## CE394M: An introduction to plasticity

#### Krishna Kumar

University of Texas at Austin

krishnak@utexas.edu

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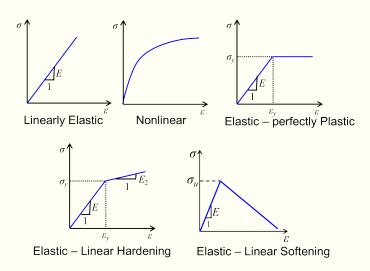
### Overview

Constitutive modeling

- 2 Classical plasticity
  - Equations of plasticity

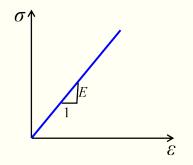
#### Constitutive law

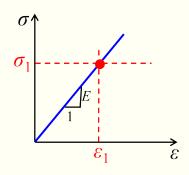
Constitutive law is the stress-strain relationship:  $\sigma = f(\varepsilon) \to \sigma = \mathbf{D} \cdot \varepsilon$ 



## Isotropic linear elasticity

 $\sigma = \mathbf{D^{el}} \cdot \varepsilon$  where  $\mathbf{D^{el}}$  is the elastic stiffness matrix.





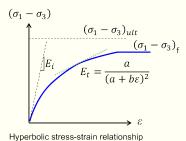
The knowledge of strain alone allows us to obtain the stress value.

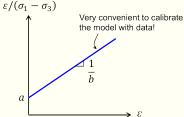
## Nonlinear elasticity

### Hyperbolic model (Duncan and Chang., 1970)

$$(\sigma_1 - \sigma_3) = \frac{\varepsilon}{a + b\varepsilon}$$

$$a = \frac{1}{E_i} \quad b = \frac{1}{(\sigma_1 - \sigma_3)_{ult}}$$

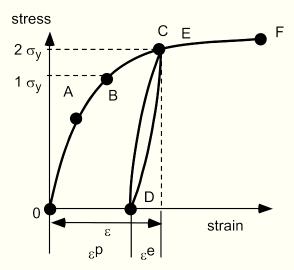




Transformation of hyperbolic relations

There is no physical meaning to  $(\sigma_1 - \sigma_3)_{ult}$ . The  $(\sigma_1 - \sigma_3)_f$  is determined from the strength criteria  $\tau = c + \sigma' \tan \phi'$  (drained) or  $\tau = s_u$  (total stress undrained).

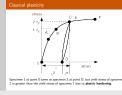
# Classical plasticity



Specimen 1 at point 0 same as specimen 2 at point D, but yield stress of specimen 2 is greater than the yield stress of specimen 1 due to **plastic hardening**.

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—Classical plasticity



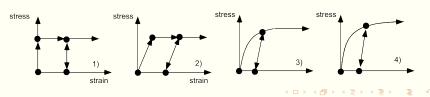
#### Uniaxial tension test on metal bar:

$O{\to}A$	Linear elastic, reversible
$A{ ightarrow}B$	Nonlinear elastic, reversible
В	Starts to yield
$B{ ightarrow} C$	Nonlinear elasto-plastic, irreversible
$C{ ightarrow}D$	Elastic with hysteresis
$C{ ightarrow} F$	Nonlinear elasto-plastic, irreversible
F	Peak stress at failure

 $\varepsilon = \varepsilon^{\it e} + \varepsilon^{\it p}$ , where  $\it e$  is elastic and  $\it p$  is plastic.

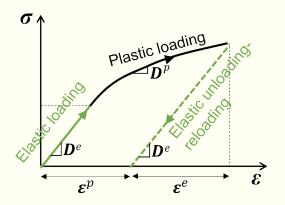
## Classical plasticity

- **Plastic behavior**: The direction of plastic strains is governed by the current stress state  $\sigma$ .  $d\sigma = f(d\varepsilon) \rightarrow d\sigma = \mathbf{D}^e \cdot d\varepsilon$ .
- **Elastic behavior**: The direction of elastic strains is governed by the stress state increment  $\delta\sigma$  direction.
- Plastic models
  - Rigid perfectly plastic model used in static limit equilibrium analysis (no elastic strain and no strain hardening / softening)
  - Linear elastic perfectly plastic model (Drucker-Prager and Mohr Coulomb models)
  - Hybrid model (nonlinear elastic with perfectly plastic Duncan and Chang)
  - Work (or strain) hardening plasticity model (Cam-Clay model)



