

Part A

Principles and background



Open Modellica.org → Download
and copy from github (from Terminal)
(>code → copy) than from Terminal get clone (... link...)

→ create the folder "autom. of em syst"

- modellica
 - > Licence
 - > README.md
- .git don't touch!

DON'T touch ".git" file ... "git pull" → to download update
(after »git install) to update

"OME edit" documents for Modellica → Aut of Em syst



package.mo

Libraries → "coursework" → models
[used]

■ Modelling principles

Modelling and control

First-principle *versus* data-based modelling

Balance equations

An explanatory example

Lessons learnt



Preliminary definitions

- **Modulating control:**
 - the controller outputs are **real numbers** (within given intervals);
• the **natural formalism** is **continuous- or discrete-time dynamic systems**.
 - **Logic control:**
 - the controller outputs are **lexical variables** (on/off, forward/stop/backward...);
• the **natural formalism** is **discrete-events dynamic systems**.

*possible saturation to handle
↑ to handle this
↓ control*



Modelling and – or better, *for* – control (in energy systems)

A look at the encountered problems

→ on engineering POV

- Control problems are classically divided into process and motion ones.
 - We shall now
 - first discuss the **system-theoretical** side of the above division,
 - then **point** out that the so introduced viewpoint on a control problem **has consequences**
 - ↳ • on the **characteristics** that a model **has to possess** to be “good for control”,
 - ↳ • on the **way** a control scheme **needs structuring**,
 - ↳ • and on the **rationale** to follow **for tuning the blocks** in that scheme.
 - and finally focus for this part of the course on **models** (the other items above shall be addressed later on).
 - We shall also start introduce simple **examples** with an **explanatory purpose**.
(with equations to run)



Process *versus* motion control

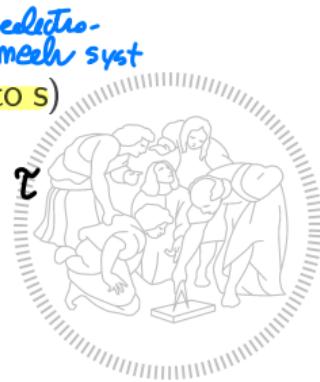
Focus on modulating control — by abstraction from an example

- Process control — typical case, a **chemical plant**:
 - set points are **seldom** – and most often **slowly** – modified (*if ever*)
 - think e.g. of the desired temperature profile on a distillation column;
 - the **main role of control** is to **reject disturbances**, and these can be of **large** and **unpredictable entity**, sometimes up to **exceeding short-term actuator capability**
 - e.g., weather on the distillation column above; \hookrightarrow miss the set point because under actuate
 - in the **long run** if their effect is "**averaged**" (e.g., the quality of a product flow is averaged by filling tanks), \hookrightarrow all mixed \approx averaging (temporary OK) *or days for start-up*
 - or also in the **short run** if this counts (e.g., when even a brief over-temperature may generate spurious species and compromise a reaction); $\hookrightarrow [s], [h] \dots$ on huge reaction you can have $T=2h$
 - hence, **multiple time scales** are almost ubiquitous and span a wide band
 - e.g., seconds or less for flows, hours or days for temperatures;
 - somehow correspondingly, the **control system tends to show a clear hierarchy** and schemes composed of quite numerous blocks
 - e.g., a plant-wide MPC could optimise set points for plant units, where in turn local MPCs determine local set points for further low-level loops or structures, mostly based on simple blocks like PIDs.
(Model Predictive control)

Process versus motion control

Focus on modulating control — by abstraction from an example

- **Motion control** — typical case, a machining center:
 - set points are modified continuously and in general rapidly
 - think e.g. of the desired trajectory and speed of a tool;
 - the main role of control is to track set points, and these are quite often known *a priori*
 - e.g., many operations are repetitive; → known traj to follow
 - disturbances are present, though in general quite well known/predictable, but controllers and actuators must recover as fast as required
 - e.g., variable payloads or material characteristics;
 - there can be multiple time scales, but not much widespread (say ms to s) and in general not so dependent on the particular application
 - e.g., not as heterogeneous as reactor residence times; or generator T
 - the control system hierarchy, as well as the individual schemes, is in general quite simple (not exactly the same for logic control, but details on this would stray from our scope).
 - ↑ complex



Process versus motion control

Focus on logic control



- Process control:

- the system maintains an operating regime thanks to modulating control;
- logic control takes care of startup, shutdown, emergencies, and little else.

dispatching
You can change producer power, (rare)
and slow

- Motion control:

↳ different than maintain a regime

- the system carries out working sequences governed by logic control;
- modulating control is mostly confined within machines to take care of positions, speeds and the like.

↳ most on machine

- Observing that of course the scheme we are discussing is a crude simplification, let us now move the focus to the context of energy systems.

→ Focus!



Focus on energy systems

and on models as a consequence of problems

- In energy systems, “process-type” control problems tend to dominate...
- ...but nonetheless, from the abstracted system-theoretical viewpoint, those systems offer a mix of “process-type” and “motion-type” control problems, ↗ our domine as mix of these
- and most relevant, the balance is changing
 - as the traditional situation of a few large generators versus many small loads (we shall return on this) is becoming less general,
 - and as renewables are moving in with increasing importance;
- problems (thus models) are multi-physics ↗
 - most typically hydraulic, thermal, and electrical (mechanics appears essentially in rotating masses); on same model all together
- distributed-parameter systems, hence Partial Differential Equations (PDEs) appear, but generally in one spatial coordinate
 - e.g., for piping. ↑ seldom 3D model, with complex syst you should use higher DImm models (like on air-condition of a stadium) → 3D case



Preliminary definitions

- When our model:
- First-principle models:
 - rely on physical laws and/or empirical correlations;
 - natively continuous-time, possibly switching
(the latter fact not of particular interest for us in this course);
 - discrete-time version of course possible; (*descretization*)
 - parameters have a direct physical interpretation;
 - can describe objects that do not yet exist; (*without data*) → *future physical obj*
 - can be built with components validated individually prior to being assembled together;
 - the interfaces of the said components being suitable for attributing a physical meaning as well (pins, flanges,...); ← *physical interfaces*
 - may have to be complex if physics call for this.
- ↳ non trivial model

{ built on simulated world }



(VIRTUAL PROTOTYPE)

you can

build simulator of a

future physical obj



Preliminary definitions

- **Data-based models:** ↴
 - rely on **reproducing measured data**; (**HIDA**)
 - hence of course **cannot model the not yet existing**,
 - **inherently discrete-time** as so is data; (**data collected are distinct**)
 - **structure** either “**partially suggested**” by the **phenomenon** to describe (**grey box**)
 - or just chosen as the best to fit the data (**black box**);
 - **parameters have in general no physical meaning**, (**as... of model**)
 - hard to make **modular** unless orientation or **causality** (what is input and what is output) is **decided a priori**,
 - which is not always possible if the same component needs connecting with others in different or even time-varying manners;
 - may be able of “**summarising**” complex physics with **simple structures** (on a per-case basis, however).

↑
No general result

uses data, NOT physics
↑ knowledge

structure
nest feeding
the data



Foreword

We use I principle models

↓ needing equations to build such models

- We need

- mass, energy and momentum equations for thermo-hydraulic networks (for space reasons we only treat incompressible fluids with a single species);
- energy equations for solid bodies such as walls; (room or pipe boundaries)
- (semi)empirical equations for flow/pressure relationships and heat transfer;
- equations for electric networks (phasor-based and reduced to a single phase since this simplification does not hinder explaining the required concepts);
- energy equations for rotating masses (e.g., in alternator-based electric generators);

approx!

we can deal
with steam
some way

multi-phase
Can be
Reduced to
single one

- Of course we deal with dynamic balance equations; \Rightarrow
- we now proceed to a quick illustration by (main) application area, then discuss an example, and finally abstract the lessons learnt.

RECAP of all equations of balance!



