

# DL in Audio: Sound and Sound Representations



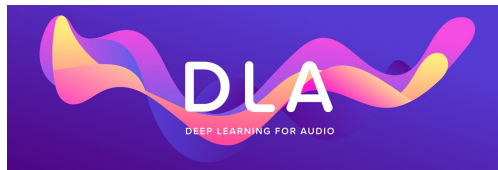
NATIONAL RESEARCH  
UNIVERSITY

2024  
Deep Learning in Audio Processing,  
Invited Talks

Maksim Kaledin

# About

- **PhD in Applied Mathematics:** With the topic about RL and optimal control
- **Currently:** Associate Professor, Department of Big Data and Information Retrieval
- **Sound Research for Some Time:** Key topic is audio source separation
- **Btw, there is a course:** DLA
- **... and YouTube channel:** Sound, DL and Various Statistics



# A short history of Speech Recognition



50's

In **1952**, Bell Laboratories designed the “**Audrey**” system which could recognize a single voice speaking **digits** aloud

In **1962**, IBM introduced “**Shoebox**” which understood and responded to **16 words** in English.

60's



# A short history of Speech Recognition

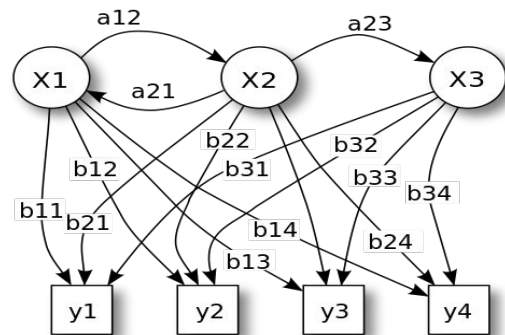


70's

80's

The '80s saw speech recognition vocabulary go from a few hundred words to **several thousand words** thanks to **HMM**

DARPA's system was capable of understanding over **1,000** words. **Siri** was a spin-out of DARPA development :)



# A short history of Speech Recognition

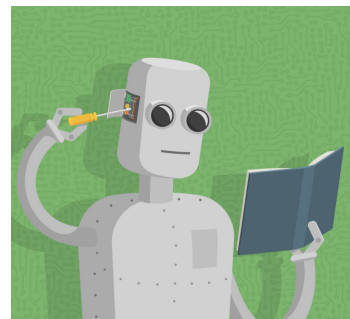
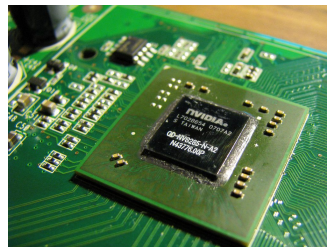


90's

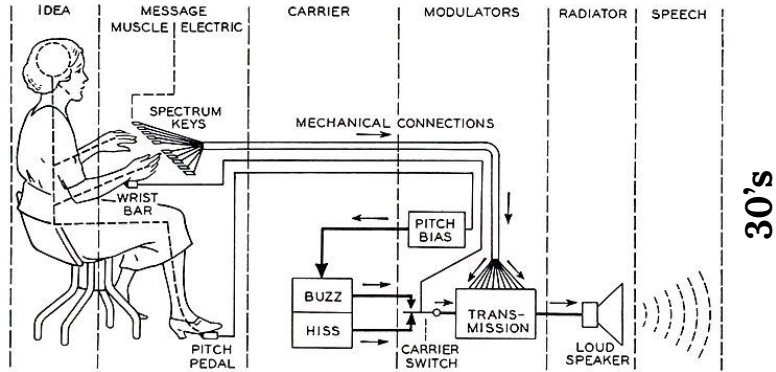
Speech recognition was propelled forward in the 90s in large part because of **faster processors**

And then came the era of big data, machine learning and GPUs

00-10's



# A short history of Speech Synthesis



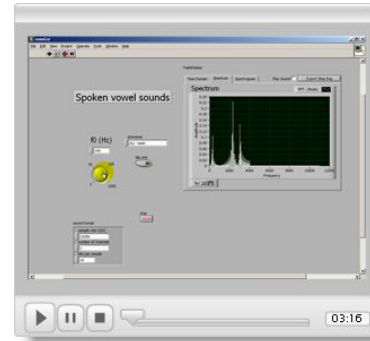
30's

In **1939**, The Bell Laboratory's **Voder** was the first attempt to electronically synthesize human speech by breaking it down into its **acoustic components**

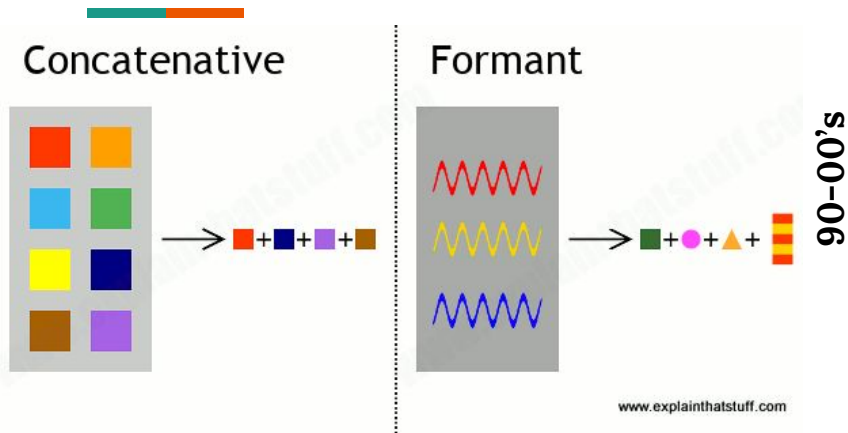
Formant-based on rules. You may listen examples in Atari&Sega games :)



until 80's

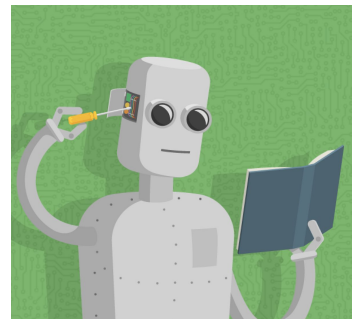
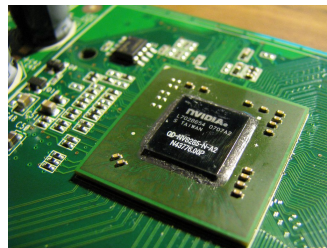


# A short history of Speech Synthesis

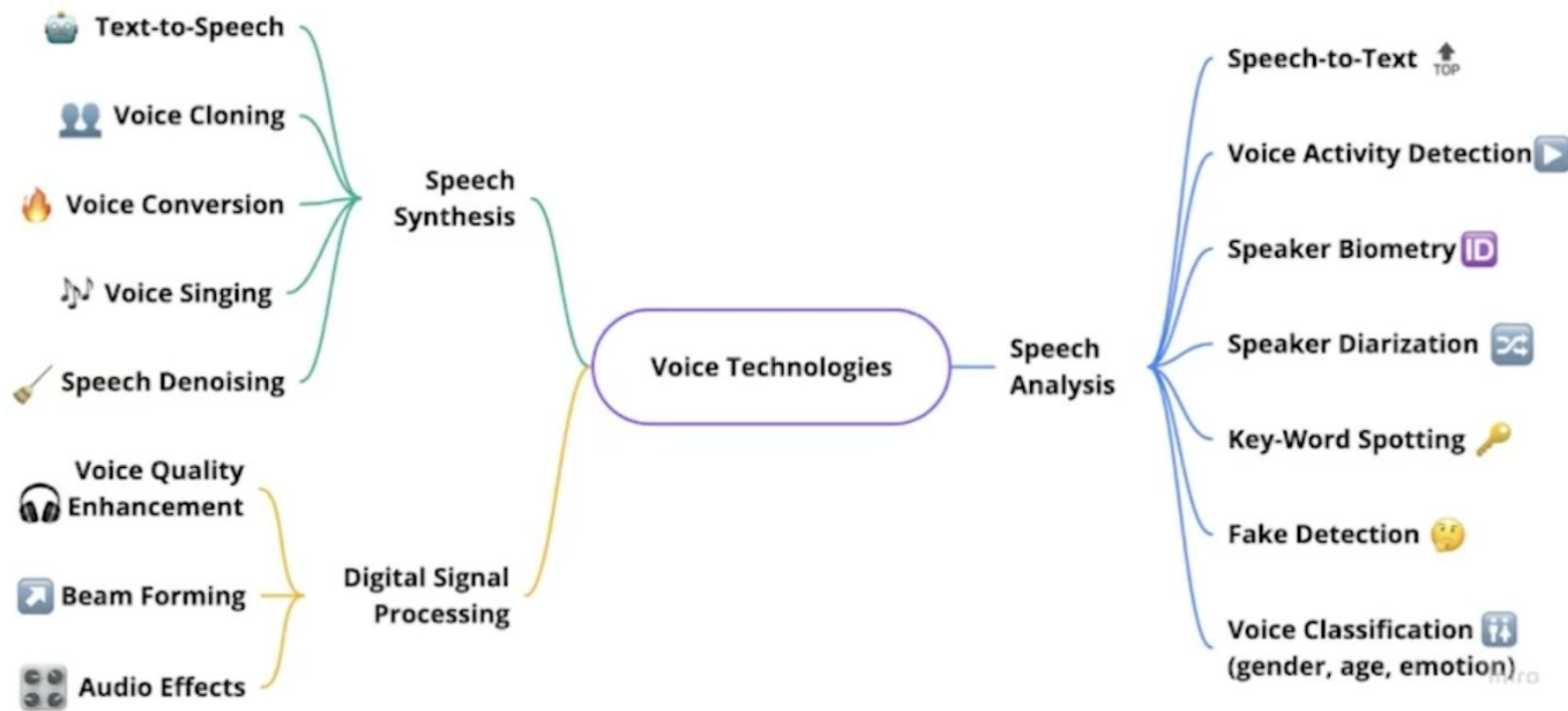


And then came the era of big data, machine learning and GPUs

**Concatenative synthesis** is a technique for synthesising sounds by concatenating short samples of recorded sound (called *units*).



