

# Lecture 14

# Introduction to Recurrent Neural Networks (Part 1)

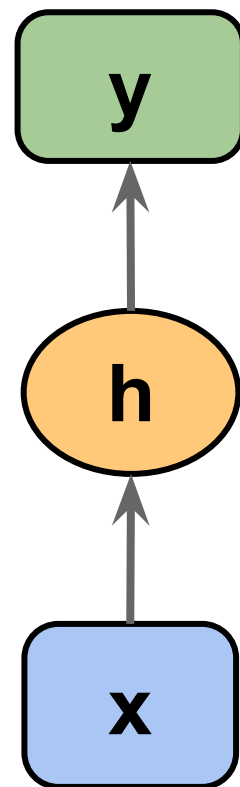
STAT 479: Deep Learning, Spring 2019

Sebastian Raschka

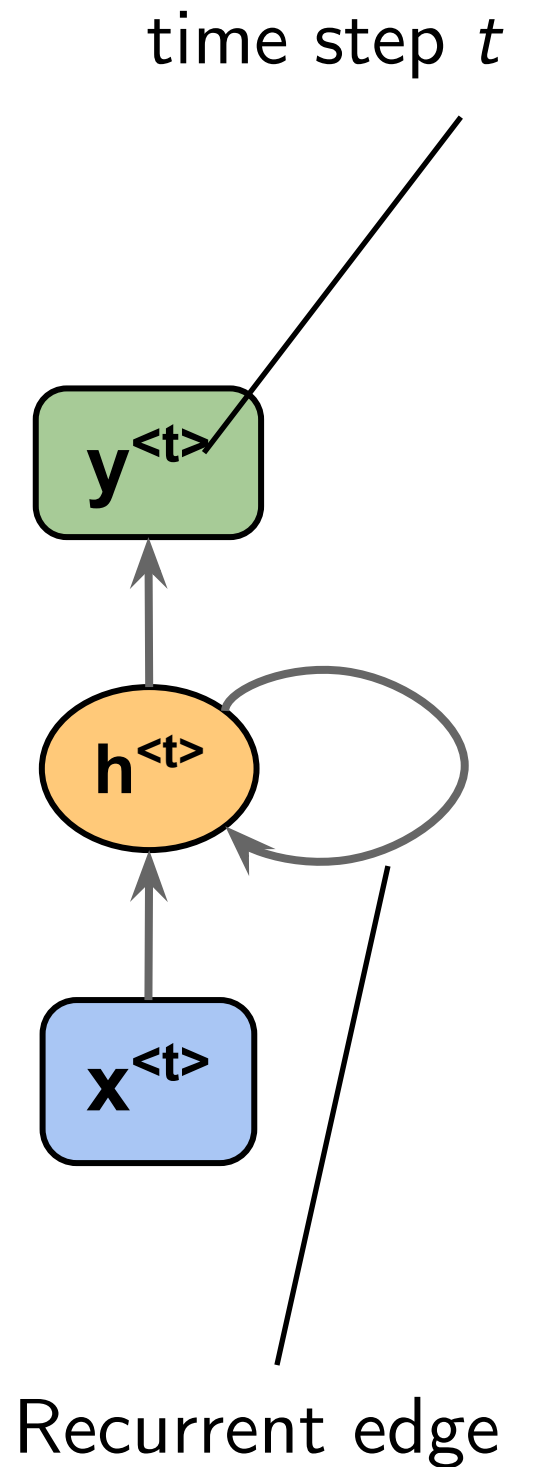
<http://stat.wisc.edu/~sraschka/teaching/stat479-ss2019/>

