amazon

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1 Practice 2.2. Recurrent Neural Networks

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This notebook contains execution examples of the recurrent neural architectures proposed for the Amazon Reviews dataset. The Python scripts submitted include auxiliar code to simplify the readibility of the coding cells:

- data.py: Defines the AmazonDataset class to load, split, transform and stream the Amazon Reviews dataset.
- recurrent_models.py: Defines the create_recurrent_model function to instantiate a Keras model varying its architecture.
- utils.py: Defines auxiliary function to train and plot the performance of a Keras model.

```
[]: from data import AmazonDataset
     from model import AmazonReviewsModel
     import plotly.io as pio
     import plotly.graph_objects as go
     from collections import OrderedDict
     from keras.layers import LSTM, GRU, SimpleRNN
     from keras.regularizers import Regularizer, L1, L2, L1L2
     from keras.optimizers import Adam, RMSprop
     import pandas as pd
     from itertools import product
     pio.renderers.default = "vscode"
     # global parameters
     MAX FEATURES = 1000
     MODEL_PATH = "results/"
     # model default parameters
     train_default = dict(epochs=30, batch_size=500, lr=1e-3, dev_patience=5)
     # load data
     path_dir = "AmazonDataset/"
```

2024-04-24 19:10:42.133173: I tensorflow/core/util/port.cc:113] oneDNN custom

operations are on. You may see slightly different numerical results due to floating-point round-off errors from different computation orders. To turn them off, set the environment variable `TF_ENABLE_ONEDNN_OPTS=0`.

2024-04-24 19:10:42.165187: E

external/local_xla/xla/stream_executor/cuda/cuda_dnn.cc:9261] Unable to register cuDNN factory: Attempting to register factory for plugin cuDNN when one has already been registered

2024-04-24 19:10:42.165214: E

external/local_xla/xla/stream_executor/cuda/cuda_fft.cc:607] Unable to register cuFFT factory: Attempting to register factory for plugin cuFFT when one has already been registered

2024-04-24 19:10:42.166077: E

external/local_xla/xla/stream_executor/cuda/cuda_blas.cc:1515] Unable to register cuBLAS factory: Attempting to register factory for plugin cuBLAS when one has already been registered

2024-04-24 19:10:42.171268: I tensorflow/core/platform/cpu_feature_guard.cc:182] This TensorFlow binary is optimized to use available CPU instructions in performance-critical operations.

To enable the following instructions: AVX2 AVX512F AVX512_VNNI FMA, in other operations, rebuild TensorFlow with the appropriate compiler flags.

2024-04-24 19:10:42.814324: W

tensorflow/compiler/tf2tensorrt/utils/py_utils.cc:38] TF-TRT Warning: Could not find TensorRT

Using TensorFlow backend

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1.2 Simple Recurrent Baseline

1.2.1 Exploring the vocabulary size

We used a simple recurrent architecture to set our baseline performance. This model is conformed by two stacked modules: a recurrent encoder of 2-stacked RNN cells (Rumelhart et al., 1985) and a feed-forward layer with a sigmoidal activation to return the probability of a good review. We used an input embedding layer of dimension $d_x = 64$ and maintained the dimension of the decoder to