# Briefly on Speech Technologies





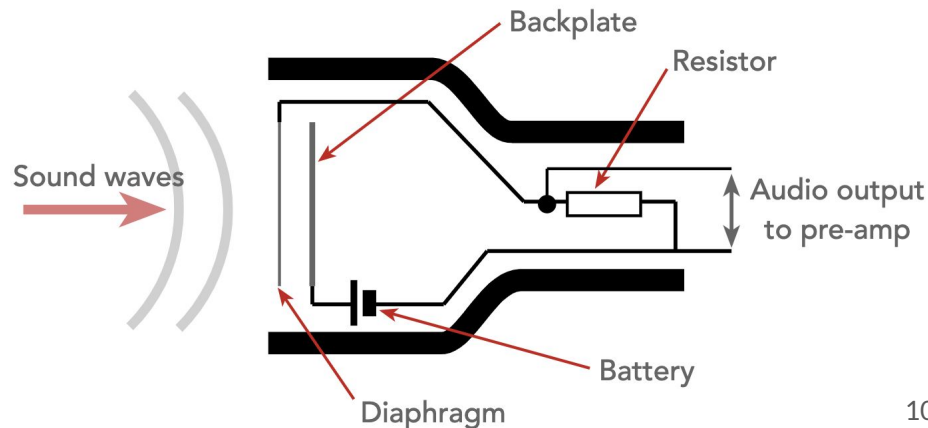
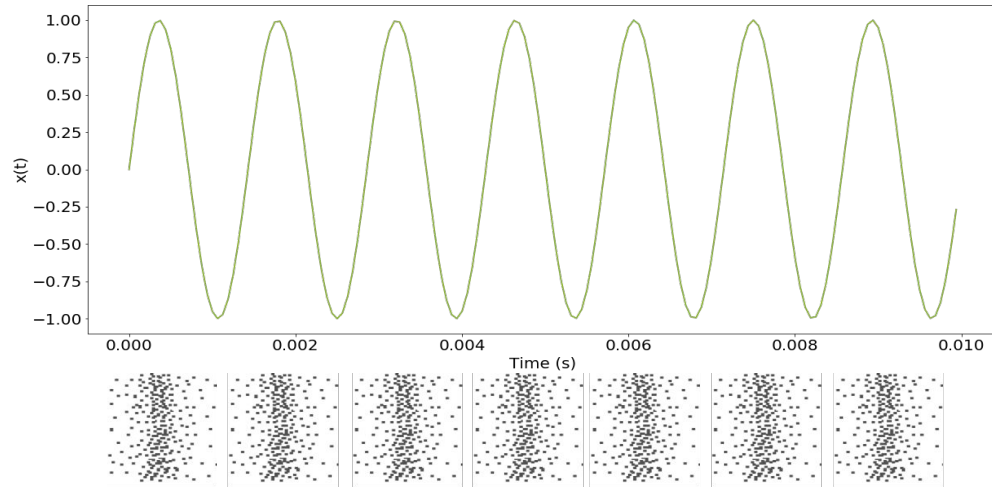


# Sound representation

What is sound and how to store it  
in memory?

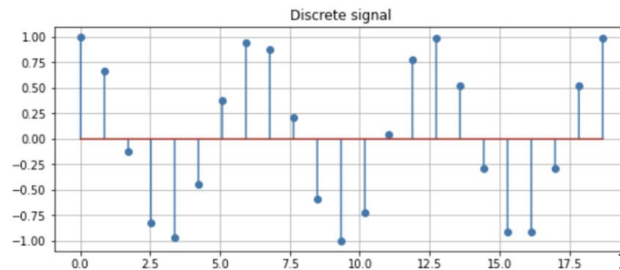
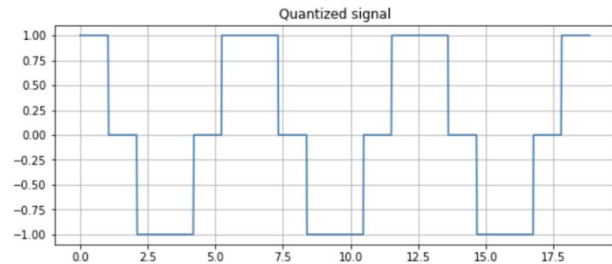
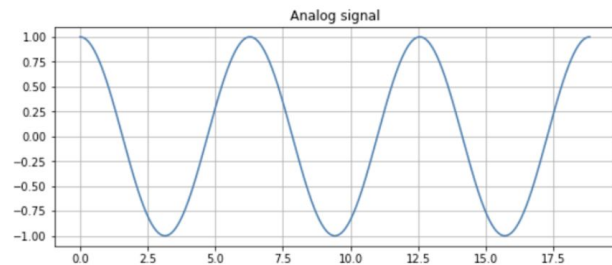
# What is sound?

- **Sound wave** is the pattern of **oscillations** caused by the movement of energy traveling through the air
- **Microphone** picks up these air **oscillations** and converts them into electrical vibrations
- These **oscillations** are converted into an **analog** signal and then a **digital** signal



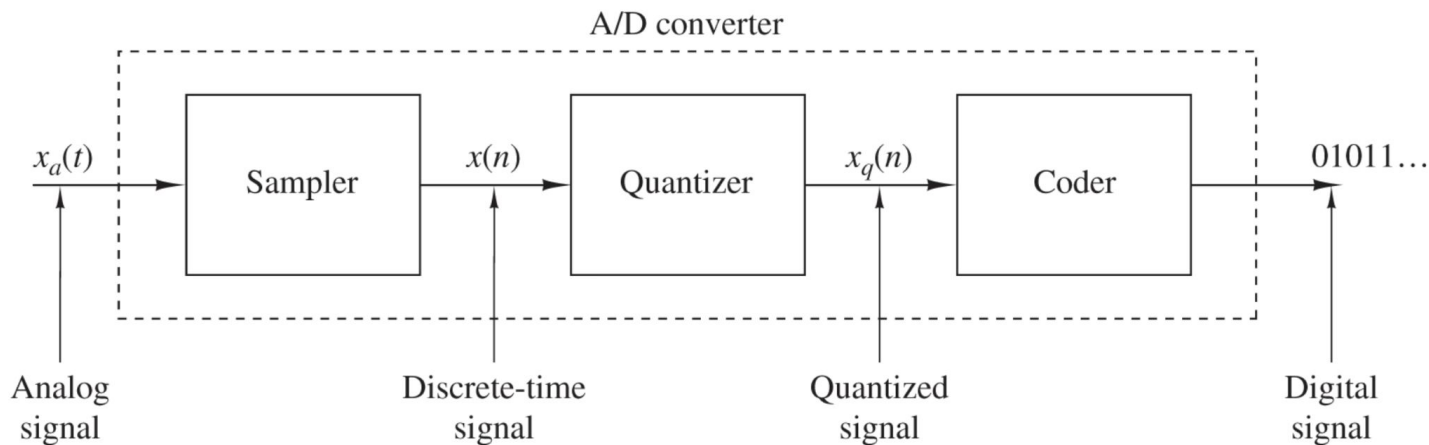
# How is sound stored in the computer?

