



One-Parametric Presburger Arithmetic has Quantifier Elimination

Alessio Mansutti and Mikhail Starchak

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Peano arithmetic

\mathbb{Z} +
 \times A
 \leq E



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Presburger arithmetic

\mathbb{Z} \leq
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Peano arithmetic

\mathbb{Z} $+$
 \times \wedge
 \leq \exists



$\exists \langle \mathbb{Z}, 0, 1, +, |, \leq \rangle$

Presburger arithmetic

\mathbb{Z} \leq
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$\langle \mathbb{Z}, 0, 1, +, (x \mapsto t \cdot x), \leq \rangle$

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One-parametric Presburger arithmetic (1PPA)

First-order theory of the structure $\langle \mathbb{Z}, 0, 1, +, (x \mapsto t \cdot x), \leq \rangle$.

In the **multiplication function** $x \mapsto t \cdot x$, the **parameter** t is a fixed free variable.

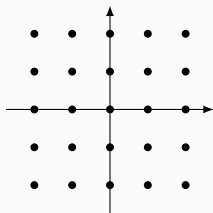
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Twisting squares (Bogart, Goodrick, Woods. *Discrete Analysis* 2017)

$$|2x + (2t - 2)y| \leq t^2 - 2t + 2 \wedge |(2 - 2t)x + 2y| \leq t^2 - 2t + 2$$



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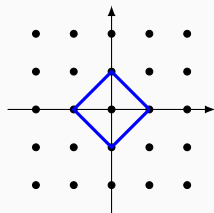
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$t = 0:$ $|2x - 2y| \leq 2 \wedge |2x + 2y| \leq 2$ 5 solutions



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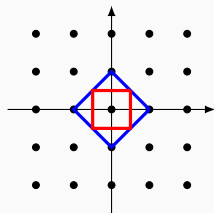
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1 solution



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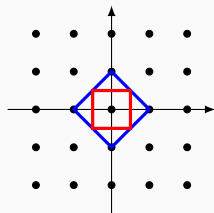
$t = 1:$ $|2x| \leq 1 \wedge |2y| \leq 1$

$t = 2:$ $|2x + 2y| \leq 2 \wedge |-2x + 2y| \leq 2$

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same as $t = 0$



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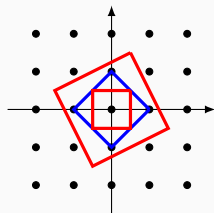
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$t = 2$: $|2x + 2y| \leq 2 \wedge |-2x + 2y| \leq 2$

same as $t = 0$

$t = 3$: $|2x + 4y| \leq 5 \wedge |-4x + 2y| \leq 5$

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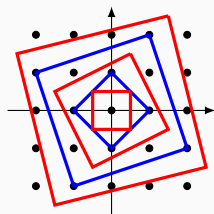
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For a fixed $t \geq 0$, this formula:

- has $t^2 - 2t + 2$ solutions when t is **odd**
- has $t^2 - 2t + 5$ solutions when t is **even**



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“Chinese Remainder Theorem”

The following formula is valid:

$$\begin{aligned} t \geq 1 \implies \forall a \forall b \exists x: \quad & 0 \leq x < t(t+1) \\ & \wedge \quad t \mid x - a \\ & \wedge t+1 \mid x - b \end{aligned}$$

where $(p(t) \mid \tau) := \exists w (w \cdot p(t) = \tau)$.

“For every positive integer t , and for all integers a and b , there is an integer x in the interval $[0..t(t+1) - 1]$ that is congruent to a modulo t , and to b modulo $t+1$.”

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A formula $\varphi(\mathbf{x})$ of 1PPA defines a **parametric Presburger family** $\{\llbracket \varphi \rrbracket_k : k \in \mathbb{Z}\}$, where

$\llbracket \varphi \rrbracket_k$: set of solution to φ after replacing t with k

We can ask several questions about φ :

- **satisfiability**: is $\llbracket \varphi \rrbracket_k$ non-empty for **some** k ?
- **validity**: is $\llbracket \varphi \rrbracket_k$ non-empty for **every** k ?
- **finiteness**: is $\llbracket \varphi \rrbracket_k$ non-empty only for **finitely many** k ?

