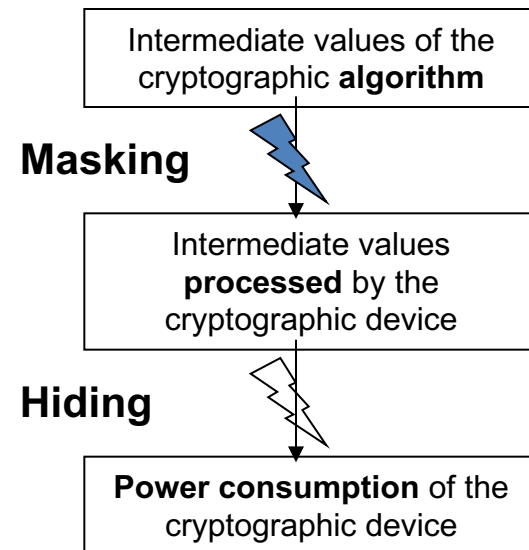


Applied Security

Countermeasures for AES

Countermeasure: Hiding

- General idea
 - Remove dependency between processed intermediate values (performed operations) and power consumption
- Hiding
 - Dummy operations: indistinguishable from rest but work on random data, results are discarded
 - Shuffling: reordering of independent operations
 - In software: No change of power consumption characteristic of the cryptographic device necessary
 - In hardware: could use less leaky logic style, but this requires to newly build devices, cannot secure existing devices



Recap SNR, correlation, NNT

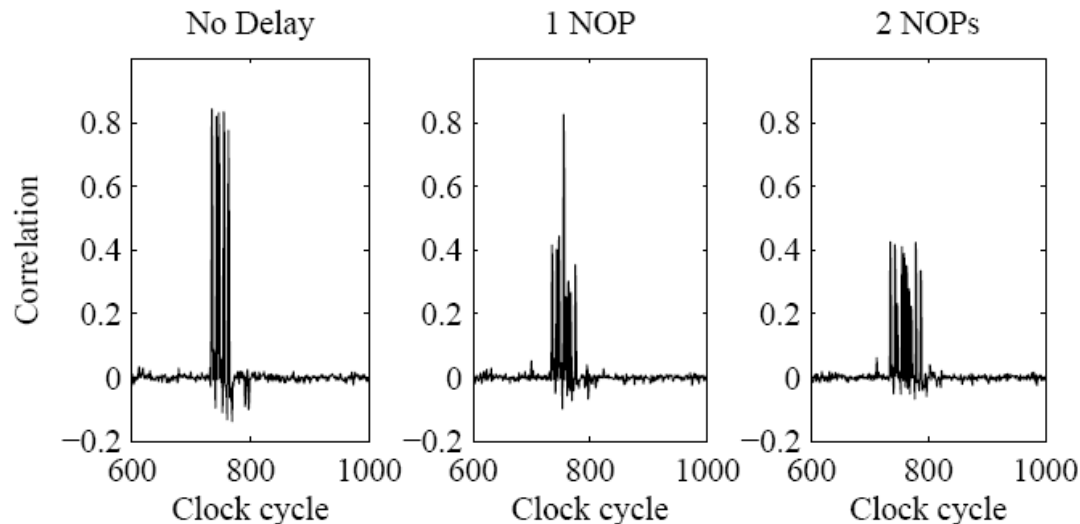
- We call the part of P_{op} , P_{data} that is actually exploited in an attacks P_{exp} and the remaining part P_{noise}
 - $P_{total} = P_{exp} + P_{noise}$
- $SNR = \text{Var}(P_{exp}) / \text{Var}(P_{noise})$

$$\rho(H_i, P_{total}) = \frac{\rho(H_i, P_{exp})}{\sqrt{1 + \frac{1}{SNR}}}$$

$$n = 3 + 8 \frac{z_{1-\alpha}^2}{\ln^2 \frac{1+\rho_{ck,ct}}{1-\rho_{ck,ct}}}$$

Hiding in software: AES toy example

- Insertion of random NOP instruction(s)
 - AES is implemented in such a way that 0, (0 or) 1, or (0 or) 2 NOP instructions are executed before the start.
 - Plots of the results of DPA attacks on these implementations:



Analysis

- 0 nops just gives a standard DPA attack,
- 1 nop leads to more peaks where most but one are smaller
 - This is because the DPA peak is related to an instruction where the attacked data is processed in two consecutive clock cycles!
- 2 nops leads to even more/wider peaks which are only half in height
 - Is this a coincidence?

