

# Arf property and Patterns on the numerical duplication, with an application to quadratic quotients of the Rees algebra

Alessio Borzì

Stockholm University 2019

# Numerical semigroups

A **numerical semigroup** is a subset  $S \subseteq \mathbb{N}$  such that

- ❶  $0 \in S$ ;
- ❷  $a, b \in S \Rightarrow a + b \in S$ ;
- ❸  $\mathbb{N} \setminus S$  is finite.

# Numerical semigroups

A **numerical semigroup** is a subset  $S \subseteq \mathbb{N}$  such that

- ❶  $0 \in S$ ;
- ❷  $a, b \in S \Rightarrow a + b \in S$ ;
- ❸  $\mathbb{N} \setminus S$  is finite.

In other words, a numerical semigroup  $S$  is a submonoid of  $(\mathbb{N}, +)$  with finite complement in  $\mathbb{N}$ .

# Numerical semigroups

A **numerical semigroup** is a subset  $S \subseteq \mathbb{N}$  such that

- ❶  $0 \in S$ ;
- ❷  $a, b \in S \Rightarrow a + b \in S$ ;
- ❸  $\mathbb{N} \setminus S$  is finite.

In other words, a numerical semigroup  $S$  is a submonoid of  $(\mathbb{N}, +)$  with finite complement in  $\mathbb{N}$ .

Every numerical semigroup is finitely generated  $S = \langle n_1, \dots, n_e \rangle$  and we can always assume that  $\gcd(n_1, \dots, n_e) = 1$ .

# Numerical semigroups

A **numerical semigroup** is a subset  $S \subseteq \mathbb{N}$  such that

- ❶  $0 \in S$ ;
- ❷  $a, b \in S \Rightarrow a + b \in S$ ;
- ❸  $\mathbb{N} \setminus S$  is finite.

In other words, a numerical semigroup  $S$  is a submonoid of  $(\mathbb{N}, +)$  with finite complement in  $\mathbb{N}$ .

Every numerical semigroup is finitely generated  $S = \langle n_1, \dots, n_e \rangle$  and we can always assume that  $\gcd(n_1, \dots, n_e) = 1$ .

The **multiplicity** of  $S$  is  $\mu(S) = \min S \setminus 0$ .

## Connection with commutative algebra

Let  $(R, \mathfrak{m})$  be a one-dimensional, Noetherian, local, domain. We also assume that  $R$  is **analytically irreducible**, that is the integral closure  $\overline{R}$  of  $R$  is a DVR, finite as an  $R$ -module; and **residually rational**, that is  $R$  and  $\overline{R}$  have the same residue field.

## Connection with commutative algebra

Let  $(R, \mathfrak{m})$  be a one-dimensional, Noetherian, local, domain. We also assume that  $R$  is **analytically irreducible**, that is the integral closure  $\overline{R}$  of  $R$  is a DVR, finite as an  $R$ -module; and **residually rational**, that is  $R$  and  $\overline{R}$  have the same residue field.

Thus, there exists a valuation  $v : \overline{R} \rightarrow \mathbb{N}$ . Since  $R \subseteq \overline{R}$ , every element of  $R$  has a value in  $\mathbb{N}$ . The set of values  $v(R)$  form a numerical semigroup.

# Symmetric numerical semigroups

Let  $S$  be a numerical semigroup. The **Frobenius number** of  $S$  is  $F(S) = \max(\mathbb{N} \setminus S)$ . The **conductor** of  $S$  is  $c(S) = F(S) + 1$



# Symmetric numerical semigroups

Let  $S$  be a numerical semigroup. The **Frobenius number** of  $S$  is  $F(S) = \max(\mathbb{N} \setminus S)$ . The **conductor** of  $S$  is  $c(S) = F(S) + 1$

$S$  is **symmetric** if  $x \in S \iff F(S) - x \notin S \quad \forall x \in \mathbb{Z}$

## A theorem of Kunz

With the above assumptions on  $R$  we have

### Theorem (Kunz)

$R$  is Gorenstein  $\iff v(R)$  is symmetric.

# Semigroup ideals

$E \subseteq \mathbb{Z}$  is a **relative ideal** of  $S$  if

- ①  $E + S \subseteq E$ ;
- ②  $\exists s \in S$  such that  $s + E \subseteq S$ .

A relative ideal  $E$  contained in  $S$  is an **ideal** of  $S$ .