## Elasto-plastic materials

Main distinctive feature of elasto-plastic materials: "irreversibility"  $\to$  Plastic deformation  $\varepsilon^p$ 



$$\varepsilon = \varepsilon^e + \varepsilon^p \quad d\varepsilon = d\varepsilon^e + d\varepsilon^p$$

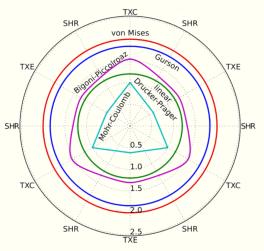
## Basic concepts of classical plasticity

To formulate an elasto-plastic constitutive model we need:

- **① Elastic stress-strain relationship:**  $\sigma = \mathbf{D}^e \varepsilon^e = \mathbf{D}^e (\varepsilon \varepsilon^p)$ . Describe elastic response.
- **Yield function**: defines the condition for the onset of plastic strain. Depends on the stress state  $\sigma$  and state parameters (e.g., in MC they are cohesion and friction angle).  $F(\sigma', Wp) = 0$ .
- **Olyapsic potential**  $(G(\sigma, Wp) = 0)$  defines the direction of plastic strains. Depends on stress state  $\sigma$  and state parameter (for e.g., is dilatancy in MC). Note that the direction of  $d\varepsilon^p$  doesn't depend on  $d\sigma$  but on the actual stress state  $\sigma$ . **Flow rule**  $\varepsilon^p = \lambda (dG/d\sigma)$ .
- **4 Hardening rule** / **Hardening law (h)** defines how F changes with plastic strains. Yield function  $F = f(\text{stress state}, W_p)$ , where  $W_p$  is a function of plastic strains. Describes the evolution of state parameters depending on the plastic strain  $\varepsilon^p$ .

#### Yield functions

defines when plastic strains occur. If the material is isotropic, we can use the principal stresses to define the stress state.



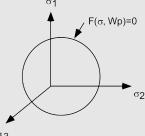
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—Yield functions



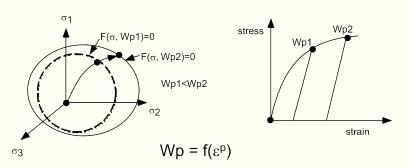
Yield function  $F(\sigma, Wp) = 0$ .

- if F = 0 under loading: yielding and plastic strains and in unloading: elastic strains.
- if F < 0 elastic domain.
- if F > 0 impossible.



## Hardening law

How the threshold of yielding changes with plastic strain or how the yield function changes with plastic strain.

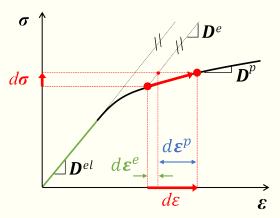


## Equations of elasto-plasticity: 1. Stress-strain relation

Describes the incremental stress-strain relationship.

$$d\sigma = D^{e} \cdot d\varepsilon^{e} = D^{e} \cdot (d\varepsilon - d\varepsilon^{p})$$

Where  $D^e$  is the elastic stiffness matrix.  $^e$  denotes the elastic part.



## Equations of elasto-plasticity: 2. Flow rule

- Specifies the direction of plastic strain increments at every yield stress state. It is very important because it controls the ratio of the volumetric and deviatoric components (e.g., dilatancy of the material).
- States that the plastic strain increments  $(d\varepsilon^p)$  are normal to the plastic potential surface (G).

$$d\varepsilon^p = d\lambda \cdot \vec{P}$$

Note: typically  $\vec{P}$  is **not** a unit vector, so  $\vec{P}$  also controls the magnitude of  $d\vec{\varepsilon_p}$  (in addition to the direction).