# Overview

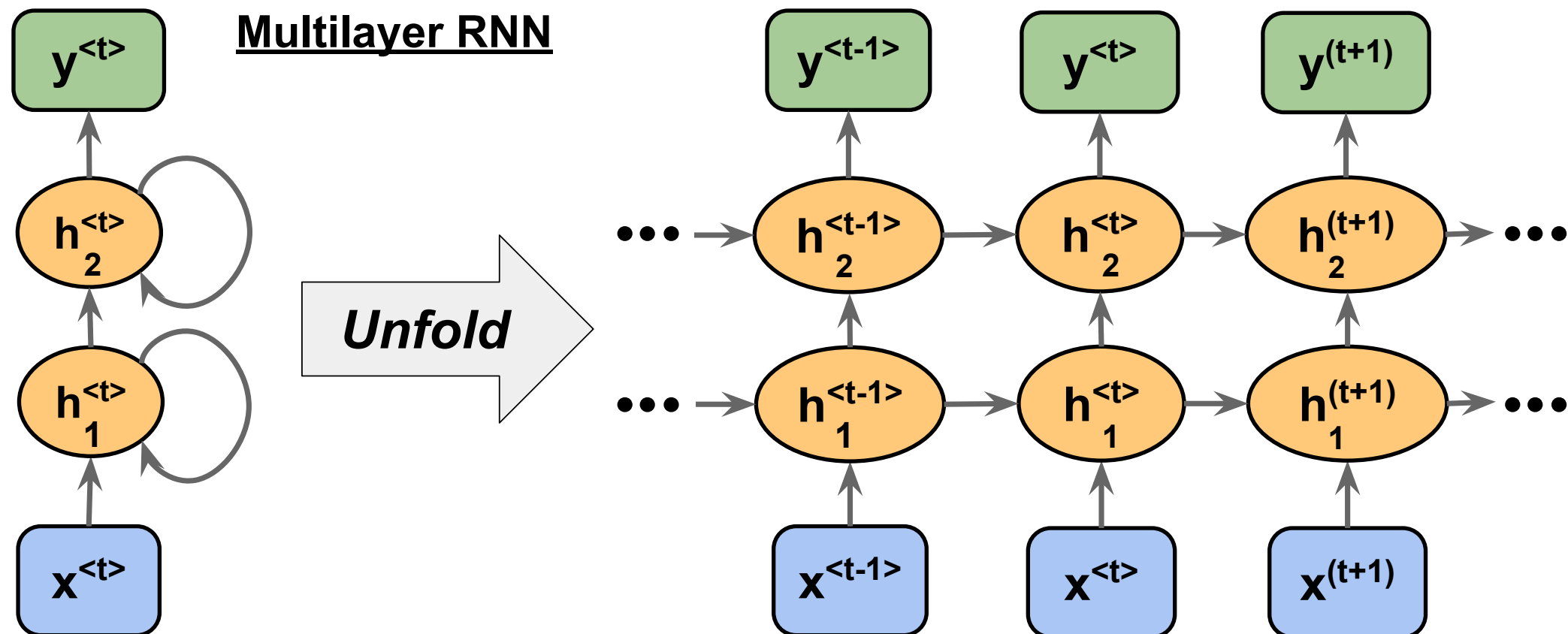
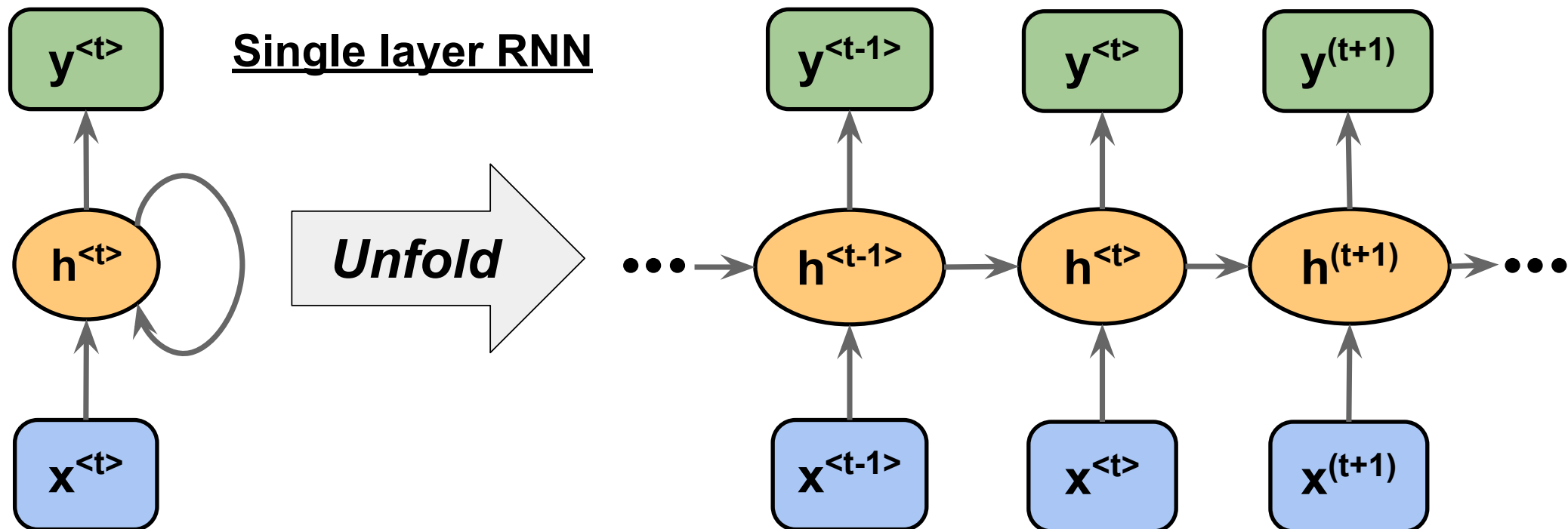
Networks we used previously: also called feedforward neural networks



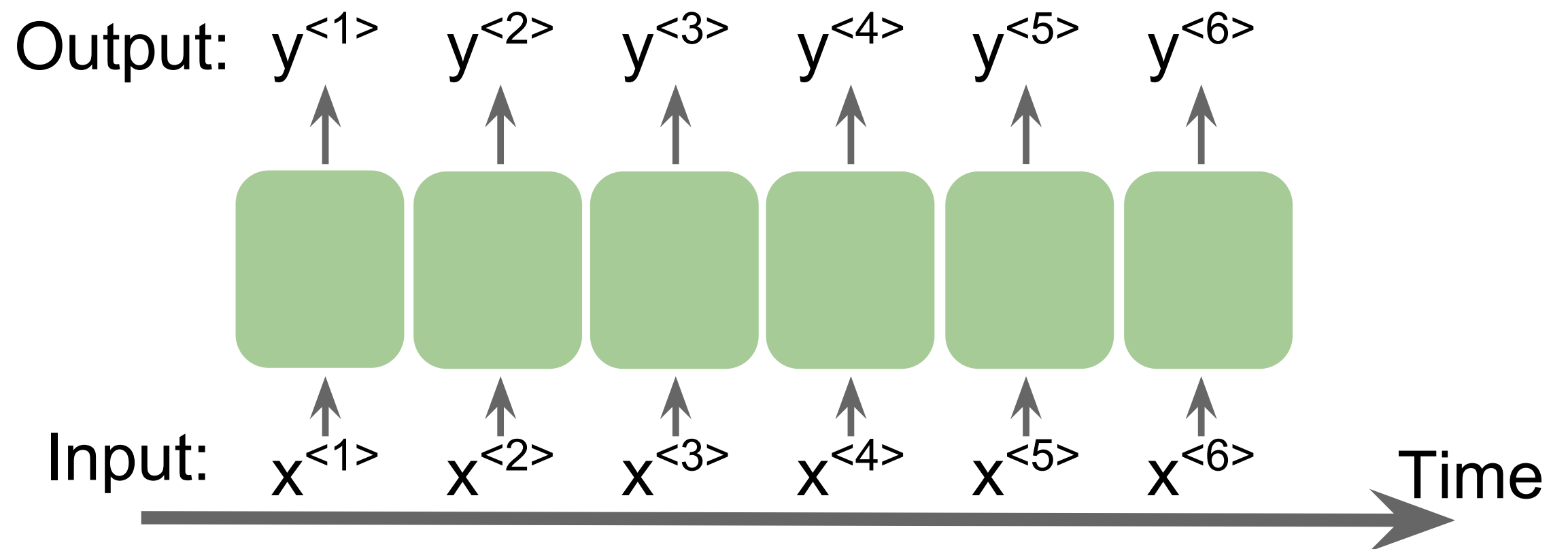
Recurrent Neural Network (RNN)