- The **analog** signal is **discretized**, quantized and encoded
- An analog signal is **discretized** in that the signal is represented as a sequence of values taken at discrete points in time  $t$  with step  $d$
- **Quantisation** of a signal consists in splitting the range of signal values into  $N$  levels in increments of  $d$  and selecting for each reference the level that corresponds to it
- Signal **encoding** is just a way of presenting the signal in a more compact form



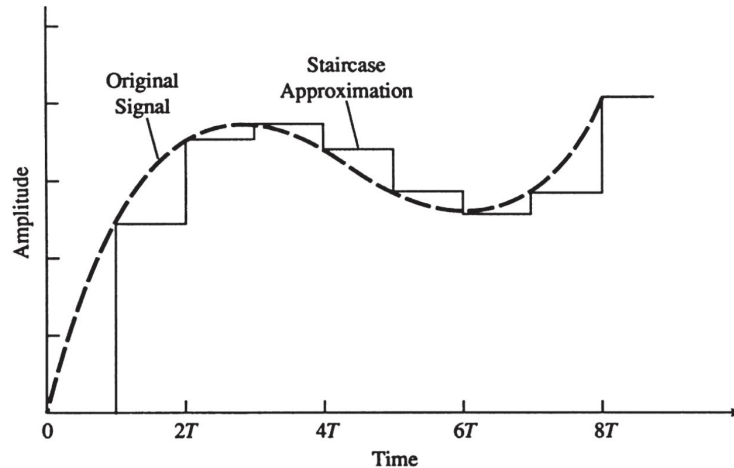
# Analog-to-Digital Conversion

- Converting analog signals to a sequence of numbers having finite precision
- Corresponding devices are called A/D converters (ADCs)




# Digital-to-Analog Conversion

- Process of converting a digital signal into an analog signal
- Interpolation
  - Connecting dots in a digital signal
  - Approximations: zero-order hold (staircase), linear, quadratic, and so on



# What other characteristics are there?

- 
- **Sample rate (SR)** - number of audio samples per one second (e.g. 8 kHz, 22.05 kHz, 44.1 kHz)
  - **Sample size** - number of bits per one sample (e.g. 8, 16, 25, 32 bits)
  - **Number of channels** -- how many signals we record in parallel (e.g. mono(1), stereo(2))

## 8000 Hz

The international [G.711](#) <sup>↗</sup> standard for audio used in telephony uses a sample rate of 8000 Hz (8 kHz). This is enough for human speech to be comprehensible.

## 44100 Hz

The 44.1 kHz sample rate is used for compact disc (CD) audio. CDs provide uncompressed 16-bit stereo sound at 44.1 kHz. Computer audio also frequently uses this frequency by default.

## 48000 Hz

The audio on DVD is recorded at 48 kHz. This is also often used for computer audio.

## 96000 Hz

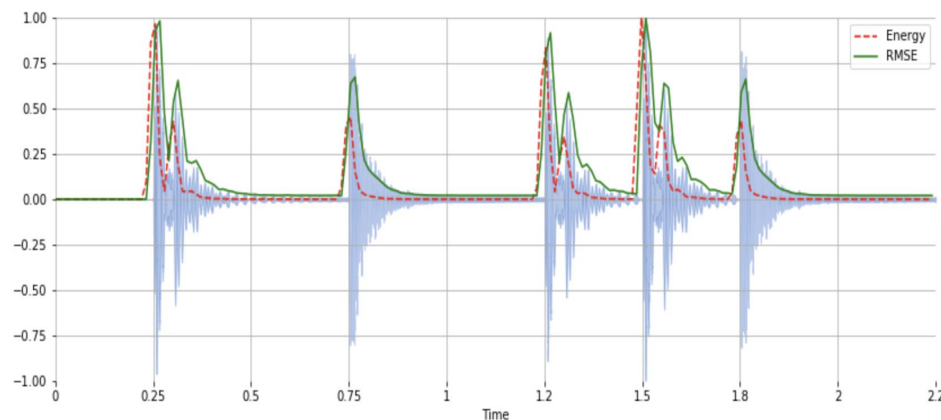
High-resolution audio.

## 192000 Hz

Ultra-high resolution audio. Not commonly used yet, but this will change over time.

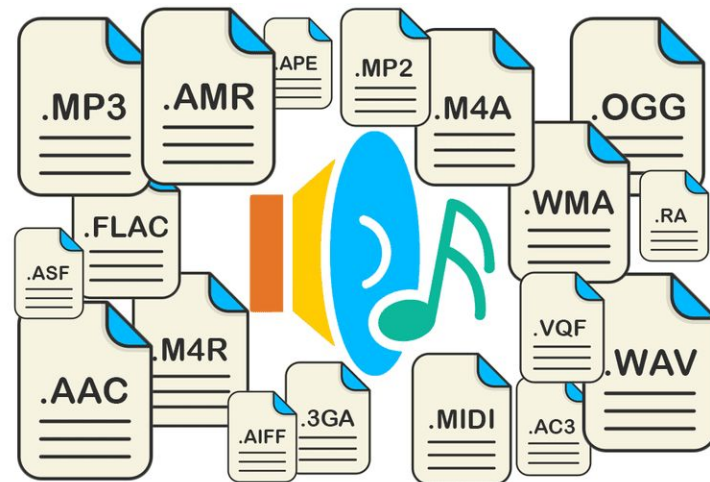
# What other characteristics are there?

- Assume  $\mathbf{f}(\mathbf{n})$  is our signal where  $\mathbf{n}$  is time
- Power of signal is  $f^2(n)$
- Energy of signal ( $\mathbf{E}$ ) is  $\sum f^2(n)$
- In practice estimated by some window
- Energy in **decibels**:  $10 \log_{10} E$
- $\text{SNR}_{dB} = 10 \log_{10} \frac{E_{\text{signal}}}{E_{\text{noise}}}$



# What about audio formats?

- Non-compressed formats: **WAV, AIFF, etc.**
- Lossless compression(2:1) : **FLAC, ALAC, etc.**
- Lossy compression(10:1) : **MP3, Opus, etc**
- **Bit rate** measure a degree of compression. Number of bit that are conveyed or processed per **unit of time**.





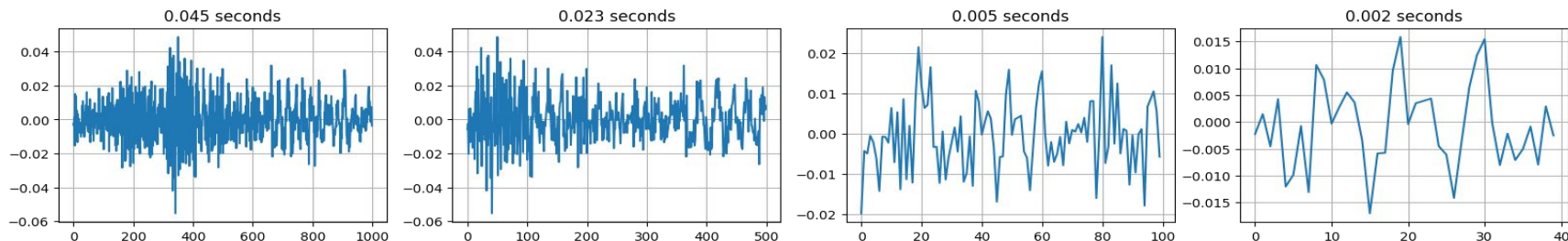


# Frequencies and Spectrograms

Why not just use wave representation for ML?

# Problems with the waveform

- One letter/sound consists of 2000-4000 amplitudes, so they are expensive to process and store



- No "invariant" regarding noise and transformations
- Periodical nature of audio signals

Voice



Voice + Noise



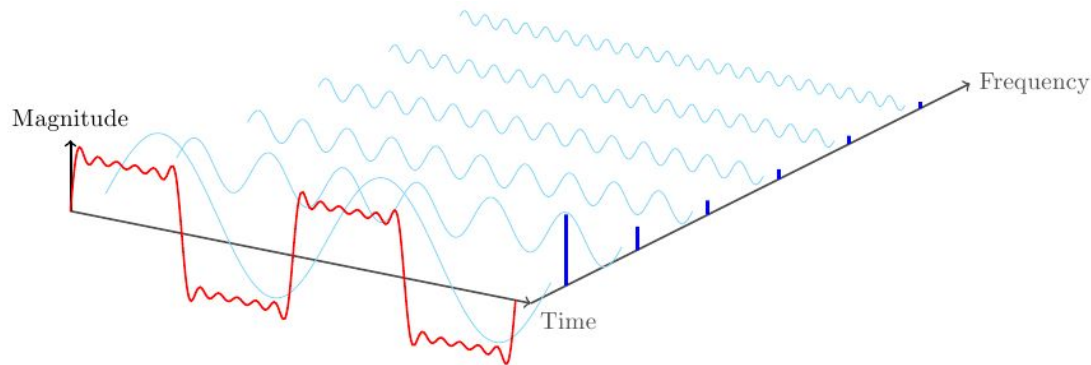
# Complex waves as a sum of sigmoids

We want to represent a periodic function as a sum of sigmoids with different periods (frequencies), shifts and amplitudes.