Thermo-hydraulic networks

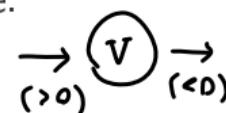
Mass equation



- The **equation** is written with reference to a **control volume**.
- Let **M** be the (incompressible, single species fluid) **mass contained in the volume**.
- Let **w_i , $i = 1 \dots n_m$** , be the n_m **mass flowrates exchanged by that volume with the external environment**, considered **positive if entering** the volume.
- The **equation** then simply reads



$$\frac{dM(t)}{dt} = \sum_{i=1}^{n_m} w_i(t)$$

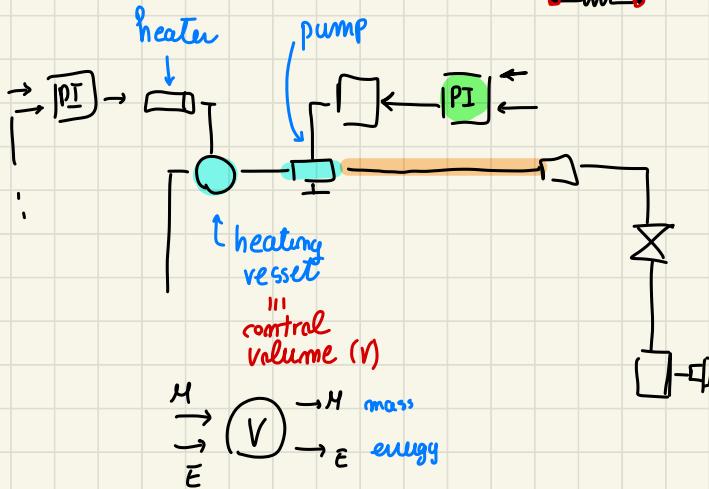


where t is obviously the (continuous) time.



We are assembling:

our models are based on components and physical connections



"for example

A horizontal spring is shown, connected at its left end to a red dot and at its right end to another red dot. The spring is slightly compressed.

together with

blocks with

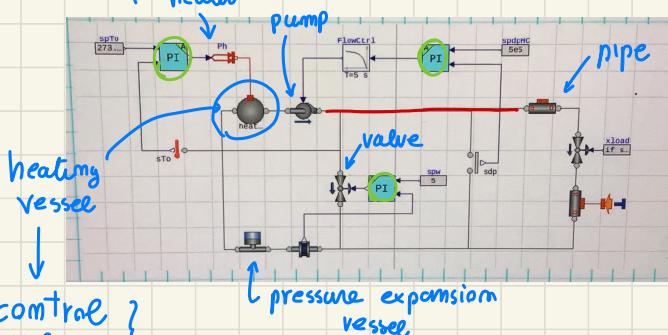
I/O

connections

'for example

PI

↑ model of an heating sub-station
heating



{ control volume }

- physical components

- physical connection
(not I/O)

for example .

1

Mix the two usage

- I/O connections

I/O blocks



Thermo-hydraulic networks

Energy equation

- Also this equation is written with reference to a control volume containing a total energy E and where n_m mass flowrates w_i and n_h heat rates Q_j enter (if positive).
- The time derivative of E is the sum of the heat rates Q_j , not associated to any mass transfer, and of the energy contribution yielded by the mass flowrates.
- The latter contributions are of two coexisting types:

no

mass
transf

①

- (signed) heat transfers inherent to mass transfers, taking the form (fluid brings in energy because it's hot)
 $\text{mass flowrate} \times \text{fluid specific energy} ([\text{kg}/\text{s}] \times [\text{J}/\text{kg}] = [\text{J}/\text{s}] = [\text{W}])$

- and work exerted by the entering fluid on that contained in the volume or vice versa, that in differential and specific form reads

introduce mechanical
work on volume →

$$d\mathcal{L} = d(pv) = d(p/\rho)$$

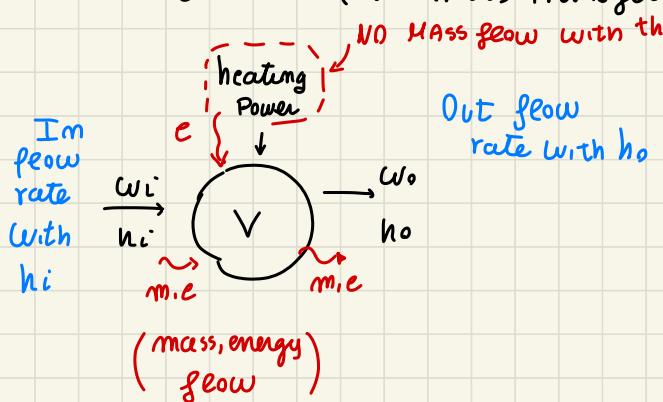
where v is specific volume, ρ is density, and $d\mathcal{L} = \cancel{pdv} + \cancel{vdp}$ – pushing work
 pdv is “compressing work” and vdp “impelling work” (both signed).

pdV : apply pressure, $V \downarrow$ / Vdp : volume and fluid passing requiring pushing, pressure



heating Vessel

(NO mass transfer)



Thermo-hydraulic networks

Energy equation

- Thus, the thermodynamic variable characterising the energy contribution of an entering/exiting mass flowrate to a volume, is the fluid specific enthalpy

$$(enthalpy) \longrightarrow h = e + \frac{p}{\rho}$$

thermal

quantity that the flow rate
 introduce in to the volume
 work and $\leftarrow (W_i \cdot h_i \text{ is what}\right)$
 heat $\leftarrow (\text{is introduced!}\right)$

where e is the specific internal energy.

- The energy equation then takes the form

$$\frac{dE(t)}{dt} = \sum_{i=1}^{n_m} w_i(t) h_i(t) + \sum_{j=1}^{n_h} Q_j(t).$$

heat rates injected or
lost on the volume
towards ext env.
without mass
lost



- For incompressible fluids, at pressures and temperatures of interest

$e \gg p/\rho$ for us, e largely dominates p/ρ ; hence, in these conditions we can approximately take $h \approx e = cT$, where c is the fluid specific heat

(assumed here constant) and T its temperature. (NOT true for ideal gas)
 acceptable! on gas $h \approx c_1 p \cdot T \Leftarrow$

Thermo-hydraulic networks

Energy equation

↑ all same fluid (NO mixture!)

- Furthermore here we only deal with single-species fluids, thereby having always to so... ↓ do with a single specific heat c . No mixing fluid, only one c
- Given all the above, for our purposes the energy equation for fluids is

$$c \frac{dM(t)T(t)}{dt} = c \sum_{i=1}^{n_m} w_i(t)T_i(t) + \sum_{j=1}^{n_h} Q_j(t).$$

where T is the control volume temperature, assumed uniform (hence adopting a finite-volume approach to distributed-parameter systems, though we do not further discuss this matter) and M the fluid mass.

finite volume approach,
assume uniform quantities
in each volume

pipe = seg. of volume



Thermo-hydraulic networks

Energy equation

heating
vessel ~~feel~~ of feed always, than $M \approx \text{const}$
 \Downarrow

- Sometimes the mass is constant, like in a tube section always filled, whence

in general
cases

$$cM \frac{dT(t)}{dt} = c \sum_{i=1}^{n_m} w_i(t) T_i(t) + \sum_{j=1}^{n_h} Q_j(t).$$

- In other cases, such as tanks, this is not true. It is then convenient to expand the derivative on the left hand side, and subtract the mass equation multiplied by cT ; this provides \downarrow (TRICK) from energy equation

subtract

$$\begin{aligned} \left\{ \begin{array}{l} cM(t) \frac{dT(t)}{dt} + \cancel{cT(t) \frac{dM(t)}{dt}} = c \sum_{i=1}^{n_m} w_i(t) T_i(t) + \sum_{j=1}^{n_h} Q_j(t) \\ - \cancel{cT(t) \frac{dM(t)}{dt}} = - cT(t) \sum_{i=1}^{n_m} w_i(t) \end{array} \right. \quad \text{(mass equation) multiplied by } C(T(t)) \end{aligned}$$

$$\Rightarrow cM(t) \frac{dT(t)}{dt} = c \sum_{i=1}^{n_m} w_i(t) [T_i(t) - T(t)] + \sum_{j=1}^{n_h} Q_j(t)$$

that is sometimes called the "net energy" equation.
 composed of one derivative less,
 so simpler to write!

