

# Shor's Algorithm

第6讲：Shor 算法原理三

---

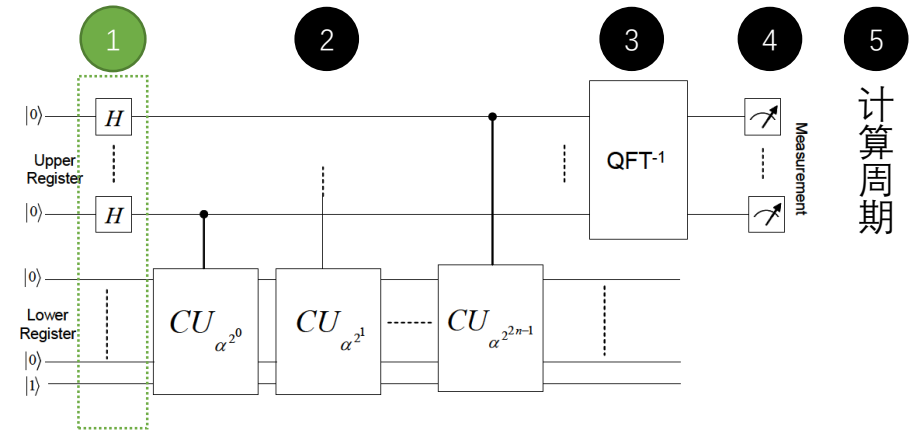


## 4. 态的演化

本源量子

给定  $Q = 2^t, t = 2n$ ,  $f(x) = a^x \bmod N$  周期为  $r$

1 初态:  $|\varphi\rangle = \frac{1}{\sqrt{Q}} \sum_{i=0}^{Q-1} |i\rangle |1\rangle$

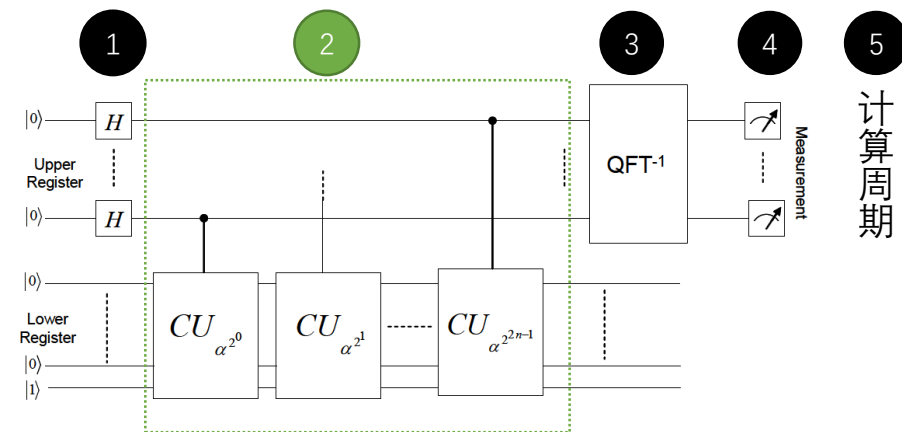


给定  $Q = 2^t, t = 2n, f(x) = a^x \bmod N$  周期为  $r$

1 初态:  $|\varphi\rangle = \frac{1}{\sqrt{Q}} \sum_{i=0}^{Q-1} |i\rangle |1\rangle$

2 经过模指线路后:

$$\begin{aligned}
 |\varphi\rangle &= \frac{1}{\sqrt{Q}} ( |0\rangle |f(0)\rangle + |r\rangle |f(0)\rangle + \cdots + |mr\rangle |f(0)\rangle \\
 &\quad + |1\rangle |f(1)\rangle + |1+r\rangle |f(1)\rangle + \cdots + |1+mr\rangle |f(1)\rangle \\
 &\quad + |2\rangle |f(2)\rangle + |2+r\rangle |f(2)\rangle + \cdots + |2+mr\rangle |f(2)\rangle \\
 &\quad \cdots \\
 &\quad + |r-1\rangle |f(r-1)\rangle + |r-1+r\rangle |f(r-1)\rangle + \cdots + |r-1+mr\rangle |f(r-1)\rangle ) \\
 &= \frac{1}{\sqrt{Q}} \sum_{i=0}^{r-1} \sum_{j=0}^{m-1} |i+jr\rangle |f(i)\rangle
 \end{aligned}$$