# Overview



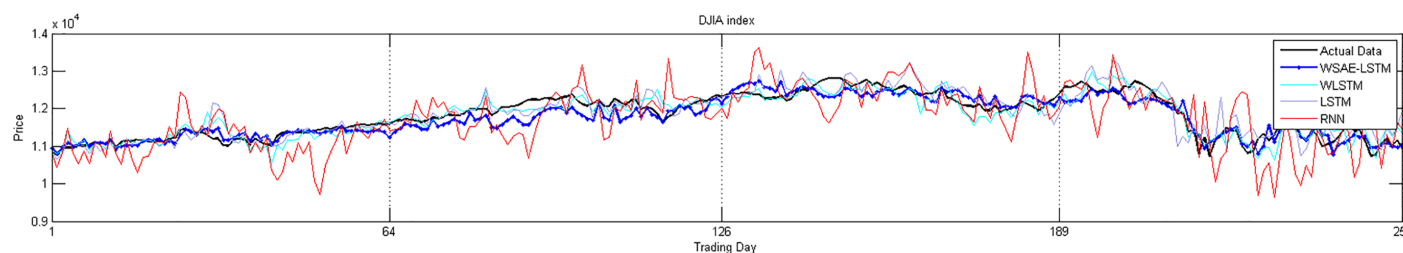
Sequential data is not i.i.d.



# Applications: Working with Sequential Data

- Text classification
- Speech recognition (acoustic modeling)
- language translation
- ...

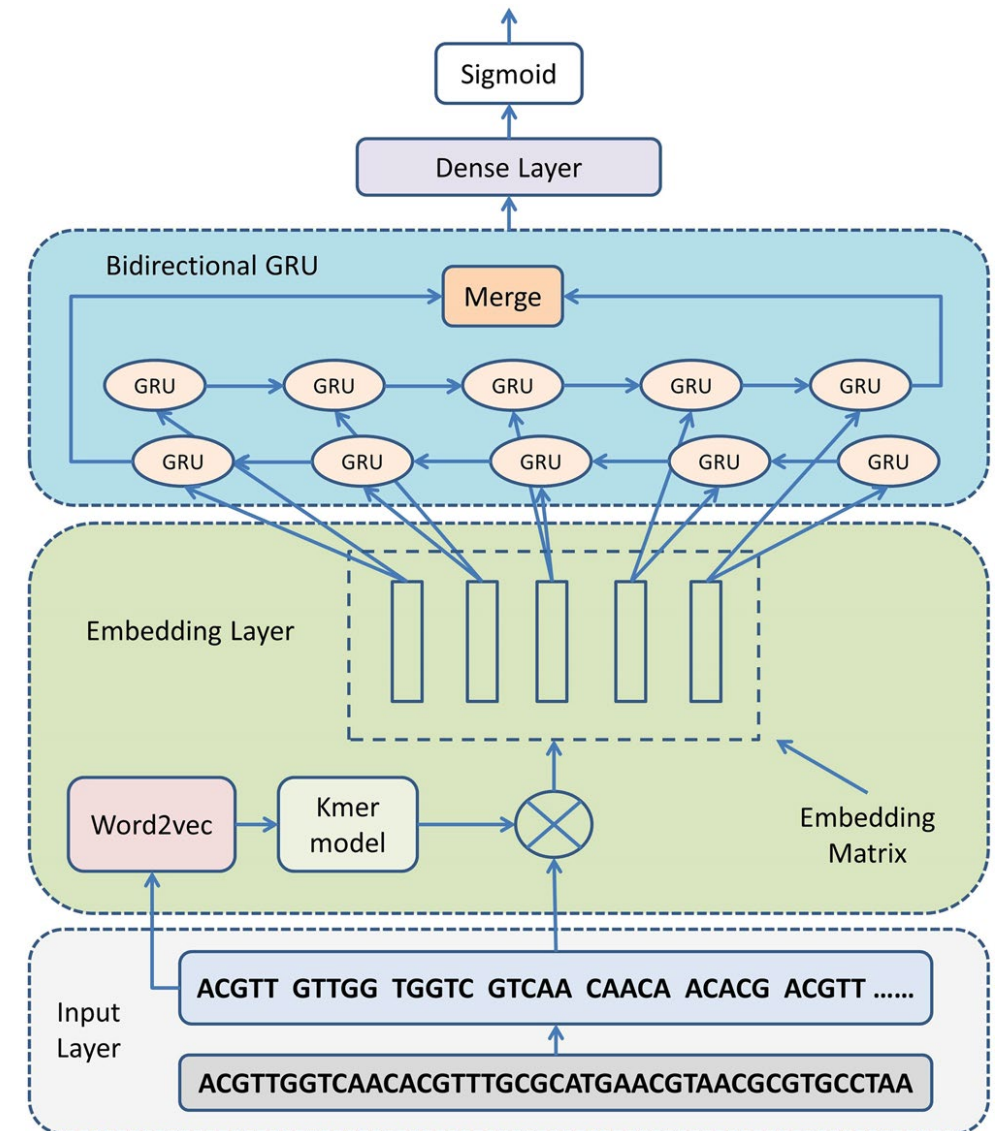
## Stock market predictions



**Fig 8.** Displays the actual data and the predicted data from the four models for each stock index in Year 1 from 2010.10.01 to 2011.09.30.