Analysis

- Assuming the peak ct occurs with probability \hat{p} the covariance (and hence the correlation) can be rewritten as

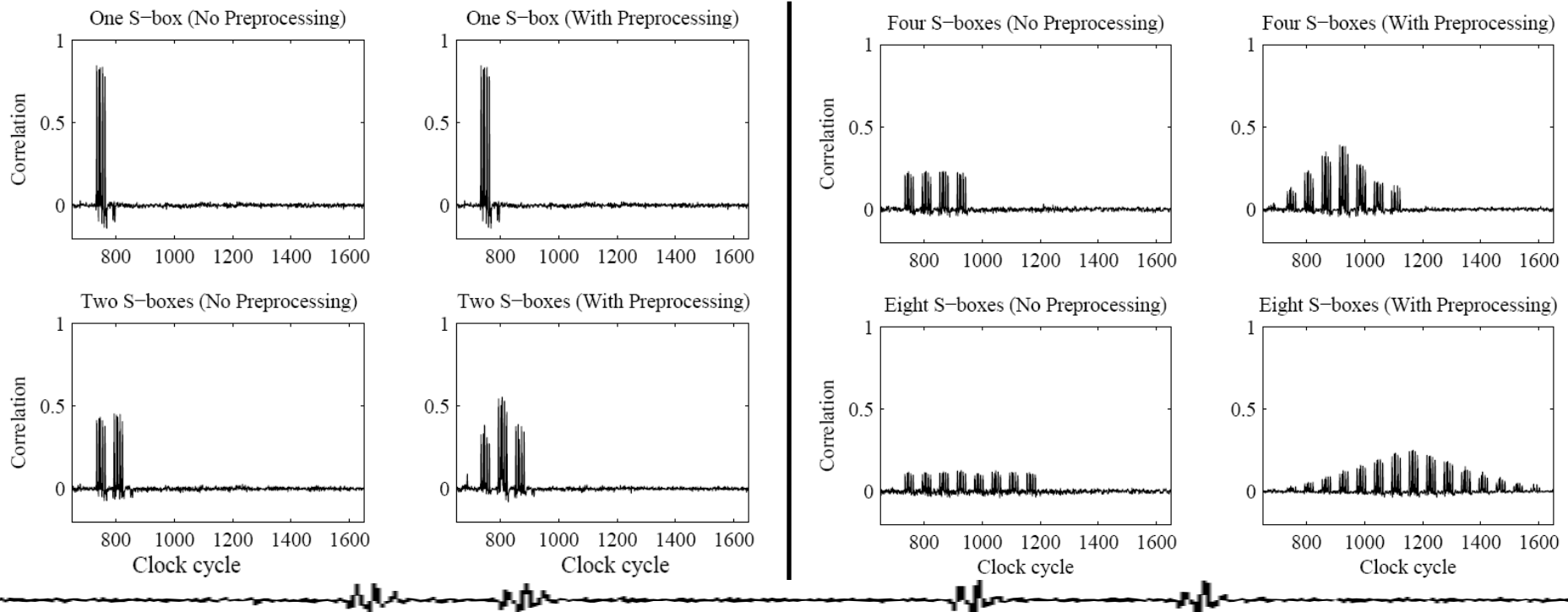
$$\text{Cov}(H_{ck}, \widehat{P_{total}}) = \hat{p} \cdot \text{Cov}(H_{ck}, P_{total}) + (1 - \hat{p}) \cdot \text{Cov}(H_{ck}, P_{other})$$

$$\rho(H_{ck}, \hat{P}_{total}) = \rho(H_{ck}, P_{total}) \cdot \hat{p} \cdot \sqrt{\frac{\text{Var}(P_{total})}{\text{Var}(\hat{P}_{total})}}$$

- Maximum correlation is hence determined by probability describing the displacement and by ratio of variances

Hiding in software: another simple example for AES

- Shuffling: The sequence of the 16 S-box look-ups of the AES is changed randomly. The plots show the correlation for the correct hypothesis once without preprocessing and once with integration over the possible occurrences as preprocessing