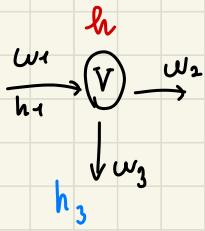
depend on T size

(simpler to)
 solve

flow rate depending on relative T
 if hotter or colder than local fluid



Notice... Flow Reversal can change causality, hence equation structure
 suppose to have a certain Volume



$$\frac{dE}{dt} = \dots w_1 h_1 - w_2 h - w_3 h + \text{other terms}$$

But if w_3 changes sign, it must be becoming negative

$$\frac{dE}{dt} = w_1 h_1 - w_2 h - w_3 h$$

If the flow reverses,
 I'm not giving out
 the internal one but
 an external Input

We must deal
 with flow Reversal!

NET-energy equation

$$CM(t) \frac{dT(t)}{dt} = C \sum_{i=1}^{m_m} w_i(t) (T_i(t) - T(t)) + \sum_{j=1}^{m_h} Q_j(t)$$

Thermo-hydraulic networks

Momentum equation ("quantità di moto")

- This equation is used primarily for modelling tubes (valves are a somehow analogous case briefly treated later on).
- Consider a tube (element) and write that the time derivative of the fluid momentum is the sum of the forces acting on it, that is,
 - pressure forces at the two ends,
 - gravity force,
 - and friction force on the lateral surface,all projected onto the tube abscissa x \Rightarrow
- In fact, other components do not act on the fluid motion on the prevailing dimension x and merely result in constraint reaction forces, not relevant for the energy-related aspects on which we focus.



Thermo-hydraulic networks

Momentum equation

- To keep complexity at a level compatible with the course, consider a tube with uniform section A (and recall that we only deal with **incompressible fluids**).

- This **yields**

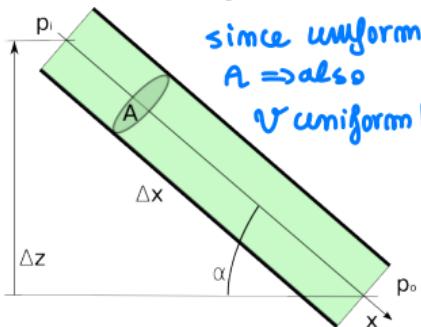
$\hookrightarrow \{ \text{mom. derivative} \} \Rightarrow$
uniform section A ,
incompressible fluid!

constant!

$$M \frac{du(t)}{dt} = A p_i(t) - A p_o(t) + M g \sin(\alpha) - f_a(t)$$

@ inlet
pressure, area

since uniform
 $A \Rightarrow$ also
 v uniform!



$$W = \rho A u \llcorner \text{ velocity (uniform)}$$

if $A \downarrow$, $u \uparrow$ to
preserve W

flowrate \uparrow since incompressible fluid: Flow
(uniform) Rate is spatially uniform (NO STORAGE)

at pressure output \hookrightarrow no storage, all flow

$$\text{GRAVITY force}$$

$$\sum \text{Forces} = d(\text{momentum})/dt$$

$f_a(t)$ friction forces

- Note that $\sin(\alpha) = \Delta z / \Delta x$, Δz being the initial altitude minus the final one

- while the **friction force** f_a , always **model of fluid interaction**
contrasting motion, is

\hookrightarrow

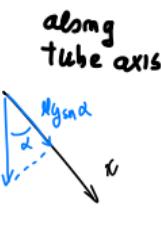
$$f_a = K_f A_\ell \rho |u| u$$

{ simple correlation }

where A_ℓ is the lateral surface.

opposite to velocity direction, proportional to u^2

friction coeff depending on material (tabulated)
physical parameters!



H_y

along
tube axis

H_z



Thermo-hydraulic networks

Momentum equation

- The quantity K_f is called *friction coefficient*, depends on the fluid/wall contact characteristics, and is tabulated for most cases of interest based on experiments and empirical correlations.
- The equation obviously contains an inertia term, that in our models can however be omitted. → *omitted*
- This is possible because hydraulic phenomena are much faster than thermal ones, which are our main subject. $\text{hydraulic} \approx \text{sound speed} \ll \text{thermal} \approx \text{fluid speed}$ (few m/s)
- In other words, since thermal variables (such as temperatures) propagate at the fluid speed while hydraulic ones (such as pressures and flowrates) propagate at the speed of sound in the fluid, we can safely assume that for our purposes “hydraulics is always at steady state”. $\frac{d}{dt} t \approx 0 \rightarrow$ momentum derivative on time almost 0
- Thus, we can write the momentum equation as the algebraic one

$$\left\{ \begin{array}{l} \text{Steady state} \\ \end{array} \right. A(p_i(t) - p_o(t)) + Mg \frac{\Delta z}{\Delta x} - K_f A \rho u(t) |u(t)| = 0.$$



Thermo-hydraulic networks

Momentum equation

- Summing up, denoting by A the tube (uniform) section, by L its length, by ω its internal perimeter, simplifying the notation a bit and recalling that $w = \rho A u$, we have



$$A(p_i - p_o) + \rho A L g \frac{\Delta z}{L} - K_f \omega L \rho u |u| = 0$$

$$p_i - p_o = K_f \frac{\omega L}{\rho A^3} w |w| - \rho g \Delta z.$$

*no flow reversal
r usually on energy syst*

- In addition, if the tube is installed in such a way that w has always the same sign, taken positive when going from the higher- to the lower-pressure end, we can write

(simplify)
model ↳

$$p_i - p_o = K_f \frac{\omega L}{\rho A^3} w^2 - \rho g \Delta z,$$

K_T

that we shall often further summarise as

$$p_i - p_o = \frac{K_T}{\rho} w^2 - \rho g \Delta z.$$

{ equation used }
↳



Thermo-hydraulic networks

Momentum equation

- The momentum equation can also represent the typical valve behaviour.
 - This means neglecting more than one phenomenon, that however is of interest only for sizing, or operating conditions not advised for "good" plant management—i.e., not of interest here.
- ⇒ • From our point of view, consider a valve like a variable-section short tube installed so that the flow does not reverse. Thus, take

small valve,
irrelevant elevation
↑

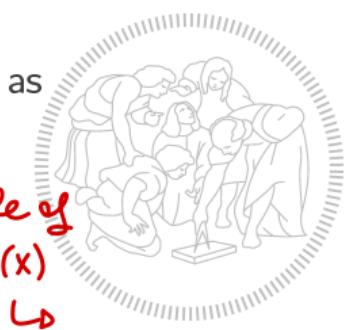
$$p_i - p_o = \frac{K_T}{\rho} w^2 - \rho g \Delta z,$$

set $\Delta z = 0$ (short component, hardly any gravity effect) and rewrite as

$$w = C_v \Phi(x) \sqrt{\rho(p_i - p_o)}$$

where C_v is the flow coefficient, $x \in [0, 1]$ the command, and $\Phi(x)$, $\Phi(0) = 0$, $\Phi(1) = 1$ the opening or intrinsic characteristic.

role of
 $\Phi(x)$

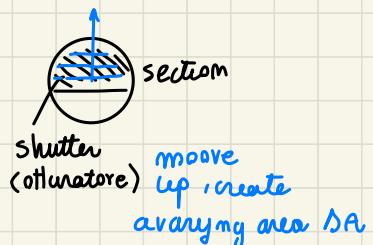
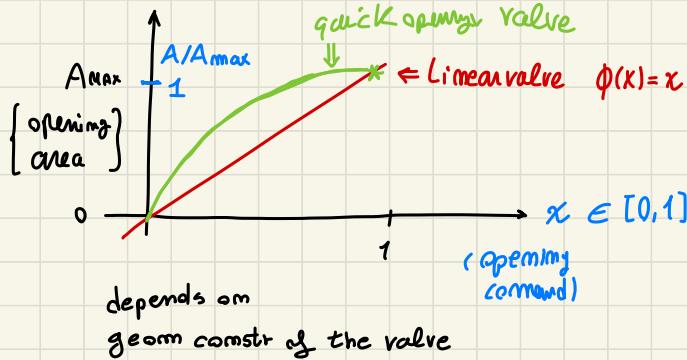


$\phi(x)$ Rule:

[a dimensional]

$$\phi(x) \quad \left\{ \begin{array}{l} \phi(0) = 0 \\ \phi(1) = 1 \end{array} \right.$$

+ other $\phi(x)$ characteristics



Solid bodies

Energy equation



$C \approx \text{uniform}$

- In this case we naturally **neglect any volume effect**, and **consider the specific heat spatially uniform** (**non-homogeneous walls** will be treated with **at least one equation per material layer**). *wall as seq of layers uniform*
- Since there is **no mass transfer**, the equation simply reads

$$cM \frac{dT(t)}{dt} = \sum_{j=1}^{n_h} Q_j(t).$$

where symbols have the same meaning as in the fluid case, and M is of course constant.