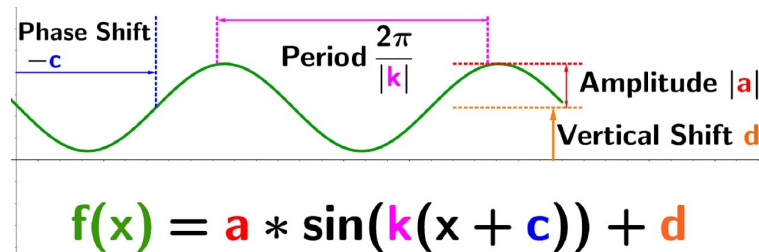
$$f(x) = A_1 * \sin(freq_1 x + \phi_1) + \dots$$

...

$$\dots + A_n * \sin(freq_n x + \phi_n)$$



Parameters of a sine wave



# Complex waves as a sum of sigmoids

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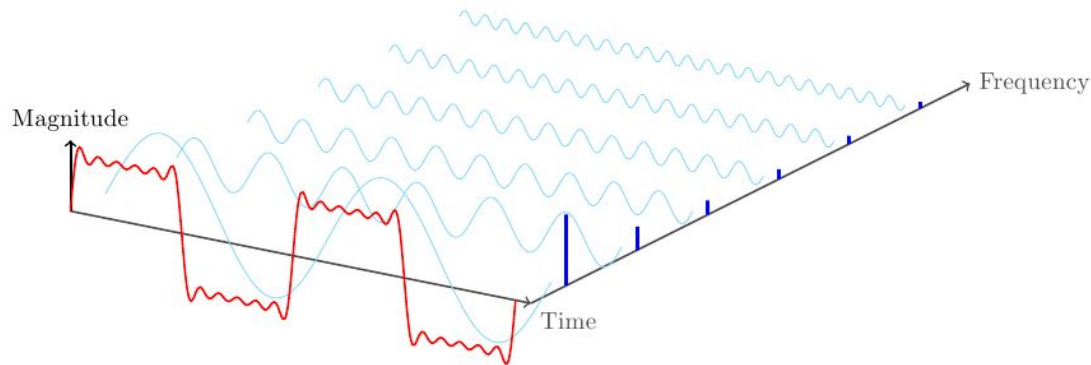
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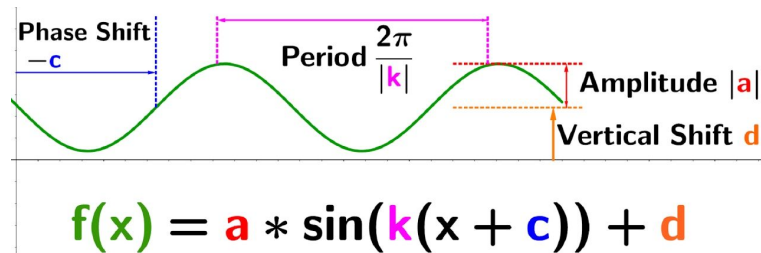
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And for audio processing we are only interested in:

- **Frequencies**
- **Amplitudes**



Parameters of a sine wave



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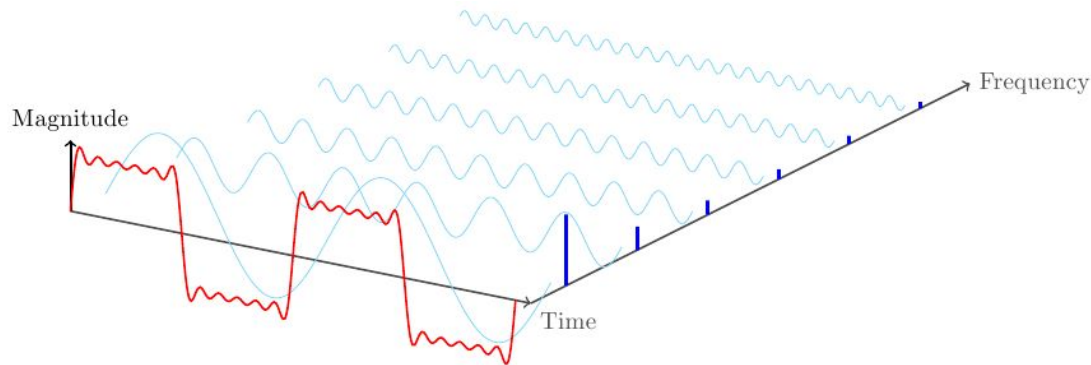
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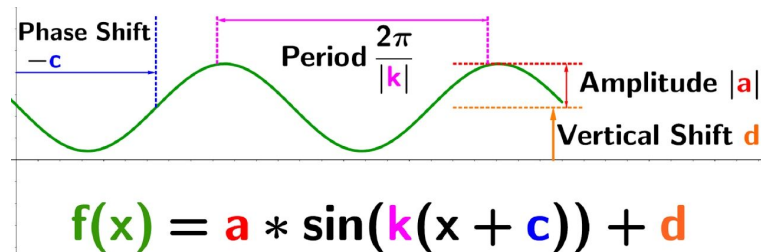
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And for audio processing we are only interested in:

- **Frequencies**
- **Amplitudes**
- **Phases(?)**



Parameters of a sine wave



# Fourier Transform

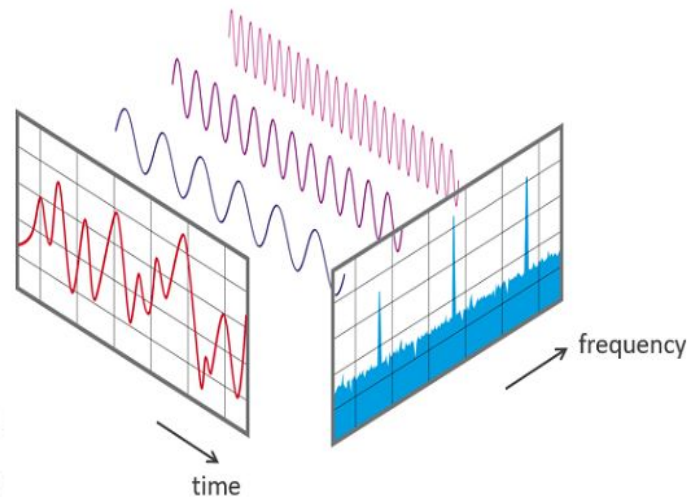
- The **Fourier transform(FT)** is a mathematical formula that allows us to decompose a signal into its individual **frequencies** and the frequency's **amplitude**
- FT transfer a signal from real-valued function of the **time domain** to a complex-valued function of **frequency domain**

Fourier transform integral

$$\hat{f}(\xi) = \int_{-\infty}^{\infty} f(x) e^{-i2\pi\xi x} dx, \quad \forall \xi \in \mathbb{R}.$$

$$f : \mathbb{R} \rightarrow \mathbb{R}$$

$$\hat{f} : \mathbb{R} \rightarrow \mathbb{C}$$



- The function must meet the following conditions:
  - to be **bounded**
  - to be **absolutely integrable**
  - to have a **finite number** of minimas, maximas and discontinuities

# Fourier Transform

- The **Fourier transform(FT)** is a mathematical formula that allows us to decompose a signal into its individual **frequencies** and the frequency's **amplitude**
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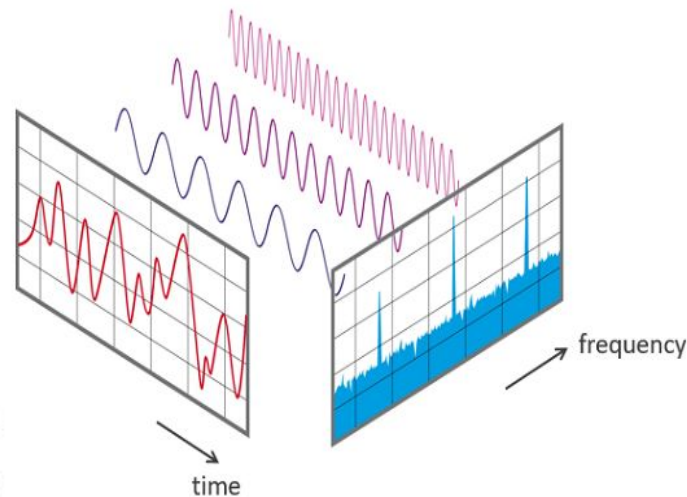
$$\hat{f}(\xi) = \int_{-\infty}^{\infty} f(x) e^{-i2\pi\xi x} dx, \quad \forall \xi \in \mathbb{R}.$$

Frequency

Original signal

$$f : \mathbb{R} \rightarrow \mathbb{R}$$

$$\hat{f} : \mathbb{R} \rightarrow \mathbb{C}$$



# Inverse Fourier Transform



Fourier transform integral

$$\hat{f}(\xi) = \int_{-\infty}^{\infty} f(x) e^{-i2\pi\xi x} dx, \quad \forall \xi \in \mathbb{R}.$$

Fourier inversion integral

$$f(x) = \int_{-\infty}^{\infty} \hat{f}(\xi) e^{i2\pi\xi x} d\xi, \quad \forall x \in \mathbb{R},$$



# Inverse Fourier Transform



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$$f(x) = \int_{-\infty}^{\infty} \hat{f}(\xi) e^{i2\pi\xi x} d\xi, \quad \forall x \in \mathbb{R},$$

$$= 2 \int_0^{\infty} \operatorname{Re}(\hat{f}(\xi) \cdot e^{i2\pi\xi x}) d\xi$$

Property of FT

$$\hat{f}(\xi) = \begin{cases} \int_{-\infty}^{\infty} f(x) e^{-i2\pi\xi x} dx, & \xi \geq 0 \\ \hat{f}^*(|\xi|) & \xi < 0, \end{cases}$$

# Inverse Fourier Transform



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$$= 2 \int_0^{\infty} \left( \operatorname{Re}(\hat{f}(\xi)) \cdot \cos(2\pi\xi x) - \operatorname{Im}(\hat{f}(\xi)) \cdot \sin(2\pi\xi x) \right) d\xi.$$

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Euler's formula

$$e^{jx} = \cos x + j \sin x$$



# Discrete Fourier Transform (DFT)

How to calculate Fourier Transform in practice?