 $d_h = 64$. In order to analyze the impact of the vocabulary size ($|\mathcal{V}|$) we repeated three experiments varying this value (200, 500 and 1000) maintaining the same architecture.

```
[]: dataset = AmazonDataset.load(
      train path=path dir + "train small.txt",
      test_path=path_dir + "test_small.txt",
      max_features=200,
   rnn_model_200 = AmazonReviewsModel(200, 64, SimpleRNN, name="SimpleRNN-200")
   _, fig = rnn_model_200.train(
      dataset, f"{MODEL PATH}/{rnn model 200.name}.weights.h5", **train_default
   )
   print(rnn_model_200.evaluate(dataset.X_test, dataset.y_test))
   fig
   Epoch 1/30
   accuracy: 0.5311 - val_loss: 0.6742 - val_accuracy: 0.5920
   Epoch 2/30
   accuracy: 0.6568 - val_loss: 0.5202 - val_accuracy: 0.7546
   Epoch 3/30
   accuracy: 0.7683 - val_loss: 0.4642 - val_accuracy: 0.7854
   Epoch 4/30
   accuracy: 0.7796 - val_loss: 0.4746 - val_accuracy: 0.7714
   Epoch 5/30
   accuracy: 0.7953 - val_loss: 0.4714 - val_accuracy: 0.7708
   Epoch 6/30
   accuracy: 0.7944 - val_loss: 0.4746 - val_accuracy: 0.7782
   Epoch 7/30
   accuracy: 0.8105 - val_loss: 0.5014 - val_accuracy: 0.7762
   Epoch 8/30
   40/40 [============= ] - 4s 110ms/step - loss: 0.4061 -
   accuracy: 0.8179 - val_loss: 0.4991 - val_accuracy: 0.7748
   [0.48217952251434326, 0.7735999822616577]
[]: dataset = AmazonDataset.load(
      train_path=path_dir + "train_small.txt",
      test_path=path_dir + "test_small.txt",
      max_features=500,
   rnn_model_500 = AmazonReviewsModel(500, 64, SimpleRNN, name="SimpleRNN-500")
   _, fig = rnn_model_500.train(
```

```
dataset, f"{MODEL PATH}/{rnn model 500.name}.weights.h5", **train_default
)
print(rnn model_500.evaluate(dataset.X_test, dataset.y_test))
fig
Epoch 1/30
accuracy: 0.5717 - val_loss: 0.6603 - val_accuracy: 0.6734
Epoch 2/30
accuracy: 0.6578 - val_loss: 0.6548 - val_accuracy: 0.6178
Epoch 3/30
accuracy: 0.6474 - val_loss: 0.6222 - val_accuracy: 0.6550
Epoch 4/30
accuracy: 0.6744 - val_loss: 0.6088 - val_accuracy: 0.6636
Epoch 5/30
accuracy: 0.6978 - val_loss: 0.5939 - val_accuracy: 0.6870
accuracy: 0.7225 - val_loss: 0.5742 - val_accuracy: 0.6980
Epoch 7/30
accuracy: 0.7548 - val_loss: 0.4867 - val_accuracy: 0.7754
Epoch 8/30
accuracy: 0.7502 - val_loss: 0.5797 - val_accuracy: 0.7086
Epoch 9/30
accuracy: 0.7591 - val_loss: 0.4855 - val_accuracy: 0.7780
Epoch 10/30
accuracy: 0.7463 - val_loss: 0.5741 - val_accuracy: 0.6970
Epoch 11/30
accuracy: 0.7919 - val_loss: 0.5263 - val_accuracy: 0.7556
Epoch 12/30
accuracy: 0.7926 - val_loss: 0.5112 - val_accuracy: 0.7694
Epoch 13/30
40/40 [============== ] - 4s 112ms/step - loss: 0.4003 -
accuracy: 0.8293 - val_loss: 0.4909 - val_accuracy: 0.7754
Epoch 14/30
accuracy: 0.8507 - val_loss: 0.4598 - val_accuracy: 0.8122
```

```
Epoch 15/30
  accuracy: 0.8641 - val_loss: 0.4374 - val_accuracy: 0.8068
  Epoch 16/30
  accuracy: 0.8790 - val_loss: 0.4820 - val_accuracy: 0.8034
  accuracy: 0.8835 - val_loss: 0.4981 - val_accuracy: 0.8060
  Epoch 18/30
  accuracy: 0.8954 - val_loss: 0.5172 - val_accuracy: 0.7932
  Epoch 19/30
  accuracy: 0.9044 - val_loss: 0.5969 - val_accuracy: 0.7484
  Epoch 20/30
  40/40 [============ ] - 5s 114ms/step - loss: 0.2275 -
  accuracy: 0.9116 - val_loss: 0.6160 - val_accuracy: 0.7560
  [0.43902644515037537, 0.8077200055122375]
[]: dataset = AmazonDataset.load(
     train_path=path_dir + "train_small.txt",
     test_path=path_dir + "test_small.txt",
     max_features=1000,
   rnn_model_1000 = AmazonReviewsModel(1000, 64, SimpleRNN, name="SimpleRNN-1000")
   _, fig = rnn_model_1000.train(
     dataset, f"{MODEL_PATH}/{rnn_model_1000.name}.weights.h5", **train_default
   print(rnn_model_1000.evaluate(dataset.X_test, dataset.y_test))
   fig
  Epoch 1/30
  accuracy: 0.5350 - val_loss: 0.6921 - val_accuracy: 0.5282
  Epoch 2/30
  accuracy: 0.5847 - val_loss: 0.6896 - val_accuracy: 0.5198
  Epoch 3/30
  accuracy: 0.6130 - val_loss: 0.6522 - val_accuracy: 0.6026
  Epoch 4/30
  accuracy: 0.7263 - val_loss: 0.4817 - val_accuracy: 0.7944
  Epoch 5/30
  accuracy: 0.7674 - val_loss: 0.4722 - val_accuracy: 0.7962
  Epoch 6/30
```

```
accuracy: 0.8366 - val_loss: 0.3675 - val_accuracy: 0.8376
Epoch 7/30
accuracy: 0.8751 - val loss: 0.3527 - val accuracy: 0.8548
Epoch 8/30
accuracy: 0.8927 - val_loss: 0.3515 - val_accuracy: 0.8504
Epoch 9/30
accuracy: 0.9085 - val_loss: 0.3588 - val_accuracy: 0.8488
accuracy: 0.9273 - val_loss: 0.3843 - val_accuracy: 0.8442
accuracy: 0.9412 - val_loss: 0.4074 - val_accuracy: 0.8352
Epoch 12/30
accuracy: 0.9560 - val loss: 0.4710 - val accuracy: 0.8318
Epoch 13/30
accuracy: 0.9600 - val loss: 0.4976 - val accuracy: 0.8170
[0.37227746844291687, 0.8421199917793274]
```

The simple RNN cell with $|\mathcal{V}| = 1000$ achieves 84.21% of test accuracy and is capable of learning from 95% of the training data. It can be observed that the vocabulary size plays an important role in the performance of the model: when using a small vocabulary size (e.g. 200) the performance does not reach more than the 80% of the accuracy. By increasing its value up to $|\mathcal{V}| = 1000$ we see a five-points improvement in the evaluation set. However, this improvement comes with an aggravation in the test performance: the larger $|\mathcal{V}|$ value, the larger difference between the train and test accuracy. This phenomenon (overfitting) is likely due to the increased complexity and dimensionality of the input data, which can challenge the model's ability to generalize effectively.

```
[]: # change name
rnn_model = rnn_model_1000
rnn_model._name = 'SimpleRNN-base'
```