• In many cases,  $\vec{P}$  is chosen as the gradient of a function g (if it exists) such that:

$$\vec{P} = \frac{\partial G}{\partial \sigma}$$



## Drucker's postulate

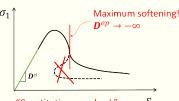
Drucker (1952) established that for a stable inelastic material in a closed stress cycle, a positive work must be done.

$$dW^{plastic} > 0 \rightarrow d\sigma \cdot d\varepsilon^p > 0$$

This requirement is satisfied if the normality condition is assumed (but is not the only solution). The gradient of the yield surface (i.e., normal to the surface)

$$\vec{Q} = \frac{\partial F}{\partial \sigma} = \vec{P}$$

It also imposes a constraint that the yield surface must be convex.



"Constitutive snap back"

CE394M: intro to plasticity -Classical plasticity -Equations of plasticity -Drucker's postulate

$$Q = \frac{\partial F}{\partial \sigma}$$
$$P = \frac{\partial G}{\partial \sigma}$$

## stress cycle, a positive work must be done.

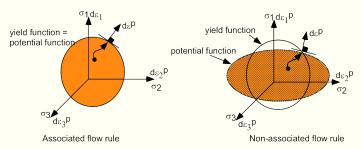
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### Associated and non-associated plasticity

- Associated flow rule Major principal plastic strain increment  $(d\varepsilon_1^p)$  is in the direction of the major principal stress  $(\sigma_1)$ , and the axes of the principal strain increment and principal stress coincide, i.e., the yield and plastic functions coincide. This results in a symmetric constitutive matrix  $D^p$ .
- Nonassociated flow rule The axes of the principal strain increment and principal stress do not coincide.

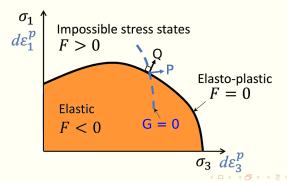


## Equations of elasto-plasticity: 3. Consistency condition

States that the elastic limit is defined by the yield surface, enforcing points in plastic condition to **remain** on the yield surface.

$$dF = \left(\frac{\partial F}{\partial \sigma}\right)^{T} \cdot d\sigma + \frac{\partial F}{\partial Wp} \cdot dWp = 0$$

Where  $D^e$  is the elastic stiffness matrix.  $^e$  denotes the elastic part. E.g., strain hardening in tension for steel.



## Equations of elasto-plasticity: 3. Consistency condition

The consistency condition can be written as:

$$dF = \left(\frac{\partial F}{\partial \sigma}\right)^{T} \cdot d\sigma + \frac{\partial F}{\partial Wp} \cdot dWp = 0$$

$$= \left(\frac{\partial F}{\partial \sigma}\right)^{T} \cdot d\sigma + \left(\frac{\partial F}{\partial Wp}\right) \cdot \left(\frac{\partial Wp}{\partial \varepsilon^{p}}\right)^{T} \cdot d\varepsilon^{p} = 0$$

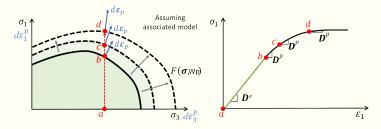
$$= \left(\frac{\partial F}{\partial \sigma}\right)^{T} \cdot d\sigma + \left(\frac{\partial F}{\partial Wp}\right) \left(\frac{\partial Wp}{\partial \varepsilon^{p}}\right)^{T} \cdot \frac{\partial G}{\partial \sigma} d\lambda = 0$$

$$dF = \left(\frac{\partial F}{\partial \sigma}\right)^{T} \cdot d\sigma - Hd\lambda = 0.$$

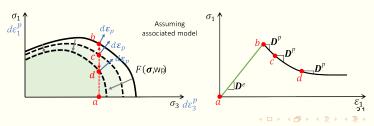
if H > 0: Hardening, if H = 0: perfect plasticity, if H < 0: softening.

## Hardening v Softening

Linear elastic – hardening plastic material H > 0

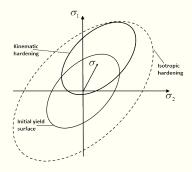


Linear elastic – softening plastic material H < 0



### Isotropic v kinematic hardening

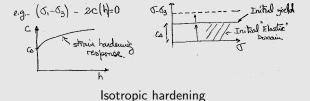
Two primary types of hardening laws: density and kinematic hardening.