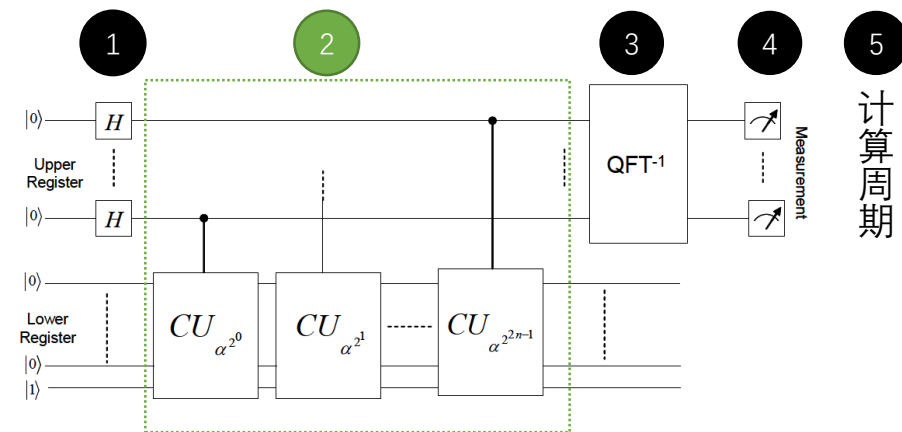
给定  $Q = 2^t, t = 2n$ ,  $f(x) = a^x \bmod N$  周期为  $r$

1 初态:  $|\varphi\rangle = \frac{1}{\sqrt{Q}} \sum_{i=0}^{Q-1} |i\rangle |1\rangle$

2 经过模指线路后:

$$\begin{aligned}
 |\varphi\rangle = & \frac{1}{\sqrt{Q}} (|0\rangle|f(0)\rangle + |r\rangle|f(0)\rangle + \dots + |mr\rangle|f(0)\rangle \\
 & + |1\rangle|f(1)\rangle + |1+r\rangle|f(1)\rangle + \dots + |1+mr\rangle|f(1)\rangle \\
 & + |2\rangle|f(2)\rangle + |2+r\rangle|f(2)\rangle + \dots + |2+mr\rangle|f(2)\rangle \\
 & \dots \\
 & + |r-1\rangle|f(r-1)\rangle + |r-1+r\rangle|f(r-1)\rangle + \dots + |r-1+mr\rangle|f(r-1)\rangle)
 \end{aligned}$$

$$= \frac{1}{\sqrt{Q}} \sum_{i=0}^{r-1} \sum_{j=0}^m |i + jr\rangle |f(i)\rangle$$



这里，已经体现周期的存在

给定  $Q = 2^t, t = 2n$ ,  $f(x) = a^x \bmod N$  周期为  $r$

1 初态:  $|\varphi\rangle = \frac{1}{\sqrt{Q}} \sum_{i=0}^{Q-1} |i\rangle |1\rangle$

2 经过模指线路后:

