### **AES: The Advanced Encryption Standard**

- ► The block cipher AES is a product cipher, it transforms plaintext into ciphertext by iterated use of some round functions ...
- ... the AES round functions form a substitution-permutation network.
- ► A (very) brief history:
  - Rijndael, by Joan Daemen and Vincent Rijmen, submitted to NIST "DES replacement" contest in 1997-ish; based (at least partly) on Square.
  - The five year contest selected Rijndael as the winner in 2001 and standardised concrete parametrisation of it (e.g., AES-128) as the Advanced Encryption Standard (AES).
- We'll consider:
  - 1. AES-128 only; keep in mind AES means AES-128 from here on, and that we set:
    - ... the plaintext (and ciphertext) size to 128 bits (i.e.,  $N_b = 4$ ).
    - ▶ ... the key size to 128 bits (i.e.,  $N_k = 4$ ).
    - ightharpoonup ... the number of rounds to 11 (i.e.,  $N_r=10$  with the first and last rounds differing from the rest).
  - AES encryption only; with CTR mode this is all we need, but with other use-cases decryption is still important.

## **AES: The Advanced Encryption Standard**

- Keep in mind the following:
  - ▶ AES uses the finite field  $\mathbb{F}_{2^8}[X]/X^8 + X^4 + X^3 + X + 1$ ; you can think of elements in this field as bytes with non-standard operations on them ...
  - ... i.e., addition is not integer addition any more; ⊕F<sub>28</sub>, ⊙F<sub>28</sub>, ⊘F<sub>28</sub> are field addition, multiplication and division.
  - lt is convenient to use a short-hand for constant field elements:

$$03_{(16)} = \langle 1, 1, 0, 0, 0, 0, 0, 0 \rangle = X + 1$$

 AES operates on matrices of field elements; note that we can directly read matrix entries column-wise from byte arrays.

### AES Overview (1)

- Given the 128-bit secret key, the key schedule computes a sequence of eleven 128-bit round keys ...
- ... within the k-th round, elements of the state are combined via key addition with round key elements:

$$\text{Key-Addition} \left( \begin{bmatrix} S_{0,0} & S_{0,1} & S_{0,2} & S_{0,3} \\ S_{1,0} & S_{1,1} & S_{1,2} & S_{1,3} \\ S_{2,0} & S_{2,1} & S_{2,2} & S_{2,3} \\ S_{3,0} & S_{3,1} & S_{3,2} & S_{3,3} \end{bmatrix}, \begin{bmatrix} K_{0,4k} & K_{0,4k+1} & K_{0,4k+2} & K_{0,4k+3} \\ K_{1,4k} & K_{1,4k+1} & K_{1,4k+2} & K_{1,4k+3} \\ K_{2,4k} & K_{2,4k+1} & K_{2,4k+2} & K_{2,4k+3} \\ K_{3,4k} & K_{3,4k+1} & K_{3,4k+2} & K_{3,4k+3} \end{bmatrix} \right)$$
 
$$= \begin{bmatrix} (S_{0,0} \oplus_{\mathbb{F}_28} & K_{0,4k}) & (S_{0,1} \oplus_{\mathbb{F}_28} & K_{0,4k+1}) & (S_{0,2} \oplus_{\mathbb{F}_28} & K_{0,4k+2}) & (S_{0,3} \oplus_{\mathbb{F}_28} & K_{0,4k+3}) \\ (S_{1,0} \oplus_{\mathbb{F}_28} & K_{1,4k}) & (S_{1,1} \oplus_{\mathbb{F}_28} & K_{1,4k+1}) & (S_{1,2} \oplus_{\mathbb{F}_28} & K_{1,4k+2}) & (S_{1,3} \oplus_{\mathbb{F}_28} & K_{1,4k+3}) \\ (S_{2,0} \oplus_{\mathbb{F}_28} & K_{2,4k}) & (S_{2,1} \oplus_{\mathbb{F}_28} & K_{2,4k+1}) & (S_{2,2} \oplus_{\mathbb{F}_28} & K_{2,4k+2}) & (S_{2,3} \oplus_{\mathbb{F}_28} & K_{2,4k+3}) \\ (S_{3,0} \oplus_{\mathbb{F}_28} & K_{3,4k}) & (S_{3,1} \oplus_{\mathbb{F}_28} & K_{3,4k+1}) & (S_{3,2} \oplus_{\mathbb{F}_28} & K_{3,4k+2}) & (S_{3,3} \oplus_{\mathbb{F}_28} & K_{3,4k+3}) \end{bmatrix}$$

### AES Overview (2)

Within the k-th round (apart from k = 0) elements in the state are substituted (i.e., replaced) using a non-linear S-box:

$$\text{SUB-BYTES} \left( \begin{bmatrix} S_{0,0} & S_{0,1} & S_{0,2} & S_{0,3} \\ S_{1,0} & S_{1,1} & S_{1,2} & S_{1,3} \\ S_{2,0} & S_{2,1} & S_{2,2} & S_{2,3} \\ S_{3,0} & S_{3,1} & S_{3,2} & S_{3,3} \end{bmatrix} \right)$$
 
$$\downarrow$$
 
$$\begin{bmatrix} \text{S-Box}(S_{0,0}) & \text{S-Box}(S_{0,1}) & \text{S-Box}(S_{0,2}) & \text{S-Box}(S_{0,3}) \\ \text{S-Box}(S_{1,0}) & \text{S-Box}(S_{1,1}) & \text{S-Box}(S_{1,2}) & \text{S-Box}(S_{1,3}) \\ \text{S-Box}(S_{2,0}) & \text{S-Box}(S_{2,1}) & \text{S-Box}(S_{2,2}) & \text{S-Box}(S_{2,3}) \\ \text{S-Box}(S_{3,0}) & \text{S-Box}(S_{3,1}) & \text{S-Box}(S_{3,2}) & \text{S-Box}(S_{3,3}) \end{bmatrix}$$

- Unlike DES which has eight S-boxes, AES uses just one ...
- ... the entries are carefully selected to defeat certain attacks.

