





Wavefront aligner Accelerating Pairwise Alignment

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1. Pairwise alignment



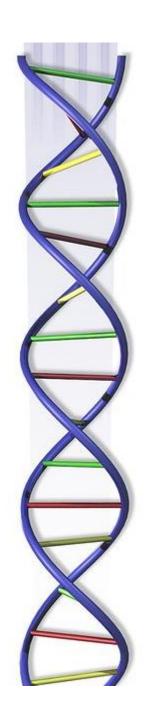
1. Pairwise alignment

- In sequence comparison, pairwise alignment compares two sequences
 - 1) Determine its similarity (i.e. distance or **score**).
 - 2) Compute the alignment between both.
 - How to convert one sequence into the other applying a series of error events or alignment operations that minimize a given cost function or distance function

Applications

- Computational biology (e.g. genome biology, protein comparisons, evolutionary studies)
- Information Retrieval (e.g. diff linux tool, wikipedia, git)
- Signal processing and sound recognition (e.g. shazam)
- Others: Image compression, pattern recognition, writing recognition, ...





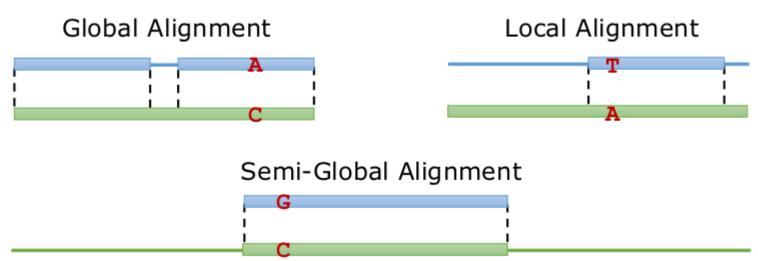
1. Distance metrics

- Some distance metrics are most suitable to model each application problem
 - Mismatch Distance (aka Hamming distance or Manhattan distance)
 - Edit Distance (aka Levenshtein distance)
 - Linear-Gap Distance (Needleman-Wunch and Smith-Waterman algorithms)
 - Affine-Gap Distance (Smith-Waterman-Gotoh algorithm)
- Different distance metrics involve different alignment operations.
 - Mismatch distance = {mismatches}
 - Levenshtein distance = {mismatches,insertions,deletions}
 - Episodic distance = {insertions}
 - Indel distance (LCS distance) = {insertions,deletions}
 - Damerau—Levenshtein distance = {mismatches,insertions,deletions,transpositions}



1. Alignment type

- Depending on the application, different types of alignment can be used.
 - Global alignment. Aligns both sequences end-to-end.
 - **Local alignment**. Locates a region that aligns between both sequences with highest similarity (i.e. highest score).
 - **Semi-global alignment**. Mostly applied to sequences that are very different in length (e.g. sequence against a genome). Allows **free-end alignment** of the largest sequence.





1. Edit distance

- Optimization problem:
 - Compute the minimum number of operations (match, substitution, insertion, and deletion) that transforms one sequence into another.
 - Each edit operation (substitution, single insertion, or single deletion) has unitary cost.

```
pattern → GATTACA

| | | |

text → GAAT A
```

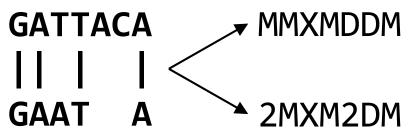
```
def edit distance(pattern,text):
    if len(pattern) == 0:
        # Insert all remaining chars
        return len(text)
    elif len(text) == 0:
        # Delete all remaining chars
        return len(pattern)
    else:
        # Find score for match/subtitution
        if pattern[0] == text[0]:
            m_cost = edit_distance(pattern[1:],text[1:])
        else:
            m cost = edit distance(pattern[1:],text[1:]) + 1
        # Find score for insertion
        i_cost = edit_distance(pattern[:],text[1:]) + 1
        # Find score for deletion
        d_cost = edit_distance(pattern[1:],text[:]) + 1
        # Find the minimum combination
        return min(m_cost,i_cost,d_cost)
distance = edit distance("GATTACA", "GAATA")
```



1. Edit alignment

- Compute the actual set of operations (match, substitution, insertion, and deletion) that minimizes distance/cost function.
 - Multiple solutions possible
 - Algorithms finds the "first-best"