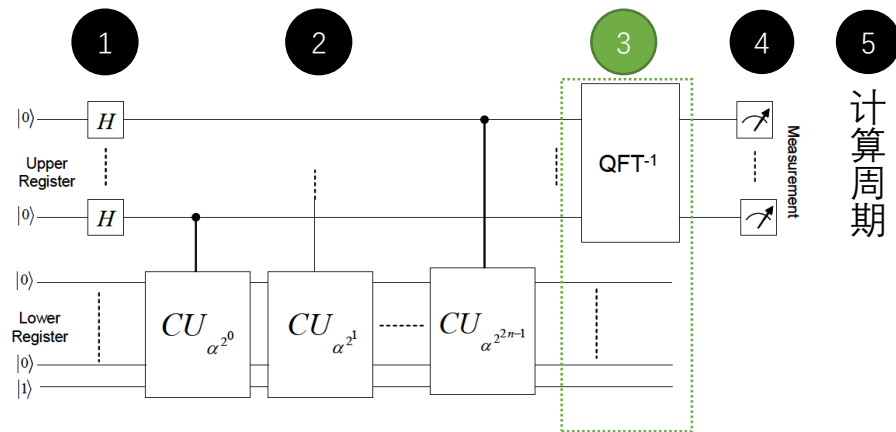
$$\begin{aligned}
 |\varphi\rangle = \frac{1}{\sqrt{Q}} & (|0\rangle|f(0)\rangle + |r\rangle|f(0)\rangle + \cdots + |mr\rangle|f(0)\rangle \\
 & + |1\rangle|f(1)\rangle + |1+r\rangle|f(1)\rangle + \cdots + |1+mr\rangle|f(1)\rangle \\
 & + |2\rangle|f(2)\rangle + |2+r\rangle|f(2)\rangle + \cdots + |2+mr\rangle|f(2)\rangle \\
 & \dots \\
 & + |r-1\rangle|f(r-1)\rangle + |r-1+r\rangle|f(r-1)\rangle + \cdots + |r-1+mr\rangle|f(r-1)\rangle )
 \end{aligned}$$

$$= \frac{1}{\sqrt{Q}} \sum_{i=0}^{r-1} \sum_{j=0}^m |i+jr\rangle |f(i)\rangle$$

3 上半部分做  $QFT^{-1}$  后

$$|i+jr\rangle \rightarrow \frac{1}{\sqrt{Q}} \sum_{k=0}^{Q-1} w^{k(i+jr)} |k\rangle, w = e^{\frac{-2\pi i}{Q}}$$

$$|\varphi\rangle = \frac{1}{Q} \sum_{i=0}^{r-1} \sum_{j=0}^m \sum_{k=0}^{Q-1} w^{k(i+jr)} |k\rangle |f(i)\rangle, \text{ 共 } r \times Q \text{ 个态}$$



给定  $Q = 2^t, t = 2n, f(x) = a^x \bmod N$  周期为  $r$

3

上半部分做  $QFT^{-1}$  后

$$|i + jr\rangle \rightarrow \frac{1}{\sqrt{Q}} \sum_{k=0}^{Q-1} w^{k(i+jr)} |k\rangle, w = e^{\frac{-2\pi i}{Q}}$$

$$|\varphi\rangle = \frac{1}{Q} \sum_{i=0}^{r-1} \sum_{j=0}^m \sum_{k=0}^{Q-1} w^{k(i+jr)} |k\rangle |f(i)\rangle, \quad \text{共 } r \times Q \text{ 个态}$$

4

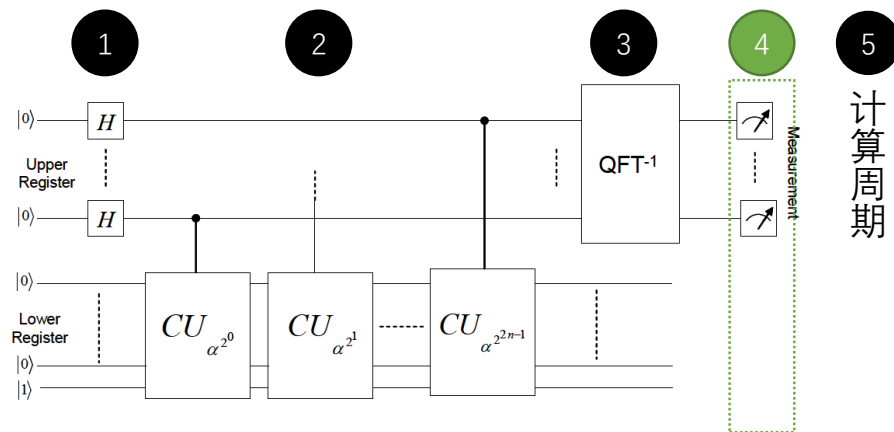
此时  $|k\rangle |f(i)\rangle$  的复振幅  $F_k = \frac{1}{Q} \sum_{j=0}^m w^{k(i+jr)} = \frac{1}{Q} w^{ki} \frac{1-w^{mkr}}{1-w^{kr}}$

