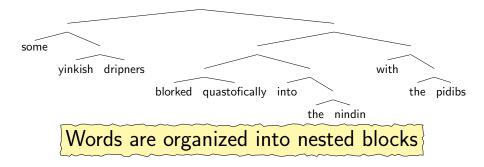
L90: Overview of Natural Language Processing Lecture 4: Phrase Structure and Structured Prediction

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Michaelmas 2021/22



Lecture 4: Phrase Structure and Structured Prediction

- 1. Phrase structure
- 2. Structured prediction
- 3. Context-free grammars
- 4. Probabilistic context-free grammars

Slides by

Weiwei Sun

Phrase Structure

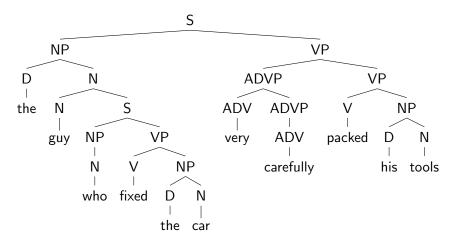
Carefully, very carefully...

- (1) a. the guy who fixed the car very carefully packed his tools
 - b. very carefully, the guy who fixed the car packed his tools
 - c. *very carefully, the guy who fixed the car is tall

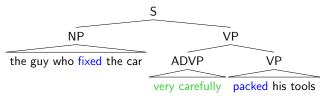
Constituency (phrase structure)

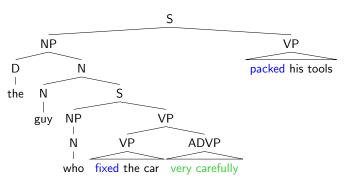
The basic idea

Phrase structure organizes words into *nested constituents*, which can be represented as a **tree**.

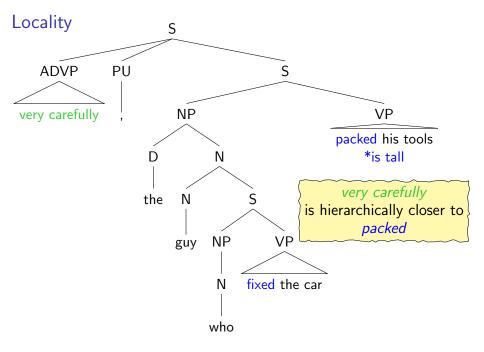


Different structures, different meaning





Results by a cool parser: http://erg.delph-in.net/logon



Interview of Noam Chomsky by Lex Fridman

I think the deepest property of language and puzzling property that's been discovered is what is sometimes called structure dependence. [...] Linear closeness is an easy computation, but here you're doing a much more, what looks like a more complex computation.



Noam Chomsky: Language, Cognition, and Deep Learning

• www.youtube.com/watch?v=cMscNuSUy0I

Applications of parsing

Modern parsers are quite accurate

For some languages, automatic syntactic parsing is good enough to help in a range of NLP tasks

- Machine translation
- Information extraction
- Grammar checking
- etc.

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Translate "英格兰的经济发展" into English



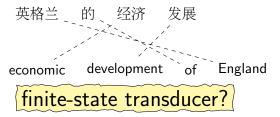
Applications of parsing

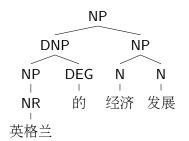
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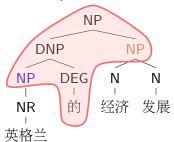
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Translate "英格兰的经济发展" into English





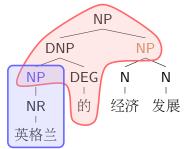
	NP	
	NR	
R1	英格兰	England
	NP	
	DNP NP	
	NP DEG	
R2	的	NP of NP
	NP	
R3	N N	N N
	N	
R4	经济	economic
	N	
R5	发展	development



 \Rightarrow NP of NP

⊳R2

NP	
NR	
I	
英格兰	England
NP	
DNP NP	
NP DEG	
<u>.</u>	
的	NP of NP
NP	
N N	N N
N	
Į.	
经济	economic
N	
Ī	
发展	development
	NR 英格兰 NP NP NP NP DEG 的 NP N N N S S N S N S N S N S N S N S N