<https://doi.org/10.1371/journal.pone.0180944.g008>

Bao, Wei, Jun Yue, and Yulei Rao. "A deep learning framework for financial time series using stacked autoencoders and long-short term memory." *PloS one* 12, no. 7 (2017): e0180944.



Shen, Zhen, Wenzheng Bao, and De-Shuang Huang. "Recurrent Neural Network for Predicting Transcription Factor Binding Sites." *Scientific reports* 8, no. 1 (2018): 15270.

DNA or (amino acid/protein)  
sequence modeling

# Different Types of Sequence Modeling Tasks

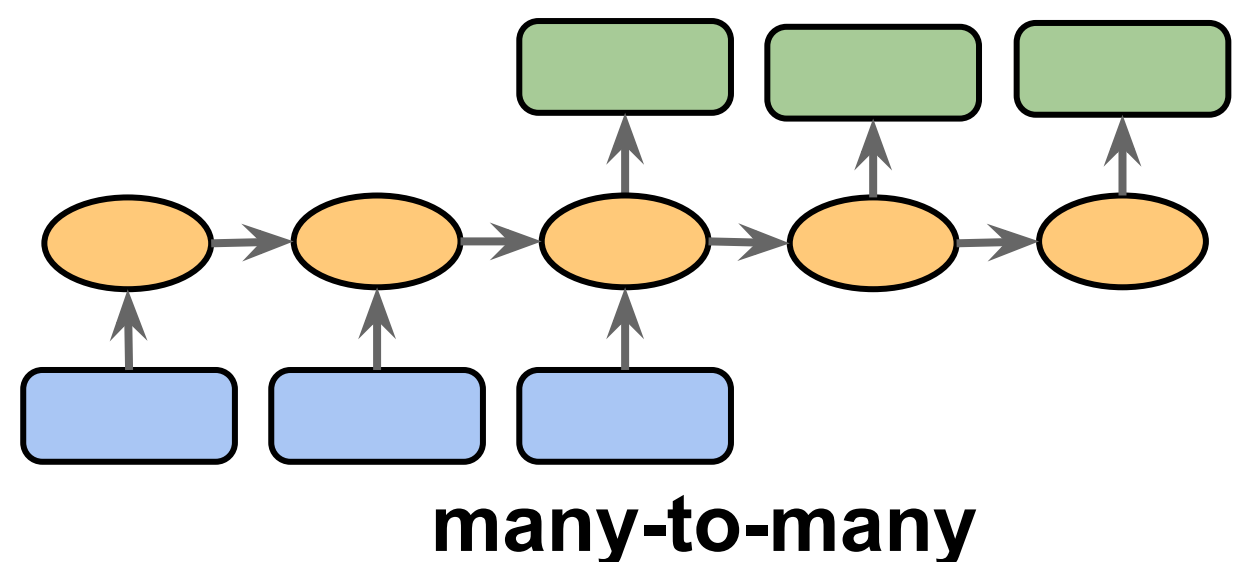
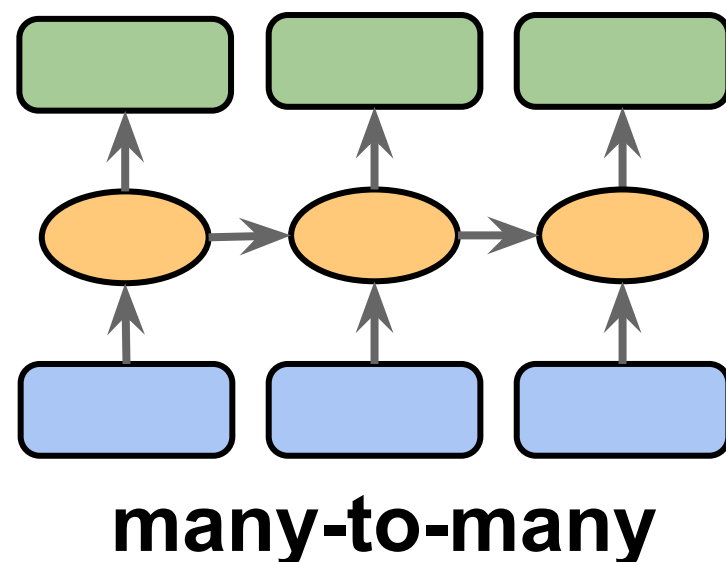
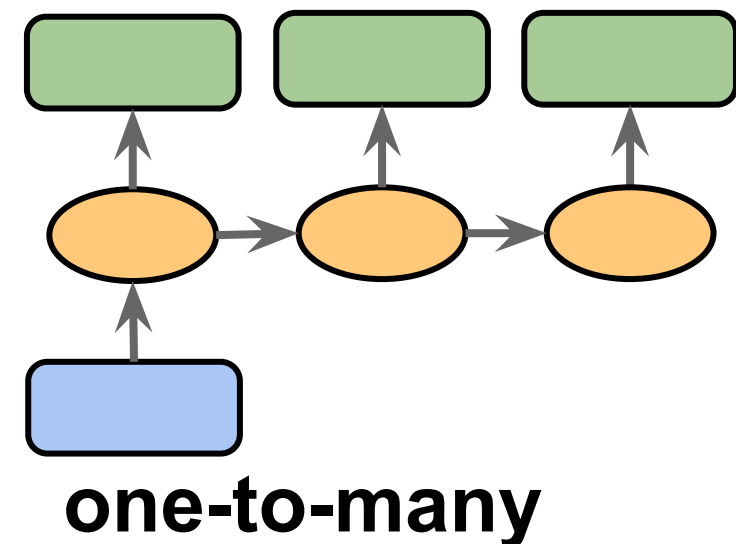
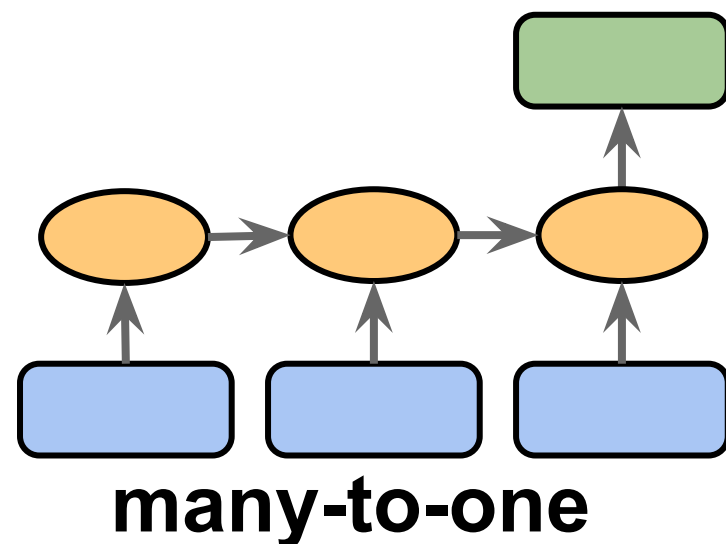
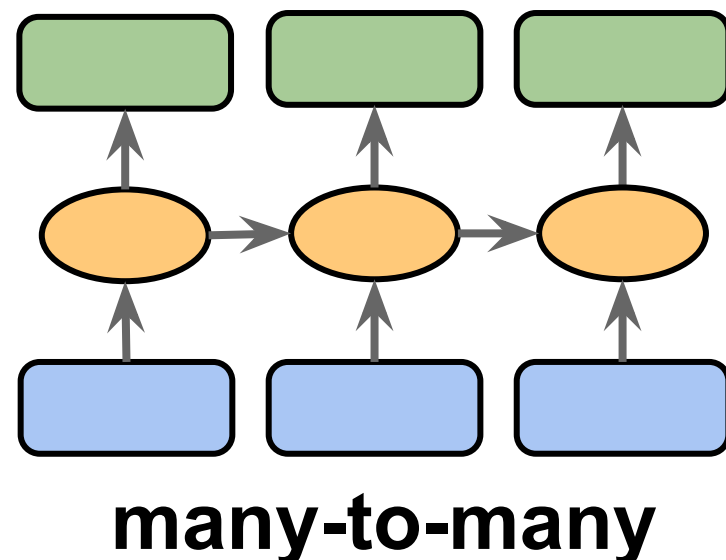
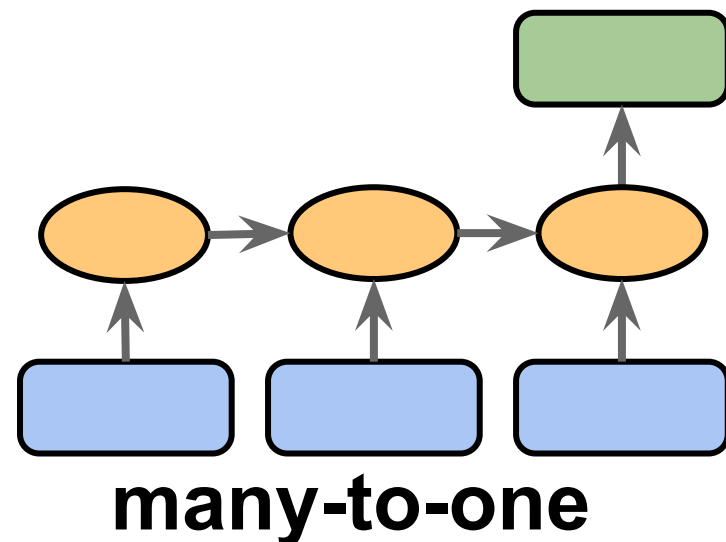