此时测量  $|k\rangle$  态的概率为  $P_k = \sum_{i=0}^{r-1} |F_k|^2 = \frac{r}{Q^2} \times \left| \frac{1-w^{mkr}}{1-w^{kr}} \right|^2$

$$w = e^{\frac{-2\pi i}{Q}}, \left| \frac{1-w^{mkr}}{1-w^{kr}} \right|^2 = \frac{1-\cos(m\theta)}{1-\cos(\theta)}, \theta = \frac{k \times r}{Q} \times 2\pi$$

$$P_k = \frac{r}{Q^2} \times \frac{1-\cos(m\theta)}{1-\cos(\theta)}, \theta = 2\pi \times s, s \text{ 为整数时}, P_k \text{ 取最大值}$$

$$P_{k\max} = \frac{r}{Q^2} \times m^2 \approx \frac{1}{r} m \times r \approx Q$$



此时，已经找到了与  $r$  的关系

给定  $Q = 2^t, t = 2n, f(x) = a^x \bmod N$  周期为  $r$

3

上半部分做  $QFT^{-1}$  后

$$|i + jr\rangle \rightarrow \frac{1}{\sqrt{Q}} \sum_{k=0}^{Q-1} w^{k(i+jr)} |k\rangle, w = e^{\frac{-2\pi i}{Q}}$$

$$|\varphi\rangle = \frac{1}{Q} \sum_{i=0}^{r-1} \sum_{j=0}^{m-1} \sum_{k=0}^{Q-1} w^{k(i+jr)} |k\rangle |f(i)\rangle, \text{ 共 } r \times Q \text{ 个态}$$

4

此时  $|k\rangle|f(i)\rangle$  的复振幅  $F_k = \frac{1}{Q} \sum_{j=0}^{m-1} w^{k(i+jr)} = \frac{1}{Q} w^{ki} \frac{1-w^{mkr}}{1-w^{kr}}$

此时测量  $|k\rangle$  态的概率为  $P_k = \sum_{i=0}^{r-1} |F_k|^2 = \frac{r}{Q^2} \times \left| \frac{1-w^{mkr}}{1-w^{kr}} \right|^2$

$$w = e^{\frac{-2\pi i}{Q}}, \left| \frac{1-w^{mkr}}{1-w^{kr}} \right|^2 = \frac{1-\cos(m\theta)}{1-\cos(\theta)}, \theta = \frac{k \times r}{Q} \times 2\pi$$

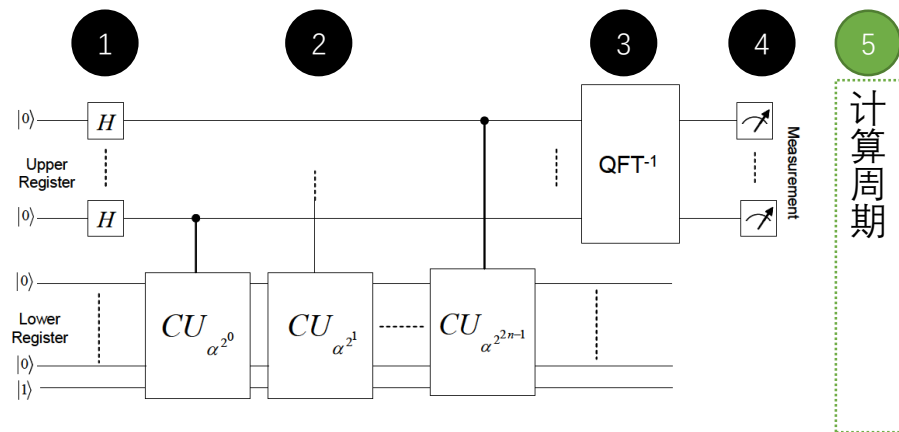
$$P_k = \frac{r}{Q^2} \times \frac{1-\cos(m\theta)}{1-\cos(\theta)}, \theta = 2\pi \times s, s \text{ 为整数时}, P_k \text{ 取最大值}$$

$$P_{k\max} = \frac{r}{Q^2} \times m^2 \approx \frac{1}{r}, m \times r \approx Q$$