Heat transfer equations

Foreword



- These are algebraic equations, as they describe no storage.
- We need to model
 - conduction within solids (and sometimes fluids),
 - convective heat transfer between a solid and a fluid,
 - and radiation.
- In all cases we shall adopt simplified concentrated-parameter descriptions right from the beginning.



Heat transfer equations

Conduction

- We shall only use a simplified planar descriptions, as more detailed ones would stray from our scope.
- The heat rate from the a to the b side of a solid layer is

$$Q_{ab} = G(T_a(t) - T_b(t))$$

where T_a and T_b are the side temperatures.

- The thermal conductance G is

$$G = \lambda \frac{A}{s}$$

where λ is the material's thermal conductivity, A the layer surface, and s its thickness. of material



Heat transfer equations

Convection

- The convective heat rate from a solid wall (subscript w) to a fluid (subscript f) is

$$Q_{wf} = \gamma A(T_w(t) - T_f(t))$$

related to γ

where T_w and T_f are the wall and a fluid "bulk" temperature, while A is the contact surface.

↳ because usually there are layers of exchange effect, no mode

- The thermal exchange coefficient γ can be considered constant (as we shall almost always do) or made dependent on the fluid and motion conditions, typically with relationships involving the Reynolds (for forced convection) or Grashof (for natural one), Nusselt and Prandtl numbers.



Heat transfer equations

Convection

- A common, somehow intermediate refinement is to have γ just depend on the fluid velocity tangent to the wall. ↴
- To this end, taking a reference heat exchange coefficient value γ_0 as corresponding to a reference velocity u_0 , a widely used relationship is

$$\gamma(t) = \gamma_0 \left(\frac{u(t)}{u_0} \right)^{0.8}$$

where $u(t)$ is the fluid velocity.



Heat transfer equations

Radiation

→ different phenomenon

{ for convection/conduction I need a counter part body
exchanging heat, same contact → radiation is different,
just depends on Temp)

- At a simplified level, the radiative heat transfer from a body a to a body b depends on the difference of their absolute (Kelvin) temperatures to the fourth power, i.e.,

(BOLTZMANN theory, of high T
you speed up photons!)

↳ RADIATIONS

$$Q_{ab} = K (T_a^4 - T_b^4).$$

tables of emission typical
← situation
empirically

- The radiative heat transfer coefficient K depends on several things, including the bodies' emissivity and their view factors.

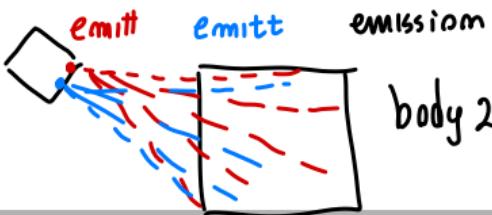
- However in this course the only relevant case will be that of solar radiation, that can be very naturally viewed as a prescribed power

flux $[W/m^2]$. $\approx K (T_{\text{sun}}^4 - T_{\text{body}}^4)$

(practically impressed)

$T_{\text{body}} \ll T_{\text{sun}}$

body 1



Electric networks

Foreword



- In this course we shall deal essentially with AC power networks.
- The matter is vast, and simplifications are introduced so as to transmit the necessary concept with the minimum complexity sufficient to explain them.
- In detail (and somehow anticipating) we shall assume
 - a single-frequency synchronous network (quite reasonable if frequency is well controlled, and we do not have the time to deal with the connected “stability” problems), (*automation layer*) \rightarrow phasor
 - all generators described by a constant voltage behind their internal reactance,
 - linear behaviour of transmission lines, (*impedance*)
 - no transformers (we only spend some words on reactive power control) as doing so significantly reduces computations,
 - a one-phase (or equivalently, a balanced multiphase) system.
- We shall thus adopt a phasor-based modelling approach.



Electric networks

Phasors

- Any quantity varying (co)sinusoidally with constant frequency ω can be represented as

$$\hookrightarrow A \cos(\omega t + \theta) = \Re(A e^{j(\omega t + \theta)}) = \Re(A e^{j\theta} e^{j\omega t})$$

where j is the imaginary unit, $e^{j\omega t}$ yields time dependence, and the phasor $A e^{j\theta}$ magnitude and phase with respect to a convenient reference.

- This allows for a phasor arithmetic to handle AC networks with frequency “hidden”...
- ...in the term $e^{j\omega t}$ and in the value of frequency-dependent impedances.
- Recall – for the last time – that phasor analysis is for synchronous networks with constant frequency (whence its alternative name as “static analysis”).



Electric networks

Basic equations (essentially to agree notation...)

- Ohm's law $\underline{V} = \underline{Z}\underline{I}$ or $\underline{I} = \underline{Y}\underline{V}$, where $\underline{V}, \underline{I}$ are voltage and current (phasors) and $\underline{Z}, \underline{Y}$ the complex impedance and admittance, respectively (underline indicates complex numbers). We shall typically express \underline{Z} as $R + jX$ ($R, X \geq 0$), and \underline{Y} as $G - jB$ ($G \geq 0$, and mind the minus to have $B \geq 0$), where R, X, G, B are respectively called resistance [Ω], reactance [Ω], conductance [S], and susceptance [S].
- Kirchoff's laws (nothing to say here).
- Power (* denotes the complex conjugate):
$$\begin{cases} \text{- complex} & \underline{S} = V_{RMS} I_{RMS}^* = P + jQ = A e^{j\phi} \\ \text{- apparent} & A = |\underline{S}| = V_{RMS} I_{RMS} = V_{max} I_{max} / 2 \quad [\text{VA}], \leftarrow \text{magnitude of complex power} \\ \text{- active} & P = \Re(\underline{S}) = V_{RMS} I_{RMS} \cos \phi \quad [W], \\ \text{- reactive} & Q = \Im(\underline{S}) = V_{RMS} I_{RMS} \sin \phi \quad [\text{VAR}], \\ & \cos \phi \quad \text{power factor.} \end{cases}$$

(do not confuse it!)

For a sinusoidal signal F , $F_{RMS} = F_{max} / \sqrt{2}$.



Energy equation for rotating masses

(it was the only energy storage)

- Many electric generators contain rotating masses, like turbine and alternator rotors.
- Their angular velocity affects the generated frequency (to be controlled).
- The energy equation states that the time derivative of the kinetic energy equals the algebraic sum of powers, i.e.,

$$\boxed{\frac{d}{dt} \left(\frac{1}{2} J \omega_r^2 \right) = P_m - P_e}$$

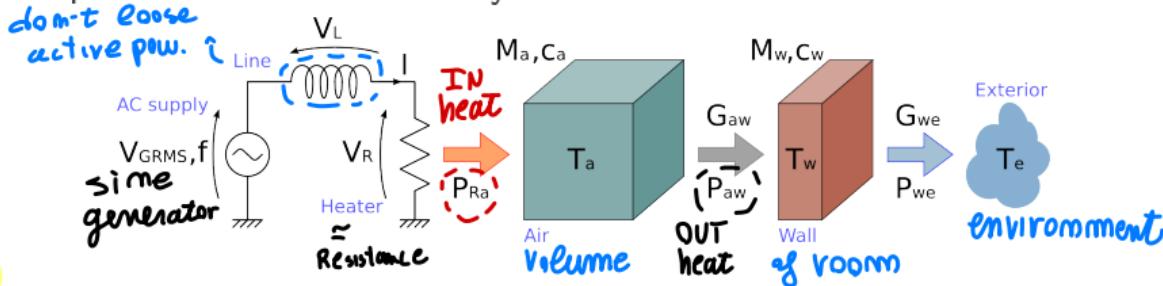
where J is the inertia, ω_r the angular velocity, P_m the mechanical power applied to the shaft (positive if entering the considered machine) and P_e the active electric power (positive if generated).