# Discrete Fourier transform



- Operates on signal **X** consisting of **N uniformly sampled across [0,T] points**
- **Discrete analogue of FT:** at frequency number  $k$  (which is  $2\pi k/N \cdot \text{sampleRate}$  Hz) it gives a complex number

$$\hat{X}_k = \sum_{t=0}^{N-1} X_t e^{-\frac{2\pi k i}{N} t}$$

# Discrete Fourier transform

- Operates on signal **X** consisting of **N** **uniformly sampled across [0,T] points**
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$$\hat{X}_{\text{frequency } k} = \text{amplitude } a_k e^{-i \text{phase } \phi_k} = a_k (\cos(\phi_k) - i \sin(\phi_k))$$

# Discrete Fourier transform



$$\hat{X} = MX$$

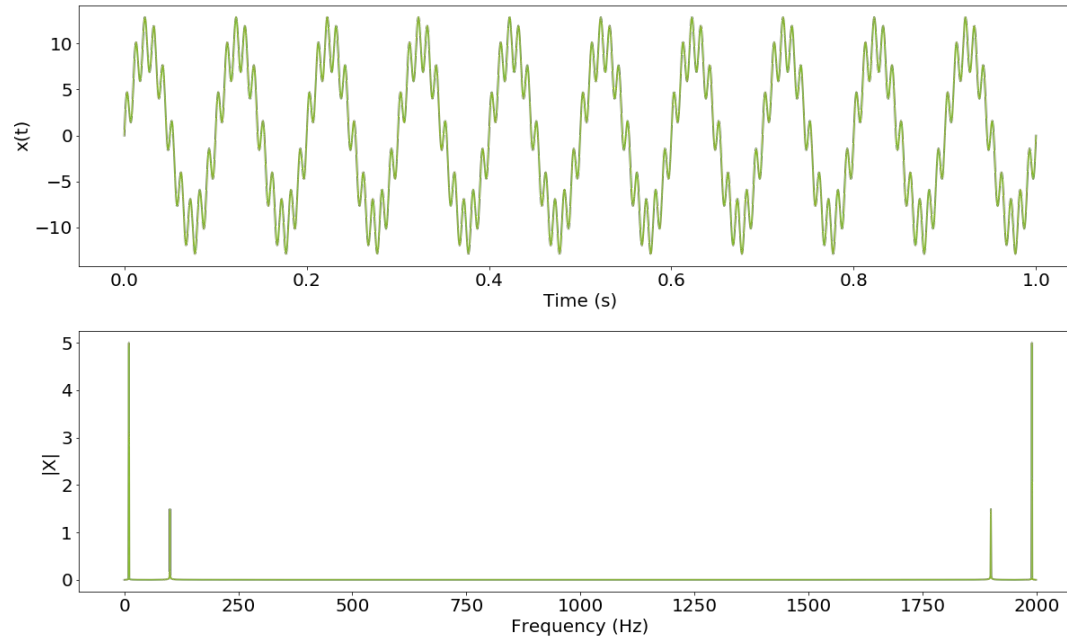
$$M_{mn} = \exp\left(-2\pi i \frac{(m-1)(n-1)}{N}\right)$$

$$\mathbf{M} = \begin{pmatrix} 1 & 1 & 1 & 1 & \dots & 1 \\ 1 & e^{-\frac{2\pi i}{N}} & e^{-\frac{4\pi i}{N}} & e^{-\frac{6\pi i}{N}} & \dots & e^{-\frac{2\pi i}{N}(N-1)} \\ 1 & e^{-\frac{4\pi i}{N}} & e^{-\frac{8\pi i}{N}} & e^{-\frac{12\pi i}{N}} & \dots & e^{-\frac{2\pi i}{N}2(N-1)} \\ 1 & e^{-\frac{6\pi i}{N}} & e^{-\frac{12\pi i}{N}} & e^{-\frac{18\pi i}{N}} & \dots & e^{-\frac{2\pi i}{N}3(N-1)} \\ \vdots & \vdots & \vdots & \vdots & \ddots & \vdots \\ 1 & e^{-\frac{2\pi i}{N}(N-1)} & e^{-\frac{2\pi i}{N}2(N-1)} & e^{-\frac{2\pi i}{N}3(N-1)} & \dots & e^{-\frac{2\pi i}{N}(N-1)^2} \end{pmatrix}$$

# Example of DFT



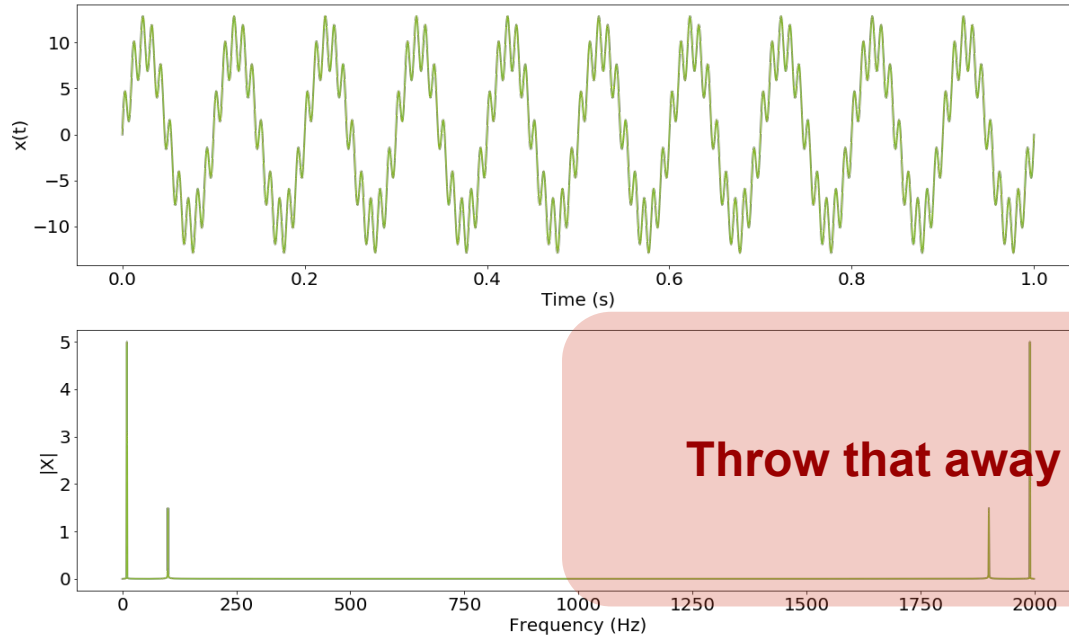
$$f(t) = 10 \sin(2\pi 10t) + 3 \sin(2\pi 100t)$$



# Example of DFT



$$f(t) = 10 \sin(2\pi 10t) + 3 \sin(2\pi 100t)$$



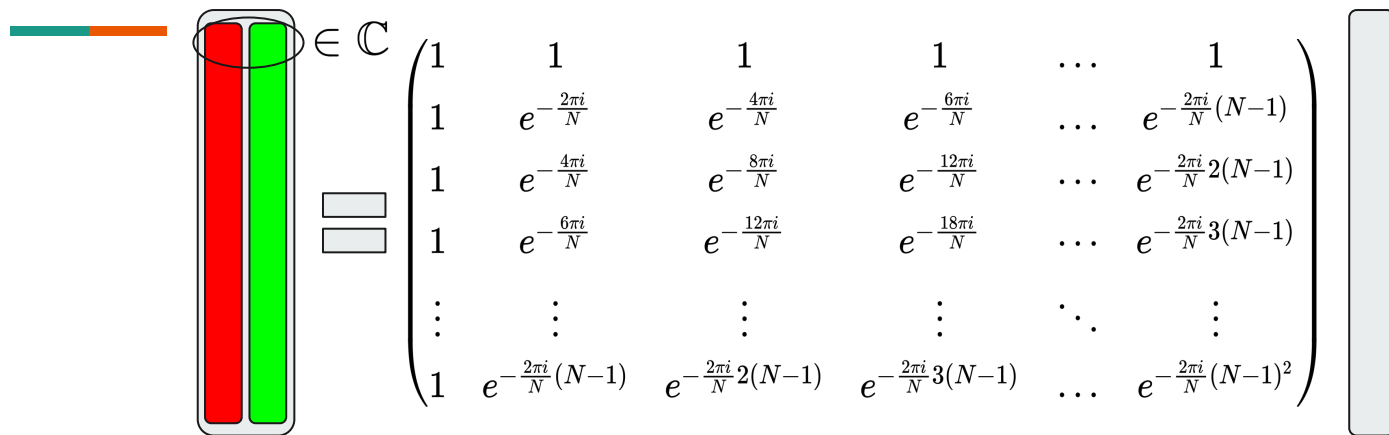


# Why spectrum is mirroring?



$$\begin{aligned} X_m &= \sum_{n=0}^{N-1} x_n \exp\left(-j2\pi \frac{m}{N} n\right) \\ X_{N-m} &= \sum_{n=0}^{N-1} x_n \exp\left(-j2\pi \frac{N-m}{N} n\right) \\ &= \sum_{n=0}^{N-1} x_n \exp\left(-j2\pi n + j2\pi \frac{m}{N} n\right) \\ &= \sum_{n=0}^{N-1} x_n \exp\left(j2\pi \frac{m}{N} n\right) \\ &= (X_m)^* \end{aligned}$$

