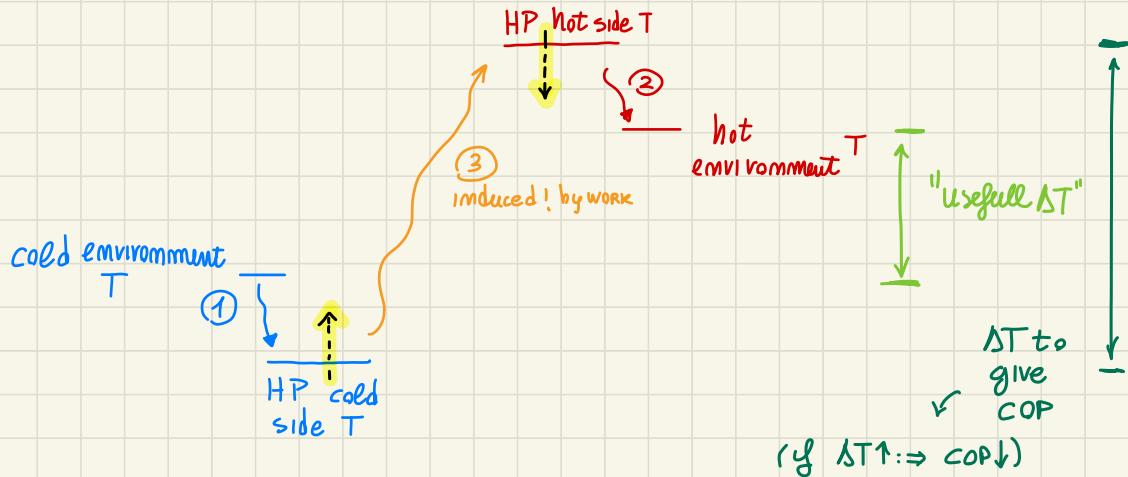
IF air instead of water!

↓
air circulating on an
heat pump dryer

{ heats up air + heat
bring it to condense
water and release.. }

↳ heat pump to
condense heat

so...



one may want to make temperature differences across

① and ② smaller \Rightarrow longer heat exchanges

(design and
control problems)

\Rightarrow longer expenditure

\Rightarrow trade off

Preliminaries

↓ last elements we need to describe..

(piping in thermal systems/ ambient boundaries (walls..) ecc..)

(in the absence of openings) (where flow happen)

- Containment elements (are simple conduction ones.)
- Such elements are possibly (and in fact most frequently) composed of a series of layers of different materials (i.e., with different specific heats and conductivities)...
- ...so that their electric equivalent is a series of RC cells.
- Glazing in buildings entails many particular cases and may require specific modelling (for example, windows often need to account for the thermal power transmitted by radiation), but we do not deal with such details in this course.

{ disturbance generation }
physical details...

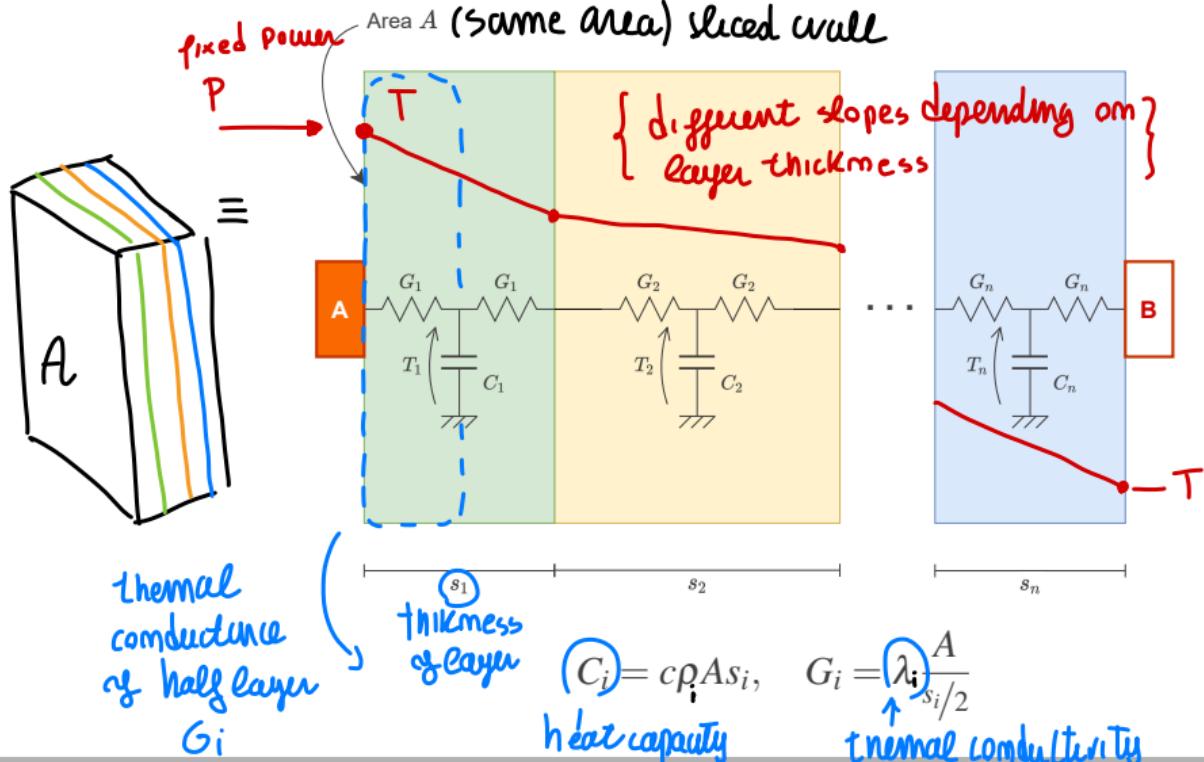


A multilayer wall

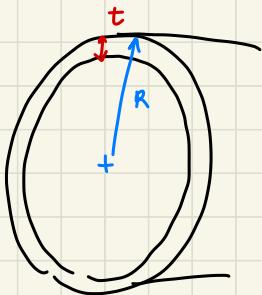
↔ "walls"