## AES Overview (3)

Within the k-th round (apart from k = 0) elements in the state undergo a row-wise linear transformation (a permutation) to facilitate diffusion:

$$\mathsf{SHIFT\text{-}Rows} \left( \left[ \begin{array}{cccc} S_{0,0} & S_{0,1} & S_{0,2} & S_{0,3} \\ S_{1,0} & S_{1,1} & S_{1,2} & S_{1,3} \\ S_{2,0} & S_{2,1} & S_{2,2} & S_{2,3} \\ S_{3,0} & S_{3,1} & S_{3,2} & S_{3,3} \end{array} \right] \right)$$

### AES Overview (4)

Within the k-th round (apart from k = 0 and k = 10) elements in the state undergo a column-wise linear transformation to facilitate diffusion:

$$\mathsf{MIX\text{-}COLUMNS}\left(\left[\begin{array}{c} S_{0,j} \\ S_{1,j} \\ S_{2,j} \\ S_{3,j} \end{array}\right]\right)$$
 
$$\downarrow$$
 
$$\left[\begin{array}{ccccc} 02_{(16)} & 03_{(16)} & 01_{(16)} & 01_{(16)} \\ 01_{(16)} & 02_{(16)} & 03_{(16)} & 01_{(16)} \\ 01_{(16)} & 01_{(16)} & 02_{(16)} & 03_{(16)} \\ 03_{(16)} & 01_{(16)} & 01_{(16)} & 02_{(16)} \end{array}\right] \otimes_{\mathbb{F}_28} \left[\begin{array}{c} S_{0,j} \\ S_{1,j} \\ S_{2,j} \\ S_{3,j} \end{array}\right]$$

- AES uses a Maximum Distance Separable (MDS) constant matrix ...
- ... the constants are all small, so we never need to perform a general purpose field multiplication within MIX-COLUMNS.

### AES Overview (5)

#### Algorithm (AES-KEYGEN)

 The round keys are formed from a sequence of column-vectors; for 0 ≤ j ≤ 3, elements are taken directly from the secret key:

$$\begin{bmatrix} \begin{matrix} \kappa_{0,0} \\ \kappa_{1,0} \\ \kappa_{2,0} \\ \kappa_{3,0} \end{matrix} \end{bmatrix} \begin{bmatrix} \begin{matrix} \kappa_{0,1} \\ \kappa_{1,1} \\ \kappa_{2,1} \\ \kappa_{3,1} \end{bmatrix} \begin{bmatrix} \begin{matrix} \kappa_{0,2} \\ \kappa_{1,2} \\ \kappa_{2,2} \\ \kappa_{3,2} \end{bmatrix} \begin{bmatrix} \begin{matrix} \kappa_{0,3} \\ \kappa_{1,3} \\ \kappa_{2,3} \\ \kappa_{3,3} \end{bmatrix} \begin{bmatrix} \begin{matrix} \kappa_{0,4} \\ \kappa_{1,4} \\ \kappa_{2,4} \\ \kappa_{3,4} \end{bmatrix} \begin{bmatrix} \begin{matrix} \kappa_{0,6} \\ \kappa_{1,6} \\ \kappa_{2,6} \\ \kappa_{3,5} \end{bmatrix} \begin{bmatrix} \begin{matrix} \kappa_{0,6} \\ \kappa_{1,7} \\ \kappa_{2,7} \\ \kappa_{3,7} \end{bmatrix} \dots \begin{bmatrix} \begin{matrix} \kappa_{0,43} \\ \kappa_{1,43} \\ \kappa_{2,43} \\ \kappa_{3,43} \end{bmatrix}$$

2. For  $4 \le j \le 43$ , we repeatedly apply

#### **AES Overview (6)**

► To encrypt a 128-bit plaintext message M under a 128-bit secret key K, i.e., compute C = AES-Enc(K, M) ...

```
Algorithm (AES-ENC)
 Input: An 11-element sequence K of 128-bit round keys, the 128-bit plaintext M.
 Output: The 128-bit ciphertext C.
 S \leftarrow M
 S \leftarrow \text{Key-Addition}(S, K_0)
 for i = 1 upto 9 step 1 do
       S \leftarrow \text{SUB-BYTES}(S)
       S \leftarrow \text{SHIFT-Rows}(S)
       S \leftarrow \text{Mix-Columns}(S)
       S \leftarrow \text{Key-Addition}(S, K_i)
 end
 S \leftarrow \text{SUB-BYTES}(S)
 S \leftarrow \text{SHIFT-Rows}(S)
 S \leftarrow \text{Key-Addition}(S, K_{10})
 return S
```

 $\blacktriangleright$  ... where  $K_i$  is the *i*-th round key derived from K.

# Implementation #1 (1)

#### Strategy

- ► AES was specifically designed to be efficient on constrained platforms (e.g., 8-bit smart-cards).
- An implementation strategy in this case could be:
  - 1. Represent S as a 16-element array of 8-bit bytes.
  - Generate round keys during encryption, i.e., online, and potentially recompute them even if secret key is static.
  - Implement the round functions directly, using various features to make a trade-off in favour of space (i.e., memory footprint) over time (i.e., performance).