# Discrete Fourier transform

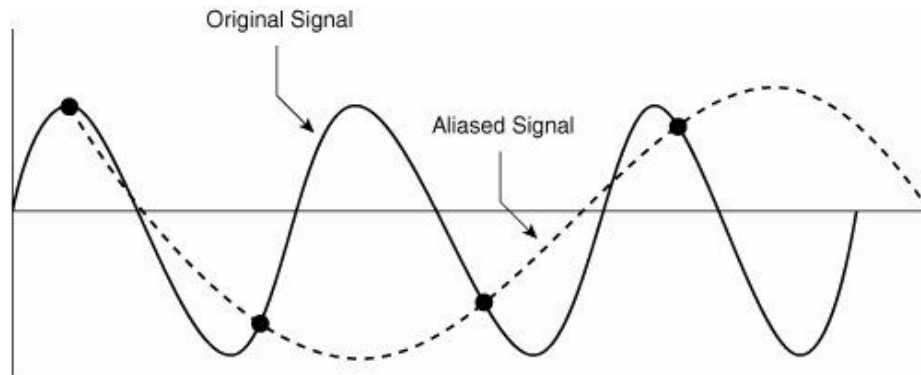


$$\begin{bmatrix} \text{red column} \\ \text{green column} \end{bmatrix} \in \mathbb{C} = \begin{pmatrix} 1 & 1 & 1 & 1 & \dots & 1 \\ 1 & e^{-\frac{2\pi i}{N}} & e^{-\frac{4\pi i}{N}} & e^{-\frac{6\pi i}{N}} & \dots & e^{-\frac{2\pi i}{N}(N-1)} \\ 1 & e^{-\frac{4\pi i}{N}} & e^{-\frac{8\pi i}{N}} & e^{-\frac{12\pi i}{N}} & \dots & e^{-\frac{2\pi i}{N}2(N-1)} \\ 1 & e^{-\frac{6\pi i}{N}} & e^{-\frac{12\pi i}{N}} & e^{-\frac{18\pi i}{N}} & \dots & e^{-\frac{2\pi i}{N}3(N-1)} \\ \vdots & \vdots & \vdots & \vdots & \ddots & \vdots \\ 1 & e^{-\frac{2\pi i}{N}(N-1)} & e^{-\frac{2\pi i}{N}2(N-1)} & e^{-\frac{2\pi i}{N}3(N-1)} & \dots & e^{-\frac{2\pi i}{N}(N-1)^2} \end{pmatrix}$$

$$\hat{X}_{\text{frequency } k} = \text{amplitude } a_k e^{-i \text{phase } \phi_k} = a_k (\cos(\phi_k) - i \sin(\phi_k))$$

# Kotelnikov Theorem

- If a function  $f(t)$  contain no frequencies higher than  $B$  hertz, it is completely determined by giving its ordinates at series of points spaced  $1/2B$  seconds apart
- **Example:** If signal contains frequency 100 Hz, the sampling rate for this signal needs to be 200 Hz at least
- DFT of a segment of a signal with sample rate  $N$ , will produce amplitudes for nfft evenly spread frequencies in range  $[-\text{sampleRate} / 2; \text{sampleRate} / 2]$

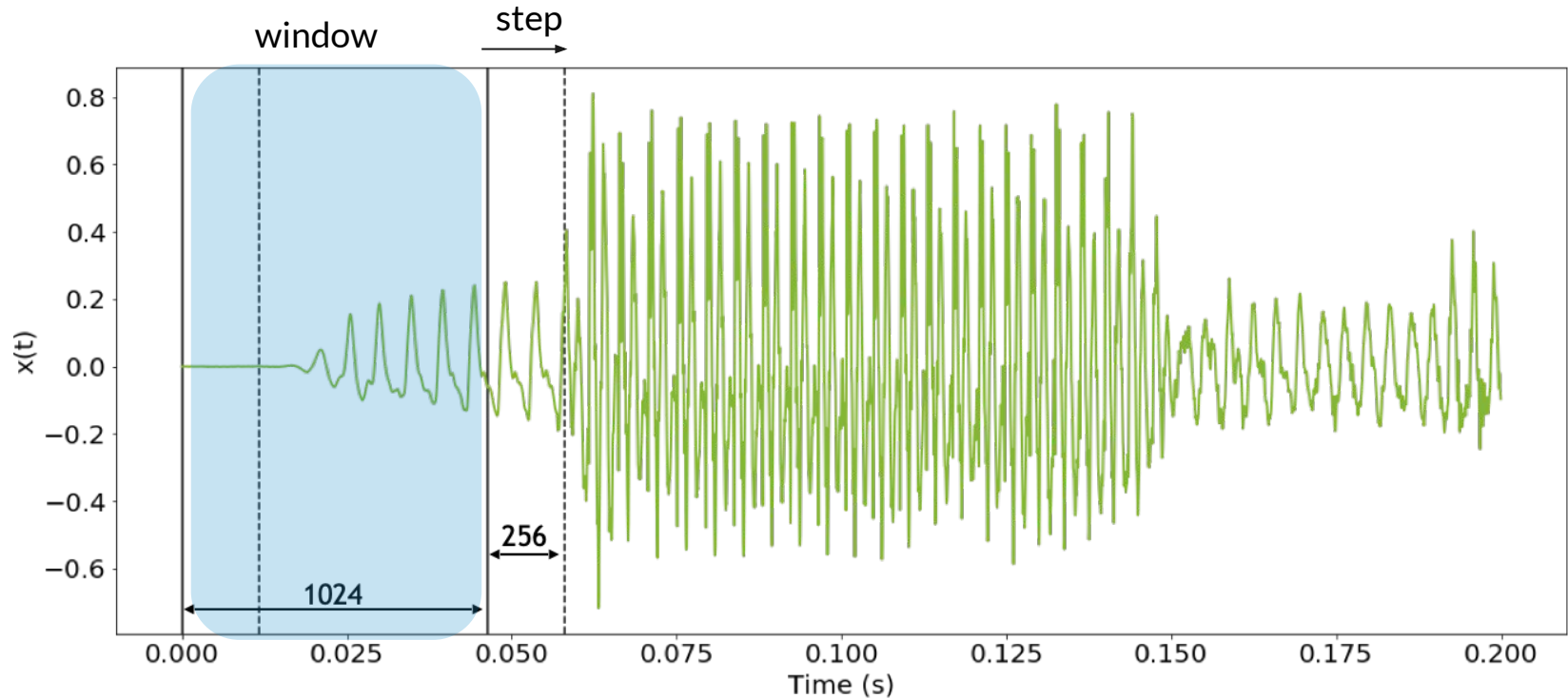




# Short Time Fourier Transform (STFT)

How to apply FT to a long non-periodic signal?

