

# sheet09

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## 1 Sheet 9

### 1.1 1 Pretraining LLMs

#### 1.1.1 (a)

1. Sentence Ordering Prediction (SOP)

Given two sentences the model has to predict whether one sentence follows the other or the other way around. This enables the model to understand temporal and logical progression. It is important for summarization, understanding and coherence. Compared to NSP the understanding is more fine-grained and improves understanding of contextual dependencies.

2. Coreference Resolution (CR)

The model must identify which pronouns or noun phrases refer to the same entity. The model learns to track entities which leads to better understanding and coherence over longer spans.

#### 1.1.2 (b)

1. Sentence Reconstruction (SR)

A shuffled sentence has to be reordered. This improves the syntactic and grammatical understanding leading to more coherence and fluency. The complexity is  $O(n^2)$  (evaluation of all pairwise relations). During training multiple permutations can be processed in parallel.

2. Gap Sentence Generation (GSG)

Given a passage with a missing sentence in between the missing sentence has to be reconstructed. The model learns to infer missing information leading to better story generation and context based reasoning. Further, bidirectional reasoning is promoted. The complexity is  $O(n)$  with  $n$  being the sentence length. During training multiple gaps can be processed in parallel as it is the case in CLM.

### 1.2 2 Under the hood of LLMs: Llama 2.7B

Import modules. Use CUDA(GPU) if available. Read access token. Initialize tokenizer and model.

```
[1]: from transformers import AutoTokenizer, AutoModelForCausalLM
import torch

device = torch.device('cuda:0' if torch.cuda.is_available() else 'cpu')
```

```

with open("access_token.txt", "r") as f:
    access_token = f.read().strip()

model = "meta-llama/Llama-2-7b-chat-hf"
tokenizer = AutoTokenizer.from_pretrained(model, token=access_token)
model = AutoModelForCausalLM.from_pretrained(model, token=access_token,
    ↪ torch_dtype = torch.float16).to(device)
print(model)

```

Loading checkpoint shards: 0%| | 0/2 [00:00<?, ?it/s]

```

LlamaForCausalLM(
  (model): LlamaModel(
    (embed_tokens): Embedding(32000, 4096)
    (layers): ModuleList(
      (0-31): 32 x LlamaDecoderLayer(
        (self_attn): LlamaSdpaAttention(
          (q_proj): Linear(in_features=4096, out_features=4096, bias=False)
          (k_proj): Linear(in_features=4096, out_features=4096, bias=False)
          (v_proj): Linear(in_features=4096, out_features=4096, bias=False)
          (o_proj): Linear(in_features=4096, out_features=4096, bias=False)
          (rotary_emb): LlamaRotaryEmbedding()
        )
        (mlp): LlamaMLP(
          (gate_proj): Linear(in_features=4096, out_features=11008, bias=False)
          (up_proj): Linear(in_features=4096, out_features=11008, bias=False)
          (down_proj): Linear(in_features=11008, out_features=4096, bias=False)
          (act_fn): SiLU()
        )
        (input_layernorm): LlamaRMSNorm((4096,), eps=1e-05)
        (post_attention_layernorm): LlamaRMSNorm((4096,), eps=1e-05)
      )
    )
    (norm): LlamaRMSNorm((4096,), eps=1e-05)
    (rotary_emb): LlamaRotaryEmbedding()
  )
  (lm_head): Linear(in_features=4096, out_features=32000, bias=False)
)

```

Print token meaning, i.e. the decoded token, for the tokens 5100 to 5109. The number of tokens available tokens is printed. “Sun” is encoded into its token id. The sun\_id is decoded again. <s> with the id=1 is a token that indicates the start of a sequence. The embedding shape shows that sun\_id has two tokens in a 4096 dimensional embedding.

```

[2]: for id in range(5100, 5110):
    print(f"{id=}, {tokenizer.decode([id])}")

```

```

print("\ntokenizer length:", len(tokenizer))

sun_id = tokenizer.encode("sun", return_tensors="pt")[-1]
print(f"\n{sun_id}")

print(tokenizer.decode(sun_id))

emb = model.get_input_embeddings()(sun_id.to(device))
print("embedding shape:", emb.shape)

```

```

id=5100, compet
id=5101, pair
id=5102, inglés
id=5103, Response
id=5104, Fig
id=5105, grad
id=5106, documentation
id=5107, cant
id=5108, appreci
id=5109, ãn

```

tokenizer length: 32000

```

sun_id=tensor([ 1, 6575])
<s> sun
embedding shape: torch.Size([2, 4096])