## Implementation #1 (2)

```
void aes kev( U8* S. U8* K )
                        0];
               1 ] ^ K[
 SΓ
     2 ] = S[
               2 ] ^ K[
                        2];
 SΓ
               3 ] ^ K[
     3 ] = S[
                        3];
                        4 1:
                        5];
 SΓ
     6 ] = S[
                        6];
     7 1 = S [
               7 ] ^ K[
 S[11] = S[11] ^ K[11];
         = S[ 12 ] ^ K[ 12 ];
 S[13] = S[13] ^ K[13];
 S[14] = S[14] ^ K[14];
 S[15] = S[15] ^ K[15];
```

```
void aes sub ( U8 * S )
     0.1 = sbox(SI
     1 ] = sbox(S[
     2 ] = sbox(S[
     3 ] = sbox(S[
     4 ] = sbox(S[
     5 ] = sbox(S[
     6 ] = sbox(S[
     7 ] = sbox(S[
        = sbox(S[
     9 ] = sbox(S[
 S[10] = sbox(S[10]):
 S[11] = sbox(S[11]);
 S[12] = sbox(S[12]);
 S[13] = sbox(S[13]);
 S[14] = sbox(S[14]);
 S[15] = sbox(S[15]);
```

# Implementation #1 (3)

```
void aes_row( U8* S )
 U8 t0. t1. t2:
         = S[ 1];
 t 0
 SΓ
    1 ] = S[ 5 ];
    5 ] = S[ 9 ];
    9 ] = S[ 13 ]:
 S[ 13 ] =
           t0:
 t0
        = S[
 t1
         = S[ 6];
 S[ 2 ] = S[ 10 ]:
     6 ] = S[14];
 S[ 10 ] =
                t0:
 S[ 14 ] = t1:
         = S Γ
 t 0
 t 1
        = S[
         = S[ 11 ]:
 t2
    3 ] = S[ 15 ]:
 SΓ
                t0:
 S[ 11 ] =
           t1:
 S[ 15 ] =
           t2:
```

```
#define MIX_STEP(a,b,c,d)
 U8 a1 = S[a]: U8 b1 = S[b]:
 U8 a2 = fmulx(a1); U8 b2 = fmulx(b1);
 U8 a3 = a1 ^a a2; U8 b3 = b1 ^a b2;
 U8 c1 = S[ c ]: U8 d1 = S[ d ]:
 U8 c2 = fmulx( c1 ); U8 d2 = fmulx( d1 );
 U8 c3 = c1 ^ c2: U8 d3 = d1 ^ d2:
 S[a] = a2 ^ b3 ^ c1 ^ d1:
     ] = a1 ^ b2 ^ c3 ^ d1;
 S[c] = a1 ^b1 ^c2 ^d3;
 S[d] = a3 ^b1 ^c1 ^d2:
void aes mix ( U8 * S )
 MIX_STEP( 0, 1, 2, 3)
 MIX STEP( 4, 5, 6, 7)
 MIX STEP( 8, 9, 10, 11 )
 MIX_STEP( 12, 13, 14, 15 )
}
```

# Implementation #1 (4)

```
#define ROUND2()
{
    aes_sub(S);
    aes_row(S);
    aes_mix(S);
    \
    RC = aes_schedule(RK, RC); \
    aes_key(S, RK);
}
```

```
#define ROUND3()
{
   aes_sub(S);
   aes_row(S);
   RC = aes_schedule(RK, RC); \
   aes_key(S, RK);
}
```

## Implementation #1 (5)

```
U8 aes schedule ( U8 * RK. U8 RC )
 RKI
           = RC ^ sbox ( RK [ 13 ] ) ^ RK [
 RКГ
                                        RКГ
 RКГ
                   sbox ( RK [ 15 ] ) ^ RK [
                                              2 1:
 RK[
       3 ] =
                   sbox ( RK [ 12 ] ) ^ RK [
                                              3];
 RКГ
                          RКГ
                                      ^ RK[
                                              4 1:
 RКГ
                          RКГ
                                      ^ RK[
                                              5];
 RK[
       6]=
                          RK [
                               2 ]
                                      ^ RK[
                                              6];
 RKI
       7 ] =
                          RK [
                               3 ]
                                      ^ RK[
                                              7];
 RКГ
                          RКГ
                                      ^ RK[
 RKI
                          RK [
                                      ^ RK[
                                              9];
       9 7 =
                          RK [
                                6 ]
                                     ^ RK[ 10 ];
 RK[ 10 ] =
 RK[ 11 ] =
                          RКГ
                               7 1
                                      ^ RK[ 11 ];
 RK[ 12 ] =
                          RK [
                                      ^ RK[ 12 ];
 RK[ 13 ] =
                          RK [
                               9 ]
                                      ^ RK[ 13 ]:
 RK[ 14 ] =
                          RK [ 10 ]
                                      ^ RK[ 14 ]:
 RK[ 15 ] =
                          RK [ 11 ]
                                      ^ RK[ 15 ];
 return fmulx ( RC );
```

```
void aes encrypt ( U8* C. U8* M. U8* K )
  U8 S[ 16 ], RK[ 16 ], RC = 0x01;
  U8_T0_U8_N ( S, M );
  U8 TO U8 N ( RK . K ) :
  ROUND1();
  for ( int i = 1; i < 10; i++ ) {
    ROUND2():
  ROUND3():
  U8_T0_U8_N( C, S);
```

### Implementation #1 (6)

- ► The first component we are missing is FMUL-X (more often called xtime) which multiplies a field element by X ...
- ... there are two main options:
  - 1. Pre-compute the function offline; we end up with a 256-entry look-up table.
  - 2. Compute the function online; this actually isn't too hard:

```
U8 fmulx ( U8 x ) {
   if ( x & 0x80 )
      return 0x1B ^ ( x << 1 );
   else
      return ( x << 1 );
}
```

```
U8 fmulx( U8 x )
{
    U8 t = x << 1;
        x = x >> 7;
        x = x * 0x1B;
        x = x ^ t;
    return x;
}
```

- ▶ The left-hand and right-hand implementations compute the same thing:
  - ... the left-shift is performing multiplication by *X*.
  - ... the XOR with  $1B_{(16)}$  performs reduction modulo  $X^8 + X^4 + X^3 + X + 1$ .
- ► The right-hand implementation lacks any conditional branches; this can offer resistance against some side-channel attacks.