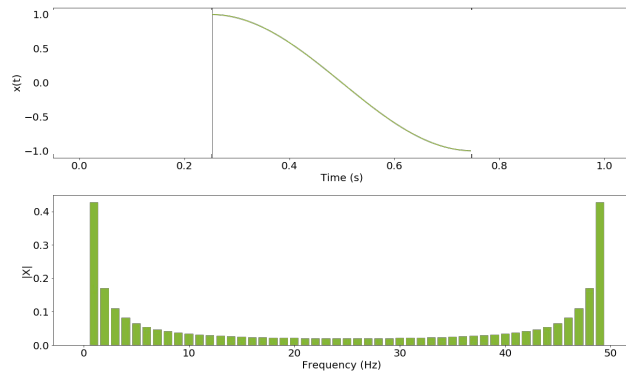
# Short-Time Fourier Transform



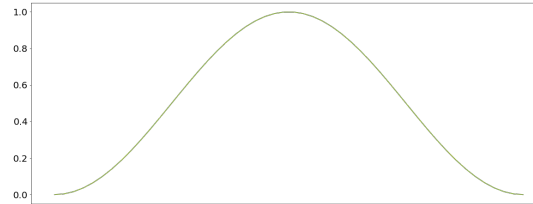
# Window functions



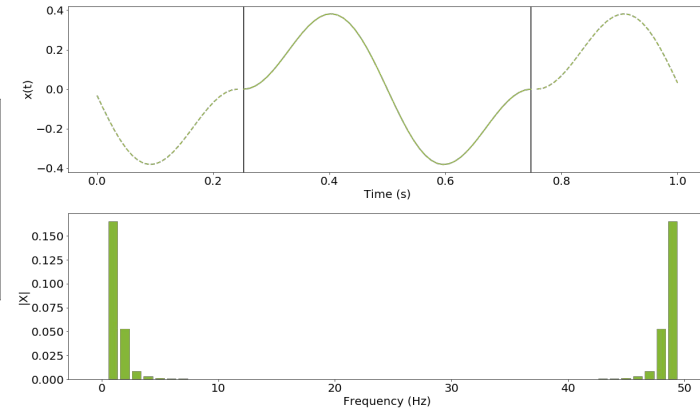
Sliced signal



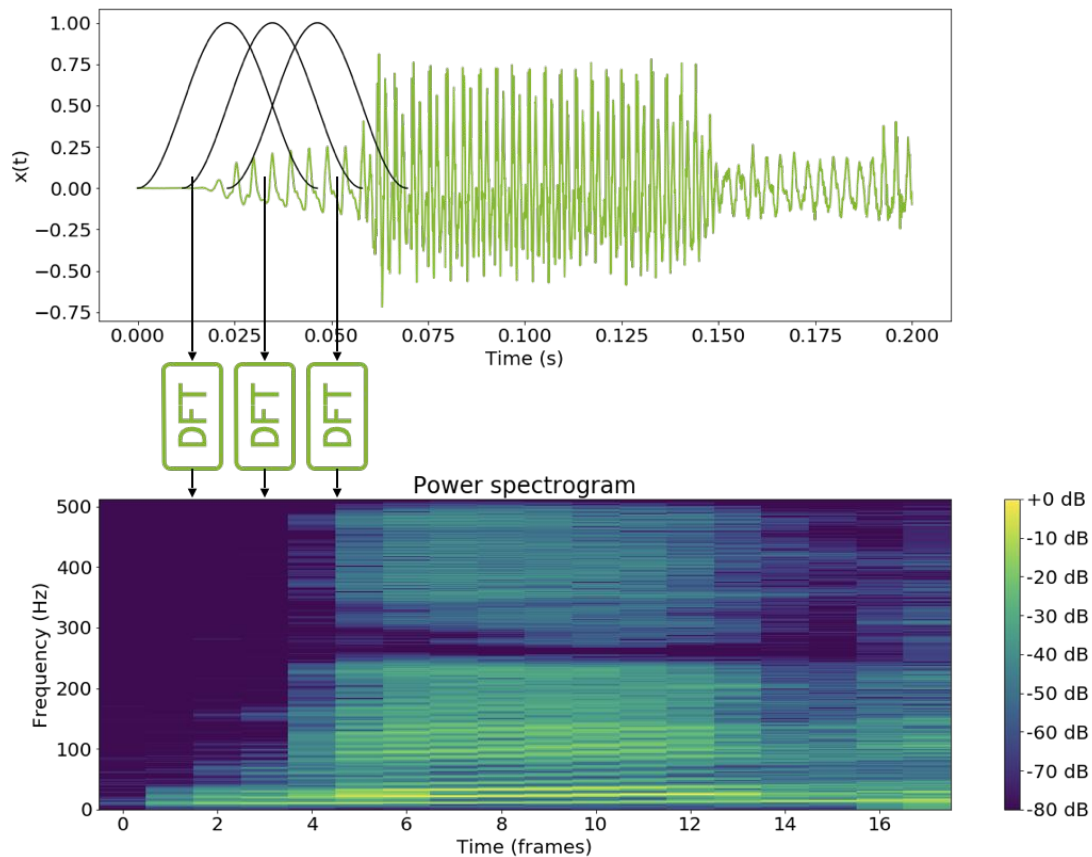
Window



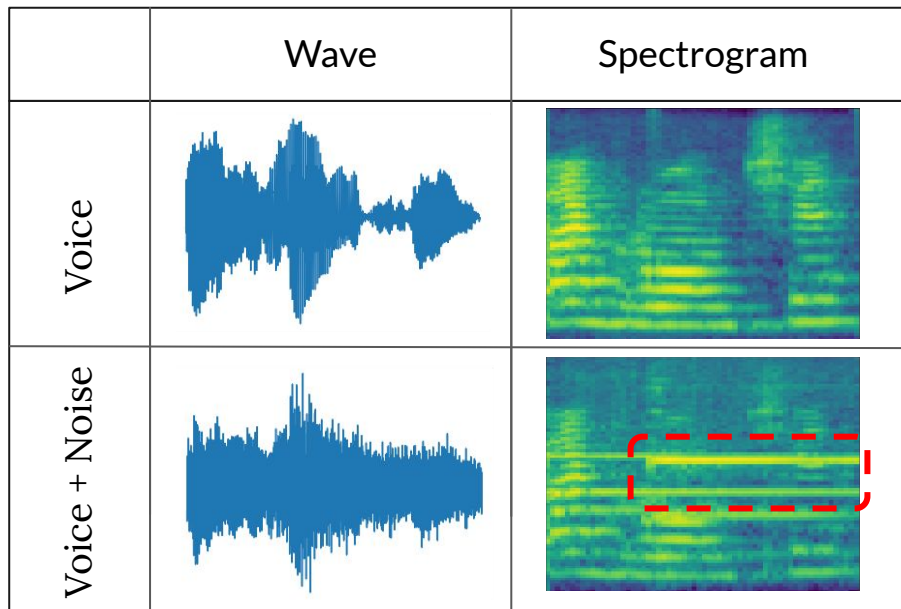
Windowed signal



# Short Time Fourier Transform + window function



# Spectrogram



**Practical use:** values of the spectrogram are very small, so typically the log-spectrogram is used instead



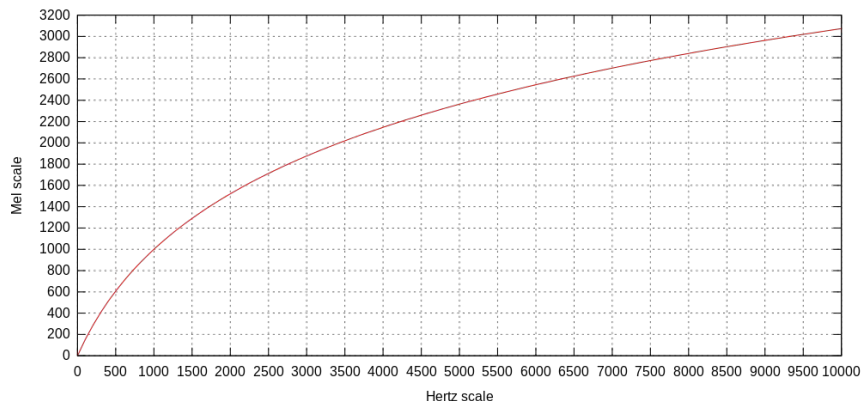


# Mel Scale

Compressing the spectrogram

# Mel Scale

- Humans perceive sound on a log-scale. For human ear:
  - 500 Hz << 600 Hz
  - but 5000 Hz ≈ 5100 Hz



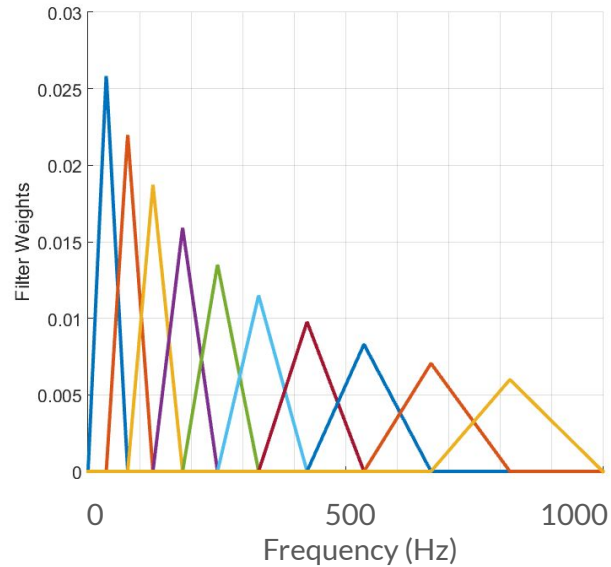
There is no single mel-scale formula.<sup>[3]</sup> The popular formula from O'Shaughnessy's book can be expressed with different logarithmic bases:

$$m = 2595 \log_{10} \left( 1 + \frac{f}{700} \right) = 1127 \ln \left( 1 + \frac{f}{700} \right)$$

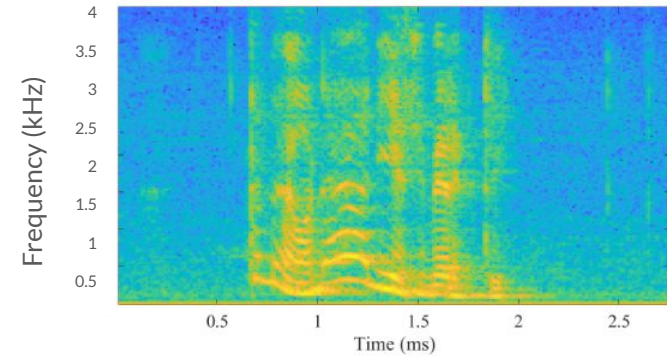
The corresponding inverse expressions are:

$$f = 700 \left( 10^{\frac{m}{2595}} - 1 \right) = 700 \left( e^{\frac{m}{1127}} - 1 \right)$$