Figure based on:

*The Unreasonable Effectiveness of Recurrent Neural Networks* by Andrej Karpathy (<http://karpathy.github.io/2015/05/21/rnn-effectiveness/>)

# Different Types of Sequence Modeling Tasks



The diagram illustrates a many-to-many sequence modeling task. It shows a sequence of three blue rectangular input blocks at the bottom. Each input block has an upward arrow pointing to an orange oval hidden state. The hidden states are connected sequentially by horizontal arrows from left to right. Each hidden state has an upward arrow pointing to a green rectangular output block at the top. The text "many-to-many" is centered below the input blocks.

**many-to-many**

**Many-to-one:** The input data is a sequence, but the output is a fixed-size vector, not a sequence.

**Ex.:** sentiment analysis, the input is some text, and the output is a class label.

Figure based on:

*The Unreasonable Effectiveness of Recurrent Neural Networks* by Andrej Karpathy (<http://karpathy.github.io/2015/05/21/rnn-effectiveness/>)

# Different Types of Sequence Modeling Tasks

**One-to-many:** Input data is in a standard format (not a sequence), the output is a sequence.

**Ex.:** Image captioning, where the input is an image, the output is a text description of that image

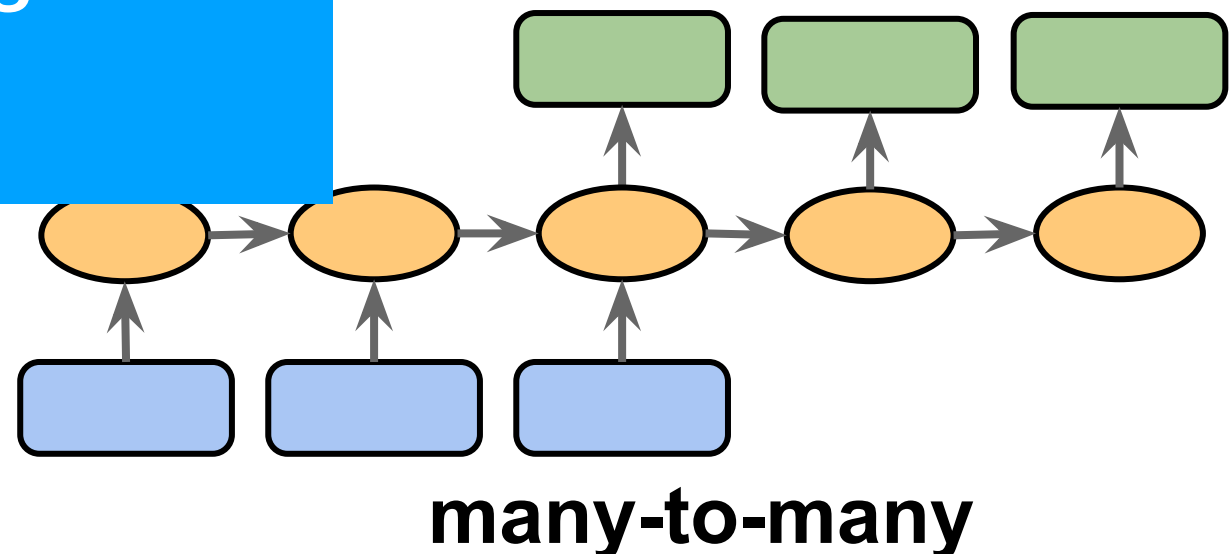
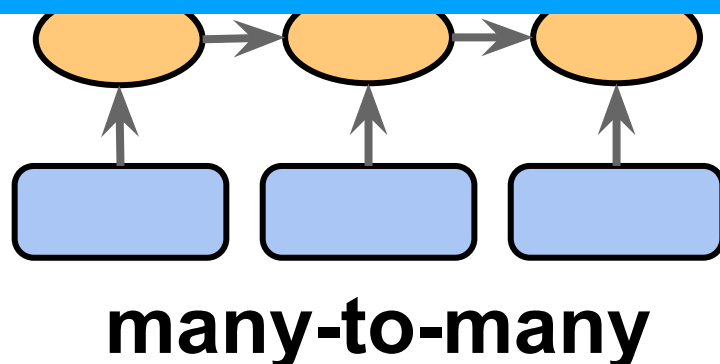
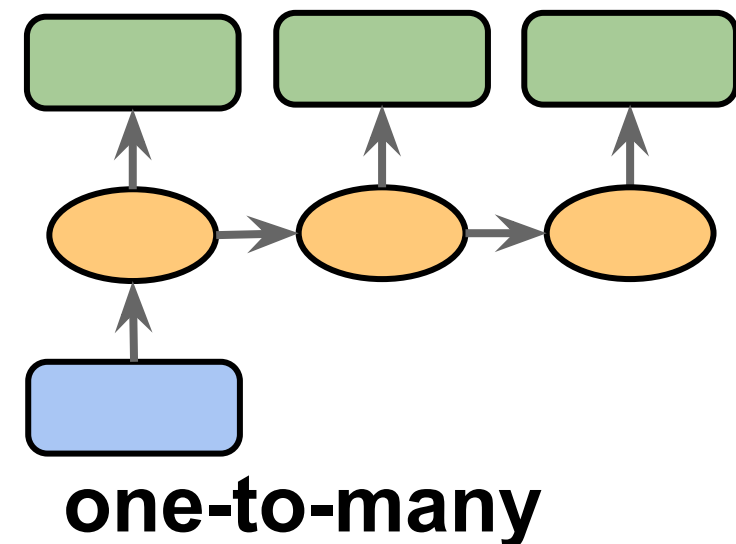


Figure based on:

*The Unreasonable Effectiveness of Recurrent Neural Networks* by Andrej Karpathy (<http://karpathy.github.io/2015/05/21/rnn-effectiveness/>)