# Quotient of a numerical semigroup

Let  $a \in \mathbb{N}$  be a positive integer. The set

$$\frac{S}{a} = \{x \in \mathbb{N} : ax \in S\}$$

is again a numerical semigroup, called the **quotient** of  $S$  by  $a$ .

# Numerical duplication

Let  $A \subseteq \mathbb{Z}$ , fix  $2 \cdot A = \{2a : a \in A\} \neq 2A = A + A$ .

# Numerical duplication

Let  $A \subseteq \mathbb{Z}$ , fix  $2 \cdot A = \{2a : a \in A\} \neq 2A = A + A$ .

Given an ideal  $E$  of  $S$  and an odd integer  $d \in S$ , the **numerical duplication** is the numerical semigroup

$$S \bowtie^d E = 2 \cdot S \cup (2 \cdot E + d).$$

# Numerical duplication

Let  $A \subseteq \mathbb{Z}$ , fix  $2 \cdot A = \{2a : a \in A\} \neq 2A = A + A$ .

Given an ideal  $E$  of  $S$  and an odd integer  $d \in S$ , the **numerical duplication** is the numerical semigroup

$$S \bowtie^d E = 2 \cdot S \cup (2 \cdot E + d).$$

Note that  $\frac{S \bowtie^d E}{2} = S$ .

# Symmetry in the numerical duplication

The **standard canonical ideal** of  $S$  is the relative ideal

$$K(S) = \{x \in \mathbb{Z} : F(S) - x \notin S\}.$$

An ideal  $E$  of  $S$  is a **canonical ideal** if exists  $x \in \mathbb{Z}$  such that  $E = x + K(S)$ .

**Proposition (D'Anna-Strazzanti)**

$S \bowtie^d E$  is symmetric  $\iff E$  is a canonical ideal of  $S$ .



# Arf numerical semigroups

A numerical semigroup  $S$  is **Arf** if

$$\forall x, y, z \in S \text{ with } x \geq y \geq z \quad x + y - z \in S.$$

# Multiplicity sequence

Suppose that  $S = \{ 0 = s_0 < s_1 < s_2 < \dots \}$  is Arf, the **multiplicity sequence** of  $S$  is the sequence of differences

$$(e_0, e_1, e_2, \dots) \quad \text{with } e_i = s_{i+1} - s_i.$$

# Multiplicity sequence

Suppose that  $S = \{ 0 = s_0 < s_1 < s_2 < \dots \}$  is Arf, the **multiplicity sequence** of  $S$  is the sequence of differences

$$(e_0, e_1, e_2, \dots) \quad \text{with } e_i = s_{i+1} - s_i.$$

Note that since  $\mathbb{N} \setminus S$  is finite,  $e_n = e_{n+1} = \dots = 1$  for some  $n$ .

# Integral closure

The **integral closure** of an ideal  $E \subseteq S$  is

$$\overline{E} = \{s \in S : s \geq \min E\}.$$

If  $\overline{E} = E$ , then  $E$  is **integrally closed**.

# Arf property in the numerical duplication

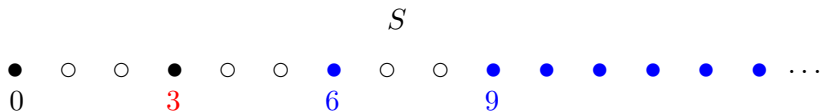
## Theorem (B. 2018)

$S \bowtie^d E$  is Arf  $\iff$   $S$  is Arf,  $E$  is integrally closed  
and if  $\min(E) < c(S)$ ,  $S$  has multiplicity sequence  $(d, d, \dots, d, 1, 1, \dots)$ .

## Example

$$S = \langle 3, 10, 11 \rangle = \{0, 3, 6, 9, \rightarrow\}, \quad d = 3 \in S, \quad E = \{6, 9, \rightarrow\}$$

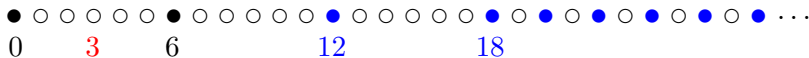
multiplicity sequence of  $S$   $(3, 3, 3, 1, \dots)$