# Implementation #1 (7)

 The second component we are missing is the S-box; this is defined as the composition of two functions

$$S-Box(a) = f(g(a))$$

where

$$g(a) = 1 \oslash_{\mathbb{F}_{2^8}} a$$
,

i.e., g is a field inversion, and

$$f\left(\begin{bmatrix} a_0 \\ a_1 \\ a_2 \\ a_3 \\ a_4 \\ a_5 \\ a_6 \\ a_7 \end{bmatrix}\right) = \begin{bmatrix} 1 & 0 & 0 & 0 & 1 & 1 & 1 & 1 \\ 1 & 1 & 0 & 0 & 0 & 1 & 1 & 1 \\ 1 & 1 & 1 & 1 & 0 & 0 & 0 & 1 & 1 \\ 1 & 1 & 1 & 1 & 0 & 0 & 0 & 1 & 1 \\ 1 & 1 & 1 & 1 & 1 & 0 & 0 & 0 & 1 \\ 0 & 1 & 1 & 1 & 1 & 1 & 0 & 0 & 0 \\ 0 & 0 & 1 & 1 & 1 & 1 & 1 & 0 \\ 0 & 0 & 0 & 1 & 1 & 1 & 1 & 1 & 1 \end{bmatrix} \otimes \mathbb{F}_2 \begin{bmatrix} a_0 \\ a_1 \\ a_2 \\ a_3 \\ a_4 \\ a_5 \\ a_6 \\ a_7 \end{bmatrix} \oplus \mathbb{F}_2 \begin{bmatrix} 1 \\ 1 \\ 0 \\ 0 \\ 0 \\ 1 \\ 1 \\ 0 \end{bmatrix}$$

## Implementation #1 (8)

- ... there are a few options:
  - Pre-compute the whole S-box offline (i.e., the composition of g and f) rather than compute it online; we end up with a 256-entry look-up table that supports only encryption.
  - Compute part of the S-box (either f or g, or both) online rather than pre-compute it offline:
    - 2.1 Computing f and g is useful; we end up with no look-up table at all.
    - 2.2 Computing f but not g is useful; we end up with a 256-entry look-up table that supports both encryption and decryption.
    - 2.3 Computing g but not f isn't so useful; we'd end up with a 256-entry look-up table that supports only encryption, so may as well pre-compute the whole S-box.
- ► Clearly this is a classic trade-off: more pre-computation means more space, more computation means more time.

# Implementation #1 (9)

▶ To compute *f*, notice that we can expand the whole thing to get:

This looks somewhat hideous ...

## Implementation #1 (10)

... but we can implement it with just a few XORs and shifts:

# Implementation #1 (11)

- ▶ To compute g, we need to find a b such that  $a \odot_{\mathbb{F}_{98}} b = 1 \dots$
- ... there are a few options:
  - Use brute force search; since the field is small, we can just try every element until
    we find a b that suits.
  - Use a version of the Extended Euclidean Algorithm (EEA), or XGCD, to directly compute such a b.
  - 3. Use Fermat's little theorem; it tells us in a finite field with q elements

$$\begin{array}{rcl}
 a^q & = & a \\
 a^{q-1} & = & 1 \\
 a^{q-2} & = & a^{-1}
 \end{array}$$

and hence for  $q = 2^8$  we just compute  $a^{254} = a^{-1}$ .

4. Decompose  $\mathbb{F}_{2^8}$  into smaller fields, and compute the inversion in  $\mathbb{F}_{2^8}$  as a combination of operations in said fields.

### Implementation #1 (12)

- ▶ To adopt the Fermat-style approach, we need two components:
  - 1. A function to implement a general purpose field multiplication, i.e.,  $\odot_{\mathbb{F}_{98}}$  .
  - 2. A function that uses  $\odot_{\mathbb{F}_{08}}$  do exponentiation in an efficient way.

```
U8 fmul( U8 x, U8 y )
{
    U8 t = 0;
    for( int i = 7; i >= 0; i-- ) {
        t = fmulx( t );
        if( ( y >> i ) & 1 ) {
            t = t ^ x;
        }
    return t;
}
```

```
U8 finv( U8 x )
{
    U8 t0 = fmul( x, x );  // x^2
    U8 t1 = fmul( t0, x );  // x^3
        t0 = fmul( t1, t0 );  // x^7
    t1 = fmul( t1, t0 );  // x^7
    t0 = fmul( t1, t0 );  // x^8
    t0 = fmul( t1, t0 );  // x^15
    t0 = fmul( t0, t0 );  // x^30
    t0 = fmul( t0, t0 );  // x^60
    t1 = fmul( t1, t0 );  // x^67
    t0 = fmul( t1, t0 );  // x^67
    t0 = fmul( t1, t0 );  // x^2254

return t0;
}
```

# Implementation #2 (1)

#### Strategy

- ► AES was specifically designed to be efficient on unconstrained platforms (e.g., 32-bit workstations and servers).
- An implementation strategy in this case could be:
  - 1. Represent S by packing it column-wise into four 32-bit words; the state is held as

$$\langle S_{0,0}, S_{1,0}, S_{2,0}, S_{3,0} \rangle \\ \langle S_{0,1}, S_{1,1}, S_{2,1}, S_{3,1} \rangle \\ \langle S_{0,2}, S_{1,2}, S_{2,2}, S_{3,2} \rangle \\ \langle S_{0,3}, S_{1,3}, S_{2,3}, S_{3,3} \rangle$$

and we can take advantage of the 32-bit data-path.

- 2. Generate round keys before encryption, i.e., offline, and potentially reuse them if secret key is static.
- Pre-compute and specialise (e.g., unroll loops) as much as possible to make a trade-off in favour of time (i.e., performance) over space (i.e., memory footprint).

