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# Topological Codes for Quantum Computation: the Toric Code

TESI DI LAUREA MAGISTRALE IN  
COMPUTER SCIENCE AND ENGINEERING - INGEGNERIA IN-  
FORMATICA

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## Abstract

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**Parole chiave:** qui, vanno, le parole chiave, della tesi



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# Introduction

This document is intended to be both an example of the Polimi L<sup>A</sup>T<sub>E</sub>X template for Master Theses, as well as a short introduction to its use. It is not intended to be a general introduction to L<sup>A</sup>T<sub>E</sub>X itself, and the reader is assumed to be familiar with the basics of creating and compiling L<sup>A</sup>T<sub>E</sub>X documents (see [4, 6]).

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```

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```

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*Chapter 1 contains* AN INTRODUCTION TO . . . .

If necessary, an unnumbered chapter can be created by

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# 1 | Toric Code

## 1.1. Description of the Model

The Toric Code model is defined on a square lattice with periodic boundary conditions in both directions. These latter characteristics in topology are typical of what is known as a torus topology or simply a Torus, after which the model is named.

A square lattice, here labelled as  $L$ , is a particular lattice defined in a two dimensional Euclidian space. It is denoted as  $\mathbb{Z}^2$  such that each lattice point is identified with a tuple of integers. Though, the above mentioned boundary conditions also specify that for any point  $(i, j)$  in the lattice, the neighboring points are going to be the following:  $(i + 1 \bmod L, j)$ ,  $(i - 1 \bmod L, j)$ ,  $(i, j + 1 \bmod L)$  and  $(i, j - 1 \bmod L)$ .

Then, we are also going to consider a dual lattice, which will be labelled as  $L'$ , and that will be positioned as represented in figure 1.1, where the continuous line represents the main lattice  $L$ , while the dashed line represents its dual  $L'$ . On each edge is located a spin- $\frac{1}{2}$  particle, i.e. a Fermion, represented in the image below with an empty circle. Circles shaded in gray represent the boundary conditions.

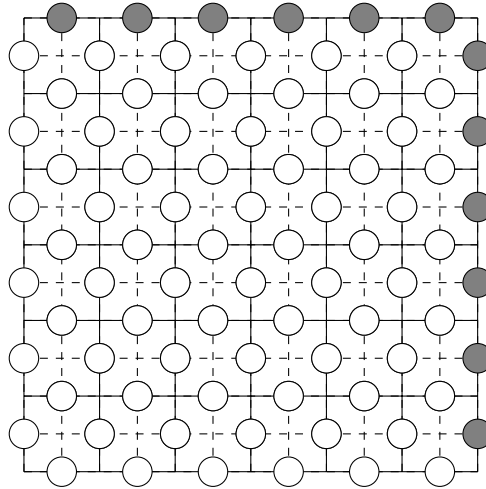


Figure 1.1: A square lattice with boundary conditions.

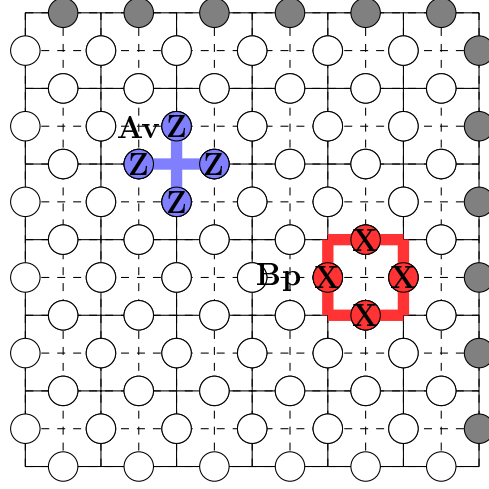


Figure 1.2: Veretx (red) and plaquette (blue) operators.

For each cell on  $L$  we are going to consider two spins, therefore the total number of spins will correspond to  $2N$ , where  $N$  represents both the total number of cells in  $L$  and the dimension of the lattice. Since all of these spins exhibit the same characteristics they are identified with identicial particles, which will be useful to know to study further properties of the model.

The key part of the model are the so called "vertex" and "plaquette" operators that are going to be placed, respectively, on the vertices  $v$  and cells  $p$  of  $L$ . Such operators can be defined formally as in definition 1.1.1 and implemented by means of Pauli matrices as exemplified in figure 1.2. Throughout the description, the  $Av$  operators are going to be shaded in blu, while the  $Bp$  operators are going to be shaded in red.