## Example

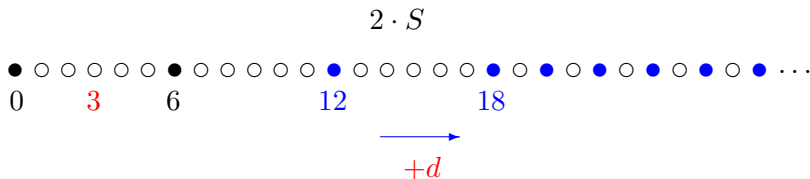
$$S = \langle 3, 10, 11 \rangle = \{0, 3, 6, 9, \rightarrow\}, \quad d = 3 \in S, \quad E = \{6, 9, \rightarrow\}$$

$$2 \cdot S$$



## Example

$$S = \langle 3, 10, 11 \rangle = \{0, 3, 6, 9, \rightarrow\}, \quad d = 3 \in S, \quad E = \{6, 9, \rightarrow\}$$



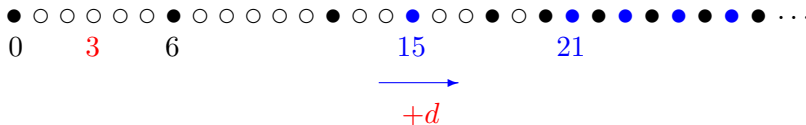


## Example

$$S = \langle 3, 10, 11 \rangle = \{0, 3, 6, 9, \rightarrow\}, \quad d = 3 \in S, \quad E = \{6, 9, \rightarrow\}$$

multiplicity sequence of  $S \rtimes^d E$  (6, 6, 3, 3, 2, 1, ...)

$$2 \cdot S \cup (2 \cdot E + d)$$



## Example

$$S = \langle 3, 10, 11 \rangle = \{0, 3, 6, 9, \rightarrow\}, \quad d = 3 \in S, \quad E = \{6, 9, \rightarrow\}$$

multiplicity sequence of  $S \bowtie^d E$  (6, 6, 3, 3, 2, 1, ...)

$$2 \cdot S \cup (2 \cdot E + d)$$



# Rees algebra

Let  $I$  be an ideal of  $R$  and  $t$  be an indeterminate. The **Rees algebra** associated with  $R$  and  $I$  is the graduated ring

$$R[It] = R \oplus It \oplus I^2t^2 \oplus \dots = \bigoplus_{n \in \mathbb{N}} I^n t^n.$$

# Quadratic quotients of the Rees algebra

In a work of Barucci-D'Anna-Strazzanti it was studied the family of quadratic quotients

$$R(I)_{a,b} = \frac{R[It]}{(t^2 + at + b) \cap R[It]} \quad a, b \in R.$$

This family generalise two constructions. The [Nagata idealization](#)

$$R \ltimes I = R \oplus I \quad (r, i) \cdot (s, j) = (rs, rj + si),$$

and the [amalgamated duplication](#)

$$R \bowtie I = R \oplus I \quad (r, i) \cdot (s, j) = (rs, rj + si + ij).$$

In fact they can be obtained as follows

$$R \ltimes I \simeq R(I)_{0,0} = \frac{R[It]}{(t^2) \cap R[It]} \quad a = 0, b = 0$$

$$R \bowtie I \simeq R(I)_{-1,0} = \frac{R[It]}{(t^2 - t) \cap R[It]} \quad a = -1, b = 0$$

Fix  $b \in R$  with  $v(b)$  odd. Consider the ring

$$R(I)_{0,-b} = \frac{R[It]}{(t^2 - b) \cap R[It]}.$$

Fix  $b \in R$  with  $v(b)$  odd. Consider the ring

$$R(I)_{0,-b} = \frac{R[It]}{(t^2 - b) \cap R[It]}.$$

### Theorem (B. 2018)

*If  $\text{char}(R) \neq 2$  then  $R(I)_{0,-b}$  has the same properties of  $R$  and if  $v'$  is the valuation of  $\overline{R(I)_{0,-b}}$  then  $v'_{|\overline{R}} = 2v$  and*

$$v'(R(I)_{0,-b}) = v(R) \bowtie^{v(b)} v(I).$$

$$\begin{array}{ccc}
 R & \longrightarrow & R(I)_{0,-b} \\
 \downarrow & & \downarrow \\
 \overline{R} & \longrightarrow & \overline{R(I)_{0,-b}} \\
 \downarrow & & \downarrow \\
 Q(R) & \longrightarrow & Q(R(I)_{0,-b})
 \end{array}
 \xrightarrow{v'}
 \begin{array}{ccc}
 2 \cdot v(R) & \longrightarrow & v(R) \rtimes^{v(b)} v(I) \\
 \downarrow & & \downarrow \\
 2 \cdot \mathbb{N} & \longrightarrow & \mathbb{N} \\
 \downarrow & & \downarrow \\
 2 \cdot \mathbb{Z} & \longrightarrow & \mathbb{Z}
 \end{array}$$



# Integral closure of an ideal (of a ring)

Let  $I$  be an ideal of  $R$ . An element  $x \in R$  is **integral** over  $I$  if

$$x^n + a_1x^{n-1} + a_2x^{n-2} + \dots + a_n = 0 \quad \text{for some } a_j \in I^j.$$

The set  $\bar{I}$  of elements in  $R$  integral over  $I$  is an ideal called the **integral closure** of  $I$ . If  $I = \bar{I}$ , then  $I$  is **integrally closed**.