# Implementation #2 (2)

The main bottleneck is MIX-COLUMNS; first, notice that we can expand the matrix multiplication to get:

$$\mathsf{MIX\text{-}COLUMNS}\left(\left[\begin{array}{c} S_{0,j} \\ S_{1,j} \\ S_{2,j} \\ S_{3,j} \end{array}\right]\right)$$

$$\begin{bmatrix} (02_{(16)} \odot_{\mathbb{F}_{28}} S_{0,j}) \oplus_{\mathbb{F}_{28}} (03_{(16)} \odot_{\mathbb{F}_{28}} S_{1,j}) \oplus_{\mathbb{F}_{28}} (01_{(16)} \odot_{\mathbb{F}_{28}} S_{2,j}) \oplus_{\mathbb{F}_{28}} (01_{(16)} \odot_{\mathbb{F}_{28}} S_{3,j}) \\ (01_{(16)} \odot_{\mathbb{F}_{28}} S_{0,j}) \oplus_{\mathbb{F}_{28}} (02_{(16)} \odot_{\mathbb{F}_{28}} S_{1,j}) \oplus_{\mathbb{F}_{28}} (03_{(16)} \odot_{\mathbb{F}_{28}} S_{2,j}) \oplus_{\mathbb{F}_{28}} (01_{(16)} \odot_{\mathbb{F}_{28}} S_{3,j}) \\ (01_{(16)} \odot_{\mathbb{F}_{28}} S_{0,j}) \oplus_{\mathbb{F}_{28}} (01_{(16)} \odot_{\mathbb{F}_{28}} S_{1,j}) \oplus_{\mathbb{F}_{28}} (02_{(16)} \odot_{\mathbb{F}_{28}} S_{2,j}) \oplus_{\mathbb{F}_{28}} (03_{(16)} \odot_{\mathbb{F}_{28}} S_{3,j}) \\ (03_{(16)} \odot_{\mathbb{F}_{28}} S_{0,j}) \oplus_{\mathbb{F}_{28}} (01_{(16)} \odot_{\mathbb{F}_{28}} S_{1,j}) \oplus_{\mathbb{F}_{28}} (01_{(16)} \odot_{\mathbb{F}_{28}} S_{2,j}) \oplus_{\mathbb{F}_{28}} (02_{(16)} \odot_{\mathbb{F}_{28}} S_{3,j}) \end{bmatrix}$$

# Implementation #2 (2)

► The main bottleneck is MIX-COLUMNS; first, notice that we can expand the matrix multiplication to get:

$$\text{Mix-Columns}\left(\left[\begin{array}{c}S_{0,j}\\S_{1,j}\\S_{2,j}\\S_{3,j}\end{array}\right]\right)$$

1

$$\begin{bmatrix} 02_{(16)} \odot_{\mathbb{F}_{2}8} & S_{0,j} \\ 01_{(16)} \odot_{\mathbb{F}_{2}8} & S_{0,j} \\ 01_{(16)} \odot_{\mathbb{F}_{2}8} & S_{0,j} \\ 03_{(16)} \odot_{\mathbb{F}_{2}8} & S_{0,j} \\ 03_{(16)} \odot_{\mathbb{F}_{2}8} & S_{0,j} \\ 01_{(16)} \odot_{\mathbb{F}_{2}8} & S_{0,j} \end{bmatrix} \oplus_{\mathbb{F}_{2}8} \begin{bmatrix} 03_{(16)} \odot_{\mathbb{F}_{2}8} & S_{1,j} \\ 02_{(16)} \odot_{\mathbb{F}_{2}8} & S_{1,j} \\ 01_{(16)} \odot_{\mathbb{F}_{2}8} & S_{1,j} \\ 01_{(16)} \odot_{\mathbb{F}_{2}8} & S_{2,j} \end{bmatrix} \oplus_{\mathbb{F}_{2}8} \begin{bmatrix} 01_{(16)} \odot_{\mathbb{F}_{2}8} & S_{2,j} \\ 03_{(16)} \odot_{\mathbb{F}_{2}8} & S_{2,j} \\ 01_{(16)} \odot_{\mathbb{F}_{2}8} & S_{2,j} \end{bmatrix} \oplus_{\mathbb{F}_{2}8} \begin{bmatrix} 01_{(16)} \odot_{\mathbb{F}_{2}8} & S_{3,j} \\ 01_{(16)} \odot_{\mathbb{F}_{2}8} & S_{3,j} \\ 02_{(16)} \odot_{\mathbb{F}_{2}8} & S_{3,j} \end{bmatrix} \oplus_{\mathbb{F}_{2}8} \begin{bmatrix} 01_{(16)} \odot_{\mathbb{F}_{2}8} & S_{2,j} \\ 01_{(16)} \odot_{\mathbb{F}_{2}8} & S_{2,j} \\ 01_{(16)} \odot_{\mathbb{F}_{2}8} & S_{2,j} \end{bmatrix} \oplus_{\mathbb{F}_{2}8} \begin{bmatrix} 01_{(16)} \odot_{\mathbb{F}_{2}8} & S_{3,j} \\ 01_{(16)} \odot_{\mathbb{F}_{2}8} & S_{3,j} \\ 02_{(16)} \odot_{\mathbb{F}_{2}8} & S_{3,j} \end{bmatrix} \oplus_{\mathbb{F}_{2}8} \begin{bmatrix} 01_{(16)} \odot_{\mathbb{F}_{2}8} & S_{3,j} \\ 01_{(16)} \odot_{\mathbb{F}_{2}8} & S_{3,j} \\ 02_{(16)} \odot_{\mathbb{F}_{2}8} & S_{3,j} \end{bmatrix} \oplus_{\mathbb{F}_{2}8} \begin{bmatrix} 01_{(16)} \odot_{\mathbb{F}_{2}8} & S_{3,j} \\ 01_{(16)} \odot_{\mathbb{F}_{2}8} & S_{3,j} \\ 01_{(16)} \odot_{\mathbb{F}_{2}8} & S_{3,j} \end{bmatrix} \oplus_{\mathbb{F}_{2}8} \begin{bmatrix} 01_{(16)} \odot_{\mathbb{F}_{2}8} & S_{3,j} \\ 01_{(16)} \odot_{\mathbb{F}_{2}8} & S_{3,j} \end{bmatrix} \oplus_{\mathbb{F}_{2}8} \begin{bmatrix} 01_{(16)} \odot_{\mathbb{F}_{2}8} & S_{3,j} \\ 01_{(16)} \odot_{\mathbb{F}_{2}8} & S_{3,j} \end{bmatrix} \oplus_{\mathbb{F}_{2}8} \begin{bmatrix} 01_{(16)} \odot_{\mathbb{F}_{2}8} & S_{3,j} \\ 01_{(16)} \odot_{\mathbb{F}_{2}8} & S_{3,j} \end{bmatrix} \oplus_{\mathbb{F}_{2}8} \begin{bmatrix} 01_{(16)} \odot_{\mathbb{F}_{2}8} & S_{3,j} \\ 01_{(16)} \odot_{\mathbb{F}_{2}8} & S_{3,j} \end{bmatrix} \oplus_{\mathbb{F}_{2}8} \begin{bmatrix} 01_{(16)} \odot_{\mathbb{F}_{2}8} & S_{3,j} \\ 01_{(16)} \odot_{\mathbb{F}_{2}8} & S_{3,j} \end{bmatrix} \oplus_{\mathbb{F}_{2}8} \begin{bmatrix} 01_{(16)} \odot_{\mathbb{F}_{2}8} & S_{3,j} \\ 01_{(16)} \odot_{\mathbb{F}_{2}8} & S_{3,j} \end{bmatrix} \oplus_{\mathbb{F}_{2}8} \begin{bmatrix} 01_{(16)} \odot_{\mathbb{F}_{2}8} & S_{3,j} \\ 01_{(16)} \odot_{\mathbb{F}_{2}8} & S_{3,j} \end{bmatrix} \oplus_{\mathbb{F}_{2}8} \begin{bmatrix} 01_{(16)} \odot_{\mathbb{F}_{2}8} & S_{3,j} \\ 01_{(16)} \odot_{\mathbb{F}_{2}8} & S_{3,j} \end{bmatrix} \oplus_{\mathbb{F}_{2}8} \begin{bmatrix} 01_{(16)} \odot_{\mathbb{F}_{2}8} & S_{3,j} \\ 01_{(16)} \odot_{\mathbb{F}_{2}8} & S_{3,j} \end{bmatrix} \oplus_{\mathbb{F}_{2}8} \begin{bmatrix} 01_{(16)} \odot_{\mathbb{F}_{2}8} & S_{3,j} \\ 01_{(16)} \odot_{\mathbb{F}_{2}8} & S_{3,j} \end{bmatrix} \oplus_{\mathbb{F}_{2}8} \end{bmatrix}$$