Analysis

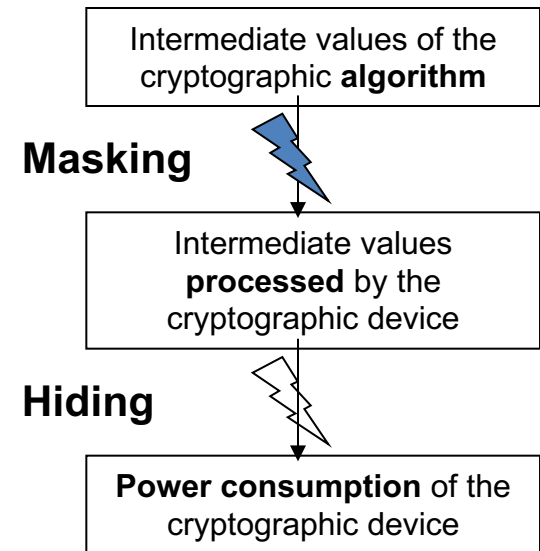
- Misalignment leads to the DPA peak ct being 'distributed' over a number of l clock cycles
 - Align traces to minimise misalignment
 - Integrate over l consecutive clock cycles to 'sum up' the peaks.
 - Assuming we such l somewhat 'independent' clock cycles, and that variances in different clock cycles are equal we have that

$$\rho(H_{ck}, \sum_{i=1}^l P_i) = \frac{\rho(H_{ck}, P_1)}{\sqrt{l}}$$

Countermeasure: Masking

- PA-Countermeasure

- Remove dependency between processed intermediate values (performed operations) and power consumption



- Masking

- Process only randomized intermediate values
- Power required to process randomized values is independent of the actual intermediate values
- No change of power consumption characteristic of the cryptographic device necessary

Masking/Blinding

- Masking

- Each intermediate value v is concealed by a random value m ... the “mask”; not known by attacker

- $v_m = v * m$

- $*$... general combination operator

- XOR-function $\oplus \rightarrow$ Boolean masking

- Arithmetic operation like addition or multiplication \rightarrow Arithmetic masking

- » Multiplicative masking has a problem with $v = 0$ (attack using ZV model)!!!

- Blinding = masking in context of PK schemes

- RSA (decrypt/sign): compute $c_m = P_m^d \bmod n$, with $P_m = P \cdot m^e \bmod n$, then remove mask by multiplying with $m^{-1} \bmod n$

- Message vs exponent blinding, SPA resistance?