Eventual quasi-polynomials and 1PPA

A function $f: \mathbb{N} \rightarrow \mathbb{N}$ is an **eventual quasi-polynomial (EQP)** whenever there are

- a **threshold** T and a **period** P , and
- a family of univariate polynomials f_0, \dots, f_{P-1}

such that for every $n \geq T$, $f(n) = f_{(n \bmod P)}(n)$.

Eventual quasi-polynomials and 1PPA

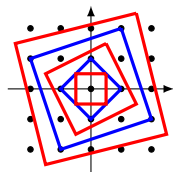
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Examples:

$$\lfloor \frac{x}{2} \rfloor = \begin{cases} \frac{x}{2} & \text{if } x \text{ is even} \\ \frac{x-1}{2} & \text{if } x \text{ is odd} \end{cases}$$



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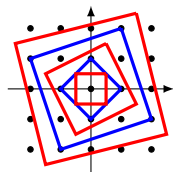
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Theorem (Bogart, Goodrick, Woods. *Discrete Analysis* 2017)

Let φ be a 1PPA formula. The counting function $f(k) := \# \llbracket \varphi \rrbracket_k$ is an EQP.

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$$\varphi = \exists x_1 \forall x_2 \dots : \psi$$

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\downarrow *bounded quantifier elimination* (Weispfenning. *ISSAC* 1997)

“ $\exists y \leq p(t)$ ” constrains y in $[0..p(t)]$

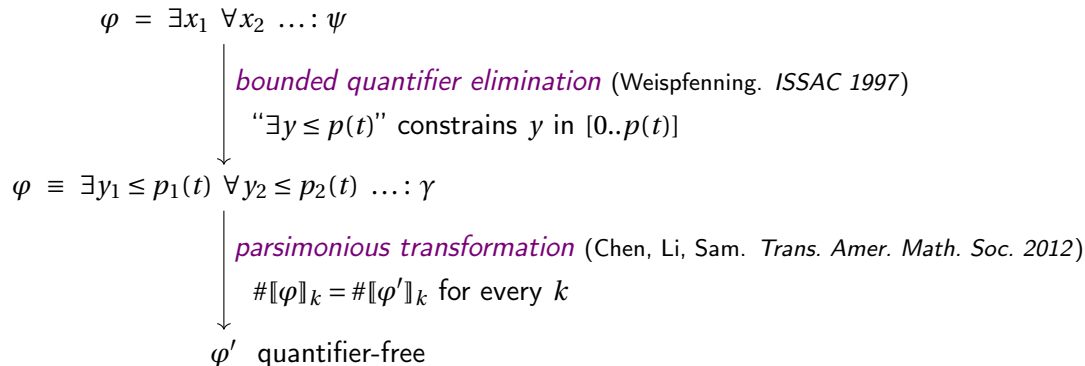
$$\varphi \equiv \exists y_1 \leq p_1(t) \forall y_2 \leq p_2(t) \dots : \gamma$$

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Theorem (Bogart, Goodrick, Woods, *Discrete Analysis* 2017)

Let φ

In *Discrete Analysis* 2017, Bogart, Goodrick and Woods ask whether the **parsimonious transformation** can be replaced with **quantifier elimination**.

Proof

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↓ **parsimonious transformation** (Chen, Li, Sam. *Trans. Amer. Math. Soc.* 2012)

$\# \llbracket \varphi \rrbracket_k = \# \llbracket \varphi' \rrbracket_k$ for every k

↓ φ' quantifier-free

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In *Arch. Math. Logic* 2018, Goodrick conjectures that extending 1PPA with a function $x \mapsto \left\lfloor \frac{x}{p(t)} \right\rfloor$ for every polynomial p suffices.