1.2.2 Exploring the recurrent cell

In the next cells we maintain $|\mathcal{V}| = 1000$ and substitute the simple RNN by two different recurrent cells: the LSTM (Hochreiter et al., 1997) and the GRU (Chung et al., 2014). In the original papers, authors claimed to improve the performance of the simple RNN with a better inner representation of the temporal data flow by the introduction of different gates modeled with different learnable weights.

```
[]: lstm_model = AmazonReviewsModel(1000, 64, LSTM, name="LSTM-base")
_, fig = lstm_model.train(
```

```
dataset, f"{MODEL PATH}/{lstm_model.name}.weights.h5", **train_default
)
print(lstm_model.evaluate(dataset.X_test, dataset.y_test))
fig
Epoch 1/30
2024-04-24 18:58:46.402658: W
tensorflow/core/common runtime/type_inference.cc:339] Type inference failed.
This indicates an invalid graph that escaped type checking. Error message:
INVALID ARGUMENT: expected compatible input types, but input 1:
type_id: TFT_OPTIONAL
args {
 type_id: TFT_PRODUCT
 args {
   type_id: TFT_TENSOR
  args {
    type_id: TFT_INT32
   }
 }
}
is neither a subtype nor a supertype of the combined inputs preceding it:
type_id: TFT_OPTIONAL
args {
 type_id: TFT_PRODUCT
 args {
   type_id: TFT_TENSOR
   args {
    type_id: TFT_FLOAT
   }
 }
}
      for Tuple type infernce function 0
      while inferring type of node 'cond_19/output/_22'
0.6716 - val_loss: 0.4730 - val_accuracy: 0.7782
Epoch 2/30
0.8403 - val_loss: 0.3549 - val_accuracy: 0.8512
Epoch 3/30
0.8675 - val_loss: 0.3276 - val_accuracy: 0.8638
Epoch 4/30
0.8721 - val_loss: 0.3316 - val_accuracy: 0.8648
Epoch 5/30
```

```
0.8756 - val_loss: 0.3306 - val_accuracy: 0.8608
  Epoch 6/30
  0.8774 - val_loss: 0.3321 - val_accuracy: 0.8598
  Epoch 7/30
  0.8795 - val_loss: 0.3241 - val_accuracy: 0.8628
  Epoch 8/30
  0.8805 - val_loss: 0.3214 - val_accuracy: 0.8670
  Epoch 9/30
  0.8810 - val_loss: 0.3411 - val_accuracy: 0.8558
  Epoch 10/30
  0.8716 - val_loss: 0.3350 - val_accuracy: 0.8638
  Epoch 11/30
  0.8795 - val_loss: 0.3160 - val_accuracy: 0.8708
  Epoch 12/30
  0.8880 - val_loss: 0.3319 - val_accuracy: 0.8670
  Epoch 13/30
  0.8895 - val_loss: 0.3457 - val_accuracy: 0.8530
  Epoch 14/30
  0.8713 - val_loss: 0.3345 - val_accuracy: 0.8524
  Epoch 15/30
  0.8863 - val_loss: 0.3207 - val_accuracy: 0.8632
  Epoch 16/30
  0.8921 - val loss: 0.3291 - val accuracy: 0.8672
  [0.3445417881011963, 0.8532000184059143]
[]: gru_model = AmazonReviewsModel(1000, 64, GRU, name="GRU-base")
  _, fig = gru_model.train(
    dataset, f"{MODEL_PATH}/{gru_model.name}.weights.h5", **train_default
  print(gru_model.evaluate(dataset.X_test, dataset.y_test))
  fig
  Epoch 1/30
  0.6263 - val_loss: 0.4943 - val_accuracy: 0.7614
  Epoch 2/30
```

```
0.8274 - val_loss: 0.3439 - val_accuracy: 0.8530
Epoch 3/30
0.8609 - val_loss: 0.3360 - val_accuracy: 0.8612
Epoch 4/30
0.8738 - val_loss: 0.3183 - val_accuracy: 0.8696
Epoch 5/30
0.8742 - val_loss: 0.3238 - val_accuracy: 0.8616
Epoch 6/30
0.8809 - val_loss: 0.3225 - val_accuracy: 0.8684
Epoch 7/30
0.8821 - val_loss: 0.3155 - val_accuracy: 0.8674
Epoch 8/30
0.8853 - val_loss: 0.3213 - val_accuracy: 0.8654
0.8885 - val_loss: 0.3191 - val_accuracy: 0.8674
Epoch 10/30
0.8893 - val_loss: 0.3182 - val_accuracy: 0.8690
Epoch 11/30
0.8951 - val_loss: 0.3323 - val_accuracy: 0.8634
Epoch 12/30
0.8964 - val_loss: 0.3296 - val_accuracy: 0.8708
[0.33640894293785095, 0.8580800294876099]
```

Using the same architecture but only replacing the simple RNN layer by LSTMs or GRUs, we see that the performance reaches the 85.32% and 85.80% of accuracy, respectively, proving that the LSTM and GRU cells are better options for the baseline recurrent architecture than the simple RNN cell.