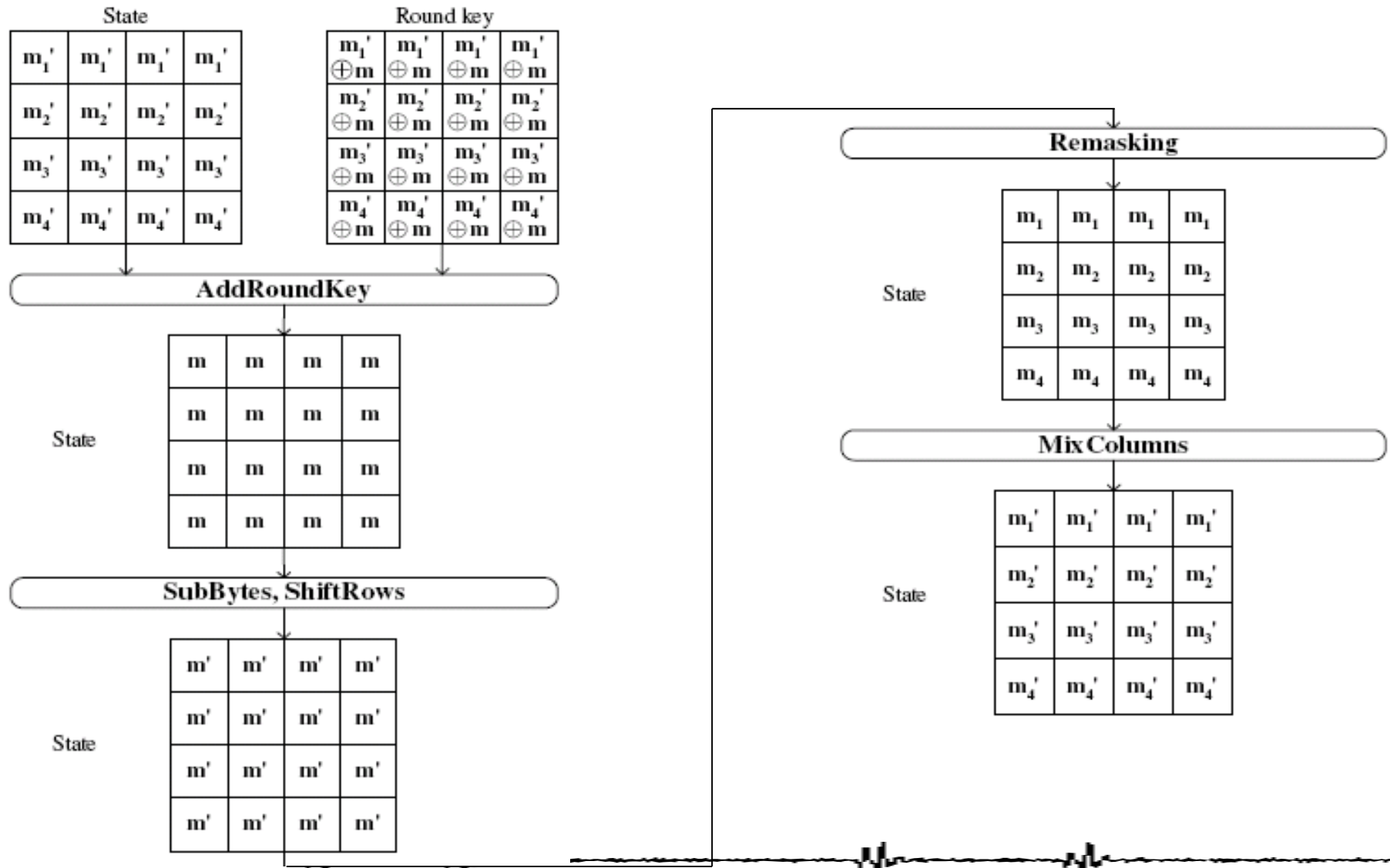
Masking AES as an example

- Use Boolean masking
 - $p \dots (p \oplus m), (m)$
 - AddRoundKey, ShiftRows, MixColumns: linear operations with respect to Boolean addition
 - $\text{ARK}(p \oplus m, k) = \text{ARK}(p, k) \oplus \text{ARK}(m, 0) = \text{ARK}(p, k) \oplus m$
 - SubBytes: non-linear operation with respect to Boolean addition, i.e. $S(v \oplus m) \neq S(v) \oplus S(m)$
 - We pre-compute another table S_m such that $S_m(v \oplus m) = S(v) \oplus m'$, (i.e. we implicitly allow a change of mask from $m \rightarrow m'$)

Example: Management/change of masks in the flow of an AES round

- ShiftRows: all state bytes use the same mask, no change
- MixColumns: requires 2 or more masks to avoid unintentional unmasking
 - Input column masks: m_1, m_2, m_3, m_4
 - Output column masks: m_1', m_2', m_3', m_4'
- At the beginning of each round
 - Calculate S_m
 - Calculate m_1', m_2', m_3', m_4' out of m_1, m_2, m_3, m_4

Example: Management/change of masks in the flow of an AES round



Analysis

- Pre-condition: masks are chosen uniformly at random, every new encryption run
- Then we have a guarantee (in a mathematical sense) that every intermediate value that is masked is independent of the unmasked value
 - V_m is independent of m and V
 - Consequently no ‘first order DPA’ (i.e. a DPA attack that only uses single points in the statistical distinguisher) can succeed