# Implementation #2 (3)

▶ Then, we can re-write MIX-COLUMNS as ...

$$\begin{aligned} & \text{Mix-Columns}\left(\left[\begin{array}{c} S_{0,j} \\ S_{1,j} \\ S_{2,j} \\ S_{3,j} \end{array}\right]\right) \end{aligned}$$
 
$$\downarrow \\ & \mathcal{T}_0[S_{0,j}] \oplus_{\mathbb{F}_{28}} & \mathcal{T}_1[S_{1,j}] \oplus_{\mathbb{F}_{28}} & \mathcal{T}_2[S_{2,j}] \oplus_{\mathbb{F}_{28}} & \mathcal{T}_3[S_{3,j}] \end{aligned}$$

... (i.e., four table look-ups and three XORs) if we pre-compute:

$$T_{0}[a] = \begin{bmatrix} 02_{(16)} & \bigcirc_{\mathbb{F}_{2}8} & a \\ 01_{(16)} & \bigcirc_{\mathbb{F}_{2}8} & a \\ 01_{(16)} & \bigcirc_{\mathbb{F}_{2}8} & a \\ 03_{(16)} & \bigcirc_{\mathbb{F}_{2}8} & a \end{bmatrix} \qquad T_{1}[a] = \begin{bmatrix} 03_{(16)} & \bigcirc_{\mathbb{F}_{2}8} & a \\ 02_{(16)} & \bigcirc_{\mathbb{F}_{2}8} & a \\ 01_{(16)} & \bigcirc_{\mathbb{F}_{2}8} & a \\ 01_{(16)} & \bigcirc_{\mathbb{F}_{2}8} & a \end{bmatrix}$$

$$T_{2}[a] = \begin{bmatrix} 01_{(16)} & \bigcirc_{\mathbb{F}_{2}8} & a \\ 03_{(16)} & \bigcirc_{\mathbb{F}_{2}8} & a \\ 02_{(16)} & \bigcirc_{\mathbb{F}_{2}8} & a \\ 01_{(16)} & \bigcirc_{\mathbb{F}_{2}8} & a \end{bmatrix} \qquad T_{3}[a] = \begin{bmatrix} 01_{(16)} & \bigcirc_{\mathbb{F}_{2}8} & a \\ 01_{(16)} & \bigcirc_{\mathbb{F}_{2}8} & a \\ 03_{(16)} & \bigcirc_{\mathbb{F}_{2}8} & a \\ 01_{(16)} & \bigcirc_{\mathbb{F}_{2}8} & a \end{bmatrix}$$

# Implementation #2 (4)

- Even better, in rounds 1 to 9 we always apply Sub-Bytes before MIX-COLUMNS ...
- ... so at no extra cost, we can push S-Box into the T-tables as well, i.e.,