1.2.3 Exploring the dimension of the model (d_h)

In the next cells we maitain the vocabulary size ($|\mathcal{V}| = 1000$) and the type of recurrent cell (LSTM) to explore the impact of the model dimension $d_h \in \{64, 128, 256, 512\}$.

```
[]: lstm_model_128 = AmazonReviewsModel(1000, 128, LSTM, name="LSTM-128")
   _, fig = lstm_model_128.train(
          dataset, f"{MODEL_PATH}/{lstm_model_128.name}.weights.h5", **train_default
)
```

```
fig
Epoch 1/30
2024-04-24 19:29:05.890379: W
tensorflow/core/common_runtime/type_inference.cc:339] Type inference failed.
This indicates an invalid graph that escaped type checking. Error message:
INVALID ARGUMENT: expected compatible input types, but input 1:
type_id: TFT_OPTIONAL
args {
 type_id: TFT_PRODUCT
 args {
  type_id: TFT_TENSOR
  args {
    type_id: TFT_INT32
  }
 }
}
is neither a subtype nor a supertype of the combined inputs preceding it:
type_id: TFT_OPTIONAL
args {
 type_id: TFT_PRODUCT
 args {
  type_id: TFT_TENSOR
  args {
    type_id: TFT_FLOAT
 }
}
     for Tuple type infernce function 0
     while inferring type of node 'cond_19/output/_22'
0.7010 - val_loss: 0.4028 - val_accuracy: 0.8232
Epoch 2/30
0.8439 - val_loss: 0.4405 - val_accuracy: 0.7978
Epoch 3/30
0.8550 - val_loss: 0.3478 - val_accuracy: 0.8472
Epoch 4/30
0.8666 - val_loss: 0.3540 - val_accuracy: 0.8560
Epoch 5/30
0.8703 - val_loss: 0.3483 - val_accuracy: 0.8504
```

print(lstm_model_128.evaluate(dataset.X_test, dataset.y_test))

```
Epoch 6/30
  0.8761 - val_loss: 0.3424 - val_accuracy: 0.8612
  Epoch 7/30
  0.8824 - val_loss: 0.3304 - val_accuracy: 0.8598
  Epoch 8/30
  0.8819 - val_loss: 0.3350 - val_accuracy: 0.8570
  Epoch 9/30
  0.8339 - val_loss: 0.5078 - val_accuracy: 0.7570
  Epoch 10/30
  0.8378 - val_loss: 0.3586 - val_accuracy: 0.8434
  Epoch 11/30
  0.8719 - val_loss: 0.3618 - val_accuracy: 0.8526
  Epoch 12/30
  0.8748 - val_loss: 0.3603 - val_accuracy: 0.8448
  [0.34408462047576904, 0.8536400198936462]
[]:|lstm_model_256 = AmazonReviewsModel(1000, 256, LSTM, name="LSTM-256")
  _, fig = lstm_model_256.train(
    dataset, f"{MODEL_PATH}/{lstm_model_256.name}.weights.h5", **train_default
  print(lstm model 256.evaluate(dataset.X_test, dataset.y_test))
  fig
  Epoch 1/30
  0.6941 - val_loss: 0.3862 - val_accuracy: 0.8254
  Epoch 2/30
  0.8518 - val_loss: 0.3482 - val_accuracy: 0.8610
  Epoch 3/30
  0.8670 - val_loss: 0.3659 - val_accuracy: 0.8324
  Epoch 4/30
  0.8670 - val_loss: 0.3453 - val_accuracy: 0.8592
  0.8789 - val_loss: 0.3260 - val_accuracy: 0.8618
  Epoch 6/30
  0.8841 - val_loss: 0.3264 - val_accuracy: 0.8620
```

```
Epoch 7/30
  0.8862 - val_loss: 0.3265 - val_accuracy: 0.8574
  0.8914 - val_loss: 0.3398 - val_accuracy: 0.8520
  0.8954 - val_loss: 0.3311 - val_accuracy: 0.8646
  Epoch 10/30
  0.9007 - val_loss: 0.3529 - val_accuracy: 0.8564
  [0.3391265571117401, 0.8568800091743469]
[]: lstm_model_512 = AmazonReviewsModel(1000, 512, LSTM, name="LSTM-512")
  _, fig = lstm_model_512.train(
    dataset, f"{MODEL_PATH}/{lstm_model_512.name}.weights.h5", **train_default
  print(lstm_model_512.evaluate(dataset.X_test, dataset.y_test))
  fig
  Epoch 1/30
  accuracy: 0.6507 - val_loss: 0.4816 - val_accuracy: 0.7676
  Epoch 2/30
  0.8418 - val_loss: 0.3370 - val_accuracy: 0.8572
  Epoch 3/30
  0.8385 - val_loss: 0.3795 - val_accuracy: 0.8504
  Epoch 4/30
  0.8608 - val_loss: 0.3414 - val_accuracy: 0.8528
  Epoch 5/30
  0.8724 - val_loss: 0.3559 - val_accuracy: 0.8568
  Epoch 6/30
  0.8723 - val_loss: 0.3410 - val_accuracy: 0.8522
  Epoch 7/30
  0.8714 - val_loss: 0.3417 - val_accuracy: 0.8542
  [0.34632524847984314, 0.8533200025558472]
```

The next table shows the results with different hidden dimension (d_h) in the train, validation and test set:

d_h	train	val	test
64	89.21	86.72	85.32
128	87.48	84.48	85.36
256	90.07	85.64	85.68
512	87.14	85.42	85.33

Results show that there are not significative differences between the performance of different model dimensions, which might indicate that, in order to increase the model complexity (and hence the flexibility to learn the input data), instead of exploring the hyperparameter d_h , other hyperparameters should be tuned, such as the number of hidden layers.