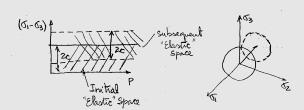


- **Oensity hardening**: also referred to as isotropic hardening.  $(\sigma_1 \sigma_3) 2c(h) = 0$
- **Winematic hardening**: typically describing fabric anisotropy. No change in size of yield surface but translating in stress space.  $F = (\sigma_1 \sigma_3) \alpha(h) 2c = 0$ .



- $(\sigma_1 \sigma_3) 2c(h) = 0$ (Ninematic hardening: typically describing fabric anisotropy. No
- change in size of yield surface but translating in stress space.  $F=(\sigma_1-\sigma_3)-\alpha(h)-2c=0.$





Kinematic hardening

## Basic concepts of elasto-plasticity

The response of the material is:

• elastic: as long as the stress state remains within the yield surface.

$$F(\sigma, Wp) < 0 \rightarrow d\sigma = D^e \cdot d\varepsilon.$$

• elasto-plastic: if the stress state is on the yield surface

$$F(\sigma, Wp) = 0 \rightarrow d\sigma = D^p \cdot d\varepsilon.$$

Note: When the response is elastic, we have no problem! Knowing the strain, we directly can calculate the stress  $(d\sigma = D^e \cdot d\varepsilon)$ .

The difficulty arises when the material response is elasto-plastic, we need to determine  $D^p$ !

## Equations of elasto-plasticity

#### For an elasto-plastic material:

- Input material:  $D^e, \frac{\partial F}{\partial \sigma}, \frac{\partial G}{\partial \sigma}, H$
- Results from FE analysis:  $d\varepsilon$
- unknowns:  $d\varepsilon^p$ ,  $d\lambda$ ,  $d\sigma$
- What we are interested:  $d\sigma$
- **1** Stress-strain:  $d\sigma = D^e \cdot (d\varepsilon d\varepsilon^p)$
- ② Consistency-condition:  $dF = \frac{\partial F}{\partial \sigma}^T \cdot d\sigma Hd\lambda$
- **3** Flow rule:  $d\varepsilon^p = \frac{\partial G}{\partial \sigma} d\lambda$ .

#### Solution procedure:

- **1** Eqs 1 and 3 in eq  $2 \rightarrow d\lambda$
- $\bigcirc$   $d\lambda$  in Eq 3  $\rightarrow$   $d\varepsilon^p$

## Equations of elasto-plasticity

Eq 1 and 3 (stress-strain & flow rule) in Eq 2 (consistency condition) for  $d\lambda$ 

$$dF = \left(\frac{\partial F}{\partial \sigma}\right)^{T} \cdot D^{e} \cdot \left(d\varepsilon - \frac{\partial G}{\partial \sigma}d\lambda\right) - Hd\lambda = 0$$

$$d\lambda = \frac{\left(\frac{\partial F}{\partial \sigma}\right)^{T} \cdot D^{e} \cdot d\varepsilon}{\left(\frac{\partial F}{\partial \sigma}\right)^{T} \cdot D^{e} \left(\frac{\partial G}{\partial \sigma}\right) + H}$$

$$\left(\frac{\partial F}{\partial \sigma}\right)^{T} \cdot D^{e} \frac{\partial G}{\partial \sigma} + H > 0$$

 $d\lambda$  in Eq 3  $\rightarrow d\varepsilon^p$ 

$$d\varepsilon^{p} = \frac{\partial G}{\partial \sigma} d\lambda = \frac{\partial G}{\partial \sigma} \frac{\left(\frac{\partial F}{\partial \sigma}\right)^{T} \cdot D^{e} \cdot d\varepsilon}{\left(\frac{\partial F}{\partial \sigma}\right)^{T} \cdot D^{e} \left(\frac{\partial G}{\partial \sigma}\right) + H}$$