**Definition 1.1.1** (Vertex and Plaquette operators) Given a vertex  $v$  and a plaquette  $p$ , we can define the following vertex and plaquette operators as tensor products over Pauli operators acting on four spins each located on the lattice and indicated by the indices  $j \in \text{star}(v)$  and  $j \in \text{bdy}(p)$

$$Av = \prod_{j \in \text{star}(v)} Z_j$$

$$Bp = \prod_{j \in \text{bdy}(p)} X_j.$$

All the elements that constitute the model of the toric code are brought together by its Hamiltonian, which is given by the following definition:

**Definition 1.1.2** (Toric Code Hamiltonian) Given sites  $v$  and  $p$  of the lattice along with their respective vertex and plaquette operators and given the spin- $\frac{1}{2}$  particles located on each edge of the torus, we can define the following Hamiltonian for the system:

$$H = -\sum_v A_v - \sum_p B_p.$$

Each one of the  $A_v$  and  $B_p$  operators that appear in the Hamiltonian share some important properties, which are going to be covered in the following definitions and will later be used to further characterize the action of the operators.

Firstly, notice that  $A_v$  and  $B_p$  operators are Hermitian and square to the identity.

**Definition 1.1.3** (Hermitian operator) Let  $H$  be an Hilbert space and  $A: H \rightarrow H$  be a linear operator. An operator  $A$  is called Hermitian if for all  $\psi, \phi \in H$  we have that

$$\langle A\psi|\phi\rangle = \langle\psi|A^\dagger\phi\rangle = \langle\phi|A\psi\rangle^*.$$

where the daga symbol  $\dagger$  represents the conjugate transpose and the  $\langle \rangle$  the Hermitian inner product.

It is important for the following applications to specify that a square matrix  $A$  of complex numbers, representing a linear operator, is called hermitian if  $A^\dagger = (A^*)^T = A$ . One important consequence of Hermiticity is that the corresponding eigenvalues of the operator are real. Note also that for real matrices, as in the case of our operators, it is sufficient to compute only the transpose of the matrix to verify hermiticity.

Then, the involutory property comes from the unitarity of the matrices representing the vertex and plaquette operators, i.e.  $X$  and  $Z$  Pauli matrices.

**Definition 1.1.4** (Unitary operator) Let  $H$  be an Hilbert space. Let  $U: H \rightarrow H$  be a linear operator. We define  $U$  to be complex unitary if for all  $\psi, \phi \in H$  we have that

$$\langle U\psi|U\phi\rangle = \langle\psi|\phi\rangle.$$

In terms of the conjugate transpose, we can define a complex matrix  $U$  to be unitary if  $(U^*)^T = U^{-1}$ . We note that it is possible to define an operator to be real unitary, with

the only difference that  $(U)^T = U^{-1}$ . Then, we can also define that a real matrix  $U$ , representing a linear operator, is unitary if  $(U)^T = U^{-1}$  or, equivalently, if  $(U)^T U = I$ . Note also that one important property of unitary operators is that their eigenvalues have modulus equal to one.

Given the considerations made in Definition 1.1.3. and 1.1.4. for real matrices, we can easily prove the first following proposition:

**Proposition 1.1.1** (Hermiticity and involutory property of  $Av$  and  $Bp$  operators)  $Av$  and  $Bp$  operators are Hermitian and satisfy the involutory property.

*Proof.*

We know that the operator  $Av = \prod_{j \in \text{star}(v)} Z_j$  and that  $Bp = \prod_{j \in \text{bdy}(v)} X_j$ .

Firstly, recall the form of the  $\sigma_x$  and  $\sigma_z$  matrices representing the Pauli gates  $X$  and  $Z$ :

$$Z = \sigma_z = \begin{bmatrix} 1 & 0 \\ 0 & -1 \end{bmatrix}$$

$$X = \sigma_x = \begin{bmatrix} 0 & 1 \\ 1 & 0 \end{bmatrix}$$

Prove that they are Hermitian:

$$(\sigma_z)^H = \begin{bmatrix} 1 & 0 \\ 0 & -1 \end{bmatrix}^H = \begin{bmatrix} 1 & 0 \\ 0 & -1 \end{bmatrix}^T = \begin{bmatrix} 1 & 0 \\ 0 & -1 \end{bmatrix} = \sigma_z$$

$$(\sigma_x)^H = \begin{bmatrix} 0 & 1 \\ 1 & 0 \end{bmatrix}^H = \begin{bmatrix} 0 & 1 \\ 1 & 0 \end{bmatrix}^T = \begin{bmatrix} 0 & 1 \\ 1 & 0 \end{bmatrix} = \sigma_x$$