$$T_{0}[a] = \begin{bmatrix} 02_{(16)} \odot_{\mathbb{F}_{28}} & \text{S-Box}(a) \\ 01_{(16)} \odot_{\mathbb{F}_{28}} & \text{S-Box}(a) \\ 01_{(16)} \odot_{\mathbb{F}_{28}} & \text{S-Box}(a) \\ 03_{(16)} \odot_{\mathbb{F}_{28}} & \text{S-Box}(a) \\ 03_{(16)} \odot_{\mathbb{F}_{28}} & \text{S-Box}(a) \end{bmatrix} \qquad T_{1}[a] = \begin{bmatrix} 03_{(16)} \odot_{\mathbb{F}_{28}} & \text{S-Box}(a) \\ 02_{(16)} \odot_{\mathbb{F}_{28}} & \text{S-Box}(a) \\ 01_{(16)} \odot_{\mathbb{F}_{28}} & \text{S-Box}(a) \\ 01_{(16)} \odot_{\mathbb{F}_{28}} & \text{S-Box}(a) \\ 01_{(16)} \odot_{\mathbb{F}_{28}} & \text{S-Box}(a) \\ 03_{(16)} \odot_{\mathbb{F}_{28}} & \text{S-Box}(a) \\ 02_{(16)} \odot_{\mathbb{F}_{28}} & \text{S-Box}(a) \\ 01_{(16)} \odot_{\mathbb{F}_{28}} & \text{S-Box}(a) \\ 01_{(16)} \odot_{\mathbb{F}_{28}} & \text{S-Box}(a) \\ 01_{(16)} \odot_{\mathbb{F}_{28}} & \text{S-Box}(a) \\ 02_{(16)} \odot_{\mathbb{F}_{28}} & \text{S-Box}(a) \\ 01_{(16)} \odot_{\mathbb{F}_{28}} & \text{S-Box}(a) \\ 02_{(16)} \odot_{\mathbb{F}_{28}} & \text{S-Box}(a) \end{bmatrix}$$

## Implementation #2 (5)

```
#define ROUND2(a,b,c,d)
             (t0 >> 0) & 0xFF ]
             ( t2 >> 16 ) & 0xFF
            (t1 >>
            ( t3 >> 16 ) & 0xFF
            ( t0 >> 24 ) & 0xFF ]
            (t2 >> 0) & 0xFF
             ( t3 >>
            ( t0 >> 16 ) & 0xFF
             ( t1 >> 24 ) & 0xFF
             (t3 >> 0) & 0xFF
             ( t0 >>
       ( T2[ ( t1 >> 16 ) & 0xFF ]
       ( T3 [ ( t2 >> 24 ) & 0xFF ] ) ^ RK [ d ];
 t0 = t4;
 t1 = t5;
 t2 = t6:
 t3 = t7:
```

## Implementation #2 (6)

```
void aes schedule ( U32* RK. U8* K )
-{
 U32 t0, t1, t2, t3;
 U8 TO U32(t0, K, 0); U8 TO U32(t1, K, 4);
 U8_T0_U32( t2, K, 8 ); U8_T0_U32( t3, K, 12 );
 RK[0] = t0;
 RK[ 1 ] = t1;
 RK[2] = t2;
 RK[3] = t3;
 for ( int i = 1; i < 11; i++ ) {
   t0 = t0 ^ ( T4[ ( t3 >> 8 ) & 0xFF ] & 0x000000FF ) ^
             ( T4 [ ( t3 >> 16 ) & OxFF ] & Ox0000FF00 ) ^
             ( T4 [ ( t3 >> 24 ) & OxFF ] & Ox00FF0000 ) ^
             (T4 ( t3 >> 0 ) & 0xFF ] & 0xFF000000 ) ^ RC[ i - 1 ]:
   t1 = t0 ^t1;
   t2 = t1 ^t2;
   t3 = t2 ^t3:
   RK[(4 * i) + 0] = t0;
   RK[(4*i)+1]=t1;
   RK[(4 * i) + 2] = t2;
   RK[(4 * i) + 3] = t3;
 }
```

# Implementation #2 (7)

```
void aes_encrypt( U8* C, U8* M, U32* RK )
{
    U32 t0, t1, t2, t3, t4, t5, t6, t7;

    U8_T0_U32( t0, M, 0 ); U8_T0_U32( t1, M, 4 );
    U8_T0_U32( t2, M, 8 ); U8_T0_U32( t3, M, 12 );

    ROUND1( 0, 1, 2, 3 );
    ROUND2( 4, 5, 6, 7 ); ROUND2( 8, 9, 10, 11 ); ROUND2( 12, 13, 14, 15 );
    ROUND2( 16, 17, 18, 19 ); ROUND2( 20, 21, 22, 23 ); ROUND2( 24, 25, 26, 27 );
    ROUND2( 28, 29, 30, 31 ); ROUND2( 32, 33, 34, 35 ); ROUND2( 36, 37, 38, 39 );
    ROUND3( 40, 41, 42, 43 );

    U32_T0_U8( C, t4, 0 ); U32_T0_U8( C, t5, 4 );
    U32_T0_U8( C, t6, 8 ); U32_T0_U8( C, t7, 12 );
}
```

# Implementation #3 (1)

#### Strategy

- It can make sense to implement AES using a mix of the high-performance and low-footprint approaches we've looked at ...
- ... for example, if you have an embedded processor without much memory but with a 32-bit data-path (e.g., ARM7).
- An implementation strategy in this case could be:
  - 1. Represent S by packing it row-wise into four 32-bit words; the state is held as

$$\begin{array}{l} \langle S_{0,0}, S_{0,1}, S_{0,2}, S_{0,3} \rangle \\ \langle S_{1,0}, S_{1,1}, S_{1,2}, S_{1,3} \rangle \\ \langle S_{2,0}, S_{2,1}, S_{2,2}, S_{2,3} \rangle \\ \langle S_{3,0}, S_{3,1}, S_{3,2}, S_{3,3} \rangle \end{array}$$

and we can take advantage of the 32-bit data-path. Another way to think about this is that we've just transposed the state matrix (and round keys).

Rather than pre-computing the T-tables, use the low-footprint approach of computing round functions directly.