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parsimonious transformation

We prove Goodrick's conjecture.

(Goodrick, *Trans. Amer. Math. Soc.* 2012)

$\# \llbracket \varphi \rrbracket_{\mathbb{N}^k} = \# \llbracket \varphi' \rrbracket_{\mathbb{N}^k}$

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Our results

Theorem

There is a quantifier elimination procedure for the extension of 1PPA with the functions:

- *integer division:* $x \mapsto \left\lfloor \frac{x}{t^d} \right\rfloor$ *one function for each $d \in \mathbb{N}$, assumes $t \neq 0$*
- *integer remainder function:* $x \mapsto (x \bmod p)$ *for each $p \in \mathbb{Z}[t]$*
- *divisibility relation:* $p \mid x$ *for each $p \in \mathbb{Z}[t]$*

(The functions $x \mapsto \left\lfloor \frac{x}{p(t)} \right\rfloor$ capture all these functions and relations.)

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(The functions $x \mapsto \left\lfloor \frac{x}{p(t)} \right\rfloor$ capture all these functions and relations.)

Theorem

For the class of all *existential* formulae of 1PPA, the following holds:

<i>Satisfiability:</i> NP-complete	<i>Universality:</i> coNEXP-complete	<i>Finiteness:</i> coNP-complete
----------------------------------------------	------------------------------------------------	--------------------------------------------

Overview of our procedure

Input: A quantifier-free formula $\varphi(\mathbf{x}, \mathbf{z})$ from the extended language of 1PPA (1PPA⁺).

Output: A quantifier-free formula ψ from 1PPA⁺ that is equivalent to $\exists \mathbf{x} \varphi$.

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Step 1. Preprocessing: Remove divisions and remainder functions.

$$\cdots + \left\lfloor \frac{\tau}{t^d} \right\rfloor + \cdots \leq 0 \quad \rightarrow \quad \exists x \left(\cdots + x + \cdots \leq 0 \wedge (t^d x \leq \tau < t^d(x+1)) \right)$$

$$\cdots + (\tau \bmod f) + \cdots \leq 0 \quad \rightarrow \quad \exists x \left(\cdots + x + \cdots \leq 0 \wedge (0 \leq x < f-1) \wedge (f \mid \tau - x) \right)$$

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Step II. Bounded quantifier elimination:

$$\exists \mathbf{x}': \varphi'(\mathbf{x}', \mathbf{z}) \rightarrow_{\beta} \exists \mathbf{w} \leq B : \gamma(\mathbf{w}, \mathbf{z})$$

such that $\exists \mathbf{z}: \gamma(\mathbf{z}, \mathbf{z})$ is equivalent to $\bigvee_{\beta} \exists \mathbf{w}_{\beta} \leq B_{\beta} : \gamma_{\beta}(\mathbf{w}_{\beta}, \mathbf{z})$

Step II: Bounded quantifier elimination in **NP** (simplified)

Naïve bounded quantifier elimination

Input: $\exists \mathbf{x}: \varphi(\mathbf{x}, \mathbf{z})$

Output: $\exists \mathbf{w} \leq B: \gamma(\mathbf{w}, \mathbf{z})$

$Q \leftarrow$ empty sequence of bounded quantifiers

$\ell \leftarrow 1$

for x in \mathbf{x} and occurring in φ **do**

$(a \cdot x + \tau \sim 0) \leftarrow$ **guess** an (in)equality in φ featuring x , or $x \leq 0$

$\tau \leftarrow \tau + w$ with w fresh free variable

append to Q the quantifier $\exists w \leq "a \cdot \text{mod}(\varphi)"$

$\varphi \leftarrow \varphi[\frac{-\tau}{a} / x]$

divide each (in)equality in φ by ℓ

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Consider $\tau_1 \leq a \cdot x \leq \tau_2$ with $a > 0$.

