Mandatory: Streaming

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1 Frequency Estimation Consider the following algorithm:

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Algorithm 1: Count-a-Lot.

Input : stream a_1, a_2, a_3, \ldots, a_m of elements a_i \in [n], and the number of bins k.

Initialize each bin b \in [k] with an element e_b \leftarrow null and a counter c_b \leftarrow 0.

for each element a_i in the stream do

| if a_i is the element in a bin b then

| increment b's counter c_b \leftarrow c_b + 1

else

| find the bucket b_{\min} with the smallest counter value (breaking ties arbitrarily),

| replace its element e_{b_{\min}} \leftarrow a_i,

| increment its counter c_{b_{\min}} \leftarrow c_{b_{\min}} + 1.

end

Output: for each i \in [n] output \hat{f}_i as follows: if e_b = i for some b, then \hat{f}_i = c_b, otherwise, \hat{f}_i = 0.
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Here [x] denotes the set of integers from 1 to x.

- **1.1** Let c_{\min} be the value of the smallest counter after the entire stream has been processed, and let $e_b(i)$ be the element of bin b when $c_b = i$. Show that for any $i \in [c_{\min}]$ and any pair of bins $b \neq b'$ we have $e_b(i) \neq e_{b'}(i)$.
- **1.2** Consider an element i with $\hat{f}_i = 0$. Show that the true frequency f_i is such that $0 \le f_i < m/k$. Note that this implies $|\hat{f}_i f_i| < m/k$.
- **1.3** Consider an element *i* with $\hat{f}_i > 0$. Show that $|\hat{f}_i f_i| < m/k$.

Heavy hitters Recall that an element i in a stream is a *heavy hitter* if it has frequency $f_i > m/k$ for some given k. We call an element an *infrequent element* if it has frequency less than $\frac{m}{3k}$.

- **2 Approximate Heavy Hitters using Count-a-Lot** In this exercise we want a deterministic algorithm that outputs *all* heavy hitters and *no* infrequent elements.
- **2.1** Modify the Count-a-Lot algorithm such that it returns all heavy hitters and no infrequent elements. Remember to argue that your algorithm is correct.
- 2.2 Analyse the space usage, update time, and reporting time of your algorithm.