CIGAR



```
def edit distance(pattern,text):
    if len(pattern) == 0:
        return (len(text),["I"] * Len(text))
    elif len(text) == 0:
        return (len(pattern),["D"] * Len(pattern))
    else:
        (m_cost,m_cigar) = edit_distance(pattern[1:],text[1:])
        (i cost,i cigar) = edit distance(pattern[:],text[1:])
        (d cost,d cigar) = edit distance(pattern[1:],text[:])
        minimum = min(m cost,i cost,d cost)
        if minimum == m cost:
            if (pattern[0] == text[0]):
                return (m_cost,["M"] + m_cigar)
            else:
                return (m cost+1,["X"] + m cigar)
        elif minimum == i cost:
            return (i cost+1,["I"] + i cigar)
        else:
            return (d_cost+1,["D"] + d_cigar)
distance = edit distance("GATTACA", "GAATA")
```



1. Repeated calls

- Previous algorithm was based on **exploring the whole space of solutions** (alignments), in order to find the one(s) with minimum cost ~ O(3ⁿ)
 - There are NOT so many combinations
 - Recursive calls repeat combinations

```
edit_distance('CA', '')
edit_distance('ACA', '')
edit_distance('A', '')
edit_distance('CA', '')
edit_distance('', '')
edit_distance('A', '')
edit_distance('A', 'A')
edit_distance('A', 'A')
edit_distance('CA', 'A')
edit_distance('ACA', 'A')
edit_distance('ACA', 'A')
edit_distance('ACA', 'A')
```



```
Total Calls
          Parameters
           ('A', '')
  1970
  1666
          ('', 'A')
           ('A', 'A')
  1289
           ('', '')
  1289
           ('CA', '')
  1002
           ('CA', 'A')
   681
           ('', 'TA')
   462
           ('ACA', '')
   450
           ('A', 'TA')
   377
   321
           ('ACA', 'A')
   231
           ('CA', 'TA')
   170
           ('TACA', '')
           ('TACA', 'A')
   129
   129
           ('ACA', 'TA')
```



1. Memoization

- Use a memorization table (e.g. Hash Table) to store the partial results from computing the edit distance of suffixes.
 - Stored at the end
 - Checked at the beginning

```
def edit distance(pattern,text,calls):
   # Lookup call
    key = pattern+":"+text
   if key in calls:
        return calls[key]
   # Regular algorithm
   if len(pattern) == 0:
        return len(text)
   elif len(text) == 0:
        return len(pattern)
   else:
        if pattern[0] == text[0]:
            m_cost = edit_distance(pattern[1:],text[1:])
        else:
            m cost = edit distance(pattern[1:],text[1:]) + 1
        i cost = edit distance(pattern[:],text[1:]) + 1
        d_cost = edit_distance(pattern[1:],text[:]) + 1
        minimum = min(m cost,i cost,d cost)
        # Store call
        calls[pattern+":"+text] = minimum
        # Return
        return minimum
```



1. Dynamic programming

 Observe that recursive calls are just computing the edit distance between suffixes of the pattern and suffixes of the text. Therefore we can define:

 $\delta_{\rm e}(i,j)$ -> edit distance between the suffix pattern[i:] and text[j:] $\delta_{\rm e}({\rm len(pattern),len(text)})$ -> global alignment distance

- There are only n x m combinations
 - Can be conveniently stored in a n x m matrix (i.e. Dynamic Programming Table)

$$\delta_e(i,j) = \begin{cases} \max(i,j) & \text{if } i = 0 \lor j = 0 \\ \min \begin{cases} \delta_e(i-1,j) + 1 \\ \delta_e(i,j-1) + 1 \\ \delta_e(i-1,j-1) + (v_i \neq w_j) \end{cases} & \text{otherwise} \end{cases}$$

		G	Α	Α	Т	Α
	0	1	2	3	4	5
G	1	0	1	2	3	4
A	2	1	0	1	2	3
T	3	2	1	1	1	2
T	4	3	2	2	1	2
A	5	4	3	2	2	1
C	6	5	4	3	3	2
Α	7	6	5	4	4	3



1. Dynamic programming

- Each cell (i,j) encodes the edit distance between the suffix pattern[0,i-1] and text[0,j-1]
- First row and column contain the initial conditions
 - Row -> Cost of deleting leading text
 - Column-> Cost of deleting leading pattern
- Semi-global alignment (ends-free alignment) sets the first row to zero to allow staring the alignment at any point in the text.