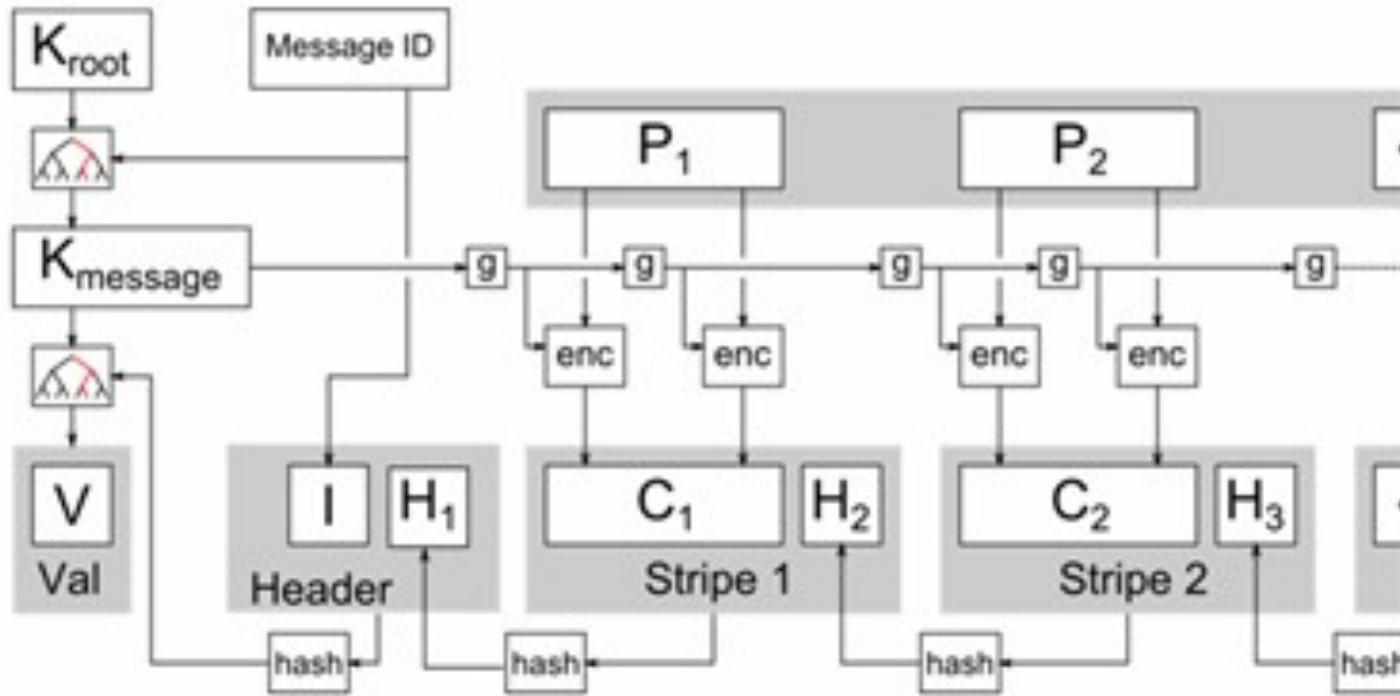
Analysis

- Consequently attacks must aim to
 - Introduce some bias into the masks
 - Either by influencing the RNG or by selecting a subset of power traces
 - Exploit some weakness in the type of masking used
 - Multiplicative masking is inherently insecure against zero-value attacks (i.e. attack that exploit that the plaintext value zero cannot be masked multiplicatively)
 - Use a distinguisher that takes 2 (or more) points of a power trace as input
 - Leading to higher-order or template-based DPA attacks

Protocol-level considerations

- All countermeasures discussed so far are used in practice, yet none of them actually gives total security against SPA or DPA
- Rather than investing a huge amount of memory/computing overhead/area into a 100% effective countermeasure, a trade off is sought that minimises leakage such that in combination with a key update procedure, the overall application is likely to meet security requirements of practical applications

Encryption with built-in authentication and key update



For each message a random ID is created which determines how to derive a (number of) session key(s) based on a secret key. This method is covered by a patent by Kocher.

Pictures and further details can be found [here](#).

Another countermeasure involving some protocol level considerations

- Fresh rekeying: same idea as before, i.e. the generation of a fresh session key preceeds any encryption
 - But the session key is produced by always updating the same secret key, wheras previous patented method would actually ,continue' along the tree
- This method is hence stateless in comparison to the previous one

Fresh rekeying, cont.

- Define function g that updates a key given some public randomness:
 - $K_s = g(\text{key}, \text{rand})$
 - This function g needs to be implemented such that it is resistant against SPA and DPA attacks
- Then the underlying encryption scheme works using the session key K_s :
 - $C = \text{AES}(K_s, \text{msg})$
 - And the receiver gets both C and rand
- Obviously this only makes sense if g is such that protection against SPA and DPA is much easier to achieve in comparison to AES!

Summary

- There are no foolproof push-button solutions for making an implementation resilient against leakage
 - Few provably secure approaches exist (all are beyond the scope of this unit), and their proof assumptions are hard to fulfill in practice (even for a simple thing such as first order masking)
 - It may be better to implement several cheap countermeasures than to implement one very expensive one
 - All countermeasures require randomness