1.3 Enhancing the architecture with hyperparameter tuning

Once we have a first estimation of the performance with small models we are going launch experiments with larger architectures. We increased the model dimension to $d_h = 128$ and the vocabulary size to $|\mathcal{V}| = 2000$. The encoder is now conformed by 3-stacked recurrent cells and the decoder adds a new extra feed-forward network between the last state of the encoder and the output layer. In order to balance this enhancement and avoid a possible overfitting, we included a dropout of the 10% in the latent space of the network (between the encoder and decoder).

```
[]: # relaad the dataset
dataset = AmazonDataset.load(
          train_path=path_dir + "train_small.txt",
          test_path=path_dir + "test_small.txt",
          max_features=2000,
)
```

```
accuracy: 0.5031 - val_loss: 0.6941 - val_accuracy: 0.4850
Epoch 3/30
accuracy: 0.4997 - val_loss: 0.6934 - val_accuracy: 0.4850
Epoch 4/30
40/40 [============= ] - 7s 183ms/step - loss: 0.7115 -
accuracy: 0.4981 - val_loss: 0.7037 - val_accuracy: 0.4850
Epoch 5/30
40/40 [============= ] - 7s 175ms/step - loss: 0.7046 -
accuracy: 0.5084 - val_loss: 0.7043 - val_accuracy: 0.5152
Epoch 6/30
accuracy: 0.5039 - val_loss: 0.6944 - val_accuracy: 0.5152
Epoch 7/30
accuracy: 0.5038 - val_loss: 0.7071 - val_accuracy: 0.5152
Epoch 8/30
accuracy: 0.5022 - val_loss: 0.6925 - val_accuracy: 0.5152
Epoch 9/30
accuracy: 0.4942 - val_loss: 0.7006 - val_accuracy: 0.5006
Epoch 10/30
accuracy: 0.5087 - val_loss: 0.7035 - val_accuracy: 0.5696
Epoch 11/30
accuracy: 0.5375 - val_loss: 0.6981 - val_accuracy: 0.5152
accuracy: 0.5379 - val_loss: 0.6862 - val_accuracy: 0.5682
Epoch 13/30
accuracy: 0.5397 - val_loss: 0.6941 - val_accuracy: 0.4848
Epoch 14/30
accuracy: 0.5415 - val loss: 0.6847 - val accuracy: 0.5682
Epoch 15/30
accuracy: 0.5432 - val_loss: 0.6867 - val_accuracy: 0.5682
Epoch 16/30
40/40 [============== ] - 7s 173ms/step - loss: 0.6904 -
accuracy: 0.5455 - val_loss: 0.6859 - val_accuracy: 0.5682
Epoch 17/30
40/40 [=========== ] - 7s 174ms/step - loss: 0.6935 -
accuracy: 0.5350 - val_loss: 0.6843 - val_accuracy: 0.5682
Epoch 18/30
```

```
accuracy: 0.5361 - val_loss: 0.6883 - val_accuracy: 0.5682
  Epoch 19/30
  accuracy: 0.5480 - val_loss: 0.6856 - val_accuracy: 0.5682
  Epoch 20/30
  accuracy: 0.5500 - val_loss: 0.6880 - val_accuracy: 0.5682
  Epoch 21/30
  accuracy: 0.5397 - val_loss: 0.6924 - val_accuracy: 0.4848
  Epoch 22/30
  accuracy: 0.5444 - val_loss: 0.6855 - val_accuracy: 0.5682
  [0.6853593587875366, 0.5634400248527527]
[]: lstm_enhanced = AmazonReviewsModel(
     2000,
     256,
     LSTM,
     num_recurrent_layers=3,
     dropout=0.1,
     ffn_dims=[64],
     name="LSTM-enhanced",
  _, fig = lstm_enhanced.train(
     dataset, f"{MODEL_PATH}/{lstm_enhanced.name}.weights.h5", **train_default
  print(lstm_enhanced.evaluate(dataset.X_test, dataset.y_test))
  fig
  Epoch 1/30
  accuracy: 0.7262 - val_loss: 0.3757 - val_accuracy: 0.8596
  Epoch 2/30
  0.8762 - val_loss: 0.3212 - val_accuracy: 0.8722
  Epoch 3/30
  0.8921 - val_loss: 0.3305 - val_accuracy: 0.8696
  Epoch 4/30
  0.9047 - val_loss: 0.3130 - val_accuracy: 0.8774
  Epoch 5/30
  0.9155 - val_loss: 0.3047 - val_accuracy: 0.8744
  Epoch 6/30
  0.9189 - val_loss: 0.3307 - val_accuracy: 0.8644
```

```
Epoch 7/30
  0.9265 - val_loss: 0.3419 - val_accuracy: 0.8686
  0.9317 - val_loss: 0.3592 - val_accuracy: 0.8732
  Epoch 9/30
  0.9369 - val_loss: 0.3671 - val_accuracy: 0.8690
  Epoch 10/30
  0.9426 - val_loss: 0.4251 - val_accuracy: 0.8384
  [0.33539456129074097, 0.8589199781417847]
[]: gru_enhanced = AmazonReviewsModel(
     2000,
     256,
     GRU,
     num_recurrent_layers=3,
     dropout=0.1,
     ffn_dims=[64],
     name="GRU-enhanced",
  _, fig = gru_enhanced.train(
     dataset, f"{MODEL_PATH}/{gru_enhanced.name}.weights.h5", **train_default
  print(gru_enhanced.evaluate(dataset.X_test, dataset.y_test))
  fig
  Epoch 1/30
  40/40 [============= ] - 10s 133ms/step - loss: 0.5410 -
  accuracy: 0.7170 - val_loss: 0.3752 - val_accuracy: 0.8364
  Epoch 2/30
  0.8564 - val_loss: 0.3118 - val_accuracy: 0.8734
  Epoch 3/30
  0.8870 - val_loss: 0.3160 - val_accuracy: 0.8724
  Epoch 4/30
  0.8873 - val_loss: 0.3441 - val_accuracy: 0.8656
  Epoch 5/30
  0.9096 - val_loss: 0.3092 - val_accuracy: 0.8782
  Epoch 6/30
  0.9179 - val_loss: 0.3146 - val_accuracy: 0.8784
  Epoch 7/30
```

We see a slight improvement with the LSTM (85.89%) and GRU-based (86.43%) architectures when increasing the number of learnable hyperparameters (both the train and the test set metrics are improved). However, the simple RNN only reaches 56.34% of accuracy. This drop in the performance evidences the clear superiority of the LSTM and GRU when modelling high-dimensional temporal data. The simple RNN is instead more useful for simpler problems (when the dimension of the model is small, e.g. $d_h = 64$ and $|\mathcal{V}| = 1000$) and we see that when the input increases its complexity the RNN lacks of a good representation to learn temporal relations.