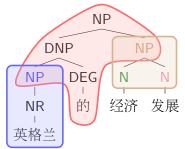


⇒NP of NP

 \Rightarrow NP of England

>R2 >R1

	NP	
	NR	
	l l	
R1	英格兰	England
	NP	
	DNP NP	
	NP DEG	
	1	
R2	的	NP of NP
	NP	
R3	N N	N N
	N	
R4	经济	economic
	N	
R5	发展	development



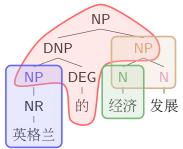
 \Rightarrow NP of NP

 \Rightarrow NP of England

 \Rightarrow N N of England

⊳R2 ⊳R1 ⊳R3

	NP	
	NR	
R1	英格兰	England
	NP	
	DNP NP	
	NP DEG	
R2	的	NP of NP
	NP	
R3	N N	N N
	N	
R4	经济	economic
	N	
R5	发展	development



 \Rightarrow NP of NP

 \Rightarrow NP of England

 \Rightarrow N N of England

⇒economic N of England

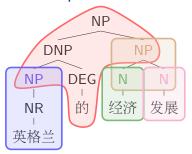
	NP I	
	NR	
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	NP DEG	
R2	的	NP of NP
	NP	
R3	N N	N N
	N	
R4	经济	economic
	N	
R5	发展	development

⊳R2

⊳R1

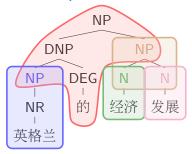
⊳R3

⊳R4



\Rightarrow NP of NP	⊳R2
⇒NP of England	⊳R1
\Rightarrow N N of England	⊳R3
⇒economic N of England	⊳R4
⇒economic development of England	⊳R5

	NP	
	NR	
	1	
R1	英格兰	England
	NP	
	DNP NP	
	NP DEG	
	1	
R2	的	NP of NP
	NP	
	\wedge	
R3	N N	NN
	N	
	1	
R4	经济	economic
114		economic
	N	
	发展	
R5	文 版	development



 \Rightarrow NP of NP

 \Rightarrow NP of England

 \Rightarrow N N of England

 \Rightarrow economic N of England

 \Rightarrow economic development of England \triangleright R5

recursive form transformation

	NP	
	NR	
	英格兰	
R1		England
	NP	
	DNP NP	
	DINF INF	
	NP DÈG	
	1,,,	
R2	的	NP of NP
	NP	
R3	N N	NN
	N	
R4	经济	economic
	N	
R5	发展	development

⊳R2

⊳R1

⊳R3

⊳R4

Structured Prediction

watch this video

⊙www.youtube.com/watch?v=bjUwSHGsG9o

Muhammad Li

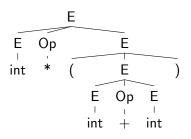
Howard Who's Muhammad Li?

Sheldon Muhammad is the most common first name in the world, Li, the most common surname. As I didn't know the answer, I thought that gave me a mathematical edge.





Two perspectives \approx Possible vs Probable





Noam Chomsky

[...] Therefore the true logic for this world is the calculus of probabilities, which takes account of the magnitude of the probability which is, or ought to be, in a reasonable man's mind.



James C. Maxwell

As a structured prediction problem

- Search space: Is this analysis possible?
- Measurement: Is this analysis good?

 PCFG (today)

$$oldsymbol{y}^*(oldsymbol{x}; oldsymbol{ heta}) = oldsymbol{\operatorname{arg\,max}} oldsymbol{ heta} oldsymbol{eta} \in \mathcal{Y}(oldsymbol{x})$$
 Score $(oldsymbol{x}, oldsymbol{y})$

- Decoding: find the analysis that obtains the highest score
- (⊳L95)



As a structured prediction problem

- Search space: Is this analysis possible?
- Search space. Is this allarysis possible:
 - Measurement: Is this analysis good?

$$oldsymbol{y}^*(oldsymbol{x}; oldsymbol{ heta}) = oldsymbol{eta} rg \max oldsymbol{y} \in \mathcal{S}$$

 $Score(m{x},m{y})$

Decoding: find the analysis that obtains the highest score



⊳PCFG (today)



As a structured prediction problem

Search space: Is this analysis possible?