Note that Pauli matrices are real matrices, i.e.  $A^\dagger = A^T$ . Then, knowing that  $[\sigma_i, \sigma_j] =$

0 for  $i = j$  we can write

$$(Av)^\dagger = (\sigma_{x_1}\sigma_{x_2}\sigma_{x_3}\sigma_{x_4})^\dagger = \sigma_{x_1}^\dagger\sigma_{x_2}^\dagger\sigma_{x_3}^\dagger\sigma_{x_4}^\dagger = \sigma_{x_1}\sigma_{x_2}\sigma_{x_3}\sigma_{x_4} = Av$$

$$(Bp)^\dagger = (\sigma_{z_1}\sigma_{z_2}\sigma_{z_3}\sigma_{z_4})^\dagger = \sigma_{z_1}^\dagger\sigma_{z_2}^\dagger\sigma_{z_3}^\dagger\sigma_{z_4}^\dagger = \sigma_{z_1}\sigma_{z_2}\sigma_{z_3}\sigma_{z_4} = Bp$$

Prove the involutory property:

$$(\sigma_z)^2 = \begin{bmatrix} 1 & 0 \\ 0 & -1 \end{bmatrix} * \begin{bmatrix} 1 & 0 \\ 0 & -1 \end{bmatrix} = \begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix} = I$$

$$(\sigma_x)^2 = \begin{bmatrix} 0 & 1 \\ 1 & 0 \end{bmatrix} * \begin{bmatrix} 0 & 1 \\ 1 & 0 \end{bmatrix} = \begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix} = I$$

Then, again we can write

$$(Av)^2 = (\sigma_{x_1}\sigma_{x_2}\sigma_{x_3}\sigma_{x_4})^2 = \sigma_{x_1}^2\sigma_{x_2}^2\sigma_{x_3}^2\sigma_{x_4}^2 = I$$

$$(Bp)^2 = (\sigma_{z_1}\sigma_{z_2}\sigma_{z_3}\sigma_{z_4})^2 = \sigma_{z_1}^2\sigma_{z_2}^2\sigma_{z_3}^2\sigma_{z_4}^2 = I$$

□

Given Proposition 1.1.1, we can now further characterize the operators in terms of their eigenvalues and define their spectrum.

**Proposition 1.1.2** (Eigenvalues of  $Av$  and  $Bp$  operators) Given Hermitian operators  $Av$  and  $Bp$ , which satisfy the involutory property, their eigenvalues are  $\pm 1$ .

*Proof.*

Given the Hermiticity and involutory proof in 1.1.1, we firstly prove that Hermitian operators (and therefore our vertex and plaquette operators) have real eigenvalues:

Write the expression for the eigenvalues  $Av|\xi\rangle = \lambda|\xi\rangle$  and take as hypothesis that  $|\xi\rangle \neq 0$ . Then, by means of the scalar product

$$\langle\xi|Av|\xi\rangle = \lambda\langle\xi|\xi\rangle$$

$$\lambda = \frac{\langle\xi|Av|\xi\rangle}{\langle\xi|\xi\rangle} = \frac{\langle\xi|Av|\xi\rangle}{\|\xi\|^2} = \frac{\langle\xi|Av^\dagger|\xi\rangle}{\|\xi\|^2} = \frac{\langle\xi|Av|\xi\rangle^*}{\|\xi\|^2} = \lambda^*$$

Notice that we have applied antilinearity of the adjoint in the penultimate equality:

$$(\langle\xi|Av^\dagger|\xi\rangle)^\dagger = |\xi\rangle^\dagger(Av^\dagger)^\dagger\langle\xi|^\dagger = \langle\xi|Av|\xi\rangle^*.$$

Using hermiticity with the fact that  $(Av)^2 = I$  we can derive the unitarity of  $Av$  and state that  $AvAv^\dagger = Av^\dagger Av = (Av)^2 = I$ , i.e. for a unitary operator  $U$  we have  $U^\dagger = U^{-1}$ .

Given the property of unitary operators that states that their eigenvalues have modulus equal to one:

By taking as hypothesis  $|\xi\rangle \neq 0$ , we write the expression  $U|\xi\rangle = \lambda|\xi\rangle$  and its self-adjoint  $\langle\xi|U^\dagger = \lambda^*\langle\xi|$ . Then, knowing that  $U^\dagger = U^{-1}$ , by means of the scalar product we obtain

$$\langle\xi|UU^\dagger|\xi\rangle = \lambda\lambda^*\langle\xi|\xi\rangle$$

$$\langle\xi|I|\xi\rangle = \lambda\lambda^*\langle\xi|\xi\rangle$$

$$\langle\xi|\xi\rangle = \lambda\lambda^*\langle\xi|\xi\rangle$$

Finally, since we already know that Hermitian operators have real eigenvalues we can write  $|\lambda|^2 = 1$ .