"between τ_1 and τ_2 there is a multiple of a "

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" $a \cdot \text{mod}(\varphi)$ " is a positive polynomial in $\mathbb{Z}[t]$ that upper bounds the product between a and all the divisors appearing in φ .

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$$\varphi[\frac{-\tau}{a} / x]: \quad -b \cdot \tau + a \cdot \rho = 0$$

$$\text{Note: } (-b \cdot \tau + a \cdot \rho) = \det \begin{bmatrix} a & \tau \\ b & \rho \end{bmatrix}$$

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Bounded quantifier elimination meets Bareiss's algorithm

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for x in \mathbf{x} and occurring in φ **do**

$(a \cdot x + \tau \sim 0) \leftarrow$ **guess** an (in)equality in φ featuring x , or $x \leq 0$

$\tau \leftarrow \tau + \ell \cdot w$ with w fresh free variable

append to Q the quantifier $\exists w \leq "a \cdot \text{mod}(\varphi)"$

$\varphi \leftarrow \varphi[\frac{-\tau}{a} / x]$

divide each (in)equality in φ by ℓ

$\varphi \leftarrow \varphi \wedge (a \mid \tau)$

$\ell \leftarrow a$

return $Q\varphi$

Step II: Bounded quantifier elimination in **NP** (simplified)

Bounded quantifier elimination meets Bareiss's algorithm

Input: $\exists x: \varphi(x, z)$

Output: $\exists w \leq B: \gamma(w, z)$

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Desnanot–Jacobi identity:

$$\begin{vmatrix} a_{11} & a_{12} \\ a_{21} & a_{22} \end{vmatrix} \begin{vmatrix} a_{11} & a_{13} \\ a_{21} & a_{23} \end{vmatrix} = a_{11} \cdot \begin{vmatrix} a_{11} & a_{12} & a_{13} \\ a_{21} & a_{22} & a_{23} \\ a_{31} & a_{32} & a_{33} \end{vmatrix}$$

Overview of our procedure

Input: A quantifier-free formula $\varphi(\mathbf{x}, \mathbf{z})$ from the extended language of 1PPA (1PPA⁺).

Output: A quantifier-free formula ψ from 1PPA⁺ that is equivalent to $\exists \mathbf{x} \varphi$.

Step I. Preprocessing: Remove divisions and remainder functions.

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Step IV. Elimination of bounded quantifiers by “bit blasting”.

Step IV: Elimination of bounded quantifiers

$$\exists x \leq t^2 + t - 1 \ \exists z \leq t + 2 : (t + 1) \cdot z = x + (-b \bmod t + 1)$$

Assume $t \geq 2$.

Step IV: Elimination of bounded quantifiers

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Assume $t \geq 2$. Bit blast:

$$\begin{aligned} \exists z \leq t + 2 : \varphi \quad \rightarrow \quad \exists z_0, z_1, z_2 \leq t - 1 : \quad & 0 \leq z_2 \cdot t^2 + z_1 \cdot t + z_0 \leq t + 2 \\ & \wedge \varphi[z_2 \cdot t^2 + z_1 \cdot t + z_0 / z] \end{aligned}$$

Step IV: Elimination of bounded quantifiers

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The equality $(t + 1) \cdot z = x - (b \bmod t + 1)$ becomes:

$$(t + 1) \cdot (z_2 \cdot t^2 + z_1 \cdot t + z_0) = (x_2 \cdot t^2 + x_1 \cdot t + x_0) + (-b \bmod t + 1).$$

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Step IV: Elimination of bounded quantifiers

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Divide by t the maximal subterm with no quantified variables:

$$(-b \bmod t + 1) \quad \rightarrow \quad \left\lfloor \frac{-b \bmod t + 1}{t} \right\rfloor \cdot t + ((-b \bmod t + 1) \bmod t)$$

Step IV: Elimination of bounded quantifiers

$$\begin{aligned} -z_2 \cdot t^3 + (x_2 - z_1 - z_2) \cdot t^2 + \left(x_1 - z_0 - z_1 + \left\lfloor \frac{-b \bmod t + 1}{t} \right\rfloor \right) \cdot t \\ + (x_0 - z_0) + ((-b \bmod t + 1) \bmod t) = 0 \end{aligned}$$

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- $(x_0 - z_0) + ((-b \bmod t + 1) \bmod t)$ belongs to $[-t..2 \cdot t]$...
- ...and must be divisible by t . (This only applies to equalities.)