Our first multi-physics model

Example on MODELLICA

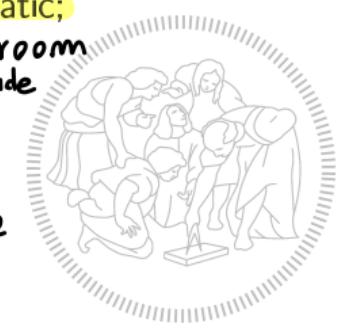
- Crude simplification of an electrically heated room:



- Data:

- (SI)
(UNIT)
- room dimensions $4m \times 4m \times 3m$ height;
 - only one side wall (30cm thick) exchanges heat, the others are adiabatic;
 - no openings, external temperature $5^\circ C$;
 - no heater losses (all of its power is released to air);
 - air density $1.1 kg/m^3$, specific heat $1020 J/kg^\circ C$;
 - wall density $2000 kg/m^3$, specific heat $800 J/kg^\circ C$; \approx concrete
 - air-wall heat exchange coefficient $10 W/m^2 \cdot ^\circ C$;
 - wall-exterior heat exchange coefficient $4 W/m^2 \cdot ^\circ C$; \leftarrow convection more efficient inside
 - AC supply voltage $220 V RMS$, $f = 50 Hz$, $R = 50 \Omega$, $L = 10 mH$.

\hookrightarrow same T room
on other side



model defined on Modelica

on modelling Libraries → course works → M1-Time Domain
M2-Phasor domain

on "Text view"

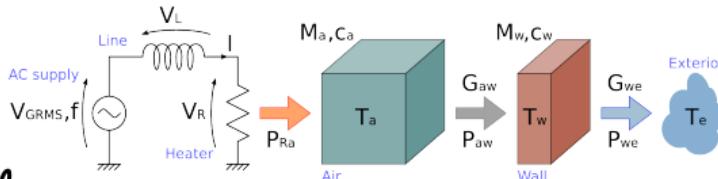
The screenshot shows the OMEdit interface with the following details:

- File menu:** File, Edit, View, SPC, Simulation, Data Reconciliation, Sensitivity Optimization, Debug, Tools, Help.
- Toolbars:** Standard toolbar with icons for file operations, zoom, and selection.
- Libraries Browser:** A tree view of available libraries:
 - AES
 - Icons
 - Model
 - ProcessComponents
 - ProcessBlocks
 - Courses
 - Coursework
 - DOMIntro
 - Modelling_principles
 - M1_TimeDomain
 - M2_PhaseDomain
 - Tuning_brushup
 - Power_systems
 - Typical_actuation_schemes
 - ElectSys_generator_models
 - ElectSys_power_frequency_control
 - ElectSys_studies
 - ThermSys_component_models
 - ThermSys_control_problems
 - ThermSys_studies
 - ThermSys_Generation
 - HP_test_case_001
 - HP_heater_case_003
 - Heater_control_case_004
 - HP_heater_DairyChain_case_001
 - Membrane_Control_Case_001
 - Membrane_Control_Case_002
- Text View:** The main area displays Modelica code for a model named M1_TimeDomain.

```
1 within AES.Coursework.Modelling_principles;
2
3 model M1_TimeDomain
4   extends Modelica.Blocks.MathematicalModel;
5   parameter Real w = 3.28 * 50;
6   parameter Real R = 50;
7   parameter Real VDCM = 220;
8   parameter Real VDCR = 220;
9   parameter Real Cm = 1600;
10  parameter Real Lm = 0.001 * 3 * 1.1;
11  parameter Real Qm = 4 * 3 * 0.3 * 2000;
12  parameter Real Qm = 4 * 3 * 0.3 * 2000;
13
14  parameter Real Qm = 4 * 3 * 4;
15  Real Vt, Vb;
16  Real Pm, Pm, Pm, Te;
17  Real Pm, Pm, Pm, Te;
18  Real Twstart = 10;
19
20  Vt = VDCM * sqrt(2) * sin(w * time);
21  Vb = VDCR * sqrt(2) * sin((w + 1.5) * time);
22  Vm = Vt + Vb;
23  Vm = R * I;
24  Vm = R * I;
25
26  error("Expected the package to have within AES.Coursework.ThermSys_case_studies.Heat_network, but got within AES.Coursework.ThermSys_case_studies.Heat_network (ignoring the potential error: the class might have been inserted at an unexpected location).");
```
- Messages Browser:** Shows a single warning message: "Expected the package to have within AES.Coursework.ThermSys_case_studies.Heat_network, but got within AES.Coursework.ThermSys_case_studies.Heat_network (ignoring the potential error: the class might have been inserted at an unexpected location)."
- Bottom status bar:** Ln:1, Col:0, Welcome, Modeling, Plotting, Help, 1-15.

First model (M1)

with the electric part described in the time domain



Time domain descr.

$$V_G(t) = V_{GRMS} \sqrt{2} \sin(2\pi ft)$$

$$V_R(t) = RI(t)$$

$$V_L(t) = LdI(t)/dt$$

$$V_R(t) + V_L(t) = V_G(t)$$

$$P(t) = V_R(t)I(t)$$

$$Q(t) = V_L(t)I(t)$$

$$P_{Ra}(t) = P(t)$$

$$M_a c_a dT_a(t)/dt = P_{Ra}(t) - P_{aw}(t)$$

$$M_w c_w dT_w(t)/dt = P_{aw}(t) - P_{we}(t)$$

$$P_{aw}(t) = \chi_{aw} A_w (T_a(t) - T_w(t))$$

$$P_{we}(t) = \chi_{we} A_w (T_w(t) - T_e(t))$$

$$T_e(t) =$$

Source generator

Heater resistor **Voltage**

Line inductor **Voltage**

KVL (**VOLT LAW**)

Active power **formula**

Reactive power (addendum)

All active power to air

Air energy balance

Wall energy balance

Air-wall heat transfer

Wall-exterior heat transfer

Exogenous temperature

EQ UATIONS , NOT alghoritms, random order

electrical part provides
Boundary condition between
cir - elect. part
of syst

} air
room eq

Note the partition into **electric** and **thermal** equations; each has its **boundary conditions**,
and one such **condition** is presented by the former to the latter set.



Second model (M2)

with the electric part described in the phasor domain

- First let us compute P with Maxima by issuing the commands

```
IRMS : VGRMS/(R+%i*w*L);  
S     : VGRMS*conjugate(IRMS);  
P     : realpart(S);
```

- This yields

$$P = \frac{RV_{GRMS}^2}{R^2 + (\omega L)^2},$$

where obviously $\omega = 2\pi f$, hence M2 is

$P(t) = RV_{GRMS}^2 / (R^2 + (\omega L)^2)$	Active power
$M_a c_a \dot{T}_a(t) = P_{Ra}(t) - P_{aw}(t)$	Air energy balance
$M_w c_w \dot{T}_w(t) = P_{aw}(t) - P_{we}(t)$	Wall energy balance
$P_{Ra}(t) = P(t)$	All active power to air
$P_{aw}(t) = G_{aw} A_w (T_a(t) - T_w(t))$	Air-wall heat transfer
$P_{we}(t) = G_{we} A_w (T_w(t) - T_e(t))$	Wall-exterior heat transfer
$T_e(t)$	Exogenous temperature



Note: from now on we shall often use a dot to indicate time derivatives.