1.4 Bidirectional Processing

In this section we tried to boost the performance of our model with the introduction of bidirectional processing. The Keras API has a Bidirectional Layer which accepts as input a recurrent cell (LSTM, GRU or SimpleRNN) and generates two different cells left-to-right a right-to-left contextualization. The final output is finally obtained via the concatenation of both representations.

```
Epoch 3/30
  accuracy: 0.8720 - val_loss: 0.3340 - val_accuracy: 0.8670
  Epoch 4/30
  accuracy: 0.8647 - val_loss: 0.3759 - val_accuracy: 0.8402
  Epoch 5/30
  accuracy: 0.8878 - val_loss: 0.3267 - val_accuracy: 0.8638
  Epoch 6/30
  accuracy: 0.8586 - val_loss: 0.3409 - val_accuracy: 0.8522
  Epoch 7/30
  accuracy: 0.8818 - val_loss: 0.3965 - val_accuracy: 0.8124
  Epoch 8/30
  40/40 [============= ] - 18s 450ms/step - loss: 0.2881 -
  accuracy: 0.8849 - val_loss: 0.3466 - val_accuracy: 0.8544
  Epoch 9/30
  accuracy: 0.8827 - val_loss: 0.3736 - val_accuracy: 0.8380
  Epoch 10/30
  accuracy: 0.8982 - val_loss: 0.3362 - val_accuracy: 0.8642
  [0.34082308411598206, 0.8606799840927124]
[]: bilstm_model = AmazonReviewsModel(
     2000,
     256,
     LSTM,
     num_recurrent_layers=4,
     dropout=0.15,
     ffn_dims=[128, 64],
     name="BiLSTM",
     bidirectional=True,
   _, fig = bilstm_model.train(
     dataset, f"{MODEL_PATH}/{bilstm_model.name}.weights.h5", **train_default
   print(bilstm_model.evaluate(dataset.X_test, dataset.y_test))
   fig
  Epoch 1/30
  accuracy: 0.7538 - val_loss: 0.3033 - val_accuracy: 0.8764
  Epoch 2/30
```

accuracy: 0.8181 - val_loss: 0.4085 - val_accuracy: 0.8166

```
accuracy: 0.8923 - val_loss: 0.3008 - val_accuracy: 0.8818
  Epoch 3/30
  accuracy: 0.9077 - val_loss: 0.2958 - val_accuracy: 0.8756
  Epoch 4/30
  accuracy: 0.9161 - val_loss: 0.3296 - val_accuracy: 0.8698
  Epoch 5/30
  accuracy: 0.9286 - val_loss: 0.3100 - val_accuracy: 0.8724
  Epoch 6/30
  40/40 [============== ] - 7s 168ms/step - loss: 0.1583 -
  accuracy: 0.9420 - val_loss: 0.3610 - val_accuracy: 0.8600
  Epoch 7/30
  accuracy: 0.9506 - val_loss: 0.3799 - val_accuracy: 0.8686
  Epoch 8/30
  accuracy: 0.9615 - val_loss: 0.4274 - val_accuracy: 0.8568
  [0.30964571237564087, 0.8692399859428406]
[ ]: bigru_model = AmazonReviewsModel(
     2000,
     256,
     GRU,
     num_recurrent_layers=4,
     dropout=0.15,
     ffn_dims=[128, 64],
     name="BiGRU",
     bidirectional=True,
   _, fig = bigru_model.train(
     dataset, f"{MODEL_PATH}/{bigru_model.name}.weights.h5", **train_default
   print(bigru_model.evaluate(dataset.X_test, dataset.y_test))
   fig
  Epoch 1/30
  accuracy: 0.7727 - val_loss: 0.3071 - val_accuracy: 0.8714
  Epoch 2/30
  40/40 [============= ] - 7s 174ms/step - loss: 0.2682 -
  accuracy: 0.8911 - val_loss: 0.2989 - val_accuracy: 0.8712
  Epoch 3/30
  accuracy: 0.9065 - val_loss: 0.2957 - val_accuracy: 0.8766
  Epoch 4/30
```

Althought the performance of the Bidirectional LSTM (86.92%) and Bidirectional GRU (87.17%) do not seem to strongly improve the unidirectional processing, we see that the simple RNN cell takes a great advantage of introducing right-to-left contextualization. While the unidirectional RNN cell barely reached $\sim 60\%$ of accuracy, the bidirectional RNN is able to reach 86.06 points, obtaining a similar performance to the other recurrent cells.