5

最后测量的  $|k\rangle$ , 测量结果满足  $\theta = \frac{k \times r}{Q}$  为整数或接近整数, 根据

$\frac{k}{Q} \sim \frac{s}{r}$  对  $\frac{k}{Q}$  做连分数分解, 得到  $r$  的值, 即得到  $f(x) = a^x \bmod N$  的周期



此时, 已经找到了与  $r$  的关系



给定  $Q = 2^t, t = 2n, f(x) = a^x \bmod N$  周期为  $r$

3 上半部分做  $QFT^{-1}$  后

$$|i + jr\rangle \rightarrow \frac{1}{\sqrt{Q}} \sum_{k=0}^{Q-1} w^{k(i+jr)} |k\rangle, w = e^{\frac{-2\pi i}{Q}}$$

$$|\varphi\rangle = \frac{1}{Q} \sum_{i=0}^{r-1} \sum_{j=0}^{m-1} \sum_{k=0}^{Q-1} w^{k(i+jr)} |k\rangle |f(i)\rangle, \text{ 共 } r \times Q \text{ 个态}$$

4 此时  $|k\rangle|f(i)\rangle$  的复振幅  $F_k = \frac{1}{Q} \sum_{j=0}^{m-1} w^{k(i+jr)} = \frac{1}{Q} w^{ki} \frac{1-w^{mkr}}{1-w^{kr}}$

此时测量  $|k\rangle$  态的概率为  $P_k = \sum_{i=0}^{r-1} |F_k|^2 = \frac{r}{Q^2} \times \left| \frac{1-w^{mkr}}{1-w^{kr}} \right|^2$

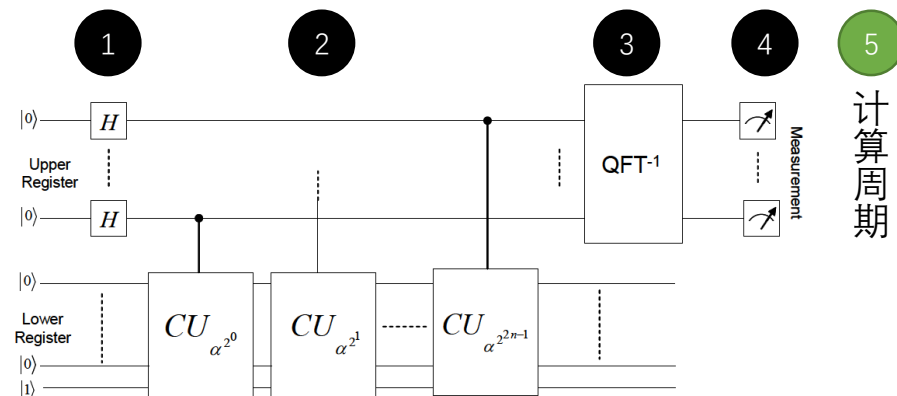
$$w = e^{\frac{-2\pi i}{Q}}, \left| \frac{1-w^{mkr}}{1-w^{kr}} \right|^2 = \frac{1-\cos(m\theta)}{1-\cos(\theta)}, \theta = \frac{k \times r}{Q} \times 2\pi$$

$$P_k = \frac{r}{Q^2} \times \frac{1-\cos(m\theta)}{1-\cos(\theta)}, \theta = 2\pi \times s, s \text{ 为整数时}, P_k \text{ 取最大值}$$

$$P_{k\max} = \frac{r}{Q^2} \times m^2 \approx \frac{1}{r}, m \times r \approx Q$$

5 最后测量的  $|k\rangle$ , 测量结果满足  $\theta = \frac{k \times r}{Q}$  为整数或接近整数, 根据

$\frac{k}{Q} \sim \frac{s}{r}$  对  $\frac{k}{Q}$  做连分数分解, 得到  $r$  的值, 即得到  $f(x) = a^x \bmod N$  的周期