edit_distance('GATT', 'GAA') = $\delta_e(4,3)$

		G	Α	A	Т	Α
	0	1	2	3	4	5
G	1	0	1	2	3	4
A	2	1	0	1	2	3
T	3	2	1	1	1	2
T	4	<u></u>	2	2	1	2
Α	5	4	3	2	2	1
O	6	5	4	3	3	2
Α	7	6	5	4	4	3



edit_distance('GATTACA', 'GAATA') = $\delta_e(7,5)$

1. DP-Table computation

edit_distance('GAT', 'GAA') = $\delta_e(3,3)$

edit_distance('GAT', 'GA') = $\delta_e(3,2)$

edit_distance('GATT', 'GA') =
$$\delta_e(4,3)$$

• Each DP-cell depends on other 3 cells. E.g.

$$\delta_{e}(4,3) \leftarrow \{\delta_{e}(4,3), \delta_{e}(3,3), \delta_{e}(3,2)\}$$

• The DP-table is usually computed row-wise or column-wise, from the left top corner to the right bottom corner (i.e. solution).

		(3	A	١	A		Т	Α
	0	-	L	2	2	1	3	4	5
G	1								
Α	2								
Т	3								
Т	4					7	7		
Α	5								
A C A	6								
A	7	1	7	1	7				

		G	Α	Α	Т	Α
	0	1	2	3	4	5
G	1				T	
Α	2				T	
Т	3	_	T			
Т	4					
Α	5					
С	6					
Α	7					

						/
(4,	3)	G	Α	Α	T /	A
	0	1	2	3	4	5
G	7	0	1	2	3	4
Α	2	\1	0/	1	2	3
Т	3	2	1	1	1	2
T	4	3(2	2	1	2
Α	5	4	3) N	2	1
C	6	5	4	3	3	2
Α	7	6	5	4	4	3



edit_distance('GATT', 'GAA') = $\delta_e(4,3)$

1. DP-Table computation

edit_distance('GAT', 'GAA') = $\delta_e(3,3)$

edit_distance('GAT', 'GA') = $\delta_e(3,2)$

edit_distance('GATT', 'GA') =
$$\delta_e(4,3)$$

• Each DP-cell depends on other 3 cells. E.g.

$$\delta_{e}(4,3) \leftarrow \{\delta_{e}(4,3), \delta_{e}(3,3), \delta_{e}(3,2)\}$$

• The DP-table can be computed **column-wise, row-wise** or **antidiagonal-wise**. From the left top corner to the right bottom corner (i.e. solution).

		(3	P	١	/	١	Т	Α
	0		1	N	2	(1)	3	4	5
G	1								
Α	2								
Т	3								
Т	4						7		
Α	5								
A C A	6								
Α	7	1	7		7				

		G	Α	Α	Т	Α
	0	1	2	3	4	5
G	1	l			T	
Α	2	_			\rightarrow	
Т	3		T			
Т	4					
Α	5					
С	6					
Α	7					

		G	Α	Α	Т	Α
	0	1	2	3	4	5
Ð	1		•	•	•	
Α	2	•				
Т	3	•				
⊣	4	•				
Α	5					
A C	6					
Α	7					

						,
(4,	3)	G	Α	Α	T	A
	0	1	2	3	4	5
G	7	0	1	2	3	4
Α	2	1	0/	1	2	3
Т	3	2	1	1	1	2
Т	4	3(2	2)1	2
Α	5	4	3	2	2	1
С	6	5	4	3	3	2
Α	7	6	5	4	4	3



1. DP-Table Backtrace

- Backtrace traces back the path with minimum cost that lead to the solution (i.e. minimum distance).
 - Linear cost, from the bottom right corner to the upper left corner.
 - Check which of the adjacent cells brought us to that cell
 - Quite a branchy code



		G	Α	Α	Т	Α
	0	1	2	3	4	5
G	1	0	1	2	3	4
Α	2	1	0	1	2	3
Т	3	2	1	1	1	2
Т	4	3	2	2	1	2
Α	5	4	3	2	2	1
С	6	5	4	3	3	2
Α	7	6	5	4	4	3

CIGAR MMXMDDM



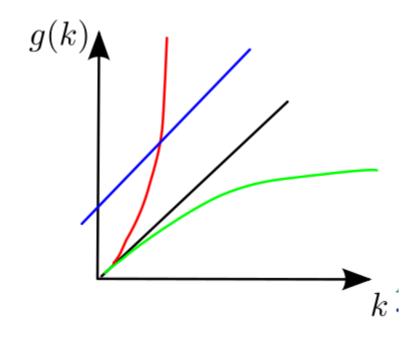
2. Gap-lineal and gap-affine alignment



2. Gap-affine and gap-lineal alignment

- Instead of unitary cost, associate arbitrary penalties to each alignment operation
 - For example: (M,X,I,D) = (-1,5,4,2)
- Gaps are different in nature given a fixed number of gaps, a "small number of long gaps" is biologically likelier than a "big number of small gaps"
 - Gap lineal.
 - Gap affine.