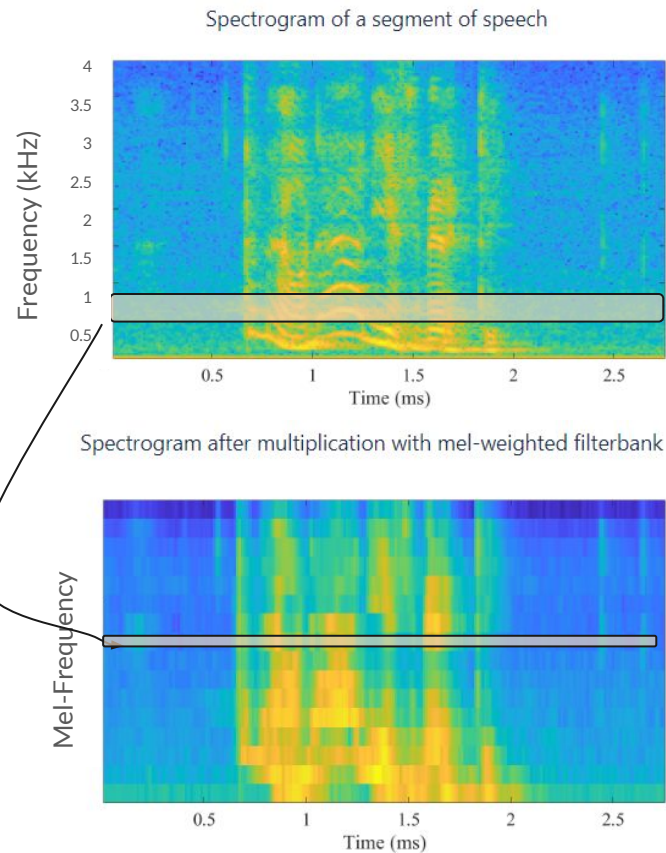
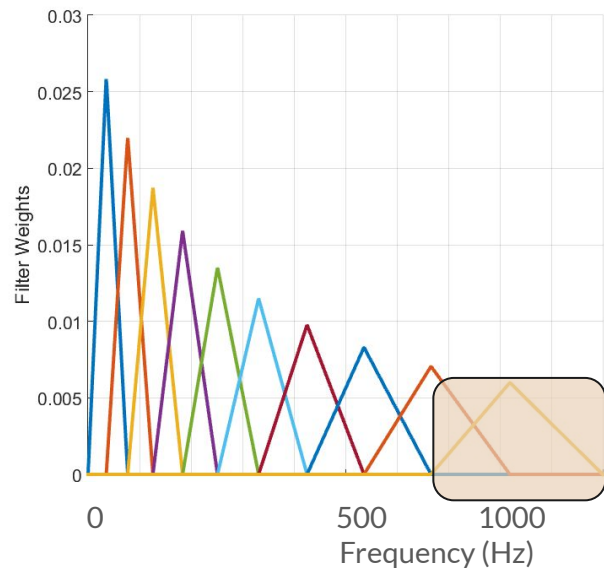
# Mel Spectrogram



Spectrogram of a segment of speech



# Mel Spectrogram





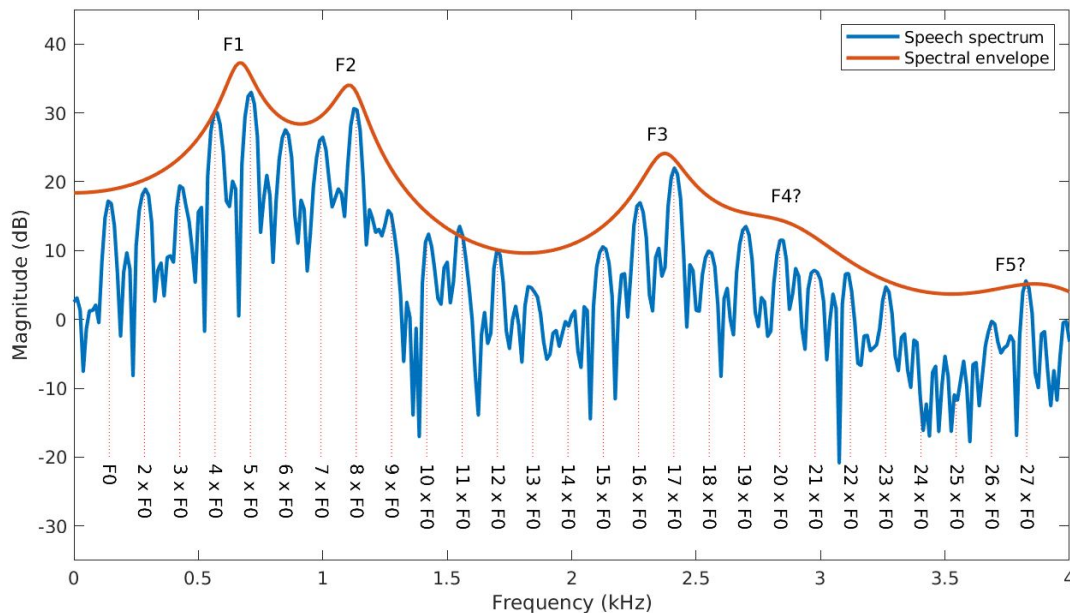
# MFCC

Decorrelating the spectrogram

- Sound representation
- Motivation for spectrograms
- Fourier Transform
- Discrete Fourier Transform
- Short Time Fourier Transform
- Spectrogram
- Mel scale
- **MFCC**

# Fundamental Frequency

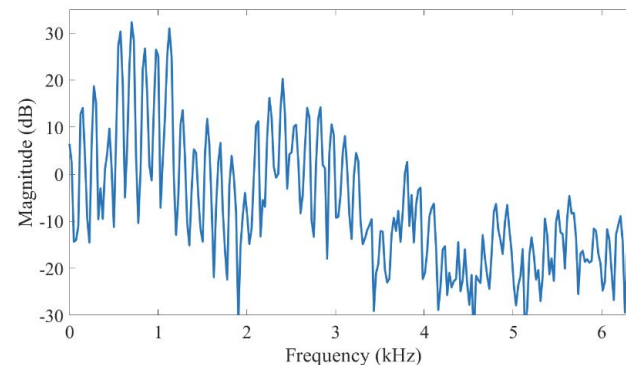
- **Fundamental frequency** refers to the approximate frequency of the (quasi-)periodic structure of voiced speech signals
- Peaks on envelope curve are **formants**
- **Pitch** is perceptual value,  $F_0$  is physical
- $F_0$  lie roughly in the **range 80 to 450 Hz**, where males have lower voices than females and children



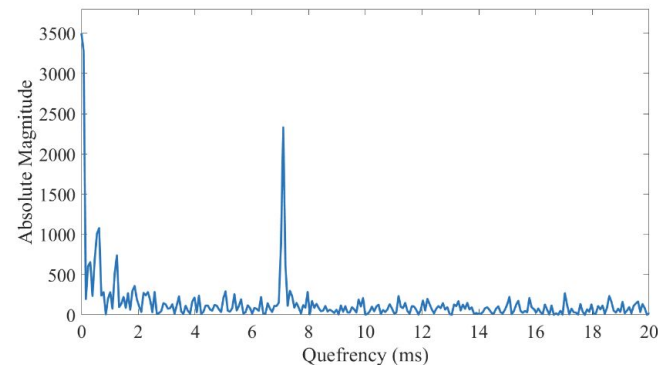
# Cepstrum

- Fourier spectrum of voice has **periodic** structure
- Apply **DCT** (Discrete Cosine Transform) to spectrum and obtain **Cepstrum**
- **Peak** in Cepstrum should be located at  $\frac{1}{F_0}$

Log-spectrum of speech segment



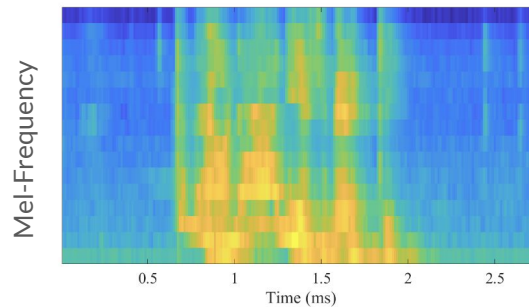
Cepstrum of speech segment



# Mel-Frequency Cepstral Coefficients (MFCCs)

- Algorithm of acquiring MFCC:
  - Apply STFT to the signal
  - Apply mel filters
  - Take the log value
  - Apply DCT

Spectrogram after multiplication with mel-weighted filterbank



Corresponding MFCCs