⊳CFG (today)

• Measurement: Is this analysis good?

$$y^*(x; \frac{\theta}{\theta}) = \underset{\boldsymbol{y} \in \mathcal{Y}(\boldsymbol{x})}{\operatorname{arg max}} \operatorname{SCORE}(\boldsymbol{x}, \boldsymbol{y})$$

- Decoding: find the analysis that obtains the highest score
- (⊳L95)



As a structured prediction problem

- Search space: Is this analysis possible?
- Measurement: Is this analysis good?

⊳CFG (today)

⊳PCFG (today)

$$oldsymbol{y}^*(x; oldsymbol{ heta}) = oldsymbol{eta} oldsymbol{eta} oldsymbol{eta}_{oldsymbol{y} \in \mathcal{Y}(oldsymbol{x})} oldsymbol{eta}$$
 Score $(oldsymbol{x}, oldsymbol{y})$

Decoding: find the analysis that obtains the highest score

(⊳L95)

Parameter estimation: find good parameters

⊳L101

As a structured prediction problem

- Search space: Is this analysis possible?
- Measurement: Is this analysis good?

⊳CFG (today)

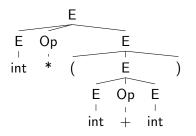
⊳PCFG (today)

$$y^*(x; \frac{\theta}{\theta}) = \underset{\boldsymbol{y} \in \mathcal{Y}(\boldsymbol{x})}{\operatorname{arg max}}$$
 Score $(\boldsymbol{x}, \boldsymbol{y})$

- Decoding: find the analysis that obtains the highest score
- (⊳L95)



Context-Free Grammar





A formal language is a set of strings over an alphabet

Strings and languages

- A string of length n over an alphabet Σ is an ordered n-tuple of elements of Σ .
- Σ^* denotes the set of all strings over Σ of finite length.
- Given an alphabet Σ any subset of Σ^* is a formal language over alphabet $\Sigma.$

Goal

• Define the subset of strings $S\subseteq \Sigma^*$ in such a way that finite *rules* can generate all of it.

Formal grammars

Formally specify a grammar that can generate all and only the acceptable sentences of a natural language.

Formal grammars

Formally specify a grammar that can generate all and only the acceptable sentences of a natural language.

A grammar G consists of the following components:

- 1. A finite set Σ of terminal symbols.
- 2. A finite set N of nonterminal symbols that is disjoint from Σ .
- 3. A distinguished nonterminal symbol that is the START symbol.
- 4. A finite set R of production rules, each rule of the form

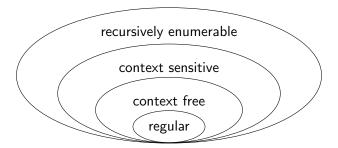
$$(\Sigma \cup N)^+ \to (\Sigma \cup N)^*$$

Each production rule maps from one string of symbols to another.

Chomsky Hierarchy

Grammar	Languages	Production rules
Type-0	Recursively enumerable	$\gamma \rightarrow \alpha$
Type-1	Context-sensitive	$\alpha A\beta \rightarrow \alpha \gamma \beta$
Type-2	Context-free	$A{\rightarrow}\alpha$
Type-3	Regular	$A \rightarrow a$
		$A{\rightarrow}aB$

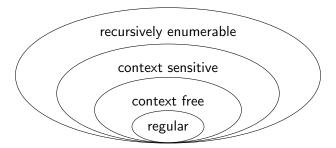
$$A, B \in N, a \in \Sigma; \ \alpha, \beta \in (N \cup \Sigma)^*, \gamma \in (N \cup \Sigma)^+$$



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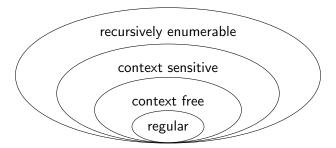
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Context-Free Grammars

- \bullet N: variables
- Σ : terminals
- $oldsymbol{3}$ R: productions

$$A \to (N \cup \Sigma)^*$$

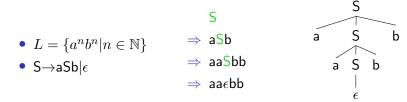
 $A \in N$

 \bullet S: START

Question

What does context-free mean?