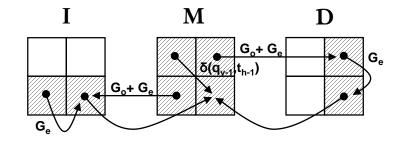
$$g(k) = \alpha + \beta k \Rightarrow$$
 affine, very common $g(k) = \alpha + \beta k^2$
 $g(k) = \alpha + \beta \ln(k)b$
 $f(k) = \alpha + \beta$

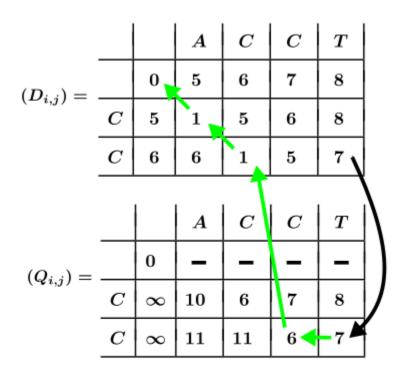




2. Gap-affine and gap-lineal alignment

- Large misunderstanding on what each algorithm does
 - Needlman-Wunch: Global alignment with general penalty cost (gap-lineal)
 - Smith-Waterman: Local alignment with general penalty cost (gap-lineal)
 - Smith-Waterman-Gotoh: Local alignment with general penalty cost (gap-affine)



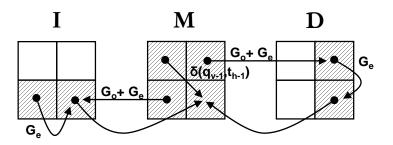


$(P_{i,j})=$			\boldsymbol{A}	C	C	T
		0	8	8	8	∞
	C	1	10	11	12	13
	C	1	6	10	11	13



2. Gap-affine and gap-lineal alignment

- In the end, current SWG (and related) implementations are modified depending on the application context
- In most cases, global and semi-global alignment are implemented with a myriad of heuristics (e.g. banded, cut-offs, drop-offs)
 - It is more efficient to locate regions with high similarity and then apply semi-global or global alignment





3. Wavefront-edit algorithm



- Diagonals are monotonically increasing
 - For a given cell, if the characters of the text are matching, there is no better outcome as non increasing the value wrt the diagonal value.
 - No need to know (or compute) the vertical/horizontal cells

Diagonal Extension

- Extending the furthest reaching cells on each diagonal until no match is found
- Each diagonal is independent from each other
- Character comparisons can be done in chunks

		G	Α	Α	Т	Α
	0	1	2	3	4	5
G	1	0	1	2	3	4
Α	2	1	0	1	2	3
Т	3	2	1	1	1	2
Т	4	3	2	2	1	2
Α	5	4	3	2	2	1
С	6	5	4	3	3	2
Α	7	6	5	4	4	3



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	0	1	2	3	4	5
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Α	2					
T	3					
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		G	Α	A	Т	Α
	0	1	2	3	4	5
G	1	0	1			
A	2		0	1		
T	3				1	
Т	4					
Α	5					
С	6					
Α	7					



- For each cell, vertical and horizontal dependencies increment the value +1
- If characters at that position don't match, in the worst-case scenario, the outcome will be incremented +1
 - The outcome can never be incrementing more than +1
- We don't really need to know all the surrounding cells
 - We assume the worst case scenario.
- BUT, from the **furthest reaching diagonal** (current, upper, or lower).
 - We don't even need to compute all cells to get the alignment

		G	Α	Α	Т	Α
	0	1	2	3	4	5
G	1	0	1			
Α	2	1	0	1		
Т	3		1	1	1	
Т	4				1	
Α	5					1
С	6					
Α	7					



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		G	Α	Α	Т	Α
	0	1	2	3	4	5
G	1	0	1			
A	2	1	0	1		
T	3		1	1	1	2
Т	4		2		1	2
Α	5					1
С	6					2
Α	7					