```

initialize sequence. Encode it and decode it for viewing purpose. Deactivate gradient and compute output of model. Extract logits of the first (and only one) sequence for the last token in the sequence. The shape corresponds to the tokenizer lenght as for each available token a logit is generated. The higher the logit the more probable the token fits as a continuation of the sequence. Convert the logits to probabilities with softmax. Retrieve top 7 most probable tokens. Print the 7 decoded tokens and their probability.

```

[3]: sequence = "My favorite composer is"
model_inputs = tokenizer(sequence, return_tensors="pt").to(device)
print(tokenizer.decode(model_inputs["input_ids"].tolist()[0])) # view tokenized
    ↪ input
with torch.no_grad():
    outputs = model(**model_inputs)

logits = outputs['logits'][0, -1, :]
print("\nlogits shape:", logits.shape)

probabilities = torch.nn.functional.softmax(logits, dim=-1)
top_k = 7
top_prob, top_ind = torch.topk(probabilities, top_k)

```

```

print("\nOutputs:\n")

for i in range(top_k):
    print(f"{tokenizer.decode(top_ind[i].tolist())}: {top_prob[i]:.2f}")

```

<s> My favorite composer is

logits shape: torch.Size([32000])

Outputs:

Moz: 0.25  
 Ch: 0.11  
 Be: 0.09  
 Ludwig: 0.08  
 Fr: 0.03  
 Wolfgang: 0.02  
 Ig: 0.02

Initialize empty sequence. Encode it. Print the generated sequence after adding new tokens. The adding of new tokens follows the steps of calculating the output of the model given the generated answer. Extracting the logits, converting them to probabilities with softmax and choosing the token with the highest probability as the next token. Furtehr, adding the token to the model inputs. The next token is decoded, cleaned from unwanted sign with regex and the generated sequence is printed. This is repeated 30 times.

```

[4]: import regex as re

sequence = ""
model_inputs = tokenizer(sequence, return_tensors="pt").to(device)

generated_answer = ""
for _ in range(30):
    with torch.no_grad():
        outputs = model(**model_inputs)
        logits = outputs['logits'][0, -1, :]
        probabilities = torch.nn.functional.softmax(logits, dim=-1)
        next_token_id = torch.argmax(probabilities).unsqueeze(0)
        model_inputs["input_ids"] = torch.cat([model_inputs["input_ids"],
↪next_token_id.unsqueeze(0)], dim=-1)

        next_word = tokenizer.decode(next_token_id.tolist())
        next_word = re.sub(r"^[a-zA-Z0-9.?!]", "", next_word)
        generated_answer += next_word
        generated_answer += " "

print(generated_answer)

```

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### 1.3 3 Flow-based modeling

#### 1.3.1 (a)

$$Y' = CDF_Y^{-1}(X) \Leftrightarrow CDF_Y(Y') = X$$

And

$$CDF_Y(Y) = X$$

per definition.

$$\Rightarrow Y = Y'$$

Both distributions are equal, therefore their CDF and PDF are qual.

### 1.3.2 (b)

For each random distribution X and Y it holds:

$$CDF_X(X) = U, CDF_Y(Y) = U$$

where U is a uniform distribution between 0 and 1. A transformation of one distribution to another can be therefore obtained by the following formula.

$$CDF_X(X) = CDF_Y(Y) \Leftrightarrow Y = CDF_Y^{-1}(CDF_X(X))$$

The CDF of a distribution can be calculated from:

$$CDF_Y(x) = \int_{-\inf}^x p_Y(x) dx$$

For  $p_X(x) = \frac{1}{2}x, x \in [0, 2]$ :

$$CDF_X(x) = \int_0^x \frac{1}{2}x dx = \frac{1}{4}x^2$$

For  $p_Y(y) = -\frac{1}{2}y + 1, y \in [0, 2]$ :

$$CDF_Y(y) = \int_0^y -\frac{1}{2}y + 1 dy = -\frac{1}{4}y^2 + y \Leftrightarrow 0 = y^2 - 4y + 4CDF_Y(y) \Leftrightarrow y = 2 \pm \sqrt{4 - 4CDF_Y(y)} \Leftrightarrow y = 2 \pm 2\sqrt{1 - CDF_Y(y)}$$

considering  $y \in [0, 2]$  the solution limits to:

$$CDF_Y(x)^{-1} = 2 - 2\sqrt{1 - x}$$

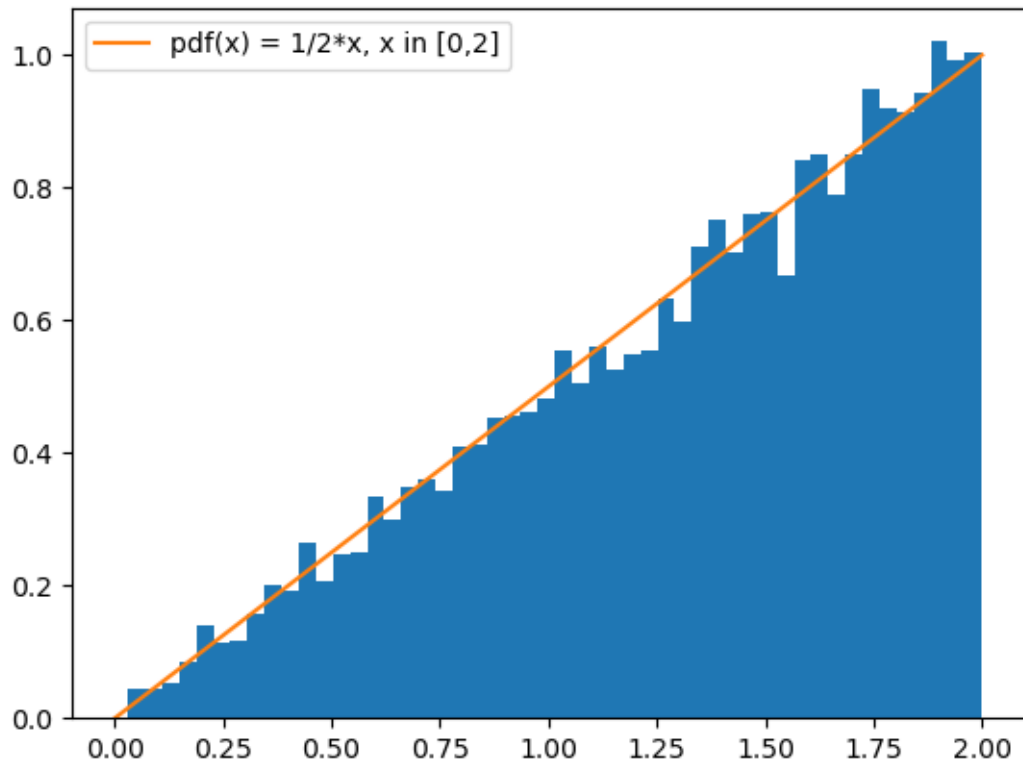
The resulting transformation is:

$$y = 2 - 2\sqrt{1 - \frac{1}{4}x^2}$$

```
[1]: import numpy as np
import matplotlib.pyplot as plt

# load the 1d samples:
samples = np.load("data/samples_1d.npy")

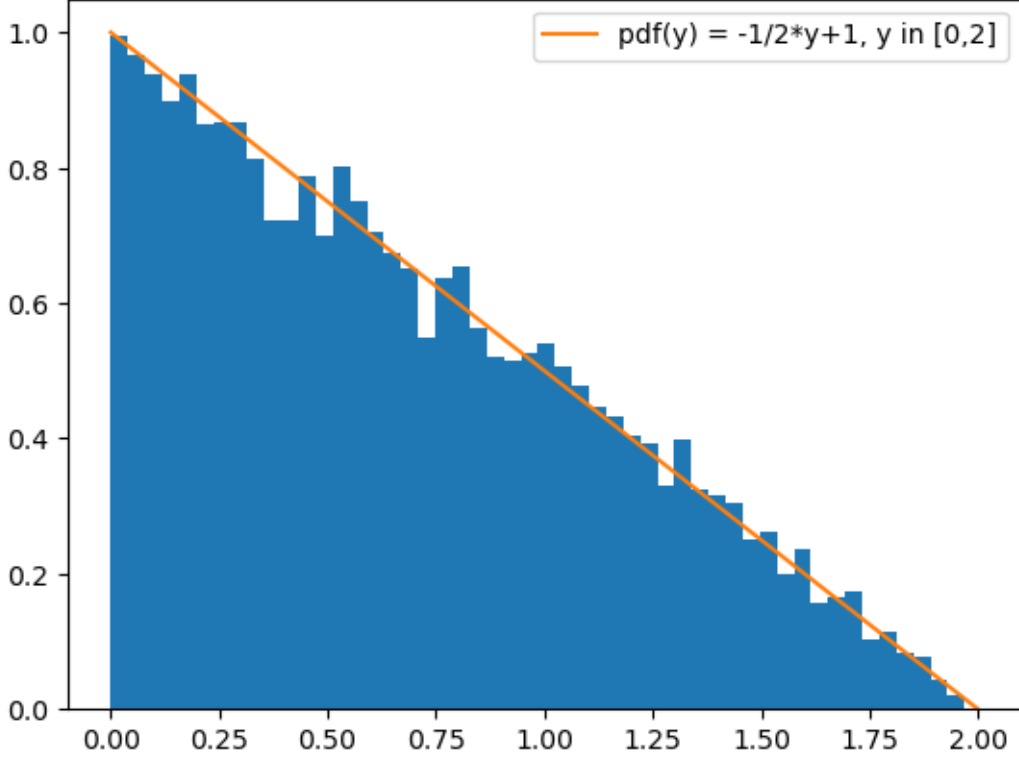
x_lin = np.linspace(0, 2, 1000)
plt.hist(samples, bins=50, density=True)
plt.plot(x_lin, 1/2 * x_lin, label="pdf(x) = 1/2*x, x in [0,2]")
plt.legend()
plt.show()
```



```
[2]: # TODO: transform the samples to samples from pdf(y) = -1/2*y + 1, y in [0,2]
def transform(x):
    return 2-2*np.sqrt(1-1/4 * x**2)

y = transform(samples)

y_lin = np.linspace(0, 2, 1000)
plt.hist(y, bins=50, density=True)
plt.plot(y_lin, -1/2 * y_lin + 1, label="pdf(y) = -1/2*y+1, y in [0,2]")
plt.legend()
plt.show()
```