1.5 Transformer

In this section we introduce the Transformer block to reinforce the word contextualization between the input embedding layer and the recurrent layers. We conducted experiments adding three Transformer layers of 4 heads before the bidirectional recurrent block using a hidden size of $d_h = 128$. We see that the Transformer improves ~1% of the accuracy of all models.

```
accuracy: 0.8699 - val_loss: 0.2993 - val_accuracy: 0.8788
  accuracy: 0.9075 - val_loss: 0.2937 - val_accuracy: 0.8810
  accuracy: 0.9302 - val_loss: 0.3263 - val_accuracy: 0.8704
  Epoch 5/30
  accuracy: 0.9486 - val_loss: 0.3674 - val_accuracy: 0.8756
  Epoch 6/30
  accuracy: 0.9658 - val_loss: 0.4270 - val_accuracy: 0.8716
  Epoch 7/30
  40/40 [============= ] - 20s 503ms/step - loss: 0.0676 -
  accuracy: 0.9764 - val_loss: 0.4949 - val_accuracy: 0.8570
  Epoch 8/30
  accuracy: 0.9753 - val_loss: 0.5041 - val_accuracy: 0.8606
  [0.3136318325996399, 0.8738399744033813]
[]: bilstm transformer = AmazonReviewsModel(
     2000,
     128.
     LSTM,
     num_recurrent_layers=4,
     dropout=0.2,
     ffn_dims=[128, 64],
     name="BiLSTM-transformer",
     num_transformers=3,
     bidirectional=True,
   _, fig = bilstm_transformer.train(
     dataset, f"{MODEL_PATH}/{bilstm_transformer.name}.weights.h5",_
   →**train_default
   print(bilstm_transformer.evaluate(dataset.X_test, dataset.y_test))
   fig
  Epoch 1/30
  accuracy: 0.7034 - val_loss: 0.3169 - val_accuracy: 0.8694
  Epoch 2/30
  accuracy: 0.8868 - val_loss: 0.2901 - val_accuracy: 0.8822
  Epoch 3/30
```

Epoch 2/30

```
accuracy: 0.9142 - val_loss: 0.2758 - val_accuracy: 0.8882
  Epoch 4/30
  accuracy: 0.9299 - val_loss: 0.2856 - val_accuracy: 0.8854
  Epoch 5/30
  40/40 [============= ] - 7s 184ms/step - loss: 0.1602 -
  accuracy: 0.9416 - val_loss: 0.2955 - val_accuracy: 0.8784
  Epoch 6/30
  40/40 [============= ] - 7s 182ms/step - loss: 0.1269 -
  accuracy: 0.9559 - val_loss: 0.3626 - val_accuracy: 0.8802
  Epoch 7/30
  accuracy: 0.9608 - val_loss: 0.4091 - val_accuracy: 0.8688
  accuracy: 0.9707 - val_loss: 0.4603 - val_accuracy: 0.8762
   [0.2989642918109894, 0.8779600262641907]
[]:|bigru_transformer = AmazonReviewsModel(
      2000,
      128,
      GRU,
      num recurrent layers=4,
      dropout=0.2,
      ffn_dims=[128, 64],
      name="BiGRU-transformer",
      num_transformers=3,
      bidirectional=True,
   _, fig = bigru_transformer.train(
      dataset, f"{MODEL_PATH}/{bigru_transformer.name}.weights.h5",u
    →**train_default
   print(bigru_transformer.evaluate(dataset.X_test, dataset.y_test))
   fig
  Epoch 1/30
  accuracy: 0.7297 - val_loss: 0.3155 - val_accuracy: 0.8704
  Epoch 2/30
  accuracy: 0.8927 - val_loss: 0.2799 - val_accuracy: 0.8860
  Epoch 3/30
  40/40 [============== ] - 7s 179ms/step - loss: 0.2053 -
  accuracy: 0.9190 - val_loss: 0.3133 - val_accuracy: 0.8748
  Epoch 4/30
```

1.6 Optimal configuration of the recurrent architecture

In this section we experimented with the regularization options of the full network. We tested the performance of different weight regularizers, initializers and optimizers. The cell below shows the deployment of the hyperaparameter search and the final result:

```
[]: grid = OrderedDict(
         regularizer=[L1(1e-4), L2(1e-3), L1L2(1e-4)],
         initializer=["random_normal", "glorot_uniform", "he_normal", "orthogonal"],
         optimizer=[Adam, RMSprop],
     Regularizer.__repr__ = lambda x: x.__class__.__name__
     dataset = AmazonDataset.load(
         train path=path dir + "train small.txt",
         test_path=path_dir + "test_small.txt",
         max features=2000,
     def tostring(x):
         if isinstance(x, type):
             return x.__name__
         else:
             return repr(x)
     def applydeep(lists, func):
         result = []
         for item in lists:
             result.append(list(map(func, item)))
         return result
     df = pd.DataFrame(
         columns=["train", "val", "test"],
         index=pd.MultiIndex.from_product(applydeep(grid.values(), tostring)),
```

```
df.index.names = ["regularizer", "initializer", "optimizer"]
for i, params in enumerate(product(*grid.values())):
    params = dict(zip(grid.keys(), params))
    optimizer = params.pop("optimizer")
    model = AmazonReviewsModel(
        2000.
        128,
        LSTM,
        num recurrent layers=4,
        dropout=0.2,
        ffn_dims=[128, 64],
        num_transformers=3,
        bidirectional=True,
        **params,
    )
    model.train(dataset, "results/amazon.weights.h5", opt-optimizer, ___
 →**train_default)
    _, train_acc = model.evaluate(dataset.X_train, dataset.y_train)
    _, val_acc = model.evaluate(dataset.X_val, dataset.y_val)
    _, test_acc = model.evaluate(dataset.X_test, dataset.y_test)
    df.loc[tuple(map(tostring, params.values()))] = [train_acc, val_acc, □
 →test_acc]
    df.to_csv("grid.csv")
df = df.applymap(lambda x: round(x * 100, 2))
```

```
[]:
                                            train
                                                     val
                                                           test
    regularizer initializer
                                 optimizer
    L1
                 'random_normal'
                                 Adam
                                            95.29
                                                   88.26 87.42
                                            95.29
                                                   88.26 87.42
                                 RMSprop
                                 Adam
                                            94.03 88.14 87.57
                 'glorot_uniform'
                                            94.03 88.14 87.57
                                 RMSprop
                                            96.73 85.02 84.52
                 'he normal'
                                 Adam
                                            96.73 85.02 84.52
                                 RMSprop
                 'orthogonal'
                                 Adam
                                            95.05 87.34 86.74
                                            95.05 87.34 86.74
                                 RMSprop
    L2
                 'random_normal'
                                            94.52 87.98 87.46
                                 Adam
                                 RMSprop
                                            94.52 87.98 87.46
                                            93.98 87.98 87.18
                 'glorot_uniform'
                                 Adam
                                            93.98 87.98 87.18
                                 RMSprop
                 'he_normal'
                                 Adam
                                            97.61 88.02 87.27
                                 RMSprop
                                            97.61
                                                   88.02 87.27
                 'orthogonal'
                                            94.09
                                                   88.00 87.56
                                 Adam
                                            94.09
                                                   88.00 87.56
                                 RMSprop
    L1L2
                                            94.21 88.20 87.32
                 'random_normal'
                                 Adam
```

```
RMSprop
                            94.21
                                   88.20 87.32
'glorot_uniform'
                Adam
                            93.31
                                   88.26 87.56
                 RMSprop
                            93.31
                                   88.26
                                          87.56
'he_normal'
                 Adam
                            99.39
                                   86.48
                                          85.75
                                   86.48 85.75
                 RMSprop
                            99.39
'orthogonal'
                            93.52
                                   87.28 86.89
                 Adam
                            93.52 87.28 86.89
                 RMSprop
```

```
[]: print(df.max())
print(df.idxmax())
```

```
train 99.39
val 88.26
test 87.57
dtype: float64
train (L1L2, 'he_normal', Adam)
val (L1, 'random_normal', Adam)
test (L1, 'glorot_uniform', Adam)
dtype: object
```