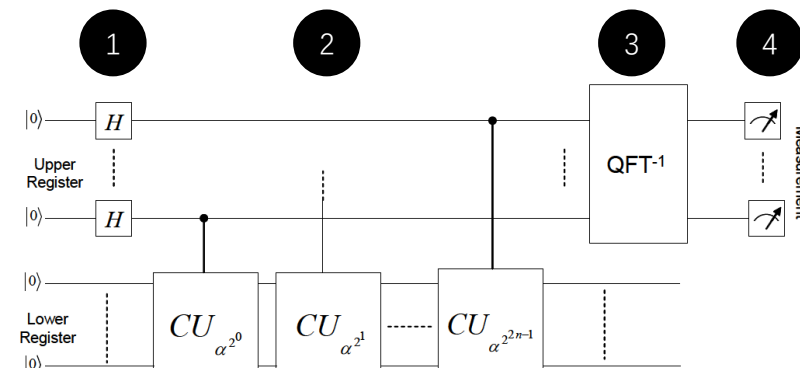


此时, 已经找到了与  $r$  的关系

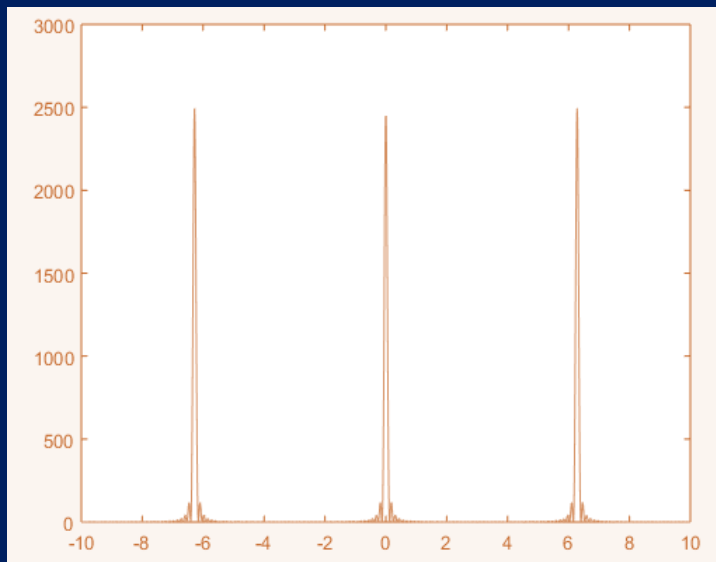
给定  $Q = 2^t, t = 2n$ ,  $f(x) = a^x \bmod N$  周期为  $r$

3 上半部分做  $QFT^{-1}$  后

$$|i + jr\rangle \rightarrow \frac{1}{\sqrt{Q}} \sum_{k=0}^{Q-1} w^{k(i+jr)} |k\rangle, w = e^{\frac{-2\pi i}{Q}}$$



4



$$\frac{1 - \cos(m \times \theta)}{1 - \cos(\theta)}$$

注:  $m=50$

5

最后测量的  $|k\rangle$ , 测量结果满足  $\theta = \frac{2\pi k}{Q}$  为整数或接近整数, 根据

$\frac{k}{Q} \sim \frac{s}{r}$  对  $\frac{k}{Q}$  做连分数分解, 得到  $r$  的值, 即得到  $f(x) = a^x \bmod N$  的周期