# Stable ideals and Arf rings

An ideal  $I$  of  $R$  is **stable** if exists  $x \in I$  such that  $I^2 = xI$ .

$R$  is an **Arf ring** if every integrally closed ideal is stable.

## Multiplicity sequence

The **multiplicity**  $\mu(R)$  of  $R$  is equal to the multiplicity of  $v(R)$ .

# Multiplicity sequence

The **multiplicity**  $\mu(R)$  of  $R$  is equal to the multiplicity of  $v(R)$ .

The **blow up** of  $R$  is  $L(R) = \bigcup_{n \in \mathbb{N}} (\mathfrak{m}^n : \mathfrak{m}^n)$ . Fix

$$\begin{aligned} R_0 &= R, \\ R_{i+1} &= L(R_i). \end{aligned}$$

The sequence  $(\mu(R_0), \mu(R_1), \mu(R_2), \dots)$  is the **multiplicity sequence** of  $R$ .

# Multiplicity sequence

The **multiplicity**  $\mu(R)$  of  $R$  is equal to the multiplicity of  $v(R)$ .

The **blow up** of  $R$  is  $L(R) = \bigcup_{n \in \mathbb{N}} (\mathfrak{m}^n : \mathfrak{m}^n)$ . Fix

$$\begin{aligned} R_0 &= R, \\ R_{i+1} &= L(R_i). \end{aligned}$$

The sequence  $(\mu(R_0), \mu(R_1), \mu(R_2), \dots)$  is the **multiplicity sequence** of  $R$ .

# The value semigroup of an Arf ring

$R$  is Arf  $\iff v(R)$  is an Arf numerical semigroup  
and the multiplicity sequences of  $R$   
and  $v(R)$  coincide.

# The conductor

Let  $C = (R : \overline{R})$  be the **conductor** of  $R$ .

Note that  $\min v(C)$  is the conductor of  $v(R)$ .

# The conductor

Let  $C = (R : \overline{R})$  be the **conductor** of  $R$ .

Note that  $\min v(C)$  is the conductor of  $v(R)$ .

If  $I$  is integrally closed,  $C \subsetneq I$  is equivalent to  $\min(v(I)) < \min(v(C))$ .



### Theorem (B. 2018)

$R(I)_{0,-b}$  is Arf  $\iff R$  is Arf,  $I$  is integrally closed and if  $C \subsetneq I$  the multiplicity sequence of  $R$  is  $(v(b), v(b), \dots, v(b), 1, 1, \dots)$ .

# Patterns

A numerical semigroup  $S$  is Arf if

$$\forall x \geq y \geq z \in S \quad x + y - z \in S.$$

# Patterns

A numerical semigroup  $S$  is Arf if

$$\forall x \geq y \geq z \in S \quad x + y - z \in S.$$

A **pattern**  $p(x_1, \dots, x_n)$  is a linear homogeneous polynomial with non zero integer coefficients.

A numerical semigroup  $S$  **admits** the pattern  $p$  if

$$\forall \lambda_1 \geq \dots \geq \lambda_n \in S \quad p(\lambda_1, \dots, \lambda_n) \in S.$$

A pattern  $p$  is **admissible** if there exists a numerical semigroup  $S$  that admits it.

A pattern  $p$  is **admissible** if there exists a numerical semigroup  $S$  that admits it.

Given a pattern  $p(x_1, \dots, x_n) = \sum_i a_i x_i$  set

$$p' = \begin{cases} p - x_1 & a_1 > 1 \\ p(0, x_1, \dots, x_{n-1}) & a_1 \leq 1 \end{cases}$$

and define recursively

$$\begin{aligned} p^{(0)} &= p \\ p^{(i+1)} &= \left( p^{(i)} \right)' \end{aligned}$$

# Admissibility degree

The **admissibility degree** of  $p$ , denoted  $\text{ad}(p)$ , is the least integer  $k$  such that  $p^{(k)}$  is not admissible, if  $k$  exists;  $\text{ad}(p) = \infty$  otherwise.