## Equations of elasto-plasticity

 $d \varepsilon^p$  in Eq  $1 o d \sigma$ 

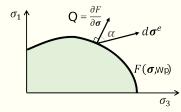
$$d\sigma = D^{e} \cdot (d\varepsilon - d\varepsilon^{p})$$

$$= D^{e} \cdot \left( d\varepsilon - \frac{\partial G}{\partial \sigma} \frac{\left( \frac{\partial F}{\partial \sigma} \right)^{T} \cdot D^{e} \cdot d\varepsilon}{\left( \frac{\partial F}{\partial \sigma} \right)^{T} \cdot D^{e} \left( \frac{\partial G}{\partial \sigma} \right) + H} \right)$$

$$d\sigma' = \left[ D^{e} - \frac{D^{e} \left( \frac{\partial G}{\partial \sigma'} \right) \left( \frac{\partial F}{\partial \sigma'} \right)^{T} D^{e}}{- \left( \frac{\partial F}{\partial W_{p}} \right) \left( \frac{\partial W_{p}}{\partial \varepsilon^{p}} \right)^{T} \left( \frac{\partial G}{\partial \sigma'} \right) + \left( \frac{\partial F}{\partial \sigma'} \right)^{T} D^{e} \left( \frac{\partial G}{\partial \sigma'} \right)} \right] d\varepsilon$$

## Plastic loading v elastic unloading

Depending on  $\alpha$ , we might have three different scenarios:



If 
$$\alpha = 90^{\circ} \Rightarrow \left(\frac{\partial F}{\partial \sigma}\right)^{T} \cdot \mathbf{D}^{e} \cdot d\mathbf{\varepsilon} = 0$$

$$\Rightarrow d\lambda = 0 \Rightarrow d\mathbf{\varepsilon}^{p} = 0$$

$$\Rightarrow \text{Neutral loading}$$

$$\Rightarrow dF = 0$$

If 
$$\alpha < 90^{\circ} \Rightarrow \left(\frac{\partial F}{\partial \sigma}\right)^{T} \cdot \mathbf{D}^{e} \cdot d\mathbf{\varepsilon} > 0$$

$$\Rightarrow d\lambda > 0 \Rightarrow d\mathbf{\varepsilon}^{p} > 0$$

$$\Rightarrow \text{Elastoplastic loading}$$

$$\Rightarrow dF = 0$$

If 
$$\alpha > 90^{\circ} \Rightarrow \left(\frac{\partial F}{\partial \sigma}\right)^{T} \cdot \mathbf{D}^{e} \cdot d\mathbf{\varepsilon} < 0$$

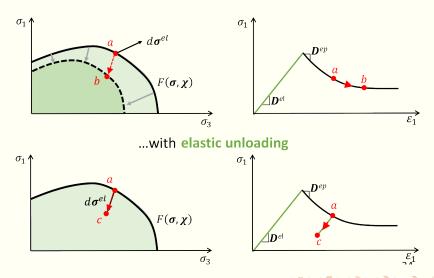
$$\Rightarrow d\Lambda = 0 \Rightarrow d\mathbf{\varepsilon}^{p}$$

$$\Rightarrow \text{Elastic unloading}$$

$$\Rightarrow dF < 0$$

# Plastic loading v elastic unloading

Don't confuse elastoplastic loading with softenting...

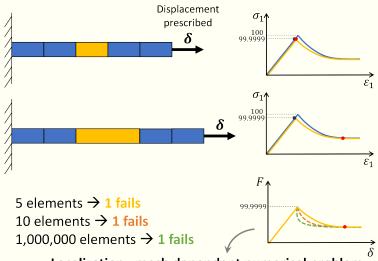


## Problems associated to softening

- The modeling of strain softening (i.e. strength decreases from pick to residual conditions) leads to the localization phenomenon.
- Localization shows up when all deformation is absorbed by one element, while the neighboring elements remain under elastic conditions.
- The solution depends on the element size, hence the localization is a mesh dependent numerical problem.
- Solution: regularization techniques: non-local integration, strain softening models dependent on the element size,...
- Be careful: Most of the commercial software do not include regularization techniques.

## Problems associated to softening

Don't confuse elastoplastic loading with softenting...



Localization = mesh dependent numerical problem

#### FE workflow