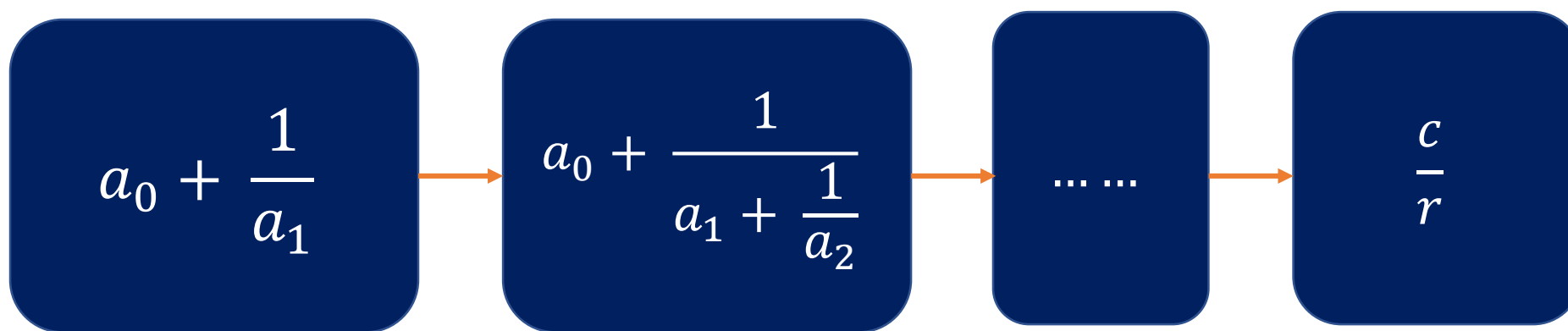
## 4. 确认周期

本源量子

## 连分数分解

$\frac{k}{Q}$  是  $\frac{c}{r}$  的近似，将  $\frac{k}{Q}$  通过连分数方法发现  $r$ ；

$$a_0 + \frac{1}{a_1 + \frac{1}{a_2 + \frac{1}{\ddots + \frac{1}{a_n}}}}$$



$$N = 77$$

$$N = 11 \times 7, \text{ 取 } f(x) = 3^x \bmod 77, r = 30$$

Shor 算法中上部分取 14 (即  $2 \times 7 = 14$ ) 个量子比特。

$Q = 2^{14}$ , 最后经过逆QFT后有

$$p_k = \frac{1}{Q \times m} \times \frac{1 - \cos(m\theta)}{1 - \cos(\theta)}, \theta = \exp\left(\frac{2\pi \times kr}{Q}\right), m \times r \sim Q, p_{kmax} = \frac{1}{m}$$

$$\frac{k}{Q} \rightarrow \frac{0}{r}, \frac{1}{r}, \frac{2}{r} \cdots \frac{r-1}{r},$$

$$N = 77$$

预计测量到的k值

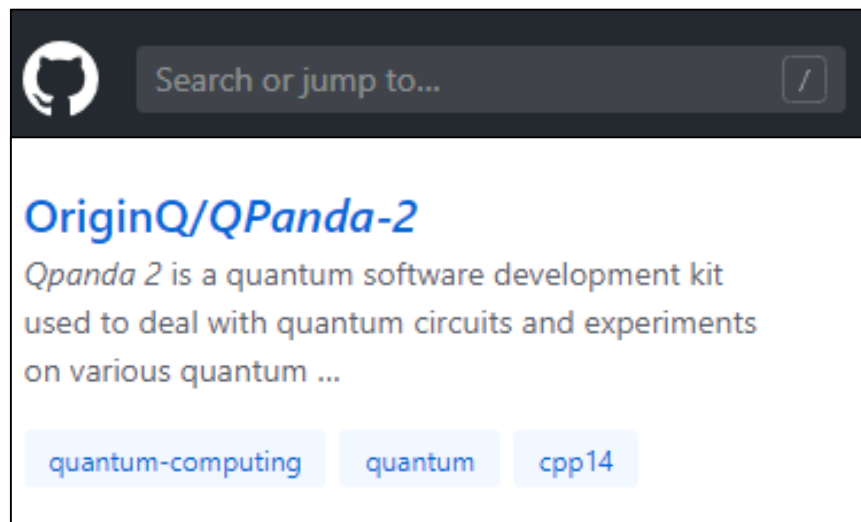
s/r	k=Qs/r	连分数逼近				结果
1/30	546	1/30				30
2/30	1092	1/15				15
7/30	3823	1/4	1/5	4/17	7/30	30
11/30	6007	1/2	3/8	11/30		30
11/30	6008	1/2	3/8	7/19	11/30	30
17/30	9284	1	1/2	4/7	17/30	30

$$Q \text{ --- } r' r' r' \dots r'$$





追本溯源 高掌远跖  
<https://www.originqc.com.cn>



研究致谢：陈昭昀，薛程，李叶