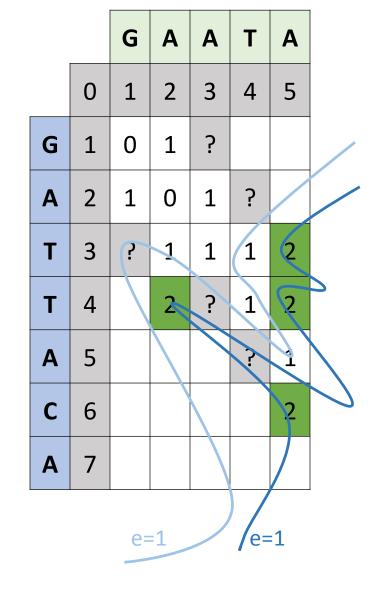


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		G	Α	Α	Т	Α
	0	1	2	3	4	5
G	1	0	1	?		
Α	2	1	0	1	?	
Т	3	?	1	1	1	2
Т	4		2	?-	1	2
Α	5				?	1
С	6					2
A	7					



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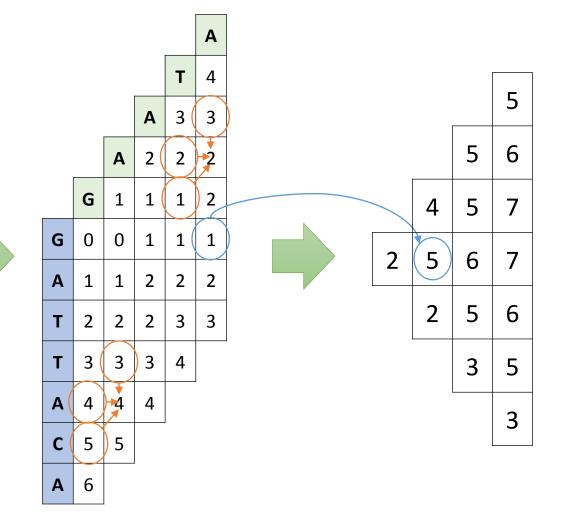


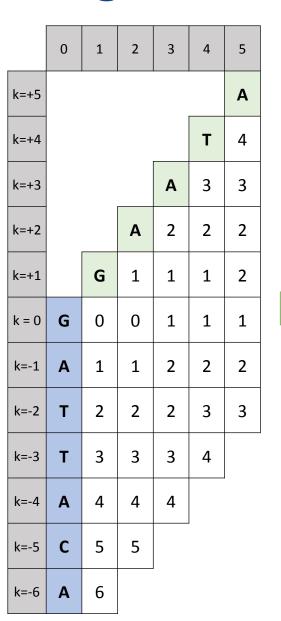
3. WF. Rearrange the layout

1) Align diagonals by shifting up columns

(Dependencies are kept homogeneous)

2) Encode using diagonal offsets (Instead of scores)

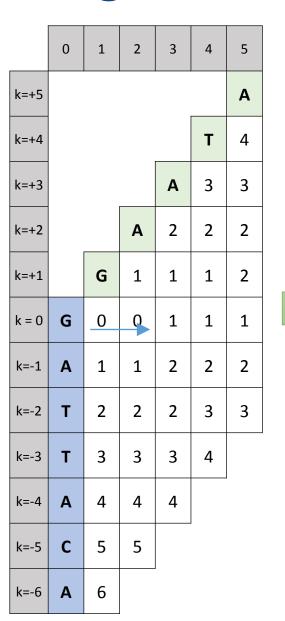




- 1) Extend wavefronts matching chars
- 2) Compute the next wavefront

	d=0	d=1	d=2	d=3
k=+3				
k=+2				
k=+1				
k = 0	0			
k=-1				
k=-2				
k=-3				

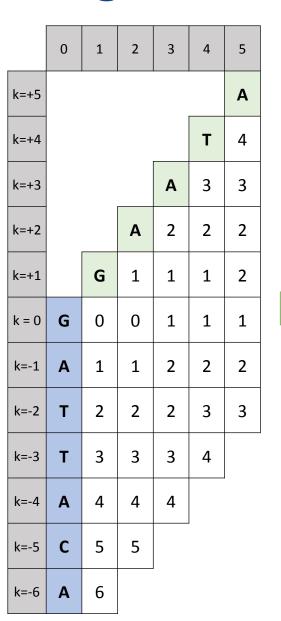




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	d=0	d=1	d=2	d=3
k=+3				
k=+2				
k=+1				
k = 0	2			
k=-1				
k=-2				
k=-3				