The final outcome does not show a special improvement varying other the configuration of the network from the performance obtained with bidirectional cells and Transformers (near 87-88% of accuracy in the validation and test set). For this reason, we did not include weight regularization or special initializations for the final proposed architecture.

1.7 Final comparison

The next cell shows the final comparison of all the models evaluated in this work.

```
[]: base = [rnn_model, lstm_model, gru_model]
     models = [
         lstm_enhanced,
         gru_enhanced,
         birnn_model,
         bilstm_model,
         bigru_model,
         birnn_transformer,
         bilstm_transformer,
         bigru_transformer,
     dataset1 = AmazonDataset.load(
         train_path=path_dir + "train_small.txt",
         test_path=path_dir + "test_small.txt",
         max_features=1000,
     dataset2 = AmazonDataset.load(
         train_path=path_dir + "train_small.txt",
         test_path=path_dir + "test_small.txt",
```

```
[]: fig = go.Figure()
     fig.add_trace(
         go.Scatter(
             x=train_accs,
             y=test_accs,
             text=names,
             mode="text+markers",
             textposition="top right",
         )
     fig.update_layout(
         height=600,
         width=1000,
         margin=dict(t=50, b=10, r=10, l=10),
         title_text="Comparison of the Amazon Reviews models",
         xaxis title="train",
         yaxis_title="test",
         template="seaborn",
     fig.update_yaxes(range=[0.835, 0.88])
     fig.update_xaxes(range=[0.875, 0.94])
     fig.show()
```

In our analysis, base models exhibited the poorest performance on both the training and test sets. Enhanced architectures notably improved accuracy on the training set; however, their performance did not significantly surpass baseline models in the final evaluation, with the exception of the simple RNN-based architecture achieving only 56% accuracy.

Introducing bidirectionality notably enhanced the performance on the test set, while the integration of Transformer layers across architectures slightly improved evaluation metrics. The most effective architecture, BiLSTM-transformer, achieved an impressive 87.79% accuracy score. This architecture likely excelled due to its enhanced temporal representations in the BiLSTM cell (utilizing three gates compared to the two in GRU) and strengthened embedding contextualization through Transformer layers prior to the recurrent layers. Although Transformer-based architectures demonstrated some improvement, the associated computational costs may not justify the gains achieved. GRU-based models demonstrated comparable performance to LSTM-based models

els, with the exception of the Transformer-based architecture, where both achieved similar accuracy levels. Additionally, fine-tuned parameters in enhanced architectures consistently yielded superior results. Notably, selecting a vocabulary size that includes the maximum explored (2000 words) appears optimal, suggesting that richer information facilitates improved model performance.

In addition to investigating various architectural enhancements, several regularization techniques were employed to mitigate overfitting. However, these techniques did not result in significant improvements in performance, and thus, their impact was not reflected in the graphs or final evaluations. Despite their potential benefits in controlling model complexity and improving generalization, the specific configurations tested did not demonstrate superior results compared to the best-performing architectures highlighted in our analysis.

Given the limitations of our dataset size and the performance of our custom architectures, a exploration with pretrained models is a promising avenue for achieving improved results. Leveraging pretrained embeddings and transformer models, which encapsulate extensive prior knowledge from large-scale datasets, could offer significant advantages. By incorporating pretrained representations, our models may benefit from enhanced feature extraction and contextual understanding, potentially outperforming our base architectures. This avenue of investigation could potentially lead to significant performance gains, particularly when working with smaller datasets, by harnessing the wealth of information encoded within pretrained models.