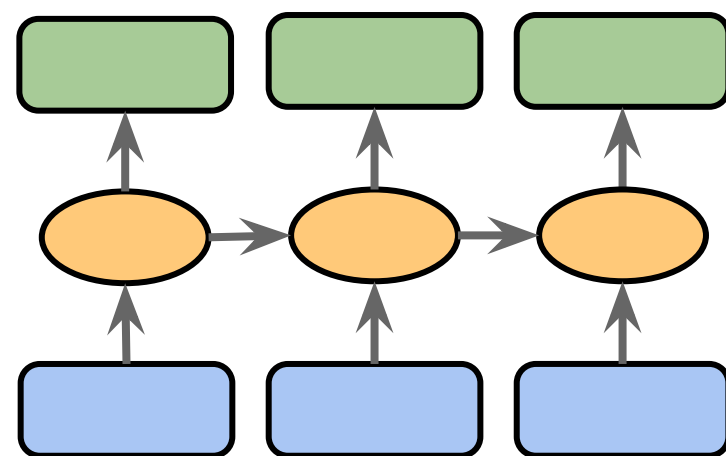


# Different Types of Sequence Modeling Tasks

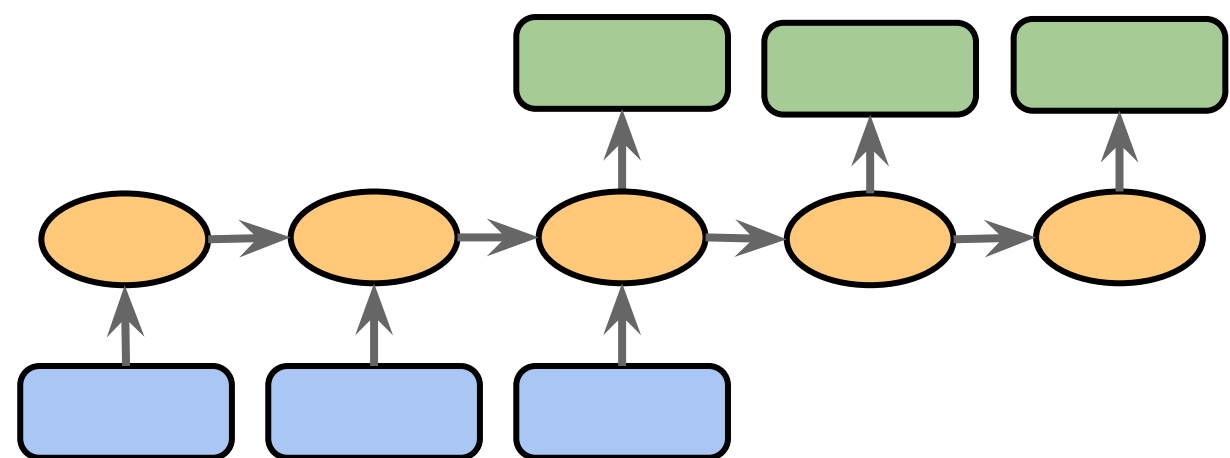
**Many-to-many:** Both inputs and outputs are sequences. Can be direct or delayed.

Ex.: Video-captioning, i.e., describing a sequence of images via text (direct).

Translating one language into another (delayed)



**many-to-many**



**many-to-many**

Figure based on:

*The Unreasonable Effectiveness of Recurrent Neural Networks* by Andrej Karpathy (<http://karpathy.github.io/2015/05/21/rnn-effectiveness/>)

# The Classic Text Classification Approach

# A Classic Approach for Text Classification: Bag of Words Model

1) Suppose you want to design a classifier and you have a training dataset consisting of 3 examples (sentences)

$\mathbf{x}^{[1]}$  = "The sun is shining"

$\mathbf{x}^{[2]}$  = "The weather is sweet"

$\mathbf{x}^{[3]}$  = "The sun is shining,  
the weather is sweet, and one and one is two"

# A Classic Approach for Text Classification:

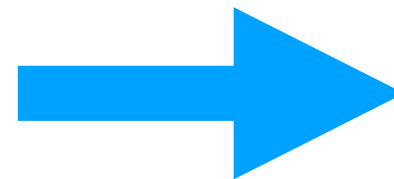
## Bag of Words Model

2) Based on ALL your data, you would construct a vocabulary of all unique words

$\mathbf{x}^{[1]}$  = "The sun is shining"

$\mathbf{x}^{[2]}$  = "The weather is sweet"

$\mathbf{x}^{[3]}$  = "The sun is shining,  
the weather is sweet, and one and one is two"

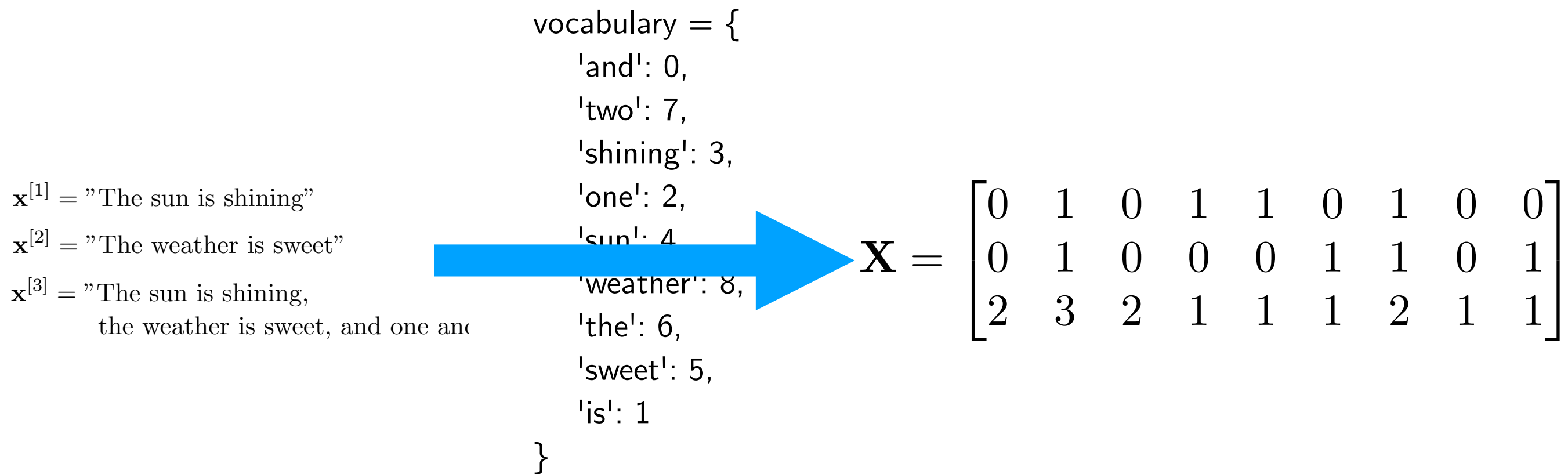


vocabulary = {  
    'and': 0,  
    'two': 7,  
    'shining': 3,  
    'one': 2,  
    'sun': 4,  
    'weather': 8,  
    'the': 6,  
    'sweet': 5,  
    'is': 1  
}