An example



$$N = \{\mathsf{S}, \mathsf{NP}, \mathsf{VP}, \mathsf{AdjP}, \mathsf{AdvP}\} \cup \\ \{\mathsf{N}, \mathsf{Adj}, \mathsf{Adv}\}$$

 $\Sigma = \{ colourless, green, ideas, sleep, furiously \}$

R

S→NP VP	NP→AdjP NP
VP→VP AdvP	
VP→V	$NP \rightarrow N$
AdvP→Adv	AdjP→Adj
Adj→ <i>colourless</i>	Adj <i>→green</i>
N→ideas	V→sleep
Adv→ <i>furiously</i>	

$$S = \mathsf{S}$$

 $N = \{\mathsf{S}, \mathsf{NP}, \mathsf{VP}, \mathsf{AdjP}, \mathsf{AdvP}\} \cup \\ \{\mathsf{N}, \mathsf{Adj}, \mathsf{Adv}\}$

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R

20	
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$$S = S$$

We can derive the structure of a string.

$$N = \{\mathsf{S}, \mathsf{NP}, \mathsf{VP}, \mathsf{AdjP}, \mathsf{AdvP}\} \cup \\ \{\mathsf{N}, \mathsf{Adj}, \mathsf{Adv}\}$$

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We can derive the structure of a string.

 $S \Rightarrow NP VP$

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 $\Rightarrow N VP$

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$$S = S$$

We can derive the structure of a string.

$$S \Rightarrow NP VP$$

 $\Rightarrow N VP$
 $\Rightarrow ideas VP$

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$$S = S$$

We can derive the structure of a string.

 $S \Rightarrow NP VP$

 \Rightarrow N VP

 \Rightarrow ideas VP

 \Rightarrow ideas VP AdvP

$$N = \{\mathsf{S}, \mathsf{NP}, \mathsf{VP}, \mathsf{AdjP}, \mathsf{AdvP}\} \cup \\ \{\mathsf{N}, \mathsf{Adj}, \mathsf{Adv}\}$$

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 \Rightarrow N VP

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$$S = S$$

We can derive the structure of a string.

 $S \Rightarrow NP VP$

 \Rightarrow N VP

 \Rightarrow ideas VP

 \Rightarrow ideas VP AdvP

 \Rightarrow ideas V AdvP

 \Rightarrow ideas sleep AdvP

$$N = \{S, NP, VP, AdjP, AdvP\} \cup \{N, Adj, Adv\}$$

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R

S→NP VP	NP→AdjP NP
VP→VP AdvP	
VP→V	$NP\rightarrow N$
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 $S \Rightarrow NP VP$

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$$N = \{S, NP, VP, AdjP, AdvP\} \cup \{N, Adj, Adv\}$$

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$$S = S$$

We can derive the structure of a string.

 $S \Rightarrow NP VP$

 $\Rightarrow \mathsf{N} \; \mathsf{VP}$

 \Rightarrow ideas VP

 \Rightarrow ideas VP AdvP

 \Rightarrow ideas V AdvP

 \Rightarrow ideas sleep AdvP

 \Rightarrow ideas sleep Adv

 \Rightarrow ideas sleep furiously

$$N = \{S, NP, VP, AdjP, AdvP\} \cup \{N, Adj, Adv\}$$

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R

10	
S→NP VP	NP→AdjP NP
VP→VP AdvP	
VP→V	$NP\rightarrow N$
$AdvP{ o}Adv$	AdjP→Adj
Adj→ <i>colourless</i>	Adj <i>→green</i>
N→ideas	V→sleep
Adv→ <i>furiously</i>	

$$S = S$$

Generative Grammar

We can derive the structure of a string.

$$S \Rightarrow NP VP$$

 \Rightarrow N VP

 \Rightarrow ideas VP

 \Rightarrow ideas VP AdvP

 \Rightarrow ideas V AdvP

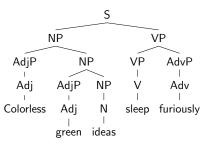
 \Rightarrow ideas sleep AdvP

 \Rightarrow ideas sleep Adv

 \Rightarrow ideas sleep furiously

We can define the language of a grammar by applying the productions.