### Implementation #3 (2)

- ► The bottleneck is again MIX-COLUMNS; we can attempt to improve performance using packed operations ...
- ... the idea is to compute applications of FMUL-X to all four elements of the packed vector in parallel; this permits MIX-COLUMNS to be more efficient:

#### Algorithm (PACKED-FMUL-X)

```
Input: A packed 32-bit state matrix row x.
Output: A packed 32-bit state matrix row x' with
          x_i' = \text{FMUL-X}(x_i) \text{ for } 0 < i < 4.
t_0 \leftarrow x \wedge 7F7F7F7F_{(16)}
```

$$t_0 \leftarrow x \land 7F7F7F(16)$$
  
 $t_1 \leftarrow x \land 80808080_{(16)}$   
 $t_2 \leftarrow t_0 \ll 1$ 

$$t_3 \leftarrow t_1 \gg 7$$

$$t_4 \leftarrow t_3 \cdot 1B_{(16)}$$
  
 $t_5 \leftarrow t_2 \oplus t_4$ 

return t5

#### Algorithm (MIX-COLUMNS)

**Input**: Four packed 32-bit state matrix rows  $x_i$ , for 0 < i < 4.

**Output**: Four packed 32-bit state matrix rows  $x_i'$  with  $x_i' = MIX-COLUMNS(x_i)$  for 0 < i < 4.

$$y_0 \leftarrow x_1 \oplus x_2 \oplus x_3$$
  
 $y_1 \leftarrow x_0 \oplus x_2 \oplus x_3$ 

$$y_1 \leftarrow x_0 \oplus x_2 \oplus x_3$$
  
 $y_2 \leftarrow x_0 \oplus x_1 \oplus x_3$ 

$$y_2 \leftarrow x_0 \oplus x_1 \oplus x_3$$
  
 $y_3 \leftarrow x_0 \oplus x_1 \oplus x_2$ 

$$x_0 \leftarrow \mathsf{PACKED}\text{-}\mathsf{FMUL}\text{-}\mathsf{X}(x_0)$$

$$x_1 \leftarrow \mathsf{PACKED}\text{-}\mathsf{FMUL}\text{-}\mathsf{X}(x_1)$$

$$x_2 \leftarrow \mathsf{PACKED}\text{-}\mathsf{FMUL}\text{-}\mathsf{X}(x_2)$$
  
 $x_3 \leftarrow \mathsf{PACKED}\text{-}\mathsf{FMUL}\text{-}\mathsf{X}(x_3)$ 

$$y_0 \leftarrow y_0 \oplus x_0 \oplus x_1$$

$$y_1 \leftarrow y_1 \oplus x_1 \oplus x_2$$

$$y_2 \leftarrow y_2 \oplus x_2 \oplus x_3$$
  
 $y_3 \leftarrow y_3 \oplus x_0 \oplus x_3$ 

return  $y_0, y_1, y_2, y_3$ 

# Implementation #3 (3)

- As a (short) example of why this works consider the computation of  $y_0$ , from  $x_0$ ,  $x_1$ ,  $x_2$  and  $x_3$ , in isolation ...
- ... first, we compute:

## Implementation #3 (4)

Then finally we compute y<sub>0</sub>, i.e., the first row of the output from MIX-COLUMNS, as follows ...

... noting that this matches the expanded matrix multiplication we originally wrote down, i.e., the right elements are multiplied by the right constants.

# Implementation #3 (5)

```
void aes_key( U8* S, U8* RK )
{
    U32* Sp = ( U32* )( S );
    U32* Kp = ( U32* )( RK );

    Sp[ 0 ] = Sp[ 0 ] ^ Kp[ 0 ];
    Sp[ 1 ] = Sp[ 1 ] ^ Kp[ 1 ];
    Sp[ 2 ] = Sp[ 2 ] ^ Kp[ 2 ];
    Sp[ 3 ] = Sp[ 3 ] ^ Kp[ 3 ];
}
```

```
void aes sub( U8* S )
     0 ] = sbox(S[
     1 ] = sbox(S[ 1 ]);
     2 ] = sbox(S[ 2 ]);
     3 ] = sbox(S[ 3 ]);
     4 ] = sbox(S[4]);
     5 ] = sbox(S[ 5 ]);
     6 ] = sbox(S[ 6 ] );
     7 \ 1 = sbox(S[7]):
     8 ] = sbox(S[ 8 ] );
     9 ] = sbox(S[ 9 ] );
 S[10] = sbox(S[10]):
 S[11] = sbox(S[11]);
 S[12] = sbox(S[12]);
 S[13] = sbox(S[13]);
 S[14] = sbox(S[14]);
 S[15] = sbox(S[15]);
```

## Implementation #3 (6)

```
void aes_row( U8* S )
{
   U32* Sp = ( U32* )( S );

   Sp[ 1 ] = rotr_32( Sp[ 1 ],  8 );
   Sp[ 2 ] = rotr_32( Sp[ 2 ],  16 );
   Sp[ 3 ] = rotr_32( Sp[ 3 ],  24 );
}
```

```
void aes_mix( U8* S )
 U32* Sp = (U32*)(S);
 U32 t0 = Sp[ 0 ], t1 = Sp[ 1 ];
 U32 t2 = Sp[2], t3 = Sp[3];
 U32 t4 = t1 ^ t2 ^ t3;
 U32 t5 = t0 ^t2 ^t3;
 U32 t6 = t0 ^ t1 ^ t3;
 U32 t7 = t0 ^ t1 ^ t2;
      t0 = fmulx( t0 );
      t1 = fmulx( t1 );
      t2 = fmulx( t2 );
      t3 = fmulx( t3 );
      t4 = t4 ^ t0 ^ t1;
      t5 = t5 ^ t1 ^ t2;
      t6 = t6 ^ t2 ^ t3;
      t7 = t7 ^ t0 ^ t3;
      Sp[0] = t4; Sp[1] = t5;
      Sp[2] = t6; Sp[3] = t7;
```

#### Conclusions

- One of the criteria for selecting the AES was flexibility:
  - ... efficiency on constrained platforms (e.g., 8-bit smart-cards).
  - ... efficiency on unconstrained platforms (e.g., 32-bit workstations and servers)
  - ... efficiency in hardware.
  - ... resistance against physical attack.
- In part, Rijndael was selected as the AES because it allows a broad range of effective implementation approaches; in part, this is because of flexibility in the more direct mathematical underpinnings (compared to DES).
- ► AES is a fairly young algorithm (compared to DES), and new approaches (e.g., vectorisation, bit-slicing) are still being developed and evaluated.

## **Further Reading**

 National Institute of Standards and Technology (NIST). FIPS-197: Advanced Encryption Standard (AES), 2001.

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