# A Classic Approach for Text Classification:

## Bag of Words Model

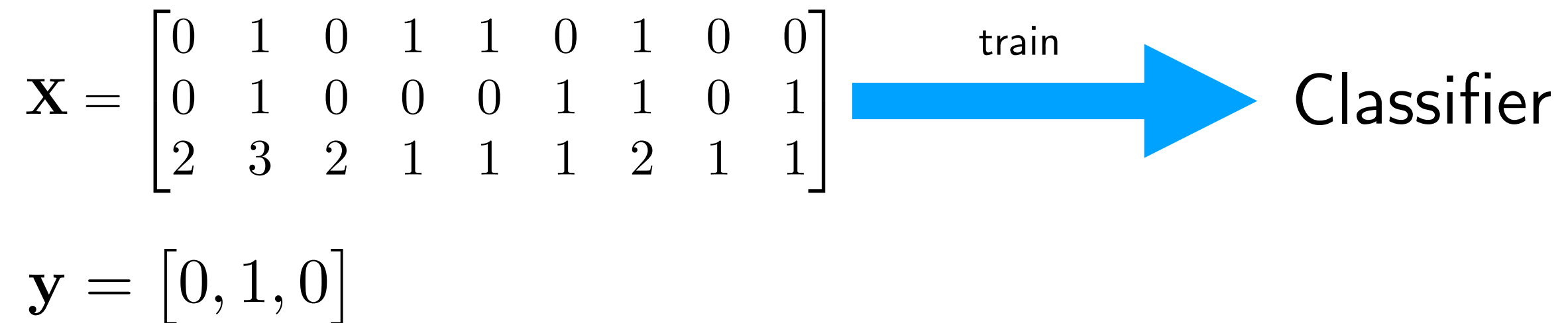
- 3) Use the vocabulary to transform the dataset into bag-of-words vectors  
(vector size is determined by the vocabulary size)



# A Classic Approach for Text Classification:

## Bag of Words Model

4) Use the bag-of-words representation to fit a predictive model (logistic regression, multilayer-perceptron, etc.)



# A Classic Approach for Text Classification:

## Bag of Words Model

$$\mathbf{X} = \begin{bmatrix} 0 & 1 & 0 & 1 & 1 & 0 & 1 & 0 & 0 \\ 0 & 1 & 0 & 0 & 0 & 1 & 1 & 0 & 1 \\ 2 & 3 & 2 & 1 & 1 & 1 & 2 & 1 & 1 \end{bmatrix}$$

Rows are training examples

Columns are features

## Features can be

- word counts / term frequencies (how often a word appears in the sentence, like above)
- binary 0/1 (whether a word occurs or not)
- term frequency-inverse document frequencies (normalized word counts)
- ...

# A Classic Approach for Text Classification: Bag of Words Model

## Optional Preprocessing: Stop Word Removal

$\mathbf{x}^{[1]}$  = "The sun is shining"

$\mathbf{x}^{[2]}$  = "The weather is sweet"

$\mathbf{x}^{[3]}$  = "The sun is shining,  
the weather is sweet, and one and one is two"



# A Classic Approach for Text Classification: Bag of Words Model

## Optional Preprocessing: Tokenization

1 token = 1 word:

$\mathbf{x}^{[1]} =$  "The sun is shining"

1 token = 2 words:

$\mathbf{x}^{[1]} =$  "The sun is shining"

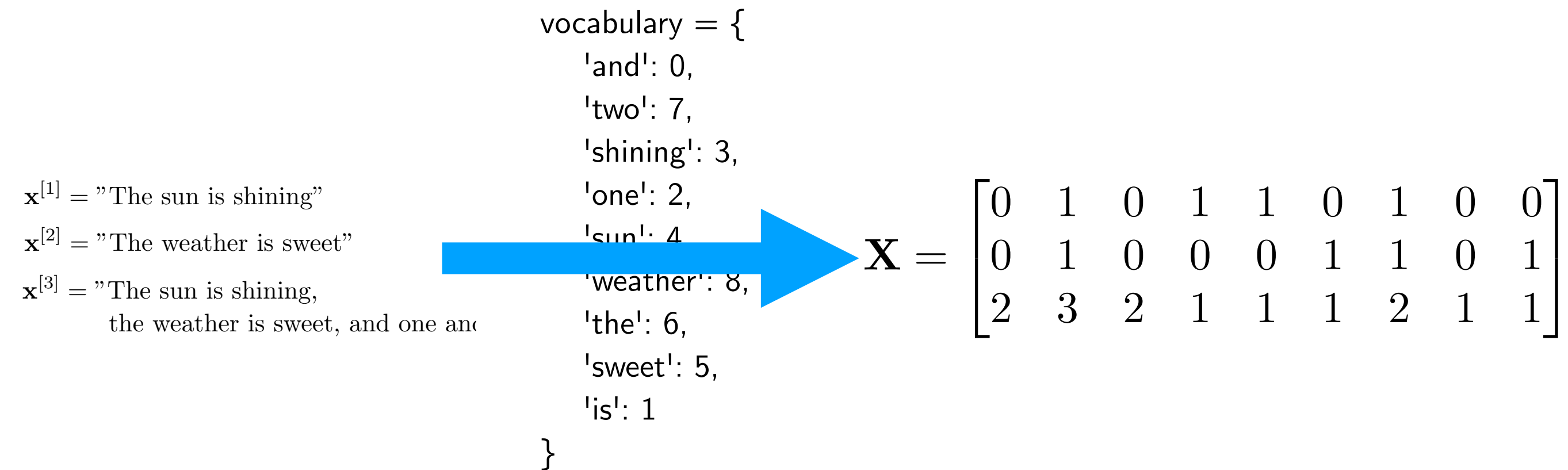
# A Classic Approach for Text Classification: Bag of Words Model

For a self-contained example of this "classic" approach, see

<https://github.com/rasbt/python-machine-learning-book-2nd-edition/blob/master/code/ch08/ch08.ipynb>

# A Classic Approach for Text Classification: Bag of Words Model

Big Downside: We lose the spatial relationship between words!



# Recurrent Neural Networks

(to be continued ... )