### 1.3.3 (c)

Using the marginal radial distribution  $p_r(r) = re^{-r^2/2}$ ,  $r \geq 0$  for the radial component. The CDF<sub>r</sub> becomes:

$$CDF_r(x) = \int_0^x xe^{-x^2/2} dx = 1 - e^{-x^2/2} \Leftrightarrow x = \sqrt{-2\ln(1 - CDF_r(x))}$$

Using that  $CDF_r(r) = U$ . With  $U$  being a uniform distribution  $U \in [0, 1]$ . It holds  $1 - U = U$ , simplifying the expression:

$$CDF_r^{-1} = \sqrt{-2\ln(CDF_r(x))}$$

As  $CDF_U(x) = x$  the transform of the uniform variable to the radial variable becomes:

$$r = \sqrt{-2\ln(U)}$$

Looking at  $\phi$  the distribution is  $p_\phi(\phi) = \frac{1}{2\pi}$  with  $\phi \in [0, 2\pi]$ .

$$CDF_\phi(x) = \int_0^x \frac{1}{2\pi} dx = \frac{x}{2\pi} \Leftrightarrow x = 2\pi CDF_\phi(x)$$

Resulting in the transformation:

$$\phi = 2\pi U$$

Using that  $(x_1, x_2) = r(\cos\phi, \sin\phi)$  gives a 2D standard normal distribution, the Box-Müller transform becomes:

$$\sqrt{-2\ln(U_1)}(\cos 2\pi U_2, \sin 2\pi U_2)$$

$U_1, U_2 \in [0, 1]$  are uniform distributions.



#### 1.3.4 (d)

In general multivariate distributions can have non separable marginals, not analytically tractable marginal distributions, not closed form CDFs or non invertible CDFs. In all those cases the method is not applicable.

#### 1.3.5 (e)

$$CDF_X(x) = CDF_Y(y) = CDF_Y(h(x))$$

If  $h(x)$  is increasing, else:

$$CDF_X(x) = 1 - CDF_Y(h(x))$$

The difference in further calculations is up to sign and is considered in the later calculation by taking the absolute value of the derivative of  $h$ .

$$\Rightarrow \frac{d}{dx} CDF_X(x) = \frac{d}{dx} CDF_Y(h(x)) \Leftrightarrow p_X(x) = \frac{d}{dy} CDF_Y(y) \left| \frac{d}{dx} h(x) \right|$$

Use  $y = h(x)$  and add dashes to the  $\frac{d}{dx} h(x)$  due to the fact that the probabilities are larger or equal than zero per definition.

$$\Leftrightarrow p_X(x) = p_Y(y) \frac{dh}{dx}(x) \Big|_{y=h(x)} \Leftrightarrow \left( p_X(x) \left| \frac{dh}{dx}(x) \right|^{-1} \right) \Big|_{x=h^{-1}(y)} = p_Y(y)$$