Time scales for the thermal part

↓ from phasor domain

- Compute the transfer function $G(s)$ from P_{Ra} to T_a : (linear relation)

```
Paw      : Gaw*(Ta-Tw);  
Pwe      : Gwe*(Tw-Te);  
se1      : Ma*ca*Tadot = PRa-Paw;  
se2      : Mw*cw*Twdot = Paw-Pwe;  
solxdot  : solve([se1,se2],[Tadot,Twdot])[1];  
solTadot : rhs(solxdot[1]);  
solTwdot : rhs(solxdot[2]);  
A         : jacobian([solTadot,solTwdot],[Ta,Tw]);  
B         : jacobian([solTadot,solTwdot],[PRa,Te]);  
Tmat     : ratsimp(invert(s*ident(2)-A).B);  
G         : Tmat[1,1];
```

} paste this commands on Maxima, it compute the TF

- Result:

$$G(s) := \frac{T_a(s)}{P_{Ra}(s)} = \frac{c_w M_w s + G_{we} + G_{aw}}{c_a c_w M_a M_w s^2 + (c_w G_{aw} M_w + c_a (G_{we} + G_{aw}) M_a) s + G_{aw} G_{we}}$$



simulate system by Modellica
(with different model kind) \rightarrow [different times required for
complete simulation]

The screenshot shows the Maxima software interface. The menu bar includes File, Edit, View, Cell, Maxima, Equations, Matrix, Calculus, Simplify, List, Plot, Numeric, Help. The toolbar has various icons for file operations. The input field contains Modelica code:

```
Paw : Gaw·(Ta-Tw);  
Pwe : Gwe·(Tw-Te);  
se1 : Ma·ca·Tadot = PRa-Paw;  
se2 : Mw·cw·Twdot = Paw-Pwe;  
solxdot : solve([se1,se2],[Tadot,Twdot])[1];  
solTadot : rhs(solxdot[1]); solTwdot : rhs(solxdot[2]);  
A : jacobian([solTadot,solTwdot],[Ta,Tw]);  
B : jacobian([solTadot,solTwdot],[PRa,Te]); } Jacobian Matrix A,B  
Tmat : ratsimp(invert(s.ident(2)-A).B);  
G : Tmat[1,1];  $\leftarrow$  T.F that I need on my relation  
(%o1) Gaw ( Ta - Tw )  
(%o2) Gwe ( Tw - Te )  
(%o3) Ma Tadot ca = PRa - Gaw ( Ta - Tw )
```

Handwritten annotations include "model pasted on Maxima" next to the input, "": definition of relation" next to the Jacobian assignment, "Jacobiam Matrix A,B" next to the Jacobian matrix calculation, " \leftarrow T.F that I need on my relation" next to the Tmat assignment, and "output of computation" next to the final equation (%o3).

model pasted
on Maxima

"": definition of relation

Jacobiam Matrix A,B

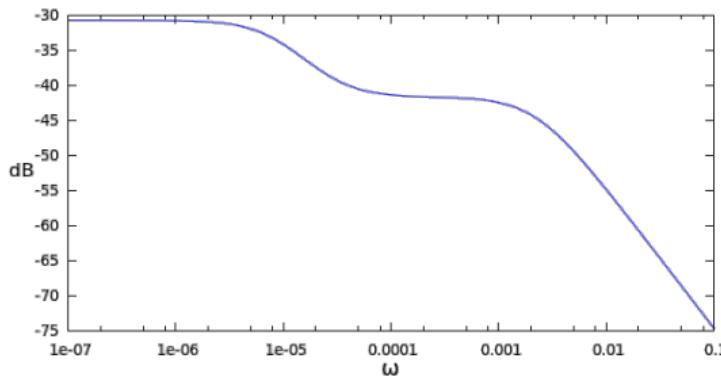
output of computation

Time scales for the thermal part

- Put numbers in and plot the Bode magnitude diagram:

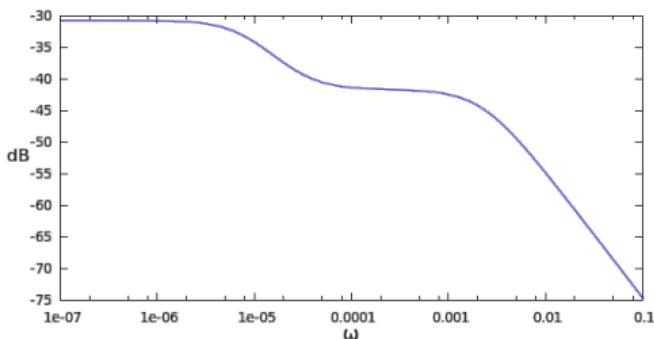
```
load("bode");
Gnum(s) := ev(subst([Ma=4*4*3*1.1,ca=1020,Gaw=4*3*10,
Mw=4*3*0.3*2000,cw=800,Gwe=4*3*4],G));
bode_gain(Gnum(s),[w,1e-7,1e-1]);
```

- Result:



Time scales for the thermal part

- Interpretation:



Two time scales:

- one ('fast') relative to the energy storage in the air – order of magnitude, 1000 s ,
- the other ("slow") relative to wall storage – order of magnitude, some 10^5 s , i.e., some days.

- Hence, electric phenomena (time scale dominated by $L/R = 0.2\text{ ms}$) are about 10^5 times faster than the faster thermal phenomena, which are in turn about 10^4 times faster than the slower thermal ones.



Modelica implementation of M1 and M2

```

model M1
  parameter Real w=6.28*50;
  parameter Real R=50;
  parameter Real L=0.01;
  parameter Real VGRMS=220;
  Real VG,VL,VR;
  Real I(start=0),P,Q;
  parameter Real Ma=4*4*3*1.1;
  parameter Real ca=1020;
  parameter Real Gaw=4*3*10;
  parameter Real Mw=4*3*0.3*2000;
  parameter Real cw=800;
  parameter Real Gwe=4*3*4;
  Real PRa,Paw,Pwe,Te;
  Real Ta(start=10);
  Real Tw(start=10);

equation
  VG      = VGRMS*sqrt(2)*sin(w*time);
  VG      = VL+VR;
  VR      = R*I;
  VL      = L*der(I);
  P       = VR*I;
  Q       = VL*I;
  Ma*ca*der(Ta) = PRa-Paw;
  Mw*cw*der(Tw) = Paw-Pwe;
  PRa     = P;
  Paw    = Gaw*(Ta-Tw);
  Pwe    = Gwe*(Tw-Te);
  Te     = 5;
end M1;

```

```

model M2
  parameter Real w=6.28*50;
  parameter Real R=50;
  parameter Real L=0.01;
  parameter Real VGRMS=220;
  parameter Real Ma=4*4*3*1.1;
  parameter Real ca=1020;
  parameter Real Gaw=4*3*10;
  parameter Real Mw=4*3*0.3*2000;
  parameter Real cw=800;
  parameter Real Gwe=4*3*4;
  Real P,PRa,Paw,Pwe,Te;
  Real Ta(start=10);
  Real Tw(start=10);

equation
  P      = R*VGRMS^2/(R^2+w^2*L^2);
  Ma*ca*der(Ta) = PRa-Paw;
  Mw*cw*der(Tw) = Paw-Pwe;
  PRa     = P;
  Paw    = Gaw*(Ta-Tw);
  Pwe    = Gwe*(Tw-Te);
  Te     = 5;
end M2;

```



```

1 within AES.Coursework.Modelling_principles;
2
3 model M1_TimeDomain
4 extends Icons.CourseworkModel;
5
6 parameter Real w = 0.28 * 50;
7 parameter Real R = 0.005;
8 parameter Real L = 0.01;
9 parameter Real VGRMS = 220;
10 parameter Real x_start = -1.1;
11 parameter Real ca = 1028;
12 parameter Real Gav = 4 * 3 * 10;
13 parameter Real Gbv = 4 * 3 * 8.3 * 2000;
14 parameter Real cw = 898;
15 parameter Real Gdw = 4 * 3 * 4;
16 Real PRA, PAw, Pwv, Te;
17 Real Ta(start = 0);
18 Real Twstart = 10;
19 Real Twend = 10;

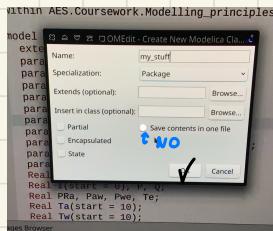
```