Step IV: Elimination of bounded quantifiers

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- ...and must be divisible by t . (This only applies to equalities.)

Guess $r_0 \in \{-1, 0, 1, 2\}$ and rewrite the equality as

$$\begin{aligned} -z_2 \cdot t^2 + (x_2 - z_1 - z_2) \cdot t + \left(x_1 - z_0 - z_1 + \left\lfloor \frac{-b \bmod t + 1}{t} \right\rfloor \right) + r_0 = 0 \\ \wedge (x_0 - z_0) + ((-b \bmod t + 1) \bmod t) = r_0 \cdot t \end{aligned}$$

Important: x_0 has only integer coefficients!

Step IV: Elimination of bounded quantifiers

Let's do another iteration:

$$\begin{aligned} & -z_2 \cdot t^2 + (x_2 - z_1 - z_2) \cdot t + \left(x_1 - z_0 - z_1 + \left\lfloor \frac{-b \bmod t + 1}{t} \right\rfloor \right) + r_0 = 0 \\ & \wedge (x_0 - z_0) + ((-b \bmod t + 1) \bmod t) = r_0 \cdot t \end{aligned}$$

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Divide by $t!$

Step IV: Elimination of bounded quantifiers

Let's do another iteration:

$$-z_2 \cdot t^2 + (x_2 - z_1 - z_2) \cdot t + \left(x_1 - z_0 - z_1 + \left\lfloor \frac{\lfloor \frac{-b \bmod t+1}{t} \rfloor + r_0}{t} \right\rfloor \cdot t + \left(\left(\left\lfloor \frac{-b \bmod t+1}{t} \right\rfloor + r_0 \right) \bmod t \right) \right) = 0$$

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$$\wedge (x_0 - z_0) + ((-b \bmod t + 1) \bmod t) = r_0 \cdot t$$

belongs to $[-2 \cdot t .. 2 \cdot t]$
so guess $r_1 \in [-2..2]$

Step IV: Elimination of bounded quantifiers

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$$-z_2 \cdot t + (x_2 - z_1 - z_2) + \left\lfloor \frac{\left\lfloor \frac{-b \bmod t + 1}{t} \right\rfloor + r_0}{t} \right\rfloor + r_1 = 0$$

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$$\wedge (x_1 - z_0 - z_1) + \left(\left(\left\lfloor \frac{-b \bmod t + 1}{t} \right\rfloor + r_0 \right) \bmod t \right) = r_1 \cdot t$$

Now all variables but z_2 have only integer coefficients!

- Repeat until all quantified variables only occur with integer coefficients.
- Afterwards, call a quantifier-elimination procedure for Presburger arithmetic.

Our results

Theorem

There is a quantifier elimination procedure for the extension of 1PPA with the functions:

- *integer division*: $x \mapsto \left\lfloor \frac{x}{t^d} \right\rfloor$ one function for each $d \in \mathbb{N}$, assumes $t \neq 0$
- *integer remainder function*: $x \mapsto (x \bmod p)$ for each $p \in \mathbb{Z}[t]$
- *divisibility relation*: $p \mid x$ for each $p \in \mathbb{Z}[t]$

Theorem

For the class of all *existential* formulae of 1PPA, the following holds:

<i>Satisfiability:</i> NP -complete	<i>Universality:</i> coNEXP -complete	<i>Finiteness:</i> coNP -complete
-----------------------------------------------	-------------------------------------------------	---------------------------------------------