— nonhomogeneous case, planar geometry only for simplicity

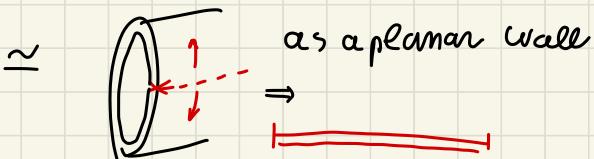
→ represent each layer of contained element as homogeneous solid \approx characterized by λ, S, C, G



OR cylindrical case (\neq planar case)



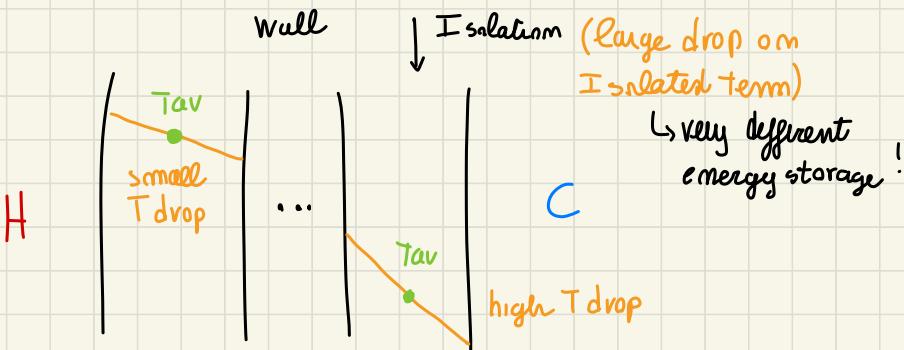
$t \ll R$
↑ negligible



cylindrical \approx planar

- Quantity of energy stored in a layer depends on the heat capacity and on temperature

Statically: insulation side doesn't matter
while dynamically insulation side matter!



average T: for energy stored \Rightarrow [T_{av} representative of energy amount]

An interesting particular case



- A specific case of through-containment heat exchange is air renovation, on which we conversely spend some words.
- Denoting by w_r the renovation air flow rate, and with T_i and T_e the internal and external temperatures, respectively, we have

$$\begin{aligned} Q_{e \rightarrow i} &= w_r c_a T_e \\ Q_{i \rightarrow e} &= w_r c_a T_i \end{aligned}$$

{ heat exchanger
equations.. }

where c_a is the air specific heat.

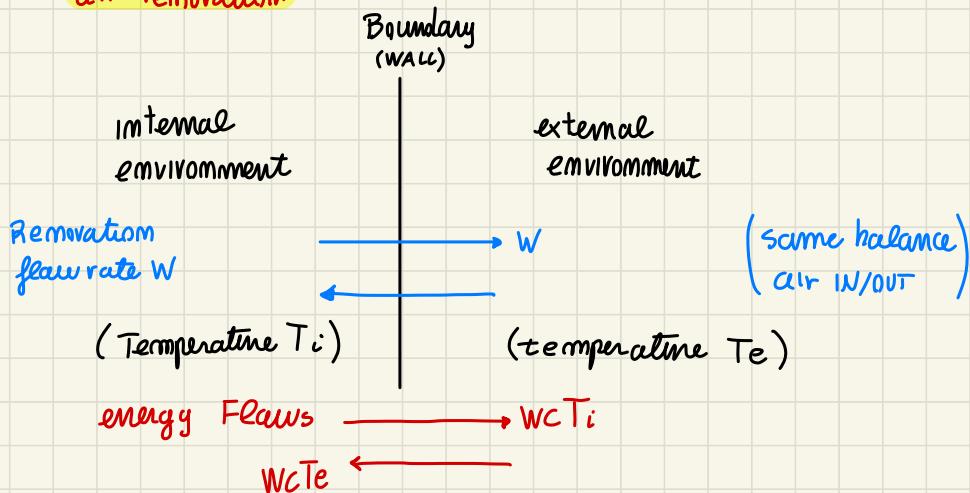
- Summing the above with the due signs, the net $e \rightarrow i$ heat rate is

$$Q_{ei} = G_{ei}(T_e - T_i), \quad G_{ei} = w_r c_a$$

where G_{ei} plays the role of an equivalent thermal conductance, governed by the renovation flowrate.



"air removal"

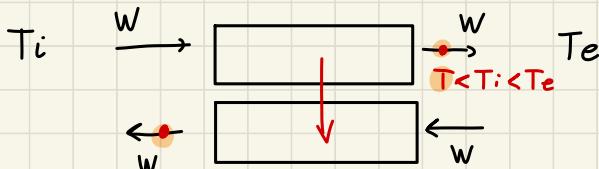


\Rightarrow net Flow ($i \rightarrow e$): $\underbrace{WC}_{G} (T_i - T_e)$ (positive if $i \rightarrow e$)
 equivalent of a conductance
 (tuning by flowrate) \rightarrow "variable resistor"

(without heat recovery..)

If this is NOT acceptable.. one can introduce heat recovery systems in the form of heat exchanges

internal (warm) (heat exch.) external (cold)



$T_e < T < T_i$ recovered heat

↓

Way to recover heat without mixing,
which preserves removal