Recursion



from Inception (https://www.imdb.com/title/tt1375666/)

Recursion:

place one component inside another component of the same type

Recursion

We hypothesize that FLN (faculty of language in the narrow sense) only includes recursion and is the only uniquely human component of the faculty of language.

M Hauser, N Chomsky and W Fitch (2002)

science.sciencemag.org/content/298/5598/1569

- (2) a. The dog bit the cat [which chased the mouse [which died]]. (right)
 b. [[the dog] 's owner] 's friend (left)
 - c. The mayor [the cat [the deg hit] chased] died (center)
 - c. The mouse [the cat [the dog bit] chased] died. (center)

Large-coverage grammars

English Treebank

- Penn Treebank = ca. 50,000 sentences with associated trees
- Usual set-up: ca. 40,000 training sentences, ca. 2,400 test sentences

Probabilistic Context-Free Grammars

[...] Therefore the true logic for this world is the calculus of probabilities, which takes account of the magnitude of the probability which is, or ought to be, in a reasonable man's mind.



Probabilistic CFGs

Probability of a tree t with rules $A_1 \to \beta_1, A_2 \to \beta_2, ...$ is

$$p(t) = \prod_{i=1}^{n} q(A_i \to \beta_i)$$

where $q(A_i \to \beta_i)$ is the probability for rule $A_i \to \beta_i$ given A_i .

- When we expand A_i , how likely is it that we choose $A_i \to \beta_i$?
- For each nonterminal A_i ,

$$\sum_{\beta} q(A_i \to \beta) = 1$$

- PCFG generates stochastic derivations of CFG.
- Each event (expanding nonterminal by production rules) is statistically independent of all the others.

S	\rightarrow	NP VP	8.0
S	\rightarrow	Aux NP VP	0.15
S	\rightarrow	VP	0.05
NP	\rightarrow	AdjP NP	0.2
NP	\rightarrow	DN	0.7
NP	\rightarrow	N	0.1
VP	\rightarrow	VP AdvP	0.3
VP	\rightarrow	V	0.2
VP	\rightarrow	V NP	0.3
VP	\rightarrow	V NP NP	0.2
AdvP	\rightarrow	Adv	1.0
AdjP	\rightarrow	Adj	1.0

Adj	\rightarrow	colorless	0.4
Adj	\rightarrow	green	0.6
N	\rightarrow	ideas	1.0
V	\rightarrow	sleep	1.0
Adv	\rightarrow	furiously	1.0

S S \rightarrow NP VP 0.8

	S	$S \rightarrow NP VP$	0.8
\Rightarrow	NP VP	$NP \rightarrow N$	0.1
\Rightarrow	N VP	$N{ ightarrow}ideas$	1.0
\Rightarrow	ideas VP	$VP{ o}VP AdvP$	0.3
\Rightarrow	ideas VP AdvP	$VP{ ightarrow}V$	0.2
\Rightarrow	ideas V AdvP	$V{ ightarrow} sleep$	1.0
\Rightarrow	ideas sleep AdvP	$AdvP {\rightarrow} Adv$	1.0
\Rightarrow	ideas sleep Adv	$Adv{ o} \mathit{furiously}$	1.0
\Rightarrow	ideas sleep furiously		