Modelleca

To create a new model

File > New > New modellica class

then save it
from here on
a certain folder...



and package.mo created on my-stuff folder

↳ here on my-stuff > create > new modellica class

created on that directory

"Model"

starting point

(between row 3,4 :)

→ parameter Real $a = -1$;
parameter Real $b = 0.5$;
parameter Real $x_{start} = 1$;

Real $x (start = x_{start}, fixed = true)$;

Real u ;

equation

$der(x) = a * x + b * u$;

$u = \begin{cases} 0 & \text{if time} < 1 \text{ then } 0 \text{ else } 1 + 0.5 \sin(\text{time}) \end{cases}$

```

1 within my_stuff;
2
3 model my_1st_model
4
5 equation
6 end my_1st_model;

```

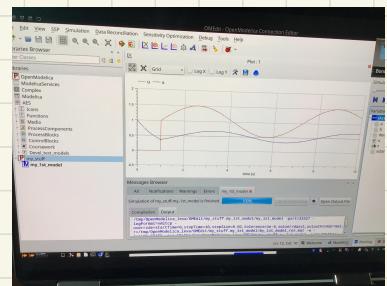
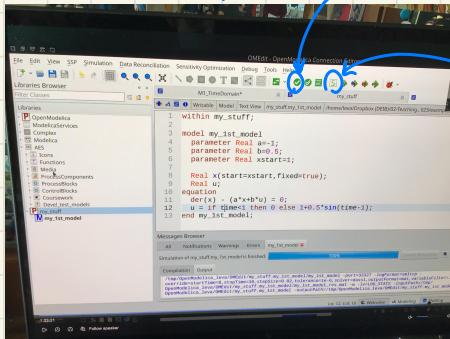
then save > check model

and simulate

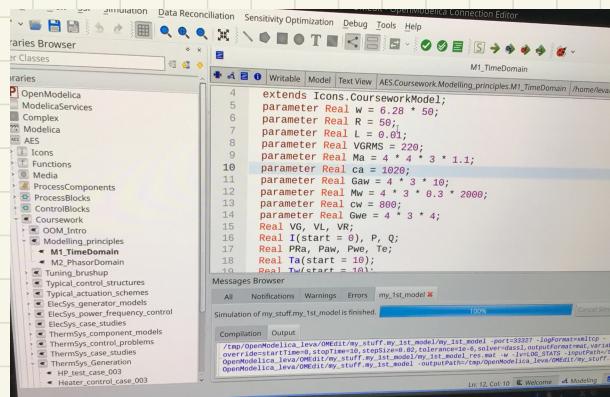
select the seconds of simulation
for u, x on a plot

example check model

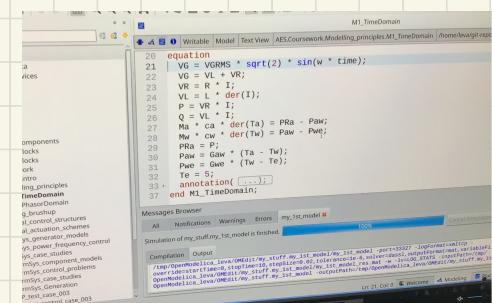
simulation



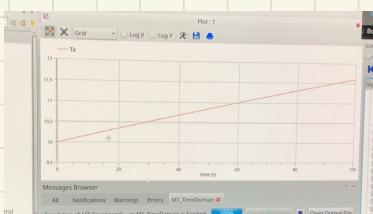
IN OUR MODEL



some equations as
written on paper... ↴



simulating 100s
showing only $Ta(t)$

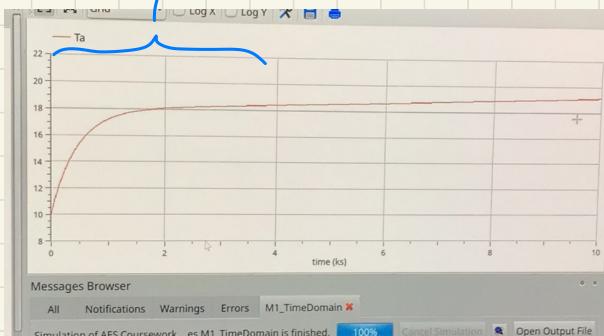


→ it took

0.651735 s in total
for simulate

of simulating 10K seconds

+ transient



- Using instead phasor domain model

```
9 parameter Real Ma = 4 * 4 * 3 * 1.1;
10 parameter Real ca = 1028;
11 parameter Real Gaw = 4 * 3 * 10;
12 parameter Real Mw = 4 * 3 * 0.3 * 2000;
13 parameter Real cw = 866;
14 parameter Real Pmax = 4 * 3 * 4;
15 Real P, PRA, Paw, Pw, Te;
16 Real Ta(start = 10);
17 Real Tw(start = 10);
18 annotation(...);
19 equation
20 P = ... * VGRMS^2 / (R^2 + w^2 + L^2);
21 Mw * ca * der(Ta) = PRA - Paw;
22 Mw * cw * der(Tw) = Paw - Pw;
23 PRA = P;
24 Paw = Gaw * (Ta - Tw);
25 Pw = Gwe * (Tw - Te);
26 Te = 5;
27 annotation(...);
end M2_PhasorDomain;
```

From previous
domain in time

I also simulate
the sim waves $i(t)$
Useless signal!

here I don't compute
 $i(t)$ current signal

instead simulating here 10ks its faster!
with exactly same result
Tuned complexity of model

different
speed simulation

(FASTER simulation)

Simulation of M1 and M2

for comparison (OpenModelica 1.17.0 on Ubuntu 18.04, i7-3520M CPU @2.90GHz, 16GB RAM)

- CPU time for simulating two hours (DASSL variable-step solver):

Model M1 11.79 s

Model M2 3.95 ms

- Average errors on air temperature and heating power:

$$\bar{e}_{Ta} = \frac{1}{7200} \int_0^{7200} (T_{a,M1}(t) - T_{a,M2}(t)) dt = 2.5 \cdot 10^{-4} {}^\circ C$$

$$\bar{e}_{P_{Ra}} = \frac{1}{7200} \int_0^{7200} (P_{Ra,M1}(t) - P_{Ra,M2}(t)) dt = 2.8 \cdot 10^{-2} W$$

- With an average power of approximately 1 kW, *from the thermal viewpoint* the two models are practically equivalent; however,
thanks to not representing the electric time scale in detail, model M2 simulates almost 3000 times faster than M1.



Model analysis for control

fast relation, phasor approach, instant reaction, electrical part has \approx ms τ

+ through electrical part the reaction time constant as $\tau = L/R$ is [ms] for Temp

With phasor approach you assume instantaneous reaction of power

- The relationship between heater command (control signal) and heater power is very fast, hence from the thermal viewpoint algebraic.
- The transfer function from heater command to air temperature (controlled variable) can be written as



$$G(s) = \mu \frac{1 + s\tau_z}{(1 + s\tau_1)(1 + s\tau_2)}.$$

different τ

- Let us see a less extreme example of spread dynamics than the room case, namely $\mu = 1, \tau_1 = 100, \tau_2 = 1, \tau_z = 10.$
- Question: which is the "best" 1st order approximation in this case for setting up a controller?