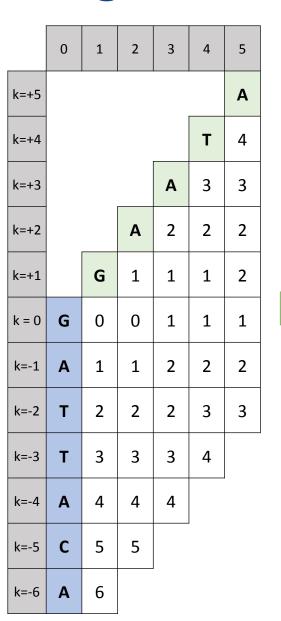




- 1) Extend wavefronts matching chars
- 2) Compute the next wavefront

	d=0	d=1	d=2	d=3
k=+3				
k=+2				
k=+1		3		
k = 0	2	3		
k=-1		2		
k=-2				
k=-3				



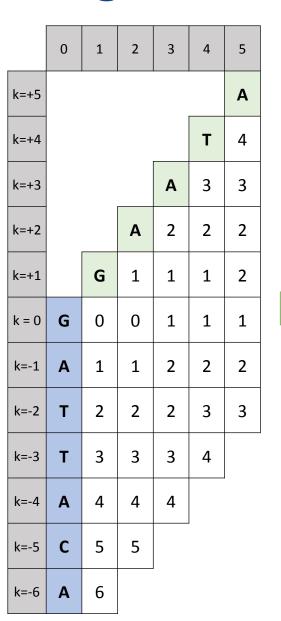




- 1) Extend wavefronts matching chars
- 2) Compute the next wavefront

	d=0	d=1	d=2	d=3
k=+3				
k=+2				
k=+1		4		
k = 0	2	5		
k=-1		2		
k=-2				
k=-3				

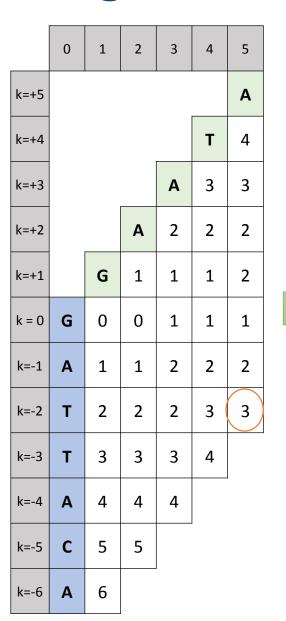




- 1) Extend wavefronts matching chars
- 2) Compute the next wavefront

	d=0	d=1	d=2	d=3
k=+3				
k=+2			5	
k=+1		4	5	
k = 0	2	5	6	
k=-1		2	5	
k=-2			2	
k=-3				





- 1) Extend wavefronts matching chars
- 2) Compute the next wavefront

	d=0	d=1	d=2	d=3
k=+3				5
k=+2			5	6
k=+1		4	5	7
k = 0	2	5	6	7
k=-1		2	5	6
k=-2			3 (5
k=-3				3



3. WF Implementation

```
void edit wavefronts align(
    edit wavefronts t* const wavefronts,
   const char* const pattern, const int pattern length,
    const char* const text,const int text length) {
  const int max distance = pattern length + text length;
  const int target k = text length - pattern length;
  // Init wavefronts
 wavefronts->wavefronts[0]->offsets[0] = 0;
  // Compute wavefronts for increasing distance
 for (int distance=0;distance<max distance;++distance) {</pre>
   // Extend diagonally each wavefront point
    edit wavefronts extend wavefront(wavefronts, pattern, pattern length, text, text length, distance);
   // Exit condition
    if (ABS(target k) <= distance && wavefronts->wavefronts[distance]->offsets[target k] == text length) break;
   // Compute next wavefront starting point
   edit wavefronts compute wavefront(wavefronts,pattern length,text length,distance+1);
  // Backtrace wavefronts
  edit_wavefronts_backtrace(wavefronts,pattern,text,target_k,distance);
```

3. WF Implementation. Generate wavefront

```
void edit wavefronts compute wavefront(
    edit wavefronts t* const wavefronts,
    const int pattern length,
    const int text length,
    const int distance) {
 [...]
 // Loop peeling (k=lo-1)
 next offsets[lo-1] = offsets[lo];
 // Loop peeling (k=lo)
  const ewf offset t bottom upper del = ((lo+1) <= hi) ? offsets[lo+1] : -1;</pre>
 next offsets[lo] = MAX(offsets[lo]+1,bottom upper del);
 // Compute next wavefront starting point
 int k;
 #pragma GCC ivdep
 for (k=lo+1;k<=hi-1;++k) {
     * const int del = offsets[k+1]; // Upper
     * const int sub = offsets[k] + 1; // Mid
     * const int ins = offsets[k-1] + 1; // Lower
     * next offsets[k] = MAX(sub,ins,del); // MAX
     */
    const ewf offset t max ins sub = MAX(offsets[k],offsets[k-1]) + 1;
   next offsets[k] = MAX(max ins sub,offsets[k+1]);
 // Loop peeling (k=hi)
 const ewf offset t top lower ins = (lo <= (hi-1)) ? offsets[hi-1] : -1;</pre>
 next offsets[hi] = MAX(offsets[hi],top lower ins) + 1;
 // Loop peeling (k=hi+1)
 next offsets[hi+1] = offsets[hi] + 1;
```