	S	$S \rightarrow NP VP$	0.8		
\Rightarrow	NP VP	$NP \rightarrow N$	0.1		
\Rightarrow	N VP	${\sf N}{ ightarrow}ideas$	1.0		
\Rightarrow	ideas VP	$VP \rightarrow VP \ AdvP$	0.3		
\Rightarrow	ideas VP AdvP	$VP{ o}V$	0.2		
\Rightarrow	ideas V AdvP	V→sleep	1.0		
\Rightarrow	ideas sleep AdvP	$AdvP {\rightarrow} Adv$	1.0		
\Rightarrow	ideas sleep Adv	$Adv{ o} \mathit{furiously}$	1.0		
\Rightarrow	ideas sleep furiously				
$0.8 \times 0.1 \times 1.0 \times 0.3 \times 0.2 \times 1.0 \times 1.0 \times 1.0$					

	S	$S \rightarrow NP VP$	8.0		
\Rightarrow	NP VP	$NP \rightarrow N$	0.1		
\Rightarrow	N VP	$N{ ightarrow}ideas$	1.0		
\Rightarrow	ideas VP	$VP{ o}VP AdvP$	0.3		
\Rightarrow	ideas VP AdvP	$VP{ ightarrow}V$	0.2		
\Rightarrow	ideas V AdvP	V→sleep	1.0		
\Rightarrow	ideas sleep AdvP	$AdvP{\to}Adv$	1.0		
\Rightarrow	ideas sleep Adv	Adv→ <i>furiously</i>	1.0		
\Rightarrow	ideas sleep furiously				
$0.8 \times 0.1 \times 1.0 \times 0.3 \times 0.2 \times 1.0 \times 1.0 \times 1.0$					

Generative model

Properties of PCFGs

- A probability is assigned to each parse-tree licensed by the underlying CFG
- Say we have a sentence s, set of derivations for that sentence is $\mathcal{T}(s)$, as defined by a CFG. Then a PCFG assigns a probability p(t) to each member of $\mathcal{T}(s)$.
- We now have a Score function (probability) that can rank trees.
- ullet The most likely parse tree for a sentence s is

$$\left(\operatorname{arg\,max}_{t \in \mathcal{T}(s)} p(t) \right)$$

"correct" means more probable parse tree

"grammaticality" is the inclusion criterion into the language, but is not a binary property

Deriving a PCFG from a Treebank

Given a set of example trees (a treebank), the underlying CFG can simply be all rules seen in the corpus

Maximum Likelihood Estimates

$$q_{ML}(\alpha \to \beta) = \frac{\text{COUNT}(\alpha \to \beta)}{\text{COUNT}(\alpha)}$$

The counts are taken from a training set of example trees.

If the training data is generated by a PCFG, then as the training data size goes to infinity, the maximum-likelihood PCFG will converge to the same distribution as the "true" PCFG.

Challenge

Cross-serial dependencies in Swiss German

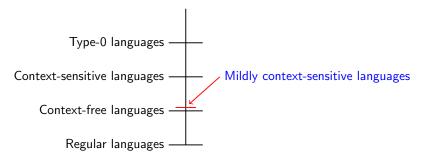
- ... das mer em Hans es huus hälfed aastriiche
- ... that we Hans_{Dat} house_{Acc} help paint
- ... that we helped Hans paint the house
- ... das mer d'chind em Hans es huus lönd hälfe aastriiche
- ... that we the children Acc Hans Dat house Acc let help paint
- ... that we let the children help Hans paint the house

Cross-serial dependencies in Dutch

- ... dat Wim Jan Marie de kinderen zag helpen leren zwemmen
- ... that Wim Jan Marie the children saw help teach swim
- ... that Wim saw Jan help Marie teach the children to swim

Mildly Context-Sensitive Languages

Natural languages are provably non-context-free. Natural languages = mildly context-sensitive languages?



Reading

- Ann's lecture notes.
 https://www.cl.cam.ac.uk/teaching/1920/NLP/materials.html
- D Jurafsky and J Martin. Speech and Language Processing.
 - Chapter 12. Constituency Grammars. https://web.stanford.edu/~jurafsky/slp3/12.pdf
 - Chapter 14. Statistical Constituency Parsing. https://web.stanford.edu/~jurafsky/slp3/14.pdf