# Admissibility degree

The **admissibility degree** of  $p$ , denoted  $\text{ad}(p)$ , is the least integer  $k$  such that  $p^{(k)}$  is not admissible, if  $k$  exists;  $\text{ad}(p) = \infty$  otherwise.

$\text{ad}(p) = 0$    not admissible patterns

$\text{ad}(p) \geq 1$    admissible patterns

$\text{ad}(p) \geq 2$    **strongly admissible** patterns   ( $p'$  is admissible)

## Equivalent patterns

Denote with  $\mathcal{S}(p)$  the set of all numerical semigroups that admits a pattern  $p$ .



# Equivalent patterns

Denote with  $\mathcal{S}(p)$  the set of all numerical semigroups that admits a pattern  $p$ .

$p$  induces  $q$  if  $\mathcal{S}(p) \subseteq \mathcal{S}(q)$ .

$p$  is equivalent to  $q$  if  $\mathcal{S}(p) = \mathcal{S}(q)$  (or they induces each other).

# Equivalent patterns

Denote with  $\mathcal{S}(p)$  the set of all numerical semigroups that admits a pattern  $p$ .

$p$  **induces**  $q$  if  $\mathcal{S}(p) \subseteq \mathcal{S}(q)$ .

$p$  is **equivalent** to  $q$  if  $\mathcal{S}(p) = \mathcal{S}(q)$  (or they induces each other).

## Proposition (B. 2019)

- If  $p$  induce  $q$  then  $\text{ad}(p) \leq \text{ad}(q)$ .
- If  $p$  is equivalent to  $q$  then  $\text{ad}(p) = \text{ad}(q)$ .

# Boolean patterns

The pattern  $p = \sum a_i x_i$  is **Boolean** if  $a_i \in \{\pm 1\}$ .

**Proposition (Bras-Amóros, García-Sánchez)**

*All Boolean patterns  $p$  with  $\text{ad}(p) = i$  are equivalent ( $i \in \{0, 1, 2\}$ ).*

# Arf pattern

The **Arf pattern** is  $x_1 + x_2 - x_3$ .

# Arf pattern

The **Arf pattern** is  $x_1 + x_2 - x_3$ .

Set  $p = \sum a_i x_i$  and  $b_i = \sum_{j \leq i} a_j$ .

## Theorem (B. 2019)

- *The Arf pattern induces every strongly admissible pattern.*
- *$p$  is equivalent to the Arf pattern if and only if  $\text{ad}(p) = 2$  and there exists  $i$  s.t.  $b_i = 2$ .*

# Head, Center, Tail

Every pattern  $p$  can be decomposed uniquely into the sum of three patterns (called respectively the **head**, **center** and **tail**)

$$p = H_p + C_p + T_p$$

such that the coefficients of  $H_p$  are positive, the sum of the coefficients of  $C_p$  is zero and  $\text{ad}(T_p) > 1$ .

# Patterns on the numerical duplication

Let  $E \subseteq S$  be a semigroup ideal and  $d \in S$  an odd integer. Recall that if  $p = \sum a_i x_i$  we set  $b_i = \sum_{j \leq i} a_j$ . We note also that  $E \cup \{0\}$  form a numerical semigroups, and we set  $c(E) = c(E \cup \{0\})$ .

We say that  $S \rtimes^d E$  admits a pattern  $p$  eventually with respect to  $d$  if there exists  $d' \in \mathbb{N}$  such that  $S \rtimes^d E$  admits  $p$  for every  $d \geq d'$ .

# Patterns on the numerical duplication

## Theorem (B. 2019)

*The numerical duplication  $S \bowtie^d E$  admits a monic pattern  $p = \sum a_i x_i$  eventually with respect to  $d$  if one of the following cases occurs*

- ❶  $\text{ad}(p) = 1, S \bowtie^d E = \mathbb{N}.$
- ❷  $\text{ad}(p) = 2, \text{ for all } i \in \{1, \dots, n\}$   

$$\begin{cases} (b_i - 1)/2 \in E - E & b_i \text{ odd} \\ b_i/2 \geq c(E) - \min(E) & b_i \text{ even} \end{cases}$$
  
*and  $S \bowtie^d E$  admits  $x_1 + T_p$ .*
- ❸  $\text{ad}(p) \geq 3 \text{ and } p'(S) \subseteq E - E.$



Thank you for your attention!