	d=0	d=1	d=2	d=3
k=+3				
k=+2				
k=+1		4		
k = 0	2	5	,	
k=-1		2		
k=-2				
k=-3				

3. WF Extend wavefront

	d=0	d=1	d=2	d=3
k=+3				
k=+2				
k=+1		4		
k = 0	2	5		
k=-1		2		
k=-2				
k=-3				

```
void edit wavefronts extend wavefront compute(
    edit wavefronts t* const wavefronts,
    const char* const pattern,
   const int pattern length,
    const char* const text,
    const int text length,
    const int distance) {
  // Parameters
  edit wavefront t* const wavefront = wavefronts->wavefronts[distance];
  ewf_offset_t* const offsets = wavefront->offsets;
  const int k min = wavefront->lo;
  const int k max = wavefront->hi;
 // Extend diagonally each wavefront point
  int k;
 for (k=k min;k<=k max;++k) {</pre>
   int v = EWAVEFRONT_V(k,offsets[k]), h = EWAVEFRONT_H(k,offsets[k]);
   while (v<pattern length && h<text length && pattern[v++]==text[h++]) {</pre>
     ++(offsets[k]);
```



3. WF Extend SIMD

- Compare blocks of 8 chars (64bits)
 - CTZL Count trailing zeros
- Internal loop is seldom executed
- External loop cannot be vectorized
 - Gather/Scatter operation
 - No SIMD CTZL
 - Though it, can be reformulated using popcount
- Actual BOTTLENECK
 - As generate wavefront can be perfectly vectorized

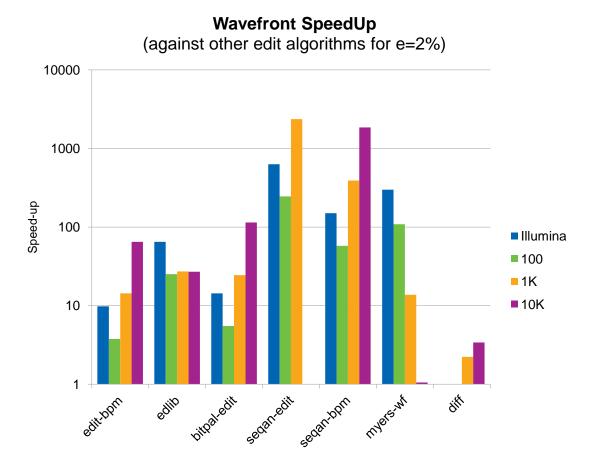


```
void edit wavefronts extend wavefront compute packed(
    edit wavefronts t* const wavefronts,
    const char* const pattern,
    const int pattern length,
    const char* const text,
    const int text length,
    const int distance) {
 edit wavefront t* const wavefront = wavefronts->wavefronts[distance];
 ewf offset t* const offsets = wavefront->offsets;
  // Extend diagonally each wavefront point
 for (int k=wavefront->lo;k<=wavefront->hi;++k) {
    const ewf offset t offset = offsets[k];
    int v = EWAVEFRONT V(k,offset), h = EWAVEFRONT H(k,offset);
    // Fetch pattern/text blocks
   uint64 t* pattern blocks = (uint64 t*)(pattern+v);
    uint64_t* text_blocks = (uint64 t*)(text+h);
    uint64 t pattern block = *pattern blocks;
   uint64 t text block = *text blocks;
    // Compare 64-bits blocks
    uint64 t cmp = pattern block ^ text block;
   while ( builtin expect(!cmp,0)) {
     offsets[k] += 8; // Increment offset (full block)
     ++pattern blocks; // Next blocks
     ++text blocks; // Next blocks
     pattern block = *pattern_blocks; // Fetch
     text block = *text blocks; // Fetch
     cmp = pattern block ^ text block; // Compare
    // Count equal characters
    const int equal right bits = builtin ctzl(cmp);
    const int equal chars = DIV FLOOR(equal right bits,8);
    // Increment offset
    offsets[k] += equal chars;
```