Putting together the fact that  $Av$  has real eigenvalues with unitarity we obtain that the only two remaining possibilities for the eigenvalues of  $Av$  are  $\pm 1$ .

The same reasoning can be carried out for the  $Bp$  operator.

□

Given the result of proposition 1.1.2, the spectrum of the operators is easy to find:

**Proposition 1.1.3** (Spectrum of  $Av$  and  $Bp$  operators) The spectrum of  $Av$  and  $Bp$  operators is equal to  $\{-1, +1\}$ .

We now analyze the relationship between vertex and plaquette operators. Such relationship is characterized by two fundamental mathematical concepts called "commutation" and "anticommutation". These relations serve as an important result in understanding the stability and fault-tolerance of the toric code.

**Proposition 1.1.4** (Commutation of  $Av$  and  $Bp$  operators) The operator  $Av$  commutes with the operator  $Bp$  for an even number of edges.

**Proposition 1.1.5** (Anticommutation of  $Av$  and  $Bp$  operators) The operator  $Av$  anticommutes with the operator  $Bp$  for an odd number of edges.

*Proof.*

Fix the origin of the coordinate system in the bottom left corner of the lattice as indicated in the picture below:

then, we can define the two vectors representing the site of application of the vertex and plaquette operator, respectively over the lattice  $L$  and dual lattice  $L'$

$$\vec{v} = n\hat{e}_1 + m\hat{e}_2, \text{ where } n, m \in \mathbb{Z}$$

$$\vec{p} = (n + \frac{1}{2})\hat{e}_1 + (m + \frac{1}{2})\hat{e}_2, \text{ where } n, m \in \mathbb{Z}$$

Rewrite the operators as follows:

$$A_{\vec{v}} = \sigma_{\vec{v}+\frac{1}{2}\hat{e}_1}^z \sigma_{\vec{v}+\frac{1}{2}\hat{e}_2}^z \sigma_{\vec{v}-\frac{1}{2}\hat{e}_1}^z \sigma_{\vec{v}-\frac{1}{2}\hat{e}_2}^z$$

$$B_{\vec{p}} = \sigma_{\vec{p}+\frac{1}{2}\hat{e}_1}^x \sigma_{\vec{p}+\frac{1}{2}\hat{e}_2}^x \sigma_{\vec{p}-\frac{1}{2}\hat{e}_1}^x \sigma_{\vec{p}-\frac{1}{2}\hat{e}_2}^x$$

In order to simplify the calculations we rewrite  $B_{\vec{p}}$  on the lattice  $L$  by rewriting the indices in terms of vector  $\vec{v}$

$$(n\hat{e}_1 + m\hat{e}_2) + \frac{1}{2}\hat{e}_2 = n\hat{e}_1 + (m + \frac{1}{2})\hat{e}_2$$

$$(n\hat{e}_1 + m\hat{e}_2) + \frac{1}{2}\hat{e}_1 = (n + \frac{1}{2})\hat{e}_1 + m\hat{e}_2$$

$$(n\hat{e}_1 + m\hat{e}_2) + \frac{1}{2}\hat{e}_1 + \hat{e}_2 = (n + \frac{1}{2})\hat{e}_1 + (m + 1)\hat{e}_2$$

$$(n\hat{e}_1 + m\hat{e}_2) + \frac{1}{2}\hat{e}_2 + \hat{e}_1 = (n + 1)\hat{e}_1 + (m + \frac{1}{2})\hat{e}_2$$

Then the  $B_{\vec{p}}$  operator becomes:

$$B_{\vec{v}} = \sigma_{n\hat{e}_1+(m+\frac{1}{2})\hat{e}_2}^x \sigma_{(n+\frac{1}{2})\hat{e}_1+m\hat{e}_2}^x \sigma_{(n+\frac{1}{2})\hat{e}_1+(m+1)\hat{e}_2}^x \sigma_{(n+1)\hat{e}_1+(m+\frac{1}{2})\hat{e}_2}^x$$

and the Hamiltonian can be written by grouping the indices:

$$H = - \sum_{m,n \in \mathbb{Z}} \left\{ \sigma_{(n+\frac{1}{2})\hat{e}_1+m\hat{e}_2}^z \sigma_{n\hat{e}_1+(m+\frac{1}{2})\hat{e}_2}^z \sigma_{(n-\frac{1}{2})\hat{e}_1+m\hat{e}_2}^z \sigma_{n\hat{e}_1+(m-\frac{1}{2})\hat{e}_2}^z + \right. \\ \left. \sigma_{n\hat{e}_1+(m+\frac{1}{2})\hat{e}_2}^x \sigma_{(n+\frac{1}{2})\hat{e}_1+m\hat{e}_2}^x \sigma_{(n+\frac{1}{2})\hat{e}_1+(m+1)\hat{e}_2}^x \sigma_{(n+1)\hat{e}_1+(m+\frac{1}{2})\hat{e}_2}^x \right\}$$

Now calculate the commutator  $[A_{\vec{v}}, B_{\vec{v}}] = A_{\vec{v}}B_{\vec{v}} - B_{\vec{v}}A_{\vec{v}}$  by focusing on the first term:

$$A_{\vec{v}}B_{\vec{v}} = \sigma_{(n+\frac{1}{2})\hat{e}_1+m\hat{e}_2}^z \sigma_{n\hat{e}_1+(m+\frac{1}{2})\hat{e}_2}^z \sigma_{(n-\frac{1}{2})\hat{e}_1+m\hat{e}_2}^z \sigma_{n\hat{e}_1+(m-\frac{1}{2})\hat{e}_2}^z \quad * \\ \sigma_{n\hat{e}_1+(m+\frac{1}{2})\hat{e}_2}^x \sigma_{(n+\frac{1}{2})\hat{e}_1+m\hat{e}_2}^x \sigma_{(n+\frac{1}{2})\hat{e}_1+(m+1)\hat{e}_2}^x \sigma_{(n+1)\hat{e}_1+(m+\frac{1}{2})\hat{e}_2}^x$$

Matrices do not commute but for Pauli matrices we have the following commutation relationship :

$$\sigma_v^x \sigma_{v'}^z = \sigma_{v'}^z \sigma_v^x + 2 * \sigma_v^x \sigma_{v'}^z \delta_{vv'}$$

where 
$$\delta_{vv'} = \begin{cases} 1, & \text{if } \vec{v} = \vec{v}' \\ 0, & \text{if } \vec{v} \neq \vec{v}' \end{cases}$$

which states that  $\sigma_v^x \sigma_{v'}^z$  commute for  $\vec{v} \neq \vec{v}'$  but anticommute for  $\vec{v} = \vec{v}'$ . This is known from the anticommutation relationship of Pauli matrices  $\sigma^x \sigma^z = -\sigma^z \sigma^x$ . Thus, for an even number of overlapping edges, in our case 2 or 4, the commutator becomes:

$$[A_{\vec{v}}, B_{\vec{v}}] = 2 * \sigma_{n\hat{e}_1 + (m + \frac{1}{2})\hat{e}_2}^x \sigma_{(n + \frac{1}{2})\hat{e}_1 + m\hat{e}_2}^x \sigma_{(n + \frac{1}{2})\hat{e}_1 + (m+1)\hat{e}_2}^x \sigma_{(n+1)\hat{e}_1 + (m + \frac{1}{2})\hat{e}_2}^x * \\ \sigma_{(n + \frac{1}{2})\hat{e}_1 + m\hat{e}_2}^z \sigma_{n\hat{e}_1 + (m + \frac{1}{2})\hat{e}_2}^z \sigma_{(n - \frac{1}{2})\hat{e}_1 + m\hat{e}_2}^z \sigma_{n\hat{e}_1 + (m - \frac{1}{2})\hat{e}_2}^z$$

Instead, for an odd number of edges it becomes null  $[A_{\vec{v}}, B_{\vec{v}}] = 0$ .

This calculations conclude that  $A_{\vec{v}}, B_{\vec{p}}$  commute for an even number of edges but anticommute for an odd number of edges.

□

## 1.2. Ground State

## 1.3. Excited States

## 1.4. Anyonic Excitations



## 2 | Coding on the Toric Code

- 2.1. Classical Error Correction
- 2.2. Quantum Error Correction (QEC)
- 2.3. QEC in the Toric Code



## 3 | Conclusions and future developments

A final chapter containing the main conclusions of your research/study and possible future developments of your work have to be inserted in this chapter.





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## List of Symbols

Variable	Description	SI unit
$\boldsymbol{u}$	solid displacement	m
$\boldsymbol{u}_f$	fluid displacement	m



# Acknowledgements

Here you might want to acknowledge someone.