$\underbrace{\text{data suppose} \Rightarrow}_{\text{model } (*)}$



Model analysis for control

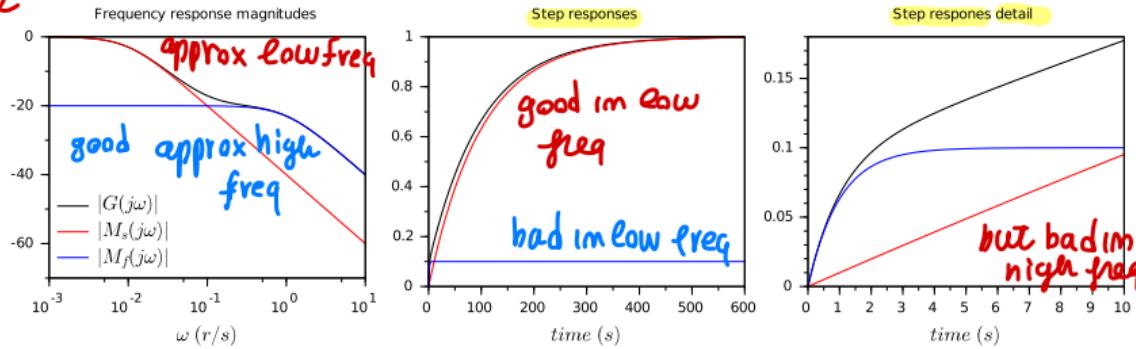
- Answer: it depends on which dynamics you want to control (in the room case, air or walls).
- Consider two 1st order models – $M_s(s)$ and $M_f(s)$ to name them – focusing on the slow and the fast dynamics respectively in $G(s)$, i.e.,

(*)

$$M_s(s) = \frac{1}{1+100s}, \quad M_f(s) = \frac{0.1}{1+s}.$$

- Observe the Bode diagrams and the step responses of $G(s)$, $M_s(s)$ and $M_f(s)$:

Thermal
part



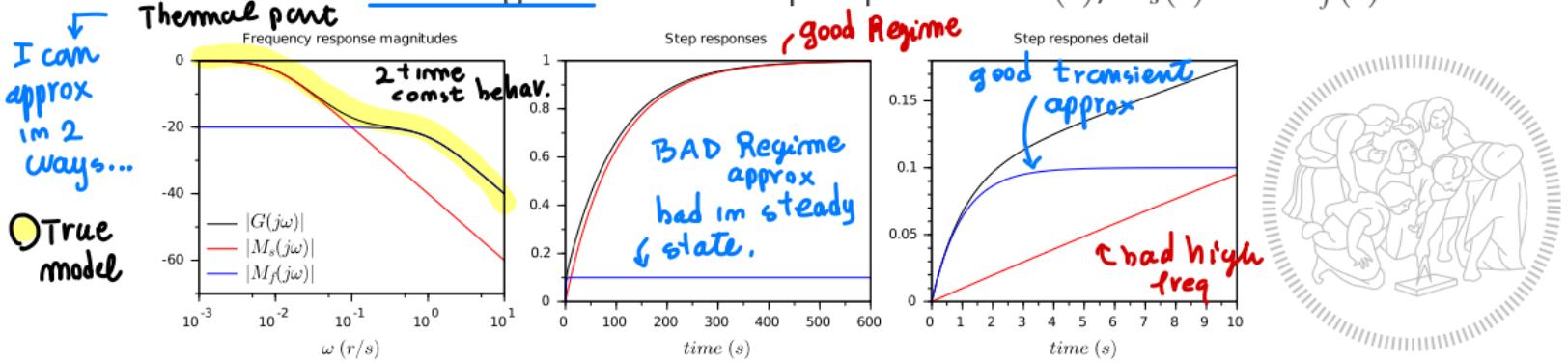
Model analysis for control

- Answer: it depends on which dynamics you want to control (in the room case, air or walls).
- Consider two 1st order models – $M_s(s)$ and $M_f(s)$ to name them – focusing on the slow and the fast dynamics respectively in $G(s)$, i.e.,

[elect part too fast that can be neglected]

$$M_s(s) = \frac{1}{1+100s}, \quad M_f(s) = \frac{0.1}{1+s}.$$

- Observe the Bode diagrams and the step responses of $G(s)$, $M_s(s)$ and $M_f(s)$:



The controller is focused on

dynamic ?

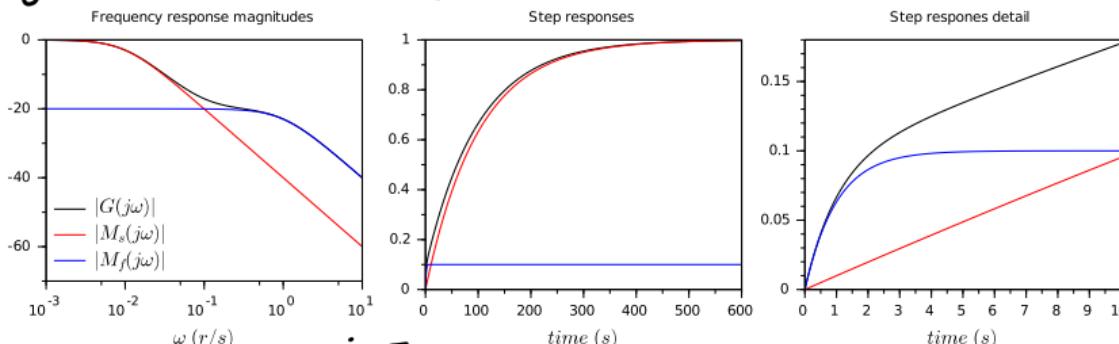


Model analysis for control

the model to use depends on

controller focus → air/walls dynamic?

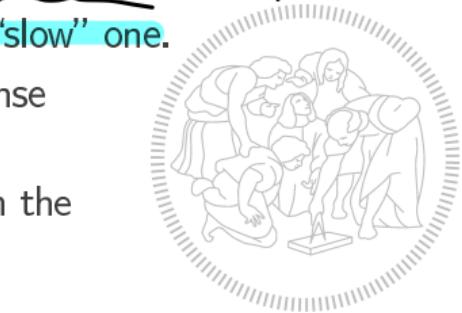
← depending on interested dynamic
I choose which model to use
bad open loop approx



air Temp.

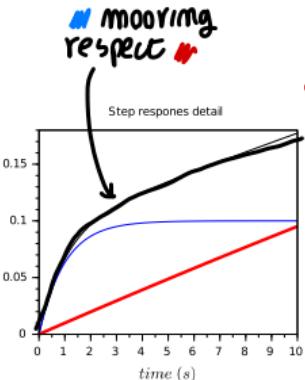
wall Temp.

- Interpretation: a “fast” state variable moving relatively to a “slow” one.
- Model $M_f(s)$ is apparently unfit to reproduce the step response of $G(s)$...
- ...but what if you want a controller for a critical frequency in the vicinity of the fast dynamics?



Model analysis for control

its a I ORD response with slowly moving base line
 $(\text{red} \approx \text{constant})$
 tune controller on red dynamics

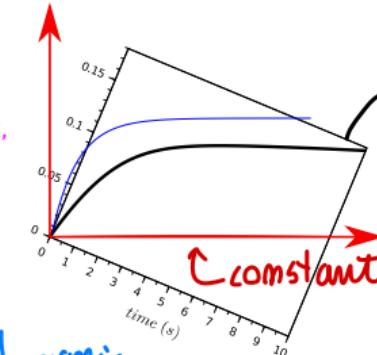


tune controller on blue dynamic

suppose to rotate red in
 and copy blue

{transform}
 Preference

Rotate red & black,
 copy blue



its like the
 blue mooring
 Respect red
 one

Constant practically



- Further interpretation: at the required time scale I have to govern how T_a moves w.r.t. T_w — at that scale, T_w is not moving.
- In this respect, what does the figure above INTUITIVELY suggest?
- Exercise: set up a controller for $G(s)$ aiming at a closed-loop settling time around 10s, using the two approximated models, and comment.
- We shall come back to this matter when discussing tuning policies.

Lessons learnt

NOT electrical ones

if I'm interested on Thermal



- Models must represent the phenomena of interest, and be efficient.
- Models must catch the control-relevant dynamics and time scales.
- Consequences:
 - one has invariably to use sets of models (and data), or said otherwise, Model and Data Bases; → to deal with complex design
 - one must keep such knowledge bases consistent — research topic here, volunteers? 😊
 - no control engineering culture without modelling culture.