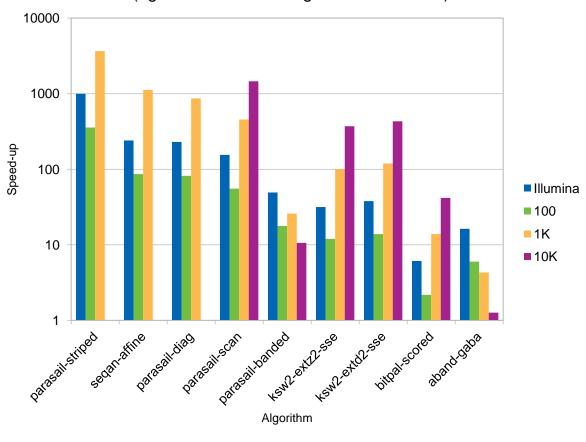
4. Benchmark results



4. Benchmark results



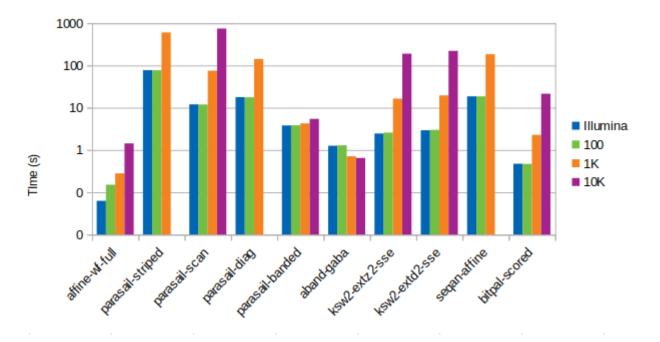






4. Benchmark. SWG Scaling

SWG Scaling with the read length (e=2%)



SWG Scaling with the error rate (l=1000nt)

L = 1000nt	e=1%	e=2%	e=5%	e=10%	e=20%
affine-wf-full	0,14	0,28	0,92	2,58	6,92
parasail-striped	603,60	603,60	602,40	597,00	572,40
parasail-scan	75,00	75,00	75,00	75,00	75,00
parasail-diag	142,20	142,20	142,20	142,20	142,20
parasail-banded	4,08	4,26	4,59	4,97	5,43
aband-gaba	0,67	0,71	1,92	0,86	n/a
ksw2-extz2-sse	16,42	16,40	16,53	16,53	16,61
ksw2-extd2-sse	19,57	19,57	19,64	19,73	19,80
seqan-affine	183,00	184,20	197,40	196,80	211,20
bitpal-scored	2,28	2,28	2,30	2,36	2,37



5. Wrapping up (some remarks)



5. Wavefront Drawbacks (too good to be true?)

- Depends on the error between the patterns. For higher error rates (e > 20%) the algorithm speedups may get reduced
 - Such high error rates are only "somehow" meaningful in protein alignment
 - Most common use cases show high identity (e.g. Illumina ~2%)
- Designed for integer scores (could be extended to floating scores)
 - You can always multiply by 10ⁿ and round scores
- Matching penalty must be zero
 - Benchmarks show that there is rarely any loss in accuracy
- I still haven't figured out local alignment
 - Can be used for global and semi-global alignment (also, any kind of ends-free alignment)
 - Most tools use ends-free alignment instead of a pure local-alignment



5. Wavefront Leverages

- No heuristics, finds the exact solution.
- Breaks vertical/horizontal dependencies.
 - Result of changing the layout and the order in which the cells are computed (i.e. free of dependencies)
- Both main functions are embarrassingly parallel.
 - Can be automatically vectorized by the compiler for any SIMD ISA supported
 - AFAIK, only SWG implementation AVX512-compliant (with no effort)
- Computes progressively increasing error rates.
 - If the error is 1, then the algorithm perform 1 step -> O(n·e)
 - Doesn't need to know error estimations (as opposed to banded algorithms)
 - Allows precise implementation of cut-off techniques
- Encodes diagonal offsets (integer that depend on the patterns length) as opposed to scores (floats that depend on the score/error rate).
 - Alignment_error > 5 · max{n,m}
 - Can fit more offsets (uint16_t) in a SIMD word (as opposed to float32_t)
- Memory consumption is proportional to the error rate.

5. Wavefront

Opens the way for new and exciting opportunities

Algorithms

FPGAs

GPUs

Hardware design

